

Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

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# Role of the residual layer and large-scale subsidence on the development and evolution of the convective boundary layer

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Abstract

Observations, mixed-layer theory and the Dutch Large-Eddy Simulation model (DALES) are used to analyze the dynamics of the boundary layer during an intensive operational period (1 July 2011) of the Boundary Layer Late Afternoon and Sunset  
5 Turbulence campaign. Continuous measurements made by remote sensing and in situ instruments in combination with radio soundings, and measurements done by remotely piloted airplane systems and two aircrafts probed the vertical structure and the temporal evolution of the boundary layer during the campaign. The initial vertical profiles of potential temperature, specific humidity and wind, and the temporal evolution of the  
10 surface heat and moisture fluxes prescribed in the numerical simulations are inspired by some of these observations.

The research focuses on the role played by the residual layer during the morning transition and by the large-scale subsidence on the evolution of the boundary layer. By using DALES, we show the importance of the dynamics of the boundary layer during  
15 the previous night in the development of the boundary layer at the morning. DALES numerical experiments including the residual layer are capable to model the observed sudden increase of the boundary-layer depth during the morning transition and the subsequent evolution of the boundary layer. The simulation shows a large increase of the entrainment buoyancy heat flux when the residual layer is incorporated into the mixed layer. We also examine how the inclusion of the residual layer above a shallow convective boundary layer modifies the turbulent kinetic energy budget.

Large-scale subsidence mainly acts when the boundary layer is fully developed and, for the studied day, it is necessary to be considered to reproduce the afternoon observations.

25 Additionally, we investigate how carbon dioxide ( $\text{CO}_2$ ) mixing ratio stored the previous night in the residual layer plays a fundamental role in the evolution of the  $\text{CO}_2$  mixing ratio during the following day.

ACPD

13, 31527–31562, 2013

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

# 1 Introduction

The atmospheric boundary layer, characterized by a clear diurnal cycle, has been intensively studied since the 70's. During the day with fair weather conditions a convective boundary layer (CBL) exists. The processes associated to the CBL development have been extensively studied. Sorbjan (1996), Sullivan et al. (1998) and Conzemius and Fedorovich (2006) studied the role of the entrainment processes, Moeng and Sullivan (1994), Fedorovich et al. (2001), Fedorovich et al. (2001), Pino et al. (2003), Pino et al. (2006a) and Pino and Vilà-Guerau de Arellano (2008) the contribution of shear in the generation and maintenance of CBL. Moreover, Yi et al. (2001), de Arellano et al. (2004), Casso-Torralba et al. (2008) and Vilà-Guerau de Arellano et al. (2009) studied the influence of CBL evolution on the carbon dioxide (CO<sub>2</sub>) or isoprenes budget.

Several methodologies have been used to study the CBL: Large-Eddy Simulation numerical experiments (Moeng, 1984; Nieuwstadt and Brost, 1986; Cuijpers and Duynkerke, 1993; Sorbjan, 2007), mixed-layer model (MLM) (Tennekes, 1973; Tennekes and Driedonks, 1981; Fedorovich, 1995; Pino et al., 2006a), observations (Kaimal et al., 1976; Angevine et al., 1994; Cohn and Angevine, 2000) or laboratory experiments (Deardorff et al., 1980; Fedorovich et al., 1996).

During the night, a shallower stable boundary layer (SBL) with less turbulence intensity exists near the surface (Nieuwstadt, 1984; Carlson and Stull, 1986; Mahrt, 1998; Beare et al., 2006). Between this layer and the free atmosphere (FA), there may exist a neutrally stratified layer resulting from the decay of turbulence of the previous day CBL. This layer, called the residual layer (RL), appears before sunset, when eddies have less energy due to the reduction of surface fluxes. The RL has the same characteristics in the state variables as in the original CBL (Stull, 1988). The importance and the role of the RL was studied by some authors (Balsley et al., 2007; Wehner et al., 2010) who examined turbulence in the RL by analyzing the Richardson number gradient or to explain aerosol formation. Emeis and Schäfer (2006) by using different

ACPD

13, 31527–31562, 2013

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

instruments (e.g. sodar and ceilometer) measured and studied the heights of RL, CBL and SBL and their influence on urban air quality and pollution.

The evolution from CBL to SBL and vice versa happens through two transitional processes. These two periods are difficult to study due to their rapid variability. The afternoon transition has been studied by using observations or/and numerical simulations (Sorbjan, 1997; Cole and Fernando, 1998; Edwards et al., 2006; Pino et al., 2006a; Angevine, 2007; Nadeau et al., 2011). However, there are still many unknowns during this period: the presence of significant vertical movements in late afternoon, which appear even with very small surface heat flux, the influence of boundary layer processes in the turbulence decay, or what are the processes that govern the decrease of the boundary-layer depth (Lothon et al., 2012).

Regarding the morning transition, Angevine et al. (2001), Lapworth (2006), Bange et al. (2006) and Angevine (2007) investigated by using observations the timing and importance of entrainment and surface winds in the development of CBL. LeMone et al. (2002) analyzed data recorded during CASES-97 to study the warming and moistening of the atmosphere due to boundary-layer depth, wind direction, and surface heterogeneity during this period. Other authors (Sorbjan, 1996; Beare, 2008) analyzed the morning transition by using numerical models, such as Large-Eddy Simulation model (LES), to study the relevance of different temperature lapse rate or the importance of domain sizes and grid length or by using MLM to study the impact of the atmospheric boundary layer dynamics on the atmospheric chemistry (Ouwensloot et al., 2012).

Some aspects about the relevance of the RL during the morning transition have been studied by Fochesatto et al. (2001) and Gibert et al. (2011), who analyzed the dynamical coupling between the CBL and the RL by using lidar measurements. They observed the generation of internal gravity waves when there is an stable and stratified RL or when there is a thermal forcing. They concluded that horizontal wind shear is not enough to observe internal gravity waves. Other authors (Stensrud, 1993; Balin et al., 2004) focused their research on the elevated RL which is created when a CBL over an elevated terrain is advected over a lower CBL. Moreover, Han et al. (2011) studied the

**Role of the RL and subsidence on the development and evolution of the CBL**

E. Blay-Carreras et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

⏪ ⏩

◀ ▶

Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



evolution of the CBL when it is covered by a neutral layer after the morning transition. Finally, Doran et al. (2003) and Morris et al. (2010) examined the vertical mixing of different chemical compounds, such as ozone, nitrogen oxide or carbon monoxide during the morning transition.

Here the role of the RL during the morning transition and the role of subsidence during the whole evolution of the convective boundary layer is studied by using observations, mixed-layer theory (Tennekes and Driedonks, 1981) and the Dutch Large-Eddy Simulation model (DALES, Heus et al., 2010). In contrast with previous studies, by performing a sensitivity analysis on the residual layer and subsidence characteristics, we analyze the importance of these processes on the diurnal evolution of the convective boundary layer. Specifically, our research objectives can be summarized as follows:

1. To study the variations in the evolution of the boundary-layer depth due to the presence of RL and subsidence.
2. To analyze the relevance of considering the characteristics of the previous night in the potential temperature vertical profile and temporal evolution.
3. To observe the sensitivity of turbulent kinetic energy budget during morning transition and the evolution during the day due to RL.
4. To define the influence of RL on the observed evolution of the CO<sub>2</sub> mixing ratio.

We take profit of the observations taken during an intensive observational campaign of the project Boundary-Layer Late Afternoon and Sunset Turbulence (BLLAST, Lothon et al., 2012). During intensive operational periods (IOPs), more than 30 different instruments provided in situ (9 eddy covariance (EC) stations, towers, balloons, remote piloted aircraft systems and manned airplanes) and remote sensing (LIDAR, wind profiler) measurements.

The paper is structured as follows. In Sect. 2, we explain the main characteristics of the field campaign and the instruments selected for this study. Moreover, the numerical setup used in the models is also described in this section. Section 3 shows the

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

and a mixed-layer model (MLM, Tennekes and Driedonks, 1981). Both models were initialized and driven by observations. Specifically, for all the numerical experiments performed with both models, the same evolution of the surface sensible and latent heat fluxes was prescribed based on the average of the observed fluxes recorded by EC instruments over different land uses.

The domain chosen for the DALES simulations has  $12.8 \times 12.8 \times 3 \text{ km}^3$ , and 256 points are defined in each direction. This setup has a similar horizontal domain to the campaign site, having also enough vertical resolution to study entrainment processes. Our DALES numerical experiments during 12.5 h starting at 07:30 UTC, to include the morning transition in the simulation.

To analyze the role played by the RL in the morning evolution of the convective boundary layer, two different vertical profiles of potential temperature ( $\theta$ ) and specific humidity ( $q$ ) are considered to initialize DALES. To include the residual layer in DALES (RL numerical experiments), we initialize it by following the observations taken by the radio sounding launched at 07:30 UTC. Figure 1 shows the vertical profile of  $\theta$  and  $q$  observed at 07:30 UTC and the prescribed vertical profiles used for initializing DALES RL and no-RL numerical experiments.

Table 1 shows the values of the potential temperature, specific humidity and horizontal wind components that define the initial profiles of the DALES and MLM numerical experiments. For the RL numerical experiments, the initial vertical profiles of  $\theta$  and  $q$  are divided in three different layers: CBL from surface to  $z_{1,0}$ , RL from  $z_{1,0}$  to  $z_{\text{RL},0}$ , and FA above  $z_{\text{RL},0}$ . The potential temperature (specific humidity) in the CBL and in the RL are, respectively,  $\theta_{1,0}$  ( $q_{1,0}$ ) and  $\theta_{\text{RL},0}$  ( $q_{\text{RL},0}$ ). The inversion jumps at the two boundaries are  $\Delta\theta_{1,0}$  ( $\Delta q_{1,0}$ ) and  $\Delta\theta_{\text{RL},0}$  ( $\Delta q_{\text{RL},0}$ ). In the FA, the potential temperature (specific humidity) lapse rate is  $\gamma_\theta$  ( $\gamma_q$ ).

For the numerical experiments without the residual layer (nRL) the initial vertical profiles for DALES (Fig. 1) are divided in two layers: CBL and FA, and the same notation is used for the CBL values ( $\theta_{1,0}$  and  $q_{1,0}$ ) and FA lapse rates ( $\gamma_\theta$  and  $\gamma_q$ ), being  $z_{1,0}$  the initial boundary-layer depth.

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



LeMone et al. (1999); Pino et al. (2003); Conzemius and Fedorovich (2006), among others showed that shear at the inversion influences entrainment fluxes. Consequently, initial and geostrophic vertical profiles are defined for all the DALES numerical experiments based on the radio sounding observations. Constant with height geostrophic wind is considered ( $u_g = 10$ ,  $v_g = 0 \text{ ms}^{-1}$ ). The initial wind profile is constant with height below the FA,  $u = -2.95$ ,  $v = 0.52 \text{ ms}^{-1}$ , being equal to the geostrophic wind in the FA.

To study the role of subsidence, additional simulations are performed. The value of subsidence to be included in DALES and MLM numerical experiments are obtained, following Yi et al. (2001), by analyzing the observed vertical profile of the potential temperature at 01:30 and 07:30 UTC on 1 July 2011 (see Fig. 1). The depth of the residual layer ( $z_{RL}$ ) decreases 215 m within 6 h (01:30–07:30 UTC). This represents a subsidence velocity of  $9.95 \times 10^{-3} \text{ ms}^{-1}$ . Subsidence is included in two DALES numerical experiments as follows. Subsidence vertical profile increases linearly from 0 at the surface to  $9.95 \times 10^{-3} \text{ ms}^{-1}$  at  $z_{RL,0}$  in both numerical experiments (RLs and nRLs numerical experiments). In FA, the subsidence is constant equal to  $9.95 \times 10^{-3} \text{ ms}^{-1}$ . Despite subsidence may evolve during the day, we prescribe a constant subsidence profile because the main objective of the paper is not exactly fit the observations but to analyze the role of RL and subsidence. For this same reason, and taking into account the low winds recorded during the selected IOP, heat and moisture advection are not considered.

By combining RL and subsidence, four different DALES numerical experiments were performed: RL with subsidence (RLs), RL without subsidence (RLns), no-RL with subsidence (nRLs) and no-RL without subsidence (nRLns).

MLM is used to create fast and simple characterization of the CBL and the results can be contrasted with the results of DALES numerical experiments to verify if simple models can also simulate the evolution of the CBL from midday considering subsidence. The version used here of the MLM does not include the RL in its vertical profile. Consequently, it can only be used for developed convective boundary layers. To initialize MLM, we used the information of the first radio sounding which shows a completely





potential temperature. Notice that measurement height is different to the height where DALES results are considered, what may produce some discrepancies.

By using mixed-layer theory, if heat advection is considered negligible due to the low winds recorded, the time evolution of the mean potential temperature in the mixed layer ( $\bar{\theta}$ ) in convective conditions is driven by surface and entrainment heat fluxes, and reads (Tennekes and Driedonks, 1981):

$$\frac{\partial \bar{\theta}}{\partial t} = \frac{\overline{w'\theta'}|_s - \overline{w'\theta'}|_1}{z_1}, \quad (1)$$

where  $\overline{w'\theta'}|_s$  and  $\overline{w'\theta'}|_1$  are the turbulent heat flux at the surface and at  $z_1$  (entrainment heat flux), respectively. Taking into account that the surface heat flux is the same for all the numerical experiments, the difference in the evolution of potential temperature between the numerical experiments is explained by entrainment heat flux and  $z_1$  differences.

If large-scale subsidence is not considered, zeroth-order mixed-layer theory postulates that entrainment heat flux reads (Lilly, 1968; Tennekes, 1973; Tennekes and Driedonks, 1981; Carson, 1973):

$$\overline{w'\theta'}|_1 = -\Delta\theta_1 \frac{\partial z_1}{\partial t}, \quad (2)$$

where  $\Delta\theta_1$  is the jump of the potential temperature at the inversion.

If the residual layer is not considered (nRLs and nRLNs numerical experiments), the simulated 2 m potential temperature increases rapidly due to the initially prescribed large potential temperature jump, which increases the entrainment heat flux. Moreover, the CBL is shallow during the morning enhancing the CBL-heating rate (see Eqs. 1 and 2). Consequently, these DALES numerical experiments do not fit the observations.

On the contrary, if the residual layer is included in the initial profile of DALES numerical experiments, the temporal evolution of mixed-layer potential temperature presents

**Role of the RL and subsidence on the development and evolution of the CBL**

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

two different regimes. For approximately the first 1.5 h of the simulation, the boundary layer is shallow but the inversion layer jump is moderate when compared with the nRL numerical experiments. Consequently, entrainment heat flux is smaller and potential temperature increases smoothly, approximately fitting the observations. At 09:00 UTC, when the potential temperature in the mixed layer and in the residual layer are the same, the boundary-layer depth increases approximately to 1300 m. Although, the new potential temperature inversion jump is larger, the heating rate is lower compared with the first 1.5 h of simulation due to the large  $z_1$  simulated at this moment, and DALES RL numerical experiments fit better the observations.

Once the mixed layer has incorporated the residual layer, MLM starting at 11:00 UTC, simulates correctly the evolution of the potential temperature, being close to the observed values and to the results of DALES numerical experiments that take into account the residual layer.

The role played by subsidence in the evolution of the potential temperature can be only appreciated at the end of the afternoon, when the boundary layer growth is small. However, none of the numerical experiments is able to simulate the decrease of potential temperature observed from 17:00 UTC which maybe is produced by a weak negative heat advection due to the change in wind direction produced by slope flows.

### 3.2 Boundary-layer depth temporal evolution

Figure 3 shows the time evolution of the refractive structure coefficient (CN2) observed by the UHF wind profiler and the boundary layer depth estimated from the radio soundings launched at 07:30, 11:00, 14:00, 17:00, and 20:00 UTC, and obtained by MLM with subsidence and by DALES numerical experiments (RLs, RL, nRLs and nRL).  $z_1$  for MLM and DALES is defined as the height where the minimum buoyancy flux occurs (Seibert et al., 2000).  $z_1$  obtained from the radio sounding data is defined as the height where the maximum virtual potential temperature gradient occurs. The reliability to obtain the depth of the boundary layer by using isolated radio soundings has been sometimes criticized (e.g. Stull, 1988). Nevertheless, radio sounding measurements in

this study fit correctly with the UHF measurements and the small dissimilarities can be attributed to the different procedures used to obtain  $z_1$  (Sullivan et al., 1998).

UHF wind profiler measurements show the existence of a residual layer during the early morning and how around 09:00 UTC the mixed layer merges with the RL from the previous night producing a sudden increase of the boundary-layer depth (see Fig. 3). From this moment, the observed boundary-layer depth remains approximately constant during 7 h. Taking into account that surface heat flux is still positive for several hours, this might be explained due to the existence of subsidence that prevents the mixed layer to grow. During the afternoon, due to subsidence and the decrease of surface fluxes, UHF and radio sounding measurements show a slight decrease of the boundary-layer depth from 17:00 UTC.

DALES numerical experiments including the residual layer in its initial profile fit correctly the observations, simulating the sudden increase of the boundary-layer depth during the morning transition. On the other hand, DALES nRL numerical experiments simulate a progressive increase of the boundary-layer depth and underestimate by several hundred meters the observations during the whole morning, until 13:00 UTC. In all DALES results, small fluctuations on  $z_1$  are observed at the end of the day (around 18:00 UTC) due to cease of the surface heat flux which produces fluctuations on the buoyancy heat flux vertical profile (Pino et al., 2006b).

Previous studies such as Fedorovich (1995) demonstrate that zeroth-order models can be also useful and valid to develop studies of the evolution of the boundary-layer. In our study, the boundary-layer depth obtained with MLM has almost the same value as in DALES numerical experiments that include both the residual layer and subsidence confirming the studies previously developed.

Regarding the role of subsidence in the numerical experiments, it can be observed that the numerical experiments that include subsidence (RLs, nRLs, MLM) fit better with the observations but slightly underestimate the observed boundary-layer depth (less than 100 m with respect UHF measurements) maybe due to subsidence diurnal variability. The numerical experiments that do not consider subsidence overestimate the

**Role of the RL and subsidence on the development and evolution of the CBL**

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



observed  $z_1$  by less than 200 m. Long-term observations of the boundary-layer show the importance to consider subsidence to obtain realistic approximations (Yi et al., 2001; H. Pietersen personal communication, 2013).

### 3.3 Potential temperature vertical profile

Figure 4 shows the vertical profile of potential temperature observed with the radio soundings, obtained by MLM including subsidence, and obtained by DALES numerical experiments at different hours on 1 July 2011. The figure illustrates the importance of the morning conditions on the evolution of the boundary-layer depth and of the potential temperature during the whole day. At 08:30 UTC (Fig. 4a), when the RL has not been already incorporated into the boundary layer, mixed-layer potential temperature in the numerical experiments which consider the RL are 1.7 K lower than nRL numerical experiments, even though the boundary-layer depth is similar, due to the larger potential temperature inversion jump simulated by the nRL numerical experiments. As day progresses, the difference of mixed-layer  $\theta$  increases between nRL and RL numerical experiments; RL numerical experiments become approximately 4 K lower than nRL numerical experiments (see Fig. 4b) fitting the observations. However, after 13:00 UTC when the boundary-layer depth simulated by the nRL numerical experiments reaches around 1300 m, the difference in the mixed-layer potential temperature between RL and nRL numerical experiments is maintained (see Fig. 4c and d) due to the similar values of entrainment heat flux and boundary layer depth simulated for all the numerical experiments. Moreover, the influence of subsidence in the boundary-layer depth and potential temperature is noticeable from midday. RLns and nRLns clearly overestimate the observed boundary-layer depth by several hundred meters and the potential temperature is 0.5 K colder (see Fig. 4b and c).

To understand the differences in the potential temperature vertical profile between the numerical experiments during the morning, as the surface heat flux are the same, we focus our analysis on the entrainment heat flux and on the boundary-layer depth (see Eq. 1). Figure 5 shows the early morning temporal evolution of heat flux at  $z_1$  for

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

the nRLns and RLns numerical experiments. Several authors (Sorbjan, 1996; Sullivan et al., 1998; Conzemius and Fedorovich, 2006), pointed out the importance of the entrainment processes for the evolution of the potential temperature. During the early morning (before 09:00 UTC)  $z_1$ -growth is similar in both simulations. Consequently, the difference of the entrainment heat flux between the numerical experiments is due to the potential temperature inversion jump (see Eq. 2);  $\Delta\theta_1$  is 2 K larger for nRLns than for RLns at 08:30 UTC (see Fig. 4a). Therefore, larger entrainment heat flux is obtained for the nRLns numerical experiment and the mixed-layer potential temperature increases.

When the residual layer is incorporated into the boundary layer in the RLns numerical experiment, entrainment heat flux changes suddenly from  $-0.02$  to  $-0.045$   $\text{K m s}^{-1}$ , introducing more air from the FA mainly due to the increase of the potential temperature inversion jump (from nearly 0 to 1 K) and also by the large increase in the  $z_1$ -growth. From this moment to the end of the simulation, entrainment heat fluxes for both DALES numerical experiments remain close due to the similar boundary-layer growth and potential temperature inversion jumps.

### 3.4 Turbulent kinetic energy budget

Under horizontally homogeneous conditions, the turbulent kinetic energy (TKE) budget reads (Stull, 1988):

$$\frac{\partial \bar{\epsilon}}{\partial t} = - \left[ \overline{u'w'} \frac{\partial u}{\partial z} + \overline{v'w'} \frac{\partial v}{\partial z} \right] + \frac{g}{\theta_{vr}} \overline{w'\theta'_v} - \frac{\partial \overline{w'e'}}{\partial z} - \frac{1}{\rho_0} \frac{\partial \overline{w'p'}}{\partial z} - \epsilon \quad (3)$$

where  $u'$ ,  $v'$ ,  $w'$  are the turbulent fluctuations of the velocity components,  $p$  is the pressure,  $\rho_0$  is a reference density,  $\theta_{vr}$  is a reference virtual potential temperature,  $\bar{\epsilon} = 0.5(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$  is the mean turbulent kinetic energy and  $\epsilon$  is the viscous dissipation of TKE. The term on the left-hand side represents storage (STO) of TKE, and the terms on the right-hand side represent shear (S), and buoyancy production (B), turbulent transport (T), pressure correlation (P), and viscous dissipation (D) terms.



but larger S and, consequently D, terms are found for the RLns numerical experiment near the surface. This is due to the fact that, from midday, when the boundary layer is similar for both numerical experiment, larger surface momentum fluxes are obtained for RLns due to the larger mixed-layer winds (especially  $v$ ) simulated by this numerical experiment.

Figure 7 shows the vertical integration of each TKE-term from the surface up to  $z_1$  normalized by  $z_1$ . For both RLns and nRLns numerical experiments, STO and T+P terms remain small when comparing with the other terms during the whole evolution, being negligible after the morning transition. Before the inclusion of the RL, which can be clearly seen by the maximum of B-integrated term around 09:00 UTC, RLns numerical experiment presents very small integrated-S term due to the low  $z_1$  and the small wind shear existing at the surface and inversion zone. On the contrary, integrated-B is larger when comparing with nRLns numerical experiment because for this last numerical experiment much larger entrainment negative heat flux are simulated, producing a smaller vertically integrated-B (see Fig. 6a and c). As a consequence, integrated-D is slightly smaller for the RLns numerical experiments before 09:30 UTC. From this moment, the vertically integrated-S term increases for the RLns numerical experiment because the integration covers a larger vertical domain, and it decreases for nRL because wind shear decreases at the inversion and  $z_1$  growth rate is not enough to compensate it. Until 11:00 UTC, the integrated-B term increases for both numerical experiments (surface and entrainment heat flux increase), becoming similar. Therefore, integrated-D remains almost constant for nRLns but increases for RLns. At approximately 11:00 UTC, the vertically integrated-S and D terms are similar for both numerical experiments.

From 11:00 UTC the vertically integrated-B term is similar for both numerical experiment because despite  $z_1$  are different until 15:00 UTC (see Fig. 3), and consequently larger positive and negative heat fluxes are simulated for the nRLns numerical experiment, its integration produces similar values. However, the vertically integrated-S term decreases for the nRLns numerical experiment but continuously increases until

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion







## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

the decrease of CO<sub>2</sub> mixing ratio (de Arellano et al., 2004; Casso-Torralba et al., 2008). Additionally, during early morning advection may also play a role. When entrainment flux mainly drives the decrease of CO<sub>2</sub> mixing ratio (around 06:00 UTC) the decrease is similar at both heights. Later on, when CO<sub>2</sub> surface fluxes become larger, differences appear between the CO<sub>2</sub> at 2 m and at 30 m. At 30 m, as expected the CO<sub>2</sub> surface flux is smaller, and consequently, there is a smother decrease of the CO<sub>2</sub> mixing ratio at this altitude.

During this IOP, no measurements of CO<sub>2</sub> entrainment flux were taken. However, since we have measurements of CO<sub>2</sub> surface flux, boundary-layer depth and temporal evolution of the CO<sub>2</sub> mixing ratio, in convective conditions, CO<sub>2</sub> entrainment flux can be estimated. By neglecting CO<sub>2</sub> advection and mean vertical velocity, the storage of CO<sub>2</sub> mixing ratio in the mixed layer reads:

$$\frac{\partial \bar{c}}{\partial t} = \frac{\overline{w'c'}|_s - \overline{w'c'}|_1}{z_1}, \quad (4)$$

where  $\overline{w'c'}|_s$  and  $\overline{w'c'}|_1$  are the turbulent CO<sub>2</sub> flux at the surface and at  $z_1$  (CO<sub>2</sub> entrainment flux), respectively and  $\bar{c}$  is the mean CO<sub>2</sub> mixing ratio in the mixed layer. By using Eq. (4), it can be calculated that CO<sub>2</sub> entrainment flux is 3 times larger than CO<sub>2</sub> surface flux before 09:00 UTC.

Once the RL is incorporated into the mixed layer, the boundary-layer depth increases suddenly to values close to 1300 m (see Fig. 3). As it was shown in Sect. 3.2, the boundary-layer growth is almost zero from that time. Therefore, CO<sub>2</sub> entrainment flux is almost negligible (Yi et al., 2001). After 09:00 UTC, it can be observed in Fig. 8a that CO<sub>2</sub> mixing ratio is around 297 ppm over all the surfaces, varying between 1 and 1.5 ppm, depending on the land use, during 3 h. However, clearer differences in the CO<sub>2</sub> surface fluxes are observed (see Fig. 8b). CO<sub>2</sub> mixing ratio present only slight variations because the observed  $z_1$  from 09:00 UTC is large, and consequently  $\overline{w'c'}|_s/z_1$  is small. For the land uses shown in Fig. 8,  $\partial C/\partial t$  is around 0.3 ppm h<sup>-1</sup> for moor and wheat, and close to 0.5 ppm h<sup>-1</sup> for corn. Therefore, the mixing ratio is controlled

almost by mixed layer growth, with the surface flux playing not an important role (Culf, 1997; Pino et al., 2012).

From this analysis, we conclude that on 1 July 2011, before the merging of CBL and RL, CO<sub>2</sub> mixing ratio decreases from the high values of CO<sub>2</sub> observed during the night to the CO<sub>2</sub> mixing ratio of RL (CO<sub>2</sub> mixing ratio of the previous day) mainly due to CO<sub>2</sub> entrainment flux. This CO<sub>2</sub> mixing ratio is almost constant during the rest of the day due to the large and constant value of  $z_1$ .

## 4 Conclusions

The impact of the residual layer and subsidence on the evolution of a CBL is studied by means of observations taken during the BLLAST campaign, DALES numerical experiments and mixed-layer theory. In contrast with previous analysis of the morning transition (e.g. Angevine et al., 2001; LeMone et al., 2002; Lapworth, 2006; Beare, 2008), we use a sensitivity analysis of the the numerical experiments to study the influence of the two processes in the evolution of the convective boundary layer.

Depending on whether residual layer is considered or not in the DALES numerical experiments, different evolutions of the boundary layer are simulated. Potential temperature simulated by the numerical experiments considering the residual layer fits correctly the observations in contrast with numerical experiments without residual layer (nRL) which simulate a too large mixed-layer heating rate during the early morning. By using mixed-layer theory, we conclude that the difference in the evolution of the potential temperature is due to entrainment heat flux, because the same surface fluxes are prescribed for all the numerical experiments and the  $z_1$  growth is similar before the morning transition. After the merge of residual layer and CBL, large entrainment heat flux is simulated in the numerical experiments with residual layer because  $\Delta\theta_1$  and  $\partial z_1/\partial t$  also increase.

For DALES numerical experiments including residual layer, a rapid increase of boundary-layer depth is obtained, similar to observations, when the residual layer is

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



incorporated in the mixed layer. In contrast, boundary-layer depth for the numerical experiments without residual layer grows at a lower rate, underestimating it relatively to the observations by several hundred meters until 13:00 UTC.

Subsidence also plays an important role in the evolution of the CBL. Without subsidence included in the simulations, the simulated boundary layer depth continues to grow reaching higher values than the observed. Moreover, different initializations of subsidence are compared: DALES with a simple vertical profile and MLM with a subsidence value defined at the top of the CBL. The evolution of the boundary-layer depths are similar with both initializations, and in agreement with the observations verifying the practicality and effectiveness of simpler models.

DALES allows us to evaluate the influence of the residual layer in the TKE budget during the morning (08:30–09:00 UTC). When the residual layer is taken into account buoyancy, transport-pressure and dissipation are the largest terms before the inclusion of the residual layer. When it is incorporated into the mixed-layer, buoyancy and shear increases at the inversion and near the surface. On the contrary, if residual layer is not considered TKE terms present the typical evolution during boundary layer growth (Pino and Vilà-Guerau de Arellano, 2008). Regarding the vertical integration of the TKE-terms, the differences between the numerical experiments with or without residual layer are mainly due to the shear term. Much larger winds are simulated for the RL numerical experiments and consequently larger shear are obtained for this numerical experiment, especially at the surface.

We also analyze the influence of the residual layer in the evolution of CO<sub>2</sub> mixing ratio by using the observations. Before 09:00 UTC, CO<sub>2</sub> surface fluxes are small, the boundary layer is shallow, and CO<sub>2</sub> mixing ratio decrease is mainly driven by CO<sub>2</sub> entrainment flux. After the inclusion of the residual layer into the mixed layer, the boundary layer depth is almost constant during the rest of the day. Therefore CO<sub>2</sub> entrainment flux is very small and, despite the larger observed CO<sub>2</sub> surface flux observed over some surfaces, the CO<sub>2</sub> mixing ratio is very similar over the different land uses. This is

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

due because storage term is below  $0.5 \text{ ppm h}^{-1}$  over all the surfaces due to the large value of  $z_1$ .

We can conclude that a precise definition of the characteristics of the residual layer is fundamental, even though it is complex because the evolution of the main variables in the residual layer during the previous night depends on different factors such as advection or subsidence which can change in time.

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## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## References

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## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**Role of the RL and subsidence on the development and evolution of the CBL**

E. Blay-Carreras et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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**Role of the RL and subsidence on the development and evolution of the CBL**

E. Blay-Carreras et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

**Table 1.** Based on the observations taken at the BLLAST campaign on 1 July 2011, initial and prescribed values used for DALES (RL and nRL numerical experiments) and MLM of the boundary-layer depth, mixed-layer and residual layer values of the scalars ( $\theta_{1,0}$ ,  $\theta_{RL,0}$  and  $q_{1,0}$ ,  $q_{RL,0}$ ) and their corresponding jump at the inversion ( $\Delta\theta_{1,0}$ ,  $\Delta\theta_{RL,0}$ ,  $\Delta q_{1,0}$  and  $\Delta q_{RL,0}$ ).  $\gamma_i$  is the FA lapse rate of each variable  $i$ . Surface fluxes ( $\overline{w'\theta'}|_s$  and  $\overline{w'q'}|_s$ ) are prescribed as  $0.1668 \sin(\pi(t - 5)/12.5)$  and  $0.1032 \sin(\pi(t - 5.5)/13.5)$  respectively. Time  $t$  goes from 0 (07:30 UTC) to 45 000 s (20:00 UTC).

	RL	nRL	MLM (11:00 UTC)
$\theta_{1,0}$ (K)	293	293	295.5
$\Delta\theta_{1,0}$ (K)	2	5	8
$z_{1,0}$ (m)	210	210	1300
$\theta_{RL,0}$ (K)	295	–	–
$\Delta\theta_{RL,0}$ (K)	9	–	–
$z_{RL,0}$ (m)	1422	–	–
$\gamma_\theta$ (K m <sup>-1</sup> )	0.005	0.005	0.005
$q_{1,0}$ (g kg <sup>-1</sup> )	7.16	7.16	8
$\Delta q_{1,0}$ (g kg <sup>-1</sup> )	–1.66	–5.66	–5
$q_{RL,0}$ (g kg <sup>-1</sup> )	5.50	–	–
$\Delta q_{RL,0}$ (g kg <sup>-1</sup> )	–4.41	–	–
$\gamma_q$ (g (kg m) <sup>-1</sup> )	–0.00035	–0.00035	–0.00035

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

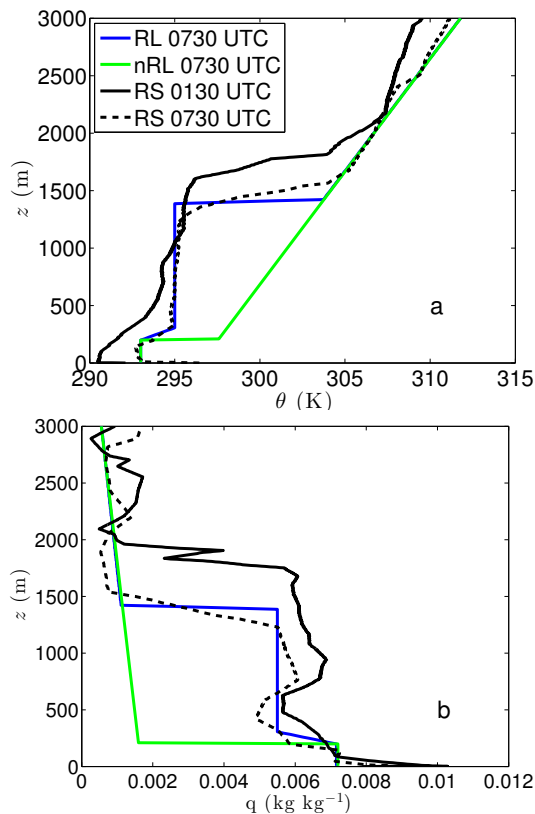
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Printer-friendly Version

Interactive Discussion

## Role of the RL and subsidence on the development and evolution of the CBL

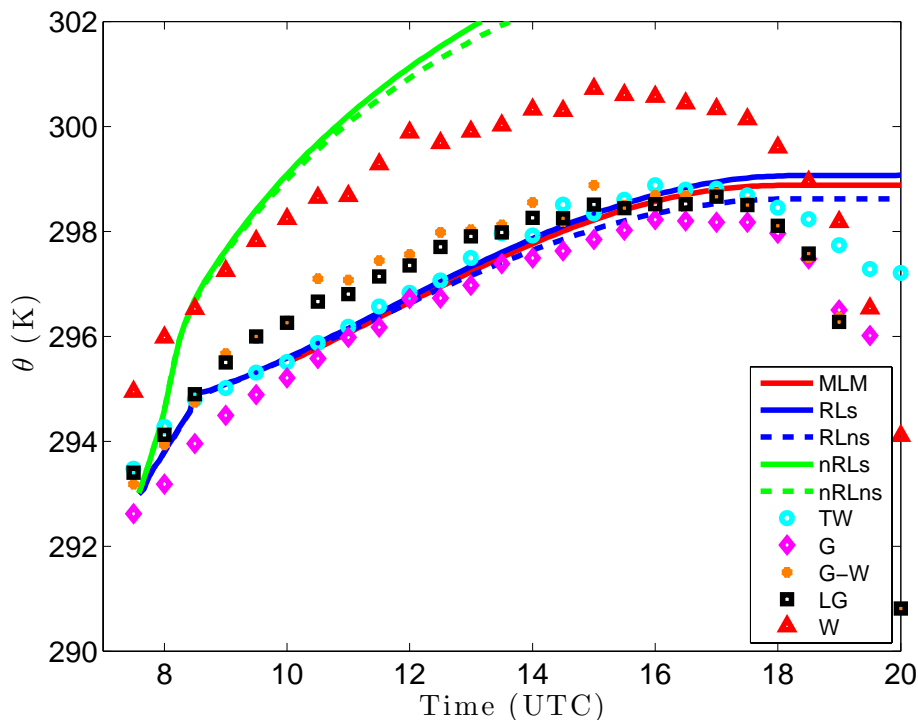
E. Blay-Carreras et al.



**Fig. 1.** Vertical profile of (a) potential temperature and (b) specific humidity observed by the radio soundings launched at 01:30 (solid black) and at 07:30 UTC (dashed black) on 1 July 2011. Additionally, the vertical profiles based in the observations for initializing the DALES RL numerical experiments (solid blue) and nRL numerical experiments (solid green) are shown. Table 1 shows the values which characterize initial profile of  $\theta$  and  $q$ .

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

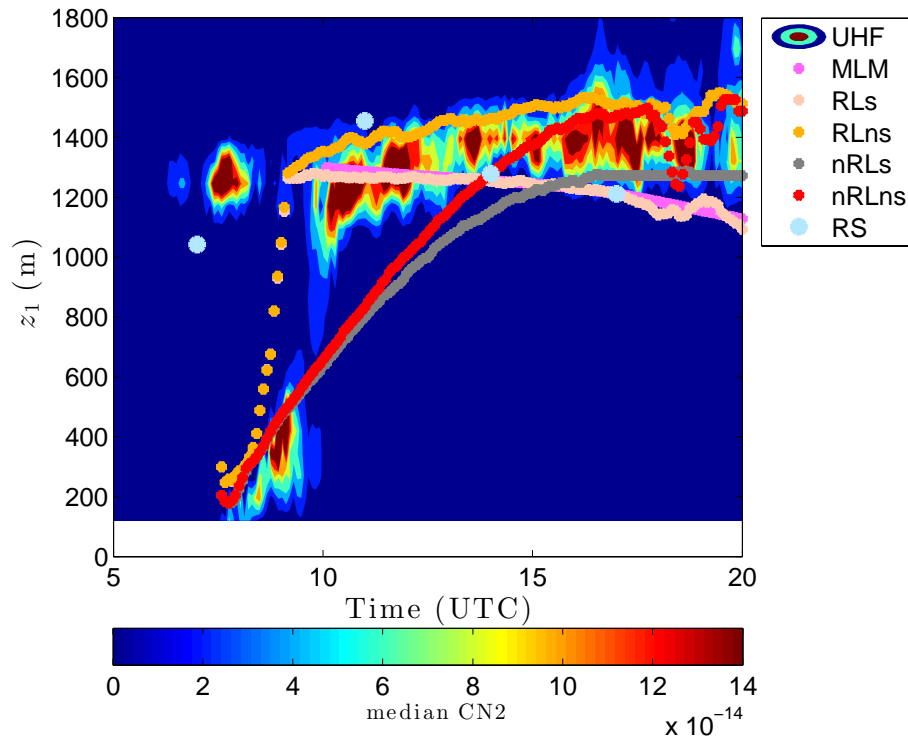


**Fig. 2.** Temporal evolution of potential temperature on 1 July 2011 observed by different instruments at 2 m (symbols) and obtained (lines) by MLM with subsidence (red) and DALES (RLs, solid blue; RL, dash blue; nRLs, solid green; and nRL, dash green). Observations are from EC instrument at the tower over grass (TW, cyan circles), over short grass (G, magenta diamonds), over the edge between the long grass and the wheat (G–W, orange dots), over long grass (LG, black squares) and over wheat (W, red triangles).

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

## Role of the RL and subsidence on the development and evolution of the CBL

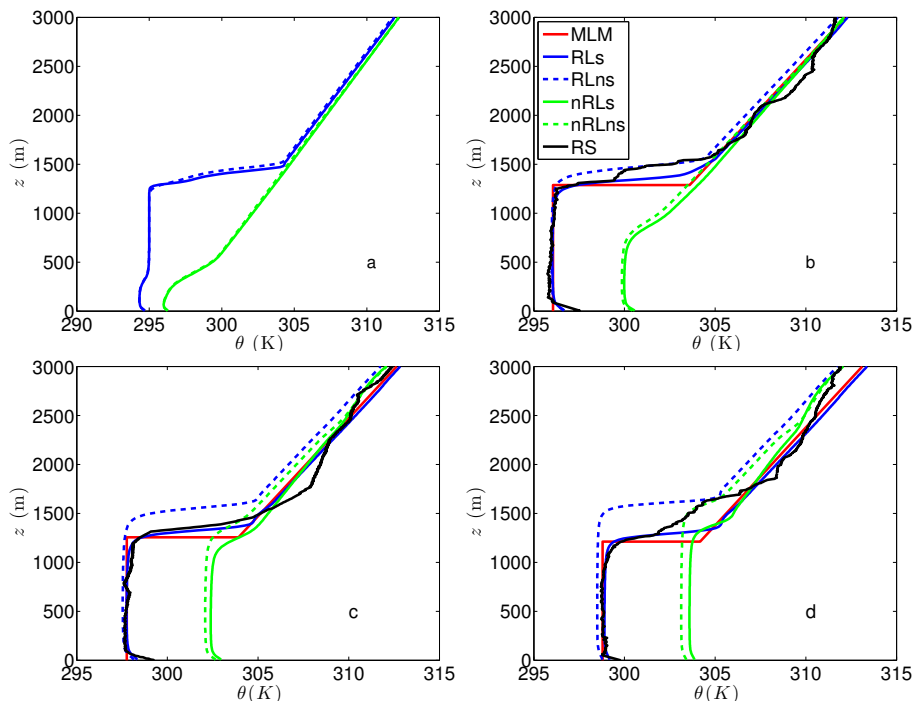
E. Blay-Carreras et al.



**Fig. 3.** Time evolution during 1 July 2011 of the refractive structure coefficient measured by UHF wind profiler (color contour), and boundary layer depth estimated from radio soundings (light blue dots), and obtained by MLM (magenta line), and DALES numerical experiments (RLs, pink; RL, orange; nRLs, grey; and nRL, red lines).

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.



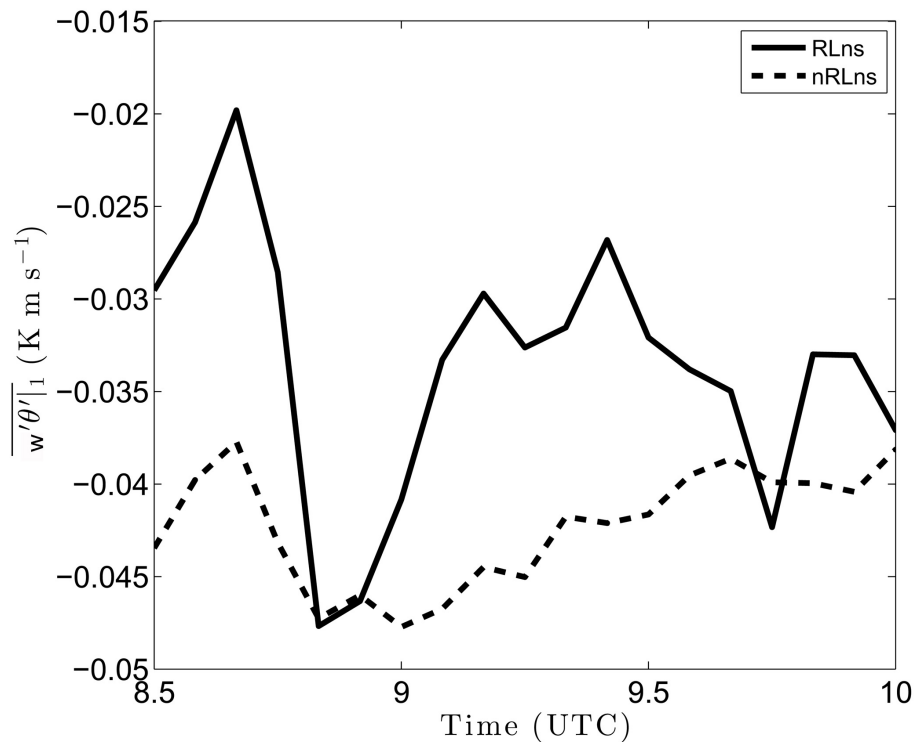
**Fig. 4.** Vertical profile of the 30 min averaged potential temperature at **(a)** 08:30, **(b)** 11:00, **(c)** 14:00 and **(d)** 17:00 UTC on 1 July 2011 observed by the radio soundings (solid black) and obtained by MLM (solid red) and DALES numerical experiments (RLs, solid blue; RL, dash blue; nRLs, solid green; and nRL, dash green line).

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)



## Role of the RL and subsidence on the development and evolution of the CBL

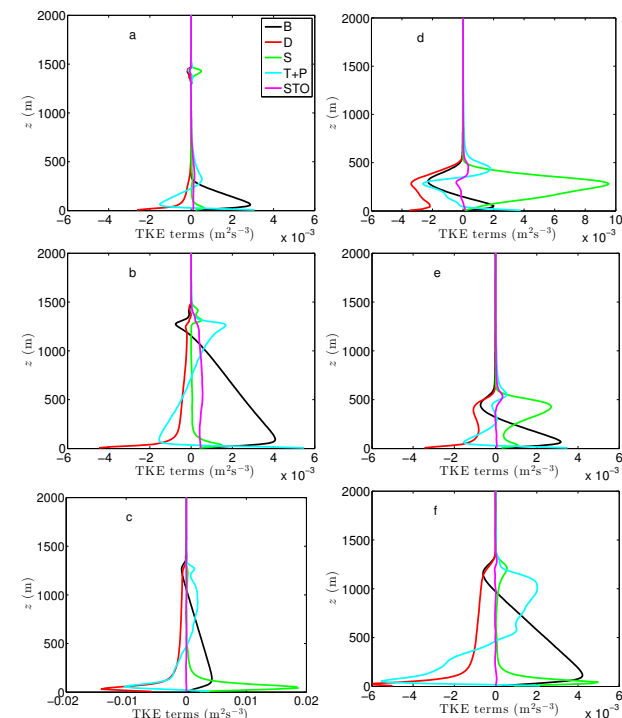
E. Blay-Carreras et al.



**Fig. 5.** Temporal evolution during the morning of the entrainment heat flux for the RLNs (solid line) and nRLNs (dashed line) DALES numerical experiments.

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

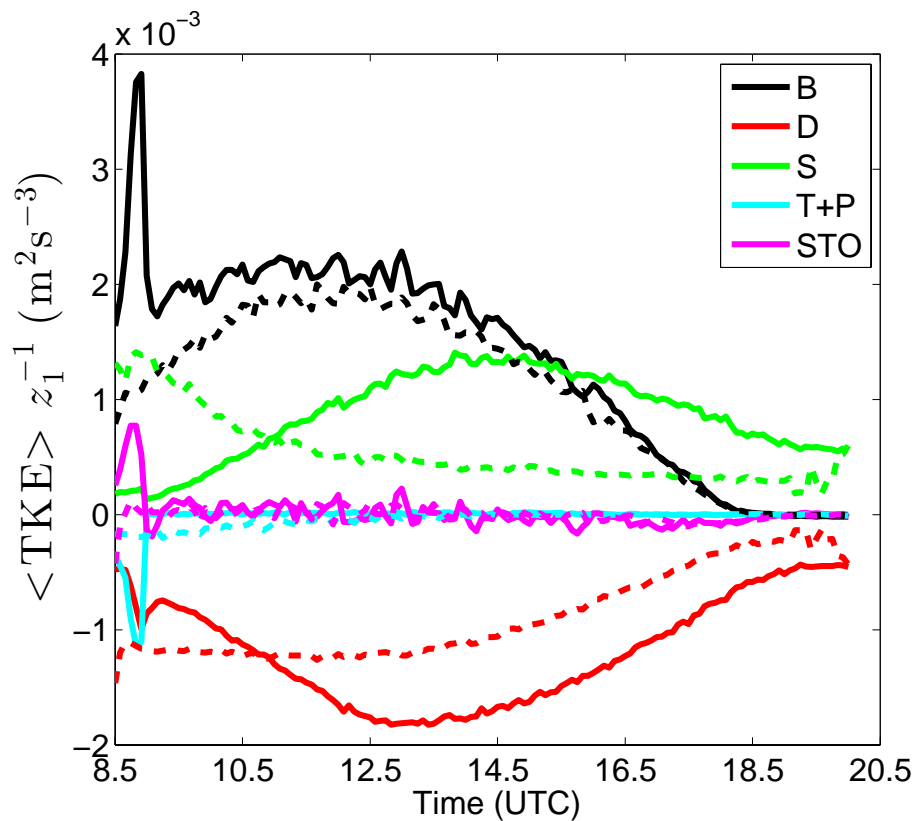


**Fig. 6.** Vertical profiles of the 30 min averaged TKE-terms for the (left) RLNs and (right) nRLNs numerical experiments at (top) 08:30, (middle) 09:00 and (bottom) 14:00 UTC. Buoyancy production (black line), dissipation (red line), shear production (green line), turbulent transport and pressure (cyan line) and storage (magenta line) are shown.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[⏪](#)
[⏩](#)
[⏴](#)
[⏵](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.

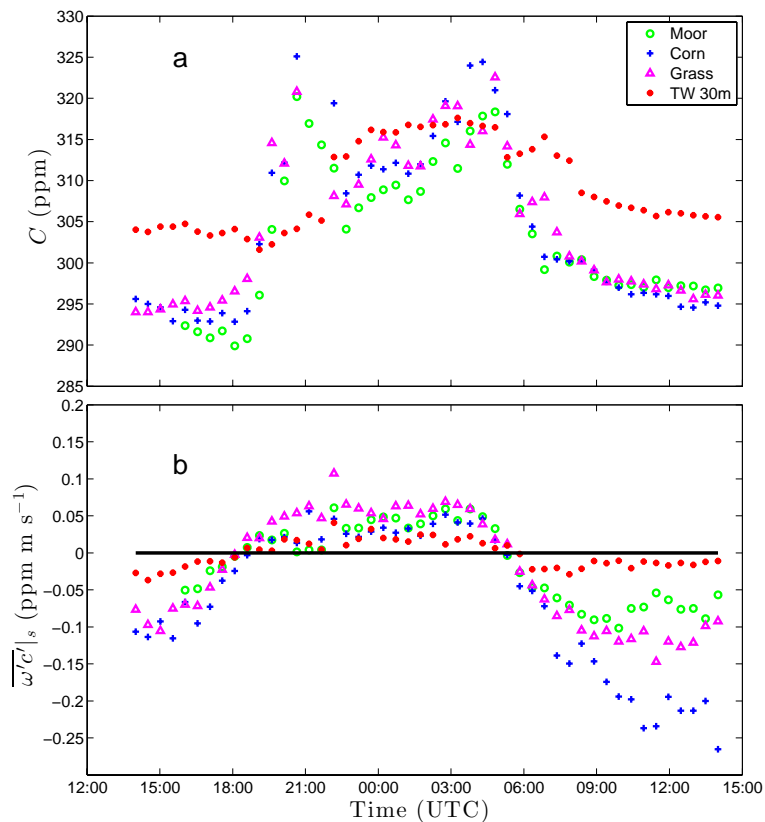


**Fig. 7.** Temporal evolution of each vertically averaged (from 0 to  $z_1$ ) TKE-term normalized by  $z_1$  for (solid) RLNs and (dashed) nRLNs numerical experiments.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

## Role of the RL and subsidence on the development and evolution of the CBL

E. Blay-Carreras et al.



**Fig. 8.** Temporal evolution from 30 June 2011 at 14:00 UTC to 1 July 2011 at 14:00 UTC of the observed (a) CO<sub>2</sub> mixing ratio and (b) CO<sub>2</sub> surface flux measured at 2 m over moor (green circles), over corn (blue crosses), over long grass (magenta triangles) and at 30 m over grass (red asterisks).