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Modeled global effects of airborne desert dust on air quality and premature mortality

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Abstract

Fine particulate matter is one of the most important factors contributing to air pollution. Epidemiological studies have related increased levels of atmospheric particulate matter to premature human mortality caused by cardiopulmonary disease and lung cancer. However, a limited number of investigations have focused on the contribution of airborne desert dust particles. Here we assess the effects of dust particles with an aerodynamic diameter smaller than $2.5\ \mu\text{m}$ ($\text{DU}_{2.5}$) on human mortality for the year 2005. We used the EMAC atmospheric chemistry general circulation model at high resolution to simulate global atmospheric dust concentrations. We applied a health impact function to estimate premature mortality for the global population of 30 yr and older, using parameters from epidemiological studies. We estimate a global cardiopulmonary mortality of about 402 thousand and about 10 thousand by lung cancer in 2005. The associated years of life lost are about 3.47 million and 96 thousand per year due to cardiopulmonary disease and lung cancer, respectively. We estimate the global fraction of the cardiopulmonary and lung cancer deaths caused by atmospheric desert dust to be about 1.7%, though in the 20 countries most affected by dust this is much higher, about 15–50%. These countries are primarily found in the so-called “dust belt” from North Africa across the Middle East and South Asia to East Asia.

1 Introduction

Increased levels of fine particles in the air from anthropogenic and natural origin show that air quality has decreased on regional and global scales (Akimoto, 2003; Gerasopoulos et al., 2006; IPCC, 2007; Anenberg et al., 2010; Van Donkelaar et al., 2010; EEA, 2012). A large number of epidemiological studies have demonstrated that atmospheric particulate matter (PM) pollution causes morbidity and premature mortality. The long term exposure to fine particulate matter with an aerodynamic diameter smaller than $2.5\ \mu\text{m}$ ($\text{PM}_{2.5}$) is associated with adverse health impacts including an increased

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risk of premature mortality by cardiopulmonary disease and lung cancer (Cohen et al., 2005; Krewski et al., 2009; Pope et al., 2009; Lepeule et al., 2012; Lim et al., 2012).

Because of their small size $PM_{2.5}$ particles can penetrate the deep parts of the lungs and the smallest ones even the alveoli, the gas exchange cavities of the lungs. Particles of a size smaller than about $0.1 \mu m$ can pass into the blood and affect other organs. The fine $PM_{2.5}$ particles can lead to cardiopulmonary and lung cancer health risks and premature mortality. Most of the studies that relate air quality and human health have focused on the impact of anthropogenic particulate matter (such as PM by combustion engines). Relatively little work has been devoted to the impact of natural $PM_{2.5}$ such as mineral dust. In the atmosphere large amounts of desert dust can travel thousand kilometers from their sources, which represents one of the main natural contributions to airborne PM (Kojima et al., 2006; Mahowald et al., 2010; Ginoux et al., 2012).

In many regions the levels of $PM_{2.5}$ exceed by far the WHO limit ($10 \mu g m^{-3}$) and the European Standard on Ambient Air Quality and Cleaner Air for Europe ($25 \mu g m^{-3}$, Directive 2008/50/EC) due to regular dust events. North Africa and the Middle East are the main dust sources (over 60% of the global dust load), and therefore the potential risk to health is higher for populations in these regions. South and East Asia, with high population densities, are also affected by severe dust events. Unfortunately, in these parts of the world epidemiological studies and adequate air quality data are lacking. Several studies mention adverse health effects of the cardiorespiratory system and lungs that are associated with dust, but very few present quantitative results (De Longueville et al., 2010, 2013). The Global Burden of Disease (GBD) assessment for 2010 includes desert dust as part of $PM_{2.5}$ pollution though did not define the dust related mortality explicitly (Lim et al., 2012).

In this work we assess the long-term effect of airborne desert dust particles with an aerodynamic diameter smaller than $2.5 \mu m$ ($DU_{2.5}$) on human mortality for the year 2005 in the 231 countries as reported by the United Nations. We also estimate the associated annual years of life lost. We use a high-resolution global atmospheric chemistry-climate general circulation model to simulate global atmospheric dust con-

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centrations. Subsequently, we apply a health impact function to estimate premature mortality for the global population of 30 yr and older in 2005. We use epidemiological parameters from cohort epidemiological studies. We follow the same methodology as Lelieveld et al. (2013) who applied the same model and health impact function to assess the premature mortality caused by anthropogenic PM_{2.5} and O₃ pollution for the global population of 30 yr and older in the same period. In the following section we present the methodology and the data we used for this analysis.

2 Methodology

We use the following human health impact function to estimate the global annual premature mortality due to airborne desert dust (DU_{2.5}) (Anenberg et al., 2010; Lelieveld et al., 2013). This health impact function relates changes in pollutant concentrations to changes in mortality:

$$\Delta Mort = y_0(1 - e^{-\beta \Delta X})Pop, \quad (1)$$

where $\Delta Mort$ is the change in annual mortality due to a pollutant (in our study airborne desert dust), y_0 is the baseline mortality rate (BMR) for a given population, β is the concentration response function (CRF), ΔX the change in concentration of a given pollutant X relative to clean conditions, and Pop is the total population with an age of 30 yr and older exposed to the pollutant. This age category coincides with the epidemiological studies in which the CRFs for different causes of mortality have been derived.

BMR data describe the number of deaths in a particular year for the population under consideration. These data were obtained from the World Health Organization (WHO) Statistical Information System on the country-level (WHO, 2012), based on the International Classification of Diseases 10th Revision (ICD-10) classification system. The range of ICD-10 codes used in this study for cardiopulmonary mortality is I00–I99, J00–J99, and for lung cancer mortality is C33–C34. For the countries for which the WHO

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does not provide national mortality data for the specific year and the relevant diseases, the appropriate WHO sub-regional level BMR data were used for each country (the Global Burden of Disease: 2004 update, WHO, 2008). Country level data were used for 36 countries and regional data were assigned to 195 countries (in total 231 countries as documented by the United Nations and CIESIN). The assigning of sub-regional data to country level BMR does not lead to significant uncertainty in the analysis. The calculated ΔMort scales linearly with the BMR so that countries and regions with relatively high baseline mortality rates have proportionally higher excess mortality due to air pollution.

The CRF describes the increased risk of a population to certain diseases when exposed to a particular pollutant. In this study, the CRF has been derived from the log-linear relationship between the change in pollutant concentration and the relative risk (RR) of health impacts, as established in epidemiological cohort studies and given by the function:

$$RR = e^{\beta\Delta X} \quad (2)$$

We used the *RRs* from the epidemiological study by Krewski et al. (2009) to derive the CRFs for $\text{DU}_{2.5}$. Krewski et al. used data from the American Cancer Society (ACS) Cancer Prevention Study II (CPS-II) cohort, which included participants who were at least 30 yr in age at the time of enrollment. For detailed information about the CRFs used in this study we refer to Krewski et al. (2009), as discussed by Lelieveld et al. (2013). According to this cohort study a $10 \mu\text{g m}^{-3}$ increase in the concentration of $\text{PM}_{2.5}$ is associated with an increase of 12.9% (95% CI: 9.5–16.4%) in cardiopulmonary (CPD) and 13.7% (95% CI: 5.6–22.5%) in lung cancer mortality. Thus the mortality risk for cardiopulmonary disease (CPD) is $RR = 1.129$, giving a $CRF = 0.012133$. The mortality risk for lung cancer is $RR = 1.137$ and yields a $CRF = 0.012839$.

Because of the lack of epidemiological studies about the impact on premature mortality due to the long-term exposure to desert dust, the concentration response functions applied here have been based on epidemiological cohort studies by the American

Cancer Society (ACS), which may not be representative for other countries. For regions that are strongly affected by desert dust particulates and also have different living conditions compared to the USA, like in many African, Middle East and Asia regions (with high baseline mortality rates), the ACS results are likely to be less representative (Cohen et al., 2005). Similarly as the GBD assessment (Lim et al., 2012), we assume that $DU_{2.5}$ affects human health the same way as $PM_{2.5}$ in the ACS cohort study and therefore we implicitly assume that particle size matters more than their composition.

The concentrations of dust ($DU_{2.5}$) we used for the year 2005 were obtained by Pozzer et al. (2012a, b) applying the EMAC atmospheric chemistry-climate general circulation model (Jöckel et al., 2006; Pringle et al., 2010; de Meij et al., 2012; Astitha et al., 2012). We assumed for the global background a dust concentration of $7.5 \mu\text{g m}^{-3}$ below which no premature mortality occurs (Cohen et al., 2005; Ezzati et al., 2002). Our main analysis is based on the $7.5 \mu\text{g m}^{-3}$ background concentration, although there are studies that indicate health impacts even at lower concentrations. In Sect. 4 we will discuss sensitivity calculations for which different background concentrations are used.

The model has a horizontal resolution of about $1.1^\circ \times 1.1^\circ$ (~ 100 km latitude and longitude near the equator), and a vertical resolution of 31 levels up to the lower stratosphere. Near-surface concentrations were used for this study, i.e. being the average in the lowest model level extending over about 60 m. We used 2005 median dust $DU_{2.5}$ concentrations due to the episodic nature of desert dust outbreaks, transport and deposition, and the intra-annual variability of these outbreaks. In the sensitivity analysis we discuss how the mortality rates change if we consider annual mean rather than median $DU_{2.5}$ concentrations. Model evaluation based on in situ and remote sensing observations indicates that the simulations reproduce the atmospheric distribution of dust in time and space. The seasonal distribution of aerosol optical depth is well represented by the model, and the model results largely agree with observed $PM_{2.5}$ and desert dust concentrations and deposition (Pozzer et al., 2012a, b; de Meij et al., 2012; Astitha et al., 2012).

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We used population statistics for the year 2005, on a country level from the United Nations Department of Economic and Social Affairs (UNDES 2011) database and gridded global population numbers from the Columbia University Center for International Earth Science Information Network (CIESIN) database with a resolution of 2.5×2.5 arc-minutes (about $0.04^\circ \times 0.04^\circ$; CIESIN, 2005). We used the global population of 30 yr and older for the population variable (Pop) in the health impact function to be consistent with the ACS CPS-II cohort epidemiological research. The global population of 30 yr and older was computed by applying the fraction of people of age ≥ 30 in each country, to the appropriate grid cells of CIESIN data to obtain the total and gridded target populations.

We also estimate the years of life lost (YLL) of the defined populations (30 yr and older) by applying the calculated premature mortality caused by dust pollution to the following function:

$$\Delta YLL = \Delta Mort(YLL_0/y_0) \quad (3)$$

where ΔYLL is the YLL due to premature mortality caused by airborne desert dust $DU_{2.5}$, YLL_0 is the baseline YLL and y_0 is the baseline mortality rate. Baseline YLL refer to years of life lost from cardiopulmonary diseases and lung cancer. Data were obtained from the WHO Health Statistics and Health Information System for the year 2004 with 3% discounting and age weights, where younger ages are given a higher weight than the later years in an individual's life (WHO, 2008).

The used health impact function and RRs are based on the most comprehensive epidemiological cohort studies available, and are widely acknowledged as being the most representative (for a discussion we refer to Lelieveld et al., 2013). In the next section we present the calculated global dust $DU_{2.5}$ concentrations, the premature (excess) mortality due to dust and the associated YLL. The calculations have been performed at the resolution of the most detailed dataset (i.e., 0.04° of the population data) and then aggregated to higher levels up to those of countries.

3 Results

The global median modeled dust $DU_{2.5}$ concentrations ($\mu\text{g m}^{-3}$) for 2005 are presented in Fig. 1. To calculate the premature mortality due to long term exposure to desert dust we applied a “clean air” global background dust concentration threshold of $7.5 \mu\text{g m}^{-3}$, below which we assume that dust does not have negative effects on human health. Model calculated regional distributions and budgets of aerosol pollution are discussed in Pozzer et al. (2012b). The regions most strongly affected by mineral dust particles are North Africa, the Middle East, South and East Asia.

Applying the health impact function (Eq.1) for the population of 30 yr in age and older to our model output suggests a significant contribution of desert dust to premature human mortality. We estimate that the exposure of the global population (≥ 30 yr) to ambient $DU_{2.5}$ levels in the year 2005 caused about 402 thousand premature deaths by cardiopulmonary disease, CPD (i.e. an annual mortality of 137 per million capita), and about 10 thousand by lung cancer (3 deaths per million capita per year).

The countries with the highest premature mortality in 2005, caused by CPD, are Egypt with about 70 thousand, Pakistan with about 52 thousand, and Nigeria with 41 thousand. Other countries with high mortality due to dust are China, Sudan, India, Iraq, Saudi Arabia, Iran, and Niger with several thousand premature deaths. Unsurprisingly, these countries are located in the “dust belt”, an area of strong desert dust sources that extends from the west coast of North Africa, through the Middle East, South and Central Asia to eastern China. When premature mortality is normalized to the number of individuals the country ranking changes significantly, with Mauritania, Niger and Iraq being the top three countries with highest per capita excess mortality, followed by Egypt, Mali, Chad, Sudan, Senegal, Saudi Arabia, and Turkmenistan (Table 1). Egypt, Pakistan and Nigeria, which are the top three countries in absolute numbers, are the 4th, 20th, and 19th, respectively, in the per capita ranking. This is related to the much higher population densities of the latter three countries compared to Mauritania, Niger

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lion and 156 thousand years for CPD and lung cancer, respectively. The ranking of the countries with relatively high premature mortality is not significantly influenced by this assumption. Also because of this choice of using medians rather than means, our central results should be considered conservative.

5 Discussion

As indicated earlier there is a lack of epidemiological cohort studies in regions that are strongly affected by airborne desert dust, notably in the dust belt. The concentration response functions applied here have been based on epidemiological cohort studies by the American Cancer Society (ACS), which may not be representative for countries in which $DU_{2.5}$ dominates $PM_{2.5}$. The toxicity of chemical components of $PM_{2.5}$ in different parts of the world, the way each compound acts onto the cardiovascular and respiratory systems and the exposure conditions are not necessarily the same. In the countries in and around the dust belt, where exposure conditions may be different from the USA and where high baseline mortality rates prevail, region specific epidemiological cohort studies are needed (Cohen et al., 2005; De Longueville et al., 2013).

A critical assumption in this work is, therefore, that desert dust $DU_{2.5}$ is equally toxic as $PM_{2.5}$ in the ACS epidemiological study. Our estimates are based on this study, as it is one of the most comprehensive and also considered representative for other regions (COMEAP, 2009). The proportion of dust in this study, from which epidemiologic CRFs were derived, is not known so these response functions likely incorporate some impact of dust exposure. It should be emphasized that although some experts classify all $PM_{2.5}$ components as equally toxic, others consider $DU_{2.5}$ the least toxic aerosol constituent (Cooke et al., 2007). For that reason the premature mortality estimates presented here are chosen to be relatively conservative (i.e., using the median and $7.5 \mu\text{g m}^{-3}$ threshold concentrations).

Many epidemiological studies have demonstrated that atmospheric $PM_{2.5}$ causes mortality and hospital admissions as a result of CPD and lung cancer. However, only a

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published thus far, despite the proximity of the Sahara where dust events are more frequent and intense than anywhere else in the world. We hope that our estimates of high dust-induced mortality in African (and other) countries help motivate such studies.

Very little research has generally been done on the effects of natural mineral dust on human health. Our results on the global premature mortality rates caused by mineral dust cannot be directly compared to the above short-term effect studies, because our methodology is based on long-term epidemiological cohort studies. Our results nevertheless reinforce many of the previous findings, showing a substantial increase of mortality and morbidity in relation to dust events, which will hopefully help motivate continued epidemiological investigations, including cohort studies.

A detailed uncertainty analysis of our methodology is presented in Lelieveld et al. (2013). They carried out a statistical uncertainty analysis assuming random errors, by propagating the quantified errors of all terms in Eq. (1), estimated from the 95 % confidence intervals (CI95) reported in the ACS studies. The global averages show a statistical uncertainty range up to about $\pm 5\%$. At the country level the uncertainties are higher. Note that this analysis only addresses statistical uncertainty, while non-representativeness of the applied concentration response factors outside the USA and the toxicity issue mentioned above add to the uncertainty.

In this analysis we focus on the enhanced mortality due to mineral desert dust. Other sources like road dust, dust from industrial activities, agricultural and other human activities are not included as they are not represented in our emission data base. Further, our model does not account for re-suspension of dust after its deposition, which may be especially important in the urban environment. The impact of anthropogenic PM_{2.5} on the dust properties is also not examined. Dust outbreaks may also cause health impacts due to the simultaneous atmospheric transport of anthropogenic pollution (Erel et al., 2007; Kallos et al., 2007) and of harmful micro-organisms (Polymenakou et al., 2008). It has been observed that dust particles rapidly mix with acids and organic components (Ma et al., 2010), which can be relevant for large urban and industrial centers (e.g., Cairo, Beijing, Tehran etc.) where both dust and anthropogenic pollution concen-

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trations are high. In these areas it is likely that the toxicity of dust does not deviate from other particulate constituents of the same size category. Altogether, using the median rather than the mean, applying a threshold background level of $7.5 \mu\text{g m}^{-3}$, not accounting for $\text{DU}_{2.5}$ sources other than desert dust, neglecting particle re-suspension and only accounting for the age category of ≥ 30 yr likely cause our estimates to represent a lower limit, in spite of $\text{DU}_{2.5}$ being perhaps less toxic than $\text{PM}_{2.5}$.

6 Conclusions

We applied a human health impact function to modelled global fine particulate matter concentrations to estimate the premature mortality caused by airborne desert dust with an aerodynamic diameter $\leq 2.5 \mu\text{m}$ in the year 2005. Our model results indicate a large number of premature deaths by cardiopulmonary disease and a significant number of deaths by lung cancer, mostly in the dust belt region between North Africa and eastern China. We estimate a total number of premature deaths of about 412 thousand from cardiopulmonary diseases and lung cancer, and 3.56 million years of life lost per year. The countries with the highest dust related mortality are Egypt, Pakistan, Nigeria, China, Sudan and other countries in and around the dust belt. If we consider the per capita mortality the ranking changes, with Mauritania, Niger, Iraq, Egypt and Mali being the top 5 countries with the highest per capita mortality. We estimate the global per capita mortality caused by $\text{DU}_{2.5}$ to be about 0.014 % per year, while the global fraction of the total cardiopulmonary and lung cancer deaths caused by exposure to desert dust is about 1.7 %.

We performed a sensitivity analysis by applying different background levels below which no health effects are assumed. The threshold concentration appears to sensitively influence our results, indicating more than twice the number of premature deaths (877 thousand/year) when we assume that no threshold exists below which $\text{DU}_{2.5}$ does not influence health compared to our central estimate (412 thousand/year for a $7.5 \mu\text{g m}^{-3}$ threshold concentration). If we use annual mean $\text{DU}_{2.5}$ concentrations

for 2005 rather than median concentrations, the global number of premature deaths increases to 638 thousand/year (>1.5 times higher compared to our central estimate).

We stress that our results refer to the effects of fine particulate desert dust (DU_{2.5}) on the population of ≥30yr based on the CRFs from the epidemiological study by Krewski et al. (2009) based on the ACS/CPS-II cohort, which can be expected to cause significant uncertainty in our calculations for regions and countries for which these data may not be representative (i.e. outside the USA and Europe). We nevertheless believe that our central estimates of premature mortality due to DU_{2.5} are likely to represent lower limits.

Appendix A

Abbreviations and acronyms

ACS/CPS	American Cancer Society/Cancer Prevention Study
BMR	Baseline Mortality Rate
CI	Confidence Interval
CIESIN	Columbia University Center for International Earth Science Information Network
CRF	Concentration Response Function
CPD	Cardiopulmonary Disease
DU _{2.5}	Dust particles with an aerodynamic diameter smaller than 2.5 μm
ECHAM	European Centre Model Hamburg
EMAC	ECHAM/MESSy Atmospheric Chemistry, MESSy Modular Earth Submodel System
GBD	Global Burden of Disease
ICD-10	International Classification of Diseases – 10th revision
LC	Lung Cancer

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Mort	Annual mortality
PM _{2.5}	Particulate Matter with an aerodynamic diameter smaller than 2.5 μm
PM _{2.5–10}	Particulate Matter with an aerodynamic diameter between 2.5 μm and 10 μm
PM ₁₀	Particulate Matter with an aerodynamic diameter smaller than 10 μm
Pop	Total population with an age of ≥ 30 yr
RR	Relative Risk
UNDES	United Nations Department of Economic and Social Affairs
UNPD	United Nations Population Division
WHO	World Health Organization
YLL	Years of Life Lost

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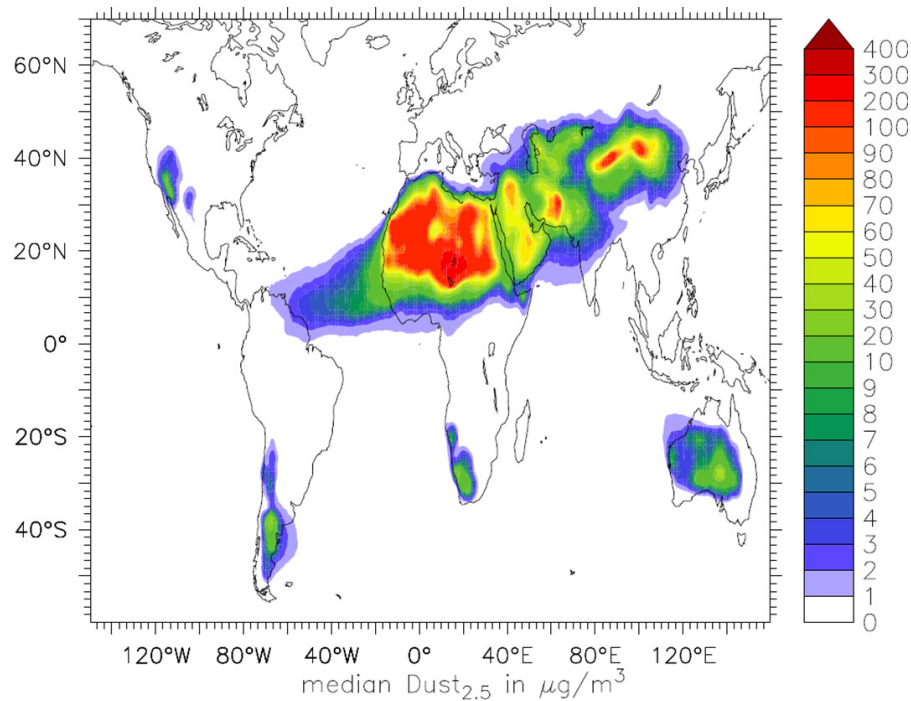


Fig. 1. Model calculated median dust concentrations ($DU_{2.5}$ in $\mu\text{g}/\text{m}^3$) in 2005.

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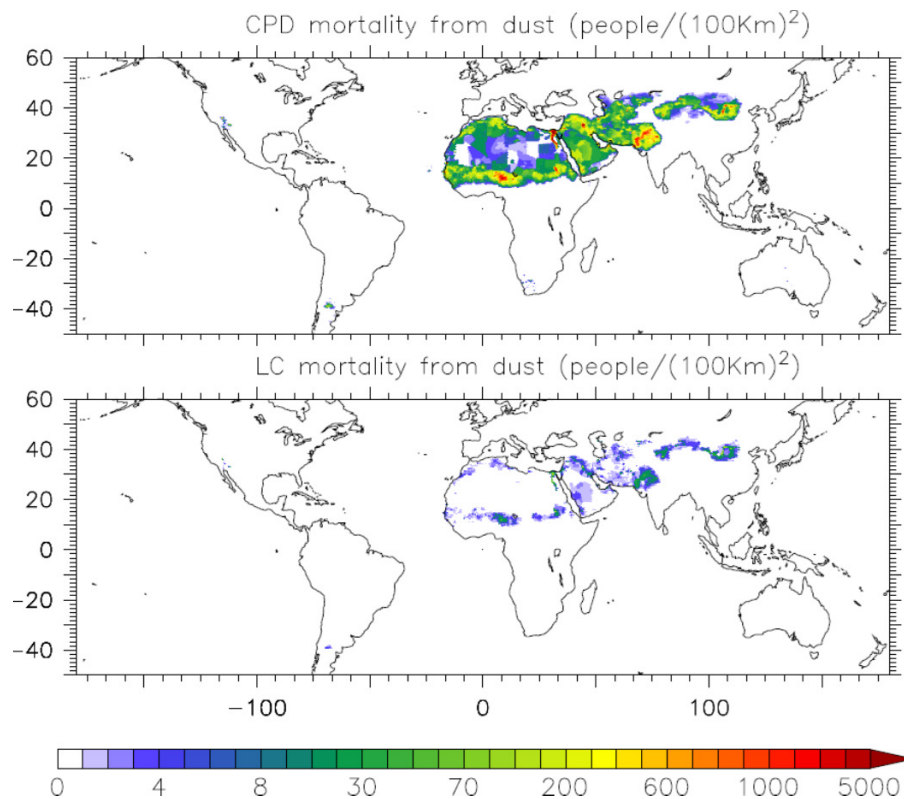
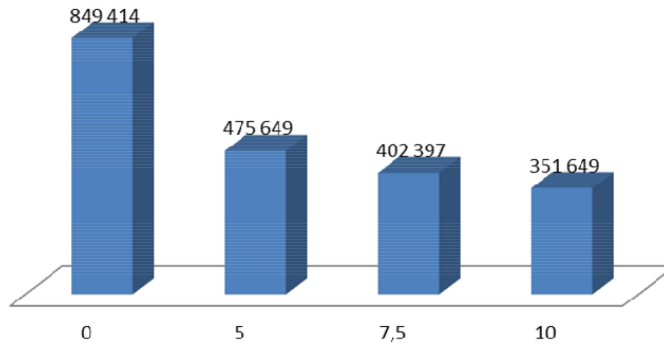


Fig. 2. Global premature mortality by cardiopulmonary disease (top) and lung cancer (bottom) (in individuals per $100 \times 100 \text{ km}^2$) due to dust ($\text{DU}_{2.5}$) for the population ≥ 30 yr in 2005.

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CPD mortality 2005



LC mortality 2005

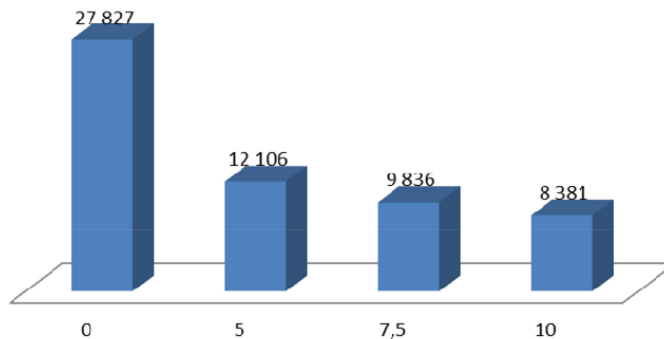


Fig. 3. Total premature mortality by cardiopulmonary disease (top) and lung cancer (bottom) due to dust ($DU_{2.5}$) for the population ≥ 30 yr in 2005. Mortality calculations are based on 4 different background dust concentrations (0, 5, 7.5 and 10 micrograms per cubic meter).