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Assessment of the effect of air pollution controls on trends in shortwave radiation over the United States from 1995 through 2010 from multiple observation networks

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Abstract

Long term datasets of all-sky and clear-sky downwelling shortwave (SW) radiation, cloud cover fraction and aerosol optical depth (AOD) are analyzed together with surface concentration from several networks (e.g. SURFRAD, CASTNET, IMPROVE and ⁵ ARM) in the United States (US). Seven states with varying climatology are selected to better understand the effects of aerosols and clouds on SW radiation. This analysis aims to assess the effects of reductions in anthropogenic aerosol burden resulting from substantial reductions in emissions of sulfur dioxide (SO₂) and nitrogen oxides (NO_x) over the past 16 yr across the US on trends in SW radiation. The SO₂ and NO_x ¹⁰ emission data show decreasing trends from 1995 to 2010 which indirectly validates the effects of the Clean Air Act (CAA) in the US. Meanwhile, the total column AOD and surface total PM_{2.5} observations also show decreasing trends in the eastern US but slightly increasing trends in the western US. Moreover, measured surface concentrations of several other pollutants (i.e. SO₂, SO₄ and NO_x) have the same behavior as

- the AOD and total PM_{2.5}. First, all-sky downwelling SW radiation is assessed together with the cloud cover. Results of this analysis show strong increasing trends in all-sky downwelling SW radiation with decreasing trends in cloud cover. However, since observations of both all-sky direct and diffuse SW radiation are increasing, there may be other factors contributing to the radiation trends in addition to the decreasing trends in
- overall cloud cover. To investigate the role of direct radiative effects of aerosols, clearsky downwelling radiation is analyzed so that cloud effects are eliminated. However, similar increasing trends in clear-sky direct and diffuse SW radiation are observed. While significantly decreasing trends in AOD and surface concentration along with increasing SW radiation (both all-sky and clear-sky) in the eastern US during 1995–2010
- imply the occurrence of direct aerosol mediated "brightening", the increasing trends of both all-sky and clear sky diffuse SW radiation contradicts this conclusion since diffuse radiation would be expected to decrease as aerosols direct effects decrease. After investigating several confounding factors, the increasing trend in diffuse SW may be



due to more high-level cirrus from increasing air traffic over the US. In contrast to the eastern US, radiation observations in the western US do not show any indication of "brightening" which is consistent with the observations (e.g. AOD, $PM_{2.5}$ and surface concentration) that show the aerosol loading increasing slightly. This outcome is not unexpected because the CAA controls were mainly aimed at reducing air pollutants emission in the eastern US and air pollutant level in the western US are much lower.

1 Introduction

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Solar radiation incident at the surface of the Earth is a key regulator of climate and the primary energy source for life. Several studies in the past (Ohmura and Lang, 1989;
Gilgen et al., 1998; Stanhill and Cohen, 2001; Liepert, 2002; Wild et al., 2004; Wild, 2009) have shown evidence of "global dimming" which was described as a widespread decrease of downwelling solar radiation from the early 1960s up to the late 1980s. However, starting during the 1990s, this trend reversed with some regions such as Europe and North America now experiencing "brightening" (Wild et al., 2005, 2009;
Pinker et al., 2005; Dutton et al., 2006; Long et al., 2009) possibly due to the air pollu-

- ¹⁵ Pinker et al., 2005; Dutton et al., 2006; Long et al., 2009) possibly due to the air pollution controls. In particular, Wild et al. (2009) and Long et al. (2009) have demonstrated the "brightening" trend with surface radiation measurements (e.g. Baseline Surface Radiation Network (BSRN), Surface Radiation Budget Network (SURFRAD) and Atmospheric Radiation Measurement (ARM)) in Europe and the United States (US). Wild
- et al. (2009) argued that the "global brightening" in the first part of the 20th century was tied to the aerosol loading while Long et al. (2009) attributed this phenomena to decreasing cloudiness which may or may not be associated with aerosols. Therefore, this study is extended to evaluate the possible causes of the "brightening" in US with more surface measurements.
- It is likely that the changes in the emissions of aerosols and aerosol precursors, as well as trends in cloud cover, are tied to changes in surface solar radiation. In particular, the reductions of sulfur dioxide (SO_2) and nitrogen oxides (NO_x) emissions have a



potential to change anthropogenic aerosol loading which can be associated with trends in regional radiation budgets over the past 16 yr. In order to have a better understanding of the aerosol effects and radiation trends, this study employs several observation networks such as SURFRAD, ARM, CASTNET (Clean Air Status and Trend Network)
 and IMPROVE (Interagency Monitoring of Protection Visual Environments) across the US from 1995 to 2010.

Section 2 gives an overview of each network together with their measurements, instruments, and uncertainties. The methodologies that are applied to each dataset are also discussed. In Sect. 3, the results from the analyses of these datasets are presented. In this section, the effect of the reduction of SO_2 and NO_x emissions on the radiation budget is assessed by using AOD and surface concentration measurements. In addition, the downwelling SW radiation and cloud cover observations are evaluated to further investigate the aerosol effect. Finally, Sect. 4 summarizes the findings and conclusions from our analyses.

15 2 Data and methodology

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2.1 Surface radiation budget network (SURFRAD)

Data from several sources are used in this study. The first dataset is from SURFRAD that includes seven sites that examine different climates throughout US in Illinois, Montana, Mississippi, Colorado, Pennsylvania, Nevada and South Dakota and is maintained by the National Oceanic and Atmospheric Administration (NOAA). However, the data from South Dakota is not used in this study as the measurements commenced only in 2003. Additional details on each site such as name, operation year and location can be found in Table 1 and Fig. 1. Note that even though measurements still continue to the present, in this study we use data collected at the locations through calendar year 2010.



The SURFRAD network not only provides measurements of radiation but also AOD, cloud cover fraction and a variety of meteorological parameters. In this study, we mainly focus on all-sky and clear-sky downwelling SW radiation and AOD. This network measures the direct and diffuse SW radiation with an Eppley Normal Incidence Pyrheliometer (NIP) and shaded Eppley Black and White (B&W), respectively to produce all-sky SW radiation. If the solar tracker does not work properly, a Spectrolab model SR-75 pyranometer is used to measure the all-sky SW radiation. The AOD data is derived based on the measurement of the five spectral SW channels from a MultiFilter Rotating Shadowband Radiometer (MFRSR). Additional detail on the SURFRAD instruments and measurement techniques can be found in Augustine et al. (2000, 2005,

struments and measurement techniques can be found in Augustine et al. (2000, 2005 2008).

All SURFRAD broadband radiation measurements have a temporal resolution of 3 min averages of 1 s samples up through 31 December 2008, and thereafter are produced as 1 min averages. However, the resolution of the AOD data varies depending on

- the raw measurement of the MFRSR as the AOD measurements are not made when clouds interfere with the direct solar beam. Thus, there are not always coincident AOD and SW measurements. In order to keep the data as continuous as possible, quality assurance practices are applied; for instance, exchanging instruments with newly calibrated units annually. The QCRad methodology of Long and Shi (2008) is applied to
- the radiation data to ensure the data quality is within acceptable range. According to this method, the realistic limits for examining unusual measurements are characterized based on the climatological analyses of radiation observations, particularly from the ARM projects. To produce continuous clear-sky estimates and infer bulk cloud properties from radiation observations, the Radiative Flux Analysis (RFA) is applied after the
- quality testing. This sophisticated analysis tool is a combination of several analyses which generate clear-sky periods and uninterrupted estimates of SW radiation (Long and Ackerman, 2000; Long and Gaustad, 2004), daytime cloudiness (Long et al., 2006) and several additional valuable variables.



In this study, the final products which are used in the comparisons are the annual averages. For the radiation data, the averages are estimated based on the approach of Long et al. (2009) which not only reduces the effects of unavailable data (e.g. missing or bad) but also helps to avoid the practice of "fill in" for unavailable data. First, the data

- are sorted into 15-min bins across each 24 h day (i.e. 96 bins across the day). Then the data within each 15-min bin are averaged to obtain an annual average diurnal cycle (i.e. averaging 365 diurnal cycles). For example, all data for the year 1998 are binned at 15-min resolution to calculate an annual 1998 average diurnal cycle. Next, this annual average diurnal cycle is averaged across the 96 15 min bins to produce the final annual
- ¹⁰ average value. This approach is applied to each year (1995–2010) for the data at each SURFRAD and ARM site. Note that, if the data is incomplete or less than 80 % for the entire year (e.g. the first year of measurement), all data for this particular year is removed from the trend evaluation to minimize any artificial effect on inferred seasonal variations and trends.
- ¹⁵ The second measurement that is used in this study is the cloud-free (cloud screening) AOD, but it is only available since 1997. The detail of the calibration method, the AOD calculation and the cloud screening method can be found in Harrison et al. (1994) and Augustine et al. (2008). To have the most realistic comparison of AOD with SW radiation trends, we only used AOD measurements that have been cloud screened.
- ²⁰ However, this cloud screening is different from the Long and Ackerman (2000) clearsky identification (CSI) method as the CSI method is intended to identify times of hemispherically cloud-free skies, whereas AOD retrievals only require that the path between the instrument and the sun be cloud-free. Thus the Long and Ackerman CSI is much more restrictive than for the AOD retrievals.
- To guarantee the quality of the AOD data, Augustine et al. (2008) had compared the measurements at Bondville and Sioux Falls with collocated AERONET sites and showed good agreement in phase and amplitude at both sites (e.g. R^2 values of 0.89 for Bondville and 0.91 for Sioux Falls). Note that greater absolute differences occurred



in summer, which is expected as the AOD values are highest during that time of year. The data can be found at http://www.srrb.noaa.gov/surfrad/index.html.

2.2 Atmospheric radiation measurement (ARM)

The ARM Climate Research Facility is maintained by the Department of Energy (DOE) and is a multi-platform scientific user facility that supports research of the uncertainties of climate models with clouds and aerosols particularly. It has three permanent fixed research facilities (i.e. the Southern Great Plains (SGP) and the North Slope of Alaska (NSA) in the US, and the Tropical Western Pacific (TWP)) which are designed to obtain data for studying the effects of aerosols, precipitation, surface radiation and clouds on global climate change. ARM also includes additional fixed and mobile sites that are under development to extend the research area in a diverse way.

In this study, we are focusing on the surface radiation data from the SGP site. This facility has multiple radiation measurement systems in the same area. These radiation systems include an Eppley NIP, Precision Spectral Pyranometers (PSP) and shaded Model 8-48 B&W for the SW radiation measurements. For the observations of downwelling direct, diffuse and all-sky SW, the approximated uncertainties are 3% or $4 W m^{-2}$, 6% or $20 W m^{-2}$ and 6% or $10 W m^{-2}$, respectively. To guarantee the best possible continuous data, the instruments' performance is verified daily (Peppler et al., 2008).

- ²⁰ The SW radiation data is generated by the RFA algorithm (Long and Ackerman, 2000; Long and Gaustad, 2004), which is applied to the ARM data from the SGP network of broadband SW radiometer sites. This is the same algorithm that is applied to the SURFRAD SW radiation dataset (see Section 2.1 for detail). In addition, this dataset is quality tested by the QCRad methodology (Long and Shi, 2008) and its annual average is obtained by the same methodology as described in Sect. 2.1
- nual average is obtained by the same methodology as described in Sect. 2.1.



2.3 Clean air status and trend network (CASTNET)

This network was established under the 1990 Clean Air Act (CAA) Amendments and has continued and expanded the National Dry Deposition Network, which began in 1987. It is a national, long-term environmental monitoring program which is operated

- ⁵ by the Environmental Protection Agency (EPA) and the National Park Service. It is designed to provide data for evaluating trends in air quality, atmospheric deposition and ecological effects that result from air pollutant emission reductions. Currently, this network operates approximately 84 monitoring sites through the contiguous US, Alaska and Canada. However, for this study, we are only interested in those sites which are in
- the vicinity of SURFRAD and ARM sites. The information on the selected CASTNET sites that are used in this study can be found in Table 1 and Fig. 1. This network focuses on the measurements of the concentration of sulfur and nitrogen species and ozone. Concentration measurements for all species except for ozone are made as weekly averages with the open-face 3-stage filter pack which is mounted atop a 10 m tower
- to collect air pollutants in the form of gases and particles. Ozone measurements are reported each hour.

In this study, the weekly measurement of sulfur dioxide (SO_2) , particulate sulfate (SO_4) and particulate nitrate (NO_3) are processed to obtain annual means at the seven selected sites geographically paired with SURFRAD sites (see Fig. 1). In order to pro-

- vide high quality data, the measurements were analyzed relative to data quality indicators (DQI) such as precision, accuracy and completeness and their associated metrics (CASTNET 2010 Annual Report, 2012). These analyses demonstrate that CASTNET data can be used with confidence for multi-year trend analysis. The standards and policies for all components of project operation from site selection through final data
- reporting are documented in the CASTNET Quality Assurance Project Plan Revision 8.0 (2011). Also, the quality assurance reports are produces four times per year with the fourth quarter report including an annual summary. The dataset and documentations can be found at http://epa.gov/castnet/javaweb/index.html.



2.4 Interagency monitoring of protection of visual environments (IMPROVE)

The IMPROVE program began in 1988 and is a cooperative measurement effort designed to establish current visibility and aerosol conditions in mandatory Class I areas (CIAs) and identify chemical species and emission sources responsible for existing anthropogenic and natural visibility impairment. This network consists of approximately 212 sites (170 on-going and 42 discontinued sites). Again, we are only interested in those sites which are in the vicinity of SURFRAD and ARM sites (see Fig. 1 and Table 1).

Each monitoring approach has its own inherent limitations and biases. Determination of gravimetric mass has both negative and positive artifacts. For example, ammonium nitrate (NH_4NO_3) and other semivolatiles are lost during sampling; on the other hand, measured mass includes particle-bound water. Moreover, some species may react with atmospheric gases, which will further increase the positive mass artifact. In particular, estimating aerosol species concentrations requires assumptions concerning

- the chemical form of various compounds, such as nitrates, sulfates, organic material and soil composition. For example, the IMPROVE Report V (June 2011) shows that differences on the order of 20% in organic carbon (OC) mass can occur, depending on which sampling system is used. However, all these uncertainties in gravimetric and speciation measurements are considered to be within an acceptable range (Malm et and solution).
- al., 2011). More details regarding sites locations, instruments, aerosol sampling and analysis and uncertainties in measurements can be found in IMPROVE Report V June 2011. The data can be found at http://vista.cira.colostate.edu/improve/Data/data.htm.

2.5 Trend estimation

The results from each observation network are presented in all figures as time series of annual mean anomalies (except AOD is represented as annual mean) for each site together with their network mean (solid black line) of eastern US (i.e. averaging the annual mean of BON, GWN, PSU and SGP to obtain the eastern network mean) and



of western US (i.e. averaging the annual mean of TBL, FPK and DRA to obtain the western network mean). Least square fits (LSF) are applied to the eastern and western network mean to determine the tendencies (dash black line). The scatter of the individual sites represents the uncertainty of the network mean and the consistency of

the measurements among the various sites in a given region. To ensure the estimated trends are statistically significant, a regression analysis is used to account for autocorrelation and variability in the observed data. This statistical methodology is based on Weatherhead et al. (1998), which has been applied in many studies (Hsu et al., 2012; de Meij et al., 2012). The general principle and its application in our study are briefly discussed in the following paragraph.

After obtaining the annual mean for each dataset (i.e. SW radiation, AOD and aerosol concentration), each trend is determined as the slope coefficient (m) of the LSF. Assuming a simple linear model,

$$Y_t = mX_t + c + N_t \tag{1}$$

¹⁵ where Y_t is the observed value at time t, c is the intercept term, m is the slope, X_t is year t of the time series and N_t is the noise of the time series (i.e. residual from the straight-line fit at time t). This noise term is assumed to be autoregressive with a lag of one time period (i.e. $N_t = \phi N_{t-1} + \varepsilon_t$, where ϕ is the autocorrelation coefficient and ε_t are independent and identically distributed random variables with mean zero, and variance σ_{ε}^2). Once the m has been estimated using generalized least squares regression (i.e. \hat{m}), the standard deviation of \hat{m} can be estimated by:

$$\sigma_m \approx \frac{\sigma_n}{t^{\frac{3}{2}}} \sqrt{\frac{1+\phi}{1-\phi}}$$
⁽²⁾

where σ_N is the standard deviation of the noise parameter N_t , and t is the number of years. The significance of the trend can be assessed using the ratio $\frac{|\hat{m}|}{\sigma_m}$, i.e. the absolute trend relative to its uncertainty estimate. This ratio is assumed to be approximately

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normally distributed with mean zero and standard deviation 1. Thus, if this ratio is 1.96 or greater, the trend is significant at the 95% confidence level. Similarly, if this ratio is greater than 1.65, the trend is significant at the 90% confidence level. In general, Table 2 shows that all trends are significant at the 95% confidence level except the clear-sky direct SW in both eastern and western US from radiation sites and NO₃ in eastern US from IMPROVE observations are lower than 90% confidence level. Note that it becomes harder to detect a trend with a given level of confidence as σ_m increases. Unless stated otherwise, the term "significant" in this study indicates that the

estimated trend is statistically significantly different from zero at the given confidence

10 level.

3 Result and discussion

Several studies (Streets et al., 2006; Smith et al., 2011; McDonald et al., 2012; Xing et al., 2013; Hand et al., 2012) show the CAA controls have successfully reduced air pollutants emissions in the US since 1990, especially SO₂ and NO_x. For instance, the SO₂ and NO_x emissions processed using the methodology described in Xing et al. (2013) 15 show decreasing trends for each site (Fig. 2a-d). The emission data displayed in this figure is extracted from the single grid 12 km × 12 km cells containing each monitoring site so that the equivalent network mean can be computed in the same manner as for the observational data. To obtain a more representative depiction of US emission trends, the average based on all grid cells in the west and east regions is also calcu-20 lated (i.e. east and west region mean) because the network mean may be dominated by one of the sites. For example, although the western network mean (averaging of three sites) is mostly driven by the TBL emission (shown in Fig. 2b), the overall western region mean (averaging of western states) still demonstrate a decreasing trend in Fig. 3b. Note that, as shown in Figs. 2 and 3, these emission trends, either network (SO₂ east: 25 $-0.07 \,\mu\text{g}\,\text{m}^{-3}\,\text{yr}^{-1}$, SO₂ west: $-0.01 \,\mu\text{g}\,\text{m}^{-3}\,\text{yr}^{-1}$, NO₂ east: $-0.09 \,\mu\text{g}\,\text{m}^{-3}\,\text{yr}^{-1}$ and NO₂ west: $-0.06 \,\mu\text{g}\,\text{m}^{-3}\,\text{yr}^{-1}$) or regional averages (SO₂ east: $-0.56 \,\text{Tg}\,\text{yr}^{-1}$, SO₂ west:



 -0.16 Tg yr^{-1} , NO_x east: -0.41 Tg yr^{-1} and NO_x west: -0.22 Tg yr^{-1}), indicate a more dramatic change in the eastern US compared to the western US. This is most likely because of the CAA controls were aimed to reduce the air pollutants emission in the eastern US where most of the electric generation units (EGUs) and other industrial facilities are located. In other words, since the SO₂ and NO_x emissions are low in the western US to begin with, the application of CAA controls did not affect pollutant emissions as drastically.

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The AOD is often used as a surrogate for the tropospheric aerosol burden; consequently long-term changes in AOD can also be used to verify the trends in the tropospheric aerosol burden as well as associated trends in their optical and radiative characteristics. Therefore, one of the analyses is to examine the trends in total column AOD at the SURFRAD and ARM sites in conjunction with surface concentration measurements at the paired CASTNET and IMPROVE sites (refer to Fig. 1 and Table 1).

To begin with, we investigate the cloud-screened AOD from SURFRAD and ARM
together with total PM_{2.5} from IMPROVE to assess the effect of reductions in anthropogenic aerosol burden resulting from substantial reductions in emissions of SO₂ and NO_x over the past 16 yr across the US. As presented in Fig. 4a–d, both trends of the cloud-screened AOD (East: -0.0012 1 yr⁻¹ and West: 0.0009 1 yr⁻¹) and PM_{2.5} (East: -0.30 µg m⁻³ yr⁻¹ and West: 0.02 µg m⁻³ yr⁻¹) agree well with each other (i.e.
decreasing in the eastern US while the western US demonstrates a small increasing trend). This is not surprising to because the air pollutants level is much higher in the eastern US before 1995 while the western mean AOD (less than 0.1) and PM_{2.5} (less than 5 µg m⁻³) are always much lower than the eastern values. Another possible ex-

planation for this phenomenon at the western sites could be potential changes in the
long range transport of aerosol/dust plumes which can cause enhancements in both
surface aerosol concentrations and AOD (Gan et al., 2008; Mathur, 2008; Miller et al.,
2011; Uno et al., 2011) and possibly contribute to the noted trends in both surface
and aloft tropospheric aerosol burden. Also, note that these trends in the tropospheric



aerosol burden are consistent with the analysis of Hsu et al. (2012) who reported large reductions in AOD over eastern US and Europe.

- Analysis of trends in surface concentrations from IMPROVE (i.e. SO_4 east: 0.093 µg m⁻³ yr⁻¹, SO_4 west: 0.004 µg m⁻³ yr⁻¹, NO_3 east: 0.003 µg m⁻³ yr⁻¹ and NO_3 west: 0.007 µg m⁻³ yr⁻¹) and CASTNET (i.e. SO_2 east: -0.209 µg m⁻³ yr⁻¹, SO_2 west: -0.012 µg m⁻³ yr⁻¹, SO_4 east: -0.135 µg m⁻³ yr⁻¹, SO_4 west: -0.003 µg m⁻³ yr⁻¹, NO_3 east: -0.103 µg m⁻³ yr⁻¹ and NO_3 west: -0.011 µg m⁻³ yr⁻¹) also shows similar results (see Fig. 5 and 6), except that NO_3 from CASTNET is decreasing while NO_3 from IM-PROVE has a small increasing trend in both regions and SO_4 in the western US from both networks shows almost no trend. As shown in both figures, the changes in SO_2 , SO_4 and NO_3 are relatively small (almost no trend) in the western US. The small difference in NO_3 between networks may be due to the locations of the measurements that may be influenced by nearby agriculture activities. The overall results indicate that
- the impact of the large reductions in emissions of SO₂ and NO_x resulting from a variety
 of control measures under the CAA and its amendments is evident in the decreasing trends in both the surface particulate matter concentrations as well as the AOD especially in the eastern US (Streets et al., 2006; Smith et al., 2011; McDonald et al., 2012; Xing et al., 2013; Hand et al., 2012). Note that the minor differences between the emission and the surface concentration trends in the western US may due to the
 methodology of emission processing. According to Xing et al. (2013), there are some
- assumptions and uncertainties in the emission data which can be caused by the lag in reporting in rural areas of the western US during the early period and changes in measurement methodologies of certain sources.

The surface radiation measurements from SURFRAD and ARM are evaluated in this study since the aerosol loading in the atmosphere can have a strong effect on radiation. The change of aerosol loading and cloud cover affect the amount of solar energy that reaches the ground. In theory, the direct SW radiation is affected by clouds, absorptive aerosols and certain radiatively active gases (e.g. water vapor and ozone) while the diffuse SW radiation is influenced by the clouds, scattering aerosols and atmospheric



molecules. Since the Rayleigh scattering of molecules is much smaller than the other two factors, it could be neglected in this study.

First, we examined the cloud cover trends together with the all-sky downwelling, direct and diffuse SW radiation trends at these seven sites. In Figure 7 a-d, the allsky downwelling (East: 0.63 W m⁻² yr⁻¹ and West: 0.51 W m⁻² yr⁻¹) and direct (East: 0.41 W m⁻² yr⁻¹ and West: 0.17 W m⁻² yr⁻¹) SW radiation in both regions exhibits increasing trends which indicate more solar energy reaches the ground. At the same time, the trends of all-sky diffuse (East: 0.26 W m⁻² yr⁻¹ and West: 0.40 W m⁻² yr⁻¹) SW radiation (Fig. 7e–f) also increase in east and west regions while the cloud cover
(East: -0.002 1 yr⁻¹ and West -0.001 1 yr⁻¹) in Fig. 7g–h shows a decreasing trend. This outcome suggests that other factors besides the direct effects of aerosol loading are affecting the all-sky diffuse SW radiation. Moreover, the study of SW and LW radiation by Augustine and Dutton (2013), and SW by Long et al. (2009), suggests that the SW brightening in US is related to a decrease in cloud coverage and aerosol direct

- ¹⁵ effects may only play a smaller role in this phenomenon. Also, if the all-sky downwelling SW radiation is associated with decreasing cloud cover trends in the eastern US, this may be indicative of indirect effects of decreasing aerosol loading since reduced concentrations of cloud condensation nuclei (CCN) can cause reductions in cloud albedo and lifetime (Lohmann and Feichter, 2005). Although the all-sky downwelling SW radia-
- tion is increasing, it is hard to attribute this trend to the individual or combined changes in either the aerosol loading or clouds since these measurements reflect both effects. Therefore, evaluating the clear-sky downwelling, direct and diffuse SW radiation may give us a better idea of direct aerosol effects on SW radiation as it eliminates the cloud effects.
- ²⁵ In Figure 8, the clear-sky downwelling SW radiation (East: $0.37 \text{ W m}^{-2} \text{ yr}^{-1}$ and West: $0.48 \text{ W m}^{-2} \text{ yr}^{-1}$) is increasing in both regions of US but the clear-sky direct SW radiation (East: $-0.009 \text{ W m}^{-2} \text{ yr}^{-1}$ and West: $0.001 \text{ W m}^{-2} \text{ yr}^{-1}$) shows almost no trend. Moreover, the clear-sky diffuse SW (East: $0.38 \text{ W m}^{-2} \text{ yr}^{-1}$ and West: $0.48 \text{ W m}^{-2} \text{ yr}^{-1}$) also displays an increasing trend about equal to the total SW trend. This result seems



inconsistent with the analysis of AOD and surface concentration trends, particularly those in the eastern US. However, similar trends in clear-sky diffuse SW radiation were reported in the analysis of Long et al. (2009) who suggested that increasing trends at all of the sites analyzed in the present study may be indicative of radiation changes owing

- ⁵ to processes other than the dry aerosol direct effects such as aerosol indirect/semiindirect effects and/or the variation in the atmospheric humidity profile (e.g. increased high-altitude air traffic) that generate thin cirrus haze but are still traditionally included in the clear-sky classifications. For example, as noted by Dupont et al. (2008), an optical depth of about 0.15 or less at visible band is considered as "clear-sky" in the
- ¹⁰ classification of the RFA methodology and this definition is consistent with human and sky imager observations (Long et al., 2006). Furthermore, as explained by Long et al. (2009), the AOD retrievals include a field-of-view (FOV) larger than the solar disk, such that enhanced forward scattering would be inferred as a reduction in optical depth. As a result, subvisual cirrus would lead to enhanced measurements of the clear-sky
- ¹⁵ downwelling diffuse SW component while at the same time biasing the AOD retrievals low, with any increase in the direct due to actual decreases in aerosols offset by increased large mode ice crystal scattering of SW out of the direct instrument FOV into the diffuse field (Long et al., 2009). Meanwhile, these results suggest that the aerosol is not the key factor that influences the trend in clear-sky diffuse SW in the western US
- ²⁰ because the changes in AOD and surface concentrations are relatively small (almost no trend or slightly increasing) while the trends of the clear-sky SW and clear-sky direct behave similar as those trends in eastern US.

Despite these confounding factors, the increasing trend in clear-sky downwelling SW radiation in the eastern US may be at least partially caused by the reduction of anthro-

²⁵ pogenic aerosol loading as the AOD and surface concentrations both have decreasing trends. Xing et al. (2013) showed that the control measures under the CAA have led to substantial reductions in emissions (total SO_2 and NO_x emissions in the US decreased by roughly 65% and 50%, respectively, between 1990 and 2010), and that many of these reductions were especially pronounced in the eastern US. The anti-correlation



between AOD and clear-sky downwelling SW radiation is suggestive of decreasing aerosol direct radiative effects. One of the possible causes of increasing clear-sky diffuse radiation can be the location of the sites which are close to urban regions and may be influenced by air traffic activities as shown in Fig. 9 (several international and

- ⁵ regional airports are located in this region). The contrail-generated ice haze from the associated air traffic may confound the interpretation of clear and cloudy sky at those sites in eastern US (Long et al., 2009). Also, note that the clear-sky downwelling SW radiation is estimated based on RFA (Long and Ackerman, 2000; Long and Gaustad, 2004) so there are some uncertainties in this estimation. For example, Long and Ackerman (2022) at event the interpretation of the stimated based of the stimated based of the stimation.
- erman (2000) showed that the interpolated fits produced clear-sky radiation estimated with a root mean square uncertainty of \sim 3 % which is caused by the unidentified column water vapor and aerosol changes normally occurring between clear-sky fitted days.

In order to further examine the causes of the increasing trend in clear-sky diffuse SW in the eastern US, we analyzed the US domestic airline route network from the

- ¹⁵ major airlines (i.e. Continental, United, US Airways and Delta). This analysis illustrated that a majority of the routes (see Fig. 10 for the combined routes from US Airways and Delta.) are over the eastern US with major airport hubs (see Fig. 9) in urban area such as Chicago, New York City, Atlanta, and Houston which can lead to an increase in contrail-generated haze (i.e. subvisual cirrus) (http://contrailscience.com/interactive-
- flight-map-visualization/). Moreover, Fig. 11 illustrates the total flight hours of aircraft over the US (source: US Bureau of transportation Statistics) rose notably from 1996 through 2010. The growth of aviation together with the major airline routes crossing the eastern US can potentially enhance the contrail-generated haze and this can further enhance the "clear-sky" diffuse SW measurements (Yang et al., 2010; Burkhardt et al.,
- ²⁵ 2010). Also, note that during the last 3 yr (2008–2010) the total flight hours are reducing while the clear-sky diffuse is also decreasing which can be one of the clues that the contrails is related to the diffuse radiation. Consequently, this finding can be one of the possible causes of the increasing clear-sky diffuse SW radiation trend since the observation sites are located close to areas with dense air traffic (see Fig. 1).



4 Summary and conclusions

The analysis conducted in this study attempts to determine the consequence of the changes in troposphere aerosol burden arising from substantial reductions in emissions of SO_2 and NO_x associated with control measures under the CAA over the past

⁵ 16 yr especially on trends in solar radiation. Radiation measurements for the period 1995–2010 from the SURFRAD and ARM sites in the US are analyzed in conjunction with observations of surface concentrations (CASTNET and IMPROVE) and AOD (SURFRAD) at sites in the vicinity of these radiation measurement sites. This pairing of data from various networks provides an opportunity to examine trends in aerosol burden and associated radiative effects for various sub-regions across the US and give insight into the causes of observed "brightening".

The outcome from this study suggests that emission controls (Streets et al., 2006; Smith et al., 2011; McDonald et al., 2012; Xing et al., 2013; Hand et al., 2012) resulted in a substantial reduction in aerosol burden over the North American troposphere, es-

- pecially across the eastern US, and also shows an associated increase in surface solar radiation over large portions of the eastern US. However, analysis of the clear-sky diffuse SW radiation shows that the radiative impacts of decreasing aerosol concentrations are confounded by other factors. Specifically, the clear-sky diffuse SW radiation was shown to have an increasing trend at all sites, the opposite of what would be ex-
- 20 pected if changes in radiation were solely attributable to changes in the aerosol direct effect. There are several possible interpretations to resolve this seeming contradiction. To begin with, we examined the high-altitude air traffic (spatial and temporal) over the US which can potentially enhance the cirrus haze occurances together with the procedure for the classification of "clear-sky" conditions in the radiation retrieval method-
- ology. The analysis shows that air traffic is heaviest over many areas of the eastern US and that there has been a steady decadal growth of air traffic (Long et al., 2009). Moreover, as discussed by Long et al. (2009), the traditional classification of "clear-sky" includes some amount of condensed water in the atmosphere column, including sub-



visual cirrus and cirrus haze that have an influence on the clear-sky downwelling SW radiation partitioning (between the direct and diffuse components) observed at the surface. Particularly, the AOD retrievals include a FOV larger than the solar disc which can enhance the forward scattering and hence be erroneously interpreted as decreases in

- optical depth. At the same time, migration of a mostly dry aerosol small-mode scatter-5 ing and absorption to a mix that includes a significant large mode primarily scattering component can act to offset any increase in the direct component FOV from decreasing aerosols by increased scattering into the diffuse component due to ice crystals, as detailed in Long et al. (2009). Unraveling the contributions of the various direct,
- semi-indirect and indirect aerosol effects as well as other cloud effects to changes in 10 SW radiation will be pursued through the use of coupled modeling systems such as WRF-CMAQ (Wong et al., 2012) and will be the subject of future studies. Meanwhile, the causes for the increase of the clear-sky diffuse SW in the western US can be similar to the eastern US because the AOD and the surface aerosol concentrations in the western US are low since 1995 and do not vary remarkably.

In conclusion, this analysis suggest that there was a SW radiation "brightening" over the past 16 yr in the US (Wild et al., 2009; Long et al., 2009). For all-sky, the "brightening" occurs at the same time that cloudiness exhibits a decreasing trend suggesting indirect effects of the decreasing aerosols. The clear-sky may be associated at least in

- part with a decrease in aerosols, particularly in the eastern US where substantial reduc-20 tions in anthropogenic emissions of SO_2 and NO_3 , (Xing et al., 2013; Hand et al., 2012) resulting from the implementation of control measures have resulted in a decrease in the tropospheric aerosol burden. The relationship of the radiation brightening trend to aerosol decreases is less apparent at the western US; this region could be influenced
- by local terrain influences as well as episodic long-range pollution transport which may 25 contribute to the lack of a clear association between trends in aerosol burden and surface radiation at these locations. Nevertheless, the association of "brightening" with the aerosol direct effect is confounded by increasing trends in clear-sky diffuse SW. Thus, it seems that other factors may play a role in the increasing of clear-sky diffuse SW radi-



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ation. Moreover, the indirect aerosol and other cloud effects (Ruckstuhl et al., 2008) as well as the water vapor concentration (Haywood et al., 2011) can potentially influence the surface solar energy. Thus, more studies are needed to evaluate these factors. Furthermore, the existence of an association between trends in surface solar radiation and

- ⁵ aerosol burden provide a unique test for the current generation of climate-chemistry models. Multi-decadal model calculations with the coupled WRF-CMAQ model (Wong et al., 2012) are being performed for the 1990–2010 period to test the ability of the model to simulate not only the changes in aerosol burden over the US arising from the implementation of the CAA, but also the associated radiation brightening as analyzed in the present evolves. Decula from these modeling studies and their comparison with
- ¹⁰ in the present analysis. Results from these modeling studies and their comparison with the trends inferred from the observations will be reported in subsequent contributions.

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²⁰ Atmospheric System Research (ASR) Program.

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Table 1. Listing of site identification of each site for different networks and their measurement period which are used in this study.

SURFRAD/ARM	SW Radiation	AOD	CASTNET	Aerosol Concentration	IMPROVE	Aerosol Concentration
BON (Bondville, IL) Elevation: 230 m	1995-2010	1997-2010	BVL130 (Bondville, IL)	1990-2010	BONL1 (Bondville, IL)	2001-2010
TBL (Table Mountain, CO) Elevation: 1689 m	1996-2010	1997-2010	ROM406 (Rocky Mtn NP, CO)	1994-2010	ROMO1 (Rocky Mountain NP, CO)	1991-2008
GWN (Goodwin Creek, MS) Elevation: 98 m	1995-2010	1997-2010	CVL151 (Coffeeville, MS)	1990-2010	MACA1 (Mammoth Cave NP, KY)	1992-2010
FPK (Fort Peck, MT) Elevation: 634 m	1996-2010	1997-2010	THR422 (Theodore, ND)	1998-2010	MELA1 (Midicine Lake, MT)	2000-2010
DRA (Desert Rock, NV) Elevation: 1007 m	1999-2010	1999-2010	DEV412 (Death Valley, CA)	1995-2007	DEVA1 (Death Valley NP, CA)	2000-2010
PSU (Penn State, PA) Elevation: 376 m	1999-2010	1999-2009	PSU106 (Penn State, PA)	1990-2010	WASH1 (Washington DC)	1990-2010
SGP (South Great Plain, OK) Elevation: 314 m	1996-2010	1996-2007	CHE185 (Cherokee, OK)	2002-2010	CHER1 (Cherokee Nation, OK)	2003-2010

Table 2. Trends (slope) for each dataset between periods of 1995 to 2010, along with the standard error and confidence level, respectively.

	Trend	Std. Error	<u> </u>	Confidence Level %
Emission Region Mean			0 m	
SO_2 east SO_2 west NO_x east NO_x west	-0.5637 -0.1643 -0.4086 -0.2231	0.0129 0.0037 0.0226 0.0168	43.68 44.19 18.04 13.32	> 95 > 95 > 95 > 95 > 95
Emission Network Mean				
SO_2 east SO_2 west NO_x east NO_x west	-0.0734 -0.0108 -0.0918 -0.0617	0.0030 0.0004 0.0015 0.0030	24.88 28.18 60.03 20.56	> 95 > 95 > 95 > 95 > 95
SURFRAD and ARM				
AOD east AOD west All-sky SW down east All-sky SW down west Clear-sky SW down west Clear-sky SW down west All-sky direct SW east All-sky direct SW west Clear-sky direct SW west Clear-sky diffuse SW east All-sky diffuse SW west Clear-sky diffuse SW west Clear-sky diffuse SW west Clear-sky diffuse SW west Clear-sky diffuse SW west Cloud cover east Cloud cover west IMPROVE	-0.0012 0.0009 0.6296 0.5131 0.3691 0.4749 0.01739 -0.0085 0.0005 0.2555 0.4009 0.3764 0.4781 -0.0021 -0.0012	0.0003 0.0001 0.0566 0.0359 0.0292 0.0443 0.0576 0.0488 0.0315 0.0331 0.0235 0.0489 0.0107 0.0253 0.0003 0.0003 0.0004	4.26 6.70 11.13 14.28 12.65 10.82 7.21 3.56 0.27 0.015 10.86 8.21 35.11 18.88 6.13 2.71	> 95 > 95 > 95 > 95 > 95 > 95 > 95 > 95
$\begin{array}{c} PM_{2.5} \text{ east} \\ PM_{2.5} \text{ west} \\ SO_4 \text{ east} \\ SO_4 \text{ west} \\ NO_3 \text{ east} \\ NO_3 \text{ west} \\ \hline CASTNET \end{array}$	-0.2998 0.0181 -0.0933 0.0038 0.0025 0.0069	0.0114 0.0074 0.0071 0.0009 0.0065 0.0013	26.34 2.44 13.10 4.39 0.39 5.37	> 95 > 95 > 95 > 95 > 95 < 90 > 95
SO ₂ east	-0.2089	0.0107	19.48	>95
SO_2 west SO_4 east SO_4 west NO_3 east NO_3 west	-0.0121 -0.1346 -0.0026 -0.1026 -0.0110	0.0012 0.0056 0.0010 0.0034 0.0010	10.31 23.87 2.53 30.43 10.79	> 95 > 95 > 95 > 95 > 95 > 95 > 95

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Fig. 1. Locations of various sites in SURFRAD, ARM, CASTNET and IMPROVE networks.

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Fig. 2. Annual anomalies of SO₂ (first row) and NO_x (second row) emission for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US.





Fig. 3. Annual anomalies of SO₂ (left) and NO_x (right) emission for each region mean (solid colored line) together with their LSF (dash line). The best-fit equation and coefficient of determination (R^2) are given at right in each panel.





Fig. 4. Annual anomalies of AOD from SURFRAD (first row) and $PM_{2.5}$ from IMPROVE (second row) for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US.





Fig. 5. Annual anomalies of SO₄ (first row) and NO₃ (second row) from IMPROVE for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US.





Fig. 6. Annual anomalies of SO₄ (first row), SO₂ (second row) and NO₃ (third row) from CAST-NET for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US.





Fig. 7. Annual anomalies of all-sky downwelling SW (first row), direct SW (second row), diffuse SW (third row) and cloud cover fraction(fourth row) from SURFRAD for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US.





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Fig. 8. Annual anomalies of clear-sky downwelling SW (first row), direct SW (second row) and diffuse SW (third row) from SURFRAD for each site (colored line) and the network mean (solid black line) together with the LSF (dash black line) to the network mean. The best-fit equation and coefficient of determination (R^2) are given at right in each panel. The left column represent eastern US while the right column represent western US.



Fig. 9. Air Traffic Hubs in US.





Fig. 10. US Airways and Delta combined domestic routes. (source: http://www. proaerobusiness.com/route_maps.htm).







