1 On the link between the Amazonian forest properties and shallow

- 2 cumulus cloud fields
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11 Abstract

12 During the dry season the Amazon forest is frequently covered by shallow cumulus 13 clouds fields, referred to here as Forest Cumulus (FCu). These clouds are shown to be 14 sensitive to landcover and exhibit a high level of spatial organization. In this study we 15 use satellite data to perform a morphological classification and examine the link 16 between FCu cloud field occurrence and the Enhanced Vegetation Index (EVI), which 17 is commonly used as a measure for forest density and productivity. Although weaker 18 than first order effects of meteorology, a clear positive linear relation between EVI 19 (i.e. surface properties) and FCu field occurrence is seen over forest landcover, 20 implying a strong coupling between forest surface fluxes and the cloud organization 21 above. Over non-forest landcover the relationship between EVI and FCu occurrence 22 is non-linear, showing a reduction of FCu for high EVI values. We find that forest to 23 non-forest transition zones display a superposition of the two different landcover 24 dependencies. 25 26 27 28 29 30 31

33 **1. Introduction**

34 During the Amazon dry season (austral winter months, June-September), the Inter-35 Tropical Convergence Zone (ITCZ) moves northward (reaches $\sim 10^{\circ}$ N at mid August), 36 while large scale subsidence associated with the South Atlantic Subtropical High 37 (SASH) dominates the region (Nobre et al., 1998) and relatively stable meteorological 38 conditions prevail. Under these conditions, organized fields of shallow cumulus (Cu) 39 clouds form over and near the forest during the daytime hours when surface triggered 40 convection is possible and the humidity near the canopy is high enough. The 41 formation of these clouds has a clear diurnal cycle with a maximum during the 42 afternoon.

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44 A typical satellite image of these cloud fields can be seen in Fig. 1, taken on 45 September 1st, 2011, 13:30 local time. The clouds form almost exclusively over land 46 areas; i.e. clouds are absent above the Amazon River and its tributaries. One of the 47 noticeable, although not exclusive, properties of these clouds is their tendency to 48 organize in linear patterns (Fig. 2). They can often be considered analogous to cloud 49 streets (Ramos da Silva et al., 2011), which are typically observed during cold air 50 outbreaks over warmer oceanic waters (Brümmer, 1999). In this work these cloud 51 fields are shown to be very sensitive to changes in the environmental conditions and 52 therefore they can serve as a "laboratory" for studying the conditions in which they 53 form. They are hereafter referred to as Forest Cumulus (FCu) fields (more details on 54 the FCu are given in Sect. 2). Daytime FCu cloud fields similar to those seen in Figs. 55 1, 2 can be observed in several other locations around the globe such as central Africa 56 during most of the year, or northeast America and Siberia during the boreal summer. 57 The common denominator in all cases is their preferred formation over dense, largescale forests during stable meteorological conditions, when formation of more 58 59 developed clouds is suppressed.

Landcover change effects on clouds can be divided by temporal and spatial scales into short (immediate) or long term effects, or local to global spatial scales (Pielke Sr et al., 2011); here we will focus on the short term ones. The immediate effects of landcover changes are attributed to changes in the surface radiation budget (Betts, 2009). Different landcover types exhibit different albedo, surface roughness, moisture content, etc. (Bastable et al., 1993). Such changes affect the energy fluxes to the atmosphere, the partition of this energy to sensible and latent heat, and the turbulent 67 transfer of those fluxes to the atmosphere. Eventually, these changes influence the 68 diurnal evolution of the atmospheric boundary layer (Betts, 2000). The latter study 69 showed how vegetation resistance controls the boundary layer depth (with lowest 70 resistances corresponding to the oceanic limit) and the partition between latent and 71 sensible het fluxes. Hence, the evapotranspiration properties of the landcover 72 vegetation are tightly linked to the dynamics of the boundary layer and the shallow 73 Cu clouds, which commonly cap the boundary layer.

Deforested areas in the Amazon (either pasture or cropland) usually display higher sensible heat and lower latent heat fluxes in comparison with the forested areas, which in turn can enhance the growth of the boundary layer during the day and favor the formation of larger convective clouds (Fisch et al., 2004). Moreover, surface heterogeneities often result in local mesoscale breezes which can also affect low-level convergence patterns and cloud formation (Rabin et al., 1990;Souza et al., 2000).





Figure 1. Left: South America topographic map (note that the color bar is capped at
1000 m) and study region indicated by cross hatched box. Map based on ETOPO1 1arc minute global relief model dataset (Amante and Eakins, 2009). Right: Typical
Forest Cumulus (FCu) fields over the Amazon basin study region. GeoTIFF image
taken from MODIS Rapid Response USDA Foreign Agricultural Service (FAS)
subsets. Dashed red box indicates the area magnified in Fig. 2. Image corresponds to

87 Sep. 1st, 2011, 13:30 local time. The Amazon River and its tributaries inhibit all types
88 of cloud formation.

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Generally there exists a preference for shallow Cu formation over densely forested rather than deforested areas (located around the southern boundaries of the Amazon basin, see Fig. 1) (Cutrim et al., 1995). However, most observational studies, including those listed above, have focused exclusively on deforested pockets within forested areas, showing a clear preference for shallow Cu formation over *deforested* areas (Cutrim et al., 1995;Chagnon et al., 2004;Wang et al., 2009), and for deep convective cloud formation over the surrounding forested areas (Wang et al., 2009).

97 These differences in shallow Cu preference could arise since emphasis was put on the 98 importance of mesoscale circulations driven by landcover transitions rather than more 99 subtle changes within a specific landcover type. Moreover, studies showing 100 preference for shallow Cu over deforested landcover are confined mainly to the 101 southwest regions of the Amazon, which are highly deforested and experience very 102 stable meteorological conditions during the dry season. Studies in other regions of the 103 world such as southwest Australia (Ray et al., 2003) and Costa-Rica (Nair et al., 104 2003) show a preference for shallow cumulus formation over native vegetation and 105 forested areas rather than adjacent deforested areas.

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Figure 2. Magnified view of Forest Cumulus (FCu) cloud fields (subset of Fig. 1).
Note the linear patterns in which the FCu organize. The scale of the box is 150 km x
111 175 km.

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113 Much of the Amazon deforestation is a result of massive biomass burning events 114 which occur during the dry season (Koren et al., 2004). Biomass burning emits high 115 concentrations of absorbing aerosols to the atmosphere, which can interact with 116 radiation (i.e. scatter and absorb shortwave radiation) and affect the temperature 117 profile and therefore static stability, or serve as Cloud Condensation Nuclei (CCN) 118 and affect the microphysical processes and evolution of clouds and precipitation 119 (Koren et al., 2008). Hence, it is essential to address aerosol effects on clouds in the 120 region as well.

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The goal of this work is to evaluate the morphological characteristics of the Amazon FCu fields, and to use a statistical approach to study the effects of landcover change on the FCu fields over the Amazon region. Specifically we use the EVI (Enhanced Vegetation Index) as a measure of the wellbeing of the forest. It has been shown that this index correlates well with forest productivity and canopy density (Glenn et al., 2008;Sjöström et al., 2011), and can be a good predictor for evapotranspiration and 128 moisture fluxes to the lower atmosphere (Mu et al., 2007;Juárez et al., 2008), which in

turn drive the formation of dry season Amazonian clouds (Betts and Dias, 2010).

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131 **2. Methods**

132 We combine satellite data in the visible for cloud morphology and Aerosol Optical 133 Depth (AOD) analyses, landcover type and vegetation indices for surface 134 characterization, and reanalysis data for specification of the meteorological conditions. True color data at 0.5 km resolution, AOD data at 1[°] resolution, and land 135 136 surface properties derived from the MODerate resolution Imaging Spectroradiometer 137 (MODIS) onboard the Aqua satellite are used (Salomonson et al., 1989;Friedl et al., 138 2010; Running et al., 1994; Remer et al., 2005). The Aqua overpass is at 13:30 LST, at 139 the time when energy fluxes from the surface are maximum (Fisch et al., 1996) and 140 the FCu fields over the Amazon are already established. The study region of interest is seen in Fig. 1, and spans from 58.54°W, 5.69°N (northwest corner) to 49.45°W, 141 142 13.19°S (southeast corner), an area of 2100 km x 950 km. The topography of the 143 study region is low, and devoid of large gradients except some patches in the northern 144 part, and a gradual rise to higher topography in the southern part. Analyses show that 145 the FCu fields had no clear correlation with topography. Therefore, we exclude 146 analyses of topography effects in this work. Data were collected for the dry season 147 months, July-August-September (J-A-S) during the years 2008-2011.

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149 Our analyses of the FCu cloud field properties were focused on the statistical 150 properties of the cloud distribution within the field. Measures like cloud area, average 151 distances between cloud centers and level of organization were tested to optimize the 152 classification. Unlike the case of a single cloud analysis when the sensitivity to the 153 exact cloudy pixel is crucial and one need either to avoid cloud contamination of the 154 cloud-free atmosphere (Martins et al., 2002), or in the case of cloud retrievals to 155 make sure that the cloud mask is free of non cloudy pixels (Ackerman et al., 1998), 156 our spatial-statistical measures (summarized in Fig. 3) exhibit less sensitivity to the 157 exact method by which clouds are masked in the field.

158

The first stage of processing is a construction of a basic cloud mask, achieved by applying a threshold (>0.58) to the reflectance of the RGB channels (bands 1, 3, 4, respectively). Unlike clouds, most bright pixels that are not clouds (e.g. bright roads 162 or sand patches) are not white (e.g. have spectral dependence in the visible spectrum) 163 therefore another threshold (<0.08) is applied to the absolute differences in the 164 reflectance between the red and blue. The morphological characteristics of the cloud 165 field were calculated for a moving window of 51 x 51 pixels (25.5 km x 25.5 km). 166 Five basic characteristics were shown to contain most of the information: cloud 167 fraction, mean and standard deviation of distances between cloud centroids, and mean 168 and standard deviation of cloud areas.

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Based on the above criteria we classified the cloud fields into three classes: FCu, deeper convective clouds and sparse to no-clouds (see Table 1). The classification was tuned and validated visually using data from 100s of boxes of cloud fields (see Fig. 3c). The boxes were used to calculate the mean statistics of the FCu clouds fields. The typical values for the morphological characteristics of the three defined fields types are shown in Table 2. The narrow distributions and inter-annual consistency of these key cloud properties allowed for a robust detection of the fields.

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After performing the analysis above for all days during J-A-S for a specific year (a total of 75-80 overpasses), the probability for an FCu field to exist (hereafter named pFCu) was calculated for each pixel (see Fig. 3d). Similar methodologies have been used in other studies of shallow cumulus clouds (Ray et al., 2003;Cutrim et al., 1995).
It is important to note that the classification results were shown to be robust and not sensitive to small variations in the selected thresholds.

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185 Yearly classification of different landcover types was done using the MODIS 186 collection 5 MCD12Q1 product (Friedl et al., 2010). The product includes five types 187 of landcover classifications, out of which the 14-class University of Maryland (UMD) 188 classification was chosen (Hansen et al., 2000). For the purposes of this study, we 189 divided the UMD landcover classification into three types: i) Forest, classes 1 through 190 5 (i.e. all forest types including mixed), ii) Non-Forest, classes 6 through 10 (wood-191 lands, grasses, shrub-lands), 12 (crop-lands), 13 (urban), and 16 (barren), and iii) 192 Water, class 17. An example of such a classification map for 2011 is seen in Fig. 4a.



Figure 3. Summary of FCu field detection algorithm for 2011. a) Corresponding true
color geoTIFF image from Fig. 1. b) Cloud mask of true color image; the red box
represents a 25.5 km x 25.5 km moving window. c) Zoom of the moving window. Blue
asterisks indicate the centroids of individual clouds. Selected statistics of windows are
listed below the panel. In this case the cloud field within the window passed the FCu
field thresholds listed in Table 1. d) Final product of algorithm, probability (0-1) of
observing FCu field (pFCu) during J-A-S 2011.

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202 Spectral definitions for MODIS vegetation indices: NDVI (Normalized Difference 203 Vegetation Index) and EVI (Enhanced Vegetation Index), and their validations over 204 numerous sites can be found in previous papers (Huete et al., 2002;Mu et al., 2007). 205 Both vegetation indices can be used to assess the surface energy budget components 206 Bowen ratio) and plant physiology components such (latent heat, as 207 evapotranspiration, leaf area index, fractional vegetation cover, canopy architecture 208 and more (Glenn et al., 2008). Since NDVI tends to saturate in areas of high biomass 209 (Huete et al., 2002), and is more sensitive to atmospheric aerosol contamination (Xiao 210 et al., 2003), EVI is preferred in our study.





212 Figure 4. Landcover classification map (a) and mean J-A-S EVI (b) for the year 2011.

214 Furthermore, studies have shown EVI to be better correlated with evapotranspiration than NDVI, with linear correlation coefficients (r^2) usually ranging between 0.7 and 215 216 0.9 (Glenn et al., 2010; Nagler et al., 2005). Henceforth we shall use EVI as a general 217 measure of vegetation density and productivity over the forest and non-forest 218 landcovers. The mean J-A-S EVI for 2011 is shown in Fig. 4b. The domain shows 219 high EVI values over forest landcover in comparison with non-forest landcover, with 220 the latter also showing much larger EVI variance. Very similar EVI maps are seen for 221 years 2008-2010.

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Focusing on the southern half of the domain, Fig. 3d clearly shows reduction in the probability for FCu fields. The same pattern exists for the year 2008-2010. Using NOAA-NCEP Global Data Assimilation System (GDAS) reanalysis data (Saha et al., 2006;Parrish and Derber, 1992), we examined the spatial patterns of various meteorological parameters (J-A-S averages). The two parameters that were found to best reflect the spatial variance of the FCu fields are the Geopotential Height (HGT) at 700 hPa (see Fig. 5a) and the Relative Humidity (RH) at 850 hPa (see Fig. 5b). These parameters can also be seen as physically tightly linked to FCu formation. High geopotential height at 700 hPa (pressure levels 850 hPa – 500 hPa give similar results) indicates upper level subsidence, adiabatic warming and drying, and is associated with the SASH (Figueroa and Nobre, 1990). Relative humidity at 850 hPa corresponds to the mean cloud base height (based on ceilometer measurements), and is essential to cumulus formation.

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237 Table 1. Moving window thresholds for Forest Cu (FCu), Deep Convective Cu, and

238 none to sparse Cu cloud fields. Thresholds include cloud fraction (CF), mean cloud

area (\overline{A}) , standard deviation of cloud areas (σ_A) , mean distance between cloud

240 centroids (\overline{D}), and standard deviation of distances (σ_D). Missing data represent

I	Parameter \rightarrow		CF [%]		\overline{A} [km ²]		$\sigma_A [\mathrm{km}^2]$		D [km]		σ_D [km]	
	Field Type↓	Low	High	Low	High	Low	High	Low	High	Low	High	
F	orest Cumulus	0.15	0.4	1.5	8	1.5	12.5	1.8	3.2	0.6	1.3	
	Sparse	0	0.1	0	3	0	3	-	-	-	-	
De	eep Convective	0.6	1	50	-	-	-	-	-	-	-	

thresholds that are not relevant to the analysis.

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Table 2. Average statistics for the cloud fields (and their spatial parameters) as

defined in Table 1, years 2008-2011. Missing data represents irrelevant statistics (e.g.

245 distance between clouds is meaningless for sparse and deep convective fields since

246 we commonly observe only one cloud within the 25 km moving window).

	CE [0/]	$\overline{4}$ [lm ²]	σ [km ²]	[] [] []	σ_D [km]	
Year	CF [70]	Α[ΚΠ]		<i>D</i> [KIII]		
2008	0.23±0.02	2.93±0.37	5.79±1.08	2.21±0.12	0.91±0.07	
2009	0.23 ± 0.02	3.00±0.31	5.64 ± 0.95	2.26 ± 0.10	0.90 ± 0.06	
2010	0.24 ± 0.02	3.03±0.41	5.80±1.13	2.25±0.13	0.88 ± 0.07	
2011	0.24 ± 0.02	3.13±0.37	5.65±1.00	2.31±0.13	0.87 ± 0.07	
2008	0.03±0.02	1.31±0.43	1.33±0.43	-	-	
2009	0.04 ± 0.01	1.24 ± 0.38	1.24 ± 0.42	-	-	
2010	0.03 ± 0.02	1.55 ± 0.62	1.54 ± 0.63	-	-	
2011	0.03 ± 0.02	1.39 ± 0.47	1.33 ± 0.50	-	-	
	Year 2008 2009 2010 2011 2008 2009 2010 2011	CF [%]20080.23±0.0220090.23±0.0220100.24±0.0220110.24±0.0220080.03±0.0220090.04±0.0120100.03±0.0220110.03±0.02	CF [%] Ā [km²] 2008 0.23±0.02 2.93±0.37 2009 0.23±0.02 3.00±0.31 2010 0.24±0.02 3.03±0.41 2011 0.24±0.02 3.13±0.37 2008 0.03±0.02 1.31±0.43 2009 0.04±0.01 1.24±0.38 2010 0.03±0.02 1.55±0.62 2011 0.03±0.02 1.39±0.47	Year C F [%] Ā [km²]σ _A [km²]20080.23±0.022.93±0.375.79±1.0820090.23±0.023.00±0.315.64±0.9520100.24±0.023.03±0.415.80±1.1320110.24±0.023.13±0.375.65±1.0020080.03±0.021.31±0.431.33±0.4320090.04±0.011.24±0.381.24±0.4220100.03±0.021.55±0.621.54±0.6320110.03±0.021.39±0.471.33±0.50	Year \overline{A} [km²] σ_A [km²] \overline{D} [km]20080.23±0.022.93±0.375.79±1.082.21±0.1220090.23±0.023.00±0.315.64±0.952.26±0.1020100.24±0.023.03±0.415.80±1.132.25±0.1320110.24±0.023.13±0.375.65±1.002.31±0.1320080.03±0.021.31±0.431.33±0.43-20100.03±0.021.55±0.621.54±0.63-20110.03±0.021.39±0.471.33±0.50-	

Deve Constitution	2008	0.83 ± 0.06	144.0 ± 58.2	-	-	-
	2009	0.82 ± 0.06	139.7±56.7	-	-	-
Deep Convective	2010	0.83 ± 0.06	143.5±56.4	-	-	-
	2011	0.83 ± 0.06	143.9±59.8	-	-	-

249 **3. Results**

250 **3.1. Forest Cumulus (FCu) dependence on meteorology**

251 The meteorological context of the FCu probability for 2011 is shown in Fig. 5c and d. 252 The north-south pFCu differences over the land are best captured by the Geopotential 253 Height (HGT) at 700 hPa field. The value of HGT=3157 meters (indicated by the 254 dashed line in Fig. 5a and solid line in Fig. 5c) was chosen as the boundary between 255 the northern part of the Amazon region (NA in Fig. 5a,c) that shows little 256 meteorological variance and the southern part of the Amazon region (SA in Fig. 5a,c) 257 that shows high coupling between pFCu and meteorology. Hence, in order to 258 minimize meteorological influence, only the northern region (NA) is used to test 259 large-scale vegetation index effects on FCu fields.

260

261 Patterns of RH at 850 hPa may affect some of the features seen in Fig. 3d as well. The 262 southeast to northwest positive gradient in the southern Amazon (SA) pFCu seems to 263 correspond to an equivalent RH gradient seen in Fig. 5b. Additionally, from the 264 dependence of pFCu on RH in Fig. 5d we can see that areas with high RH (RH>80%, 265 indicated by the dashed line in Fig. 5b) are less favorable for FCu formation. The high 266 RH areas are mainly coastal regions, and the reduction in pFCu there is probably due 267 to increased cloud activity (i.e. the cloud fraction (CF)/mean area (σ_A) in coastal areas 268 is frequently above the upper thresholds in Table 1) as a result of mesoscale coastal 269 breezes observed in many previous works, e.g. (Heiblum et al., 2011;Malda et al., 270 2007).

As seen in Fig. 5c,d, the large scale pFCu dependence on the two meteorologicalparameters is similar for both forest and non-forest landcover types.

273 Meteorological dependencies of EVI were checked as well for both forest and non-

forest landcovers. For the forest landcover (as can be seen in Fig. 4), EVI is relatively

275 constant for all meteorology, indicating that EVI reflects an inherent forest property.

276 However, the non-forest landcover EVI is tightly linked to the meteorology, and

277 therefore any correlations between EVI and pFCu over non-forest may actually



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280 Figure 5. Meteorological setting (based on GDAS reanalysis data) in the Amazon 281 during J-A-S and the effects on pFCu over forest and non-forest landcovers. a) 282 Geopotential Height (HGT) [m] at 700 hPa pressure level; the dashed line represents 283 the border between NA and SA regions. b) Relative Humidity (RH) [%] at 850 hPa; 284 high RH areas enclosed within the dashed line are excluded from further analyses. c) 285 Chance of observing FCu field as a function of HGT at 700 hPa. Data is sorted into 286 500 bins, 11484, 2808 counts per bin for forest and non-forest, respectively. Black 287 line represents HGT separation between NA and SA regions. d) Same as c, but for RH 288 at 850 hPa. Data above RH=80% (black line in panel) is excluded from analyses in 289 this work.

0.1

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d)

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Relative Humidity at 850 mb

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0.1

C)

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Geopotential Height at 700 mb

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0 3150

291 3.2. Interannual Comparison of Cloud Fields and AOD

292 The average aerosol optical depth (AOD) together with the cloud field detection 293 algorithm results for the years 2008-2011 are summarized in Fig. 6. Previously 294 discussed cloud features such as FCu field preference over the northern part of region, 295 and preference for deep convective clouds in high RH areas (i.e. coastal and 296 northwestern areas) are seen for all four years. The relatively high chance for deep 297 convective clouds in the northwest part of the study region may be due to variable 298 terrain and complex topography in that region as well (see Fig. 1). Water bodies such 299 as the Amazon River, Atlantic Ocean, and lakes clearly inhibit all types of cloud 300 formation. The southern part of the region experiences little or no clouds at all, due to 301 the dry and stable meteorology in that area. The year 2009 shows the highest 302 occurrence of FCu formation throughout the domain. Meteorological conditions 303 during that year show a more homogeneous pattern of RH at 850 hPa compared to 304 other years (i.e. higher RH at the southern part (SA) and lower RH in the northern 305 part (NA)), which could be responsible for increase in pFCu.

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307 A striking difference in AOD between the years can be seen, with average values 308 reaching above 0.4 (0.8) in the southwest part of the region during 2008 (2010) but 309 limited to 0.25 during 2009 and 2011. Moreover, the spatial variance of AOD is much 310 smaller during 2009 and 2011, and is unlikely to be a major factor in pFCu variability. 311 The extremely high AOD during 2010 can be explained by both the extreme drought 312 (Lewis et al., 2011) and frequent fires (Ten Hoeve et al., 2012) (i.e. abundance of 313 biomass burning aerosol) in the Amazon basin that year. However, it should be noted 314 that the drought's effect on our study region was minimal compared to the rest of the 315 basin.

Since areas of high AOD (white contours in Fig. 6) do not overlap with areas of relatively constant meteorological conditions that are favorable for FCu formation (black contours in Fig. 6), and due to ambiguous signals (both temporal and spatial) of aerosol effects on clouds for the whole domain, we assume these effects (described in Sect. 1) are unlikely to be a strong forcing for the spatial distribution of pFCu.





Figure 6. Cloud field statistics and AOD during J-A-S for 2008 (left panels) to 2011
(right panels). Panels include (from top to bottom) chance for FCu field, chance for
deep convective cumulus field, chance for sparse to none cumulus field, and mean
AOD taken from Giovanni online data system. White dashed contours in 2008 (2010)
panels indicate AOD>0.25 (0.5) region. Black dashed contours in upper panels
represent areas with minimized meteorological variance (see Sect. 3.1), for each year,

used in Sect. 3.3 for EVI vs. pFCu analyses. High AOD pixels along the mouth of the
Amazon River were discarded as they correspond to a MODIS AOD algorithm
artifact in that area due to the sediment-laden waters there.

332

333 3.3. Enhanced Vegetation Index (EVI) effect on Forest Cumulus (FCu) fields

334 To minimize influences of meteorology (and potentially also aerosol) on the data, we 335 limit the current analysis of EVI effects on FCu fields to the NA region, excluding 336 RH>80% areas, during 2011 (area enclosed by dashed black contour, Fig. 6), taken as 337 a representative example. The J-A-S pFCu data (Fig. 3d) was sorted as a function of 338 the mean J-A-S EVI data (Fig. 4b) for forest (blue dots) and non-forest (magenta dots) 339 landcovers separately (see Fig. 7a). Bin statistics are included in the figure 340 legend/caption. For the forest landcover, we see a positive dependence of pFCu on 341 EVI. This dependence is especially strong for the lower EVI values. The increase in 342 pFCu then saturates at a moderate value of about EVI = 0.54. For the non-forest 343 landcover, the dependence of pFCu on EVI is somewhat different. For low EVI 344 values (EVI < 0.48), there is a strong positive dependence (similar to that seen in 345 forest landcover), but for higher values of EVI > 0.48 there is a clear decrease of 346 pFCu with EVI. It is important to note that for all EVI values, there is a higher chance 347 of observing an FCu field above forest landcover than non-forest landcover.



349 Figure 7. pFCu field as a function of EVI in the NA region (with RH<80%) during J-350 A-S, 2011. a) All data above forest and non-forest landcover types. Counts per Bin 351 (CPB) included in panel legend. b) pFCu field over forest landcover as a function of 352 distance (km) from nearest non-forest/water landcover pixel. Data corresponds to NA 353 region (with RH < 80%). c) Same as (a), but with forest landcover data constrained to 354 within 10 km of other landcover types and sorted into 200 bins. The forest data was 355 divided into four distance from other landcover subsets (see legend) to illustrate the 356 transition from a non-forest-like dependence to a deep-forest-like dependence. Black 357 dashed line is the linear fit for deep forest EVI dependence seen in panel (d). d) Same 358 as (a), but only for forest landcover data further than 10 km from other landcover 359 types. Panel includes raw EVI data (blue), and EVI smoothed with a 5 km (red) or 25 360 *km* (green) disk filter. Linear fits for all cases added in panel legend.

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Until now, we have focused on limiting the effects of meteorological and aerosol variance on pFCu, but have yet to consider the effects of mesoscale circulations that may form at the boundaries and transition areas between landcover types. Since these circulations are local, their intensity can be represented by the distance from the landcover boundaries. As seen in Fig. 7b for the NA region, pFCu over forest landcover is lowest close to the boundaries with other landcover types, then increases 368 sharply with large variance at short distances (<5km), and is relatively constant at 369 distances larger than ~10 km. The variance seen for distances higher than 80 km may 370 be due to local topography and forest changes. To try and eliminate the mesoscale 371 effects from the EVI analysis, we divided the data into two subsets: i) Forest data within 10 km of other landcover types (Fig. 7c), which we assume includes the bulk 372 373 of mesoscale circulation effects and ii) Forest data further than 10 km away from 374 other landcover types (Fig. 7d), which we consider to be free of mesoscale circulation 375 effects. There was no point in doing the same exercise for non-forest landcover since 376 more than 95% of that data is closer than 10 km to other landcover types.

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378 Figures 7c,d illustrate how the pFCu dependence on EVI shifts as we penetrate deeper 379 into the forested areas. In Fig. 8c, forest landcover data was divided to 0-1 km, 1-2.5 380 km, 2.5-5 km, and 5-10 km distance intervals from any other landcover. The non-381 forest pFCu dependence on EVI from Fig. 8a is added for reference. A gradual 382 transition from a non-forest-like dependence on EVI to a deep-forest-like dependence 383 on EVI (i.e. positive linear, black dashed line in Fig. 7c) is seen. Hence, we can 384 assume that in transition zones between forest landcover and water/non-forest 385 landcovers, a superposition of deep forest and non-forest dependencies on EVI takes 386 place. Generally, for a given EVI value the further the distance from water/non-forest 387 landcovers, the higher the chance of observing FCu fields.

388

389 For deep forest data further than 10 km away from other landcover types (blue dots, 390 Fig. 7d) we can see a positive linear dependence of pFCu on EVI. We applied a linear fit to the data (R^2 =0.95, fit details added in figure legend) and obtained a slope 391 of $\frac{\partial(pFCu)}{\partial(EVI)} = 0.13$. Clearly, the well-being and productivity of the deep forest 392 promotes the formation of FCu fields. To test the robustness of the linear trend above 393 394 (Fig. 8d, blue line), we applied two low-pass disk shaped filters to the EVI data, one 395 with a radius of 5 km (green stars and line, Fig. 7d) and the other with a radius of 25 396 km (red triangles and line, Fig. 7d). Our assumption is that using "smoothed" EVI 397 data, being less sensitive to local noise, reveals the more robust larger-scale effects of 398 EVI on pFCu. The results of this analysis show that indeed the positive linear trend 399 seen for the deep forest is robust, with stronger dependencies as filter size increases. 400 The slope of pFCu vs. EVI increases to 0.39 for the 5 km filter and 0.71 for the 25

401 km filter, an increase of 200%, and 450%, respectively. For larger filters with radius > 402 30 km, the main signal decays because of significant loss of EVI spatial information.

403

404 The same process done for 2011 above was repeated for the years 2008-2010. For 405 each year, we took the area with minimum meteorological effect on pFCu (indicated 406 by black dashed contours in Fig. 6), using the methodology described in section 3.1. 407 Areas of high AOD were partially avoided this way as well, although we cannot rule 408 out influence of aerosols on the results for years 2008, 2010 especially. Only deep 409 forest data were considered. The results are summarized in Table. 3. The dependence 410 of pFCu on spatially filtered EVI (only 5 km filter) for 2008-2011 is shown in Fig. 8.





For all years, an increase in pFCu with EVI is seen, yielding positive slopes for all 418 years and spatial filter sizes (Table 3). The minor reductions in pFCu with EVI, seen 419 in Fig. 8 for very high EVI (> 0.55, 2008) or low EVI (< 0.46, 2009), are probably

420 due to local effects and sparse statistics at those EVI ranges. Consistent with 2011, the 421 larger the spatial filter size, the larger the linear fit slope, showing an average increase 422 of more than 200 % for the 5 km filter and 400% for the 25 km filter (Table 3). At the 423 same time, the data become nosier with increasing filter size, reducing the correlation 424 coefficient values from ~ 0.9 without a filter to ~ 0.6 for the 25 km filter. Although 425 consistent with the other years, 2009 shows a much weaker pFCu dependence on EVI. 426 This may be due to the fact that the environmental conditions during that year were 427 especially favorable for FCu formation throughout the domain (see Fig. 6), reducing 428 the second order EVI effect on the clouds.

- 429
- 430 **Table 3.** Linear fit statistics for pFCu vs. EVI dependencies using: none, 5 km, 25 km
- 431 spatial disk filters on the EVI data, for years 2008-2011. Statistics include linear fit
- 432 slope (a), point of intersection with Y-axis (b), and the R^2 coefficient.
- 433

Parameter \rightarrow		a	h	R ²	
Filter Size (km)↓	Year	u	D		
	2008	0.23	0.21	0.93	
Nora	2009	0.03	0.38	0.33	
Inone	2010	0.16	0.22	0.94	
	2011	0.13	0.31	0.95	
	2008	0.47	0.08	0.84	
5 km	2009	0.05	0.37	0.05	
J KIII	2010	0.35	0.12	0.81	
	2011	0.39	0.18	0.87	
	2008	0.74	-0.04	0.64	
25 km	2009	0.22	0.28	0.14	
23 KIII	2010	0.75	-0.02	0.55	
	2011	0.71	0.02	0.74	

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- 435
- 436

437 **4. Discussion and Conclusions**

In this work we examine the link between the Amazon forest and the clouds that form
above it, as part of the effort towards understanding how the anthropogenic forest
dilution may affect clouds. By defining Forest Cumulus (FCu) clouds fields, we have

441 created a simple metric that is clearly tightly coupled and highly sensitive to surface 442 changes in the Amazon region. Although chosen subjectively, we note that results of 443 this work are insensitive to changes in the upper and lower thresholds. We tested 444 several sets of threshold ranges and even though the absolute values of pFCu do 445 change, the trends obtained in Sect. 3.3 are the same.

446 A significant part of the analysis concentrated on separating the effects of 447 meteorology and biomass burning from the more subtle effect of landcover EVI. Not 448 surprisingly, the meteorological conditions are the main factor that sets the stage for 449 FCu formation. Although the entire study region experiences stable conditions during 450 the dry season, weak meteorological gradients control where FCu fields can form. 451 The South Amazon (SA) subset is usually too dry and stable to enable FCu formation, 452 above forest and non-forest landcover alike. In contrast, the northwestern part and 453 coastal areas of the North Amazon (NA) subset experience relatively unstable 454 conditions which are realized by the increased presence of deep convective clouds 455 and reduction in pFCu. Regarding the link between surface EVI and pFCu, five main 456 conclusions can be drawn:

- FCu fields form exclusively over land areas in the Amazon region, preferably
 over forest landcover.
- 459
 2. The chance of observing FCu fields over forest landcover increases with EVI
 460 (excluding very low and EVI value ranges for specific years), and can
 461 generally be represented by a linear fit.
- 462 3. Up to a filter size of 25 km, the pFCu dependence on EVI increases as we463 increase the spatial smoothing filter size of the EVI data.
- 464
 4. The chance of observing FCu fields over non-forest landcover increases
 465 (decreases) for values lower (higher) than EVI=0.48, and is generally lower
 466 than over forest landcover. However, the scattered spatial distribution of non467 forest landcover (see Fig. 4a) and the strong correlation between non-forest
 468 EVI and meteorology cast doubt on the significance of this finding.
- 469 5. The dependence of pFCu on EVI in transition areas from non-forest/water
 470 boundaries into forested landcover can be expressed as a superposition of
 471 forest and non-forest dependencies.
- 472

These findings show the strong control that landcover and landcover gradients exerton FCu. Even though the dependence need not be linear, EVI can be considered

475 highly correlated with evapotranspiration, implying that high latent heat (moisture)

476 fluxes are crucial for the development and organization of the FCu fields.

477

478 Nevertheless, elucidating the dynamical processes which are responsible for the 479 formation of FCu field require future work. We can speculate that the FCu fields 480 correspond to a specific solution of Rayleigh-Benard thermal convection over land (or 481 specifically cloud streets, as discussed in section 1), since the basic physical settings 482 are similar over the Amazon and ocean surfaces, namely: a homogeneous warm 483 surface, and a moist boundary layer with a well defined inversion layer. Hexagonal 484 open convection cells have already been simulated over tropical land in the western 485 Pacific (Saito et al., 2001). The fact that vegetation properties control to a large 486 degree both surface fluxes and boundary layer depth (h), and that the Rayleigh 487 number (R_a) is highly dependent on that depth (proportional to h^4), suggests a 488 physical link between forest and the cloud fields formed above.

489

As for climatic trends in Amazon cloud fields, it is hard to conclude how largescale deforestation would affect total cloud cover since meteorological and landcover gradients roughly coincide in our study region. We can predict a reduction in dry season FCu fields as forest landcover undergoes transition to non-forest or as forest wellbeing decreases (reduction in EVI), however more extensive studies are needed to understand the total effect on the radiation budget and water cycle in the Amazon due to such changes.

497

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