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# Uncertainties in future climate predictions due to convection parameterisations

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### Abstract

In the last decades several convection parameterisations have been developed to consider the impact of small-scale unresolved processes in Earth System Models associated with convective clouds. Global model simulations, which have been performed

- <sup>5</sup> under current climate conditions with different convection schemes, significantly differ among each other in the simulated transport of trace gases and precipitation patterns due to the parameterisation assumptions and formulations, e.g. the simplified treatment of the cloud microphysics. Here we address sensitivity studies comparing four different convection schemes under alternative climate conditions (doubling of the CO<sub>2</sub>
- concentrations) to identify uncertainties related to convective processes. The increase in surface temperature reveals regional differences up to 4 K dependent on the chosen convection parameterisation. The increase in upper tropospheric temperature affects the amount of water vapour transported to the lower stratosphere. Furthermore, the change in transporting short-lived pollutants within the atmosphere is highly ambigu-
- <sup>15</sup> ous for the lower and upper troposphere. Finally, cloud radiative effects have been analysed uncovering a shift in different cloud types in the tropics.

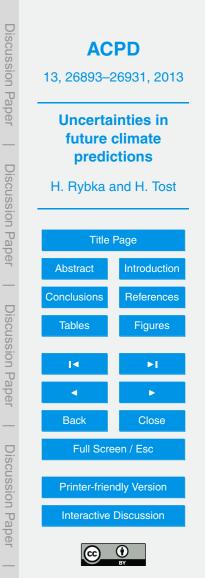
#### 1 Introduction

Climate change due to increasing anthropogenic emissions is usually predicted with the help of Earth System Models (ESMs). Typically, the equilibrium climate sensitivity
 is specified as a measure of global mean temperature increase at the surface caused by a doubling of the carbon dioxide concentration (Cess et al., 1990). The change of the earth's temperature is a consequence of a changing heat budget of the atmosphere (and the ocean) accompanied by the redistribution of water vapour, the most important greenhouse gas, influencing the incoming and outgoing radiation. Both, heat
 and water vapour budgets are strongly coupled with atmospheric moist convection, which generally cannot be resolved directly in global atmospheric models. The pa-



rameterisation of convection, which represents small-scale cloud processes, induces much of the uncertainty concerning predictions of climate variability (Randall et al., 2003; Arakawa, 2004). In the last decades a variety of convection schemes have been developed (i.e., Arakawa and Schubert, 1974; Tiedtke, 1989; Hack, 1994; Zhang and

- <sup>5</sup> McFarlane, 1995; Emanuel and Zivkovic-Rothman, 1999; Donner et al., 2001; Bechtold et al., 2001; Nober and Graf, 2005), some of them are slightly different, whereas most of them vary significantly in the description of convective processes. In principle, every scheme attempts to describe the statistical effect of moist convection to adjust the energy and water budget of the atmosphere into a more stable state.
- Previous studies have compared different convection parameterisations in an ESM applying current climate conditions. The results indicate large differences in the simulated water vapour distribution and in the transport of short-lived trace gases due to a change of the convection scheme (Mahowald et al., 1997; Tost et al., 2006, 2010; Zhang et al., 2008). The region with the highest sensitivity is the upper troposphere-
- <sup>15</sup> lower stratosphere (UTLS) in the intertropical convergence zone (ITCZ), which is dominated by the ascending vertical motion driven by convective cells. Therefore, it is anticipated that these uncertainties will propagate to alternate and future climate predictions. Another major source of uncertainty regarding model projections of global warming is the effect of clouds on the radiation budget (Stephens, 2005; Solomon et al., 2007). The
- <sup>20</sup> huge spread of simulated cloud radiative feedbacks, occurring among climate models for more than a decade, has been observed in several studies (Cess et al., 1989; Colman, 2003; Soden and Held, 2006; Bony et al., 2006) and recognized as a key factor of the uncertainty in climate change since the 1970s (i.e., Charney, 1979). The complexity of this problem is attributed to cloud-induced flux changes (so called cloud radiative
- forcing; CRF) of the net shortwave and longwave radiation compared to clear-sky conditions. The modification of clouds on the radiative fluxes in the atmosphere is strongly dependent on the specific cloud type and can substantially vary in magnitude and sign (Chen et al., 2000; Hartmann et al., 1992, 2001). Areas which contribute the most to inter-model differences of simulated CRFs are regimes of moderate subsidence in



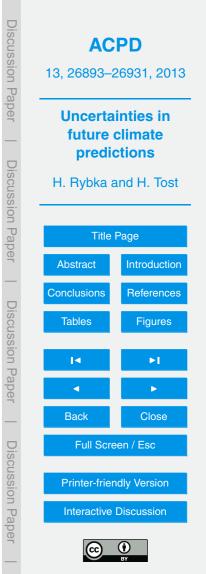
tropical regions reflecting low-level clouds in trade wind regions (Bony et al., 2004). These regimes are often closely related to deep convective cells, which influence regions of mean subsidence (Emanuel et al., 1994; Larson et al., 1999). Consequently, convection schemes alter cloud radiative forcing and its feedback.

- Since, convection schemes interact with large-scale cloud parameterisations, which describe the process of condensation and evaporation on the grid scale, and consequently cloudiness and precipitation, their interdependency (subgrid scale ↔ large scale processes) influences total precipitation patterns and the amount and type of clouds, thus affecting cloud radiation properties (Hourdin et al., 2006).
- Here we present an intercomparison of 16 simulations that differ with respect to the convection parameterisation, climatic distinction and model resolution. The focus is to identify and quantify uncertainties in simulated temperature increase, cloud radiative forcing and transport processes due to changes induced by the usage of different convection parameterisations. In order to avoid ambiguities, the term "sensitivity" is used in the sense of "sensitivity of cloud radiative feedbacks to convection parameterisa-
- <sup>15</sup> in the sense of "sensitivity of cloud radiative feedbacks to convection parameterisation". These cloud radiative feedbacks certainly influence the sensitivity of the climate system (Colman, 2003; Ringer et al., 2006; Bony et al., 2006).

This study extends sensitivity studies of convection parameterisations which have been performed under current climate conditions (Tost et al., 2006, 2010). It was

shown, that an exchange of the convection parameterisation significantly modifies the water vapour and temperature distribution in the UTLS region, especially in low latitudes. Furthermore, discrepancies in precipitation patterns and cloudiness have been found to alter the radiation and energy budget of the atmosphere. This work focuses on the impact of different convection schemes influencing meteorological variables under climate change conditions.

In Sect. 2 an overview of the model and simulation setup is given. Section 3 concerns with mathematical methods, which are used to interpret the results in Sect. 4. The results are divided into several subsections dealing with differences in the temperature



distribution, precipitation, transport of short-lived trace gases and the cloud radiative forcing. Our conclusions are given in Sect. 5.

## 2 Model description and simulation setup

## 2.1 Model description

- To analyse the impact of convection parameterisations the ECHAM5/MESSy atmo-5 spheric chemistry model (EMAC, Joeckel et al., 2010) is used. It is a combination of the 5th generation of the European Centre Hamburg general circulation model (ECHAM5, Roeckner et al., 2006) and the Modular Earth Submodel System (MESSy, Joeckel et al., 2005). The former calculates the atmospheric flow with the prognostic variables (vorticity, divergence, temperature, total moisture and the logarithm of the surface pres-10 sure) and is integrated in the Base Model Layer of MESSy (Joeckel et al., 2005). The interface structure of MESSy allows to use different submodels linking modules for atmospheric chemistry, transport or diagnostic tools with the meteorology. The modularization implies an equivalent configuration for every simulation, distinguishing only by the chosen convection parameterisation in the submodel CONVECT and the carbon 15 dioxide concentration of the atmosphere used in the radiation calculations (submodel RAD4ALL). The implementation of atmospheric chemistry processes is neglected, as well as the simulation of the ocean circulation. The latter consequently determines the
- <sup>20</sup> tent) for the simulations.

25

## 2.2 Simulation setup

For this study two scenarios are calculated with EMAC (Roeckner et al., 2006; Joeckel et al., 2005, 2010), applying each four different convection schemes (see Table 1). Additional information about the individual convection parameterisations and their implementation are described in Tost et al. (2006) and references therein. Each set of

requirement of boundary conditions (e.g. sea surface temperatures and sea ice con-



experiments includes a reference simulation (hereafter referred to as REF) with a carbon dioxide concentration of 348 ppm and a double  $CO_2$ -simulation (abbr.  $2 \times CO_2$ ) with a  $CO_2$  concentration of 696 ppm. Oceanic boundary conditions are prescribed with external data. For this purpose, climatological monthly average sea surface temperatures

- $_{5}$  (SST) and sea ice contents (SIC) from 1987 to 2006 from the AMIP database are used for the reference simulation. Concerning the 2 × CO<sub>2</sub>-simulation, data of a coupled atmosphere–ocean general circulation model (increased/decreased SSTs/SICs), which has been run under similar climate conditions (CO<sub>2</sub> concentration of 696 ppm), have been used to maintain the radiative equilibrium (M. Ponater, personal communication,
- <sup>10</sup> 2012). Two horizontal resolutions (T42 and T63) are applied with 31 vertical hybrid pressure levels up to 10 hPa for each simulation, which results in 16 simulations spanning up a space of the chosen convection parameterisation, resolution and climate condition.

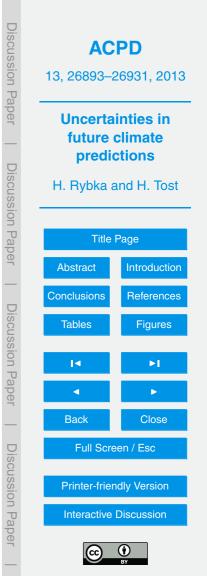
The simulation period spans 10 yr, considering the first year of the simulation as spin-up and therefore discarding it from the analysis in each case. Monthly averaged output data have been used for the analysis. It should be mentioned that because of the coarse vertical resolution for the stratosphere, circulation patterns in these altitudes are insufficiently resolved. Therefore, results for these regions should be treated carefully.

#### 3 Methods

The variety of simulations allows many possibilities for comparisons, therefore a consistent notation is required to avoid possible confusion. To compare a variable *x* of two (or more) simulations the following notations are used: The symbol Δ indicates the difference between the 2 × CO<sub>2</sub>- and REF-simulation con-

sidering the same convection scheme (i = T1, EC, EM or ZM) in both runs, i.e.:

<sup>25</sup>  $\Delta x_i = x_{i,2 \times CO_2} - x_{i,REF}$ 



(1)

To identify the differences between two individual simulations with the same  $CO_2$  concentration the character  $\delta$  and subscripts distinguishing the convection schemes are applied. For example, the difference of a variable *x* between the REF-simulations of EM and T1 is calculated as follows:

5 
$$\delta_{T1}^{EM} x_{REF} = x_{EM, REF} - x_{T1, REF}$$

The combination of Eqs. (1) and (2) results in:

$$\begin{split} \delta_{\text{T1}}^{\text{EM}}(\Delta x) &= \Delta x_{\text{EM}} - \Delta x_{\text{T1}} \\ &= (x_{\text{EM}, \ 2 \times \text{CO}_2} - x_{\text{EM}, \ \text{REF}}) - (x_{\text{T1}, \ 2 \times \text{CO}_2} - x_{\text{T1}, \ \text{REF}}), \end{split}$$

where  $\delta_j^i(\Delta x)$  represents the uncertainty of a changing quantity x between two convection schemes (whereas i, j = T1, EC, EM or ZM and  $i \neq j$ ). Finally, a measure is needed to compute the maximum error or variability of a variable due to a change of the convection parameterisation for one resolution.

$$x_{\text{Var}} = x_{\text{max}} - x_{\text{min}},\tag{4}$$

15 where

20

and  $x_{max} = MAX(x_{T1}, x_{EC}, x_{EM}, x_{ZM}),$  $x_{min} = MIN(x_{T1}, x_{EC}, x_{EM}, x_{ZM}).$ 

Equation (4) displays the maximum error by selecting the minimum value  $x_{min}$  of four simulations at a specific grid point (and/or level) and subtracting it from the corresponding maximum value  $x_{max}$  at the same location.

For the following section, one has to keep in mind that the analysed variables are 9 yr averaged values of the monthly output data.



(2)

(3)

#### 4 Results

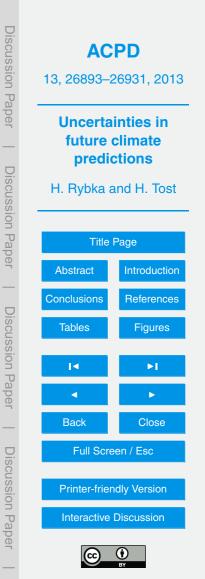
### 4.1 Temperature

As convection influences the atmospheric heat budget and consequently the temperature profile (Yanai et al., 1973; Johnson, 1984), differences in the temperature profiles of the REF- and 2 × CO<sub>2</sub>-simulations are analysed.

Figure 1 shows the simulated global mean temperature increase/decrease ( $\Delta T$ ) in the troposphere/stratosphere due to a doubling of the carbon dioxide concentration and the application of different convection parameterisations and model resolutions.

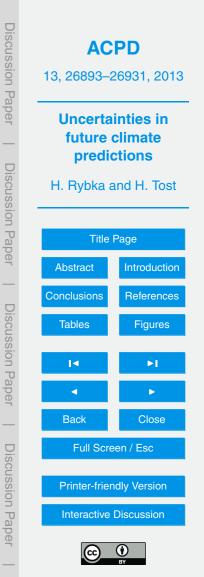
Qualitatively, the general characteristics of the vertical temperature change profile are independent of the selected resolution and convection scheme: a gradual increase from 3 to 5 K up to 300 hPa accompanied by a gain in radiative energy which is not uniformly distributed within the troposphere because of advection and convective mixing. In contrast, the stratospheric temperature decrease is related to enhanced absorption and re-emission of higher CO<sub>2</sub> concentrations. The differences between indi-

- vidual simulations are on average below 0.5 K, but show significant variations around 600 hPa and above 400 hPa. These two regions of high model to model fluctuations are related to two mechanisms associated with different formalisms in the convection schemes. Firstly, the representation of microphysics strongly influences the formation of precipitation as well as ice and snow formation and is therefore connected to the
- 20 release and/or need of energy for phase transformations, especially around 600 hPa. Secondly, the transport of water vapour by convective updrafts to high altitudes affects the radiation, thereby altering the temperature above 400 hPa. These two processes are the major reasons for the variability in the temperature change between the individual simulations. The comparison of Fig. 1a and b reveals no obvious differences for
- $_{25}$   $\Delta T$  induced by a change of the model resolution. Figure 2 presents a correlation of the temperature change between the resolution T63 and T42. The individual simulations are distinguished by various symbols and colour coded with pressure altitude. Figure 2 shows that the correlation between the two resolutions is very high ( $R^2 \ge 0.9976$ ) and



the linear regression is close to the one-to-one line, particularly below 400 hPa (red to yellow symbols). Nevertheless, a tendency of higher temperature changes  $\Delta T$  for the resolution T63 is evident in every simulation for pressure altitudes between 50 hPa and 300 hPa.

- Table 2 compares the simulated temperature increase for the lowermost model layer and their biases compared to the reference simulation T1. The globally averaged values for  $\Delta T$  lie within a range of 3.3 to 3.5 K without significant differences among the resolutions. The EC simulation produces a slightly higher temperature increase compared to the other simulations. Apparently, most of the global mean temperature increase is strongly restricted to the prescribed boundary conditions for the 2 x CO<sub>2</sub>-simulation
- over the oceans, but reveals higher regional variations over the land surface. Figure 3 shows this variability by displaying the maximum error  $\Delta T_{Var}$  (see Eq. 4) of the temperature increase  $\Delta T$  for either the lowermost model layer and the zonal mean induced by a change of the convection parameterisation. This variable helps to identify regions on
- <sup>15</sup> the globe and within the atmosphere, which show a high sensitivity to a change of the convection scheme. In Fig. 3 these regions are explicitly illustrated by green, yellow and brown colours highlighting variations of  $\Delta T$  above 1.0 K. These regions encompass the ITCZ in Africa and South America displayed in Fig. 3a and b as well as areas north and south of 60°. The latter specifies zones which vary significantly in snow and ice cover
- <sup>20</sup> over land between the simulations. Strong variations in high latitudes result from interactions between the boundary layer (parameterisation), the boundary condition and the convection scheme, whereas the variability for the ITCZ region is exclusively determined by the diverse simulation of convection. The most notable feature is visible over the continents Africa and South America,  $\Delta T_{Var}$  is approximately  $\Delta T_{min}$  (black contour
- <sup>25</sup> lines in Fig. 3), which means that the change of the convection parameterisation produces a range of the average temperature increase in these regions between 2 K and 6 K. Consequently, the impact using a different convection scheme according the temperature change is large in the ITCZ but negligible with respect to global mean values (see Table 2). The comparison of the resolutions in Fig. 3a and b provide evidence



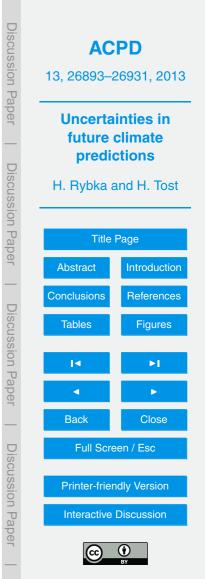
for lower model-to-model fluctuations of the sensitivity in local surface temperature response to convective changes over Africa for the horizontal resolution T63. This indicates that the relative importance of the convection schemes decreases with higher model resolution due to the ability to partly resolve atmospheric phenomena better.

<sup>5</sup> Regarding the zonal mean distribution of  $\Delta T_{Var}$ , larger values are located in the UTLS and around 600 hPa in the ITCZ (see Fig. 3c and d), pronouncing the temperature variability for these pressure heights shown in Fig. 1. But besides that, a higher variability is also visible around 70° N and 80° S, which indicate regions with a large change in snow and ice cover. This result points out that differences in the interaction between convection schemes and the boundary layer influence the whole temperature profile of the troposphere, which for this case is also determined by a change of convective triggering (see Sect. 4.2).

#### 4.2 Trigger function/mechanism

During the simulation the calculation process of the convection scheme is repeated every time step, while the first decision in every cycle defines one grid cell as convective or non-convective. This determination is done by the so called "trigger" function by examining if the actual atmospheric environment favours the convective ascent of an air parcel or suppress its rise. Each convection parameterisation uses a different kind of trigger mechanism, consequently altering the occurrence of convection as well as

- the type (shallow, midlevel or deep). A change in this small part of the parameterisation could affect the model climate (Jakob and Siebesma, 2003). The most common trigger function adds a virtual temperature excess (typically 0.5 to 1 K) to the buoyant air parcel to overcome a potential barrier at cloud base (relevant for T1, EM and ZM). Another approach for the trigger mechanism, which is pursued by the ECMWF scheme,
- is the criterion regarding a positive vertical velocity for the air parcel at cloud base (Tompkins et al., 2004). These criteria determine the trigger function and the overall appearance of convection. The zonal activation of convection for the reference simulations is displayed in Fig. 4a and b. Each bar represents an average activation over

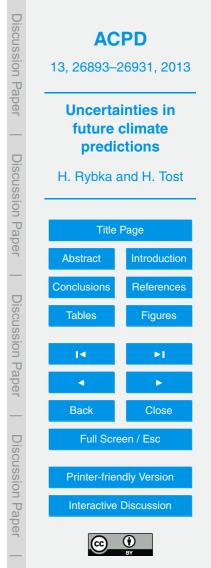


 $30^{\circ}$  latitude for the overall (deep) convection of one reference simulation displayed by the filled (hatched) bar height. Independent of the resolution, large differences occur among the simulations, in particular for the T1 and EC simulations. Whereas the EM and ZM simulation produce similar results, the Tiedtke scheme shows a completely different distribution for the deep convective clouds with higher values for high latitude regions. This can be explained by the additional activation of midlevel convection which is not implemented in the EM and ZM-schemes. Nevertheless, the overall activation of T1 is comparable with the EM and ZM simulations. Although the ECMWF scheme considers midlevel convection, significantly lower values for the activity of total and deep convection are obtained. The distribution for the EC simulation demonstrates that another trigger criterion could lead to a completely different convection occurrence independent of the applied resolution. The bottom row of Fig. 4 displays the relative change of the convection activation for the  $2 \times CO_2$ -simulation. Again, the height of the filled (hatched) bars illustrate the relative change of all (deep) convective events.

- <sup>15</sup> The largest shift in the activation of the convection scheme is located at the poleward regions in both hemispheres. Changes of  $\pm 10$  % concerning all convective events and changes up to  $\pm 50$  % for deep convective events are evident dependent on the selected convection parameterisation. The decrease of the sea ice content and the stronger increase in moisture and temperature in the polar regions leads to more triggering of <sup>20</sup> deep convective events in the 2 × CO<sub>2</sub>-simulation. The highly variable change of the
- <sup>20</sup> deep convective events in the 2 ×  $CO_2$ -simulation. The highly variable change of the activation between the individual simulations for the polar regions explains the temperature variability in Fig. 3. Regarding the latitudinal band between 60° S and 60° N, the T1 simulation features a reduced activation of deep convective events. On the contrary, no significant changes are evident for the EC, EM and ZM simulation in these regions.

### 25 4.3 Transport of humidity and short-lived trace gases

The analysis of the vertical transport of water vapour and other trace gases reveals high differences between the convection parameterisations. Previous studies quantified that the uncertainty in the concentrations of simulated trace gases due to a change of the



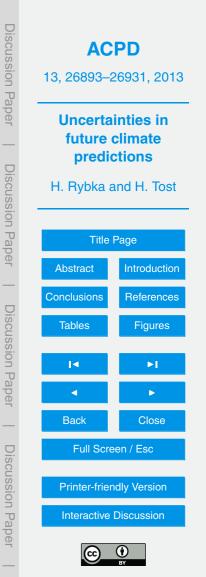
convection scheme could locally exceed 100 % (Tost et al., 2010; Zhang et al., 2008). Atmospheric convection modifies the distribution of chemical tracers and water vapour by lifting boundary layer air to middle or upper tropospheric levels. Investigating the increase in specific humidity q (Fig. 5), similar characteristics compared to the tempera-

- <sup>5</sup> ture increase (Fig. 2) can be identified. The inner panel of Fig. 5 shows the global mean increase of specific humidity  $\Delta q$  in % for the resolution T42 indicating a strong increase of up to 90 % at 150 hPa. At the same time, this region depicts the largest variability of increasing water vapour between the simulations. The comparison between the resolution dependent increase of the specific humidity in Fig. 5 reveals different aspects.
- <sup>10</sup> A high correlation is clearly visible up to 400 hPa close to the one-to-one line, as well as a larger spread for the UTLS region for each respective convection scheme. Having a closer look at the increase in specific humidity above 100 hPa (purple coloured symbols), a higher increase for the coarser resolution is shown, indicating a higher transmittance of the tropopause layer for transport processes in the resolution T42. In
- <sup>15</sup> contrast, the T63 simulations point out a significantly higher increase in water vapour between 100 hPa and 300 hPa. This result demonstrates that the tropopause operates as a stronger transport barrier for convection in simulations with higher resolutions. Especially, the EM-simulation shows a lower relative increase in specific humidity for the resolution T42 up to 100 hPa. The high variability of increasing specific humidity for the
- various simulations in the upper troposphere is consistent with a previous study, which shows strong differences in the simulated water vapour content of the UTLS region dependent on the chosen convection parameterisation (Tost et al., 2006). Therefore, it is hardly surprising that the change in specific humidity is strongly influenced by the applied convection scheme under a doubling of the CO<sub>2</sub> concentration.
- Another way to identify the change of vertical transport in the atmosphere is to analyse short-lived trace gases. A typical tracer to investigate convective transport is radon (<sup>222</sup>Rn) (Allen et al., 1996; Mahowald et al., 1997; Dentener et al., 1999; Zhang et al., 2008). This chemically inert trace gas is emitted from soil and decays radioactively with a half-life of 3.8 days to lead (<sup>210</sup>Pb) which simultaneously represents the only sink. To



simulate the transport of radon a common assumption is a constant emission rate of 1 atom (cm<sup>2</sup> s)<sup>-1</sup> over (ice and snow free) land and zero over sea (Turekian et al., 1977; Jacob et al., 1997; Rasch et al., 2000). It has to be kept in mind, that this assumption leads to vertical radon profiles which represent to a great extent the convective transport over continental areas and not over oceans. A strict comparison of the vertical radon distribution between the convection schemes requires equal emissions of radon for each simulation. This condition is not satisfied, because the ice and snow cover over land varies in time and place. Therefore, zonally averaged radon ratios are computed which are scaled to the total atmospheric radon column mass for the respective simulation. This approach allows a universal comparison independent of the absolute radon emission. Figure 6 shows the zonal average vertical distribution of radon for 30°

- latitude bands displaying the radon ratio for the REF-simulation T1. The distribution of the EC-, EM- and ZM-simulations are illustrated by relative differences compared to the T1-simulations. A typical zonal distribution of the absolute radon mass (or mixing
- ratios) has maximum values at ground levels and shows a decrease with increasing height, because of the short residence time (not shown). Looking at the radon ratios in Fig. 6 the maxima are located around 900 hPa and reduced values for lower pressure levels are visible independent of the latitude band. Within the boundary layer, small radon ratios are calculated because of the comparatively small vertical extent for
- <sup>20</sup> near ground levels and thus lower grid box masses. The highest ratios are simulated for the Northern Hemisphere reflecting the larger zonal land amount and associated emissions in these latitudes. Only small differences between the convection schemes are apparent in the lower altitudes of the mid-latitudes. In the ITCZ region significant variations ranging from -40% for the lower troposphere up to above 100% in the
- UTLS region are evident. The variability of the radon ratios in the tropics confirm that simulating fast transport is strongly influenced by the convection scheme. The relative change of radon due to an increase of carbon dioxide is illustrated in Fig. 7. Regarding the mid-latitudes and polar regions each convection scheme shows an increase of the radon ratio below 700 hPa of 10 % and a decrease of -25 % above this pressure alti-



tude indicating a weaker vertical transport. This is in agreement with a decrease of the upward massflux because of a higher stability (lower vertical temperature gradient) of a warmer climate. In the ITCZ region a reduction of radon ratios between 200 hPa and 400 hPa is simulated and a strong increase above 200 hPa distinguishing a weaker

<sup>5</sup> but higher ascent of radon due to a shift of the tropopause (see black dashed and solid line). The distribution below 400 hPa in the tropics is widely different among the experiments changing the convection schemes. Whereas the EC and ZM simulations simulate higher radon ratios of up to 10 %, the others display only minor changes. Apparently, the choice of the convection parameterisation strongly alters the transport of boundary layer air to the free troposphere in the 2 × CO<sub>2</sub>-scenario.

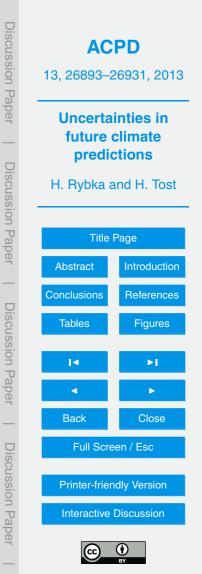
#### 4.4 Cloud radiative forcing and cloud types

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One major concern in the community of climate modellers is the correct representation of cloud radiative effects. This has been discussed for several years and is stated as the largest source of uncertainty estimating climate sensitivity (Bony et al., 2006; Soden and Held, 2006). Many physical processes related to cloud formation take place on scales which are smaller than usual ESM resolutions and therefore have to be parameterised. For example, subgrid-scale structures of clouds reflecting inhomogeneities of cloud liquid and ice contents can influence radiative fluxes and precipitation rates

- which are not (or only barely) considered in ESMs (Barker and Raisanen, 2005). The
   <sup>20</sup> purpose of this section is to identify the strength of interaction between the cloud and convection schemes by examining the change in cloud types and cloud radiative forcing (CRF). We assume that the redistribution of moist air through the different entrainment and detrainment rates parameterised in diverse approaches in the convection schemes produces various cloud types and hence a diverse cloud radiative feedback under al-
- <sup>25</sup> ternative climate conditions. To identify the differences of cloud-induced radiative flux changes the following calculations have been performed:

The global mean cloud radiative forcing describes the difference between the all-sky



and clear-sky radiative fluxes:

 $CRF = F - F_{clr}$ 

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where  $F_{clr}$  describes the total net radiative flux at the top of the atmosphere (TOA) under clear-sky conditions and F with the same meaning for all-sky conditions. On a global average Eq. (5) produces a negative value for the CRF, revealing that clouds

cool the entire Earth system. Furthermore, one could characterise the magnitude of the CRF by separating the amount into a longwave (LW) and shortwave (SW) component:

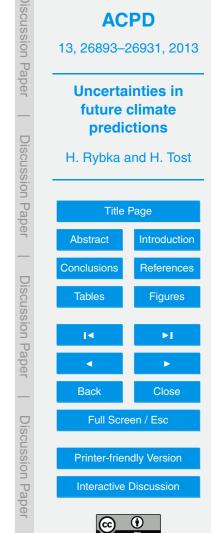
 $CRF = CRF_{SW} + CRF_{LW}$ 

where  $CRF_{SW}$  (< 0) and  $CRF_{LW}$  (> 0) are calculated equally to Eq. (5) for the shortwave and longwave net radiative fluxes, respectively. The negative sign for the shortwave component results because of the higher reflectivity of clouds with regard to the surface. The positive sign concerning the longwave component characterises the lower emission temperature of clouds with respect to the surface. Therefore it is obvious, that the magnitude of these two components is highly variable for different cloud types (Hartmann et al., 1992).

The change in cloud radiative forcing ( $\Delta$ CRF; also known as cloud radiative feedback) is computed according to Eq. (1) and global mean values are listed in Table 3. In order to explain these results the fundamental causes which produce the change in cloud radiative forcing have to be analysed. These are (neglecting the change in surface albedo, which is nearly the same in every REF- and 2 × CO<sub>2</sub>-simulation):

- a. change in cloud cover
- b. changes of different cloud types (reflecting alterations in cloud top height and optical thickness)

According to (a), the globally averaged cloud cover for the REF-simulations vary between 58 to 63% (not shown). The change in cloud cover is similar in every 2 × CO<sub>2</sub>simulation with a reduction of 2 to 2.5% inducing a net positive cloud radiative feedback 26907



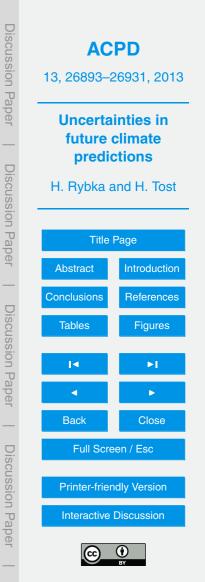
(5)

(6)

in consistency with Table 3. Nevertheless, the changes in short- and longwave CRF components primarily reveal a highly variable change in cloud types dependent on the convection scheme. To illustrate this, cloud types defined by the International Satellite Cloud Climatology Project (ISCCP) (Rossow and Schiffer, 1999) are calculated online <sup>5</sup> in the model via the ISCCP simulator (Klein and Jakob, 1999; Webb et al., 2001), which categorizes cloud types based on their cloud top pressure ( $p_c$ ) and optical depth ( $\tau$ ). Zonal cloud type distributions for the REF-simulations are shown in Fig. 8 (including very thin clouds with  $\tau < 0.3$ ). First of all, it should be mentioned that a comparison with the ISCCP D1 dataset (multi-year average values of 1984 until 2008 for the se-<sup>10</sup> lected cloud types) reveals a strong overestimation of tropical cirrus (partly induced by non-observed very thin cloud structures i.e. subvisible cirrus in the ISCCP data) as well as optically thick clouds ( $\tau > 23 \Rightarrow$  Stratus, Nimbostratus and Deep Convective) in all simulations. Cumulus, Altocumulus and Altostratus are hardly simulated and therefore underestimated compared to the ISCCP data, in agreement with the findings of Zhang

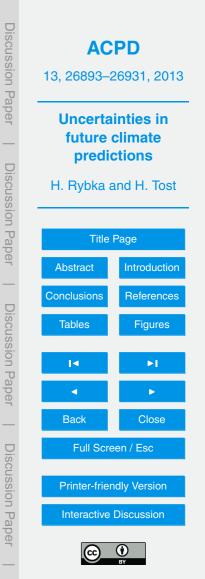
- et al. (2005) and Raisanen and Jarvinen (2010). Nevertheless, these errors compensate in such a way, that radiative equilibrium is achieved and global mean CRF values lie in a reasonable range between -17 and  $-27 W m^{-2}$  for the reference simulations. Despite that, focusing on the variability of cloud types due to a change of the convection parameterisation, Fig. 8 displays significant variations for thick clouds as well as Stra-
- tocumulus over all latitudes. The variability easily exceeds 10% for most cloud types compared with its mean value over all simulations in one zonal region. The change in cloud types is far smaller comparing the different resolutions than an exchange of the convection scheme. Consequently, it is important to take into account that convection parameterisations could have a significant influence on the cloud fraction by interacting with the large-scale cloud scheme, as supposed by Raisanen and Jarvinen (2010).

The absolute and relative change in cloud type amount for three 60° latitudinal bands of the  $2 \times CO_2$ -simulations is displayed in Fig. 9, whereas only the two highest (positive and negative) changes are explicitly labelled. At northern and southern mid-latitudes, an increase of deep convective as well as cirrostratus or cirrus clouds of ~ 25% is vis-



ible. In contrast, Nimbostratus, Stratocumulus and Altostratus clouds are diminished to a greater extent comparing the absolute change in the same region, therefore inducing a positive cloud radiative feedback for all simulations (cf. Table 3). The differences between the different simulations for these latitudes are small compared to the tropics.

- <sup>5</sup> Consequently, the diverse magnitude of  $\Delta$ CRF is primarily caused by high variations of tropical cloud type changes, which is analysed further. In the case of the EC simulation a strong increase of deep convective and cirrostratus clouds compensate the reduction of cirrus clouds inducing a positive change for  $\Delta$ CRF<sub>LW</sub>, a small reduction of the shortwave CRF component and accordingly a minor positive cloud radiative feedback
- for the T42 resolution. Around the equator more deep convective cells are simulated with the convection parameterisation of Zhang–McFarlane–Hack, however a decrease of the longwave CRF component illustrates that cloud top heights are not significantly increasing because of the higher stability of the warmer atmosphere. The T1 and EM simulations show no changes for convective clouds in the tropics, only a reduction in
- cirrus clouds, an effect which is most prominent when using the Emanuel convection scheme entailing a strong positive shortwave cloud feedback. The T63 simulations result in similar changes compared to the T42 resolution for the T1, EM and ZM schemes for  $\Delta CRF_{LW}$  but smaller values for the shortwave component, because of overall less changes in all cloud types and cloud cover compared to the REF-simulations resulting
- <sup>20</sup> in a smaller cloud radiative feedback. The ECMWF scheme reacts differently displaying a higher shortwave cloud feedback and a negative longwave cloud feedback compared to the coarser resolution. Principally, a stronger reduction in cirrus clouds and a smaller increase of deep convective and cirrostratus clouds in the tropics is the reason of this shift in  $\Delta CRF_{LW}$ .
- Generally, the longwave cloud feedback is negative for all simulations (except EC T42). This feature does not confirm the fixed anvil temperature (FAT) hypothesis (Hartmann and Larson, 2002) but instead shows that a warmer climate could produce clouds with a proportionately higher anvil temperature (PHAT) (Zelinka and Hartmann, 2010) inducing a negative longwave cloud feedback. One possible explanation is the strong strong wave cloud feedback.



increase in upper tropospheric temperature ( $\sim 4.5$  K) in comparison with the mean temperature change of 3.4 K reflecting a higher increase in cloud top temperature (not shown) than the increase of surface temperature.

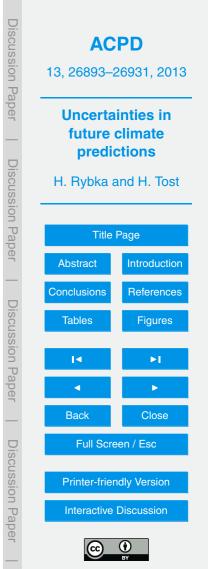
It should be noted that the choice of the cloud scheme alters the variability of cloud type changes in a similar way. In this study the large-scale processes of condensation (cloud and precipitation formation) are based on work of Lohmann and Roeckner (1996) and Tompkins (2002). The sensitivity of other convection parameterisations on different cloud schemes is unknown and has not yet been investigated. This aspect remains unanswered but prompts speculations that other cloud schemes show similar variations by changing the convection parameterisation.

#### 5 Conclusions

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One major goal of this study was to investigate the range of uncertainty caused by a change of the convection parameterisation under warmer climate conditions. In total, 16 simulations have been performed with the EMAC model varying the  $CO_2$  concentrations (348 ppm = reference run; 696 ppm =  $2 \times CO_2$  scenario), resolution (T42; T63) and the convection schemes (Tiedtke, ECMWF, Emanuel and Zhang–McFarlane–Hack). The analysis shows significant influences on the temperature and humidity distribution, as well as the transport of short-lived trace gases and the cloud radiative feedback.

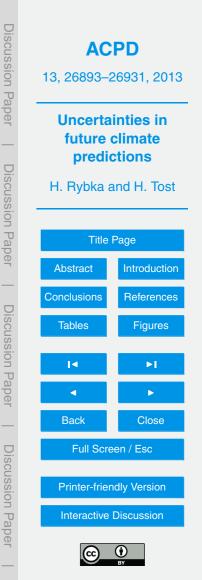
- The variability of the global mean temperature change with respect to the vertical profile reveals differences up to 0.5 K in the middle and upper troposphere. The sensitivity in the mid-troposphere originates most likely from different formulations of the microphysics in the convection parameterisations, especially the treatment of snow and ice formation. Another important contribution is the diversity in the simulated transport of water vapour to the UTLS, which yields a higher uncertainty for the upper troposphere
- <sup>25</sup> concerning the temperature change. The comparison of the global mean change in surface temperature shows very small differences. Nevertheless, regional variations cover a range from 2 to 6 K in tropical regions. This implies that the uncertainty of regional



temperature changes induced by a global warming can easily exceed 4K comparing Earth System Models with different convection parameterisations.

Apart from influencing the temperature profile, transport mechanisms are affected by the chosen convection scheme. In consideration of a changing water vapour content in

- $_{5}$  a 2×CO<sub>2</sub> scenario the simulations with coarser resolutions prove that the tropopause is of higher transmittance comparing to the T63 simulations. Hence, a stronger increase in water vapour is visible in the lower stratosphere for the T42 simulations independent of the convection scheme. Furthermore, the analysis of the short-lived trace gas Radon verifies that a more stable state of the troposphere in the 2 × CO<sub>2</sub> scenario in-
- <sup>10</sup> duces lower upward massfluxes and consequently a decreased transport of Radon up to 200 hPa in the ITCZ region. Additionally, the shift of the tropopause to higher altitudes superimposes the effect of a decrease in massfluxes and results in higher radon concentrations for the UTLS region. The largest differences according to the change in transport of Radon are visible in the lower troposphere ranging from -20% to +20%
- for the tropics. This uncertainty indicates that the different temperature increases over the continents in the ITCZ lead to distinctive initiation of convective transport from the boundary layer dependent on the selected convection parameterisation. Furthermore, the interaction of the boundary layer parameterisation and the convection scheme is sensitive concerning the strength of the calculated upward base massflux.
- In connection with cloud formation their radiative effects have been analysed for all simulations. The cloud radiative feedback has been calculated revealing differences between the simulations of up to 1.7 W m<sup>-2</sup>. The most important implication is the indirect interaction between cloud and convection schemes resulting in completely different cloud type changes for the tropics. This high variability of different changes in cloud
- types induces large differences in cloud radiative feedback and uncover a new source of uncertainty relating to convection parameterisations. The range of ΔCRF could point out a relevant indication for different climate sensitivities in coupled atmosphere–ocean GCMs induced by several convection schemes.



Model intercomparisons often contain different formulations for parametrising convection. Some uncertainties in these comparisons could be directly addressed to the difference in the convection scheme. This study shows that some uncertainties of future climate predictions are linked to the chosen representation of convective clouds

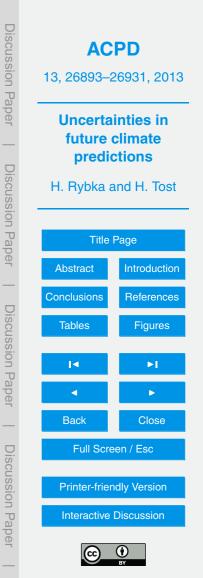
- as well and should be taken into account when comparing different models. It should be pointed out, that the results presented here are constrained to the fixed boundary conditions. To acquire a more consistent framework for future studies a coupled atmosphere-ocean GCM should be considered to achieve alternative climate conditions through transient simulations with increasing carbon dioxide concentrations for each
- <sup>10</sup> convection scheme. These simulations would provide benefits to analyse the change in maritime convection and transport mechanisms over oceans via methyl iodide concentrations (Donner et al., 2007). Moreover, it is important to minimize the uncertainties presented here. A step forward could be to compare these results with simulations including a superparameterisation (Khairoutdinov et al., 2005) for near explicit repre-
- sentation of cloud processes considering subgrid-scale mechanisms through a cloud resolving model. An advantage of these multiscale models is a better account for low cloud fraction (Wyant et al., 2006) and the interaction between clouds and radiation at unresolved scales (Cole et al., 2005) which seems to be a dominant factor of high uncertainties in the net cloud radiative forcing.
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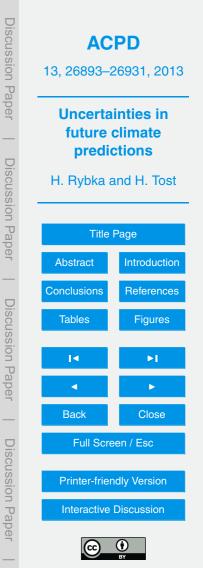
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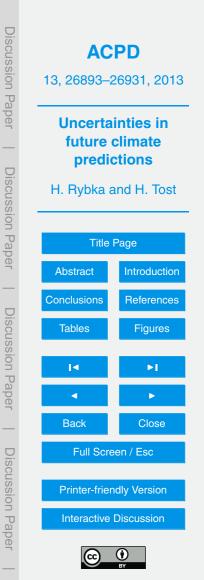
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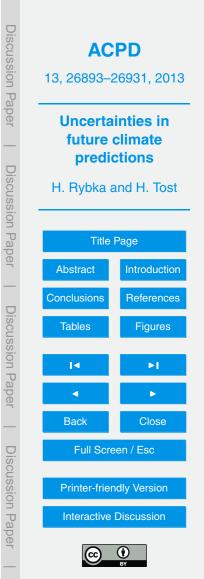
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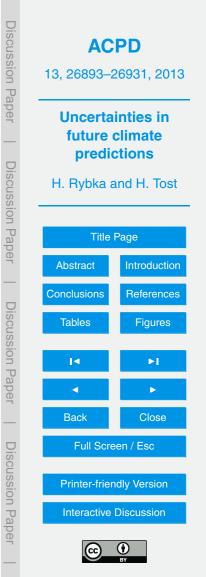
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**Table 1.** Convection parameterisations applied in the individual simulations; to differentiate between the reference-,  $2 \times CO_2$ -simulation and the two resolutions the following abbreviations are added to the simulation name: REF or  $2 \times CO_2$  and T42 or T63.

Simulation name	Description
T1	Tiedtke scheme with modifications of Nordeng
	(Tiedtke, 1989; Nordeng, 1994)
EC	IFS cycle 29r1b from ECMWF (Bechtold et al., 2004)
EM	Emanuel and Zivkovic-Rothman (1999)
ZM	combined scheme of Zhang and McFarlane (1995) and Hack (1994) with a modification of Wilcox (2003)

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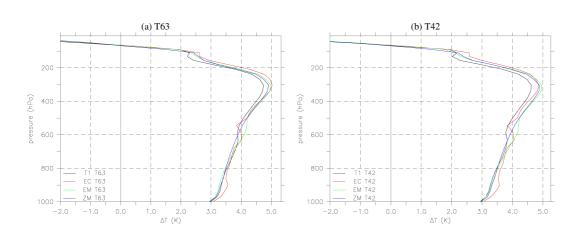
**Table 2.** Calculated temperature increase  $\Delta T$  and difference for the lowermost model layer (horizontally area weighted) with T1 as the reference simulation.

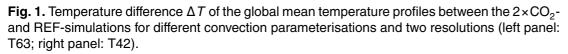
			T42		
Simulation name	Δ <i>Τ</i> (K)	$\delta^i_{T1}(\Delta T)$ (K)		1 <i>Т</i> К)	δ <sup>i</sup> <sub>T1</sub> (Δ <i>T</i> ) (K)
T1	3.4	_	3	3.3	_
EC	3.5	0.12	3	3.5	0.26
EM	3.3	-0.06	3	3.3	0.04
ZM	3.4	-0.03	3	3.4	0.07

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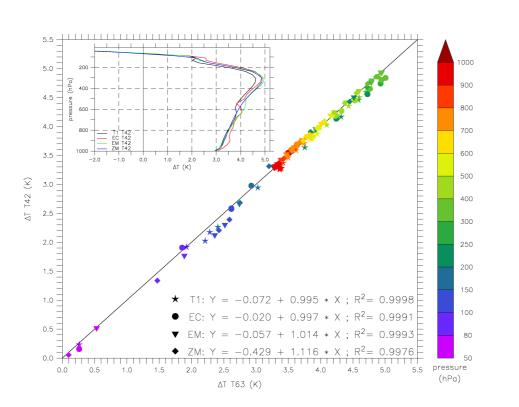
**Table 3.** Change in globally averaged CRF as well as the change of the longwave and short-wave CRF component.

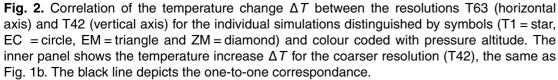
		T63			T42	
Simulation name	$\Delta CRF$ (W m <sup>-2</sup> )	∆CRF <sub>LW</sub> (Wm <sup>-2</sup> )	ΔCRF <sub>SW</sub> (Wm <sup>-2</sup> )	$\Delta CRF$ (W m <sup>-2</sup> )	∆CRF <sub>LW</sub> (Wm <sup>-2</sup> )	∆CRF <sub>SW</sub> (Wm <sup>-2</sup> )
T1	1.09	-0.76	1.85	1.42	-0.70	2.12
EC	0.52	-0.04	0.56	0.24	0.11	0.13
EM	1.49	-0.36	1.85	2.00	-0.60	2.60
ZM	0.87	-0.20	1.07	1.27	-0.19	1.46





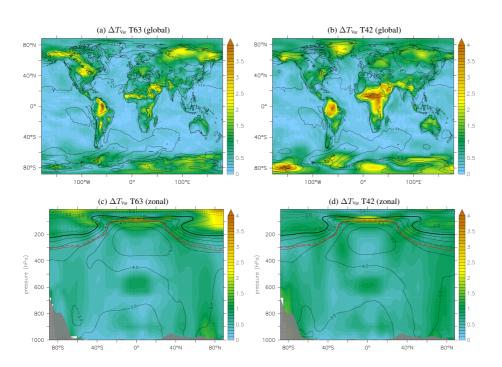






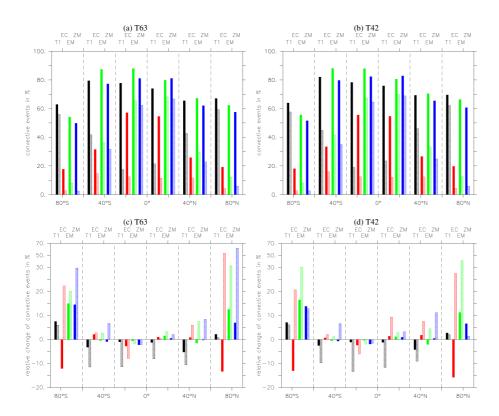


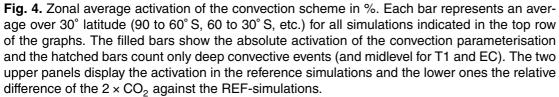
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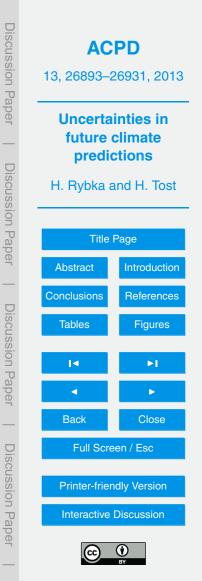


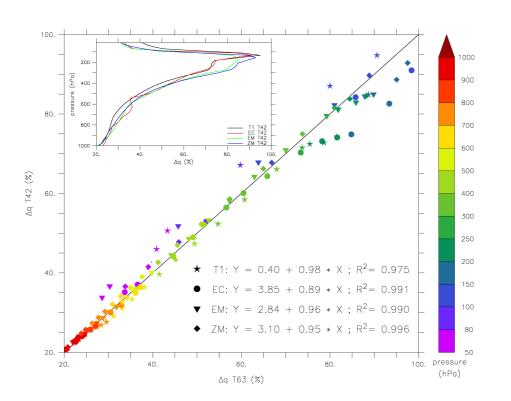
**Fig. 3.** Maximum error  $\Delta T_{Var}$  of the temperature increase in Kelvin (colour coded); the upper panels illustrate regions of high variability for  $\Delta T$  for the lowermost model layer, the lower panels show the same for the zonally averaged  $\Delta T$ . The black contour lines denote the minimal temperature increase  $\Delta T_{min}$  (see Eq. 4) with an interval of 1 K (black solid lines indicate positive and black dashed lines negative values). The red solid and dashed lines depict the averaged tropopause height for the reference- and 2 × CO<sub>2</sub>-simulations, respectively.











**Fig. 5.** Correlation of the change of specific humidity  $\Delta q$  in % between the resolutions T63 (horizontal axis) and T42 (vertical axis) for the individual simulations distinguished by symbols (T1 = star, EC = circle, EM = triangle and ZM = diamond) and colour coded with pressure altitude. The inner panel shows the increase in the specific humidity  $\Delta q$  in % for the coarser resolution (T42). The black line depicts the one-to-one correspondence.



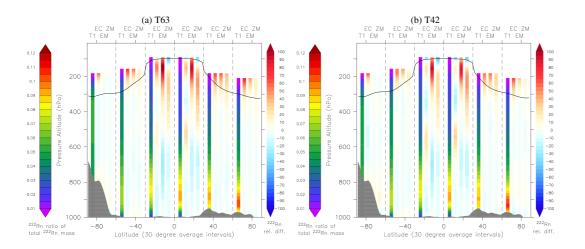
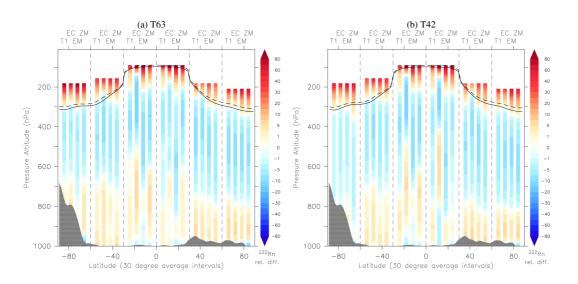


Fig. 6. Zonally averaged radon ratios of the total atmospheric radon mass. The vertical axis depicts the pressure altitude and each bar represents an average over 30° latitude (90 to 60° S, 60 to 30° S, etc.) for all simulations indicated in the top row of the graphs. The first bar in each bin shows the ratio for the T1 REF-simulations using the color bar on the left side. The other three bars in each bin indicate the relative difference in % to the Tiedtke simulations using the color scale on the ride hand side of the panel. The black solid line illustrate the mean tropopause height of the REF-simulations and the grey shaded area the zonal mean orography.



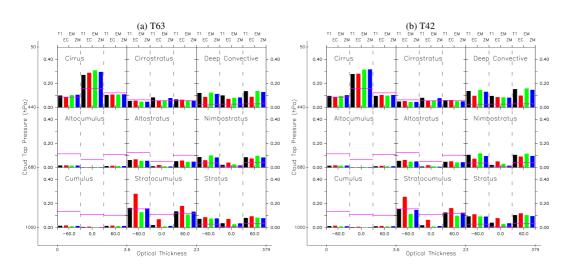
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**Fig. 7.** Zonally averaged relative change in radon ratios in the  $2 \times CO_2$ -simulation. The vertical axis depicts the pressure altitude and each bar represents an average over 30° latitude (90 to 60° S, 60 to 30° S, etc.) for all simulations indicated in the top row of the graphs. The black solid (dashed) line illustrate the mean tropopause height of the REF-  $(2 \times CO_2)$  simulations and the grey shaded area the zonal mean orography.



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**Fig. 8.** Zonal average occurrence of various cloud types (unit: cloud amount per  $\tau$ - $p_c$  bin). Each bar represents an average over 60° latitude (90 to 30° S, etc.) for all REF-simulations indicated in the top row of the graphs. The purple horizontal lines show multi-year average values from 1984 until 2008 of the ISCCP D1 dataset (Pincus et al., 2012). The cloud type classification follows the ISCCP definitions by cloud top height and optical thickness (Rossow and Schiffer, 1999).



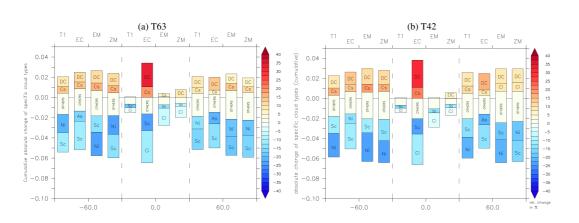
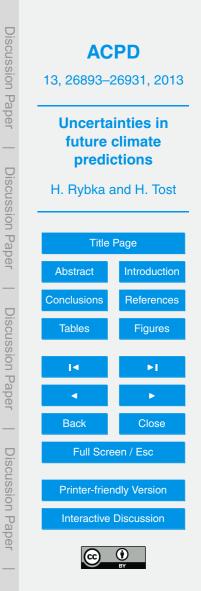


Fig. 9. Change of different cloud types in the  $2 \times CO_2$ -simulation. Each bar represents an average over 60° latitude (90 to 30° S, etc.) for all simulations indicated in the top row of the graphs. The y axis denote the absolute (cumulative) and the color bar the relative change in %. The height of one particular bar identifies the absolute increase/reduction of the corresponding cloud type. Only the two highest positive and negative changes are labelled with the following abbreviations: DC = Deep Convective, Cs = Cirrostratus, Sc = Stratocumulus, Ni = Nimbostratus, St = Stratus, As = Altostratus, Ci = Cirrus.



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