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ECHAM5-wiso water vapour isotopologues simulation and its comparison with WS-CRDS measurements and retrievals from GOSAT and ground-based FTIR spectra in the atmosphere of Western Siberia

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Abstract

Water stable isotopes provide integrated tracers of the atmospheric water cycle, affected by changes in air mass origin, non-convective and convective processes and continental recycling. Novel remote sensing and in situ measuring techniques have re-

- ⁵ cently offered opportunities for monitoring atmospheric water vapour isotopic composition. Recently developed infrared laser spectrometers allow for continuous in situ measurements of surface water vapour δD_v and $\delta^{18}O_v$. So far, very few intercomparison of measurements conducted using different techniques have been achieved at a given location, due to difficulties intrinsic to the comparison of integrated with local measure-
- ¹⁰ ments. Nudged simulations conducted with high resolution isotopically enabled GCMs provide a consistent framework for comparison with the different types of observations. Here, we compare simulations conducted with the ECHAM5-wiso model with three types of water vapour isotopic data obtained during summer 2012 at the forest site of Kourovka, Western Siberia: daily mean GOSAT δD_v soundings, hourly ground-based
- ¹⁵ FTIR total atmospheric columnar δD_v amounts, and in situ hourly Picarro δD_v measurements. There is an excellent correlation between observed and predicted δD_v at surface while the comparison between water column values derived from the model compares well with FTIR and GOSAT estimates.

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1 Introduction

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Owing to slight differences in the saturation vapour pressure and diffusivity in air of $H_2^{16}O$, $HD^{16}O$ and $H_2^{18}O$ molecules, fractionation processes occur during phase changes of the water. As a result, the distribution of the water isotopes (hereafter δD and $\delta^{18}O$ expressed in ‰ versus VSMOW (Craig, 1961)) varies both spatially and temporally in the atmospheric water vapour and in the precipitation. Until recently, our





knowledge of their present-day distribution has focused on precipitation, much easier to sample than atmospheric water vapour. This sampling difficulty partly explains why applications dealing with studies of atmospheric processes and atmospheric dynamics have long been limited while they have rapidly developed in such fields as isotope hydrology and isotope paleoclimatology (from ice cores and other archives).

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The situation has recently changed thanks to technological advances which now allow for either in situ measurement or remote estimation of δD_v and $\delta^{18}O_v$ in atmospheric water vapour. The quantification of water isotopes in tropospheric water vapour based on remote sensing techniques pioneered by Zakharov et al. (2004) is now under rapid development (Worden et al., 2006; Payne et al., 2007; Nassar et al., 2007; Frankenberg et al., 2009, 2012; Herbin et al., 2009; Field et al., 2012; Lacour et al., 2012) and provides large scale, integrated measurements. Data from ground based high resolution Fourier Transform Infrared (FTIR) spectrometers have been exploited to retrieve information about vertical profiles of water stable isotopes (mainly δD_v) in water vapour from instruments both from the NDACC (Network for the Detection of Atmospheric Composition Change) sites and from the TCCON (Total Carbon Column Observing Network) network (Schneider et al., 2006, 2010a,b, 2012).

A third major breakthrough has been accomplished when new infra red (IR) laser spectrometers have reached the same level of precision as mass spectrometers, and

- ²⁰ have became commercially available (Brand, 2009). These devices are sufficiently robust to allow field measurements of the δD_v and $\delta^{18}O_v$ composition of water vapour. After the development of calibration protocols, which require the introduction of reference waters and corrections for humidity and instrumental drift, such instruments have been deployed from tropical (Tremoy et al., 2012) to polar locations (Steen-Larsen
- et al., 2012) where they have revealed significant diurnal to seasonal variability in relationship with air mass origins, convection and surface-atmosphere moisture fluxes. Prior to the deployment of a network of stations where the δD_v and $\delta^{18}O_v$ of surface water vapour will be continuously monitored, the information brought by water vapour stable isotopes must be assessed for different climatic conditions.



In parallel, our ability to describe and simulate the distribution of water isotopes using atmospheric general circulation models in which fractionation processes are embedded (IGCMs) has made considerable progress since the pioneering studies conducted in the eighties (Joussame et al., 1984; Jouzel et al., 1987). High resolution ⁵ atmospheric models can now be nudged to atmospheric analyses products, allowing for precise comparisons with measurements in a consistent large scale meteorological framework. Sensitivity studies to uncertain atmospheric model parameterizations have shown the potential of water vapour isotopic data to constrain the representation of key processes linked to, e.g. cloud microphysics (Schmidt et al., 2005) or convection (Risi et al., 2012a).

In a comprehensive approach, Risi et al. (2012a,b) has brought together and compared satellite data sets from various instruments (SCIAMACHY, TES, ACE and MI-PAS) and ground based remote sensing (FTIR at the NDACC and TCCON sites) and in situ techniques (surface vapour measurements and in situ aircraft data). From this comparison Risi et al. (2012a) extracted the most robust features and then used the LMDZ IGCM (LMDZiso) to understand and quantify the sources of differences between these data sets. They pointed to significant differences between data sets but their common features appear to be remarkably well reproduced by LMDZiso in the lower and mid troposphere, at large scale. However, in Risi et al. (2012a), the amplitude of seasonal variations, the meridional isotopic gradient and the contrast between dry and convective tropical regions were underestimated by LMDZiso as well as by six other IGCMs involved in the SWING2 (Stable Water INtercomparison Group phase 2) intercomparison project.

Such data model intercomparison is a prerequisite if we want to use the variety of ²⁵ information on isotopic distribution in atmospheric water vapour (satellite data, ground based and in situ measurements) to diagnose biases in the representation of atmospheric processes in GCMs or infer information about, e.g. continental recycling. In their approach Risi et al. (2012b) aimed to use all available isotopic information with the consequence that the various data sets do not cover the same periods and the





same locations, a difficulty which however is largely circumvented by applying a rigorous model-data comparison methodology.

Here, we propose a complementary approach which consists in focusing on one site, the Kourovka Observatory (near Yekaterinburg, close to the western boundary of
Western Siberia, 57.038° N, 59.545° S, see Fig. 1). This site is characterized by a well marked continental climate, with monthly mean temperatures varying from –16°C (January) to +17°C (July) and about 460 mm of annual precipitation, peaking in summer. It is affected by different air mass trajectories and summer continental precipitation recycling (Shalaumova et al., 2010). Its position in a pristine peatland and near the permafrost zone is strategic for the monitoring of the coupling between surface water and carbon budgets. At this site, we have access both to ground based (FTIR) and in situ vapour measurements (PICARRO L2130-i instrument). In addition, we have developed

- a specific method to retrieve total column δD_v over the Kourovka region using GOSAT, the Japanese Greenhouse gases Observing Satellite, which was launched on 23 Jan-
- uary 2009 in a sun-synchronous orbit (Hamazaki et al., 2004). While Frankenberg et al. (2009) have exploited the short-wave infrared GOSAT spectra to estimate the HDO column, we have for the same purpose developed an algorithm using the thermal infrared wavelength. Here, we therefore inter-compare these three independent data sources (PICARRO, FTIR and GOSAT) using the outputs of the ECHAM5-wiso isotope AGCM
 (T63) that has been run in a nudged version using ERA-Interim reanalysis fields (Dee et al. 2011; Parrieford et al. 2000). This intercomparison will focus on a relatively abort
- et al., 2011; Berrisford et al., 2009). This intercomparison will focus on a relatively short period between April and September 2012.

2 In situ isotopic measurements of surface water vapour

A Picarro laser instrument of type L2130-i was received at Ural University in March 2013. Laboratory tests were conducted in order to verify the reproducibility of the device using two different reference water samples: (i) DW (distilled water with δ D of -96.4% and δ ¹⁸O of -12.76‰ as measured at LSCE by IRMS); (ii) YEKA (made by mixing





Antarctic snow with distilled water with δD of -289.0 % and $\delta^{18}O$ of -36.71 %). A third depleted standard (DOMEC with δD of -424.1 % and $\delta^{18}O$ of -54.05 %) is also used to assess the linearity of the system.

- The instrument was installed in Kourovka Observatory in mid March 2013, inside the same room as the FTIR spectrometer. Also the Kourovka site is equipped with Gill Instruments MetPak-II meteorological station which provides every second measurements of atmospheric pressure, wind speed and direction, air temperature and relative humidity. The station is implemented in the middle of a pine forest. Air conditioning was set up to warrant stable temperatures inside the room (around 18 °C). The sampling
- ¹⁰ line consists of O'Brien optical quality stainless steel tubing (3/8 inch diameter). This material was selected based on sensitivity tests conducted at LSCE (Tremoy et al., 2012) in order to minimize water vapour adsorption, as it was shown that Dekabon type material has different retention times for different water isotopes, inducing spurious effects. The length of the sampling line is 6 m, air being sampled about 7 ma.g.l.
- ¹⁵ Auto-regulated temperature control along the line ensures stable temperature. The air input is protected against raindrops by a hard cover and against insects by a net.

Because measurements are sensitive to humidity levels, it is required to establish calibration response functions as a function of humidity, based on measurement of reference waters injected at different humidity levels, from 1000 to 20000 ppm. These response functions were determined in-situ in April 2012 and spline functions were

used to derive the required corrections.

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The measurement protocol consists of continuous ambient air measurements during 6 h, automatically switching to calibration sequence of successive vaporization of DW and YEKA reference waters mixed with DRIERITE dried air during 30 min each.

²⁵ Altogether, each calibration sequence lasts about 60 min after accounting for pumping durations. Mean values and standard deviations in humidity, δD_v and $\delta^{18}O_v$ are calculated along the last 20 min of calibrations. Typically, standard deviations of 200 ppm, 1% and 0.2% respectively are reported for humidity levels around 15000 ppm. In principle, one should introduce reference waters at humidity levels close to those of



the surrounding atmosphere. Due to high variability of ambient air humidity, this cannot always be achieved, but resulting errors can be corrected using estimated humidity response as previously described. As for the air measurements, after switching from calibration with Standard Delivery Module (SDM) the instrument demonstrated very

⁵ high variability in the measurement results because of residual traces of water from reference standards in the system. To account for this effect, air measurements were processed only after a time delay of 13 min. This time period was found appropriate for this particular PICARRO device during its installation and calibration.

These frequent calibrations allow to assess the stability of the measurements. Starting from June 2012, instabilities were identified during calibrations, due to leakage in one of the SDM syringes. This may lead to two biases: (i) fractionation in the syringe itself by exchange with ambient air, and (ii) introduction of air bubbles into the SDM and instabilities of injected flux and resulting measurements. The calibration module was subsequently replaced using a new type of glass syringe in September 2012, leading so far to very stable calibrations.

The quality of the post-processed data strongly depends on the stability of the calibrations. Water standard measurements are considered unstable and not taken into account when standard deviations of humidity, $\delta^{18}O_v$ and δD_v are above 600 ppm, 0.8‰ and 3‰, respectively. During the measurement period (from April to November) the total number of successful calibrations was 128 for DW and YEKA together.

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Every single ambient air measurement was processed in two stages. At first, the two closest valid pairs of reference standard measurements were independently corrected to the same humidity level as for the air measurement. Humidity correction functions were obtained on the basis of the humidity response investigation as described above and were as follows:





 $\delta D_v = -98.8 - 2.8 \times 10^4 / q_v$ and $\delta^{18}O_v = -13.3 - 4.86 \times 10^3 / q_v$ for DW, $\delta D_v = -343 + 449 / \ln(q_v)$ and $\delta^{18}O_v = -42 + 38.7 / \ln(q_v)$ for YEKA standard,

were q_v stands for humidity (ppmv). And secondly, humidity corrected measurements of reference standards were linearly interpolated to the time of the air measurement. A linear regression between the standards measured values against theoretical values of these standards were calculated and then applied to ambient air measurements. The validity of calibrations is estimated based on the evaluation of the stability of the instrument.

Although this methodology worked mostly correctly, sometimes the PICARRO SDM experienced breakdowns and data acquisition stopped. In this case, the PICARRO switches automatically back to air measurements and data are stored in its logging protocols. In order to fulfill missing SDM data, this logging protocol was also reprocessed and missing data were pulled out. The same processing methodology was applied afterwards.

Finally, we use both hourly and daily average values to present this data set and compare it with ECHAM5-wiso model results. For the period from 1 April to 30 September

- ²⁰ 2012 over which we will compare data and model results, 2476 hourly PICARRO measurements were produced which corresponds to 67 % of the total duration (3671 h). As both FTIR (Sect. 4) and GOSAT (Sect. 5) data are specifically used to get information about δD_v in the water column, we will hereafter focus on this parameter both for the discussion of the dataset and its comparison with model results.
- The currently available δD_v dataset extends to 21 November. We have, in Fig. 2, displayed both hourly individual measurements and a smooth curve (5 point running mean) limited to periods over which there are at least 6 hourly measurements successively. The amount of water vapour (as measured by the PICARRO instrument) has

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been reported on the same figure along with surface temperature using either measurements at the site available since 1 April or ERA-interim reanalysis data for the entire period (as used for the simulation).

As expected, the deuterium time series shows a clear seasonal cycle with it lows est values in spring (minimum -232% on 5 April) and in fall (minimum -246% on 18 November) and highest levels during summer (-103% reached on 14 July and 11 August). While the highest monthly mean values are observed in June–August, the highest single hourly value is recorded to occur in spring(-92% on 10 May). Indeed, large, and for some of them, rapid δD_v variations are superimposed on this seasonal cycle which will be fully described when winter data will be available. These fluctuations are more pronounced in fall with amplitudes reaching about 100% than during the summer during which no fluctuation exceeds 45‰. They are clearly related to large variations in temperature and to associated changes in the amount of water vapour, q_v .

Although much too simplistic, a Rayleigh type model helps to understand this link ¹⁵ between δD_v , temperature and q_v . This model (Dansgaard, 1964) considers the isotopic fractionation occurring in an isolated air parcel in which the condensed phase is assumed to form in isotopic equilibrium with the surrounding vapour and to be removed immediately from the parcel. The isotopic composition of the water vapour at a given site, δD_v , is well approximated by: $\delta D_v = ((1 + \delta D_0)(q_v/q_0)^{(\alpha_m - 1)}) - 1$, in which δD_0 and

 q_0 are the deuterium content and the amount of water vapour at the oceanic origin of the airmass while α_m stands for the average value of the fractionation coefficient between the oceanic source and the sampling site. Assuming no change in the conditions prevailing at the oceanic source (which again is too simplistic) this should translate in a linear relationship between $\ln(1 + \delta D_v)$ and $\ln(q_v)$ while the link with site temperature results from the Clausius–Clapeyron equation.

With this in mind, we have plotted $\ln(1 + \delta D_v)$ versus $\ln(q_v)$ hourly (Fig. 3a) and daily (Fig. 3b) means and δD_v versus the site temperature using either hourly data for the period over which we have measurements at the site (Fig. 3c) or daily temperature in the Kourovka gridbox (Fig. 3d) as derived from reanalysis data (see Sect. 3.1). In line with





the Rayleigh model in which the remaining fraction of water remaining in the cloud is the primary driver of isotopic changes, there is a strong correlation ($r^2 = 0.67$) between $\ln(1+\delta D_v)$ and $\ln(q_v)$ for hourly data which increases ($r^2 = 0.71$) when considering daily data and thus eliminating the diurnal cycle. The correlation of δD_v with temperature is weaker for hourly data either using local meteorological measurements ($r^2 = 0.46$) or reanalysis data ($r^2 = 0.49$, not shown). It increases for daily data ($r^2 = 0.72$) at a similar level as observed for $\ln(q_v)$.

3 The ECHAM isotopic model and comparison

3.1 Model setup

- Atmospheric simulations were carried out using ECHAM5-wiso (Werner et al., 2011), which is the isotope-enhanced version of the atmospheric general circulation model ECHAM5 (Roeckner et al., 2003; Hagemann et al., 2006; Roeckner et al., 2006). Both stable water isotopes H₂¹⁸O and HDO have been explicitly implemented into its hydrological cycle (Werner et al., 2011) analogous to the isotope modelling approach used in the previous model releases ECHAM3 (Hoffmann et al., 1998) and ECHAM4 (e.g. Werner et al., 2001). For each phase of "normal" water (vapour, cloud liquid, cloud ice) being transported independently in ECHAM5, a corresponding isotopic counterpart is implemented in the model code. The isotopes and the "normal" water are described identically in the GCM as long as no phase transitions are concerned. Therefore, the
- transport scheme both for active tracers (moisture, cloud liquid water) and for the corresponding passive tracers (moisture, cloud water and cloud ice of the isotopes) is the flux-form semi-Lagrangian transport scheme for positive definite variables implemented in ECHAM5 (Lin and Rood , 1996). Additional fractionation processes are defined for the water isotope variables whenever a phase change of the "normal" water
- occurs in ECHAM5. Two types of fractionation processes are considered in the model: equilibrium and non-equilibrium processes. An equilibrium fractionation takes place if





the corresponding phase change is slow enough to allow full isotopic equilibrium. On the other hand non-equilibrium processes depend even on the velocity of the phase change, and therefore on the molecular diffusivity of the water isotopes. Processes which involve fractionation processes include the evaporation from the ocean (while they can be neglected during evaporation from land), condensation either to liquid or to ice, and re-evaporation of liquid precipitation.

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ECHAM5-wiso has been validated with observations of isotope concentrations in precipitation and water vapour (Langebroeck et al., 2011; Werner et al., 2011). On a global and European scale, the annual as well as seasonal ECHAM-5-wiso simulation results are in good agreement with evolvable observations from the Clobal Net

- ¹⁰ lation results are in good agreement with available observations from the Global Network of Isotopes in Precipitation, GNIP (IAEA-WMO, 2006). Furthermore, it has been shown that the simulation of water isotopes in precipitation does clearly improve for an increased horizontal and vertical model resolution (Werner et al., 2011). The simulated near-surface isotopic composition of atmospheric water vapour δD_v is also in
- fairly good agreement with recent observations from five different GNIP stations. Model values and measurements agree well with differences in the range of ±10‰. A comparison of the ECHAM5-wiso simulations with total column averaged HDO data determined by the SCIAMACHY instrument on board the environmental satellite ENVISAT (Frankenberg et al., 2009) shows the same latitudinal gradients, but an offset between
- ²⁰ 20–50 ‰ of unknown origin. Focusing on Europe, the results by Langebroeck et al. (2011) indicate that variations of δ^{18} O in precipitation are rather a regionally integrated signal of several climate variables than a proxy for either local temperature or precipitation changes. This finding is not just valid for ECHAM5-wiso results, but also supported by other modeling results (e.g. Schmidt et al., 2005) and confirmed by observational data (GNIP and ERA-40).

Based on our previous findings, we employ in this study the ECHAM5-wiso model with a medium-fine horizontal spectral resolution T63 (about $1.9^{\circ} \times 1.9^{\circ}$). The vertical resolution is 31 hybrid levels. The model is forced with prescribed values of present-day insolation and greenhouse gas concentrations (IPCC, 2000), as well as



with sea-surface temperatures and sea-ice concentrations according to ERA-Interim reanalysis data (Dee et al., 2011; Berrisford et al., 2009).

In order to allow a comparison with observations at the sub-seasonal scale, the ECHAM5-wiso model is nudged to reanalysis data, which ensures that the large scale atmospheric dynamics is correctly represented. Every six hours the dynamic-thermodynamic state of the model atmosphere is constrained to observations by implicit nudging (e.g. Krishamurti et al., 1991; implemented by Rast, 2012), i.e. modeled fields of surface pressure, temperature, divergence and vorticity are relaxed to ERA-Interim reanalysis fields (Dee et al., 2011; Berrisford et al., 2009). If we compare clima-tological means of measured surface temperatures in Yekaterinburg (Server, operated

by the Space Monitoring Information Support laboratory, SMIS SRI RAS) with ERA-40 climatology data, we find a good agreement of the temperature seasonal cycle. The ERA-40 mean monthly surface temperatures show a small warm bias of less than 1 °C for the period May–November, and slightly larger deviations (+1.0 to +2.2 °C) between 15 December and April.

Although the hydrological cycle in our ECHAM5 setup is fully prognostic and not nudged to the ERA-Interim data, in Western Siberia the differences of the simulation results as compared to the hydrometeorological reanalysis fields are small. For instance, modelled daily precipitation agrees within 1 mm day⁻¹ with reanalysis data, and the agreement with observations further improves if monthly averages are considered. The simulated total column water vapour (TCWV) tends to be systematically overestimated by 4–6 mm compared with reanalysis fields.

Our simulation starts on 1 January 2000, with an internal model time step of 12 min. For comparison with the available isotope observational records at Kourovka, we an-

²⁵ alyze simulation results for the period April to September 2012. We always evaluate model results with a temporal resolution of one hour, if not stated otherwise. For Kourovka, we are using values at the model grid point closest to the station.

For the period April to September 2012, an analysis of ERA-40 and ERA-interim surface temperature data reveals that the region around Kourovka station was anomalous





warm, as compared to the long-time average temperatures (reference period 1960–1999). Strongest above-average warming with temperature anomalies of $\approx +4$ °C occurred in April and June, while in May and July temperatures were about 1–2 °C warmer than average, only. For August, we find still an above-average warming of 1–2 °C at

⁵ Kourovka and adjacent regions of Western Siberia, but also cooler than average temperatures of the same order of magnitude in large parts of East Siberia. For September, temperatures in all Siberian regions have been anomalous warm by $\approx 1-3$ °C again.

3.2 Model results

We briefly describe the simulated near-surface temperature and surface pressure at the location of Kourovka (Fig. 4). A clear diurnal cycle is evidenced with typical dayversus-night temperature changes of $\approx 5-10$ °C. Superimposed on this diurnal cycle, the temperature record reveals strong variations within a timescale of a few days. These changes can be as large as 10–15 °C. On the seasonal time scale, the difference between low temperature values in April and September, respectively, and the summer temperature maximum in mid. We ta mid August adda up to $\sim 20^{\circ}$ C. This is alightly

temperature maximum in mid-July to mid-August adds up to ≈ 20°C. This is slightly higher than the climatological observations from Yekaterinburg. Surface pressure at Kourovka varies between 960 hPa and 1000 hPa. This record also shows some multiday variations but clearly lacks both a diurnal and seasonal cycle.

The simulated amount of water vapour q_v in the lowest atmospheric model layer also shows strong temporal variations at a time scale of a few days. While the water content in the air is rather low (3–6 gkg⁻¹ air) between the beginning of April and early May, it rises thereafter to values of up to 15 gkg⁻¹ air. From mid-July to end of September, the simulated q_v values then fall back into the range 5–10 gkg⁻¹ air.

ECHAM5-wiso simulates surface-level water vapour δD_v values (hereafter δD_v) mostly in the range -200 to -100‰ at the Kourovka site between April and September 2012 (Fig. 4). The model shows isotopic variations of 30–50‰ over a few days, over which are superimposed smaller short-term fluctuations lasting a few hours. The lowest δD_v values are found in early April and early May as well as in mid to late





September, while summer δD_v values are less depleted. A distinct peak event in δD_v occurs between 30 August and 3 September.

Both the simulated δD_v values of the total water vapour column and δD in precipitation (not shown) are highly correlated with the simulated δD_v values near surface

- $_5$ (r = 0.90 and r = 0.97, respectively, for hourly values between 1 April and 30 September). Compared to the surface values, the δD_v signal of total water column is depleted by $\approx 20-30$ ‰. Precipitation occurs at 1216 1-h intervals between April and September (total number of 1-h intervals during this period: 4392) with a mean enrichment of $\approx +70$ ‰ as compared to the surrounding vapour.
- ¹⁰ As seen in Fig. 4, the multi-day variations of δD_v , q_v and surface pressure are strongly correlated. From our analyses, we find the strongest links between variations of temperature and water amount q_v (r = 0.70), while variations of δD_v are only weakly linked to local temperature (r = 0.56) and q_v (r = 0.60). Our results support previous findings that δD_v variations on daily and synoptic time scales are often not strongly cor-
- ¹⁵ related with local temperature or water amount changes, but rather represent a more integrated signal of the climatic conditions during the transport of the vapour to a specific site (e.g. Schmidt et al., 2005; Langebroeck et al., 2011). Modeled surface pressure variations at Kourovka are neither strongly correlated to surface temperatures, water vapour, nor to δD_v (correlation coefficient |r| < 0.2 in all cases).
- ²⁰ In addition to δD , the isotopic signal of $\delta^{18}O$ of the various water reservoirs and fluxes is also modeled within this ECHAM5-wiso simulation. At the grid point closest to Kourovka, we find a strong linear correlation between hourly values of δD_v and $\delta^{18}O_v$ (r = 0.997), with a slope of m = 7.99 and a mean deuterium excess value d (defined as $d = \delta D - 8 \times \delta^{18}O$) of +10.2‰. Between April and September, the modeled hourly excess values range between +5‰ and +20‰. The potential use of the deuterium excess data to identify different transport regimes of moisture towards Kourovka will be investigated in detail in future studies.

From correlation analyses (not shown) of the simulated daily mean δD_v values at Kourovka and the isotopic composition at all other grid cells, we estimate that variations





of isotope values in vapour at Kourovka are representative for isotopic changes in a region between 45–75° E and 48–66° N, with a correlation coefficient *r* higher than +0.5. A similar correlation pattern is found for variations of the water vapour amount *q*, but with slightly higher mean correlation coefficients ($r \ge +0.65$). In contrast to these water quantities, the simulated near-surface temperature shows a much stronger and spatially extended correlation between Kourovka and its surroundings (mean *r* values> 0.9).

3.3 Surface δD_v : model data comparison

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The ECHAM5-wiso results are first compared to the observed hourly water vapour PICARRO data q_v (Fig. 2c, red lines). The model correctly captures the patterns and magnitude of variability, with a very large correlation coefficient (r = 0.89). Absolute values of water vapour measured with the PICARRO instrument are up to 20 % higher than the related model values. This might be explained by the fact, that the ECHAM5wiso values represent the mean of the lowest atmospheric model level (surface to $\approx 60 \text{ m}$) while the PICARRO measurements were carried out at a height of 7 m.

Simulated δD_v values are often 30–40 ‰ less depleted than the corresponding PI-CARRO data. This suggests a lack of depletion either along air mass trajectories or due to boundary layer mixing. Despite the systematic offset, a high correlation (r = 0.77) is obtained between model and observed δD_v hourly variations. This result shows that the intra-seasonal δD_v variability at Kourovka is dominated by the synoptic variability,

which is correctly resolved by the model in the nudged configuration.

The PICARRO data exhibit a stronger correlation between δD_v and q_v (r = 0.73) than simulated (r = 0.60). We note that this might be partly influenced by the lower number of measured data points (n = 3066) as compared to the total number of hourly modeled

values (*n* = 4392) available for the period between April and September 2012. However, if we limit the analyses of the ECHAM5 values to those points in time, when PI-CARRO measurements exist, the simulated correlation between δD_v and q_v strengthens slightly (*r* = 0.61), only.





The PICARRO observations and ECHAM5-wiso results consistently depict two pronounced δD_v negative excursions with minimum and maximum values of -200 ‰ and -100 ‰, respectively, for the first days of April 2012 and May 2012. Another negative excursion occurs on 12 September. Exemplarily, we have chosen the May event with highly depleted δD_{y} values between end of April and early May for a detailed analysis 5 of the atmospheric conditions leading to this fast and strong isotope shift in vapour at Kourovka (Fig. 5). In the model framework, the minimum in δD_{v} lags a local surface pressure minimum by 1 day and precedes a drop in surface air temperature, which reaches its lowest temperatures 4 days later. This sequence of events suggests that such δD_{y} , variations at Kourovka are related to passages of dynamic low and high 10 pressure systems and advection of remote air masses. This hypothesis is further investigated by analysis of the isobaric flow at 850 hPa. A few days before this depletion event, the Kourovaka area was receiving southwesterly air masses transporting relatively warm and enriched vapour (Fig. 6, top panel). Around 1 May, the atmospheric

- ¹⁵ circulation changed due to a pronounced low pressure system north of Kourovka. As a result, the main air flow was then transported from central Siberia with depleted δD_v levels (Fig. 6, middle panel). During the following days, this northerly airflow caused the cooling at Kourovka. Starting from 7 May, a new high pressure system south of Kourovka was again dominating the atmospheric flow pattern, bringing warm and rel-
- atively enriched vapour to this region (Fig. 6, bottom panel). These simulated changes in atmospheric transport to Kourovka between 20 April and 11 May are in good agreement with back trajectory analyses of air masses, available from the AERONET (2012) for the location of Yekaterinburg (not shown).

We conclude that PICARRO measurements and ECHAM5-wiso simulation results of δD_v and related quantities (vapour q, surface temperature) between April and September 2012 are in good agreement. Even short-term isotope variations occurring on an hourly time scale are correctly reproduced in this nudged simulation. Thus, one may safely use the ECHAM5-wiso model results for an improved interpretation of observed isotopic variations near Kourovka in future studies.





4 Ground-Based FTIR

4.1 Description of the data and comparison technique

Ground-Based Fourier-Transform Intrared (FTIR) spectrometers are widely used for remote measurements of the atmospheric composition (Notholt and Scherms, 1994;

- ⁵ Wunch et al., 2010, 2011; Hannigan et al., 2009). Data from the Ural Atmospheric Fourier Station (UAFS) in Kourovka astronomical observatory (57.048° N, 59.545° W, 270 m altitude, 80 km to the West from Yekaterinburg city) were used for comparison with ECHAM5-wiso output. UAFS provides high-resolution ground-based observations of atmospheric transmittance in the spectral region of 4000–11000 cm⁻¹. At
- TCCON sites, operating instruments are Bruker IFS-120HR and IFS-125HR (Wunch et al., 2010, 2011) which provide accurate and precise retrieval of column-averaged atmospheric concentrations of such gases as CO₂, CH₄, H₂O, HDO, etc. UAFS is equipped with Bruker IFS-125M mobile spectrometer (aligned by TCCON members in July 2012). At present, TCCON does not accept mobile versions of IFS-125 instru-
- ¹⁵ ments but some studies show that they are able to achieve the required accuracy and precision (< 0.20% for XCO_2 , and < 0.16% for XCH_4) (Petri, 2012).

Values of δD_v were derived from total column abundances of HDO and H₂O retrieved from the measurements from July to August 2012 in Kourovka. For data processing, the standard TCCON software GFIT was used (Wunch et al., 2010, 2011). GFIT retrieves

the total number of molecules in the vertical atmospheric column, using the algorithm of profile scaling retrieval with the assumption that the shape of the profile of the retrieved gas is well known. H₂O, temperature and pressure a-priori profiles are based on reanalysis data provided by National Centers for Environmental Prediction and the National Center for Atmospheric Research (NCEP/NCAR) (Kalnay et al., 1996). The HDO a-priori profile is calculated from H₂O profile as follows (Wunch et al., 2011):

$$x_{\rm HDO}^{\rm apr} = 0.16 x_{\rm H_2O}^{\rm apr} \left(8.0 + \log_{10} \left(x_{\rm H_2O}^{\rm apr} \right) \right)$$
(1)



where x_{HDO}^{apr} is the a-priori HDO volume mixing ratio (vmr) profile, and $x_{H_2O}^{apr}$ is the apriori H₂O vmr profile. Examples for H₂O and corresponding δD_v a-priori profiles for each day of July 2012 are shown in Fig. 7. Microwindows containing saturated H₂O lines were excluded from final results to achieve more robust retrieval. As data base of spectral parameters, the revised water line list was used (Shillings et al., 2011).

Since the model provides hourly-averaged output data, data retrieved from FTIR measurements taken within 1 h were also averaged. For the comparison between model and FTIR observations we assume that the modeled HDO and H_2O profiles are true, and we simulate the measurement of the instrument by applying the following equation to the model result (Rodgers and Connor, 2003; Risi et al., 2012a):

$$Q = \sum_{i=1}^{n} \frac{\Delta P_i}{g} \left(A_i * q_i^{\text{sim}} + (1 - A_i) q_i^{\text{apr}} \right)$$

10

Here, *Q* is the retrieved total column mass of HDO or H₂O, respectively, q^{sim} is the specific humidity profile simulated by the model for atmospheric layer *i*, q^{apr} is the specific humidity in the same layer according to the a-priori profile used in the retrieval (converted from wet to dry-mole fractional values according to Wunch et al., 2010), A_i is the *i*-th component of the column averaging kernel vector, ΔP_i is the thickness of the *i*-th atmospheric layer, *g* is the gravity acceleration. Column averaging vectors as a function of pressure for different solar zenith angles of measurements are shown in Fig. 8. TCCON a-priori and averaging kernel profiles are tabulated using a different vertical coordinate system than the model profiles (71 pressure levels versus 31 hybrid layers). To ensure numerical consistency, all profiles were interpolated to the same vertical resolution (31 pressure levels in the range 1000–20 hPa) before the vertical integration was carried out. The δD_v of total column water vapour (δD_{TCVW}) was then calculated from the normalized ratio of Q_{HDO} and $Q_{\text{H}_2\text{O}}$.



(2)



4.2 Results of the comparison

Before we enter the comparison between retrieved ECHAM5-wiso results and observations, we consider the effect of the applying column averaging kernels to the original model results. In Kourovka, it shifts the original model results for δD_{TCVW} to more

⁵ positive values by about 5‰ in the average, and also induces a slight change of the expected slope between retrieved and originally simulated δD_{TCVW} from 1.0 to 1.09. The positive shift of retrieval values is essentially a consequence of the fact that between 1000 and 200 hPa, the isotopic ratio of TCCON a priori profiles is systematically higher than in the ECHAM simulations. The small change of the slopes deserves further investigation.

FTIR measurements were carried out in Kourovka on three days in July 2012 and on 23 August, 2012. Observations of δD_{TCVW} range from -134 % to -99 % in July and show significantly lower values ($-(180 \pm 5) \%$) for 23 August. Multiple measurements on July 10 record an increase of δD_{TCVW} from morning to noon by about 20%. Ob-

- ¹⁵ servations and retrieved model results are correlated with $r^2 = 0.91$ and scatter with an absolute standard deviation of 5.8‰ (see Fig. 9). We do not find any systematic trend underlying the differences. The observations are systematically shifted to the higher values comparing to the model results. It can be explained by the uncertainties in spectroscopic line intensities in the linelist. The measured increase of δD_{TCVW} dur-
- ing 10 July is also found in the model results but with a smaller amplitude (10‰). In the model, this fast isotopic enrichment coincides with the temporal evolution of lower tropospheric temperatures, exhibiting for Kourovka a pronounced diurnal cycle during the summer months. Given the limited number of observations that are available so far, a rigid interpretation and assessment FTIR measurements from Kourovka has to be postponed to the future.





5 GOSAT

Sensor TANSO-FTS on board GOSAT satellite provides spectral measurements in thermal infrared (band 4, $650-2006 \text{ cm}^{-1}$) which were used for this study. The retrieval method is based on technique of optimal estimation described by Rodgers (2000).

- ⁵ The initial guess vertical profiles for temperature and humidity were taken from NCEP Reanalysis data provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA from their web site at http://www.esrl.noaa.gov/psd/. Initial guess vertical profile of HDO was taken from Rozanski and Sonntag (1982). TIGR 2000 database (Chevallier et al., 2000) was used for temperature covariance matrix calculation. Model covariance matrices
- ¹⁰ were generated for H₂O and HDO vertical profiles joint retrieval. Only cloudless measurements of GOSAT were selected. A spectral measurement was treated as cloudless if brightness temperature in spectrum near 820 cm⁻¹ was close to temperature at surface in NCEP reanalysis data. At the first step of retrieval procedure, the short spectral interval around 820 cm⁻¹ was used to retrieve surface temperatures. Then the spec-
- tral interval 680–765 cm⁻¹ was used to retrieve vertical temperature profile. At third, a small surface temperature correction was made for the 1200–1206 cm⁻¹ spectral interval. It was done to compensate uncertainty in our knowledge on surface emissivity. And finally, H₂O and HDO vertical profiles were retrieved simultaneously from the 1200–1227 cm⁻¹ spectral interval of GOSAT TANSO-FTS band 4 measurements. The
 retrieval of vertical profiles is based on the following iterative formula (Rodgers, 2000):

$$\boldsymbol{x}_{k+1} = \boldsymbol{x}_k + \boldsymbol{\mathsf{C}}_k(\boldsymbol{y} - \boldsymbol{y}_k) + (\boldsymbol{\mathsf{I}} - \boldsymbol{\mathsf{C}}_k \boldsymbol{\mathsf{A}}_k)(\boldsymbol{x}_0 - \boldsymbol{x}_k),$$

where

$$\mathbf{C}_{k} = \left(\mathbf{A}_{k}^{\mathsf{T}}\mathbf{S}_{\varepsilon}^{-1}\mathbf{A}_{k} + \mathbf{S}_{a}^{-1}\right)^{-1}\mathbf{A}_{k}^{\mathsf{T}}\mathbf{S}_{\varepsilon}.$$

Here (for the last stage of retrieval algorithm), x_k is the vector combined of vertical profiles of H₂O and HDO, x_0 is the initial guess, y is the measured spectrum, y_k is the



(3)

(4)



simulated spectrum at *k*-th iteration, **I** is identity matrix, \mathbf{A}_k is the Jacobian of forward radiative transfer model, \mathbf{S}_{ε} is the measurement error covariance matrix, and \mathbf{S}_a is the a-priori covariance matrix. Vertical profiles were represented on altitude mesh of 34 altitude levels from the surface to 65 km. The a-priori covariance matrix \mathbf{S}_a can be grouped into sub blocks as

$$\mathbf{S}_{a} = \begin{pmatrix} \mathbf{S}_{H_{2}O} & \mathbf{0} \\ \mathbf{0} & \mathbf{S}_{HDO} \end{pmatrix}$$

5

10

In this study, off-diagonal blocks were filled with zeros. Because of absence of a sufficiently representative dataset of directly measured vertical profiles of HDO, each diagonal sub block of the a-priori covariance matrix \mathbf{S}_{a} is modeled using the following formula:

$$S_{ij} = a_1 q_i q_j \exp\left(-\frac{|h_i - h_j|}{h_1}\right),$$

where q_i and q_j are concentrations at altitudes h_i and h_j respectively, a_1 and h_1 are parameters adjusted separately for H₂O and HDO.

Total column amounts of H₂O and HDO (molm⁻²) were used to calculate the column
 ratio of δD_v. The averaging kernel of joint H₂O and HDO retrieval is shown in Fig. 10. This figure demonstrates that the retrieval method is not sensitive to H₂O and HDO concentrations at surface. A modified version of the FIRE-ARMS software package (Gribanov et al., 2001) was used for all retrievals. The 4-step retrieval scheme described above was applied to all summer spectra of 2012 selected by criteria of cloudless and
 the proximity to Kourovka site. Figure 11 shows daily means of PICARRO measurements in Kourovka and GOSAT measurements within 250 km range around. All ad-

justable parameters in the model covariance matrices were selected to reach the best correlation between direct PICARRO measurements in Kourovka and retrievals from GOSAT spectra measured in proximity to Kourovka. The best correlation (r = 0.78, see





Fig. 11) between PICARRO measurements at surface and columnar retrievals from GOSAT spectra was found for daily means in July 2012. The GOSAT spot pattern close to Kourovka is shown in Fig. 12. GOSAT retrievals were shifted in one day for the best correlation. Air masses arrive later to the locations of GOSAT spots shown in

⁵ Fig. 12 at the scale of the whole region of interest. Moreover, because of the time shift found in correlations, only GOSAT fields of view located westerly than Kourovka were considered. Ajustment of retrieval scheme parameters using direct measurements in Kourovka site combined with ECHAM5-wiso will allow to obtain columnar δD_v distributions over the entire region of Western Sibera.

10 6 Conclusions

The present study is part of a project aiming to investigate the water and carbon cycles in permafrost regions and pristine peatlands of Western Siberia and their projected changes under a warming climate. The isotopic approach is a key element of this project and the results that we have presented and discussed in this article should be considered as a first and necessary step to fully exploit the isotopic information

- ¹⁵ be considered as a first and necessary step to fully exploit the isotopic information contained in water vapour. To this end we have combined three independent methods to acquire data (continuous surface measurements, FTIR and GOSAT) and evaluate them against the results derived from a dedicated simulation of the ECHAM5-wiso IGCM focusing on this region.
- ²⁰ As expected from a Rayleigh type model, and generally observed in middle and high latitude regions (Rozanski et al., 1992), a significant part of the daily isotopic variations (δD_v) observed in Kourovka water vapour is explained by local changes in the amount of water vapour ($r^2 = 0.71$) and temperature ($r^2 = 0.72$). Obviously, a general circulation model which accounts for the origin of the water vapour, for the complexity of ²⁵ weather situations and for the differences of associated fractionations (e.g convective versus non-convective systems) is a more appropriate tool to examine the link between δD_v and climatic parameters. There is indeed an excellent correlation between





observed and predicted δD_v values including for rapid excursions related to concurrent changes in atmospheric circulation.

This data model comparison fully justifies the use of ECHAM5-wiso to evaluate two methods, respectively based on the exploitation of FTIR and GOSAT data, allowing re-⁵ mote measurements of δD_v in the water column. They are both very satisfying although being limited to a small number of days.

To sum up, the δD_v comparison between three observational approaches and a medium-high resolution IGCM, undertaken for the first time at a given site, is quite promising. Data acquisition with the Picarro instrument will be now performed on a continuous basis and a second instrument will be deployed in summer 2013 at Labytpangi

- ¹⁰ tinuous basis and a second instrument will be deployed in summer 2013 at Labytnangi located near the Arctic circle (66° 39' N, 66° 23' E, see Fig. 1) with the aim to contribute to an Arctic network now under development. Further work will include the exploitation of oxygen-18 and associated deuterium excess from the PICARRO data, a comparison of the algorithm developed to infer column δD_v from the GOSAT thermal infrared band
- and the method currently applied in the short-wave infrared (Frankenberg et al., 2012), as well as the development of an improved algorithm to exploit FTIR data for isotopic purposes. At last the use of a second IGCM (LMDZiso) should help to interpret these data in a larger geographical context.

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Fig. 1. Map of the target region (Western Siberia). Kourovka observation site is marked with red star and Yekaterinburg is marked with red circle. White star stands for future site in Labytnangi.







Fig. 2. Time series including **(a)** hourly (gray dots) and running (5 points, blue curve) means of specific humidity measured by PICARRO at Kourovka station, **(b)** hourly (gray dots) and running (5 point, red curve) means of δD measured by PICARRO at Kourovka, **(c)** local temperature derived from ERA-interim reanalysis data, **(d)** local temperatures measured at Kourovka by MetPak-II meteorological station.







Fig. 3. Scatter plots for $\ln(1 + \delta D_v)$ vs $\ln(q_v)$, hourly **(a)** and daily **(b)** means; δD_v (PICARRO) vs local temperature measured at Kourovka **(c)**; δD_v (PICARRO) vs ERA-interim reanalysis temperatures **(d)**.





Fig. 4. Time series of ECHAM5-wiso simulation values between 1 April and 30 September 2012 of **(a)** surface pressure (green line), **(b)** surface temperature (blue), **(c)** vapour amount q_v of the lowest model grid box (grey), **(d)** δD of the water vapour (yellow). In panel **(c)** and d) the related smoothed PICARRO measurements (red lines) are show for comparison, too. The model values are all taken from the grid box enclosing Kourovka station.







Fig. 5. The same as Fig. 4, but for the period 22 April to 13 May.





Fig. 6. Horizontal wind flow at 850 hPa (vectors) and δ D composition of the total water column (colored pattern) for **(a)** 23 April, **(b)** 1 May , **(c)** 10 May for the region 45° N–75° N, 15° W–90° E as simulated by ECHAM5-wiso. The location of Kourovka station is marked by red cross.









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Interactive Discussion









Fig. 9. FTIR observations versus ECHAM5-wiso simulations in July–August, 2012, r = 0.90.



Interactive Discussion



Fig. 10. Averaging kernels for H_2O and HDO vertical profile retrievals.











Interactive Discussion



Fig. 12. GOSAT observation spots (colored circles) in the vicinity to Kourovka (brown star) and Yekaterinburg (brown circle).

