

1 **Contribution of pollen to atmospheric ice nuclei**  
2 **concentrations**

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1 **Abstract**

2 Recent studies have suggested that the ice nucleating ability of some types of pollen is  
3 derived from non-proteinaceous macromolecules. These macromolecules may become  
4 dispersed by the rupturing of the pollen grain during wetting and drying cycles in the  
5 atmosphere. If true, this mechanism might prove to be a significant source of ice nuclei (IN)  
6 concentrations when pollen is present. Here we test this hypothesis by measuring ambient IN  
7 concentrations from the beginning to the end of the 2013 pollen season in Raleigh, North  
8 Carolina, USA. Air samples were collected using a swirling aerosol collector twice per week  
9 and the solutions were analysed for ice nuclei activity using a droplet freezing assay.  
10 Rainwater samples were collected at times when pollen grain number concentrations were  
11 near their maximum value and analysed with the drop freezing assay to compare the  
12 potentially enhanced IN concentrations measured near the ground with IN concentrations  
13 found aloft. Ambient ice nuclei spectra, defined as the number of ice nuclei per volume of air  
14 as a function of temperature, are inferred from the aerosol collector solutions. No general  
15 trend was observed between ambient pollen grain counts and observed IN concentrations,  
16 suggesting that ice nuclei multiplication via pollen grain rupturing and subsequent release of  
17 macromolecules was not prevalent for the pollen types and meteorological conditions  
18 typically encountered in the Southeastern U.S. A serendipitously sampled collection after a  
19 downpour provided evidence for a rain-induced IN burst with an observed IN concentration  
20 of approximately 30 per litre, a 30-fold increase over background concentrations at -20 deg C.  
21 The onset temperature of freezing for these particles was approximately -12 deg C, suggesting  
22 that the ice nucleating particles were biological in origin.

23

24 **Keywords**

25 Biological aerosol, ice nuclei, biosphere-atmosphere interaction, aerosol-cloud interaction

## 1 **1. Introduction**

2 Primary biological aerosol particles (PBAP) derived from living and dead biological  
3 microorganisms are routinely observed in the atmosphere (Després et al., 2012). These  
4 particles can aid the nucleation of cloud droplets and ice crystals (Schnell and Vali, 1976;  
5 Möhler et al., 2007; Ariya et al., 2009) and thereby indirectly influence the Earth's climate  
6 system (Andreae and Rosenfeld, 2008). A small fraction of biological particles carry a protein  
7 that nucleates ice at temperatures slightly below 0 °C (Lagriffoul et al., 2010). Evidence of the  
8 presence of these proteins has been found in rain and snow samples (Christner et al., 2008a,  
9 b). The extent to which these potent nuclei will interfere with cloud processes depends  
10 however on their abundance in the atmosphere and the temperature at which they induce  
11 freezing. In general, emission sources for PBAP are spatially and temporally heterogeneous  
12 and emission profiles depend on the specific source, the state of the biosphere, and  
13 meteorological conditions. To date only few studies have focused on linking atmospheric  
14 PBAP with ice nuclei activity. For example, recent field studies demonstrated that PBAP  
15 significantly contributed to ice nuclei concentrations (at  $T \sim -20$  °C) at the surface of the  
16 Amazon rain forest (Prezzi et al., 2009) and at high altitude over deserts Wyoming at  $T \sim -30$   
17 °C (Pratt et al., 2009). Ice nuclei concentrations near the ground increased 40-fold after rain  
18 events and correlated highly with PBAP measurements during the BEACHON-RoMBAS  
19 field campaign (Prezzi et al., 2013; Huffman et al., 2013).

20 Pollen forms a subset of the primary biological aerosol that can nucleate ice. For the  
21 purposes of this paper, the term 'pollen grain' refers to the whole intact pollen particle  
22 released by the plant. Pollen grains are released over a period of 2-4 weeks (Williams, 2010)  
23 and can be transported as far as 3,000 km from the emission source (Campbell et al., 1999).  
24 Most species require supercooling to temperatures colder than -20 °C in order to induce  
25 freezing (Diehl et al., 2002; Pummer et al., 2012; Augustin et al., 2013). Select species  
26 contain low fractions of grains (1 in 1000) that induce freezing at temperatures as high as -9  
27 °C (Diehl et al., 2002). Pummer et al. (2012) show that the ice nucleating activity of pollen is  
28 derived from non-proteinaceous macromolecules contained on or within the grain. Grass  
29 pollen can produce cytoplasmic debris on contact with water by osmotic shock that can be  
30 separated from the pollen grain as micron- and submicron-sized starch granules and produce  
31 up to 700 starch granules per pollen grain (Suphioglu et al., 1992). A wetting-drying cycle  
32 consisting of changes in relative humidity from  $\sim 60\%$  to  $>90\%$  back to  $\sim 60\%$  produces

1 aerosolized fragmented cytoplasm (Taylor et al., 2002). The approximate size ranges of the  
2 released particles are between 0.2 and 5  $\mu\text{m}$ . Similar mechanisms may release submicron  
3 particles from birch, alder, and hazel pollen grains (Grote et al., 2003). Because the ice  
4 nucleating activity may emanate from suspendable macromolecules that can be extracted from  
5 the pollen grain, Pummer et al. (2012) hypothesize that the aerosolized fragmented cytoplasm  
6 may lead to significant heretofore underestimated ice nuclei emissions.

7 Here we test this hypothesis by investigating correlations of ice nuclei spectra with pollen  
8 grain concentrations during the 2013 pollen season. Air samples were collected using a  
9 swirling aerosol collector approximately twice per week and the solutions were analysed for  
10 ice nuclei activity using a drop freezing assay. Rainwater samples were collected during rain  
11 events near the time when tree pollen grain concentrations peaked and were analysed  
12 similarly. We quantify the IN concentration per volume of liquid using the method of Vali  
13 (1971) and use it to derive ambient ice nuclei concentration per volume of air. The resulting  
14 IN spectra are interpreted in the context of the evolution of the pollen season.

## 15 **2. Methods**

### 16 **2.1 Experimental Procedures**

17 Aerosol was sampled at North Carolina State University throughout April 2013 during  
18 the peak of the pollen season. We define the pollen season as the period of time when the NC  
19 Division of Air Quality operates the pollen sampler, which is typically from late-February  
20 through mid-November. The month of April has historically contained the peak of the tree  
21 pollen season for central NC with pollen grain number concentrations increasing  
22 approximately fifty fold over the course of a week (North Carolina Division of Air Quality,  
23 2010). An all-glass swirling aerosol collector (Bioaerosol sampler; SKC Inc.), hereafter  
24 abbreviated as SAC, was placed on the roof of Jordan Hall; a five story building located  $\sim 3$   
25 kilometres west of the city centre of Raleigh, NC, USA. The collector consists of three  
26 tangential nozzles that direct airborne particles toward a liquid water surface where they  
27 impinge and form an aqueous solution/suspension. Particle collection efficiencies for this  
28 technique exceed 80% for particles larger than 200 nm and approach 100% for particles larger  
29 than 1  $\mu\text{m}$  (Willeke et al., 1998). The sample cup was filled with 20 mL of ultrapure water and  
30 air was sampled at a flow rate of 12.5 L  $\text{min}^{-1}$  over an interval of 3 to 5 hours. During the  
31 sampling period some fraction of the collection water evaporated which can reduce the  
32 collection efficiency of SAC samplers. Therefore the flow was stopped temporarily every

1 hour and the collection well was refilled by spraying ultra-pure water through the collection  
2 inlet. This has the added benefit of washing any particles that impacted and remained on the  
3 collection inlet into the collection well (see Appendix A for details). It should be noted that  
4 this procedure was not performed after the last hour of measurement. Sampling times of the  
5 SAC are summarized in Table 1. Two rain events occurred near the time of peak pollen grain  
6 concentrations. For these events rainwater was collected by placing cleaned, glass Pyrex  
7 dishes on the roof of the building. Sampling protocols were identical to those described  
8 previously (Wright et al., 2013). Ice nuclei activity was determined as quickly after collection  
9 as time permitted. If immediate processing of the SAC solution was not possible, samples  
10 were stored in the refrigerator (+ 4 °C) for up to 3 days. Rainwater samples for the April 19  
11 event were frozen (-17 °C) and analysed two weeks later. Freezing and thawing of this rain  
12 water sample is unlikely to cause systematic active site modification for any nuclei in the  
13 sample (Wright et al., 2013). Rainfall totals were obtained from the on-site weather station  
14 that is operated and maintained by the North Carolina State Climate Office.

15 Ambient pollen grain concentrations were obtained from the North Carolina  
16 Department of Environmental and Natural Resources Division of Air Quality ambient  
17 monitoring program (North Carolina Division of Air Quality, 2010). Briefly, pollen grains are  
18 collected with a rotating arm impactor using silicone greased collection rods (Elander et al.,  
19 2004), stained, and counted. The program reports 24 h average pollen grain concentrations (#  
20 of grains m<sup>-3</sup> air) for the city of Raleigh on weekdays and differentiates between tree, grass,  
21 and weed pollen. Consequently, diurnal variations in pollen concentration are not captured.  
22 Pollen emissions typically peak during daytime (Ogden and Hayes, 1969), coinciding with the  
23 SAC measurements (Table 1). Consequently, the reported 24h average pollen concentration  
24 likely underestimates the actual pollen concentration during the sampling period. Raleigh is  
25 located in the east-central portion of North Carolina, USA. The climate is temperate and  
26 humid sustaining a dense mixed hardwood forest composed primarily of oak, hickory, and  
27 pine species that surrounds the city (LeGrand, Jr. and Wiecek, 2003). Consequently, ambient  
28 pollen from local sources during this time period in the Raleigh area is dominated by tree  
29 pollen. Some unknown fraction of pollen may have originated from long-range transport into  
30 the region (Gregory, 1978; Noh et al., 2013).

31 Ice nuclei spectra of immersion mode freezing are determined on a drop freezing assay  
32 (Wright and Petters, 2013; Wright et al., 2013). A 15 µL aliquot of bulk sample water (water

1 from the SAC or rainwater) is mixed with squalene, emulsified using a vortex mixer, and  
2 poured onto a siliconized glass cover slide that is placed inside an aluminium dish. This  
3 method produces 500 to 800 droplets with volumes ranging from less than 250 pL ( $D = 78.2$   
4  $\mu\text{m}$ ) to as high as 600 pL ( $D = 104.6 \mu\text{m}$ ). Droplets in this size range will be referred to as  
5 picodrops. The dish is placed inside a sealed cell made out of polyoxymethylene that is  
6 continuously flushed with dry nitrogen gas to prevent condensation of water during the  
7 cooling process. The bottom of the cell contains an aluminium insert that thermally bridges a  
8 thermoelectric element placed below the cell and the aluminium dish that resides within the  
9 cell. A thermistor is mounted inside the bridging aluminium piece to measure the temperature.  
10 The dish is cooled at a rate of  $1 \text{ K min}^{-1}$  and the freezing of droplets is observed via sequential  
11 imaging of the slide at 1 frame per 10 sec using a stereomicroscope. The cooling rate of 1 K  
12 per minute was chosen because it approximates cooling rates in moderate updrafts in  
13 convective clouds while providing sufficiently fast processing of samples in the lab. For  
14 example, a 2.5 m/s updraft and a moist adiabatic lapse rate of  $6.6 \text{ K km}^{-1}$  leads to a cooling  
15 rate of  $1 \text{ K min}^{-1}$ . Furthermore, freezing spectra derived from cold-stage experiments are only  
16 weakly dependent on the cooling rate (e.g. Wright et al., 2013). An illustrative image of the  
17 glass slide with picodrops adhered to its surface and examples of frozen and unfrozen  
18 picodrops are shown in Fig. 1a. A user-guided image processing algorithm described in detail  
19 in Wright and Petters (2013) is used to detect freeze events. From these data, a cumulative  
20 spectrum of fraction of droplets frozen versus temperature is constructed. Figure 1c shows an  
21 example of a processed picodrop experiment spectra for in-house generated ultrapure water  
22 ( $18.2 \text{ M}\Omega$  resistivity) and a suspension of 0.01 wt% of Arizona Test Dust (ATD) in ultrapure  
23 water. The addition of ATD to the sample leads to a shift of the median freezing temperature  
24 of the population. The magnitude of the shift depends on the weight fraction of dust in the  
25 suspension, the droplet size distribution, and the intrinsic efficiency of the immersed ice  
26 nuclei (Wright and Petters, 2013).

27 To sample more rare ice nuclei, experiments with larger volume droplets are  
28 performed. For these experiments, 2 mL of squalene is placed on the glass cover slide inside  
29 the aluminium tray. Droplets with volumes of  $\sim 150 \text{ nL}$  ( $\sim 650 \mu\text{m}$  diameter) are placed with a  
30 syringe needle tip on the surface of the squalene and allowed to sink to the squalene/glass  
31 interface. Droplets of this size are referred to as nanodrops. To avoid interference between  
32 droplets, only 50-75 droplets can be placed in the field of view of the camera for a single  
33 experiment. A full field-of-view image for a nanodrop experiment and example cumulative

1 spectra derived from nanodrop experiments are shown in Fig. 1b and 1d, respectively. As  
2 expected the median freezing temperature is warmer for the nanodrops due to their larger  
3 droplet volume because of an increased number of ice nucleation active sites present.  
4 Combined picodrops and nanodrops can be used to construct a more complete ice nuclei  
5 spectrum discussed further below (see also O'Sullivan et al., 2013).

6 Droplet volumes for picodrops and nanodrops are estimated from the optical image  
7 assuming that the imaged droplets are spherical. The projected area per pixel is calibrated  
8 using an image of a test object of known dimensions and varied between 5 and 10  $\mu\text{m}$  per  
9 pixel depending on the selected level of magnification. Possible optical distortion due to  
10 refraction is accounted for by submersing the test object below the squalene. Typical droplet  
11 volume statistics were  $400 \pm 20$  pL ( $D = 91.4 \pm 33.7$   $\mu\text{m}$ ) and  $145 \pm 10$  nL ( $D = 652 \pm 267$   
12  $\mu\text{m}$ ) for picodrops and nanodrops, respectively.

13 Temperature is recorded via a thermistor located at the bottom of the cell. Since the  
14 surface of the squalene is at a warmer temperature than the aluminium support that the  
15 thermistor resides in, there exists a temperature gradient within the squalene. To account for  
16 this temperature gradient, a cooling-rate dependent empirical calibration is applied. The  
17 calibration is obtained by placing a second thermistor in the squalene and cooling the  
18 instrument. This calibration is applied only to the temperature of the nanodrops as these  
19 droplets are close to the size of the thermistor and the droplets appear to have limited contact  
20 with the glass slide (the droplets easily slide when shaking the dish) and thus should take on  
21 the temperature of the squalene. In contrast, picodrops are assumed to be close to the  
22 temperature of the aluminium substructure due to their apparent adhesion to the glass slide  
23 and the fact that the aluminium and glass should be at close to the same temperature due to  
24 their relatively high thermal conductivity in comparison to the squalene.

25 All glassware is cleaned using the following procedure prior to use. The glassware is  
26 first rinsed with a mixture of bleach and tap water, followed by rinses with tap water and  
27 laboratory grade isopropyl alcohol (Fisher Scientific). A bath of 96% sulphuric acid solution  
28 (Acros Organics) is applied (for cleaning of the aerosol collector, a 0.5 M sulphuric acid  
29 solution was used instead), followed by rinsing with ultrapure water (18.2 M $\Omega$ ) and a final  
30 rinse with isopropyl alcohol. The glassware is then dried at  $\sim 90$   $^{\circ}\text{C}$ . Between uses, the  
31 aluminium tray for the drop freezing assay is rinsed with tap water followed by a rinse with  
32 isopropyl alcohol. Hydrophobic glass slides are generated by first cleaning microscope slip

1 covers following the above method (excepting the bleach and tap water rinses) and then  
2 coating them with AquaSil siliconizing fluid (TS-42799, Thermo Scientific) as specified by  
3 the manufacturer.

4 A representative tree pollen sample (*Pinus taeda*, loblolly pine) was collected from the  
5 campus of NC State on April 10, 2013. Whole male strobili were harvested and stored in a  
6 sealed bag at 4 °C until analysis on August 6, 2013. The strobili were rubbed inside of the bag  
7 in order to dislodge the pollen grains. A sample of 0.1 grams of this dislodged material was  
8 massed out and suspended in ~ 12 g of ultrapure water. This suspension was vortexed for 1 to  
9 2 minutes and freezing spectra were determined using the picodrop and nanodrop techniques.  
10 Pollen grain number concentrations in the suspension were estimated by placing 1 – 2.5 μL of  
11 the suspension on filter paper followed by imaging of the filter using a stereomicroscope and  
12 counting the number of particles with  $D > \sim 10 \mu\text{m}$ . From these measurements we estimated  
13 a minimum particle concentration of  $\sim 20$  particles  $\mu\text{L}^{-1}$  of suspension, averaged over four  
14 repeated measurements of these 1 – 2.5 μL samples. Not all particles counted were necessarily  
15 intact pollen grains. Agitation of the suspension through vortexing and shaking (in order to  
16 achieve a well-mixed solution) may have caused breakup of cellular debris.

## 17 **2.2 Ice Nuclei Analysis Methods**

18 In the following section we describe how ice nuclei spectra, defined as the number of  
19 ice nuclei per volume of sample water at a supercooling temperature are reconstructed from  
20 the raw data shown in Fig. 1c and 1d. Conversion from fraction of droplets frozen to IN  
21 concentration is achieved using statistical analysis. Results in Fig. 1c and 1d are a measure of  
22 the fraction of a population of quasi-monodisperse droplets that freeze at the instrument  
23 determined temperature. Each droplet contains an unknown number of ice nucleating  
24 particles. Furthermore, each IN particle induces freezing at a supercooling temperature that  
25 depends on its size and chemical composition. The average number of IN per droplet,  $\lambda(T)$ , is

$$26 \quad \lambda(T) = V_{\text{drop}} c_{\text{IN}}(T), \quad (1)$$

27 where  $V_{\text{drop}}$  is the volume of the droplet,  $T$  is the temperature, and  $c_{\text{IN}}(T)$  is the concentration  
28 of IN suspended in the liquid that induce freezing at a specified level of supercooling. The  
29 fraction of the population that is frozen is modelled using the Poisson probability distribution,

$$30 \quad P[k, \lambda(T)] = \frac{\lambda(T)^k e^{-\lambda(T)}}{k!} \quad (2)$$



1 In Eq. (2),  $P[k, \lambda(T)]$  is the probability that  $k$  droplets of a population are frozen.  
2 Consequently, the fraction of droplets that remain unfrozen is  $P[k=0, \lambda(T)]$ . Observationally,  
3 the unfrozen fraction is

$$4 \quad f_{\text{unfrozen}} = \frac{n_{\text{unfrozen}}(T)}{n_{\text{total}}}, \quad (3)$$

5 where  $n_{\text{unfrozen}}(T)$  is the number of droplets that remain unfrozen at supercooling  $T$  and  $n_{\text{total}}$  is  
6 the total number of droplets on the cold stage. Combining Eqs. (1) - (3) and solving for  $c_{\text{IN}}(T)$   
7 yields

$$8 \quad c_{\text{IN}}(T) = -\frac{\ln(f_{\text{unfrozen}})}{V_{\text{drop}}}, \quad (4)$$

9 which is identical to Eq. (13) in Vali (1971). Equation (4) shows that the concentration of ice  
10 nuclei in the liquid can be derived from population freezing statistics of monodisperse  
11 droplets. More numerous droplet populations permit the observation of lower unfrozen  
12 fractions and therefore lower the detection limit of IN concentrations. Similarly, populations  
13 with larger droplet volumes also improve the limit of detection.

14 The following implicit assumptions were made in the derivation of Eq. (4). First, it is  
15 assumed that the droplet distribution is monodispersed, i.e. all droplets have identical volume.  
16 Second, it is assumed that each droplet contains  $n$  aerosol particles, where  $n$  scales with the  
17 volume of the droplet and the particles within each droplet are representative of the bulk  
18 sample from which it was created. This is true for a well-mixed aqueous suspension and is  
19 reasonable for the SAC and rainwater samples. Third, it is assumed that each particle has a  
20 characteristic temperature at which it induces freezing of the surrounding water. This  
21 deterministic behaviour implies that the observed freezing temperature of the droplet is  
22 independent of the cooling rate. Although ice nucleation is fundamentally cooling-rate  
23 dependent, data for a wide range of different types of ice nuclei (including those found in  
24 rainwater) indicate that varying the cooling rate by an order of magnitude leads to shifts  
25 ranging from 0 to 2 K in observed population median freezing temperatures (Vali, 1994;  
26 Wright et al., 2013; Knopf and Alpert, 2013). Assuming deterministic or singular freezing  
27 behaviour will lead to error commensurate with the deviation from the cooling rate of 1 K  
28  $\text{min}^{-1}$  used in this study. Fourth, it is assumed that the characteristic freezing temperature is  
29 not affected by other particles residing in the same droplet volume, i.e. all characteristic  
30 temperatures are statistically independent. This may appear to be in contradiction to

1 experiments that demonstrate that the deposition of organic compounds or sulphuric acid on  
2 individual particles can suppress deposition ice nucleation (Möhler et al., 2008; Sullivan et  
3 al., 2010b; Koehler et al., 2010; Chernoff and Bertram, 2010). Nevertheless, the detrimental  
4 effect of coatings on IN activity is less pronounced or non-existent for immersion freezing  
5 (Sullivan et al., 2010 a, b). Both SAC solutions and rainwater samples are dilute, suggesting  
6 that chemical attack or physical shielding of active sites by coatings have only minor  
7 influence on the observed freezing temperature relative to what would be observed in cloud  
8 drops. Finally, the equation implies that the droplet freezes at the warmest characteristic  
9 freezing temperature out of the set of particles suspended in the droplet. A similar set of  
10 assumptions was explored in the analyses by Levine (1950), Vali (1971 and 1994), and Sear  
11 (2013) and therefore the above inversion parallels portions of their mathematical analysis of  
12 the problem.

13       The efficacy of Eq. (4) to map raw data (Fig. 1c & 1d) to the underlying IN spectrum  
14 given our experimental constraints was tested using a simulated dataset that was constructed  
15 from a prescribed IN concentration spectrum. The simulated dataset was generated using the  
16 discrete event simulator that is similar to the one described in Wright and Petters (2013).  
17 Briefly, a random number generator creates two droplet distributions, corresponding to the  
18 picodrop and nanodrop regimes: 600 droplets having a mean volume of  $400 \pm 20$  pL ( $D =$   
19  $91.4 \mu\text{m} \pm 33.7 \mu\text{m}$ ) and 100 droplets having a mean volume of  $140 \pm 2.5$  nL ( $D = 644 \mu\text{m} \pm$   
20  $168 \mu\text{m}$ ). These values were selected based on typical distribution parameters for the  
21 picodrops and nanodrops, respectively (Section 2.1). Each droplet is seeded with a total  
22 number of potential IN determined from a Poisson random number generator. The mean  
23 number of IN in the drop, i.e. the expectation value for the Poisson random number generator,  
24 equalled the maximum synthetic IN concentration multiplied by the volume of the seeded  
25 droplet. Each particle is then randomly assigned a characteristic temperature between  $-10$  °C  
26 and  $-37$  °C such that the statistics of the distribution followed the prescribed cumulative IN  
27 distribution. The freezing temperature of the droplet is determined by selecting the  
28 temperature of the most active IN, i.e. the particle having the warmest characteristic  
29 temperature in each droplet. The synthetic picodrop and nanodrop populations are then  
30 inverted using Eq. (4). Results for twenty simulated experiments are presented in Fig. 2. The  
31 first freeze event defines, together with the volume of the droplet, the minimum concentration  
32 that can be detected. Since few freeze events are observed at warmer temperatures, there is  
33 greater uncertainty in this derived concentration as a result of poor counting statistics. Due to

1 this uncertainty, the warmest 2% of droplets (~ 9 to 15 droplets for picodrops; ~ 1 to 2  
2 droplets for nanodrops) will not be graphed in the data analysis for the real experiments.  
3 Overall, the simulation demonstrates that our experimental procedure and inversion will – on  
4 average – approximate the true underlying IN spectrum.

### 5 **2.3 Example analysis**

6 Application of Eq. (4) to data necessitates the use of quasi-monodispersed droplet  
7 populations. For the picodrops shown in Fig. 1a and 1c, the droplet volumes are  
8 polydispersed. To reduce the error introduced by utilizing polydispersed droplets, the range of  
9 droplet volumes considered was reduced. Droplets smaller than 250 pL ( $D = 78.2 \mu\text{m}$ ) were  
10 discarded due to the resolution limit of the optical detection system and droplets larger than  
11 550 pL ( $D = 102 \mu\text{m}$ ) were discarded to keep the dispersion to a minimum. Approximately  
12 50% of picodrops were within this range and the majority of the discarded droplets were  
13 smaller than 250 pL ( $D = 78.2 \mu\text{m}$ ). For the nanodrops, the distribution of volumes is smaller  
14 and no droplets were discarded. For each sample, the pico- and nanodrop cumulative spectra  
15 were independently parsed through Eq. (4) using their respective median drop volumes. The  
16 resulting  $c_{\text{IN}}(T)$  represents the number of ice nuclei per volume of liquid present in the sample  
17 at the given temperature.

18 The limit of detection of the entire system was determined by characterizing the ice  
19 nuclei spectra of ultrapure water. Some small fraction of droplets may freeze at temperatures  
20 warmer than the homogeneous limit due to impurities in the water, the squalene, or defects in  
21 the glass slides. Figure 3 shows a collection of nine picodrop (unfilled triangles) and four  
22 nanodrop (unfilled circles) pure water experiments. The droplets that froze in these  
23 experiments at temperatures colder than ~ -36 °C froze at the homogeneous limit with the  
24 spread within each individual experiment's freezing temperatures similar to the uncertainty in  
25 the Langham and Mason (1958) measurements of homogeneous freezing. In this region there  
26 is evidence of experiment-to-experiment variation in the median freezing temperature. We  
27 attribute this variability to imperfect thermal contact between the aluminium dish and the  
28 bottom of the cell that had the thermistor embedded inside it (application of thermally  
29 conductive paste significantly reduced this variability for subsequent experiments not shown  
30 in this article). Figure 1c shows that approximately 10% of the pure water droplets froze  
31 heterogeneously. This heterogeneous tail corresponds to the change in slope in the grey  
32 shaded region shown in Figure 3. Consequently, IN concentrations less than  $10^{-7} \text{ pL}^{-1}$  at  $T = -$

1 20 °C and  $10^{-4}$  pL<sup>-1</sup> at  $T \sim -36$  °C cannot be detected with this particular setup and water  
2 purity. To identify whether the premature freezing is due to impurities in the water or defects  
3 in the glass slide, pure water was filtered through a 200 nm Nuclepore filter (mfg. Whatman).  
4 The material trapped on the filter was then resuspended to produce a 10:1 concentration of  
5 any particles that would be in the ultrapure water. After accounting for the preconcentration  
6 factor, the filtered/resuspended concentrations do not deviate from the reconstructed bulk IN  
7 concentrations derived from the unfiltered droplets in the range of temperatures from  $\sim -20$  to  
8  $-35$  °C. This implies that the droplets froze due to impurities in the water. No premature freeze  
9 events were observed at temperatures warmer than  $\sim -20$  °C within our detection limit, even  
10 when the signal from impurities was amplified through filtration. Thus, freeze events at  $T > \sim$   
11  $-20$  °C can be unambiguously interpreted to stem from ice nuclei added to the sample. Figure  
12 3 also shows that on occasion a heterogeneous signal elevated over the typical impurity level  
13 was observed with the nanodrops method in the temperature range of  $-20$  to  $-30$  °C (red  
14 circles). We believe that the cause for this transient signal is due to defects in the  
15 siliconization of the glass slide. Nonetheless we note that the nanodrop technique can produce  
16 reliable data despite the glass defects in cases where the IN concentration is significantly  
17 larger than the apparent background concentration derived from the pure water experiments.  
18 However, in cases where the actual IN concentration is low and unknown, nanodrops that  
19 froze at temperatures colder than  $-20$  °C were considered unreliable and are not reported here.  
20 We use the solid line in Fig. 3 to represent an estimate of the average concentration of  
21 impurities and the grey shaded area to highlight the experimental variability around that  
22 estimate. This corresponds to the overall limit of detection of this method and the purity of the  
23 materials used in this study.

24 The method to infer IN concentration in the liquid was validated by inverting the  
25 measured raw data for the 0.01 wt% ATD suspension (Fig. 1c and d). Both the pico- and  
26 nanodrop raw freezing spectra were inverted using Eq. (4). Inferred ice nuclei concentrations  
27 per pL of liquid are presented in Fig. 4. There appears to be satisfactory overlap between the  
28 picodrops and nanodrops. Combined, this demonstrates that the method is able to quantify IN  
29 concentrations ranging between  $10^{-8}$  and  $10^{-2}$  pL<sup>-1</sup> of liquid. We note that we assumed a  
30 100% recovery for the filtered/resuspended experiments. This assumption is justified since the  
31 surface area distribution of the bulk ATD sample peaked at  $D > 1$   $\mu\text{m}$  which is significantly  
32 larger than the Nuclepore filter pore diameter of 0.2  $\mu\text{m}$ . It is therefore reasonable to expect  
33 that few IN were discarded with the filtrate in this experiment.

1 The IN number concentration in the liquid was converted to the number fraction of dust  
 2 particles serving as IN in order to further validate the accuracy of the inferred IN spectrum.  
 3 For this conversion, the number to mass ratio of ATD ( $2 \cdot 10^{14}$  particles  $\text{kg}^{-1}$  dust; Wright and  
 4 Petters, 2013) and the mass fraction of dust in the water was used to estimate the average  
 5 number of ATD particles  $\text{pL}^{-1}$  of water. The resulting fractions shown in Fig. 4 (middle  
 6 ordinate axis) suggest that  $\sim 1:10$  particles serves as IN at  $T \sim -36$  °C and  $1:10^4$  at  $T \sim -20$  °C.  
 7 These fractions are consistent with our previous drop-assay measurements and compare  
 8 reasonably well with prior continuous flow diffusion measurements at different temperatures  
 9 (cf. Fig. 8, Wright and Petters, 2013). Finally, the IN concentration in the liquid was converted  
 10 to IN active site (INAS) density:

$$11 \quad INAS = \frac{c_{IN}(T)\rho_{ATD}}{w_{ATD}\rho_{H2O}a_{ATD}}, \quad (5)$$

12 where  $\rho_{H2O} = 997.1$   $\text{kg m}^{-3}$  and  $\rho_{ATD} = 2650$   $\text{kg m}^{-3}$  are the bulk densities of water and ATD,  
 13 respectively,  $w_{ATD} = 10^{-4}$  is the mass fraction of the ATD/water suspension, and  $a_{ATD} = 4.99$   
 14  $\cdot 10^5$   $\text{m}^2 \text{m}^{-3}$  is the specific surface area of ATD provided by the manufacturer. The derived  
 15 INAS densities are graphed along the outer ordinal axis of Fig. 4 and are in the same range as  
 16 the summaries compiled in recent review articles (Hoose and Möhler, 2012; Murray et al.,  
 17 2012). Based on these results we conclude that the methods using picodrops and nanodrops  
 18 can reliably quantify the IN concentrations in liquid solutions.

19 Ambient IN spectra (IN per volume of air) were measured from the SAC solutions on  
 20 seven different days in April of 2013. Summary statistics for the collections are included in  
 21 Table 1. SAC sample cumulative IN concentrations were generated in the same way as the  
 22 ATD spectra, yielding the number of ice nuclei  $\text{pL}^{-1}$  of sample water. As an example, data  
 23 from April 8 is presented in Fig. 5. Ambient IN spectra (defined as the number of IN  $\text{L}^{-1}$  air)  
 24 were obtained using

$$25 \quad IN = \frac{[c_{IN}(T) - fc_{impurities}(T)]V_{SAC}}{Q_s t}, \quad (6)$$

26 where  $c_{impurities}(T)$  denotes the initial concentration of impurities in the SAC water (solid line  
 27 in Fig. 3),  $V_{SAC}$  is the final water volume in the SAC,  $Q_s$  is the sample flow rate through the  
 28 SAC ( $12.5$   $\text{L min}^{-1}$ ),  $f$  is a scaling factor for the impurities due to the water added during SAC  
 29 operations, and  $t$  is the operation time. If no water is added during operation,  $f = 1$ . The

1 scaling factor applied to the SAC data was  $f = 2$  with the exception of data from April 8  
2 which used  $f = 3$  due to its longer collection time necessitating the need for more water to be  
3 added.

### 4 **3. Results**

5 Ice nuclei activity for loblolly pine pollen alongside several other pollen species  
6 measured previously (Diehl et al., 2002; von Blohn et al., 2005; Pummer et al., 2012) is  
7 summarized in Fig. 6. A pollen grain concentration in the suspension of 20 grains  $\mu\text{L}^{-1}$   
8 (assuming all particles were grains) was applied to the IN concentration to estimate the  
9 number of nucleation sites per pollen grain. Notably, pollen grains do not appear to initiate  
10 freezing at temperatures warmer than  $-10\text{ }^\circ\text{C}$  for the range of experimental conditions probed  
11 in current studies. At  $T \sim -10\text{ }^\circ\text{C}$  between 1:1000 and 1:100 grains are able to serve as IN.  
12 Birch pollen grains studied by Pummer et al. (2012) and alder pollen grains are expected to  
13 nucleate ice (approximately one nucleation site per grain) by  $T \sim -18\text{ }^\circ\text{C}$ . Pine pollen  
14 examined in this study has, on average, one nucleation site per grain at  $T \sim -21\text{ }^\circ\text{C}$ . Only 20-  
15 30% of Kentucky blue, Redtop grass, and Lombardy poplar pollen nucleate ice at  $T \sim -25\text{ }^\circ\text{C}$ .  
16 The IN activity of the pine pollen tested is unremarkable and is well within the range of  
17 results obtained from the previous studies of different pollen species presented in Fig. 6.  
18 Although our results are in good agreement with the IN spectra observed in other pollen  
19 species, it is important to note that not all of the particles that induced freezing were  
20 necessarily pollen grains. Bacteria, dust, fragments of the pollen grain itself, or other species  
21 of pollen present on the harvested male strobili could have served as IN in these experiments.  
22 The significant excess of nucleation sites per grain at  $T < -22\text{ }^\circ\text{C}$  suggests that there are IN  
23 active particles among the plant debris. The main result from Fig. 6 is that some pollen  
24 species are sufficiently IN active such that each grain can induce ice formation at  $T \sim -20\text{ }^\circ\text{C}$ .  
25 If one were to assume this to be true for all species and in the absence of any multiplication  
26 process due to bursting, the maximum contribution of pollen to ice nuclei concentrations at  $T$   
27  $\sim -20\text{ }^\circ\text{C}$  is obtained from the pollen grain number concentration in the air.

28 The evolution of ambient pollen grain concentrations and precipitation through the month  
29 of April is presented in Fig. 7. Pollen grain counts were  $0.035\text{ L}^{-1}$  on April 2 and increased to  
30  $\sim 0.3 - 1.7\text{ L}^{-1}$  for most of the month before dropping below  $0.1\text{ L}^{-1}$  again before the end of  
31 the month. The highest pollen grain concentrations observed occurred between April 10 and  
32 14, followed by a slight decline in pollen grain concentrations that continued through the end

1 of April. During the peak of the season most outdoor surfaces were covered by a green slime  
2 formed from dry and wet deposition of pollen. Based on these concentrations, and assuming  
3 no ice nuclei multiplication process, one would expect that the contribution of pollen to  
4 ambient IN concentrations at the time of some of the highest pollen grain concentrations near  
5 the surface would be between 1 and 2 L<sup>-1</sup> of air at  $T = -20$  °C.

6 Significant rain fell on April 4, 12, 19, and 28. Rain was collected from the events on  
7 April 12 and 19. The rain analysed from April 12 originated from a narrow but intense line of  
8 showers that passed through Raleigh ahead of a front around 1:00 PM local time, after a few  
9 showers had passed through the area in the early morning. Rain analysed from events A and B  
10 on April 19 originated from the stratiform precipitation immediately following the passage of  
11 the leading edge of a strong squall line in the early evening (see Fig. 7 and Table 2 for details  
12 of rain water collections). On April 19 the SAC collection preceded the rain event while on  
13 April 12 the SAC collection occurred directly following the shower.

14 Figure 8 shows the temporal progression of ambient IN spectra derived from the SAC  
15 water solutions through the month of April. For the most part, ambient IN concentrations  
16 measured from the SAC solutions varied between  $\sim 1$  L<sup>-1</sup> at  $T \sim -20$  °C and  $\sim 1000$  L<sup>-1</sup> at  $T \sim -$   
17  $33$  °C. IN concentrations of  $\sim 1$  L<sup>-1</sup> at  $T \sim -20$  °C are broadly consistent with a large set of  
18 older data collected in various regions over the continental Northern Hemisphere (see  
19 Pruppacher and Klett, 1997, Figure 9-16 and references therein, pg. 310) as well as with  
20 measurements taken at a remote ground-site in the Amazon rain forest near Manaus, Brazil  
21 (Prezzi et al., 2009). The data are also in reasonable agreement with the IN parameterization  
22 of Fletcher (1962)

$$23 \quad N_{\text{IN}} = A \exp(BT), \quad (7)$$

24 where  $A = 10^{-5}$  L<sup>-1</sup> air and  $B = -0.6$  °C<sup>-1</sup> are empirically determined coefficients,  $T$  is the  
25 supercooling and  $N_{\text{IN}}$  is the number of active nuclei at temperatures below  $T$ . This  
26 parameterization was developed using data from two types of experiments; the first of which  
27 used visual estimation of ice crystal counts in a saturated environment using a slow cooling  
28 rate while the other used visual or aided visual counts of ice crystals at fixed temperatures. We  
29 note that the Fletcher parameterization predicts  $N_{\text{IN}} \sim 1$  L<sup>-1</sup> at  $T = -20$  °C and  $N_{\text{IN}} \sim 650$  L<sup>-1</sup> at  
30  $T = -30$  °C. Most of the ambient IN spectrum shown in Fig. 8 are in excellent agreement with  
31 the parameterization, including the slope of the temperature dependence. Nonetheless, the  
32 shape of the spectra does not always follow a strict exponential increase with decreasing

1 temperature. For example, the spectrum on April 12 shows a sharp increase in  $N_{IN}$  at  $T \sim -12$   
2 °C and approaches  $30 \text{ L}^{-1}$  at  $T \sim -20 \text{ °C}$ , followed by a relatively small increase in IN  
3 concentrations at lower temperatures. This indicates a distinct mode of more active IN that is  
4 not present in the other samples.

5 IN spectra measured in rainwater samples are shown in Fig. 9. IN concentrations varied  
6 between  $10^{-7} \text{ pL}^{-1}$  at  $T \sim -15 \text{ °C}$  (# of IN per pL of rainwater) and  $10^{-2} \text{ pL}^{-1}$  at  $T \sim -38 \text{ °C}$ . For  
7 reference, a  $10 \text{ }\mu\text{m}$  and  $20 \text{ }\mu\text{m}$  diameter cloud drop corresponds to a water volume of  $\sim 0.5$   
8 and  $4 \text{ pL}$ , respectively. We therefore interpret the direct observation of  $10^{-8} \text{ IN pL}^{-1}$  as being  
9 equivalent to  $\sim 1:10^8$  cloud drops containing an IN that is able to induce freezing at  $T = -12$   
10 °C. If one further assumes a cloud droplet number concentration between  $100 \text{ cm}^{-3}$  and  $1000$   
11  $\text{cm}^{-3}$ , it is possible to derive corresponding approximate effective IN concentrations between  
12  $0.001$  and  $0.01 \text{ L}^{-1}$  of air. Although these conversions are highly approximate, the measured  
13 IN concentrations derived from the rainwater measurements are in broad agreement with  
14 those derived from the SAC sampler. Conspicuously, with the exception of the SAC derived  
15 data from April 12, IN concentrations across samples at  $T > -15 \text{ °C}$  did not exceed  $1 \text{ L}^{-1}$ .

16 As demonstrated earlier (Fig. 6), generic pollen grains contain at least one nucleation site  
17 per grain between  $-15$  and  $-25 \text{ °C}$ . Furthermore, the contribution of PBAP to IN  
18 concentrations relative to that of mineral and dust particles is expected to be larger at  $T > -20$   
19 °C. Therefore the main area of interest here is the concentration of IN in the  $-10 < T < -20 \text{ °C}$   
20 range and how it fluctuated with changes in ambient pollen grain concentrations. On six out  
21 of the seven ambient collection days, IN concentrations between  $-10$  and  $-20 \text{ °C}$  ranged  
22 between  $0.01$  and  $10 \text{ L}^{-1}$ . Ambient pollen grain concentrations on these days ranged from as  
23 high as  $1.7 \text{ L}^{-1}$  to as low as  $0.035 \text{ L}^{-1}$ . Only one collection day, April 12, saw IN  
24 concentrations in this temperature range fluctuating between  $\sim 1$  and  $30 \text{ L}^{-1}$ . This day saw the  
25 second highest ambient pollen grain concentration (on a day that SAC sampling took place) at  
26  $1.6 \text{ L}^{-1}$ , and was also the only day on which ambient IN collection took place after measurable  
27 rain fell on the same day. The warmest temperature at which an IN concentration greater than  
28  $1 \text{ L}^{-1}$  of air is observed varies between  $-12$  and  $-21 \text{ °C}$  throughout the season; however there is  
29 no clear correlation between this value and the pollen grain concentration. The estimated IN  
30 in the rainwater samples ranged between  $10^{-7}$  and  $10^{-6} \text{ pL}^{-1}$  of water (or  $\sim 0.01$  to  $0.1 \text{ L}^{-1}$  of  
31 air) in the  $-10 < T < -20 \text{ °C}$  range.



#### 1 **4. Discussion**

2 Using the drop freezing method for quantitative measurements from SAC solutions and  
3 rainwater has several advantages and limitations. The main advantages of the technique are its  
4 ability to infer a complete ice nucleation spectrum (e.g. Fig. 3) with relatively few  
5 experimental runs and its ability to more closely mimic the time-scales and cooling rates that  
6 are typically encountered in the atmosphere. By combining many tens to hundreds of potential  
7 IN particles inside a single droplet the method can effectively detect low IN concentrations  
8 toward the warm end of the spectrum. Furthermore, supermicron size particles can be  
9 collected with the SAC and analysed in the cold-stage freezing assay. The ability to sample  
10 supermicron particles is desirable since particle number concentrations with  $D > 0.5 \mu\text{m}$  have  
11 been shown to correlate with IN (Georgi and Kleinjung, 1968) and are currently used to  
12 parameterize IN in global models (DeMott et al., 2010).

13 Inferring ambient IN concentrations from the SAC solutions and the drop freezing assay  
14 method does come with some key limitations. The aerosol collection efficiency of the SAC  
15 decreases significantly when the particle diameters drop below  $\sim 0.2 \mu\text{m}$  (Willeke et al.,  
16 1998). If there is a significant IN contribution from these smaller particles, the measurements  
17 contained within this study will underestimate IN concentrations. During measurements the  
18 aqueous matrix surrounding the ice nucleus will be identical for each SAC-derived IN  
19 concentration experiment. However, this solution may differ from the composition and  
20 concentrations within the solutions generated within clouds and in rain drops that transport  
21 the IN to the surface. Dissolved compounds found in rainwater solutions, e.g. nitrate and  
22 sulfate salts and/or various organic compounds, could lead to freezing point depression. The  
23 magnitude of the freezing point depression is directly related to the water activity of the  
24 solution (e.g. Koehler et al., 2006). Water activity is approaching unity at the solute  
25 concentrations found during and after cloud droplet activation (Petters et al., 2009) and thus  
26 the total freezing point depression is expected to be small. If concentrated solutions are used  
27 for analysis it may be possible to measure the bulk water activity and apply a water-activity  
28 based model of ice nucleation to correct for this effect (Knopf and Alpert, 2013). Further  
29 changes may occur to the IN pool in the time between sample collection and experimental  
30 measurements. For example, particles may coagulate in solution and obscure (or perhaps  
31 generate) active sites along the particle-particle interface. During measurements particles  
32 inside the drops can migrate to the water/oil interface via Brownian motion or gravitational  
33 settling and induce contact freezing from the inside. Inside-out contact nucleation has been

1 hypothesized as a mechanism whereby the nucleation rate increases through surface  
2 crystallization when the IN moves into contact with the edge of the water droplet (Shaw et al.,  
3 2005; Durant and Shaw, 2005). It is not possible to distinguish between immersion and inside-  
4 out contact freezing modes of ice nucleation within our current experimental set-up when  
5 performing single run measurements. Evidence that this phenomenon could be occurring in  
6 our set-up has been documented in the past with experiments that included repeated freeze-  
7 thaw cycles (Wright and Petters, 2013; Wright et al., 2013). Since particles that induce  
8 freezing by the inside-out contact mode do so at a warmer temperature than identical particles  
9 that induce freezing by the immersion mode, contributions from the contact mechanism may  
10 lead to our results having a slight warm bias in the spectra (Durant and Shaw, 2005).

11 Unambiguously interpreting results from rainwater samples is also difficult. Undoubtedly  
12 the rain drop collects some aerosol on its path through the column. Thus not all IN in the  
13 rainwater contribute to the IN signal at cloud level. In the atmosphere some IN processes may  
14 occur via preactivation of the aerosol (Roberts and Hallet, 1968; Knopf and Koop, 2006). It is  
15 possible that the IN activity of some of the nuclei can be irreversibly lost when the IN is  
16 warmed significantly above zero Celsius. Finally, mapping between IN concentration in the  
17 liquid sample and the fraction of cloud drops that carry an IN requires assumptions about the  
18 average liquid water content of a single cloud. The quantitative application of these data to  
19 cloud ice processes will require careful analysis of these factors.

20 The above data are suitable to use to formulate some general conclusions. Assuming that  
21 each pollen grain in Raleigh serves as an IN would imply that during most sampling days the  
22 IN concentrations at -20 °C could be explained solely by pollen because typical IN  
23 concentrations at -20 °C and pollen grain concentrations were both  $1 \text{ L}^{-1}$  air. However, IN  
24 concentrations at this temperature were  $1 \text{ L}^{-1}$  on April 2 while pollen grain counts were  
25 negligible, and  $\sim 30 \text{ L}^{-1}$  on April 12 when pollen grain counts were  $1.6 \text{ L}^{-1}$ . Furthermore, it is  
26 unclear as to whether each pollen grain in the sample has IN activity that is similar to those of  
27 the species presented in Fig. 6. It is likely that an ambient sample comprising a highly diverse  
28 population of pollen will contain some species that are IN inactive. We therefore believe that  
29 pollen and pollen-derived particles only accounted for a fraction of the observed IN  
30 concentration, with the remainder being controlled by other natural and/or anthropogenic  
31 sources.

1 Pummer et al. (2012) acknowledge that pollen is typically rejected as a significant source  
2 of ambient IN concentrations. Emissions are episodic, concentrations are typically less than 1  
3  $L^{-1}$  and they strongly decrease with height so that only a few grains are entrained in updrafts  
4 that penetrate the mixed-phase cloud regime. However, Pummer et al. (2012) suggest that the  
5 impact of pollen on atmospheric clouds might have been underestimated due to the ejection of  
6 IN active macromolecules from the pollen grain. Our results, restricted to a single ecosystem  
7 with limited variation in meteorological conditions, are inconsistent with this hypothesis. For  
8 pollen or pollen-derived IN to be important in cloud processes they must contribute  
9 significantly to IN number concentrations beyond background levels. At  $T \sim -20$  °C a large  
10 number of observations around the world suggest that IN concentrations range between 0.5  $L^{-1}$   
11 and  $\sim 30 L^{-1}$  (Mossop, 1963; DeMott et al., 2010). Our values measured at the beginning  
12 and end of April, corresponding to before and after the peak pollen season, are well within  
13 that range. At ambient pollen grain concentrations of 1  $L^{-1}$  and anticipating the release of 10s  
14 to 100s of macromolecules per grain, one would estimate maximum IN concentrations of 100-  
15 1000  $L^{-1}$  at  $T \sim -20$  °C. Our measurements show that at the peak of the pollen season near a  
16 source of pollen there appears to be no such increase in IN concentrations. The absence of an  
17 elevated IN signal near a strong source at the peak of the pollen season underscores the fact  
18 that pollen emissions were likely too small to dramatically augment atmospheric IN spectra  
19 during the 2013 pollen season in Raleigh, NC. Similar methods need to be applied over  
20 extended time periods to further validate this finding and to account for the potential influence  
21 of other uncontrolled factors.

22 Some indication for pollen's minimal contribution to IN concentrations also comes from  
23 the April 19 rainwater analyses. The IN in the rainwater samples presumably comprises a  
24 mixture of particles emanating from the cloud drops that coalesced with or accreted onto the  
25 settling hydrometeor and particles collected from the column via Brownian or inertial  
26 scavenging. Ambient IN concentrations estimated from the rainwater data suggest that IN  
27 concentrations at  $T = -20$  °C are 0.05-0.1  $L^{-1}$  air (Fig. 9), approximately one order of  
28 magnitude lower than ambient concentrations at ground level. Given the large uncertainties  
29 due to the assumptions made in the conversion from rainwater to ambient concentrations we  
30 believe that ground based and precipitation-derived ambient IN concentrations are broadly  
31 self-consistent. Despite these uncertainties we believe that the data are sufficiently robust to  
32 exclude the possibility of a large abundance of IN that was present at cloud level or scavenged  
33 from the air column.

1 We note that both the SAC samples and the rainwater samples potentially favour the  
2 extraction of macromolecules from the pollen grains since they are immersed in a bulk liquid.  
3 Thus freshly emitted grains that did not undergo wetting and drying cycles or come in contact  
4 with cloud or rainwater prior to impaction in the SAC would still have the possibility to seed  
5 the SAC solution with copious amounts of IN. We point out that the lower size cut of 0.2  $\mu\text{m}$   
6 of the SAC sampler does not apply to macromolecules leached from the pollen grain in the  
7 SAC solution. Similarly, pollen captured in the rainwater would have been subjected to  
8 atmospheric processing prior to the measurement. Without further information we would  
9 expect that more IN active macromolecules are present in the rainwater and SAC water  
10 relative to macromolecule counts expected from pollen grain bursting in the atmosphere  
11 alone, without the subsequent immersion step into a bulk water phase.

12 We note that our results only imply that within the context of the ecosystem surrounding  
13 this study locale, it is unlikely that an ice nuclei multiplication process enhances the  
14 contributions of whole pollen grains to ice nuclei populations. No inferences can be made  
15 about the importance of pollen ice nuclei (or biological ice nuclei) in either cloud processes or  
16 bioparticle dispersal processes. Specifically, the concentration levels that are needed to  
17 perturb clouds via ice phase processes are unknown and those levels likely depend on cloud  
18 microstructure, cloud lifetime, and cloud temperatures. The ice nucleation activity of pollen  
19 (and bioparticles) may or may not affect their distance travelled and their viability after long-  
20 range transport and cloud processing (Williams, 2013). Most importantly, the pollen or  
21 bioparticle flux must be characterized to fully assess feedbacks between the biosphere and  
22 atmosphere in bio-precipitation processes.

23 The April 12 data show that ice nuclei concentrations increased  $\sim 30$ -fold at  $T \sim -20$   $^{\circ}\text{C}$   
24 relative to background concentrations directly after the precipitation event. These findings are  
25 consistent with previous observations of the precipitation trigger (Huffman et al., 2013;  
26 Prenni et al., 2013). For example, Prenni et al. (2013) observed that IN concentrations were  
27 enhanced by an order of magnitude after rainfall with a concomitant increase in fluorescent  
28 particles. The increase in fluorescent particles combined with DNA analysis suggests that the  
29 enhancement was driven by biological particles (Huffmann et al., 2013). The rainfall-induced  
30 IN burst observed in our study has an onset freezing temperature of  $-12$   $^{\circ}\text{C}$  (Fig. 8) and  
31 concentrations are significantly higher than those observed by Prenni et al. (2013) at  $T = -15$

1 °C (cf. their Table 1). Similarly, Huffman et al. (2013) observed lower IN concentrations ~  
2  $0.6 \text{ L}^{-1}$  at  $-12 \text{ °C}$  for particles in the size range of  $\sim 2$  to  $5 \text{ }\mu\text{m}$ .

3 We argue that the observed onset freezing temperature of  $-12 \text{ °C}$  (Fig. 8) points to a  
4 biological origin of the IN. First, non-biological sources such as mineral dust, black carbon,  
5 and volcanic ash become inefficient IN on a per surface area basis at  $T > -20 \text{ °C}$  (Murray et al.,  
6 2012). Conversely, efficient IN that induce freezing at  $T > -15 \text{ °C}$  are a select species from the  
7 bacteria (Maki et al., 1974), lichen (Kieft, 1988), pollen (Diehl et al., 2002), and fungi  
8 (Richard et al., 1996; Huffmann et al., 2013) groups. Second, the humidity and wind-speed  
9 related mechanisms that lead to bioaerosol emission during rain storms (e.g. Webster et al.,  
10 1984; Pasanen et al., 1991; Paul et al., 2004) are likely applicable in any densely populated  
11 ecosystem. Thus, although we do not have direct measurements of IN composition we believe  
12 that the release of biologically-derived particles (presumably the sum over all sources and not  
13 just pollen) are a plausible explanation for the IN burst observed on April 12.

14 The absolute concentrations of the rain-induced IN burst reported here are significantly  
15 larger than the values reported by Prenni et al. (2013),  $\text{IN} \sim 20 \text{ L}^{-1}$  versus  $0.2 \text{ L}^{-1}$  at  $T = -15$   
16  $\text{ °C}$ . There are several possible explanations for this difference. The continuous flow diffusion  
17 method used in Prenni et al. (2013) requires the use of an impactor that removes particles with  
18 diameters greater than  $1.5 \text{ }\mu\text{m}$ . Many fungal spores and pollen grains exceed this dimension.  
19 The most efficient IN detected by Huffman et al. (2013) were in the  $\sim 2$  to  $5 \text{ }\mu\text{m}$  range and  
20 thus would be undersampled by the continuous flow diffusion method. Since large particles  
21 are effectively sampled by the SAC they may have been detected in this study but missed  
22 during BEACHON-RoMBAS. In addition, both season (spring versus summer) and climate  
23 zone (temperate and humid versus semi-arid) differed. Thus differences in vegetation may  
24 explain the significantly larger release observed in this study. A key implication of the  
25 foregoing argument is the importance of the rain-splash release in the Amazon rain forest.  
26 Previous observations have suggested that IN at  $T > -20 \text{ °C}$  are biological in origin (Prenni et  
27 al., 2009). If the rainfall triggered bursts are larger in rainy climates and underestimated by  
28 continuous flow diffusion methods, then the release of cloud forming particles (Pöschl et al.,  
29 2010; Huffman et al., 2013) may be underestimated.

## 30 **5. Conclusions**

31 Ambient IN spectra were measured using a swirling aerosol collector combined with a  
32 drop freezing assay. Measurements were made approximately twice per week to capture the

1 evolution of the IN spectra through the peak of the 2013 pollen season. No clear correlation  
2 between ambient pollen grain concentrations and ambient IN concentrations was observed.  
3 Ice nuclei spectra for loblolly pine pollen, a dominant source of tree pollen in the region, were  
4 examined and ice nuclei activity was unremarkable and comparable with spectra of other  
5 pollen species reported in previous studies. Based on the known ice nuclei activity of pollen  
6 derived IN, ambient pollen grain concentrations, and the evolution of the IN spectra through  
7 the season, we conclude that ice nuclei multiplication from the bursting of pollen grains is  
8 unlikely a significant source of IN over North Carolina, USA. Episodic emissions, low  
9 number concentrations even at the peak of the season ( $\sim 1 \text{ L}^{-1}$ ), strong vertical gradients, and  
10 relatively cold freezing temperatures for many pollen types ( $T \sim -20 \text{ }^\circ\text{C}$ ), suggest that the  
11 contribution of pollen relative to the background IN signal is small and likely negligible on a  
12 global scale. A serendipitous measurement of an IN spectra on April 12, 2013 provided  
13 evidence for a rain-induced IN burst with peak concentration of  $\sim 30 \text{ L}^{-1}$  at  $T \sim -20 \text{ }^\circ\text{C}$ . We  
14 presume that these particles are biological primarily due to the well-studied release  
15 mechanism of bioaerosol during rain and the warm onset temperature of freezing at  $T \sim -12$   
16  $^\circ\text{C}$ . The magnitude of the IN burst was significantly larger than previously observed,  
17 providing additional evidence to further merit investigation.

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22 freezing method, respectively.

23

## 1 **Appendix A – Particle collection and sampling efficiencies**

2 The inlet piece of the Swirling Aerosol Collector (SAC) includes a 90 degree bend. The  
3 inlet has an inside diameter of 8 mm and it is approximately 1 cm from the entrance to the  
4 beginning of the bend. No other sampling line was added.

5 To assess particle losses in the inlet we calculated the fraction of particles that stay in the  
6 streamlines of the airflow through the bend as a function of particle size. Calculations  
7 assumed a flow rate of  $12.5 \text{ L min}^{-1}$ . This corresponds to a flow velocity of  $4.14 \text{ m s}^{-1}$  and a  
8 Reynolds number of  $\sim 2210$ . The Reynolds number indicates the flow is only marginally  
9 laminar and therefore we report fractional penetration assuming the worst case scenario of  
10 turbulent flow through the bend (Baron and Willike, 2001). Results are shown in Fig. A1 and  
11 demonstrate that particles with  $D > 10 \mu\text{m}$  are expected to impact the inlet wall. This  
12 calculation agrees with previously reported collection efficiencies, which extends up to  $2 \mu\text{m}$   
13 particles (Willeke et al., 1998) and is in close agreement with results performed using the  
14 Particle Loss Calculator software (von der Wieden et al., 2009).

15 Investigated particle size ranges fall into two categories. Particles from burst pollen  
16 grains are in the range of  $\sim 0.2$  to  $5 \mu\text{m}$  (Suphioglu et al., 1992; Taylor et al., 2002) while  
17 whole airborne pollen grains can reach sizes up to  $100 \mu\text{m}$ , with typical sizes ranging between  
18  $30\text{-}70 \mu\text{m}$  for pine tree pollen (Erdtman, 1952; Di-Giovanni et al., 1995). The calculations  
19 show that whole pollen grains will leave the airflow and impact on the wall of the inlet.  
20 Depending on humidity, particle wetness, and hardness, particles will either stick to or bounce  
21 off the wall (Juozaitis et al., 1994; Kannosto et al., 2013). Bounce and blow-off fractions for  
22 ragweed pollen on non-greased impactor stages are 30-60% (Riediker et al., 2000). In the case  
23 of particle bounce, it would be unrealistic for the particles to move against the airflow and  
24 leave the aerosol sampler. Therefore, any particles that do bounce are expected to enter the  
25 instrument for collection.

26 As mentioned in section 2.1 “Experimental Procedures”, the collection vessel needs to be  
27 refilled up to  $\sim 20 \text{ mL}$  every hour. When assembling the components of the sampler, stop cock  
28 grease was employed to seal the joints. To ensure that the grease would not contaminate the  
29 sample water, we chose not to disassemble the instrument to refill the collection vessel.  
30 Instead we sprayed ultra-pure water through the inlet and let the vacuum system pull the  
31 water through the orifices ( $D = 680 \mu\text{m}$ ) and into the collection vessel. This procedure has the

1 added benefit of washing any particles that stuck to the glass wall into the sample water. We  
2 note that this washing was not performed after the last hour of measurement and no  
3 quantitative assessment of the collection efficiency for  $D > 10 \mu\text{m}$  particles was performed.

4 Another potential source of reduced capture efficiency of particles is non-isokinetic  
5 sampling. Acceleration of the flow into the inlet may result in large particles being diverted  
6 from the flow streamlines. However, flow velocities are small ( $\sim 4 \text{ m s}^{-1}$ ), and centre  
7 streamlines are not affected.

8 In summary, the collection efficiency for  $D > 10 \mu\text{m}$  particles is less than 100%, but  
9 likely larger than 50% due to the bounce and blow-off as well as the wash off mechanisms.  
10 Most importantly, the calculations in Fig. A1 demonstrate that pollen fragments suspected to  
11 be responsible for significantly impacting ice nuclei concentrations are small enough to be  
12 sampled with near 100% efficiency. Any enhancement of ice nuclei due to the cytoplasmic  
13 debris that can be separated from the pollen grain as micron- and submicron-sized starch  
14 granules should have been observed with our method. Furthermore, the ice nuclei emitted  
15 from the rain trigger reported previously have  $D < 10 \mu\text{m}$  (Huffman et al., 2013) and are also  
16 effectively sampled with the impinging sampler.



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1 **Tables**

2 **Table 1.** Summary of swirling aerosol collector sampling times.

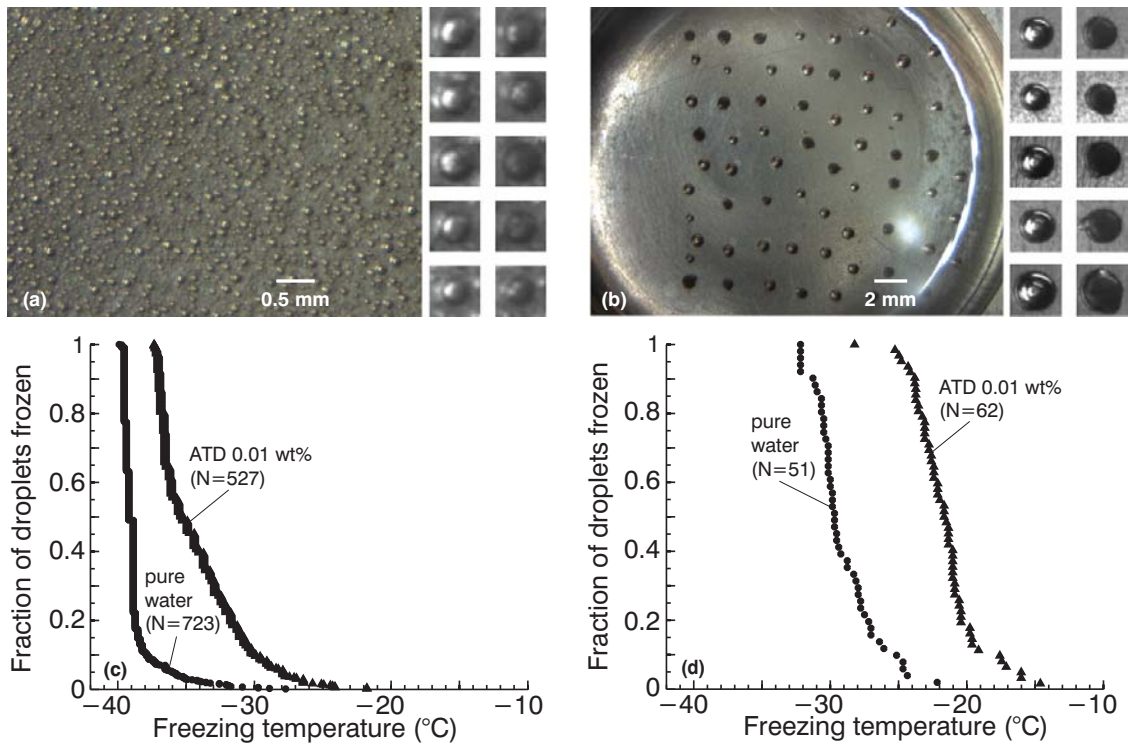
Sample date	Start time	End time	Time elapsed (min)	Volume sampled (m <sup>3</sup> )	Estimated final volume (mL)	Ambient pollen concentration (grains/L air)
April 2	3:04 PM	6:50 PM	216	2.70	13	.04
April 8	11:15 AM	6:46 PM	431	5.39	12	1.10
April 10	3:40 PM	7:30 PM	220	2.75	7	1.71
April 12*	2:46 PM	6:15 PM	193	2.41	10	1.62
April 16	11:39 AM	3:07 PM	199	2.49	13	0.73
April 19*	10:36 AM	2:40 PM	236	2.95	11	1.14
April 25	10:57 AM	3:06 PM	244	3.05	6	0.33

3 \*Pollen counts not available from the N.C. Department of Air Quality for these time periods. Pollen counts from the previous 24-hour time period used  
4 for these days.



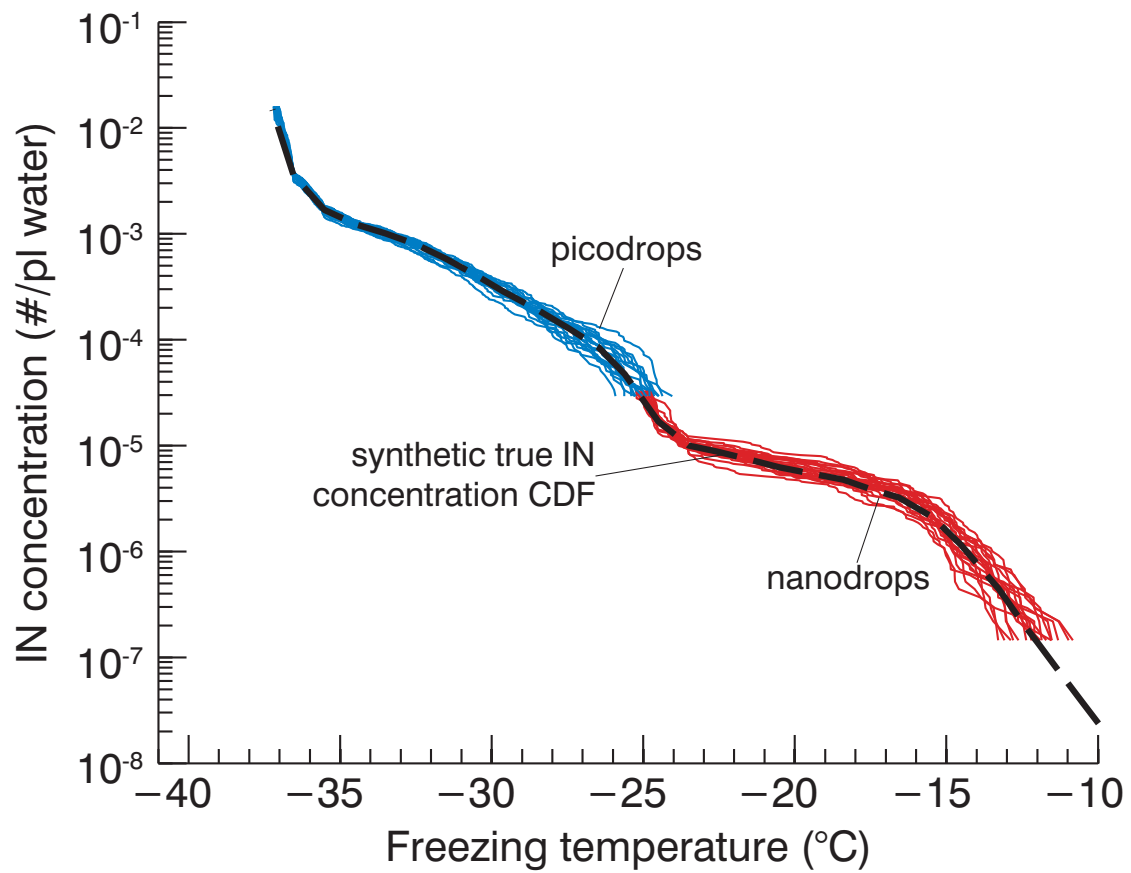
1 **Table 2.** Summary of rain water collection times.

Rain event	Time out	Time in	Volume (mL)
April 12th	12:58 PM	1:25 PM	430
April 19th A	7:04 PM	8:19 PM	250
April 19th B	8:19 PM	9:41 PM	75

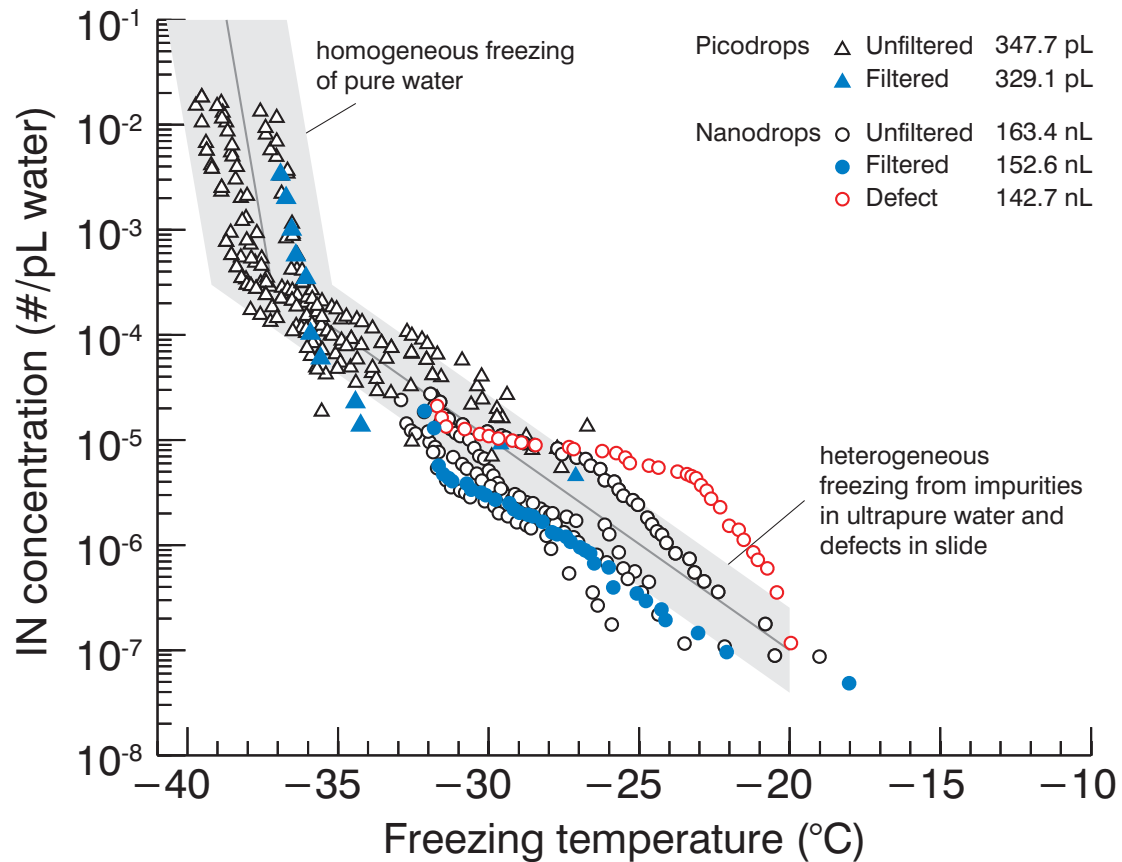


1

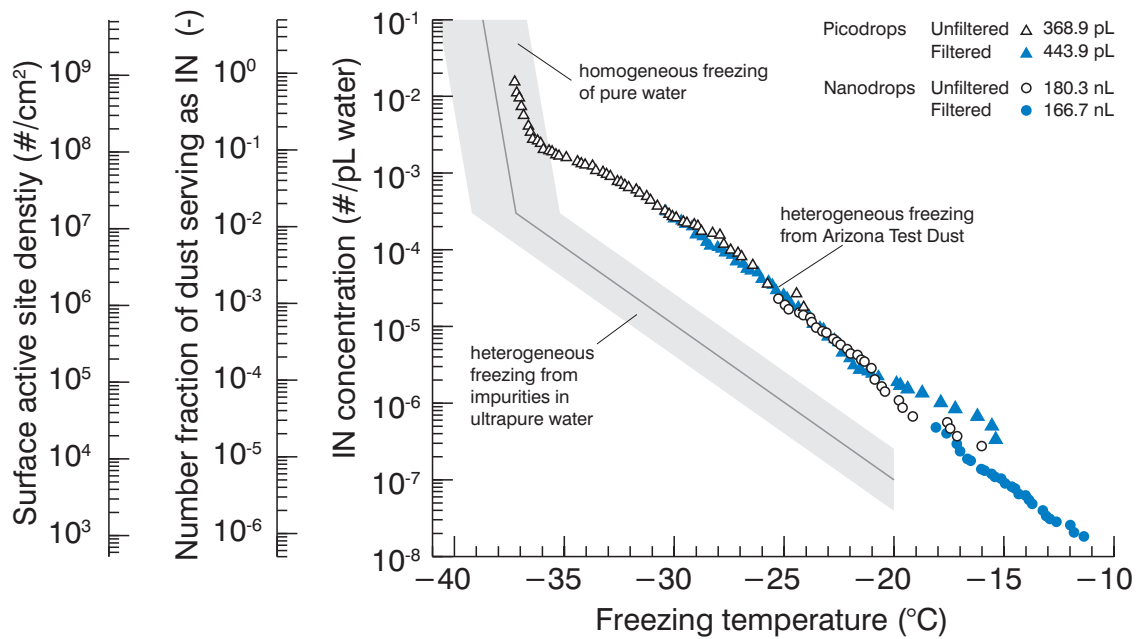
2 **Figure 1.** Panel (a): a section of the field of view for a picodrop experiment. The small  
 3 images to the right depict enlarged examples of individual picodrops prior to freezing (left  
 4 column) and after freezing (right column). Panel (b): field of view recorded for a nanodrop  
 5 experiment, the columns to the right are similar to those in panel (a). Panels (c) and (d):  
 6 fraction of droplets frozen versus temperature for picodrop and nanodrop experiments,  
 7 respectively, of both pure water and a 0.01 wt% suspension of ATD.



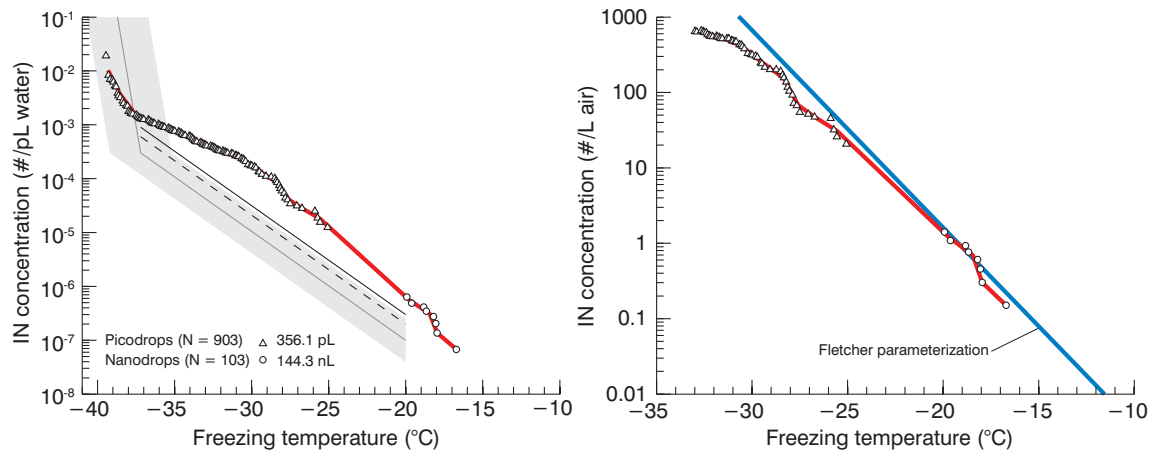
1  
 2 **Figure 2.** Dashed line: synthetic IN concentration used to generate a randomized instance  
 3 mimicking the number and size distribution of picodrop and nanodrop experiments shown in  
 4 Fig. 1c and 1d. Blue and red lines: inverted IN concentrations for a simulated single  
 5 experiment using Eq. (4) for picodrop and nanodrop experiments, respectively.



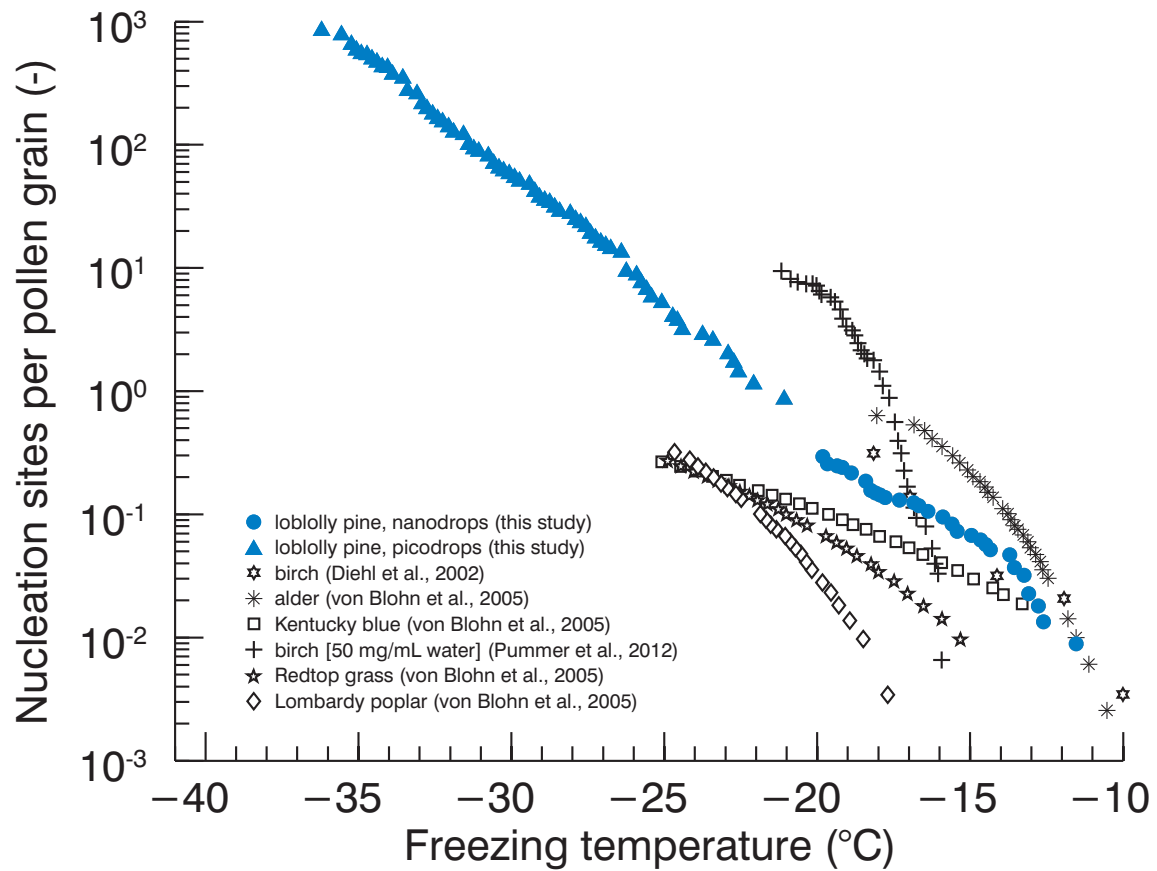
1  
 2 **Figure 3.** Summary of ice nucleation experiments with ultrapure water. Ice nuclei are  
 3 expressed as the number of apparent ice nuclei per picolitre of water. Triangles represent  
 4 picodrop experiments and circles represent nanodrop experiments. Filled symbols indicate  
 5 filtered/resuspended data. Red circles demonstrate transient noise in the nanodrop experiments  
 6 in the -20 to -30 °C range. Indicated in the top right corner is the average median drop volume  
 7 for each class of droplets. The grey shaded area indicates an estimate of the experiment-to-  
 8 experiment variability. The dark grey line corresponds to the average concentration of  
 9 impurities present in the water.



1  
 2 **Figure 4.** Cumulative ice nuclei spectrum for a suspension of 0.01 wt% of ATD in ultra pure  
 3 water. Open and filled symbols correspond to unfiltered and filtered/resuspended experiments,  
 4 and the numbers in the top right are similar to those in Fig. 3. The filtered/resuspended  
 5 experiments correspond to a pre-concentration of ATD of 50:1. The second axis expresses the  
 6 data as number fraction of dust serving as IN based on the dust number to mass ratio. The  
 7 third axis expresses the data as IN active site (INAS) density based on the specific surface  
 8 area and density of dust provided by the manufacturer.

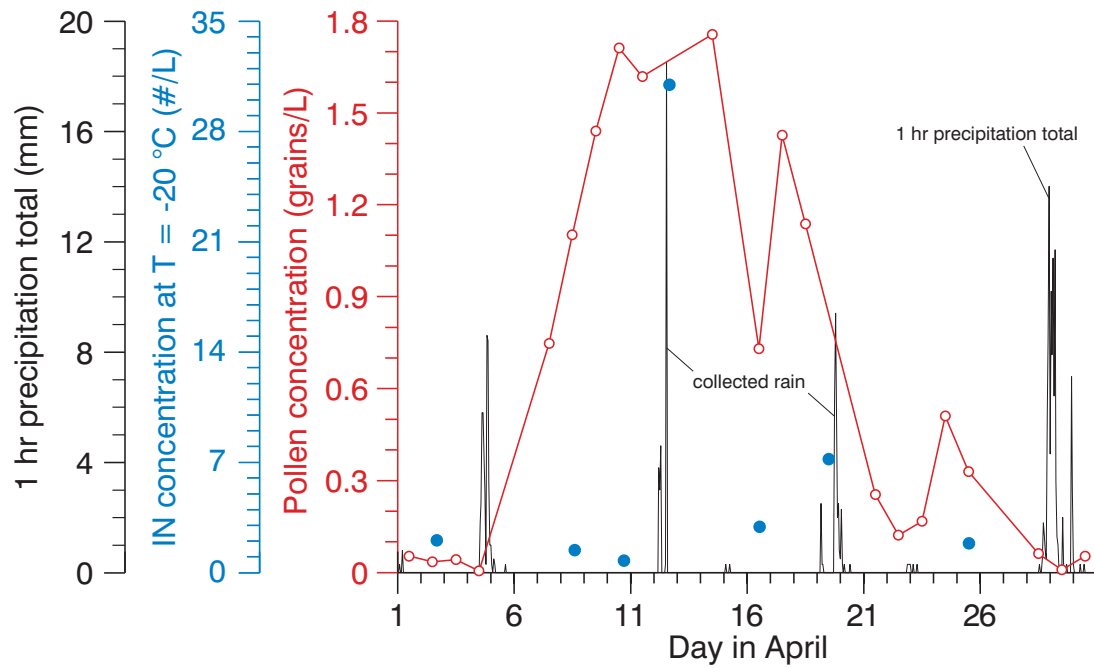


1  
 2 **Figure 5.** Example cumulative ice nuclei spectra from the SAC on April 8. Left: analysed in  
 3 the same manner as shown in Fig. 4. The grey shaded area corresponds to the background  
 4 concentration in the water samples shown in Fig. 3. Due to the addition of pure water to the  
 5 SAC to maintain operation, the noise level was either multiplied by two (dashed line) or three  
 6 (thick solid line) depending on the SAC run time. Right: same data as in the left plot but with  
 7 background concentration (thick solid line in this case) of IN in the ultra-pure water  
 8 subtracted and expressed as IN L<sup>-1</sup> of air. The red line is the one degree average of the IN  
 9 concentration. Overlaid in blue is the Fletcher parameterization using Eq. 7.



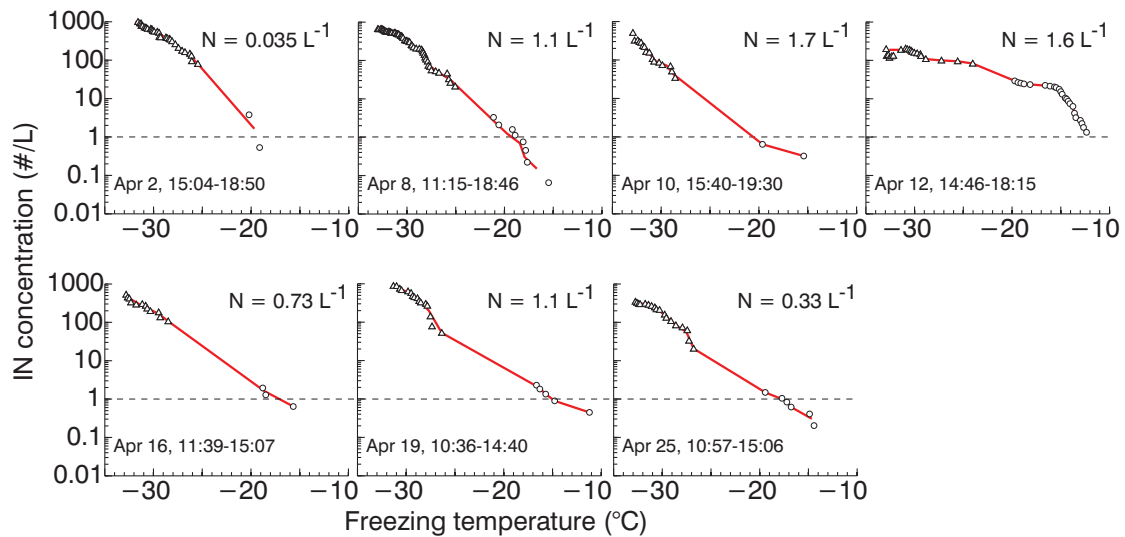
1  
2

3 **Figure 6.** Number of nucleation sites per pollen grain as a function of temperature for various  
 4 pollen types. Data from this study is shaded in blue. All other data were obtained from Fig. 15  
 5 of Murray et al. (2012). References to the original source are provided in the legend.



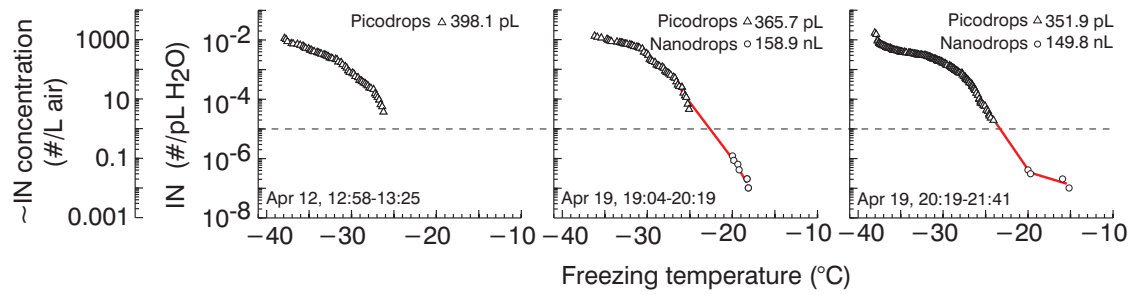
1  
 2 **Figure 7.** Hourly precipitation (black line), 24 hour pollen grain concentrations (open red  
 3 circles), and derived IN concentrations at  $T = -20\text{ }^{\circ}\text{C}$  (filled blue circles) for the month of  
 4 April 2013.





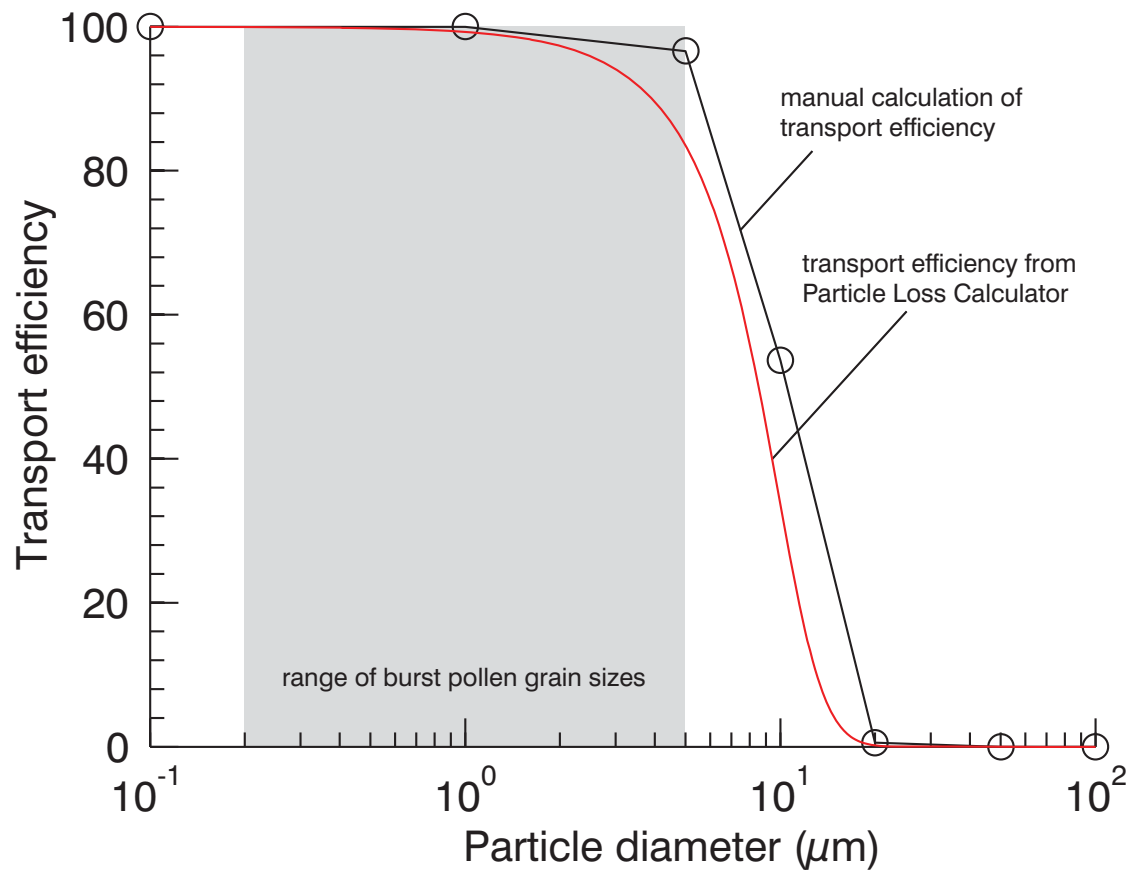
1

2 **Figure 8.** Summary of ambient air ice nuclei data during the April pollen season. Number of  
 3 IN per litre of air is obtained from the SAC. The label in the bottom left of each plot indicates  
 4 the date and time the sample was collected. The “N” value in the top right corner denotes the  
 5 average number of pollen grains per litre of air during the closest 24 h period that N.C.  
 6 Department of Air Quality pollen counts coincided with sample collection. The symbols  
 7 corresponding to picodrops and nanodrops are identical to those in Fig. 3. The red lines are  
 8 the one degree averages of the IN concentrations. The horizontal dashed line corresponds to  
 9 IN concentrations of  $1 \text{ L}^{-1}$  and is added to guide the eye.



1

2 **Figure 9.** The primary y-axis gives the number of ice nuclei per pL of water measured in  
 3 precipitation samples. The secondary y-axis roughly approximates IN concentrations per litre  
 4 of air, assuming that 1 pL  $\sim$  1 cloud droplet and a cloud droplet number concentration of 100  
 5  $\text{cm}^{-3}$ . The label on the bottom left of the plot indicates the date and time during which the  
 6 sample was collected. The horizontal dashed line corresponds to IN concentrations of  $1 \text{ L}^{-1}$   
 7 and is added to guide the eye.



1  
 2 **Figure A1.** Particle transport efficiency as a function of particle size through the inlet of the  
 3 SKC swirling aerosol collector. The black line is the calculated transport efficiency using Eq.  
 4 8-67 from Baron and Willeke (2001). The red line is the transport efficiency found using the  
 5 Particle Loss Calculator (von der Weiden et al., 2009). The shaded grey area is range of  
 6 particle diameters for burst pollen grains (Suphiopglu et al., 1992; Taylor et al., 2002).