

**SWIFT – a fast model
for polar
stratospheric ozone
loss**

M. Rex et al.

**Technical Note: SWIFT – a fast
semi-empirical model for polar
stratospheric ozone loss**

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Abstract

An extremely fast model to estimate the degree of stratospheric ozone depletion during polar winters is described. It is based on a set of coupled differential equations that simulate the seasonal evolution of vortex-averaged hydrogen chloride (HCl), nitric acid (HNO₃), chlorine nitrate (ClONO₂), active forms of chlorine (ClO_x = Cl + ClO + 2 ClOOCl) and ozone (O₃) on isentropic levels within the polar vortices. Terms in these equations account for the chemical and physical processes driving the time rate of change of these species. Eight empirical fit coefficients associated with these terms are derived by iteratively fitting the equations to vortex-averaged satellite-based measurements of HCl, HNO₃ and ClONO₂ and observationally derived ozone loss rates. The system of differential equations is not stiff and can be solved with a time step of one day, allowing many years to be processed per second on a standard PC. The inputs required are the daily fractions of the vortex area covered by polar stratospheric clouds and the fractions of the vortex area exposed to sunlight. The resultant model, SWIFT (Semi-empirical Weighted Iterative Fit Technique), provides a fast yet accurate method to simulate ozone loss rates in polar regions. SWIFT's capabilities are demonstrated by comparing measured and modeled total ozone loss outside of the training period.

1 Introduction

The importance of stratospheric ozone as a climate active gas has long been recognized (e.g. Forster and Shine, 1997; Gauss et al., 2006; Forster et al., 2007). Accounting for the interactions between climate change and ozone in climate models is usually accomplished by interactively coupling a stratospheric chemistry module to a global climate model (GCM): dynamical fields from the GCM provide input to the stratospheric chemistry module at a time step compatible with the GCM. The ozone fields generated by the chemistry module are returned to the GCM, which uses them to calculate the radiative forcing. The radiative forcing induces changes in atmospheric temperatures which in turn influence dynamics, the distribution of

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The model is applicable under a wide range of meteorological and climatic conditions, including future conditions.

To include the chemical mechanisms that are relevant for polar ozone loss the model describes the evolution of four prognostic variables (ClONO_2 , HCl, total HNO_3 , and O_3) and two diagnostic variables (ClO_x and HNO_3 in the gas phase) throughout winter, starting from prescribed initial conditions. All variables are vortex averages of the respective species. A system of coupled differential equations describes the changes of the prognostic variables due to the relevant chemical mechanisms. The diagnostic variables are derived from the prognostic variables at each time step. The model is driven by the daily values of the fractional vortex area that is cold enough to allow the existence of PSCs (Fractional Area of PSCs, FAP) and by the 24 h average of the fraction of the vortex area that is exposed to sunlight (Fractional Area of Sunlight, FAS). Time series of FAP and FAS throughout the winter are derived from ECMWF (European Centre for Medium Range Weather Forecasts) ERA Interim meteorological reanalyses (Dee et al., 2011).

SWIFT is a model of chemical processes that influence polar ozone. It does not explicitly include transport-related changes in ozone, but these changes are implicitly included in the fit parameters, which are fitted on time series of satellite data. Hence, the model should not be used in combination with a model of stratospheric transport in the current version. In this initial version of SWIFT, the model runs are performed on one fixed potential temperature surface close to the altitude where maximum ozone loss occurs. This approach neglects the influence of vertical transport (i.e. slow diabatic subsidence) on the time evolution of the prognostic variables. For ClO_x , ClONO_2 , HCl and HNO_3 the chemically induced rates of change are much larger than the slow changes due to cross-isentropic transport, and the excellent performance of the model (see Sect. 3) justifies this approach. But for ozone, particularly in the Arctic, the rate of change from diabatic subsidence is on the same order of magnitude as the chemically induced ozone change. Therefore, in its current version, SWIFT should only be used to calculate ozone loss rates rather than the absolute abundance of ozone. In the future,

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Equation (2) shows that estimating the vortex-average ozone loss rate requires good estimates of the evolution of ClO_x through the winter. To estimate ClO_x , the model needs to represent the key mechanisms that convert the reservoir species ClONO_2 and HCl into ClO_x and vice versa. Once the concentrations of ClONO_2 and HCl have been determined, the concentration of ClO_x is calculated from:

$$[\text{ClO}_x] = [\text{Cl}_y] - [\text{HCl}] - [\text{ClONO}_2] \quad (3)$$

where $[\text{Cl}_y]$ is the overall amount of inorganic chlorine, which can be assumed to be constant in an individual air mass during any given polar winter.

The evolution of vortex-averaged ClONO_2 and HCl is estimated by:

$$\frac{d[\text{ClONO}_2]}{dt} = B - A - G - H \quad (4)$$

and

$$\frac{d[\text{HCl}]}{dt} = C + F - A \quad (5)$$

where A , B , C , F , G and H represent the effects of chlorine activation and deactivation by the chemical mechanisms described in Sects. 2.1.1 and 2.1.2.

The model equations are summarized in Table 1 and the terms used in the equations are given in Table 2. These terms are described in detail below.

2.1.1 Chlorine activation mechanisms

Term A describes the loss of ClONO_2 and HCl and the production of ClO_x due to the heterogeneous reaction



followed by the photolysis of Cl_2



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Cl₂ photolyses readily at wavelengths longer than those required for the ozone loss process. Hence, at sunrise most Cl₂ that may have formed during night will photolyse before the sun is high enough for efficient ozone loss to occur. During day Cl₂ cannot build up in significant quantities. Therefore, the photolysis step can be ignored in a conceptual model of the ozone loss process. In terms of ozone loss the system of Reactions (R1) and (R2) is equivalent to a system that directly produces ClO_x in the initial heterogeneous Reaction (R1). Unless HCl is very low, the rate of Reaction (R1) depends only on the surface area density of the PSC particles and the concentration of ClONO₂:

$$A = a \cdot [\text{ClONO}_2] \cdot [\text{HNO}_3] \cdot \text{FAP} \quad (6)$$

The factor [HNO₃]·FAP represents the availability of reactive surfaces in a vortex-average bulk sense.

Only when HCl concentrations become very low is the reaction also limited by the uptake rate of HCl on the PSC particles. The rate of Reaction (R1) then also depends on HCl concentrations. For HCl concentrations below 1 % of Cl_y, *A* is defined as:

$$A = a \cdot [\text{HCl}] \cdot [\text{ClONO}_2] \cdot [\text{HNO}_3] \cdot \text{FAP} \quad (7)$$

Term *G* represents the effect of



The efficiency of this process depends on the concentration of ClONO₂ and the availability of sunlight:

$$G = g \cdot [\text{ClONO}_2] \cdot \text{FAS} \quad (8)$$

During winter this is a minor loss channel for ClONO₂, but in spring it controls the repartitioning between ClONO₂ and HCl in the Arctic. With the exception of the last few

weeks in Arctic winters, the model would do well without this term, and it is not very important for the calculated ozone loss.

Term H accounts for the effect of the heterogeneous reaction



5 followed by photolysis of HOCl



Reaction (R5) is rapid during daytime. For the purpose of the conceptual model it can be included in Reaction (R4) based on the same arguments that have been discussed for the Cl_2 photolysis in term A . Reaction (R4) is efficient only at temperatures well below the PSC formation temperature threshold. If FAP is large, temperatures in the core part of the cold region will typically be well below the PSC formation temperature threshold so that term H starts to become relevant. Hence this mechanism is assumed to start being efficient only if FAP exceeds a certain threshold, which is represented by the parameter y :

$$15 \quad H = h \cdot [\text{ClONO}_2] \cdot \max((\text{FAP} - y), 0) \quad (9)$$

Equation (9) uses only the fraction of FAP that exceeds the threshold of y , assuming that only in the central region of large PSC areas temperatures will be sufficiently low to make Reaction (R4) efficient.

2.1.2 Chlorine deactivation mechanisms

20 Term B describes the chlorine deactivation by formation of ClONO_2 , which results from the photolysis of HNO_3 followed by the fast reaction of NO_2 with ClO :



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Unless ClO concentrations are very low, Reaction (R7) is fast and can be ignored in the conceptual model:

$$B = b \cdot [\text{HNO}_3]_{\text{g}} \cdot \text{FAS} \quad (10)$$

i.e. ClONO₂ is assumed to directly form from Reaction (R6). [HNO₃]_g denotes the mixing ratio of HNO₃ in the gas phase.

If ClO_x, and hence ClO, are very low, Reaction (R7) also limits the production of ClONO₂. For ClO_x less than 5% of Cl_y

$$B = b \cdot [\text{ClO}_x] \cdot [\text{HNO}_3]_{\text{g}} \cdot \text{FAS} \quad (11)$$

is used.

Term *C* represents the effect of



This reaction is responsible for deactivation under ozone hole conditions. Once ozone concentrations become very low, the reaction



becomes less efficient and the ratio of Cl over ClO increases with 1/[O₃]. Since ClO_x is mainly in the form of ClOOCl during nighttime, Reaction (R8) can only occur during daytime. Hence its efficiency depends on [ClO_x], FAS and 1/[O₃]:

$$C = c \cdot [\text{ClO}_x] \cdot \text{FAS} / [\text{O}_3] \quad (12)$$

Term *F* represents the net effect of the ≈ 8% channel of the reaction of ClO with OH, which results in HCl formation:



This reaction helps HCl reformation in both hemispheres, but only in late winter since both $[\text{ClO}]/[\text{ClO}_x]$ and OH scale with the availability of sunlight. The effect of Reaction (R10) is described by

$$F = f \cdot [\text{ClO}_x] \cdot \text{FAS}^2 \quad (13)$$

5 Since significant dehydration occurs in the Antarctic, the late winter abundance of total water is reduced to about 25 % of the levels commonly found in the Arctic, also reducing the concentrations of OH by the same factor. Therefore an additional scaling factor of 0.25 is used in the term F for the Antarctic:

$$F = 0.25f \cdot [\text{ClO}_x] \cdot \text{FAS}^2 \quad (14)$$

10 2.1.3 Sequestration and irreversible removal of HNO_3

The processes described above regulate the balance between ClO_x and the reservoir gases $\text{HCl} + \text{ClONO}_2$. Other than FAP and FAS, the only remaining inputs required to solve the model equations are total and gas-phase HNO_3 (c.f. Terms A and B in Sects. 2.1.1 and 2.1.2).

15 Because of strong denoxification during polar winter (heterogeneous conversion of NO_x into HNO_3 on cold background aerosol, where NO_x denotes the sum of all short-lived nitrogen oxides), N_2O , HNO_3 and ClONO_2 are the only nitrogen oxide species that exist at significant abundances at that time. Under polar winter conditions N_2O is inert, and the abundance of ClONO_2 is about an order of magnitude smaller than that
20 of HNO_3 . Hence, the total abundance of HNO_3 is not altered much by chemistry during polar winter.

The only process that significantly changes total HNO_3 in the model is denitrification, i.e. the irreversible removal of HNO_3 due to sedimentation of HNO_3 -containing particles. Denitrification only occurs if either individual PSC particles persist sufficiently
25 long (many days) to grow to sizes of a few micrometers, or if temperatures fall so low that water ice can accumulate on the PSC particles, which lets them grow rapidly.

Both processes require large values of FAP: the slow growth of particles can only occur if air mass trajectories exist that stay within the potential PSC region for many days, requiring large PSC areas. Furthermore, when ice temperatures are reached, conditions are very cold and PSC areas are typically very large. Hence, in the model, the denitrification process is only triggered if the threshold value y for FAP is exceeded:

$$\frac{d[\text{HNO}_3]}{dt} = -E \quad (15)$$

with

$$E = e \cdot [\text{HNO}_3] \cdot \max((\text{FAP} - y), 0) \quad (16)$$

The fraction of HNO_3 in the gas phase is calculated from the total HNO_3 abundance at each time step by:

$$[\text{HNO}_3]_g = [\text{HNO}_3] \cdot (1 - \text{FAP}) + z \cdot [\text{HNO}_3] \cdot \text{FAP} \quad (17)$$

The first term represents the gas-phase HNO_3 outside of the area where temperatures are below the PSC formation threshold (here gas phase equals total), and the second term includes the average fraction z of HNO_3 still in the gas phase in areas where temperatures are below the PSC threshold. The latter includes the fact that PSCs will only form in parts of the region where they are thermodynamically stable, due to the nucleation barrier (Pitts et al., 2007).

2.2 Initialization

The prognostic variables are initialized at the beginning of the winter (1 December in the Arctic and 20 May in the Antarctic). Since the model is conceptual at this stage, the abundances of the chemical species are normalized, and the initial concentrations for

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the three different families are set to unity:

$$[\text{O}_3](t = 0) = 1 \quad (18)$$

$$[\text{HNO}_3](t = 0) = 1 \quad (19)$$

$$[\text{Cl}_y](t = 0) = 1 \quad (20)$$

The partitioning of Cl_y between HCl and ClONO_2 is taken from Fig. 1 of Harris et al. (2010), which is based on an analysis by Santee et al. (2008) of data from the Microwave Limb Sounder (MLS, Livesey et al., 2011) on the Aura satellite and data from the Fourier Transform Spectrometer, which is part of the Atmospheric Chemistry Experiment (ACE-FTS, Bernath et al., 2005):

$$[\text{HCl}](t = 0) = 0.65[\text{Cl}_y] \quad (21)$$

$$[\text{ClONO}_2](t = 0) = 0.35[\text{Cl}_y] \quad (22)$$

For long-term studies, the secular variation of $[\text{Cl}_y]$ can also be set to represent the long-term evolution of Equivalent Effective Stratospheric Chlorine (EESC; e.g. Newman et al., 2007), an estimate of the total effective amount of halogens in the stratosphere. To compare the normalized model abundances with observations of vortex-averaged mixing ratios, the following scaling factors have been used:

$$F_{\text{Cl}_y} = 2.7 \times 10^{-9} \quad (23)$$

$$F_{\text{HNO}_3} = 10.6 \times 10^{-9} \quad (24)$$

$$F_{d\text{O}_3/dt} = 42.7 \times 10^{-9} \text{ day}^{-1} \quad (25)$$

These factors were determined by dividing the averaged normalized model results by vortex averaged observations from Match (for $d[\text{O}_3]/dt$), MLS (for $[\text{HCl}]$ and $[\text{HNO}_3]$) and ACE-FTS (for $[\text{ClONO}_2]$). Match is an approach to derive chemical ozone loss rates from coordinated ozonesonde observations (e.g. Rex et al., 1998). $[\text{Cl}_y]$ has been determined from the sum of $[\text{HCl}]$ and $[\text{ClONO}_2]$ at the beginning of the winter.

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The interannual variability of ozone loss is not used to train the model and can be used to validate the ability of the model to reproduce ozone loss under a wide range of meteorological conditions.

To train SWIFT, the model parameters are fitted to minimize a cost function, which is defined as the sum of the absolute values of the differences between all observations (divided by the scale factors) and the corresponding model values. For the ozone loss rates, the individual differences were weighted by the reciprocal of the uncertainty of the respective observation. For HCl and ClONO₂, the individual uncertainties of the vortex-averaged observations are assumed to be equal for all dates.

Calculating the differences from the normalized data ensures that the same weights are used for all chemical species, independent of their absolute abundance. But since ozone loss rates are two orders of magnitude smaller than the normalized abundances of the chemical species (ozone loss rates are on the order of % per day), their differences were multiplied by 50 to give them a weight in the cost function that is comparable to that of the chemical species. In addition, the ozone loss rates of the model have been averaged over 14 days to match the time resolution of the Match ozone loss rate observations.

The average differences are calculated individually for all species, and these averages are summed to give the cost function, weighted by the reciprocal of the average uncertainty of the measurements of that species. This results in identical weights for all species even if the number of available measurements is different.

The numerical algorithm described in Huck et al. (2013) has been used to solve the optimization problem. The set of parameters determined by the optimization procedure is listed in Table 3. To limit the degrees of freedom in the fitting procedure, the “PSC threshold” parameter γ has not been fitted but is estimated from Fig. 2.13 of WMO (2011).

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determined from laboratory measurements. However, in SWIFT these parameters are trained on observations of the atmosphere, rather than on observations in the laboratory. It is important to note that these empirical parameters are intrinsic physical properties of the involved molecules and mechanisms, and do not change with changing climate conditions. Hence SWIFT will still give a good representation of the chemical conditions inside the polar vortices in a changing climate, unless the meteorological conditions become so drastically different from the range of present-day conditions that currently unimportant mechanisms become relevant. However, climate models do not suggest that such dramatic climate change will occur during the next century or so.

SWIFT includes all major feedbacks between climate change and polar ozone loss. However, in its current version SWIFT does not include all potential feedbacks, e.g., changes in stratospheric age of air or changes of NO_y or total sulfate are currently not accounted for, but many of these can be included in future versions of the model.

The semi-empirical model described here can also be used for the ozone loss in individual air masses as a semi-empirical box model. The parameters FAP and FAS would then be defined to give the fractional time the individual air mass spends in PSC conditions or in sunlight, respectively, and a different set of fitting parameters has to be determined, e.g., by using a box model with full chemistry for the training of the semi-empirical model.

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Table 1. List of equations used in SWIFT.

Prognostic equations
$d[\text{O}_3]/dt = -D$
$d[\text{ClONO}_2]/dt = B - A - G - H$
$d[\text{HCl}]/dt = C + F - A$
$d[\text{HNO}_3]/dt = -E$
Diagnostic equations
$[\text{ClO}_x] = [\text{Cl}_y] - [\text{HCl}] - [\text{ClONO}_2]$
$[\text{HNO}_3]_g = [\text{HNO}_3] \cdot (1 - \text{FAP}) + z \cdot [\text{HNO}_3] \cdot \text{FAP}$

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Table 2. List of the terms used in the differential equations.

Term	Expression	Remark
<i>A</i>	$a \cdot [\text{ClONO}_2] \cdot [\text{HNO}_3] \cdot \text{FAP}$ $a \cdot [\text{HCl}] \cdot [\text{ClONO}_2] \cdot [\text{HNO}_3] \cdot \text{FAP}$	for $[\text{HCl}] > 1\%$ of Cl_y for $[\text{HCl}] < 1\%$ of Cl_y
<i>B</i>	$b \cdot [\text{HNO}_3]_g \cdot \text{FAS}$ $b \cdot [\text{ClO}_x] \cdot [\text{HNO}_3]_g \cdot \text{FAS}$	for $[\text{ClO}_x] > 5\%$ of Cl_y for $[\text{ClO}_x] < 5\%$ of Cl_y
<i>C</i>	$c \cdot [\text{ClO}_x] \cdot \text{FAS} / [\text{O}_3]$	
<i>D</i>	$d \cdot [\text{ClO}_x] \cdot \text{FAS}$	
<i>E</i>	$e \cdot [\text{HNO}_3] \cdot \max((\text{FAP} - y), 0)$	
<i>F</i>	$f \cdot [\text{ClO}_x] \cdot \text{FAS}^2$ $0.25f \cdot [\text{ClO}_x] \cdot \text{FAS}^2$	Arctic Antarctic
<i>G</i>	$g \cdot [\text{ClONO}_2] \cdot \text{FAS}$	
<i>H</i>	$h \cdot [\text{ClONO}_2] \cdot \max((\text{FAP} - y), 0)$	

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Table 3. List of parameters.

Parameter	Value
<i>a</i>	0.135292
<i>b</i>	0.437986
<i>c</i>	$1.578285 \cdot 10^{-3}$
<i>d</i>	$4.079051 \cdot 10^{-2}$
<i>e</i>	0.022993
<i>f</i>	0.209567
<i>g</i>	0.508703
<i>h</i>	0.455830
<i>y</i>	0.250000
<i>z</i>	0.579925

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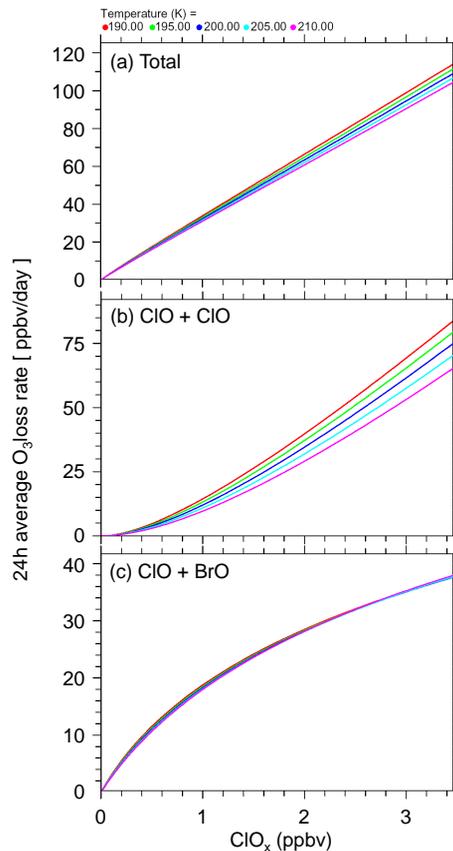


Fig. 1. 24 h ozone loss as a function of ClO_x mixing ratios. **(a)** Total, **(b)** by the ClO + ClO catalytic cycle, and **(c)** by the ClO + BrO catalytic cycle.

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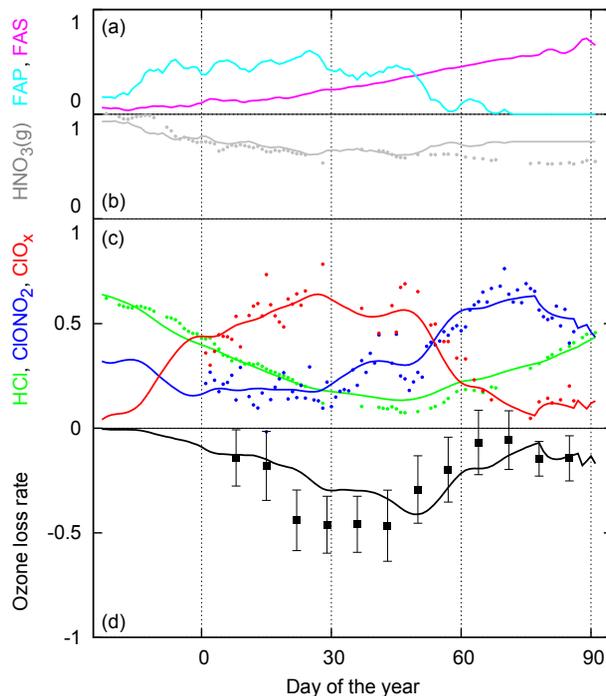


Fig. 2. Overview of SWIFT results and observations for the Arctic winter 2004/2005. All data are shown in normalized units (more details see text). **(a)** FAP (cyan) and FAS (magenta). Lines in **(b)–(d)** represent SWIFT results, dots represent vortex-averaged observations. **(b)** HNO_3 (gas phase, gray, observations from Aura MLS). **(c)** HCl (green, observations from Aura MLS), ClONO_2 (blue, observations from ACE-FTS), and ClO_x (red, “observed” ClO_x is derived by using a constant Cl_y minus the HCl and ClONO_2 observations). **(d)** Ozone loss rates (observations from Match). The loss rates of normalized ozone have been multiplied by 50 to facilitate plotting on the same scale. Error bars represent 1σ statistical uncertainties.

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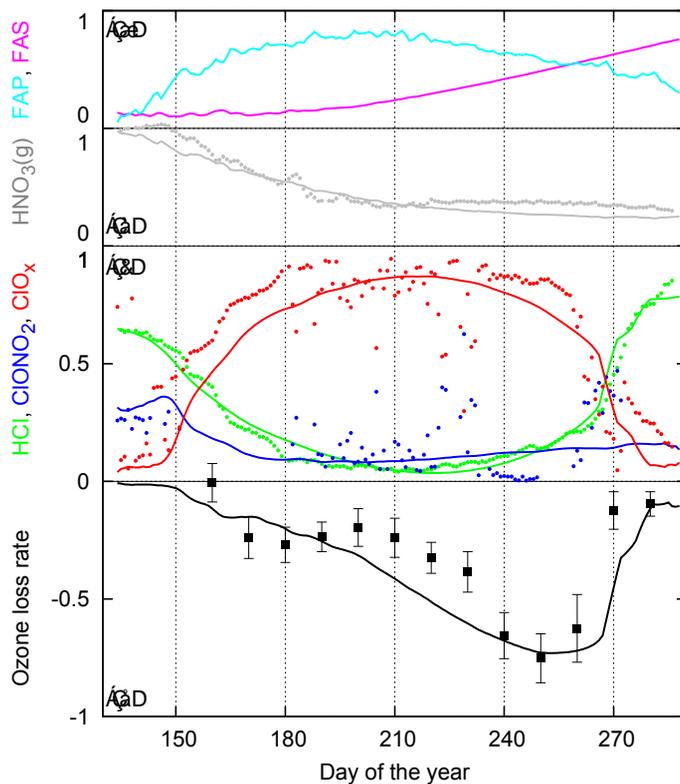


Fig. 3. As Fig. 2, but for the Antarctic winter 2006.

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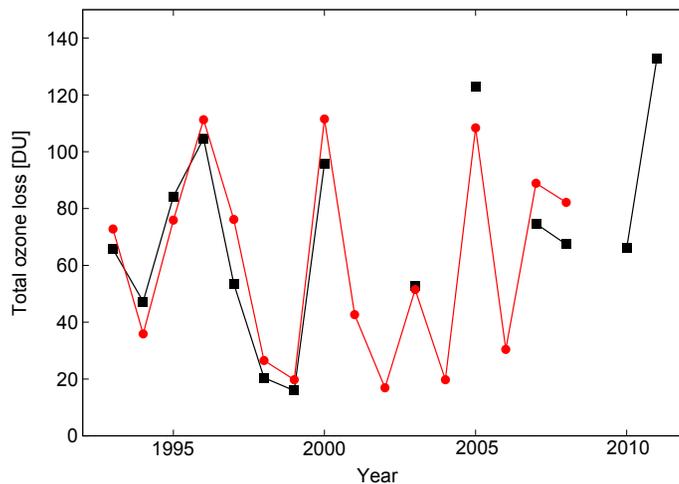


Fig. 4. Year-to-year variability in total chemical ozone loss in the Arctic estimated from SWIFT (red) compared with observations (black; Rex et al., 2006).

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