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# Aerosol effects on the cloud-field properties of tropical convective clouds

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#### Abstract

Aerosol effects on condensed water and precipitation in a tropical cloud system driven by deep convective clouds are investigated for two-dimensional simulations of two-day duration. Although an assumed ten-fold increase in aerosol concentration results in a

- similar temporal evolution of mean precipitation and a small (9%) difference in cumulative precipitation between the high- and low-aerosol cases, the characteristics of the convection are much more sensitive to aerosol. The convective mass flux, and temporal evolution and frequency distribution of the condensed water path WP (sum of liquid and ice water paths) differ significantly between unperturbed and aerosol-perturbed
- simulations. There are concomitant differences in the relative importance of individual microphysical processes and the frequency distribution of the precipitation rate (*P*). With increasing aerosol, (i) the convective mass flux increases, leading to increases in condensation, cloud liquid, and accretion of cloud liquid by precipitation; (ii) autoconversion of cloud water to rain water decreases; (iii) the WP spatial distribution becomes
- <sup>15</sup> more homogeneous; (iv) there is an increase in the frequencies of high and low WP and *P* and a decrease in these frequencies at the mid-range of WP and *P*. Thus while aerosol perturbations have a negligible influence on total precipitation amount, for the case considered, they do have substantial influence on the spatiotemporal distribution of convection and precipitation.

#### 20 **1** Introduction

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Aerosol-cloud interactions are known to have strong bearing on climate change, however, the impact of these interactions on climate has not been quantified adequately (Solomon et al., 2007). Among numerous pressing issues is the need to evaluate aerosol effects on mixed-phase convective clouds. These clouds are one of the important components of the global hydrological circulation and the climate system.





Early investigations of aerosol interactions with deep convective clouds tended to focus on a single cloud cell of short duration (hours) (Flossmann, 1991; Respondek et al., 1995; Orville et al., 2001). However, it is known that global hydrological circulations and climate are strongly controlled by cloud systems which comprise multiple clouds and last many days (Houze, 1993). The availability of powerful computing has

- afforded the possibility to explore long-term (multiple days to months), large-domain simulations of convective cloud systems, along with the promise of a much more realistic view of potential aerosol influences on these systems. Cloud system studies of long duration or encompassing large domains have demonstrated that total precipita-
- tion amount is predominantly determined by radiative-convective equilibrium (RCE) or the applied large-scale forcing, which leads to negligible variations of the precipitation amount with varying aerosol (Grabowski, 2006; van den Heever et al., 2011; Morrison and Grabowski, 2011).

The question posed here is whether the aerosol has any impact on the spatiotemporal characteristics and frequency distribution of the precipitation? The answer requires exploration of known aerosol influences on the microphysical properties of clouds and the pathways via which precipitation forms. For example, for a small change in precipitation, the aerosol-induced suppression of the conversion of cloud liquid to rain via collisions among droplets (i.e. autoconversion) must be compensated by increases in

- other cloud-liquid and cloud-ice precipitation-production pathways, each having different characteristic timescales. Thus, aerosol-induced compensation mechanisms are likely to involve changes in the magnitude and, possibly, spatiotemporal distribution of air mass flux (a function of dynamics) and associated mass of cloud liquid and cloud ice. These ideas find support in recent studies (e.g. van den Heever et al., 2011; Wang
- et al., 2011; Fan et al., 2012) which have shown that despite negligible influence on total precipitation amount, the variations of precipitation and WP spatiotemporal distribution and frequency induced by aerosol acting as cloud condensation nuclei were substantial. Earlier studies have also shown that aerosol acting as a heat source can alter the spatiotemporal distribution of air mass fluxes and thus that of cloud liquid,





cloud ice and precipitation (Kim et al., 2006; Meehl et al., 2008). It is important to note that over the global scale and sufficiently long period of time, precipitation and evaporation must balance at the surface. Hence, aerosol influences on precipitation and associated WP distribution are more relevant to the assessment of local or regional

<sup>5</sup> precipitation patterns than to total precipitation amount. For example, as shown in the Sahel drought, aerosol-induced changes in precipitation distribution have strong societal and economic impacts (Batterbury and Warren, 2001; Lee, 2011).

Motivated by the importance of the effect of aerosol on precipitation and WP distribution, this paper documents aerosol effects on the spatiotemporal distribution of clouds and precipitation in a mesoscale system comprising multiple deep convective clouds. Henceforth, this type of mesoscale system is referred to as a mesoscale cloud ensemble (MCE; Houze, 1993).

A comprehensive approach to aerosol-cloud-precipitation interactions requires simulations that are of long enough duration (as high as RCE timescales) and on a global scale, to allow the cloud system to evolve at the full range of scales (Grabowski, 2006; Tao et al., 2012). Idealized simulations on more limited spatiotemporal scales are nevertheless of great value since they allow more detailed resolution of convective and microphysical processes on timescales relevant to the duration of aerosol perturbations. Using an idealized framework, this study attempts to further our understanding of changes in the spatiotemporal distribution of clouds and precipitation induced by aerosol.

#### 2 Cloud-system resolving model (CSRM)

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The Goddard Cumulus Ensemble (GCE) model (Tao et al., 2003), a two-dimensional nonhydrostatic compressible model, is used here as a cloud-system resolving model.

Shortwave and longwave radiation parameterizations have been included in all simulations. The microphysical processes are represented by a double-moment binbulk scheme that uses bin-model-derived lookup tables for hydrometeor collection





processes (Saleeby and Cotton, 2004). A gamma size distribution with fixed breadth is assumed for hydrometeor size distributions. Cloud-droplet and ice-crystal nucleation also mimic a size-resolved approach (Lee et al., 2010). Aerosol is represented by a single scalar (number mixing ratio) and the assumption of a fixed (lognormal) size distribu-

tion. During the simulation, aerosol is advected, diffused and depleted. Through droplet or ice nucleation, aerosol mass is included in cloud liquid or cloud ice and is transferred to other species of hydrometeors through collection. Aerosol mass moves from the atmosphere to the surface when precipitating hydrometeors fall to the surface and aerosol mass is released from hydrometeors to the atmosphere when hydrometeors
 evaporate or sublimate (Feingold and Kreidenweis, 2002).

The effects of aerosol, both unactivated and activated, on radiative heating (i.e. aerosol direct and semi-direct effects) are not taken into account.

#### 3 Case description

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Two-day two-dimensional simulations of an observed mesoscale cloud ensemble (MCE; Houze, 1993) are performed over a two-day period. The MCE was observed during a part of the Tropical Warm Pool-International Cloud Experiment (TWP-ICE) (12:00 LST (local solar time) 23 January–12:00 LST 25 January 2006) campaign in Darwin, Australia (12.47° N, 130.85° W), as described by May et al. (2008) and Fridlind et al. (2009).

Large-scale forcing for potential temperature and specific humidity is applied to the model every time step by interpolating the 3-hourly observed soundings. The observed, temporally-varying surface fluxes of heat and moisture are prescribed uniformly across the surface, and are identical for all simulations. This method of modeling cloud systems was used for the CSRM comparison study by Xu et al. (2002). The details of the procedure for applying large-scale forcings are described in Donner et al. (1999). The horizontal momentum is damped to observed values, following Xu et al. (2002).





The simulations of the observed MCE have the same fundamental configuration. The horizontal domain length is set at 256 km in the east-west direction to capture mesoscale structures of the storm while the vertical domain length is set at 20 km. The horizontal (vertical) grid length is 500 (200) m. Periodic boundary conditions are applied on horizontal boundaries.

Initial background aerosol profiles, observed during the concurrent Aerosol and Chemical Transport in tropIcal conVEction (ACTIVE) program (Vaughan et al., 2008) are adopted by the control run. The initial size distribution and number concentration of background aerosol are identical to those in Fig. 4 in Fridlind et al. (2009). The average background aerosol number concentration (integrated over the distribution) and over the PBL is ~ 400 cm<sup>-3</sup>. Background aerosol concentration is ~ 450 cm<sup>-3</sup> at the surface and decreases monotonically to ~ 350 cm<sup>-3</sup> at the top of the PBL. To examine the aerosol effect, the control run is repeated but only the aerosol number concentration is enhanced by a factor of 10. This simulation is referred to as the "high-aerosol run".

<sup>15</sup> This rather significant perturbation reflects something akin to a major biomass-burning event rather than a sustained perturbation over the course of months; it is therefore appropriate for the relatively short two-day simulations. To test the robustness of results to lower aerosol perturbations, the control run is repeated with aerosol number concentration enhanced by a factor of 3; this simulation is referred to as "the medium-aerosol run".

#### 4 Results

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#### 4.1 Precipitation, condensation and updrafts

Figure 1 depicts the time series of the area-mean rainrate *P* smoothed over 3 h for all simulations, compared to observations. As expected, the precipitation is simulated reasonably well because of the strong meteorological control on *P*. The averaged cumula-

tive precipitation over the domain at the last time step for the high-aerosol run and the



medium-aerosol run is 95.7 mm and 90.1 mm, which is ~ 9% and ~ 2% greater than that for the control run, respectively. Increasing the aerosol concentration enhances precipitation but these differences in precipitation are quite small as compared to the ten- and three-fold increases in aerosol concentration. This is similar to findings in Create surface and Oracle surface surface and Oracle surface and Oracle surface and Oracle surface surface and Oracle surface surface surface and Oracle surface su

- <sup>5</sup> Grabowski (2006), van den Heever et al. (2011) and Morrison and Grabowski (2011). Following Lee et al. (2008a), we calculate differences in the domain-average cumulative sources and sinks of the sum of precipitable hydrometeors between the highaerosol run and control run (high aerosol-control) and between the medium-aerosol run and control run (medium aerosol-control) to elucidate the microphysical processes
- leading to the small difference in total precipitation amount. Among the sources and sinks, autoconversion and terms associated with accretion of cloud liquid account for the primary differences in the precipitation amount (Lee et al., 2008b; Lee and Feingold, 2010). The aerosol-induced increase in accretion of cloud liquid by precipitation and cloud ice offsets the aerosol-induced decrease in autoconversion to yield a small
   difference in the precipitation amount.

The presence of increased cloud liquid is required for the increase in accretion. As shown in Lee et al. (2008b), there are substantial (30-40%) increases in condensation and associated cloud liquid. These increases are slightly greater than increases in evaporation and associated cloud-liquid loss. This leads to increases in accretion that

- are slightly larger than decreases in autoconversion, leading to the slight increases in the precipitation amount with increasing aerosol concentration. It is noteworthy that none of the primary budget terms includes the less well-known cloud-ice and ice-ice interactions, however this may change in the future as our knowledge of these processes improves.
- The precipitation efficiency (cumulative precipitation normalized with respect to cumulative condensation at the end of time integration) is 0.40, 0.45 and 0.51 in the high-, medium- and control runs, respectively. The high- and medium-aerosol runs produce slightly larger cumulative precipitation in spite of the lower efficiency of rain production (Lee and Feingold, 2010). The small increase in the precipitation amount in this system





is made possible by the substantial increase in condensation, which dominates the reduced efficiency with which cloud liquid is converted to precipitation. Condensation is closely linked to the dynamic intensity of a system, which suggests that we should see commensurate response in metrics of the system dynamics. Figure 2a and b shows the time- and domain-averaged vertical distribution of updraft mass fluxes and the time

the time- and domain-averaged vertical distribution of updraft mass fluxes and the time series of the average updraft mass fluxes. The high- and medium-aerosol runs show significantly increased updraft mass fluxes, consistent with substantially increased condensation.

Figure 1b shows the frequency distribution of *P*. Although variations in cumulative precipitation between the three runs are very small for the strong aerosol perturbations, the frequency distribution of *P* shows features that clearly differentiate the high-, or medium-aerosol run from the control run (Fig. 1b). For the interpretation of this analysis, *P* is classified as light ( $P < 5 \text{ mm} \text{h}^{-1}$ ), moderate (*P* between 5 and 15 mm $\text{h}^{-1}$ ), and heavy ( $P > 15 \text{ mm} \text{h}^{-1}$ ). The most conspicuous differences in the precipitation frequency distribution between the runs are (i) for the heavy *P* between ~ 15 mm $\text{h}^{-1}$  and ~ 28 mm $\text{h}^{-1}$ , the high- and medium-aerosol run have ~ 40 % and ~ 25 % larger precipitation frequency then the control run respectively. Precipitation rates  $\sim 20 \text{ mm} \text{h}^{-1}$  and

itation frequency than the control run, respectively. Precipitation rates >  $28 \text{ mm}^{-1}$ , although relatively rare in the perturbed simulations, do not exist in the control simulation; (ii) for light precipitation, the high- and medium-aerosol run show on average ~ 30%

- and 20 % larger frequency than the control run, respectively; and (iii) for moderate rainrates, the control run shows on average ~ 35 % and 21 % larger precipitation frequency than the high- and medium-aerosol runs, respectively. The high- and medium-aerosol runs respond to the aerosol perturbation in a qualitatively similar way (Figs. 1 and 2, discussions in this section, as well as further analyses pertaining to subsequent sec-
- tions). Hence, results from the high-aerosol and control runs will hereafter be the focus of analysis and discussion.



#### 4.2 Water path

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#### 4.2.1 Time series, frequency distribution, and power spectral analysis

We showed in the previous section that condensation and evaporation are altered by aerosol significantly. Since condensation and evaporation are dominant source and

sink of cloud mass, we explore aerosol influences on condensed cloud mass, as represented by liquid-water path (LWP) and ice-water path (IWP). Figure 3a shows the time series of the domain-averaged LWP and IWP over the simulation period. It is clear that LWP accounts for most of the water path (WP = LWP + IWP) and that the LWP differences are correlated with the precipitation differences, albeit with a lag of ~ 40 min (cf.
 Figs. 1a and 3a). This lag time is associated with the delay time required for precipitation to form and fall to the ground.

Figure 3b shows the frequency distribution of WP. As in the case of *P*, the highaerosol run is characterized by (i) a higher frequency of high WP (>  $1000 \text{ gm}^{-2}$ ); (ii) a lower frequency of events in the mid range of WP between ~  $100 \text{ and } 1000 \text{ gm}^{-2}$ ; and (iii) a higher frequency of low WP (<  $100 \text{ gm}^{-2}$ ) (cf. Fig. 1b). It is worth pointing out that there is also a higher frequency of high cloud-top heights (> 8 km), a lower

- frequency of mid cloud-top heights between 4 km and 8 km, and a higher frequency of low cloud-top heights (< 4 km) in the high-aerosol run. This indicates a correlation between the WP and cloud-top height distributions.
- <sup>20</sup> Grid columns with a specific *P* are collected over the course of the two-day simulation Then, the mean and the standard deviation of WP over these columns are calculated. This calculation is performed for *P* between 0 and 45 mmh<sup>-1</sup>, discretized into linearly distributed bin values. Note that 45 mmh<sup>-1</sup> corresponds to the maximum precipitation rate in the two simulations. Figure 4 shows the mean and standard deviation of WP for
- the discretized *P* between 0 and  $45 \text{ mm h}^{-1}$ . Figure 4 demonstrates that, as expected, larger *P* is associated with larger WP, consistent with the correlations in differences in WP and those in *P* in Figs. 1 and 3. It is noteworthy that the rate of the *P* increase with increasing WP is higher in the control run. Stated differently, the clean aerosol





conditions produce higher *P*s than the high-aerosol conditions for the same WP. This reflects the higher precipitation efficiency in clean conditions as discussed in depth in Lee and Feingold (2010).

Figure 5a shows the power spectral analysis based on the domain-averaged WP time series (Fig. 3a). First, at the lowest frequencies (spatial scales ~ 250 km based on the average advection speed of  $10 \text{ ms}^{-1}$ ), the high-aerosol run exhibits larger spectral power; second, it is notable that in the high-aerosol run, the power is larger, and distributed more homogeneously for frequencies >~  $1.6 \times 10^{-4}$  Hz (spatial scales <~ 70 km). However, between ~  $0.5 \times 10^{-4}$  and ~  $1.6 \times 10^{-4}$  Hz, the control run exhibits greater power. Similar to these differences in the WP power, when the power spectral analysis is performed on the updraft-mass-flux time series (Fig. 2b), the highaerosol run has larger power throughout the frequency range except for that between ~  $0.8 \times 10^{-4}$  and ~  $1.8 \times 10^{-4}$  Hz (Fig. 5b).

#### 4.2.2 Homogeneity

<sup>15</sup> Following Barker (1996), a nondimensional homogeneity parameter  $H_{\sigma}$  is used to quantify the spatial homogeneity of cloud fields.  $H_{\sigma}$  is defined as  $(\overline{A}/\sigma_A)^2$  where  $\overline{A}$ and  $\sigma_A$  represent the spatial mean and standard deviation of A at a certain time, respectively, and A denotes the variable under consideration.  $H_{\sigma}$  of WP is calculated at each time step and the time series of  $H_{\sigma}$  is shown in Fig. 6. Throughout the simulation <sup>20</sup> period,  $H_{\sigma}$  of the high-aerosol run exceeds that of the control run.

It is interesting to examine the differences in the spatial distribution of WP when differences in the homogeneity between the two runs are either at their maximum or their minimum during the simulation period (Fig. 7a, b). The maximum and minimum differences in homogeneity between the two simulations occur around 02:00 LST and

<sup>25</sup> 12:00 LST on 24 January (marked by arrows in Fig. 6), respectively. At the time of the maximum difference in  $H_{\sigma}$ , WP fluctuates with little deviation around ~ 3500 gm<sup>-2</sup> in the high aerosol run. However in the control run, WP varies widely from 0 to ~ 10000 gm<sup>-2</sup> associated three distinct peaks in WP (Fig. 7a). At the time of the



minimum difference in the WP homogeneity (Fig. 7b), WP is generally larger in the high-aerosol run. However, in contrast to Fig. 7a, there are no distinct differences in the WP spatial variations between the simulations.

The much larger homogeneity of WP in the high-aerosol run at the time of the maximum  $H_{\sigma}$  difference is reflected in the power spectral analysis on the spatial distribution of WP over the domain. (Note that this differs from the power spectra calculated from the domain-averaged time series in Fig. 5.) Fig. 8a shows that at the time of the maximum difference in  $H_{\sigma}$  the spectral power in the high-aerosol run concentrates at small wavenumbers (*k*); it exhibits much less variability compared to the control run than in the range between ~ 0.05 and 0.25 km<sup>-1</sup> (or ~ 4 to 20 km when translated to a spatial scale). In this range, the control run power spectrum exhibits a distinct peak around  $k = 0.12 \text{ km}^{-1}$  (~ 8 km) and a large decrease in the power thereafter. Overall the perturbed case concentrates more energy in the smallest and largest wavenumbers. These differences are consistent with the homogeneous spatial variation of WP 15 for the perturbed case at the time of the maximum difference (Fig. 7a).

At the time of minimum difference in homogeneity, the difference in the distribution of power over the entire k range between the high-aerosol and control runs is relatively small, although there is a slight decrease in power for k of ~ 0.1 km<sup>-1</sup> or above in the control run.

The WP frequency distribution at the time of maximum differences in homogeneity (Fig. 9a) is much wider in the control run, as expected from Fig. 7a. While in the high aerosol run, convective cells with moderate WP are spread throughout the domain, in the control-run, convective cells with very large WP are interspersed with those with very small WP (Figs. 7a and 9a). This reflects substantial aerosol-induced changes in cloud-field properties. At the time of minimum homogeneity difference (Fig. 9b), the WP frequency is spread over a similar range for both of the runs, with a shift to larger

WP frequency is spread over a similar range for both of the runs, with a shift to larger WP values in the perturbed case, as expected from Fig. 7b.





#### 4.2.3 Temporal evolution of the WP frequency

The WP frequency distribution is now calculated for three separate time segments over the course of the two-day simulation. The first, second and third segments are 12:00 LST on 23 January–00:00 LST on 24 January, 00:00 LST on 24 January–18:00 LST

- on 24 January and 18:00 LST on 24 January–12:00 LST on 25 January, respectively. The segments correspond to initial, mature and decaying stages of convective activity based on the precipitation evolution in Fig. 1a. Precipitation starts and increases in the initial stage and reaches its maximum value in the mature stage. In the decaying stage, precipitation stabilizes to a low level and does not vary much.
- <sup>10</sup> For the first segment, there are significant differences in the WP frequency for WP between 0 and ~ 100 gm<sup>-2</sup> (Fig. 1a), however, there are negligible differences for WP >~ 100 gm<sup>-2</sup>. In the second segment, significant differences in the WP frequency distribution are evident (Fig. 1b) with a tendency for the perturbed simulation to be characterized by more frequent higher, and fewer small WP events. In the third segment,
- <sup>15</sup> the distributions qualitatively resemble those in the second segment (Fig. 1c) although both are narrower, particularly that of the perturbed simulation. Note that there are no occurrences of WP <  $100 \text{ gm}^{-2}$  for both of the runs. Figure 1 shows that the difference in the occurrence of low WP <~  $100 \text{ gm}^{-2}$  appears during the initial stage, while the difference in the occurrence of moderate WP clouds with  $100 \text{ gm}^{-2} < \text{WP} < 1000 \text{ gm}^{-2}$
- <sup>20</sup> occurs during the mature stage. For clouds with high WP >  $1000 \text{ gm}^{-2}$ , the difference is mostly manifested during the mature and decaying stage of convective activity.

#### 4.2.4 Radiation

The time- and domain-averaged shortwave and longwave fluxes at the top of domain are 109.2 and 129.5 W m<sup>-2</sup>, respectively, in the high-aerosol run Here, minus and plus represent downward and upward fluxes, respectively. The equivalent shortwave and longwave fluxes in the control run are -118.5 and 133.2 W m<sup>-2</sup> With increasing aerosol, net radiation fluxes at the domain top (i.e. shortwave plus longwave fluxes) increase





from 14.7 to  $20.3 \text{ Wm}^{-2}$ , mainly due to more reflection of incident shortwave radiation at the top in the high-aerosol run. However, it should be pointed out that ~ 40 % of the increase in the reflection of shortwave radiation is offset by a decrease in the outgoing longwave radiation at the top with increasing aerosol. This offset is an order of magnitude larger than that in warm stratocumulus clouds (e.g. Lee et al., 2009).

Figure 11a shows vertical distributions of the time- and domainaveraged radiative heating rates. Net heating rates (i.e. shortwave plus longwave heating rates) show generally larger and smaller heating above and below ~ 5 km, respectively, in the high-aerosol run (Fig. 11a). The larger heating above 5 km dominates the smaller heating below 5 km in the high-aerosol run, leading to the larger time- and domain-averaged net heating (-1.23 Kh<sup>-1</sup>) than in the control run (-1.41 Kh<sup>-1</sup>). Comparisons amongst Fig. 11a–c demonstrate that differences in the net heating between the runs are mostly caused by those in longwave heating. They also show that the time- and domain-

averaged shortwave heating is lower in the high-aerosol run and thus the larger net heating is enabled by higher longwave heating in the high-aerosol run.

Figure 12a shows the vertical distribution of the time- and domain-averaged sum of liquid-water and ice-water content. Above  $\sim 9$  km, the level of homogeneous freezing, most of the sum is accounted for by ice water, while below  $\sim 9$  km most is accounted by liquid water. Figure 12b shows the vertical distribution of the time-and domain-averaged

net longwave flux. Larger net longwave flux in the high-aerosol run becomes smaller than that in the control run around 10 km due to larger ice mass blocking more upward longwave flux. This induces the smaller outgoing longwave radiation at the model top and plays a critical role in the larger longwave heating.

Figure 3c shows the frequency distribution of WP from the repeated control and highaerosol runs with explicit radiation calculations turned off but with the averaged heating rate from the high-aerosol run (shown in Fig. 11a) imposed on all grid points in both of the repeated runs. Hence, there are no differences in radiative heating between the repeated runs. There are no qualitative differences between Fig. 3b, c, which exemplifies





that aerosol-induced changes in radiative heating rates do not influence the qualitative nature of results discussed in the previous sections.

#### 5 Discussion

### 5.1 Updraft mass flux

- <sup>5</sup> This study shows that mass fluxes associated with the high aerosol run are approximately 2 times larger than those from the low aerosol run (Fig. 2). In contrast, Morrison and Grabowski (2011) show negligible differences in updraft mass fluxes between their high- and low-aerosol experiments for the same TWP-ICE case. Their high aerosol case has ~ 20 times larger aerosol concentration than their low aerosol case. This implies that in their simulations the increase in condensation (in response to that in updrafts) and the subsequent increase in accretion of cloud liquid may not be a primary compensation mechanism. (Note that Morrison and Grabowski, 2011 also showed a nearly identical precipitation amount between the high- and low-aerosol cases.) However, in agreement with this study, van den Heever (2011) simulated ~ 2 times larger
  <sup>15</sup> updrafts with 16 times higher aerosol concentration for tropical clouds in radiative con-
- vective equilibrium (RCE). Morrison and Grabowski (2011) and this study adopt different simulation setups (e.g. resolution and domain size) and different microphysics parameterizations. Van den Heever (2011) and this study use approximately the same microphysical scheme. To gain a more comprehensive understanding of the mass flux response and the compensation mechanism, future work will examine the sensitivity of
  - the mass-flux response to the simulation setup and microphysics parameterization.

#### 5.2 Precipitation frequency

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Previous studies (e.g. Fan et al., 2012; van den Heever et al., 2011; Wang et al., 2011; Koren et al., 2012; Li et al., 2011) examining the response of the *P* frequency to aerosol in deep convective clouds reaching the tropopause used different criteria to classify *P*.





Note that while Fan et al. (2012), van den Heever et al. (2011) and Wang et al. (2011) rely on models for the *P*-frequency calculation, Koren et al. (2012) and Li et al. (2011) used ground-based and satellite observations for their calculations. The maximum *P* in those studies are different to this study, as are the classifications into *P* ranges.

- <sup>5</sup> The significantly different classifications exacerbate comparisons among studies. Nevertheless, the abovementioned previous studies and this study all show a tendency for aerosol perturbations to shift the PDF of *P* to the largest *P* class. In other words, there is good agreement amongst studies that an increase in aerosol enhances the frequency of relatively heavy precipitation. However, in other ranges of *P*, there are discrepancies
- <sup>10</sup> in the response of the *P* frequency. This may not be that surprising considering significant differences in the development stages of convection and environmental conditions among studies and the strong dependence of cloud and precipitation characteristics on these conditions and stages (Weisman and Klemp, 1982; Houze, 1993).

#### 5.3 RCE

- It is well known that it takes ~ 20 days for a tropical convective system to reach RCE (Tompkins and Craig, 1998), whereas this study considers a two-day simulation period. Hence, we do not address aerosol-cloud interactions in RCE where the implications of aerosol-cloud interactions for climate might emerge. However, interestingly, there are similarities between this study and previous studies in RCE (e.g. van den Heever et al., 2011; Grabowski, 2006): (i) van den Heever et al. (2011), Grabowski (2006) and
- this study, not surprisingly, show very weak changes to domain-averaged cumulative precipitation (ii) these studies all show significant changes in updraft mass fluxes and the P frequency distribution due to aerosol perturbation, although there are quantitative differences in changes in P frequency distribution. A likely cause of these quantitative
- <sup>25</sup> differences is the disparity in time available for radiation-cloud interactions to manifest (Zeng et al., 2007; Lee et al., 2012).





#### 5.4 Raindrop terminal velocity

Recent studies have linked the characteristics of a convective system to the raindrop terminal velocity (Parodi et al., 2011; Parodi and Emanuel, 2009). Their simulations that differ only in the specification of the raindrop terminal velocity show that cloud size

- <sup>5</sup> decreases while updraft strength increases with increasing raindrop terminal velocity. The current model simulations apply the appropriate fall velocities to all precipitating particles based on their temporally and spatially varying mean size. However, to compare our results to these earlier studies we have calculated the average raindrop terminal velocity for control and perturbed simulations: these are respectively 6.5 m s<sup>-1</sup>
- and 7.8 m s<sup>-1</sup> (temporally and domain-averaged over all regions with mass mixing ratio  $> 0.05 \text{ g kg}^{-1}$ ). Since raindrop terminal velocity is smaller in the control run than in the high-aerosol run, our results are qualitatively consistent with Parodi et al. (2011) and Parodi and Emanuel (2009): as a result of the aerosol perturbation, the average cloud radius (based on liquid-phase cloud entities) decreases from 7.1 km to 5.6 km. This
- <sup>15</sup> broad consistency reinforces the conclusion by Parodi et al. (2011) and Parodi and Emanuel (2009) that raindrop terminal velocity is a fundamental physical parameter that is able to explain variability in the properties of a convective system.

#### 6 Summary and Conclusions

The effects of aerosol on a tropical cloud system driven by deep convection have been investigated. The difference in total precipitation resulting from a 10-fold increase in aerosol concentration is only 9% due to compensation among microphysical pathways. While the aerosol perturbation suppresses autoconversion, there is a substantial increase in accretion of cloud liquid by precipitation, which results in the small variation of total surface precipitation. This increase in accretion is due to a significant increase in updraft mass fluxes and condensation.





Although total precipitation amounts are similar, there is a significant difference in the frequency distribution of P; with increasing aerosol, there is a marked increase in the occurrence of light and heavy rain, while there is a notable decrease in moderate rain. Changes in the frequency distribution of WP and cloud-top height are qualitatively simi-

Iar. A strong similarity in the response of the spectral power of the timeseries of WP and that of updrafts to aerosol perturbation is noted. This similarity indicates that a change in the power of the temporal scale of cloud mass due to an aerosol perturbation tends to be associated with the temporal scale of updrafts.

Most of the differences in the WP frequency at the lower bound of the WP range are noticeable during the early stage of convective activity, while the differences in the WP frequency in the middle WP range emerge during the mature stage of convective activity The differences in the WP frequency at the upper WP range are manifested during the middle and decaying stages of convective activity.

Increases in the aerosol also result in a significant increase in the spatial homo-<sup>15</sup> geneity of the water condensate for most of the simulation period. The differences in the spatial variation, frequency and power of the WP are substantial at the time of the maximum difference in homogeneity, but significantly less so at the time of the minimum difference.

The difference between the temporal evolution of the WP in high-aerosol and control runs is strongly correlated with that of the precipitation difference, albeit with a lag of  $\sim$  40 min.

With increasing aerosol, less radiation enters the domain due to more reflection of incident solar radiation. However, a significant portion of the increase in the reflected radiation is offset by more longwave radiation trapped by increasing ice mass in the

<sup>25</sup> upper troposphere. It is notable that longwave radiative heating gets higher, which makes net radiative heating higher with increasing aerosol. Hence, aerosol-induced changes in longwave radiation tend to compensate for aerosol-induced decreases in solar radiation and associated cooling. However, these changes in radiative heating do not have significant impacts on the qualitative nature of aerosol-related changes in





precipitation and WP. Since radiative processes have a much longer timescale than the convective time scale, the two-day period is likely not long enough to discern radiative impacts on precipitation and WP. A better understanding of the effect of radiative processes merits further studies with longer-period simulations that are matched to the typical durations of aerosol perturbations.

This study shows that average raindrop terminal velocity increases with increasing aerosol, and is accompanied by decreasing cloud size, increasing updraft strength and the occurrence of heavier precipitation. These changes are consistent with Parodi et al. (2011) and Parodi and Emanuel (2009) who concluded that the raindrop terminal velocity is a physical parameter that, to a large degree, accounts for the cloud system characteristics

In conclusion, the response of a meteorologically constrained cloud system to an aerosol perturbation is an internal readjustment of precipitation pathways to achieve approximately the same amount of integrated precipitation. A by-product of this readjustment is a significant change in undrafts condensation, and the spatiotemporal evo-

<sup>15</sup> justment is a significant change in updrafts, condensation, and the spatiotemporal evolution of WP and precipitation frequency.

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Aerosol effects on tropical convective cloud properties

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Fig. 1. (a) Time series of precipitation rate (P) and (b) frequency distribution of P.

















**Fig. 3. (a)** Time series of liquid-water path (LWP) and ice-water path (IWP) and **(b)** frequency distribution of water-path (WP = LWP + IWP) for the entire simulation period for the control and high-aerosol runs. **(c)** As in **(b)** but for the repeated control and high-aerosol runs with explicit radiation calculations turned off and with the average heating rate from the high-aerosol run (shown in Fig. 11a) imposed on all of grid points in both of the repeated runs.



Fig. 4. Averaged WP for (a) the high-aerosol run and (b) the control run. Vertical bars represent ± one standard deviation.



Interactive Discussion











Fig. 6. Time series of the WP homogeneity.





**Fig. 7.** Spatial distribution of WP over the horizontal domain **(a)** at the time of maximum difference around 03:00 LST on 24 January in the WP homogeneity and **(b)** at the time of minimum difference in the WP homogeneity around 12:00 LST on 24 January.







**Fig. 8.** Spectral power of spatial WP distribution **(a)** at the time of the maximum difference around 03:00 LST on 24 January in the WP homogeneity and **(b)** at the time of the minimum difference around 12:00 LST on 24 January in the WP homogeneity.



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**Fig. 9.** Frequency distribution of WP (a) at the time of maximum difference in homogeneity around 03:00 LST on 24 January and (b) at the time of minimum difference in homogeneity around 12:00 LST on 24 January.



**Fig. 10.** Frequency distribution of WP over a period **(a)** between 12:00 LST on 23 January and 00:00 LST on 24 January, **(b)** between 00:00 LST on 24 January and 18:00 LST on 24 January, **(c)** between 18:00 LST on 24 January and 12 LST on 25 January.







Fig. 11. Vertical distributions of the time- and domain-averaged (a) net radiative, (b) shortwave radiative and (c) longwave radiative heating rates.

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