

# 1 **High-resolution mapping of vehicle emissions in China in** 2 **2008**

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## 28 **Abstract**

29        This study is the first in a series of papers that aim to develop high-resolution emission  
30 databases for different anthropogenic sources in China. Here we focus on on-road transportation.  
31 Because of the increasing impact of on-road transportation on regional air quality, developing  
32 an accurate and high-resolution vehicle emission inventory is important for both the research  
33 community and air quality management. This work proposes a new inventory methodology to  
34 improve the spatial and temporal accuracy and resolution of vehicle emissions in China. We  
35 calculate, for the first time, the monthly vehicle emissions for 2008 in 2364 counties (an  
36 administrative unit one level lower than city) by developing a set of approaches to estimate  
37 vehicle stock and monthly emission factors at county-level, and technology distribution at  
38 provincial level. We then introduce allocation weights for the vehicle kilometers traveled to  
39 assign the county-level emissions onto  $0.05^\circ \times 0.05^\circ$  grids based on the China Digital  
40 Road-network Map (CDRM). The new methodology overcomes the common shortcomings of  
41 previous inventory methods, including neglecting the geographical differences between key  
42 parameters and using surrogates that are weakly related to vehicle activities to allocate vehicle  
43 emissions. The new method has great advantages over previous methods in depicting the spatial  
44 distribution characteristics of vehicle activities and emissions. This work provides a better  
45 understanding of the spatial representation of vehicle emissions in China and can benefit both  
46 air quality modeling and management with improved spatial accuracy.

## 47 **1. Introduction**

48 Quantifying the magnitude and trend of anthropogenic air pollutants and greenhouse gas  
49 (GHG) emissions from China is of great importance because of their negative impact on the  
50 environment and their significant contribution to global emission budgets. The community has  
51 put tremendous effort into quantifying anthropogenic emissions in China through the  
52 development of bottom-up emission inventories (e.g. Streets et al., 2003; Ohara et al., 2007;  
53 Zhang et al., 2009). However, the spatial and temporal resolution in existing bottom-up  
54 inventories is still very low due to the limitation of emission models and lack of input data  
55 (Zhang et al., 2009). This has been recognized as the bottleneck limiting the performance of  
56 chemical transport models and the development of emission control strategies. There is an  
57 urgent need to develop high spatial and temporal emission profiles with improved accuracy  
58 through new emission models and data. This study, the first in a series that will develop  
59 high-resolution emission databases for different anthropogenic sources in China, will address  
60 emissions from on-road transportation.

61 On-road transportation contributes significantly to air pollutant emissions in China because  
62 of the substantial vehicle growth during the past three decades. It is estimated that vehicles  
63 contributed 24%, 29% and 20% to national **nitrogen oxides (NO<sub>x</sub>)**, **Non-methane** volatile  
64 organic compound (**NMVOC**) and **carbon monoxide (CO)** emissions, respectively, in China in  
65 2006, with higher contributions in urban areas (e.g., 40%, 41%, and 52%, respectively, in  
66 Beijing) (**Zhang et al., 2009**). Given the significant impact of vehicles to total emissions in  
67 China, it is of great importance to estimate vehicle emissions accurately at a high spatial and  
68 temporal resolution for both atmospheric chemistry research and air quality management.

69 Vehicle emissions are difficult to quantify and locate spatially, because they are mobile and

70 affected by many influencing factors, such as vehicle stock, vehicle technology distribution (the  
71 shares of different technologies in the fleet), emission factors, and activity levels. Previous  
72 studies have developed numerous vehicle emission inventory methods at various resolutions,  
73 which can be classified into two broad categories. One method estimates vehicle emissions by  
74 road segment on the basis of link-based activity data (Niemeier et al., 2004; Huo et al., 2009;  
75 Wang et al., 2009), which has been applied to a few cities in China (Huo et al., 2009; Wang et  
76 al., 2009). However, this method is extremely data-intensive and thus difficult to extrapolate to  
77 most Chinese cities because of the limited data availability.

78 The other method estimates emissions at provincial level and allocates total emissions to  
79 counties or grids based on surrogates, such as GDP (Cai and Xie, 2007), population density  
80 (Wei et al., 2008), or road density (Streets et al., 2003; Ohara et al., 2007; Zhang et al., 2009),  
81 by assuming a linear relationship between the surrogates and vehicle emissions of counties or  
82 grids within a province. However, these studies often apply national averages for key  
83 parameters (such as, technology distributions and vehicle emission factors) to estimate  
84 provincial emissions, which can introduce significant errors in the spatial distribution of  
85 emissions. Furthermore, many surrogates, such as GDP and population density, are not directly  
86 related to vehicle activity. While road density is directly related, it cannot reflect the variation of  
87 traffic flow between different roads and, therefore, this allocation method has been considered  
88 to have significant uncertainties at city level (Tuia et al., 2007; Ossés de Eicker et al., 2008;  
89 Saide et al., 2009). Some studies have improved on this method by using an aggregated  
90 surrogate that combines population density, road density, and traffic flow (Saide et al., 2009;  
91 Zheng et al., 2009). However, this method can only be applied for a few provinces with good  
92 data availability because data, such as traffic flow road by road, are not available for the whole

93 of China. Therefore, previous inventory methods are applicable either for a few specific cities,  
94 or for provinces and the country but with significant uncertainties resulting from the exclusion  
95 of geographical differences in key parameters and the choice of spatial surrogates that are  
96 weakly related to vehicle activity. **Consequently**, existing methods are not able to establish an  
97 accurate, high-resolution vehicle emission inventory for China.

98 There are two important objectives to improve the accuracy and resolution of the vehicle  
99 emission inventory of China: (1) to increase the spatial resolution of the key influencing factors  
100 of emissions; (2) to develop a gridding method in which the surrogates are strongly related to  
101 vehicle activity.

102 With these two aims in mind, this work developed a new methodology of high-resolution  
103 vehicle emission inventory for China. We first developed a county-level vehicle emission  
104 inventory that covered 2364 counties in China (county is an administrative unit one level lower  
105 than city). To calculate the **emissions from vehicles registered in each county**, we simulated  
106 county-level vehicle stock, province-level technology distribution, and county-level emission  
107 factors that took into account the geographic differences in local meteorological factors (e.g.  
108 temperature and humidity). We then allocated the county-level vehicle emissions onto  
109  $0.05^{\circ} \times 0.05^{\circ}$  grids based on the electronic road map of China compiled in 2010, **which is the**  
110 **only available data close to 2008**. In this step, the total vehicle kilometers traveled (VKT) of  
111 each vehicle type in a county was allocated to roads according to the road type. Because the  
112 new method differentiated the traffic load on different types of roads, it had advantages over  
113 previous allocation methods in depicting the spatial distribution of vehicle activities.

114 This study focused on CO, **Non-methane hydrocarbon (NMHC)**, NO<sub>x</sub>, and **particulate**  
115 **matters with diameter less than 2.5 μm (PM<sub>2.5</sub>)** emissions generated from running, starting and

116 evaporative processes of passenger vehicles and trucks in China in 2008. The article is  
117 organized as follows: in Section 2 we describe the methods to determine the county-level  
118 parameters for calculating county-level vehicle emissions and to allocate the emissions onto  
119 grids; in Section 3 we analyze the results of key parameters, county-level vehicle emissions,  
120 and gridded emissions; in Section 4 we evaluate the new allocation method by comparing with  
121 previous methods and by conducting sensitivity analyses for key assumptions; finally in Section  
122 5 we discuss the main uncertainties of the inventory method and the next step of future work.

## 123 **2. Methodology and data**

### 124 **2.1 General methodology description**

125 To develop a high-resolution vehicle emission inventory for China, we estimated vehicle  
126 emissions at county-level by exploring the geographic differences in the key parameters as fully  
127 as possible, and allocated the county-level emissions onto  $0.05^\circ \times 0.05^\circ$  grids with a new  
128 allocation method which could better reflect the spatial distribution characteristics of vehicle  
129 activities.

130 For a given county, emissions **from vehicles registered in that county** were calculated as  
131 follows:

$$132 \quad EMIS_k = \sum_i \sum_j (VP_i \times X_{i,j} \times VKT_i \times EF_{i,j,k}) \quad (1)$$

133 where  $i$  represents vehicle types, including **four types of passenger vehicles**: heavy-duty buses  
134 (HDBs), medium-duty buses (MDBs), light-duty buses (LDBs), **and minibuses (MBs)**; **and four**  
135 **types of trucks**: heavy-duty trucks (HDTs), medium-duty trucks (MDTs), light-duty trucks  
136 (LDTs) and mini trucks (MTs);  $j$  represents the control technologies (corresponding to pre-Euro

137 I, Euro I, Euro II, Euro III and Euro IV standards);  $k$  represents pollutant type (CO, NMHC,  
138 NO<sub>x</sub> and PM<sub>2.5</sub> in this work);  $EMIS_k$  is the vehicle emissions of pollutant  $k$  (Mg);  $VP_i$  is the  
139 vehicle population (million);  $X_{i,j}$  is the share of vehicles with control technology  $j$  in the vehicle  
140 type  $i$ ;  $VKT_i$  is the average vehicle mileage traveled of vehicle type  $i$  (km/year);  $EF_{i,j,k}$  is the  
141 emission factor of pollutant  $k$  of vehicle type  $i$  with control technology  $j$  (g/km). The research  
142 included all counties of the 31 provinces in China, except for Hong Kong, Macau and Taiwan.  
143 **Motorcycle was excluded from this work because the method of refining spatial resolution of**  
144 **activities from province to county is not applicable to motorcycles given the fact that the**  
145 **growth pattern of motorcycle stock doesn't follow the GDP-related Gompertz function (Wang**  
146 **et al., 2006).**

147 As Eq. (1) shows, to establish an accurate vehicle emission inventory at county level, it  
148 was important to understand the differences in major parameters between counties. By  
149 extensive application of available statistical data and existing model tools, we improved the  
150 spatial resolution and accuracy of three critical parameters –vehicle population, technology  
151 distributions, and emission factors.

152 Different approaches were developed for these parameters: (1) County-level vehicle  
153 population was estimated by city-level Gompertz functions, which were adjusted by  
154 county-level socio-economic status; (2) Province-level technology distribution was calculated  
155 by provincial vehicle stock and survival functions; (3) Monthly county-level emission factors  
156 were simulated by the International Vehicle Emission (IVE) model using China's on-road  
157 vehicle emission corrections and county-level meteorological corrections. Sections 2.2-2.4  
158 present the three approaches in detail.

159 **Inter-county traffic also impacts the real-world spatial patterns of vehicle emissions. In this**

160 work, we allocated the emissions calculated by Eq. (1) to different road types (highways,  
161 national, provincial, and county roads, as defined in Table 1) on the basis of VKT weighting  
162 factors considering the effect of inter-county traffic. We then mapped the emissions onto  $0.05^\circ \times$   
163  $0.05^\circ$  grids according to road densities (for hot-stabilized emissions) and urban populations (for  
164 start and evaporation emissions). Details of the emission allocation approach are provided in  
165 Section 2.5.

## 166 2.2 Modeling vehicle population at county level

167 In China, the administrative tiers from high to low are province, city, and county, and statistics  
168 are not available for county-level vehicle populations. In this work, we developed a model  
169 approach to estimate total vehicle population in each county by linking total vehicle ownership  
170 (vehicle/1000 people) with the economic development. Vehicle population by type was then  
171 split from total vehicle population using the share of vehicle type at provincial level.

172 The vehicle growth of a region is highly correlated to its economic development (e.g.  
173 per-capita GDP), and the Gompertz function (an S-shaped curve with three phases of slow, fast,  
174 and, finally, saturated growth) is often used to establish the relationship between per-capita  
175 GDP and total vehicle ownership (Dargay and Gately, 1999; Dargay et al., 2007; Huo and  
176 Wang, 2012). In this study, we used the Gompertz function to hindcast total vehicle ownership  
177 at county level using historical GDP data:

$$178 \text{ Gompertz Function: } V = V^* \times e^{\alpha e^{\beta E}} \quad (2)$$

179 where  $V$  represents total vehicle ownership (vehicles/1000 people);  $V^*$  represents the saturation  
180 level of total vehicle ownership (vehicles/1000 people);  $E$  represents an economic factor (here,  
181 per-capita GDP); and  $\alpha$  and  $\beta$  are two negative parameters that determine the shape of the

182 curve.

183 According to Eq (2), three key parameters must be determined to estimate the **total** vehicle  
184 population of a county: (1) the saturation level ( $V^*$ ), assumed to be 500 vehicles per 1,000  
185 people for all counties in in this study, which is a moderate vehicle growth scenario for China  
186 (Wang et al., 2006); (2) per-capita GDP ( $E$ ) of the county, which is obtained from China  
187 Statistical Yearbook for Regional Economy (National Bureau of Statistics, 2002-2011), and; (3)  
188 parameters  $\alpha$  and  $\beta$  of the county, which are determined by the  $\alpha$  and  $\beta$  values of the city that  
189 this county belongs to and a county-specific adjustment factor, **as described below**.

190  $\alpha$  and  $\beta$  values **can be** derived from historical **GDP** data and vehicle ownership **according**  
191 **to the Gompertz function**. We first use Eq. (3) (converted from Eq. (2)) to derive  $\alpha$  and  $\beta$  for  
192 **each city where both GDP and vehicle ownership data are available**.

$$193 \quad \ln(-\ln(\frac{V_i}{V^*})) = \ln(-\alpha_i) + \beta_i E_i \quad (3)$$

194 where  $i$  represents the city that the county belongs to. City-level per-capita GDP ( $E_i$ ) and  
195 vehicle ownership data ( $V_i$ ) were available from 2001 to 2010 from China Statistical Yearbook  
196 for Regional Economy (National Bureau of Statistics, 2002-2011).

197 As shown by Eq. (3),  $\ln(-\alpha)$  and  $\beta$  were linearly related, and could be regressed from the  
198 10 pairs (data from 2001 to 2010) of known  $\ln(-\ln(V_i/V^*))$  and  $E_i$ . According to the regression  
199 results, the mean value of R-square ( $R^2$ ) of the linear regression for all the cities was 0.92 and  
200 the median value was 0.96, indicating that the Gompertz function was reliable for simulating  
201 city-level vehicle growth patterns in China. A few cities (e.g. Qiqihar and Jiamusi City) showed  
202 a poor  $R^2$  ( $<0.5$ ). For these cities, as well as those in Tibet, Qinghai, and Xinjiang where the  
203 statistics are largely incomplete, we used their provincial  $\alpha$  and  $\beta$  regression parameters instead.  
204 In total provincial regression parameters were used for 14% of the cities.

205  $\beta$  represents the growth rate of vehicle ownership driven by GDP per-capita. Cities with  
 206 more GDP per-capita tend to have lower vehicle growth rates (and smaller  $\beta$  value) than those  
 207 cities with less GDP per-capita. Fig. 1 illustrated the inverse relationship between  $\beta$  and GDP  
 208 per-capita. Figure 1(a) compares the  $\beta$  values of Hebei and its three cities. As shown in the  
 209 figure, the three cities had different  $\beta$  values from the provincial one. Of the three cities, the  
 210 richer city has a lower vehicle growth rate because the Gompertz function is S-shaped and the  
 211 vehicle growth rate slowed down close to the saturation level. Figure 1(b) further shows that the  
 212  $\beta$  values of the Hebei province and all its cities had a strong inverse correlation with their  
 213 per-capita GDP in 2008.

214 When applying  $\beta$  derived from each city to counties, it needs to be adjusted as the GDP  
 215 per-capita in each county varies from the city they belong to. The adjustment factor  $k$  is derived  
 216 as follows:

$$217 \quad k_{i,j} = \begin{cases} \frac{E_{i,\min}}{E_j} & (E_j \leq E_{i,\min}) \\ 1 & (E_{i,\min} \leq E_j \leq E_{i,\max}) \\ \frac{E_{i,\max}}{E_j} & (E_j \geq E_{i,\max}) \end{cases} \quad (4)$$

218 where  $i$  represents city;  $j$  represents county that belong to the city;  $E_j$  is the per-capita GDP of  
 219 county  $j$  in 2008.  $E_{i,\min}$  and  $E_{i,\max}$  are the minimum and maximum per-capita GDP during  
 220 2001-2010, respectively, used to regress the city-level Gompertz function. If the per-capita  
 221 GDP of county is in the linearity range of the city Gompertz function (between  $E_{i,\min}$  and  $E_{i,\max}$ ),  
 222 we assume the  $\beta$  of the county same as the value of its city. If the per-capita GDP of a county  
 223 was out of the range of  $E_{i,\min}$  and  $E_{i,\max}$ , the adjustment factor was calculated as the ratio of the  
 224 minimum or maximum per-capita GDP of the Gompertz curve to the county per-capita GDP.  
 225 We assumed the same  $\alpha$  for all counties in the same city. The county-level  $\alpha$  and  $\beta$  could be

226 calculated from Eq. (5) and (6).

$$227 \quad \alpha_j = \alpha_i \quad (5)$$

$$228 \quad \beta_j = k_{i,j} \beta_i \quad (6)$$

229 After estimating county-level vehicle ownership using Eq. (2), total vehicle population for each  
230 county was calculated by multiplying the total vehicle ownership and population (National  
231 Bureau of Statistics, 2009a). The county total vehicle population was further broken down into  
232 different vehicle types (HDBs, MDBs, etc.) using the shares of each vehicle type at provincial  
233 level (National Bureau of Statistics, 2009b), implying an assumption that the share of vehicle  
234 type is the same for county level and provincial level.

### 235 **2.3 Modeling technology distributions**

236 In this study, the vehicle technology distributions were derived from the age distribution  
237 of the fleet and the implementation year of each stage of emission standard, based on the  
238 assumption that vehicles registered in a given year comply with the up-to-date emission  
239 standards. Because the parameters necessary for the calculation were available only at the  
240 provincial level, we simulated province-level vehicle technology distributions and assumed  
241 that all counties in one province had the same vehicle technology distribution.

242 The age distribution of the fleet in 2008 was calculated for each province, as follows:

$$243 \quad A_{i,j} = R_i \times S_{i,j-i} \quad (7)$$

244 where  $A_{i,j}$  represents the number of model year  $i$  vehicles that survived in target year  $j$  ( $j$  is  
245 2008);  $R_i$  represents the number of newly registered vehicles in year  $i$  (model year  $i$  vehicles),  
246  $i=1994-2008$ ;  $S_{i,j-i}$  represents the survival rate of model year  $i$  vehicles at age  $(j-i)$ .

247 We obtained data for province-level newly registered vehicles ( $R_i$ ) from 2002 to 2008

248 from the China Statistical Yearbook (National Bureau of Statistics, 2003-2009). For the period  
 249 of 1994-2001, where many statistics were missing, we used a back-calculation method to get  
 250 newly registered vehicle data for each province, as shown in Eq (8). This method has been  
 251 applied previously to calculate future projections of the vehicle population of China at the  
 252 national level (Wang et al., 2006).

$$253 \quad \sum_{i=1994}^j R_i \times S_{i,j-i} = P_j (j=1994, 1995, \dots, 2008) \quad (8)$$

254 where  $i$  represents model year;  $j$  represents target year;  $R_i$  is the number of newly registered  
 255 vehicles in year  $i$ ;  $P_j$  is the province-level vehicle population in year  $j$ , which were available  
 256 from China Statistical Yearbook (National Bureau of Statistics, 1995-2009);  $S_{i,j-i}$  is the  
 257 survival rates of model year  $i$  vehicles at age  $(j-i)$ , which were calculated separately for  
 258 passenger vehicles and trucks using the following function:

$$259 \quad S_{i,j-i} = \exp \left[ - \left( \frac{(j-i)+b}{T} \right)^b \right] \quad (9)$$

260 where  $T$  is associated with vehicle life;  $b$  is associated with survival curve decline rate.  
 261 National average  $T$  and  $b$  of different vehicle types were first derived based on our previous  
 262 estimate (Huo and Wang, 2012) as the default for each province. We then use successive  
 263 approximation approach to adjust  $T$  and  $b$  for each province to match the registered vehicles  
 264 numbers calculated by Eq. (9) with the numbers derived from Eq. (8).  $T$  and  $b$  values of each  
 265 province are presented in Table S1 of supplementary information. Note that survival rates  
 266 were also used in Eq. (7) to calculate the age distribution of the fleet.

## 267 **2.4 Modeling emission factors at county level**

268 Vehicle emissions are influenced by many factors, including technology, fuels, local

269 meteorological conditions, and local driving patterns. In the vehicle emission models that are  
 270 applied worldwide (e.g. the MOBILE model in the United States and the COPERT model in  
 271 Europe), vehicle emissions are usually estimated using base emission factors measured in a  
 272 standard environment, and applying correction parameters that can reflect the impact of these  
 273 influencing factors. In this study, we applied the same method to estimate county-level  
 274 emission factors in China, by coupling the IVE model developed by the International  
 275 Sustainable Systems Research Center (Davis et al., 2005), local meteorological correction  
 276 factors, and correction factors based on on-road measurement, as shown in Eq (10):

$$\begin{aligned}
 EF_{i,j,k,m} &= EF_{j,k,m}^{IVE} \times \eta_{i,j,k} \times \varphi_{j,k} \\
 &= (BEF_{j,k,m}^{IVE} \times K_{j,k}) \times \eta_{i,j,k} \times \varphi_{j,k}
 \end{aligned}
 \tag{10}$$

278 where  $i$  represents the county;  $j$  represents the pollutant (CO, NMHC, NO<sub>x</sub> and PM<sub>2.5</sub>);  $k$   
 279 represents the vehicle type (e.g. HDBs, MDBs etc.);  $m$  represents the IVE vehicle categories,  
 280 which are categorized by fuels (gasoline and diesel), emission control technologies (e.g., Euro I,  
 281 and Euro II, etc.) and accumulative mileage (<80,000 km, 80,000-160,000 km, and >160,000  
 282 km, because emissions deteriorate as the mileage increases);  $EF_{i,j,k,m}$  is the on-road emission  
 283 factor of pollutant  $j$  of vehicle type  $k$  and IVE category  $m$  in county  $i$ ;  $EF_{j,k,m}^{IVE}$  is the emission  
 284 factors simulated by IVE;  $\eta_{i,j,k}$  is the local meteorological correction factor, which reflects the  
 285 effect of local meteorology on vehicle emissions;  $\varphi_{j,k}$  is the emission correction factor, which  
 286 takes into account the difference **between the base emission factors embedded in IVE model**  
 287 **and the real base emission factors in China**;  $BEF_{j,k,m}^{IVE}$  represents the base emission factors of  
 288 the vehicle category  $k$  measured at an altitude of 500 feet, a temperature of 75° F, relative  
 289 humidity of 60%, and under the US Federal Test Procedure (FTP) driving cycle. BEFs are built  
 290 into the IVE model;  $K_{j,k}$  represents driving pattern correction factors, which are simulated in the

291 IVE model using driving bin distributions (Davis et al., 2005).

292 The main parameters include local driving patterns (to calculate  $K$  in IVE), local  
293 meteorological correction factors ( $\eta$ ), and correction factors ( $\varphi$ ), as shown by Eq (10).

294 Local driving patterns were obtained from surveys that we conducted in several Chinese  
295 cities, details of the data collection techniques are presented in our previous work (Liu et al.,  
296 2007; Yao et al., 2007; Wang et al., 2008). We used the same driving patterns for all counties.

297 Local meteorological parameters include atmospheric pressure, temperature and humidity,  
298 which can significantly affect emission levels (Bishop et al., 2001; Nam et al., 2008;  
299 Weilenmann et al., 2009). To use the most recent research findings, we applied the US  
300 Environmental Protection Agency's latest model, MOVES (MOTOR Vehicle Emission  
301 Simulator), to generate monthly county-specific meteorological correction factors ( $\eta$ ), in which  
302 county-level altitude was obtained from the MODIS (Moderate Resolution Imaging  
303 Spectroradiometer) land use map (Schneider et al., 2009) and county-level monthly mean  
304 temperature and humidity from the aggregation of the WRF model v3.3.1 output at 36km  
305 horizontal resolution.

306 Correction factors ( $\varphi$ ) were included because the base emission factors embedded in IVE  
307 may not be able to reflect real emission levels in China. **The correction factor  $\varphi$  is the ratio of  
308 measured emission factors to modeled emission factors from the IVE model using the same  
309 parameters (driving patterns, meteorological parameters, and accumulated mileage) as the  
310 measurement conditions. Measured emission factors are collected in 12 Chinese cities using the  
311 portable emissions measurement system (PEMS) during the past ten years (Wang et al., 2005;  
312 Yao et al., 2007, 2011; Liu et al., 2009; Huo et al., 2012a, b). Correction factor was set as 1 for  
313 the vehicle types when local measurements are not available. Correction factors remained the**

314 same across counties. As an example, Fig. 2 presented the correction factor used for HDTs and  
315 compared measured emission factors for HDTs in China (Huo et al., 2012b), IVE modeled  
316 emission factors under the same condition, and base emission factors in IVE model. The ratio  
317 between measured emission factors and modeled emissions factors represents the differences  
318 between base emission factors in IVE and in China, given the fact that the “real” base emission  
319 factors for Chinese fleet are unknown.

## 320 **2.5 Spatial allocation**

321 The spatial allocation of vehicle emissions was processed in two steps. First, we used the  
322 VKT allocation weights on different types of roads (highway, national, provincial and county  
323 roads) to split vehicle activity. Second, we divided the county-level emissions according to road  
324 type, then plotted the results onto  $0.05^\circ \times 0.05^\circ$  grids based on road density for hot-stabilized  
325 emissions and urban population distributions for start and evaporation emissions.

326 The truck VKT allocation weights were obtained from a survey conducted in Beijing and  
327 Shandong using GPS devices with data acquired over 278 hours. The results are presented in  
328 Table 1. Heavy duty trucks run more frequently on inter-county (including highways, national  
329 and provincial roads) than on county roads, because they are generally used for long-distance  
330 transportation. For passenger vehicles, we assumed that they are used more often in urban than  
331 in non-urban areas, given that the major purpose of passenger vehicles is to meet people’s  
332 routine travel needs. Because the VKT survey data of passenger vehicles were absent, we  
333 assumed that 80% of passenger vehicle VKT were driven on county roads and the remaining 20%  
334 on inter-county roads, based on previous estimates (Tuia et al., 2007). To investigate the effect  
335 of these VKT weight assumptions on gridded emissions, we performed a sensitivity analysis  
336 with different weighting factors (presented in Section 4.2).

337 We assumed that all use of passenger vehicles occurred within the city boundary and the  
338 use of trucks within the province boundary. This assumption for trucks may have introduced  
339 errors because a proportion of trucks travel between provinces. Unfortunately, the number of  
340 trucks used for inter-province transportation is unknown. This issue can be addressed once such  
341 traffic flow data become available in China.

342 Table 2 presented VKT data for different types of vehicles, which is derived from the Fuel  
343 Economy and Environmental Impact (FEEI) model by assuming that VKT will decline as a  
344 vehicle ages and that the VKT of new vehicles varies with the model year (Huo et al., 2012c).  
345 VKT remains the same across counties.

346 Hot-stabilized, start, and evaporative emissions were assigned onto grids by different  
347 allocation approaches. Hot-stabilized emissions that were split into highway, national,  
348 provincial and county roads were allocated onto  $0.05^{\circ} \times 0.05^{\circ}$  grids based on road density. We  
349 used the China Digital Road-network Map (CDRM) data, a set of new road network data  
350 developed in 2010 by National Administration of Surveying, Mapping and Geoinformation of  
351 China, instead of the DCW data (Digital Chart of the World), which has been widely applied in  
352 previous work (Streets et al., 2003; Ohara et al., 2007; Zhang et al., 2009). The CDRM data is  
353 better at representing the road network in urban areas than the DCW data, because it includes  
354 more detailed city roads. Start and evaporation emissions were allocated based on the urban  
355 population density (ORNL, 2006) given that most vehicle journeys start at parking lots that are  
356 close to where people live and work.

### 357 **3. Results**

#### 358 **3.1 County-level vehicle activity**

359 The spatial distribution of vehicle population represented by county in 2008 is shown in  
360 Figure 3. We observed significant spatial differences in vehicle population and ownership  
361 between the counties. Developed cities, such as provincial capitals, industrial and coastal cities,  
362 had higher vehicle numbers than less developed cities. For example, counties in the three most  
363 economically developed regions – North China Plain (NCP), Yangtze River Delta (YRD) and  
364 Pearl River Delta (PRD) – had 100 to 200 vehicles per 1000 people in 2008; whereas the  
365 median value in other counties was 23 and 84% of them had a vehicle ownership level lower  
366 than 55 vehicles per 1000 people.

367 The economic development level affects vehicle ownership significantly. The large  
368 difference between counties suggests that they are at different stages of economic growth.  
369 Counties in developed regions (e.g. NCP, YRD, and PRD) had already entered into the fast  
370 growth period, the second growth phase of the Gompertz function, while most other counties  
371 had just begun the fast growth phase and thus had a much lower vehicle ownership.

372 Figure 4 compares the simulated and statistical vehicle population for 665 counties and  
373 311 cities for which statistics were available. As shown in the figure, the simulated vehicle  
374 population shows good agreement with the statistical data with an  $R^2$  greater than 0.9. Note that  
375 the method we established to estimate county-level vehicle ownership is less accurate for  
376 counties with small vehicle populations, because the number of required vehicles in a country  
377 (those used to maintain the basic functioning of society) is not strongly related to economic  
378 growth and thus cannot be simulated by the Gompertz function. A large vehicle ownership can  
379 reduce the influence of this proportion of vehicles, but for counties with a low vehicle

380 population, the basic need for vehicles accounts for a significant share and can therefore reduce  
381 the accuracy of the calculation.

### 382 **3.2 Technology distributions at provincial level**

383 Vehicle technology distribution differs significantly between regions, as shown by Figure  
384 5(a). Provinces where emission standards were implemented 1-3 years earlier than the country  
385 (e.g. Beijing and Shanghai) tended to have a more technologically advanced fleet. For  
386 provinces with the same standard implementation schedule, a larger new vehicle fleet may lead  
387 to a smaller share of old vehicles in the future. As shown in Figure 5(b), provinces with higher  
388 vehicle growth rates tended to have a lower fraction of pre-Euro 1 vehicles. For example,  
389 vehicle numbers grew fastest in Zhejiang, which had 12% pre-Euro 1 vehicles, and slowest in  
390 Xinjiang with 31% pre-Euro 1 vehicles. Because the emission factors of vehicles compliant  
391 with different standards can vary significantly (e.g. CO, NMHC and NO<sub>x</sub> emission factors of  
392 pre-Euro 1 gasoline LDBs are 15, 40 and 8 times those of their Euro 3 counterparts,  
393 respectively) (Huo et al., 2012a), the assumption generally made in previous studies that all  
394 provinces (except Beijing and Shanghai) had the same vehicle technology distribution as the  
395 national average (Streets et al., 2003; Zhang et al., 2009; Huo et al., 2011) may have involved  
396 considerable uncertainties. Therefore, estimating technology distribution at provincial level will  
397 improve the accuracy of vehicle emission inventories significantly.

398 As shown in Figure 5(b), shares of pre-Euro 1 vehicles of the provinces were inversely  
399 related to their vehicle growth rates, but Shanghai is an outlier point. With a vehicle growth rate  
400 of only 13%, Shanghai had a low share of pre-Euro 1 vehicles equivalent to that of the  
401 provinces that have a vehicle growth rate of 23%. The main reason for this is that old vehicles  
402 in Shanghai are scrapped at a much faster rate than in other provinces. As Figure 6 shows, of

403 the 261 counties examined, Shanghai counties had the greatest differences between the number  
404 of newly-registered vehicles and the net vehicle increase (9% in Shanghai versus 2% on  
405 average in other counties). The fast vehicle scrapping in Shanghai is attributable to its license  
406 plate auction policy, which began in 1994 and limits the number of new license plates available  
407 each year, making new license plates expensive. As the per-capita GDP grows in Shanghai,  
408 people will have the capability to purchase better cars, however, because of the license plate  
409 policy, they will have to scrap their old cars before they are able to purchase new ones.

410 Figure 7 evaluates the back-calculation method by comparing the simulated new vehicle  
411 results with statistical records from 2002 to 2010 for 30 provinces (270 data points in total, the  
412 Hebei Province is not included because of irregularities in the data). As can be seen from Figure  
413 7, the simulated results showed good agreement with the statistical records, especially for  
414 passenger vehicles ( $R^2=0.98$ ). This indicates that the technology distribution calculated in this  
415 study is reliable, and the vehicle survival functions chosen for the provinces can accurately  
416 depict the vehicle scrapping patterns.

### 417 **3.3 Meteorological correction factors ( $\eta$ )**

418 The seasonal meteorological correction factors for NMHC and CO in light-duty gasoline  
419 buses (LDB-G), and for NO<sub>x</sub> in heavy-duty diesel trucks (HDT-D) are shown in Figure 8.  
420 LDB-G and HDT-D were selected as examples because they are the largest contributors to total  
421 on-road emissions of NMHC, CO and NO<sub>x</sub>. In general, NMHC and CO running emissions  
422 increased as the temperature increased. Conversely, NO<sub>x</sub> running and start emissions increased  
423 as the temperature decreased. In addition, start emissions were more sensitive to environmental  
424 temperature because, when starting the vehicle, the catalytic converters need longer/shorter  
425 time to reach the working temperature in a colder/warmer climate. For example, from summer

426 (July) to winter (January), the NO<sub>x</sub> start emission factors for HDT-D increased by 5-20 times  
427 while the NO<sub>x</sub> running emissions increased by only 1.1-1.3 times.

428 The spatial distribution of the correction factors for CO emissions of LDB-G are presented  
429 in Figure 9. The correction factors varied considerably between northern and southern regions,  
430 because the regions differed significantly in temperature. In July, the CO running emission  
431 factors in the southern regions were approximately 30% higher than in the northern regions;  
432 while in January, the north had CO start emission factors 3.5 times higher than the south. Figure  
433 9 also reveals the remarkable differences in meteorological correction factors between the  
434 western and eastern regions, which were caused not only by their different temperatures but  
435 also by their different altitudes. In general, western China is at a higher altitude than eastern  
436 China (e.g. 1900 meters in Gansu versus 12 meters in Jiangsu, which are both located at similar  
437 latitudes). Higher altitudes can result in more incomplete combustion products (e.g. CO and  
438 NMHC) because of the low concentration of oxygen in the atmosphere. Therefore, vehicles  
439 operated in the western regions had approximately 9-20% higher CO emission factors than  
440 those in the eastern regions under the same temperature.

441 The analysis of meteorological correction factors suggests that vehicles with the same  
442 control technology may have very different emission factors in different regions. Therefore, the  
443 regions with weather conditions that increase vehicle emissions should take stricter control  
444 measures. The significant disparity in seasonal and regional correction factors also emphasizes  
445 the importance and necessity to calculate emission factors by region in order to improve the  
446 spatial and temporal resolution of the inventory.

### 447 **3.4 Total vehicle emissions in 2008**

448 The on-road CO, NMHC, NO<sub>x</sub> and PM<sub>2.5</sub> emissions by vehicle and technology type are

449 summarized in Table 3. In 2008, China's vehicles emitted 16.37 Tg CO, 1.53 Tg NMHC, 4.57  
450 Tg NO<sub>x</sub>, and 0.245 Tg PM<sub>2.5</sub>. As shown in Table 3, older vehicles (e.g. pre-Euro 1 and Euro 1  
451 vehicles) contributed significantly to on-road emissions. Pre-Euro 1 vehicles contributed 24-26%  
452 of CO and NMHC emissions, but only 13-14% of NO<sub>x</sub> and PM<sub>2.5</sub> emissions, and this was  
453 because CO and NMHC emission factors decreased faster than those for NO<sub>x</sub> and PM<sub>2.5</sub> from  
454 pre-Euro 1 to Euro 1 standards. Euro 3 vehicles contributed more significantly (17%) to NO<sub>x</sub>  
455 than to other pollutant types, because the reduction in the real-world NO<sub>x</sub> emission factors from  
456 Euro 2 to Euro 3 vehicles was very small (Huo et al., 2012b), and new vehicles tended to be  
457 used more often than old ones. As demand for long-distance transportation is growing rapidly  
458 and heavy duty vehicle numbers are increasing, more stringent control measures should be  
459 taken for heavy-duty diesel vehicles in order to control on-road NO<sub>x</sub> emissions.

### 460 **3.5 Monthly variation of vehicle emissions**

461 Monthly vehicle emissions are plotted in Figure 10. The total emissions, as well as the  
462 contributions from different processes (e.g. running the vehicle, starting and evaporation) vary  
463 significantly between months. During winter months (Dec to Feb) vehicles produce 19% more  
464 CO, 11% more NMHC, and 21% more NO<sub>x</sub> emissions than in the summer (Jun to Aug). The  
465 monthly PM<sub>2.5</sub> emissions did not vary significantly because MOVES assumes that the PM<sub>2.5</sub>  
466 emission factors of diesel trucks change very little with temperature.

467 Hot-stabilized processes accounted for the largest proportion of emissions, with 79% CO,  
468 80% NMHC, 97% NO<sub>x</sub>, and 87% PM<sub>2.5</sub> emissions in the summer, and 52% CO, 69% NMHC,  
469 88% NO<sub>x</sub>, and 86% PM<sub>2.5</sub> in winter. The share of CO and NMHC start emissions was much  
470 higher in winter (48% for CO and 30% for NMHC), because when the temperature decreased  
471 the CO and NMHC start emission factors increased while their running emission factors

472 decreased.

473 The monthly variability in vehicle emissions at different latitudes is shown in Figure 11.  
474 The monthly pattern of variability of the CO and NMHC emissions differed remarkably by  
475 latitudes due to large contribution from start emissions, which have strong variability at  
476 different latitudes induced by differences in temperatures. For NO<sub>x</sub> and PM<sub>2.5</sub> emissions,  
477 monthly variability was less dependent on latitudes because start emissions play a relatively  
478 small role in total NO<sub>x</sub> and PM<sub>2.5</sub> emissions, and running emissions are not as sensitive to  
479 temperatures as start emissions.

### 480 **3.6 Spatial variation of vehicle emissions**

481 The county and gridded emissions of CO and NO<sub>x</sub> are depicted in Figure 12. The NMHC  
482 and PM<sub>2.5</sub> emission maps are similar to those for CO and NO<sub>x</sub>, respectively, and they are  
483 therefore not shown.

484 Vehicle emissions were distributed unevenly throughout China. The majority of emissions  
485 were concentrated in a few counties. Emission hot-spots could be identified, as shown in Figure  
486 12(a). The counties shown in red accounted for less than 1% of the total counties, but  
487 contributed approximately 20% of the CO emissions in 2008. Most of these counties are the  
488 urban centers of the province capitals, which can be considered as the most developed areas in  
489 China.

490 Urban areas have the highest vehicle emission levels, in terms of both total amount and  
491 emission intensity (defined as emissions per unit area). In 2008, urban areas in China accounted  
492 for only 11% of the total land area and 28% of the total population. However, they contributed  
493 42%, 39%, 32% and 32% to the total vehicle CO, NMHC, NO<sub>x</sub>, and PM<sub>2.5</sub> emissions,  
494 respectively. The share of urban NO<sub>x</sub> and PM<sub>2.5</sub> emissions was a little lower because their major

495 contributors, trucks, run less often in urban areas. On average, the urban vehicle emission  
496 intensity was 2.9-3.8 times the national average. The differences were even more dramatic in  
497 developed areas. Taking Beijing as an example, the six urban districts (including Dongcheng,  
498 Xicheng, Haidian, Chaoyang, Fengtai, and Shijingshan) accounted for only 8% of the Beijing  
499 surface area, but contributed 53-64% of the total vehicle emissions for Beijing. The emission  
500 intensities of these six districts were 6.3–7.7 times the average of the entire city.

501 Beijing, Shanghai, Guangzhou and Tianjin had the highest vehicle emissions in China. For  
502 example, the vehicle CO emission intensity was 45, 34, 27 and 17 times higher, respectively,  
503 than the average urban emission intensity for the country. Beijing, Shanghai and Guangzhou  
504 have implemented restriction policies on car purchases to **constrain** the excessive vehicle  
505 growth, address traffic congestion, and reduce vehicle emissions. Similar measures are planned  
506 for Tianjin.

507 Gridded CO and NO<sub>x</sub> emissions are presented in Figure 12(b) and (d). The majority of  
508 vehicle emissions were concentrated in urban areas and on inter-county highways connecting  
509 major cities. However, the spatial distribution of CO and NO<sub>x</sub> emissions had notable differences.  
510 CO (NMHC) emissions were highly concentrated in urban areas, while much of the NO<sub>x</sub> (PM<sub>2.5</sub>)  
511 emissions were distributed on highways. This difference can be attributed to the fact that  
512 light-duty vehicles, the major contributor of CO and NMHC, are operated more frequently on  
513 county roads. On the other hand, heavy duty vehicles (HDBs and HDTs), the major NO<sub>x</sub> and  
514 PM<sub>2.5</sub> contributors, are used extensively on inter-county roads.

## 515 **4. Evaluation of the spatial allocation method**

### 516 **4.1 Spatial surrogates**

517 Spatial surrogates are important because the extent to which they can represent the spatial  
518 distribution of emissions directly determines the accuracy of an emission inventory. The major  
519 differences between the spatial proxies used in this study and those applied in previous studies  
520 are: (1) VKT weight factors for different road types were used to allocate county emissions,  
521 which were usually neglected in previous work (Streets et al., 2003; Ohara et al., 2007; Zhang  
522 et al., 2009), and (2) the new CDRM data was adopted instead of DCW data.

523 To evaluate the improvement provided by the new allocation method developed in this  
524 study, we compared the new method with three existing allocation methods: 1) the  
525 population-based allocation method (M1); 2) the road-length-based allocation method using  
526 DCW data (M2); and 3) the road-length-based allocation method using the CDRM data (M3) to  
527 explore the effect of road data quality. Details on the four methods are provided in Table 4.

528 The differences in grid vehicle emissions between our method and the other three methods  
529 are illustrated in Figure 13. Compared with M1, this study generated higher emissions for rich  
530 counties with small populations, and lower emissions for less-developed counties with large  
531 populations. This is a more reasonable result than that of M1 where the ratio of vehicle  
532 activities or emissions was assumed to be proportional to population size. As mentioned in  
533 Section 2.2, vehicle population is determined by both per-capita GDP and total population. The  
534 population-based allocation method (M1) neglects the effect from per-capita GDP on vehicle  
535 ownership. More importantly, our work improves the estimates for super-large counties with a  
536 population over 2 million. Super-large cities are usually the most industrialized and developed  
537 cities in China (e.g. megacities, provincial capitals and coastal cities) and have much higher

538 percentage of vehicle ownership than the national average, and therefore the population-based  
539 method could underestimate their emissions. As shown in Figure 13(b) and (c), the  
540 road-length-based methods (M2 and M3) significantly underestimated the emissions for  
541 counties with high population or per-capita GDP, and thus failed to identify emission hotspots.  
542 When compared with the method developed in this study, the relative differences in M3 were  
543 smaller than those in M2, because the new CDRM data has more detailed information on urban  
544 roads that can improve spatial allocation in urban areas. However, the underestimation of  
545 emissions for urban areas is not addressed completely.

546 The comparison of gridded emissions at different spatial resolutions is presented in Figure  
547 14. As shown in the figure, because the population-based method (M1) treats vehicle emissions  
548 as area sources, it failed to depict their spatial characteristics as line sources. M2 was not able to  
549 identify emission hotspots in big cities, because city roads are not included in DCW and few  
550 emissions could be allocated to urban areas. M3 could identify emission hotspots in cities but  
551 had less emissions allocated to major roads (e.g. inter-county highways) compared with our  
552 new method. The road-length-based method assumed a proportional relationship between  
553 emissions and the road length regardless the road type. As a result, major roads that carry a  
554 higher traffic load than smaller roads were allocated less emissions than they should have been.  
555 The allocation method developed in this work was able to reflect the characteristics of vehicle  
556 emissions as line sources and could identify emission hotspots in cities, because of  
557 improvements in three aspects: 1) emissions are estimated at county level, 2) detailed road  
558 network data was used, and 3) spatial distribution features of traffic activities were taken into  
559 consideration.

560 As the grid resolution became coarser, differences between the four methods became less

561 significant because the spatial surrogates tended to have similar spatial distribution  
562 characteristics at a large spatial scale. As Figure 14 shows, when the grid resolution was 0.5  
563 degrees, which is greater than most counties in eastern China, the spatial distributions generated  
564 from the four methods had similar characteristics.

565 Figure 15 further explores the differences in gridded emissions between the methods at  
566 different resolutions. Gridded emissions became sensitive to spatial proxies when grid size is  
567 less than 0.2 degree, indicating that the accuracy of urban scaling modeling would be  
568 significantly impacted by spatial proxies used in bottom-up emissions. It is suggested that  
569 gridded emissions obtained from M1 is closer to this work than M2 for large urban areas at fine  
570 resolution (e.g., 0.05 degree, Fig. 15b and 15c). This is because using population as spatial  
571 proxy tends to allocate more emissions in urban area, while M2 was not able to identify  
572 emission hotspots in big cities as city roads are not included in DCW and few emissions could  
573 be allocated to urban areas. Using DCW as spatial proxy may introduce substantial  
574 underestimation of emissions in urban areas.

575 If the grid size was increased, the differences in the overall gridded emissions between the  
576 three methods were reduced. However, as Figure 15 (d) and (e) show, both M1 and M2 methods  
577 may significantly underestimate the emissions of some grids with large populations (e.g. grids  
578 that cover Beijing, PRD and YRD), even though the grid size was enlarged to 1.0 degree  
579 (equivalent to 100 km×100 km). These highly-populated regions are usually the key objective  
580 and focus of air quality modeling studies. Therefore, the allocation method developed in this  
581 study can provide better accuracy at both high and low resolution.

## 582 **4.2 VKT allocation weights**

583 We introduced the concept of VKT allocation weights to improve the accuracy of the

584 gridded emission inventory. However, due to a lack of sufficient traffic survey data, the  
585 assumptions that we made for VKT weights may have created uncertainties in the gridded  
586 emission results. Therefore, we conducted a sensitivity analysis to quantify the sensitivity of the  
587 gridded emissions to the VKT allocation weights. Two scenarios (denoted as S1 and S2) were  
588 designed to represent the extreme values of VKT allocation weights for passenger vehicles and  
589 trucks, respectively, as shown in Table 5.

590 The results of the sensitivity analysis for NMHC and NO<sub>x</sub> emissions are presented in  
591 Figure 16. As the CO result was similar to that of NMHC, and the PM<sub>2.5</sub> result to that of NO<sub>x</sub>,  
592 this data is therefore not shown. As can be seen in Figure 16 (a) and (b), on average, the  
593 difference in gridded emissions between this work and S1 ranged from -1 to 7%, which  
594 suggests that the overall results were not very sensitive to the VKT weights of passenger  
595 vehicles. For each individual grid, the sensitivity of the emissions was dependent on the grid  
596 length ratio of county to inter-county roads (C/I road ratio). If a grid had the same C/I road ratio  
597 with the county where the grid was located, the emissions of this grid had zero sensitivity to the  
598 VKT weights of passenger vehicles. The greater the difference in the C/I road ratios between a  
599 grid and its county, the more sensitive the gridded emissions were to the VKT weights. As  
600 shown in Figure 16, compared with S1, this work allocated greater emissions to a few  
601 highly-populated grids, because grids with a high population were more likely to have a higher  
602 C/I road ratio than the county average. For a similar reason, this work allocated lower emissions  
603 than S1 for some grids with low populations. If a grid had 100% county roads and no  
604 inter-county roads, and its county had a C/I road ratio of 1.7 (the national average in China),  
605 which is an extreme and rare case, the change of the VKT weights for county roads from 80%  
606 to 50% could cause a maximal reduction of 60% in the gridded emissions of passenger vehicles.

607 Under a normal scenario, the emission change would have been much smaller.

608 As Figure 16 (c) and (d) shows, the sensitivity of emissions to the VKT weights of trucks  
609 was small, given that the average difference in the gridded NMHC and NO<sub>x</sub> emissions between  
610 this work and S2 ranged from -2 to 2%. Furthermore, for individual grids, the sensitivity of  
611 emissions to the VKT weight of trucks was related to the grid C/I road ratio, as was the case  
612 with the VKT weights of passenger vehicles. Increasing the VKT weights of trucks from 8~25%  
613 (this work) to 63% (S2) allocated more truck emissions to highly-populated grids because these  
614 grids tended to have higher C/I road ratios, and vice versa for grids with low populations.  
615 However, as shown by Figure 16(c), the NMHC emissions of highly-populated grids were  
616 observed to have little sensitivity to VKT weights of trucks, because passenger vehicles usually  
617 dominated the NMHC emissions in highly-populated grids and trucks played only a very  
618 limited role.

## 619 **5. Discussion**

620 This work proposes a new inventory methodology to improve the spatial and temporal  
621 accuracy and resolution of vehicle emissions for China. By developing a set of approaches to  
622 estimate, for the first time, the vehicle emissions for each county, and introducing the VKT  
623 allocation weights to assign county emissions into grids, our proposed methodology overcomes  
624 the common weakness of previous methods, such as, neglecting the geographical differences in  
625 crucial parameters of vehicle emissions and using surrogates that are weakly related to vehicle  
626 activities to allocate vehicle emissions.

627 Compared with previous methods, the new methodology has great advantages in  
628 portraying the spatial distribution characteristics of vehicle activities and emissions. However,  
629 uncertainties still exist in two aspects – vehicle emission factors and vehicle activities. In this

630 work, vehicle emission factors were simulated by a U.S. IVE model that was adjusted with  
631 hundreds of on-road vehicle emission measurements in China. The uncertainty in these  
632 emission factors lies in the representativeness of the selected measured vehicles. To lower this  
633 uncertainty, more measurements are required and eventually a vehicle emission model needs to  
634 be developed for China. This work did not include the spatial variations in emission factors  
635 induced by driving conditions due to the limitation of data availability. The national average  
636 driving patterns are used in this work, which are calculated on the basis of measurements in  
637 about 20 cities in China (Wang et al., 2008). A sensitivity analysis on CO emission factors of  
638 LDBs for Beijing and Changchun (one megacity with frequent traffic congestions and one  
639 midsize city with less traffic congestions) found that using local driven cycles will lead to 6%  
640 increase of CO emission factor in Beijing and 18% decrease in Changchun respectively,  
641 comparing with national average driving cycles. On the other hand, the vehicle activities are  
642 determined based on surveys conducted in a few cities and on several assumptions, which could  
643 involve uncertainties because of the disparity in vehicle activities between cities. To improve  
644 the data quality, dynamic traffic flow should be integrated into the inventory, which will require  
645 collaboration with traffic management research groups.

646 Addressing these uncertainties requires long-term efforts from the research community and  
647 concrete support from various governmental sectors for data availability and sharing. In the  
648 meantime, we will continue to improve the methodology by addressing the remaining key  
649 issues, including VKT by county, different technology distributions within the same province,  
650 base emission factors by road type, and more reliable VKT weights. We also plan to extend this  
651 methodology from 2008 onwards to perform a multi-year analysis.

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Table 1 Vehicle kilometers traveled allocation weights

	Highways <sup>a</sup>	National roads <sup>b</sup>	Provincial roads <sup>c</sup>	County roads <sup>d</sup>
<b>HDTs</b>	52%	29%	11%	8%
<b>MDTs</b>	17%	52%	18%	13%
<b>LDTs and MTs</b>	21%	30%	24%	25%
<b>HDBs, MDBs, LDBs and MBs</b>	20% on highways, national roads and provincial roads			80%

763 a: The China Digital Road-network Map (CDRM), which was applied in this study, classified roads into four types:

764 highways, national roads, provincial roads, and county roads.

765 b: National roads are defined as main roads connecting provincial capitals, economically developed cities and  
 766 traffic hub cities. The CDRM data separated a proportion of roads from national roads and categorized them as  
 767 “Highways”

768 c: Provincial roads are defined as main roads connecting cities within a province. The provincial government is  
 769 responsible for the construction, maintenance and management of provincial roads. The CDRM data separated a  
 770 proportion of roads from provincial roads, and categorized them as “Highways”.

771 d: County roads are defined as roads used mainly for transportation within a city. The municipal government is  
 772 responsible for the construction, maintenance and management of these roads.

Table 2 **National average** vehicle kilometers traveled (VKT) in 2008

Category	HDB	MDB	LDB, MB	HDT	MDT	LDT, MT
VKT (10 <sup>3</sup> km)	90	90	15	80	60	30

Table 3 Vehicle emissions in China in 2008

		HDB	MDB	LDB	MB	HDT	MDT	LDT	MT	Share
CO Emission (Tg)	Pre-Euro1	0.21	0.38	1.94	0.69	0.13	0.29	0.18	0.03	24%
	Euro 1	0.21	0.27	2.54	0.57	0.29	0.25	0.62	0.03	29%
	Euro 2	0.83	0.99	3.12	0.10	0.26	0.38	0.59	0.01	38%
	Euro 3	0.05	0.01	1.00	0.02	0.10	0.03	0.25	0.00	9%
	Euro 4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0%
	Total	16.37								
		Passenger vehicle/Truck 79%, 21%								
		Gasoline/Diesel 88%, 12%								
NMHC Emission (Tg)	Pre-Euro1	0.02	0.03	0.19	0.07	0.03	0.03	0.03	0.00	26%
	Euro 1	0.02	0.02	0.21	0.04	0.04	0.03	0.08	0.00	29%
	Euro 2	0.11	0.09	0.14	0.00	0.11	0.06	0.09	0.00	40%
	Euro 3	0.01	0.00	0.01	0.00	0.02	0.01	0.03	0.00	5%
	Euro 4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0%
	Total	1.53								
		Passenger vehicle /Truck 64%, 36%								
		Gasoline/Diesel 65%, 35%								
NO <sub>x</sub> Emission (Tg)	Pre-Euro1	0.05	0.05	0.08	0.03	0.19	0.11	0.06	0.00	13%
	Euro 1	0.13	0.14	0.04	0.01	0.30	0.26	0.12	0.00	22%
	Euro 2	0.39	0.34	0.05	0.00	0.64	0.40	0.36	0.00	48%
	Euro 3	0.14	0.07	0.01	0.00	0.27	0.16	0.15	0.00	17%
	Euro 4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0%
	Total	4.57								
		Passenger vehicle /Truck 34%, 66%								
		Gasoline/Diesel 9%, 91%								
PM <sub>2.5</sub> Emission (Tg)	Pre-Euro1	0.005	0.003	0.000	0.000	0.017	0.007	0.003	0.000	14%
	Euro 1	0.010	0.007	0.001	0.000	0.022	0.014	0.004	0.000	24%
	Euro 2	0.030	0.018	0.001	0.000	0.051	0.021	0.011	0.000	54%
	Euro 3	0.005	0.001	0.000	0.000	0.009	0.003	0.001	0.000	8%
	Euro 4	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0%
	Total	0.245								
		Passenger 33%, 67%								

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vehicle /Truck

Gasoline/Diesel

3%, 97%

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Table 4 Description of the four emission allocation methods

<b>Method</b>	<b>Description</b>
<b>Method developed in this work</b>	Emissions by county are allocated into grids based on the China Digital Road-network Map (CDRM) and the traffic weights of different road types.
<b>Method 1 (M1)</b>	Provincial emissions <sup>a</sup> are allocated into grids based on population (ORNL, 2006)
<b>Method 2 (M2)</b>	Provincial emissions <sup>a</sup> are allocated into grids based on Digital Chart of the World (DCW) road network data
<b>Method 3 (M3)</b>	Provincial emissions <sup>a</sup> are allocated into grids based on the CDRM data

776 a: provincial emissions are obtained through aggregating the county-level emissions calculated in this study.

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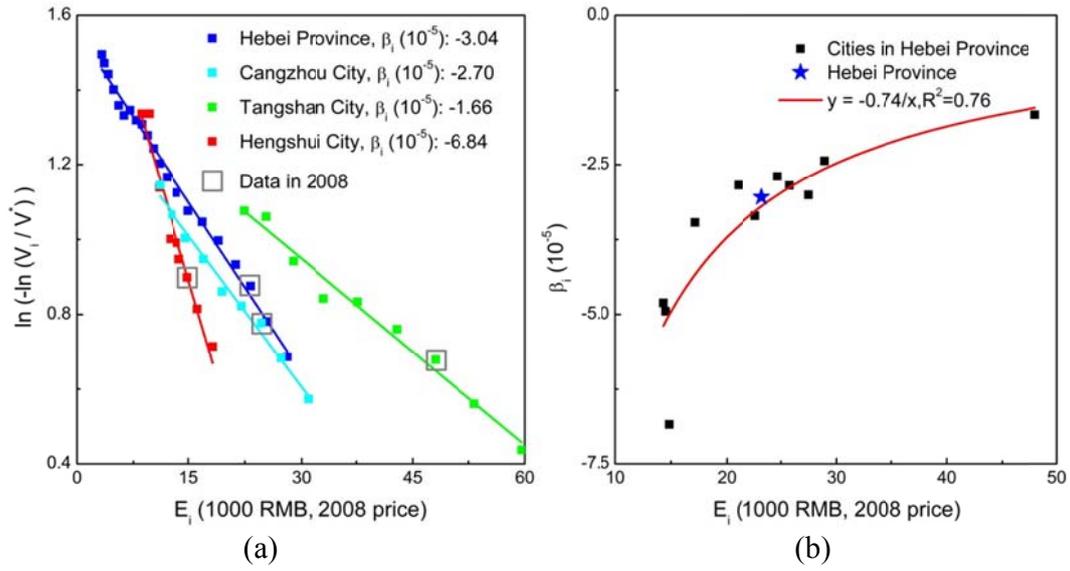
778 Table 5 Sensitivity analysis scenarios of vehicle kilometers traveled (VKT) allocation weights

Scenarios	Description
<b>Base scenario (This work)</b>	The VKT distribution weights for <b>passenger vehicles and</b> trucks are shown in Table 1.
<b>Scenario 1 (S1)</b>	Same as the Base scenario, except that 50% VKT of passenger vehicles are allocated to county roads and 50% VKT to inter-county roads, which assumes the same VKT for county and inter-county roads. Because passenger vehicles travel more often in urban areas, S1 represents an extreme case for passenger vehicles.
<b>Scenario 2 (S2)</b>	Same as the Base scenario, except that the VKT weights of trucks on county roads and inter-county roads are 63% and 37%, respectively, the same as the length ratios of these two types of road in China <sup>a</sup> . Because trucks are driven more intensively on inter-county roads than on county roads, assuming the same VKT per unit of road length for county and inter-county roads can be regarded as an extreme case for trucks.

779 a:In China, county roads made up 63% and inter-county roads 37% of the total road length, according to the

780 CDRM.

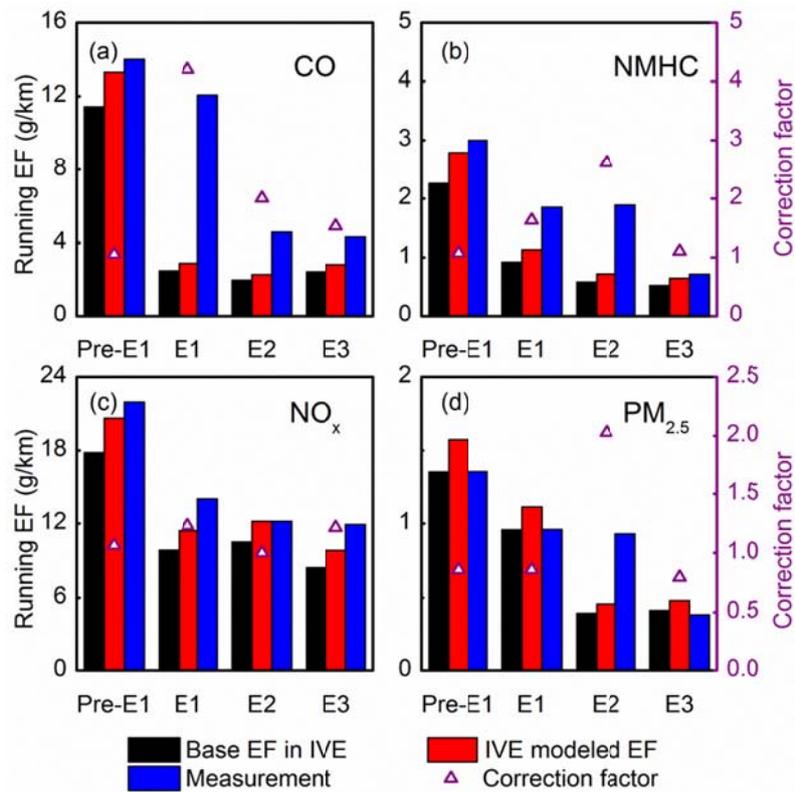
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Figure 1 Gompertz regression of the Hebei Province and its cities: (a) Gompertz function fitting of Hebei versus three selected cities within it (Cangzhou, Tangshan and Hengshui); (b) The relationship between  $\beta$  values and per-cap GDP in 2008 of the Hebei province and all its cities

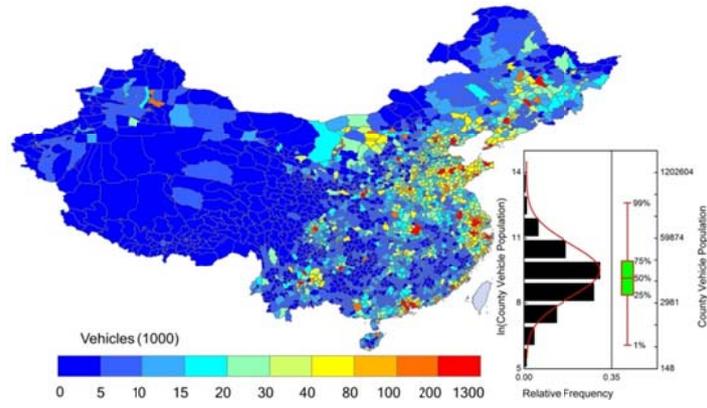
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787 Figure 2 Comparison between measured emission factors for HDTs in China, IVE modeled  
788 emission factors and base emission factors in IVE model; and correction factors of HDTs for (a)  
789 CO; (b) NMHC; (c) NO<sub>x</sub>; (d) PM<sub>2.5</sub>

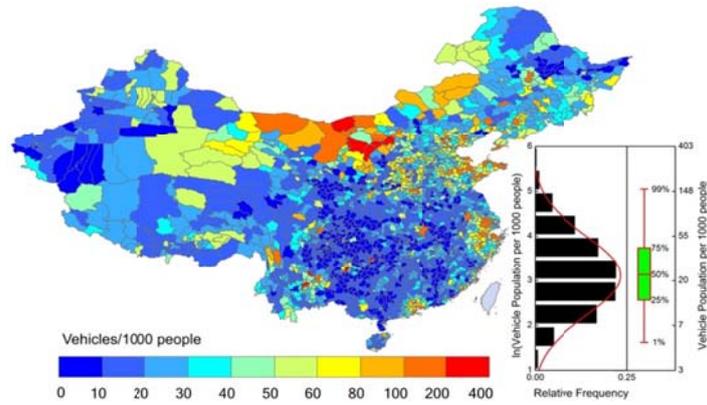
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(a)

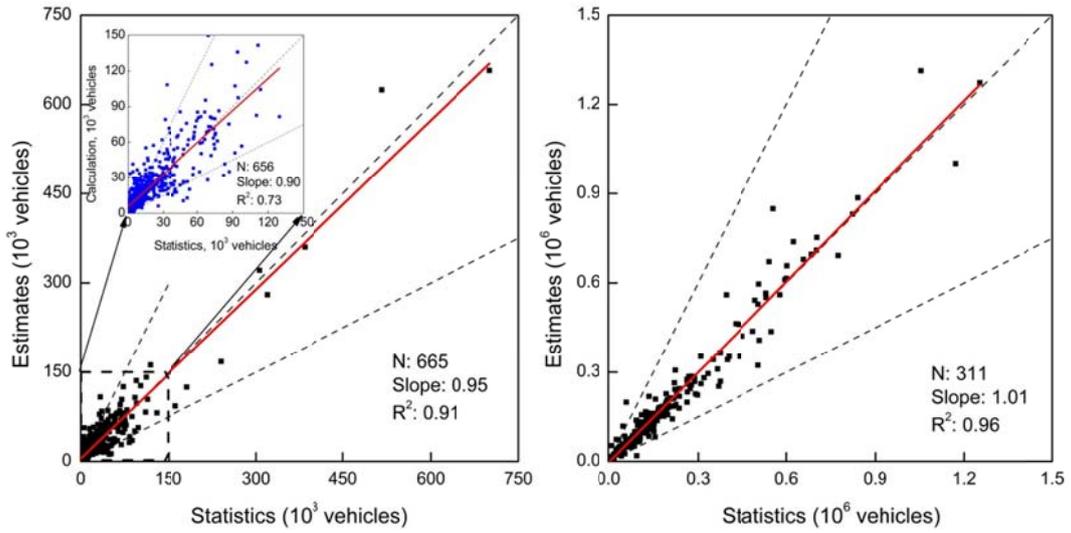


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(b)

Figure 3 County-level vehicle population and vehicle ownership in 2008: (a) vehicle population (1000 units); (b) Vehicle ownership (vehicles/1000 people)



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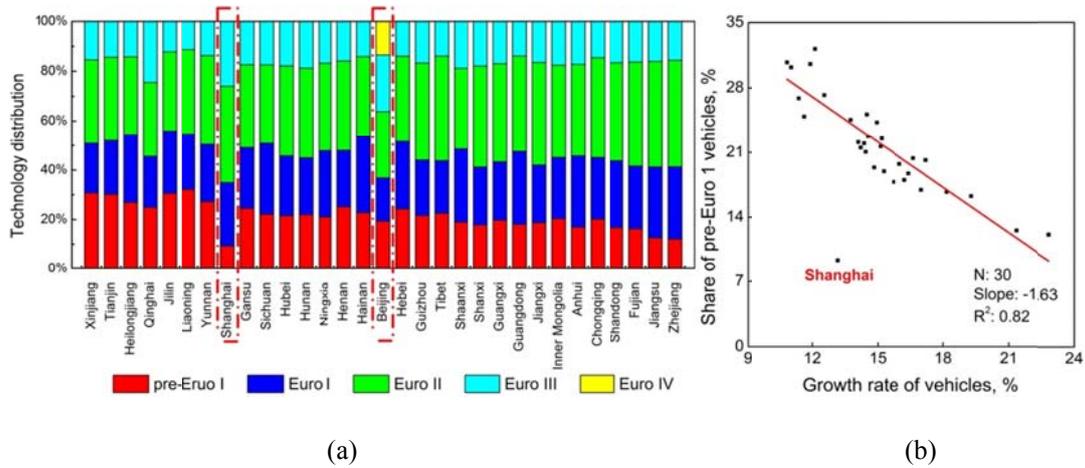
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Figure 4 Comparison of the simulated and statistical vehicle population for: (a) 665 counties; (b)

311 cities

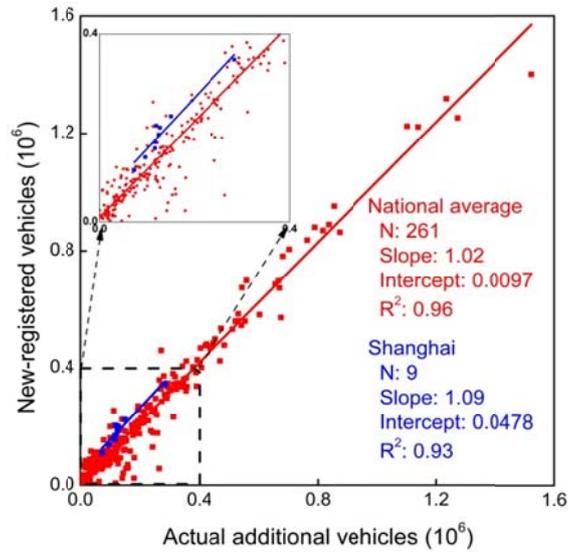
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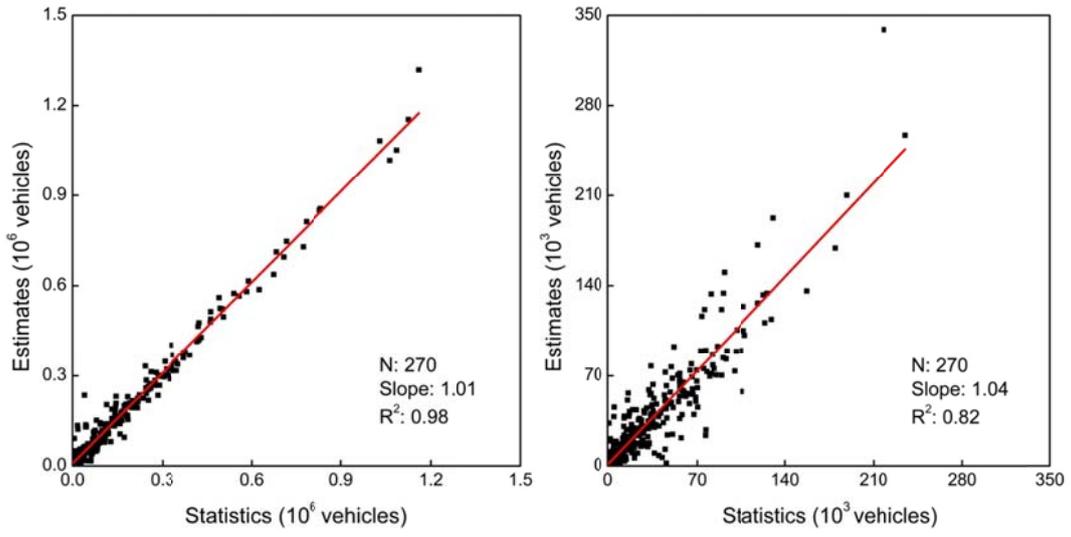
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800 Figure 5 Relationship between vehicle technology distribution and vehicle growth rates: (a)  
 801 Technology distribution for each province in 2008 (provinces are ranked in order of annual  
 802 vehicle growth rate from low to high, Beijing and Shanghai are highlighted because they  
 803 implemented vehicle emission standards ahead of the country); (b) Shares of pre-Euro 1  
 804 vehicles versus vehicle growth rates of 31 provinces. The growth rate is defined as the average  
 805 growth rate between 2002 and 2010.



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808 Figure 6 Correlation between newly-registered vehicles and vehicle population growth from  
809 2002 to 2010



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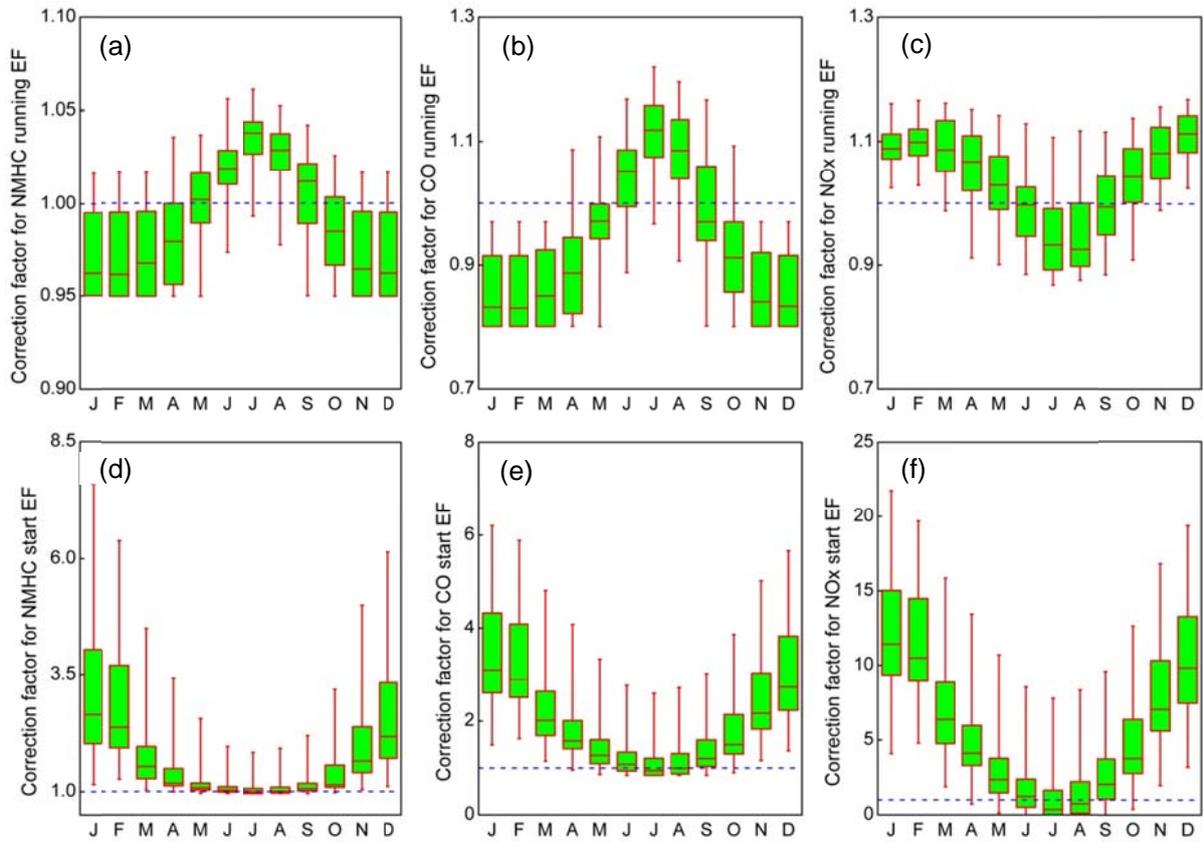
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(a)

(b)

Figure 7 Comparison of the new vehicles simulated in this work and newly-registered vehicles reported in statistics: (a) passenger vehicles; (b) trucks

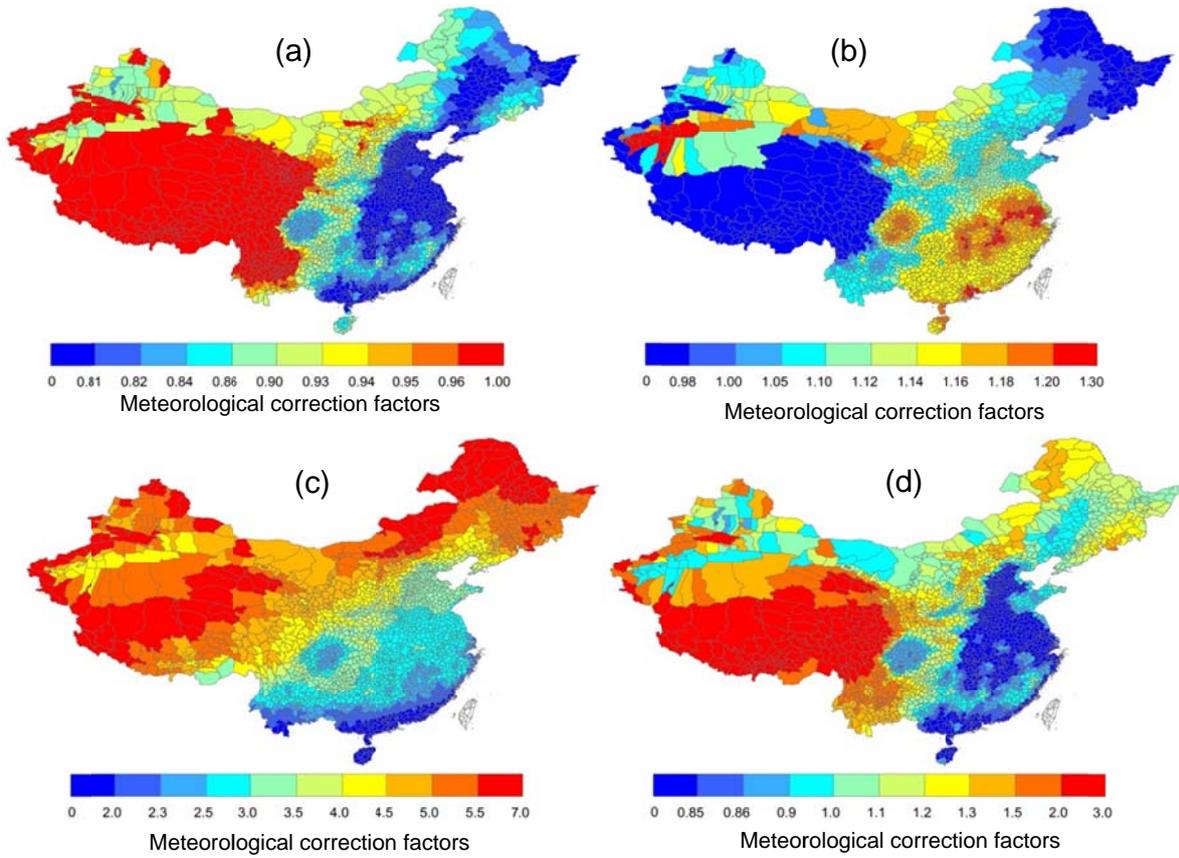
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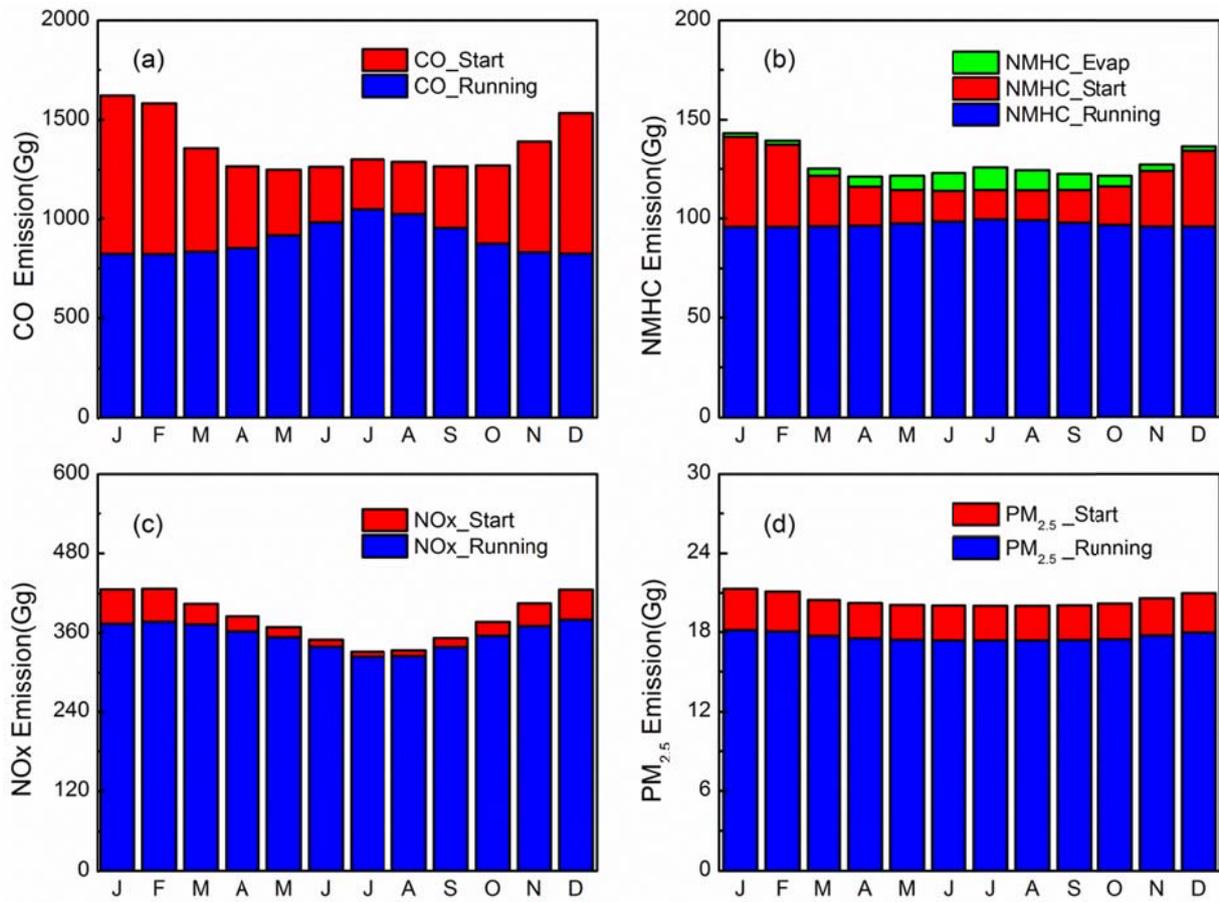
814 Figure 8 Meteorological correction factors of vehicle emissions by month: (a) running NMHC of gasoline  
815 LDBs; (b) running CO of gasoline LDBs; (c) running NO<sub>x</sub> of diesel HDTs; (d) start NMHC of gasoline LDBs;  
816 (e) start CO of gasoline LDBs; (f) start NO<sub>x</sub> of diesel HDTs. Each boxplot displays the statistics of 2364  
817 counties in China. The upper line of each box represents the 75%, the middle line the 50%, and the lower line  
818 the 25% quartiles.

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823 Figure 9 Spatial distribution of the meteorological correction factors for CO emissions of gasoline LDBs by  
824 county: (a) running emissions in January; (b) running emissions in July; (c) start emissions in January; (d)  
825 start emissions in July

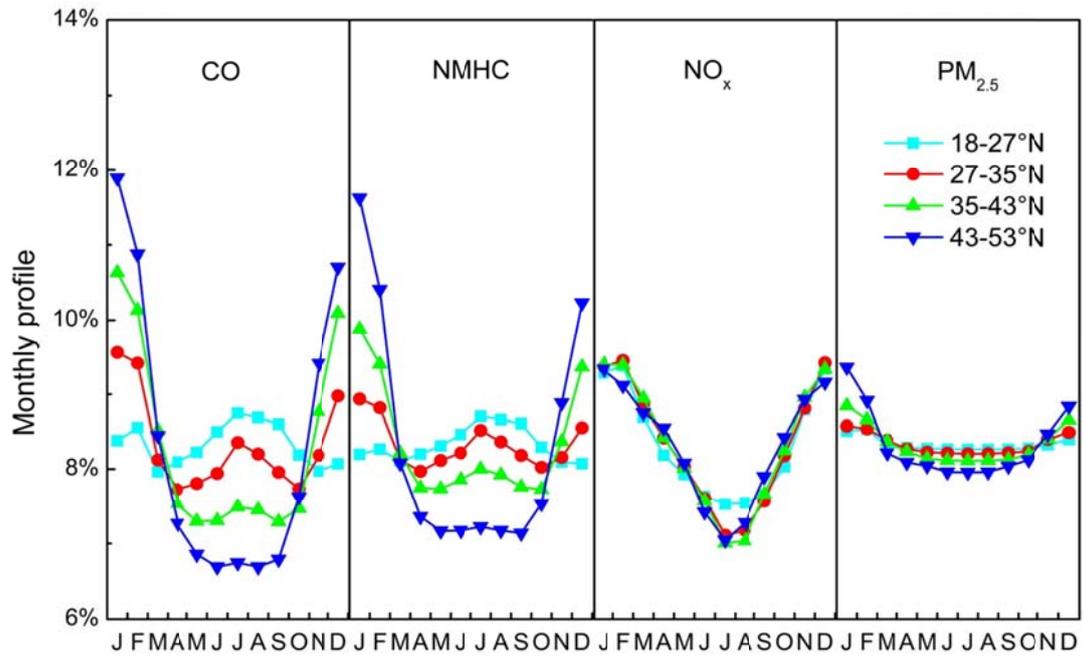


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828 Figure 10 Monthly variations of vehicle emissions in 2008: (a) CO; (b) NMHC; (c) NO<sub>x</sub>; (d)

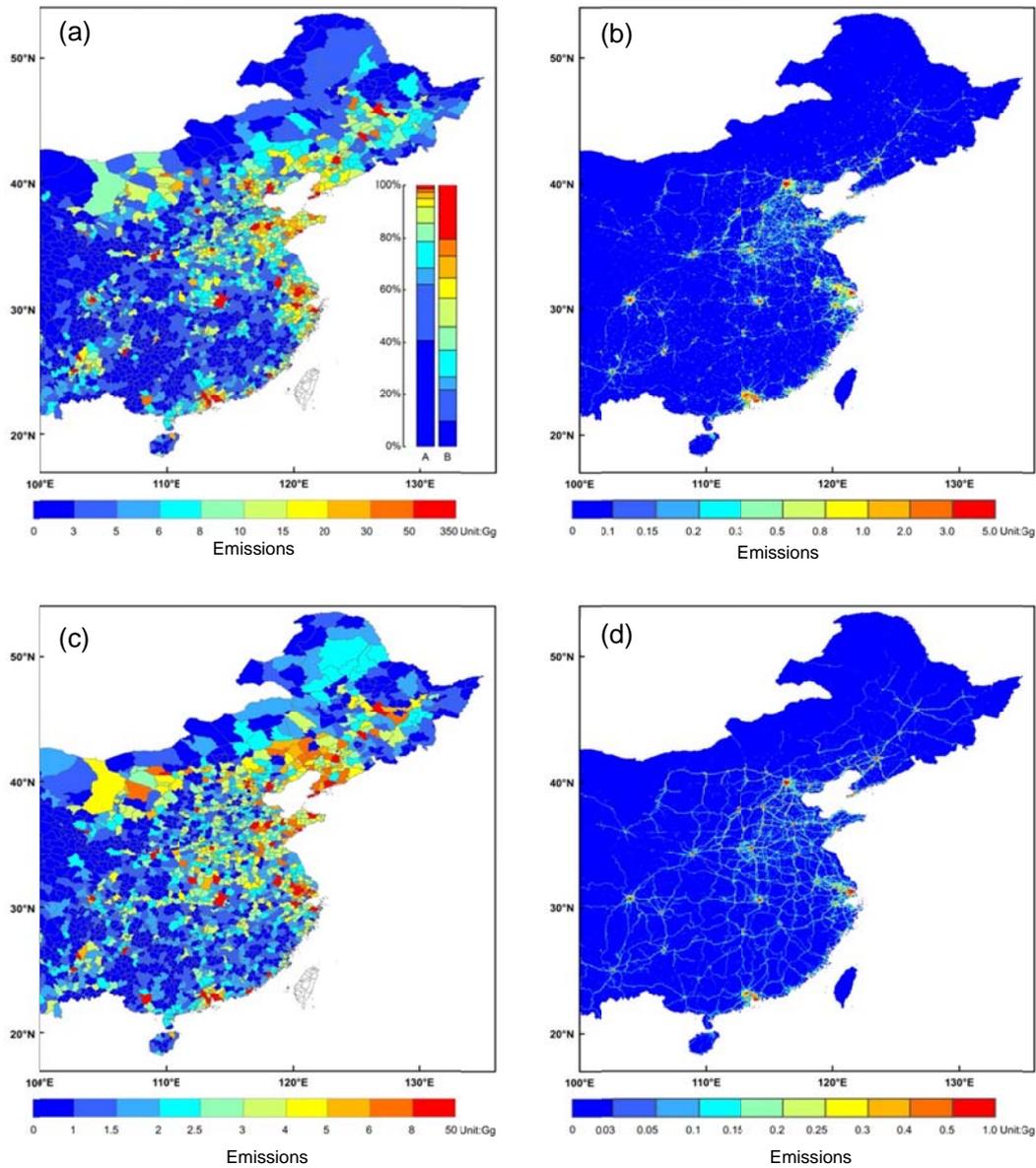
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PM<sub>2.5</sub>



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831 Figure 11 Share of monthly emissions of the whole year at different latitudes. Counties here are  
 832 located in regions with the altitudes lower than 1000 m and the longitudes larger than 103°E



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836 Figure 12 County and gridded emissions in 2008: (a) CO emissions by county (Bar A represents

837 the share of county numbers; Bar B represents the share of county emissions); (b) gridded CO

838 emissions at 0.05°x0.05° resolution; (c) NO<sub>x</sub> emissions by county; (d) gridded NO<sub>x</sub> emission at

839 0.05°x 0.05° resolution.

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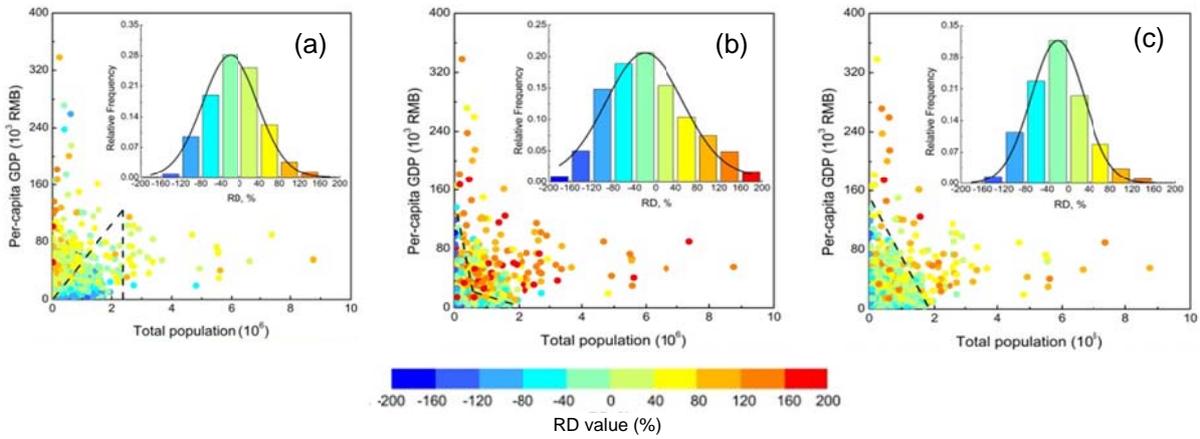
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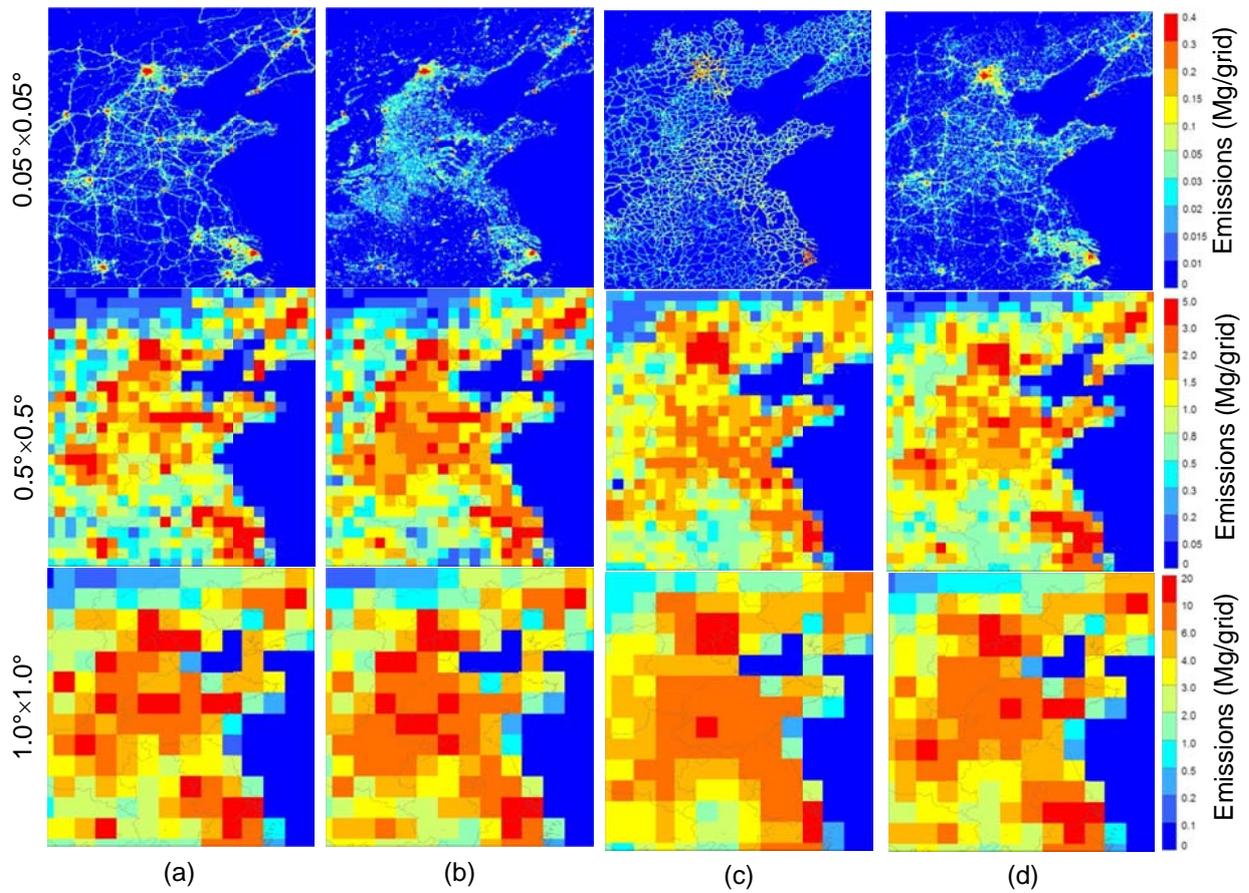
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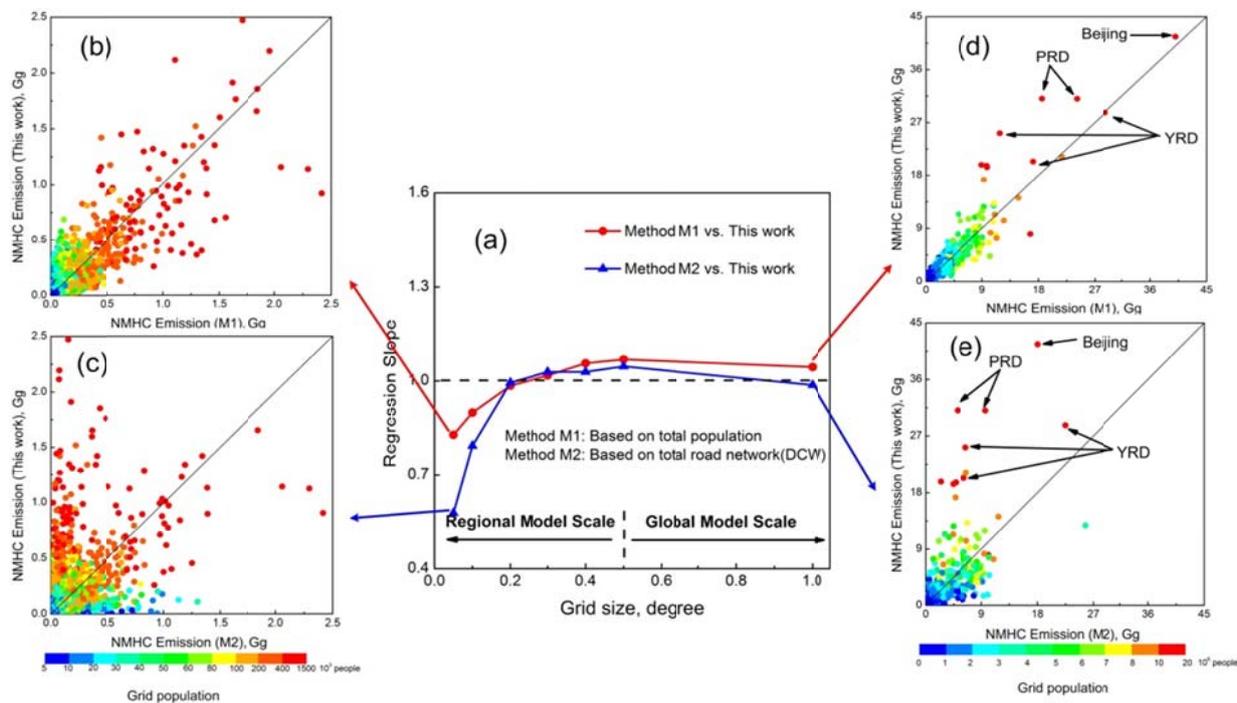
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Figure 13 Distribution of the relative difference (RD) in grid NMHC emissions between this work and other methods, and their relationships with county population and per-capita GDP: (a) this work versus M1; (b) this work versus M2; (c) this work versus M3. RD is defined as  $RD_i = (E1_i - E2_i) / ((E1_i + E2_i)/2)$ , where  $i$  represents county, E1 and E2 represent emissions by county generated from this study and other allocation methods (M1, M2, or M3). A positive (or negative) RD means that our method generates higher (or lower) emissions for this county than the other method.



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 854 Figure 14 Vehicle NMHC emissions from different spatial allocation methods: (a) This work; (b)  
 855 population-based method (M1); (c) DCW-based method (M2); (d) CDRM-based method (M3).



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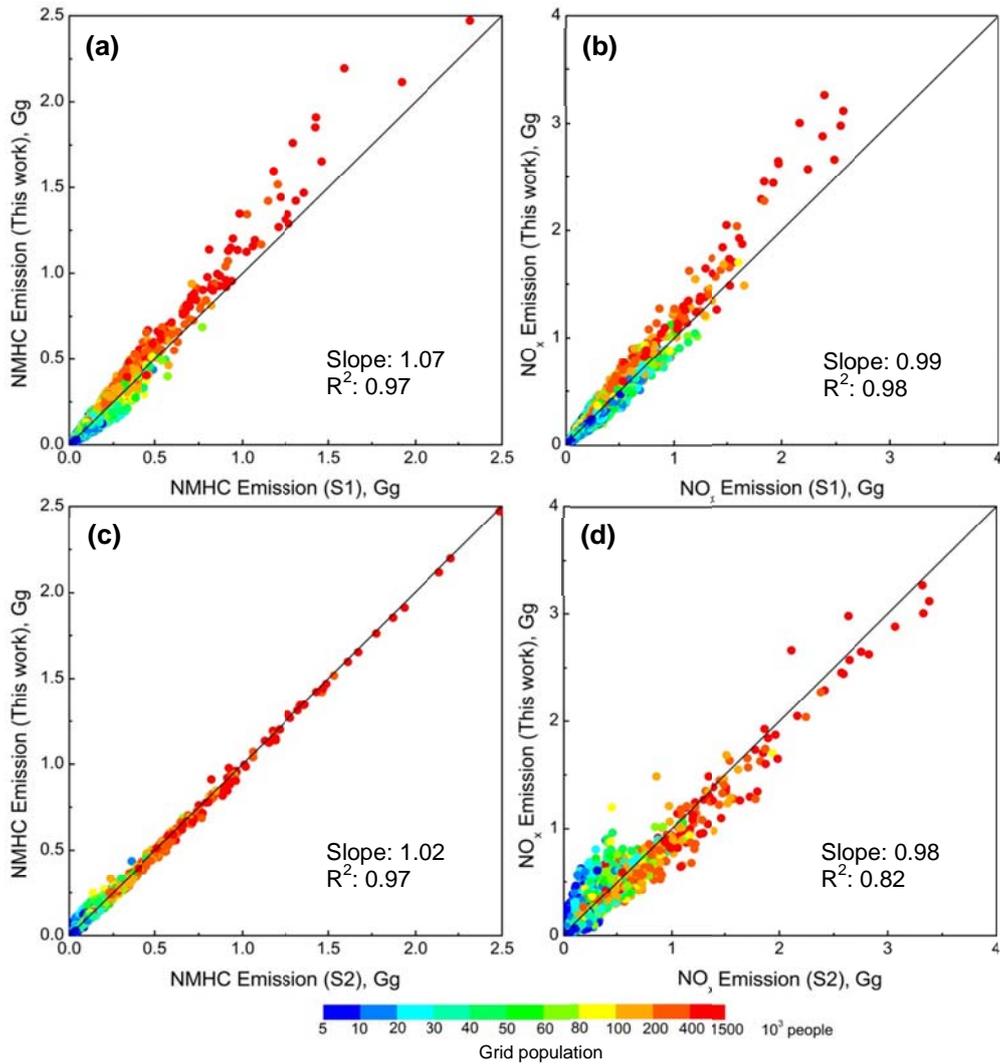
857 Figure 15 Comparison of the gridded emissions with different allocation methods: (a) Average

858 differences of gridded emissions between M1, M2 and this work at various resolutions; (b) This

859 work versus M1 at a resolution of  $0.05^\circ \times 0.05^\circ$ ; (c) This work versus M2 at a resolution of

860  $0.05^\circ \times 0.05^\circ$ ; (d) This work versus M1 at a resolution of  $1.0^\circ \times 1.0^\circ$ ; (e) This work versus M2 at a

861 resolution of  $1.0^\circ \times 1.0^\circ$ .



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 863 Figure 16 Comparison of gridded emissions between this work and the two sensitivity cases (S1  
 864 and S2): (a) gridded NMHC emissions of this work versus S1; (b) gridded NO<sub>x</sub> emissions of  
 865 this work versus S1; (c) gridded NMHC emissions of this work versus S2; (d) gridded NO<sub>x</sub>  
 866 emissions of this work versus S2  
 867