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# Aerosol hygroscopicity derived from size-segregated chemical composition and its parameterization in the North China Plain

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## Abstract

Hygroscopic growth of aerosol particles is of significant importance in quantifying the aerosol radiative effect in the atmosphere. In this study, hygroscopic properties of ambient particles are investigated based on particle chemical composition at a suburban

- site in the North China Plain during the HaChi campaign (Haze in China) in summer 2009. The size-segregated aerosol particulate mass concentration as well as the particle components such as inorganic ions, organic carbon and water-soluble organic carbon (WSOC) is identified from aerosol particle samples collected with a 10-stage impactor. An iterative algorithm is developed to evaluate the hygroscopicity parameter
- $_{10}$   $\kappa$  from the measured particle chemical compositions. During the HaChi summer campaign, almost half of the mass concentration of particles between 150 nm and 1 µm is contributed by inorganic species. Organic matter (OM) is abundant in ultrafine particles, and 77 % of the particulate mass with diameter ( $D_p$ ) around 30 nm is composed of OM. A large fraction of coarse particle mass is undetermined and is assumed to
- <sup>15</sup> be insoluble mineral dust and liquid water. The campaign average size distribution of  $\kappa$  values shows three distinct modes: the less hygroscopic mode ( $D_p < 150$  nm) with  $\kappa$  slightly above 0.2, the highly hygroscopic mode (150 nm  $< D_p < 1 \mu$ m) with  $\kappa$  larger than 0.3 and the nearly hydrophobic mode ( $D_p > 1 \mu$ m) with  $\kappa$  about 0.1. The peak of the  $\kappa$  curve appears around 450 nm with a maximum value of 0.35. The derived  $\kappa$  values
- <sup>20</sup> are consistent with results measured with a High Humidity Tandem Differential Mobility Analyzer within the size range of 50 nm to 250 nm. Inorganics are the predominant species contributing to particle hygroscopicity, especially for particles between 150 nm and 1  $\mu$ m. For example, NH<sub>4</sub>NO<sub>3</sub>, H<sub>2</sub>SO<sub>4</sub>, NH<sub>4</sub>HSO<sub>4</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> account for nearly 90 % of  $\kappa$  for particles around 900 nm. For ultrafine particles, WSOC plays a critical role
- <sup>25</sup> in particle hygroscopicity due to the predominant mass fraction of OM in ultrafine particles. 52% of  $\kappa$  is contributed by WSOC for particles around 30 nm. Aerosol particles are more hygroscopic during daytime, which can result from the diurnal evolution of planetary boundary layer, photochemical aging process during daytime and enhanced



elemental carbon emission at night.  $\kappa$  is highly correlated with mass fractions of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> for all sampled particles as well as with the mass fraction of WSOC for particles less than 100 nm. A parameterization scheme of  $\kappa$  is developed using mass fractions of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and WSOC due to their high correlations with  $\kappa$ , and  $\kappa$  calculated from parameterization agrees well with  $\kappa$  derived from the particle chemical composition. Further analysis shows that the parameterization scheme is applicable to other aerosol studies in China.

#### 1 Introduction

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Hygroscopicity, as one of the most important characteristics of aerosol particles,
influences the magnitude of aerosol radiative effect. Aerosol particles are modeled to have a cooling effect on a global scale (-1.2 W m<sup>-2</sup>) with large uncertainty (+0.8/-1.5 W m<sup>-2</sup>) and the incomplete knowledge of particle hygroscopic growth is considered to be one of the main sources of the uncertainty (IPCC, 2007). When exposed to high relative humidity (RH) conditions, particles grow by absorbed water and their scattering cross sections are enhanced. In supersaturated conditions, the particle hygroscopicity as a function of diameter determines the ability to activate to cloud droplets, and further influences the cloud microphysical properties (Zhao et al., 2006a) and precipitation probability of clouds (Zhao et al., 2006b). Beside its influence on radiative transfer, hygroscopicity is also relevant to aqueous phase reactions in the atmosphere where the condensed water serves as the reaction medium. Moreover,

the hygroscopic growth of particles exposed to high relative humidity conditions can substantially decrease visibility (Chen et al., 2012).

Hygroscopicity can be characterized by the hygroscopic growth factor (HGF), which is defined as the ratio of the diameter of the wet particle at a certain relative humidity to

the corresponding diameter at dry conditions. Hygroscopic Tandem Differential Mobility Analyzer (H-TDMA) instruments have been used globally to determine HGFs of ambient size-resolved aerosols (Swietlicki et al., 2008). Swietlicki et al. (2008) has reported 200827



that HGF values are reliable within a deviation of ±0.05, confirming that measurements by H-TDMAs are of high accuracy. However, due to technical limitations, H-TDMAs can only measure dry particles smaller than 300 nm, while the contribution of dry particles between 300 nm and 600 nm to the total particle scattering is significant. In order to achieve comprehensive understanding of the hygroscopic growth behavior of aerosols, other techniques should be introduced.

Hygroscopicity can also be predicted from chemical composition that determines the ability of a particle to take up water (Gysel et al., 2007). The earliest attempt described a particle as an insoluble core coated with an assumed inorganic salt (Junge and McLaren, 1971). In later studies (Saxena et al., 1995; Gysel et al., 2004), or-

- and McLaren, 1971). In later studies (Saxena et al., 1995; Gysel et al., 2004), organic matter was confirmed to contribute to overall absorbed water of atmospheric particles and was involved in the models. Several studies (Shulman et al., 1996; Laaksonen et al., 1998) proposed extended theories based on Köhler theory to describe particle hygroscopic growth and activation process to cloud droplets. However, the ap-
- plication of these extended theories requires detailed properties, such as molecular weights, bulk densities and water activity coefficients, which are unavailable for ambient aerosols containing a multitude of generally unidentified organic compounds. Petters and Kreidenweis (2007) proposed a single-parameter scheme to simplify Köhler theory. In this theory, all chemistry-depended values are merged into a single parameter *κ* representing a quantitative measure of aerosol hygroscopicity.

As one of the most polluted regions in the world, the North China Plain (NCP) suffers from heavy aerosol loading, which is the by-product of the rapid economic growth and urbanization (Liu et al., 2009). The NCP is under the impact of Asian monsoon, so water vapor supply is abundant during summer. Also, haze and fog frequently occur and cause severe low-visibility events (Quan et al., 2011). Therefore, studies on aerosol hy-

<sup>25</sup> cause severe low-visibility events (Quan et al., 2011). Therefore, studies on aerosol hygroscopic properties in the NCP are necessary. In the studies by Pan et al. (2009) and Yan et al. (2009), the influence of aerosol hygroscopic growth on scattering coefficients was investigated with a humidified nephelometer system at both urban and rural sites in Beijing and a comparative analysis of the aerosols scattering enhancement was con-





ducted. A High Humidity Tandem Differential Mobility Analyzer (HH-TDMA) instrument was used in the HaChi (Haze in China) summer campaign to measure HGFs at RHs up to 98.5% (Liu et al., 2011). Moreover, there were several studies that determined particle hygroscopicity based on aerosol chemical properties (Massling et al., 2009; Meier et al., 2009).

In this study, we evaluate aerosol hygroscopicity from size-segregated chemical composition analysis of ambient particles. An iterative algorithm is set up based on  $\kappa$ -Köhler theory to predict the hygroscopicity parameter  $\kappa$ . A parameterization scheme is proposed to predict the hygroscopicity parameter  $\kappa$  from the particle chemical composition. Furthermore, data sets from other aerosol field campaigns are introduced to test the generality of this parameterization scheme.

#### 2 Experimental

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#### 2.1 Campaign

As a collaborated project of Peking University, China, and the Leibniz-Institute for Tropospheric Research (TROPOS), Germany, the HaChi campaign was conducted at the Wuqing Meteorological Station (39°23' N, 117°01' E, 7.4 ma.s.l.) in Wuqing, Tianjin in summer 2009. Wuqing is a suburban district located between the two megacities of Beijing (about 80 km away in the Northwest) and Tianjin (about 30 km away in the Southeast). The distribution of the 4 yr (2005–2008) average aerosol optical depth (AOD) derived from the Moderate Resolution Imaging Spectroradiometer (MODIS) at 550 nm wavelength shows that the area of AOD exceeding 0.6 spreads in the NCP, while urban areas such as Beijing and Tianjin are the severely polluted region with AOD exceeding 0.8. Wuqing is located in the area with 4 yr AOD between 0.6 and 0.8, representing the regional background of the NCP and thus, is an optimal measurement site (Liu et al.,

<sup>25</sup> 2011). In the study by Ran et al. (2011), the landscape around the measurement site has been described in detail.





During the field campaign, particle number size distributions, optical properties such as particle light scattering and absorption coefficients, hygroscopic growth factors and CCN activation ratios were measured at the ground level. Parallel size-resolved aerosol sampling was carried out with a 10-stage Berner Low Pressure Impactor (BLPI;

<sup>5</sup> (Hillamo and Kauppinen, 1991)) from 14 July to 14 August 2009. The particle size ranges and mean diameters for the individual impactor stages are given in Table 1. The aerosol sampling took place twice a day, with each sampling lasting for 6 h from 11:00 to 17:00 LT (GMT +08:00) during daytime and from 20:00 to 2:00 LT during night-time. The impactor samples were carefully stored at about -20 °C for further analysis.

#### 10 2.2 Data

The determination of the particulate mass concentration and the chemical characterization of the impactor samples were done by the chemistry department of the TRO-POS, Germany. Before analysis, the impactor sample substrates were equilibrated for at least 48 h under constant conditions with RH of 52 % and temperature of 20 °C. Size-

- segregated mass concentration was determined gravimetrically by weighing the sample substrates before and after sampling using a microbalance UMT-2 (Mettler-Toledo, Switzerland) with reading precision of 0.1 µg and standard deviation of 1 %. The uncertainty related to mass loss during sampling, shipment and storage was estimated at about 5 % at the 99 % confidence level (Cheng et al., 2008).
- After weighing, the sample substrates were cut into pieces for the chemical characterization. Analyzed chemical species included inorganic ions (NH<sub>4</sub><sup>+</sup>, Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>), elemental carbon (EC), organic carbon (OC), water-soluble organic carbon (WSOC) and some other species such as dicarboxylic acids. The analytical methods for these chemical components were described by van Pinxteren et al. (2009) in detail. The uncertainty was estimated at about 5% at the 99% con-
- et al. (2009) in detail. The uncertainty was estimated at about 5% at the 99% confidence level (Cheng et al., 2008). All chemical data were presented and analyzed only for particles in impactor stage 2–9, because the mass concentrations of particles in impactor stage 1 were mostly below the detection limit.





An HH-TDMA system (Hennig et al., 2005) was used to measure size-resolved hygroscopic properties of aerosols at high RH conditions. During the HaChi summer campaign, the selected dry diameters were 50 nm, 100 nm, 200 nm and 250 nm and the RHs were 90 %, 95 % and 98.5 %. The system was calibrated daily with pure ammonium sulphate particles. The inverted probability distribution of the HGFs for particles has the advantage of high time resolution and high accuracy (Liu et al., 2011), and will be used in this study as the criterion to check the particle hygroscopicity evaluated from chemical characterization.

An automatic weather station was operated at the HaChi campaign field site. During the whole campaign, meteorological parameters including temperature, relative humidity, wind speed and direction were recorded continuously as 1 min data. In order to be comparable to the chemical data, the meteorological data were processed to 6 h average values.

### 3 Methodology

#### 15 3.1 *k*-Köhler theory

Petters and Kreidenweis (2007) have proposed a single parameter method to describe the hygroscopic properties of aerosols, in which all of the chemical compositiondependent variables are merged into a single parameter –  $\kappa$ . The original Köhler equation is transformed into the  $\kappa$ -Köhler equation as:

$$S = \frac{\mathrm{HGF}^{3} - 1}{\mathrm{HGF}^{3} - (1 - \kappa)} \exp\left(\frac{4\sigma_{\mathrm{s/a}}M_{\mathrm{w}}}{\mathrm{RT}\rho_{\mathrm{w}}D_{0}\mathrm{HGF}}\right)$$
(1)

Where *S* represents the saturation ratio, HGF represents the hygroscopic growth factor of particles,  $\sigma_{s/a}$  is the surface tension of the solution–air interface,  $M_w$  is the molar weight of water, *R* is the universal gas constant, *T* is the temperature,  $\rho_w$  is the density





of water,  $D_0$  is the diameter of the dry particle, and  $\kappa$  is the hygroscopicity parameter. Values of  $\kappa$  are between 0.5 and 1.4 for highly hygroscopic salts such as sodium chloride, between 0.01 and 0.5 for slightly to very hygroscopic organic species, and 0 for non-hygroscopic compounds (Petters and Kreidenweis, 2007).

<sup>5</sup> The  $\kappa$ -Köhler theory is not only applicable to single-component particles. For a multicomponent particle, where the Zdanovskii, Stokes and Robinson (ZSR) (Stokes and Robinson, 1966; Zdanovskii, 1948) mixing rule can be applied, the hygroscopicity parameter  $\kappa$  is computed by weighting hygroscopicity parameters  $\kappa_i$  of each component according to their volume fractions  $\varepsilon_i$  as:

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$$K = \sum_{i} \varepsilon_{i} K_{i}$$

Ambient particles mainly consist of inorganic compounds, organic matter (OM), EC, insoluble crust debris and condensed water. The  $\kappa$  values of individual components such as inorganic salts can be quantified from laboratory studies. Petters and Kreidenweis (2007) also suggested that  $\kappa$  values can be derived from thermodynamic aerosol models (Clegg et al., 1998) and summarized  $\kappa$  values for the most common inorganic compounds. For insoluble organic matter, elemental carbon and crust debris, the  $\kappa$  values are 0 due to their hydrophobic properties. Exact  $\kappa$  value of WSOC is hard or even impossible to get as it requires the complete knowledge of individual water-soluble organic compound. The compounds making up WSOC vary with the measurement environment as well as the fractions of individual water soluble organic compound.

<sup>20</sup> vironment as well as the fractions of individual water-soluble organic compound. Thus, the  $\kappa$  value of WSOC varies spatially and temporally. Research on hygroscopic properties of organic compounds has become popular over the recent years (Wex et al., 2009; Petters et al., 2009). In this study, the hygroscopicity parameter  $\kappa$  of WSOC is estimated at 0.3, according to the results from Asa-Awuku et al. (2010, 2011) and Padro et al. (2010).



(2)



#### 3.2 Ion combination scheme

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The  $\kappa$  value of a particle is calculated by weighting the  $\kappa_i$  values of each individual particle component according to their volume fractions based on ZSR mixing rule (Eq. 2). However, the chemical analysis provides only the concentrations of ions that have to be combined to the target compounds. Thus, a scheme developed from former studies (Gysel et al., 2007; Eichler et al., 2008) to combine cations and anions to inorganic compounds is introduced.

The most abundant inorganic ions  $SO_4^{2-}$ ,  $NO_3^{-}$  and  $NH_4^+$  add up to more than half the total mass concentration of all chemically analyzed ions. Consequently  $H_2SO_4$  and the inorganic salts  $NH_4NO_3$ ,  $(NH_4)_2SO_4$  and  $NH_4HSO_4$ , which are composed of these three ions, are included in this combination scheme.  $Ca^{2+}$  is relatively abundant in coarse particles, so calcium salts  $CaSO_4$ ,  $CaCl_2$  and  $Ca(NO_3)_2$  are included in the combination scheme. Moreover, sodium salts, potassium salts and magnesium salts are concerned, although they are in trace amount. Thus, up to 17 inorganic salts are

- <sup>15</sup> involved in this scheme. ISORROPIA II (Fountoukis and Nenes, 2007), a thermodynamic equilibrium aerosol model, is favorable for this study because all analyzed inorganic ionic species are considered in this model to predict the equilibrium water content of a multi-component aerosol system. A comprehensive evaluation using laboratory experiments and other aerosol models confirms the sensitivity and stability of the
- <sup>20</sup> ISORROPIA II model in high RH conditions (Fountoukis and Nenes, 2007). The HGFs that are determined from the absorbed water derived by the ISORROPIA II model are transformed to the modeled  $\kappa$  values using Eq. (1). The modeled  $\kappa$  values of all inorganic compounds involved in this study are listed in Table 2.

#### 3.3 Derivation algorithm of $\kappa$ from chemical composition

<sup>25</sup> Dry particles, with their volume equivalent diameters of  $D_{p,dry}$ , are assumed to consist of inorganic compounds, OM, EC and unidentified matter in this study (Fig. 1a). Before weighing, the impactor samples have been equilibrated at 52 % and 20 °C for





least 48 h. Under this condition, the particles absorb water and their diameters grow to  $D_{p,lab}$  (Fig. 1b) because some of the particulate mass is generally hygroscopic and the laboratory condition is not dry enough for particles to effloresce. Particles sampled in the ambient also contain liquid water. But the ambient RHs are not necessarily the

- <sup>5</sup> same as the controlled RHs under the laboratory condition for substrate equilibration, so the equilibrium water content of particles in the ambient differ from in the lab, so do their wet diameters ( $D_{p,amb}$ ) (Fig. 1c). During sampling with a BLPI, it's the aerodynamic diameters of the particles ( $D_{ap,amb}$ ) that determine on which impactor stage the sampled particles are deposited (Fig. 1d). The aerodynamic diameter of a particle is transformed from the volume equivalent diameter by the square root of the particle
- <sup>10</sup> is transformed from the volume equivalent diameter by the square root of the particle density in a unit of g cm<sup>-3</sup>.

The total particulate mass concentration is determined from substrate weighing. The mass concentrations of EC, OC and WSOC are determined from chemical analysis, and the mass concentration of OM is converted from OC by a conversion factor of 1.6

<sup>15</sup> (Turpin and Lim, 2001). The mass concentrations of inorganic compounds are derived from the ion combination scheme described in Sect. 3.2. The gap between the total particulate mass concentration and the summation of all chemically analyzed components is considered to be the unidentified matter and absorbed water. To calculate  $\kappa$  values based on the ZSR mixing rule, an algorithm to predict the amount of the unidentified matter is needed.

Prior to the algorithm, an assumption has been made that all particles collected on one sampled substrate are identical in both the aerodynamic diameters and the particle chemical composition due to the lack of more detailed information. The aerodynamic diameters of particles are postulated to be equal to the geometric mean di-

<sup>25</sup> ameter ( $D_{ap, mid}$ ) of the corresponding impactor stage. Another simplification is made to facilitate the algorithm merging the insoluble part of OM, EC and the unidentified matter into insoluble matter. Now the particles with aerodynamic diameters of  $D_{ap, mid}$ comprise three parts: the soluble matter such as inorganics and WSOC, the insoluble matter and absorbed water.





The iterative algorithm contains two sections. The first section calculates values under controlled laboratory conditions, while the second section calculates values under ambient conditions (Fig. 2). Initial guesses for the values of particle's dry diameter  $(D_{drv})$  and the mass concentration of insoluble matter  $(M_{ins})$  are given. The amount 5 of water content taken up by hygroscopic growth in the controlled laboratory conditions is determined by subtracting the sum of all chemically analyzed components and  $M_{\rm ins}$  from the weighed mass concentration. Then the volume concentration of the dry particulate mass  $(V_{drv})$  and that of absorbed water  $(V_{w, lab})$  are available, and HGF of particles under the controlled laboratory conditions (HGF<sub>lab</sub>) is derived from the cubic root of the wet particle volume  $(V_{drv} + V_{w, lab})$  over the cubic root of the dry particle vol-10 ume ( $V_{drv}$ ). Also,  $\kappa$  is derived through the ZSR mixing rule as all components of the dry particle and their fractions are available now. Substituting HGF<sub>lab</sub> and  $\kappa$  into Eq. (1), RH is calculated. This calculated RH is compared with RH of the laboratory condition for equilibration (RH<sub>lab</sub> = 52 %). If these two values differ, the algorithm returns to the beginning of the first section and tries to adjust the initial guess of  $M_{\rm ins}$ .

If RH<sub>lab</sub> matches the calculated RH of the first section, the iterative algorithm comes to the second section. With the determined  $M_{ins}$ ,  $V_{dry}$  and  $\kappa$  values are derived as in the first section. During BLPI sampling, the ambient relative humidity (RH<sub>amb</sub>) was measured parallel with an AWS. Substituting RH<sub>amb</sub>,  $D_{p, dry}$  as well as  $\kappa$  into Eq. (1) and solving the equation, the HGF in the ambient condition (HGF<sub>amb</sub>) is derived.  $D_{p, amb}$  is calculated by  $D_{p, dry}$  times HGF<sub>amb</sub>, and the wet particle volume is calculated by  $V_{dry}$ times HGF<sub>amb</sub> cubed. The amount of absorbed water in the ambient condition ( $V_{w, amb}$ ) is obtained by subtracting  $V_{dry}$  from the wet particle volume. The density of the wet particle ( $\rho_{amb}$ ) is derived as the mass concentrations of all components in the wet particle are determined. Then the aerodynamic diameter of the wet particle ( $D_{ap, amb}$ ) is transformed from the volume equivalent diameter ( $D_{p, amb}$ ) by a factor of square root of  $\rho_{amb}$ . If  $D_{ap, amb}$  is equal to  $D_{ap, mid}$ , which is the geometric mean diameter of the corresponding impactor stage, the iterative process ends and  $\kappa$  value of the dry





particle is evaluated. Otherwise the algorithm goes back to the very beginning to adjust the initial guess of  $D_{dry}$  and to re-execute the whole process.

## 4 Results and discussion

## 4.1 Particle chemical composition

- The average particulate mass size distributions and the particle chemical composition during the HaChi summer campaign are displayed in Fig. 3. The particulate mass size distribution shows a unimodal pattern. The peak of the particulate mass size distribution appears around 450 nm (Fig. 3a). The position of the peak is in good agreement with a former study in the NCP using a 5-stage BLPI reporting the peak of the particulate mass size distribution between 0.42 µm and 1.2 µm van Pinxteren et al. (2009). 10 The sum of the particulate mass concentrations is up to  $112.2 \,\mu g \, m^{-3}$ . As the maximum cut-off diameter sampled with a BLPI during the HaChi summer campaign is 8 µm, the total mass concentration is close to  $PM_{10}$  mass concentration. The average  $PM_{10}$ mass concentrations observed in a former study (van Pinxteren et al., 2009) at the urban and suburban sites in Beijing area were  $133 \,\mu g m^{-3}$  and  $112 \,\mu g m^{-3}$ , respectively. 15 For the main inorganic ionic species  $SO_4^{2-}$ ,  $NO_3^-$  and  $NH_4^+$ , the average mass concentrations during the HaChi campaign are  $20.4 \,\mu g m^{-3}$ ,  $14.6 \,\mu g m^{-3}$  and  $7.3 \,\mu g m^{-3}$ , respectively, while the corresponding values observed at the two sites in Beijing were  $20-25 \mu \text{gm}^{-3}$ ,  $10-16 \mu \text{gm}^{-3}$ ,  $8-10 \mu \text{gm}^{-3}$ , respectively (van Pinxteren et al., 2009). The average mass concentration of WSOC in this study is  $5.4 \,\mu g \,m^{-3}$ , which is in good 20 agreement with the former measurement of van Pinxteren et al. (2009) where WSOC
- mass concentrations are 3.8 µgm<sup>-3</sup> for the urban site and 5.7 µgm<sup>-3</sup> for the suburban site. The results of the total mass concentration and those of the main chemical species are consistent with the former results measured during the summer 2006 in Beijing, indicating the constant aerosol emission strength in the NCP in recent years.





Meanwhile, the good agreement of the two sets indicates the good quality and high reliability of chemical data in this study.

As one of the main components of atmospheric aerosol particles sampled during the HaChi summer campaign, OM is of particular importance for the ultrafine particles.

For particles around 30 nm, 77.3 % of the particulate mass concentration is composed of OM (Fig. 3b). However, with the increasing particle size, the fraction of OM in the particulate mass concentration decreases. For particles larger than 150 nm, the mass fractions of OM are less than 20 %.

The inorganic compounds are the major components for particles in the range of 150 nm to 1  $\mu$ m. The average mass fractions of inorganic ions are 47.4 %, 44.4 % and 45.2 % for particles around 250 nm, 450 nm and 900 nm, respectively. But for ultrafine particles, the inorganic compounds are less abundant. For example, for particles around 30 nm, the inorganic ions account for only 18.6 % of the particulate mass, which is less than half of the mass fraction of the inorganic ions for particles between 150 nm and 1  $\mu$ m. Thus, the differences between the inorganic ion fractions for particles of different sizes are significant. SO<sub>4</sub><sup>2-</sup> is the most abundant inorganic ion, followed by NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup>. These three inorganic ions make up more than 90% of the total ion mass

concentration. The rest of the inorganic ionic species (Ca<sup>2+</sup>, Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup>) are relatively sparse in the particles sampled during the HaChi summer campaign.

- <sup>20</sup> The sum of the mass concentrations of all chemically analyzed species does not match the weighed particulate mass concentration. Apart from the absorbed water, there is a part of particulate matter remaining unidentified. And the larger particles are, the larger fraction of unidentified particle matter. Particles around 30 nm are exceptions, as the summed mass concentration of the analyzed particle components exceed the
- weighed mass concentration. This is probably caused by the analytical uncertainties of the chemical analysis which are relatively high compared to the relatively low mass concentrations. The unidentified matter is associated with the insoluble material such as soil debris and mineral dust, as well as the condensed water. When evaluating the







particle hygroscopicity, the amount of condensed water has to be subtracted from the particulate mass concentration.

## 4.2 Characteristics of $\kappa$ derived from the particle chemical composition

Based on the iterative algorithm,  $\kappa$  values as well as dry diameters are derived from the corresponding chemical composition. The average  $\kappa$  value variation is shown in Fig. 4. The distribution of  $\kappa$  values appears in three modes: the highly hygroscopic mode, the less hygroscopic mode and the nearly hydrophobic mode. The highly hygroscopic mode ranges from 150 nm to 1 µm. The average  $\kappa$  value in this mode is over 0.3 with the peak value of 0.35 around 450 nm. Particles in the less hygroscopic mode are smaller than 150 nm with the average  $\kappa$  value slightly above 0.2. Particles in the nearly hydrophobic mode are larger than 1 µm and the average  $\kappa$  value slightly over 0.1. The variance of  $\kappa$  values with size indicates the variance of particle chemical compositions, which results from diverse sources of particles with different sizes.

- The highly hygroscopic particles are mainly found in the accumulation mode. These
   particles grow from smaller particles by coagulation, condensation and experience various atmospheric aging processes. Their relatively large surface area concentration and the absorbed water facilitate heterogeneous chemical reactions. Due to the high concentrations of gaseous pollutants such as NO<sub>x</sub>, SO<sub>2</sub> and NH<sub>3</sub> (Xu et al., 2011), nitrates, sulfates and ammonium salts are abundant in particles in the accumulation mode as
   discussed in Sect. 4.1. The chemical analysis shows that more than half of the particulate mass concentration in the highly hygroscopic mode is composed of inorganic matter, mostly those of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>4</sup>. Large mass fraction of inorganic matter
- in particles results in high hygroscopicity of particles, and  $NH_4$ . Large mass fraction of inorganic matter in particles results in high hygroscopicity of particles, and  $NH_4NO_3$ ,  $H_2SO_4$ ,  $NH_4HSO_4$ and  $(NH_4)_2SO_4$  together explain 87.1 %, 88.1 % and 89.2 % of the hygroscopicity for particles around 250 nm, 450 nm and 900 nm, respectively (Fig. 5).

Particles in the less hygroscopic mode are mainly originated from nucleation and condensation of low-volatile organic compounds and from primary emission of biomass combustion (Wiedensohler et al., 2009). OM is the predominant component for parti-





cles in this mode and contributes as much as 2/3 of the total mass for particles around 30 nm. A large part of OM is insoluble and the water-soluble part of OM performs weakly hygroscopic. In the study by Mader et al. (2004), it has been reported that the WSOC fraction contributes 10 % to 50 % of the carbon mass in East Asia. WSOC

- <sup>5</sup> makes a significant contribution to the hygroscopic properties of the ultrafine particles. More than half (52.2%) of the  $\kappa$  values for particles around 30 nm is contributed by WSOC (Fig. 5). For those particles, the contribution of WSOC to hygroscopicity is even larger than that of inorganics. Thus, hygroscopicity of particles smaller than 150 nm would be underestimated if only inorganics are taken into account while neglecting the
- WSOC's contribution. With increasing particle size, the contribution of WSOC to hygroscopicity decreases. About 60 % of the mass for particles larger than 1 µm is chemically unidentified, and is assumed to be mineral dust as well as absorbed water. Due to the large fraction of mineral dust, particles in this mode are nearly hydrophobic.

An HH-TDMA system was used for the HGF measurement during the HaChi summer <sup>15</sup> campaign. Probability distribution functions of HGF for particles with dry diameter of 50 nm, 100 nm, 200 nm, 250 nm were obtained under high RH conditions from HH-TDMA measurements (Liu et al., 2011). HGF-derived  $\kappa$  values are calculated from HGF using Eq. (1). An HH-TDMA system has the advantage of high accuracy and was carefully maintained and calibrated every day during the campaign (Liu et al., 2011),

- <sup>20</sup> so HGF-derived  $\kappa$  values are used as the criterion to test the quality of chemistryderived  $\kappa$  values. HGF-derived  $\kappa$  values overlap with chemistry-derived  $\kappa$  values in the diameter range of 50 nm to 250 nm (Fig. 4) and two sets of  $\kappa$  values agree well. For particles of 200 nm and 250 nm, HGF-derived  $\kappa$  values and chemistry-derived  $\kappa$ values are almost equal with discrepancies of 1.9 % and 0.7 %, respectively. For smaller
- <sup>25</sup> particles such as particles of 100 nm, chemistry-derived  $\kappa$  values are 13.8% smaller than HGF-derived  $\kappa$  values. This discrepancy is thought to be caused by the relatively larger uncertainty of chemistry-derived  $\kappa$  values for smaller particles. The uncertainty of chemistry-derived  $\kappa$  values comes from several aspects. Volatile species such as NH<sub>4</sub>NO<sub>3</sub> might evaporate during sampling with BLPI, which is a low pressure device





(Chang et al., 2000); particulate mass weighing and laboratory analysis of particle chemical composition would bring in errors; also the unbalance of charge between cations and anions would cause errors in the ion combination process (Eichler et al., 2008). These together account for the uncertainty of chemistry-derived  $\kappa$  values.

### 5 4.3 Difference of hygroscopicity between daytime and nighttime

During the campaign measurement, aerosol sampling was conducted twice a day – one measurement during the daytime and the other during the nighttime. Daytime and nighttime  $\kappa$  values are derived from the corresponding chemical data and the average  $\kappa$  curves as a function of diameter are displayed in Fig. 6a. Daytime and nighttime curves show similar trends. Particles smaller than 700 nm are about 10% more hygroscopic during daytime than during nighttime. For particles larger than 700 nm, no significant

- difference in hygroscopicity was observed. The enhanced hygroscopicity for particles between 50 nm and 250 nm during daytime corresponds to the results derived from HH-TDMA data (Liu et al., 2011).
- <sup>15</sup> The hygroscopic behavior of a particle can be determined by its chemical composition based on the ZSR mixing rule. The average volume fractions of inorganic compounds and WSOC for particles sampled with the BLPI are displayed in Fig. 6b. In general, discrepancies in the volume fraction of inorganics (VF<sub>inorg</sub>) are consistent with discrepancies in  $\kappa$  values. Particles around 250 nm show the largest discrepancy in
- $\kappa$  values as well as the largest discrepancy in VF<sub>inorg</sub>. However, there are few exceptions. No discrepancies in VF<sub>inorg</sub> are observed for particles around 60 nm and around 450 nm, although apparent discrepancies in the  $\kappa$  values of the corresponding size ranges exist. This can be explained by the differences among  $\kappa$  values of inorganics. The discrepancies in the volume fractions of WSOC are less than 5% for the day-
- time and nighttime measurements, which are much smaller than those for inorganics (Fig. 6b). Besides, WSOC is a less hygroscopic particle component compared with inorganics. So WSOC is believed to have little influence on the discrepancies in  $\kappa$  values between daytime and nighttime.





There are some explanations for the differences in the particle chemical compositions between daytime and nighttime. One is the diurnal evolution of planetary boundary layer (PBL) as discussed by Rissler et al. (2006). In the morning, as the incoming solar radiation heats the ground, the PBL develops and increases in thickness. Parti-

- <sup>5</sup> cles that have been trapped aloft during nighttime get mixed downward. Besides, photochemical reactions enhance the condensation of inorganic acids onto the particles and particles become more hygroscopic compared with freshly emitted particles. After sunset, local emissions have stronger influences on particle hygroscopicity than during daytime due to the accumulation of freshly emitted particle in the near-surface layer.
- <sup>10</sup> Ma et al. (2011) has reported a diurnal variation of absorption coefficient indicating enhanced black carbon emission during nighttime.

## 4.4 Parameterization of $\kappa$ based on ion mass fractions

Hygroscopic properties of particles can be evaluated using an H-TDMA or a cloud condensation nuclei (CCN) counter. And the chemical composition of particles serves as

- another way to evaluate hygroscopicity of particles. The chemical-dependent method can be used to evaluate the hygroscopic behavior of particles in the range from tens of nanometers to several microns, while an H-TDMA-dependent or CCN-dependent method is only suitable for particles up to 300 nm. Based on the iterative algorithm described in Sect. 3.3, the hygroscopicity parameter  $\kappa$  can be estimated from the particu-
- <sup>20</sup> late mass concentration and the chemical components. The results are fairly good but the iterative algorithm is of high computational cost. Hence, a parameterization method to evaluate  $\kappa$  values is necessary, which is computationally efficient at the expense of accuracy.

There already exists a parameterization scheme to predict the hygroscopicity parameter  $\kappa$  from the particle chemical composition (Gunthe et al., 2009; Dusek et al., 2010):



(3)

 $\kappa = \kappa_{\rm org} \cdot f_{\rm org} + \kappa_{\rm inorg} \cdot f_{\rm inorg}$ 

Where  $\kappa_{\text{org}}$  and  $\kappa_{\text{inorg}}$  are the hygroscopicity parameters for organic and inorganic components, and  $f_{\text{org}}$  and  $f_{\text{inorg}}$  are the mass concentration ratios of organics and inorganics to the sum of all chemically analyzed species, respectively. However, this scheme was developed based on the particle chemical composition measured with an Aerosol Mass

- <sup>5</sup> Spectrometer (AMS; (Jayne et al., 2000)). An AMS can analyze the mass concentration of inorganic ionic species such as  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$  and OM, but cannot analyze those of the inorganic refractory species such as sea salt nor the water-insoluble species such as EC and mineral dust. So the sum of mass concentrations of all species analyzed with an AMS is less than the particulate mass concentration. Thus,  $f_{org}$  and  $f_{inorg}$
- are significantly larger than the mass fractions of organics and inorganics, respectively. As the AMS-based parameterization scheme is not suitable for our study, we want to set up a new parameterization scheme to predict the hygroscopicity parameter  $\kappa$  from the chemical composition of size-segregated particle samples.

The number of analyzed ions in this study is up to 8. The mass fractions of these ions vary as well as the hygroscopicity of inorganic compounds that are combined by these ions. Thus the contributions of individual inorganic ionic species to  $\kappa$  are different. Besides, WSOC contributes to the hygroscopicity of particles. In Table 3 the correlation coefficients and slopes of the regression lines between  $\kappa$  values and the mass fractions of individual ion as well as that of WSOC are listed. NH<sup>+</sup><sub>4</sub>, NO<sup>-</sup><sub>3</sub> and

<sup>20</sup>  $SO_4^{2-}$  are the most abundant inorganic ions in the ambient particles and the mass fractions of these three ions are highly correlated with  $\kappa$  values. For other inorganic ions  $(Ca^{2+}, Na^+, K^+, Mg^{2+}, Cl^-)$ , no correlations between  $\kappa$  values and their mass fractions are observed. For WSOC, there is no significant correlation between  $\kappa$  values and its mass fractions as the regression coefficient ( $R^2$ ) is close to zero (Fig. 7). However, for particles smaller than 100 nm, significant correlations between  $\kappa$  values and the mass fractions of WSOC exist with the correlation coefficient up to 0.590 (Fig. 7). This can be explained by the substantial contribution of WSOC to the hygroscopicity of ultrafine particles during the HaChi summer campaign. For larger particles, inorganic





compounds dominate and the contribution of WSOC decreases. The more abundant a species, the higher correlation is between  $\kappa$  values and its mass fractions.

Here, a new linear regression parameterization of  $\kappa$  values is proposed. NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> are highly correlated with  $\kappa$  values while other inorganic ions show no significant correlations with  $\kappa$ . WSOC is highly correlated with  $\kappa$  for particles with diameters smaller than 100 nm. So NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and WSOC are involved in the parameterization scheme. The mass fractions of the above four particle components are set as the independent variables and  $\kappa$  is the dependent variable, then a multi-component linear regression relationship is established based on the least square method:

## $\kappa_{\rm reg} = 0.01 + 0.63 f_{\rm NH_4} + 0.51 f_{\rm NO_3} + 0.81 f_{\rm SO_4} + 0.18 f_{\rm WSOC}$ (4)

Where  $\kappa_{reg}$  represents  $\kappa$  calculated from parameterization function and  $f_X$  represents mass fraction of species X. For each group of chemical information,  $\kappa$  can be evaluated through the iterative algorithm; meanwhile  $\kappa_{reg}$  can be evaluated through the regression method shown above. The data sets of  $\kappa$  values and  $\kappa_{reg}$  values agree quite well (Fig. 8): 73.9% of data points are within the range of 30% deviation. For less hygroscopic particles, the calculated  $\kappa_{reg}$  value based on the parameterization is slightly higher than the corresponding chemistry-derived  $\kappa$  values.

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Data sets from former studies are involved here to test the generality of the this parameterization scheme. A comprehensive aerosol field campaign was conducted at a regional/coastal site in the Pearl River Delta (PRD) in 2004 reporting chemical and

- <sup>20</sup> a regional/coastal site in the Pearl River Delta (PRD) in 2004 reporting chemical and hygroscopic properties of aerosols (Liu et al., 2008; Eichler et al., 2008), whereas the CCN activation behavior of particles as well as their chemical composition measured by an AMS was reported in a further campaign in 2006 (Rose et al., 2010, 2011). Another comprehensive campaign was conducted at a suburban site near Beijing in 2006
- <sup>25</sup> reporting the CCN activation behavior from fresh and aged air pollution and the corresponding chemical composition (Gunthe et al., 2011). It is important to note that in the studies listed above, the concentration of OC was reported, but WSOC was not. In this study, a WSOC fraction on OC of 50 % is chosen referring to the study of Eich-





ler et al. (2008). Values of  $\kappa_{reg}$  are predicted from the particle chemical composition and the results are plotted in Fig. 8 as colored dots and error bars. The agreement between the parameterization-predicted  $\kappa_{reg}$  values and the HGF- or CCN-derived  $\kappa$ values is within 30 % for most of the results except one. This indicates that the parameterization of hygroscopicity proposed in this study is applicable for a wide spread of measurements in urban and suburban areas in China.

## 5 Summary and conclusions

A comprehensive aerosol field campaign was conducted at a suburban site in the NCP in summer 2009. In this study, aerosol hygroscopic properties are investigated based on the particle chemical composition analyzed from ambient aerosol samples, which were collected with a 10-stage BLPI twice a day. An HH-TDMA instrument was employed to measure HGFs of particles with dry diameters of 50 nm, 100 nm, 200 nm and 250 nm at high RH conditions (90 %, 95 % and 98.5 %), respectively. Furthermore, a parameterization scheme for hygroscopicity parameter  $\kappa$  is developed based on high correlations between  $\kappa$  values and several particle components such as  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$  and WSOC.

The campaign average mass size distribution follows a unimodal pattern with its peak around 450 nm. The average mass concentration for particles of all measured size ranges is  $112.2 \,\mu\text{gm}^{-3}$ .  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^{-}$  and  $\text{NH}_4^+$  are the three major inorganic ions and their mass concentrations are  $20.4 \,\mu\text{gm}^{-3}$ ,  $14.6 \,\mu\text{gm}^{-3}$  and  $7.3 \,\mu\text{gm}^{-3}$ , respectively. The average mass concentration of WSOC is  $5.4 \,\mu\text{gm}^{-3}$ . The shape of the particulate mass size distribution and the mass concentrations of the main particle components agree well with former results reported by van Pinxteren et al. (2009). Almost half the particles between 150 nm and 1  $\mu\text{m}$  are composed of inorganics, and  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^{-}$  and

NH<sup>+</sup><sub>4</sub> together make up more than 90% of the inorganic mass concentration, whereas the rest inorganic ions are relatively sparse in the ambient particles. For ultrafine particles, inorganic ions are less abundant than for larger particles, but OM is of particular



importance for the ultrafine particles. For particles around 30 nm, OM accounts for 77.3 % of the total mass concentration, while the mass fraction of ions is only 18.6 %. The unbalance between weighed particulate mass concentration and the sum of all chemically analyzed particle components is especially pronounced for coarse particles, due to the unidentified insoluble mineral dust as well as the remnant of liquid water.

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Petters and Kreidenweis (2007) proposed the  $\kappa$ -Köhler theory, where  $\kappa$  represents the hygroscopicity of particles. For multicomponent particles,  $\kappa$  is predicted by weighting the  $\kappa$  values of individual particle component by its volume fraction, as the ZSR mixing rule indicates. In this study, aerosol particles are weighed under controlled conditions with the RH of 52 % and the temperature of 20 °C. In order to be adapted for the ZSR mixing rule, the amount of condensed water has to be estimated and subtracted from the weighed aerosol mass concentration. Thus, an iterative algorithm is developed to estimate the liquid water amount and to evaluate the  $\kappa$  value from the par-

- <sup>15</sup> ticle chemical compositions. The campaign-average  $\kappa$  distribution shows three distinct modes: a highly hygroscopic mode, a less hygroscopic mode and a nearly hydrophobic mode. The highly hygroscopic mode ranges from 150 nm to 1 µm with the average  $\kappa$ value over 0.3. Particles in the less hygroscopic mode are smaller than 150 nm with the average  $\kappa$  value slightly above 0.2. Particles in the nearly hydrophobic mode are
- <sup>20</sup> larger than 1 µm with the average  $\kappa$  value over 0.1. Particles around 450 nm are the most hygroscopic with  $\kappa$  values of 0.35. Particle hygroscopicity is mostly contributed by inorganic compounds. Four major inorganic compounds (NH<sub>4</sub>NO<sub>3</sub>, H<sub>2</sub>SO<sub>4</sub>, NH<sub>4</sub>HSO<sub>4</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>) account for nearly 90% of the  $\kappa$  value for particles in the highly hygroscopic mode. However, the contribution of WSOC to the particle hygroscopicity is
- <sup>25</sup> significant for ultrafine particles. For particles around 30 nm, the contribution of WSOC is up to 52.2 %, surpassing that of inorganics. So the hygroscopicity of particles smaller than 150 nm would be underestimated without taking WSOC into account. The discrepancy of less than 20 % between chemistry-derived  $\kappa$  values and HGF-derived values confirms the good quality of chemistry-derived  $\kappa$ .





Aerosol particles are about 10% more hygroscopic during daytime than during the nighttime. This corresponds to the differences of mass concentrations of inorganics between daytime and nighttime. The diurnal evolution of PBL, the photochemical aging process and the enhanced EC emissions at night are suggested as explanations.

- <sup>5</sup> Mass fractions of  $NH_4^+$ ,  $NO_3^-$  and  $SO_4^{2-}$  are highly correlated with the  $\kappa$  values, while other inorganic ions are scarcely correlated with the  $\kappa$  values. WSOC and  $\kappa$  values are highly correlated only for particles smaller than 100 nm. Thus, a parameterization scheme of  $\kappa$  values is developed using the mass fractions of  $SO_4^{2-}$ ,  $NO_3^-$ ,  $NH_4^+$  and WSOC due to their high correlations with the  $\kappa$  values. The results show that the predicted  $\kappa_{reg}$  values from the parameterization scheme agree well with those derived by
- <sup>10</sup> dicted  $\kappa_{reg}$  values from the parameterization scheme agree well with those derived by the iterative scheme and 73.9% of data points are within the range of 30% deviation. Chemical composition and hygroscopic parameters reported from other studies are involved in this scheme, all but one point are within the range of 30% deviation, indicating the quality and generality of the parameterization scheme.
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Impactor stage	Lower limit	Upper limit	Geometric mean	Volume equivalent
	(μπ)	(μΠ)		
1	0.015	0.03	0.021	-
2	0.03	0.06	0.042	0.030
3	0.06	0.125	0.086	0.061
4	0.125	0.25	0.176	0.122
5	0.25	0.5	0.353	0.235
6	0.5	1	0.707	0.458
7	1	2	1.41	0.917
8	2	4	2.82	1.98
9	4	8	5.65	4.01

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<sup>a</sup> The geometric mean diameter of each impactor stage is the square root of the product of the lower limit multiplied with the corresponding upper limit.

<sup>b</sup> The campaign average volume equivalent diameter of dry particles are evaluated from the iterative algorithm in Sect. 3.3.

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**Table 2.** The hygroscopicity parameter  $\kappa$  of relevant particle components.

Species	ĸa
$NH_4NO_3$	0.68
$H_2SO_4$	0.68
$NH_4HSO_4$	0.56
$(NH_4)_2SO_4$	0.52
NH <sub>4</sub> CI	0.93
NaCl	1.24
Na <sub>2</sub> SO <sub>4</sub>	0.76
NaNO <sub>3</sub>	0.87
CaCl <sub>2</sub>	0.78
Ca(NO <sub>3</sub> ) <sub>2</sub>	1.10
CaSO <sub>4</sub>	0.00
KCI	0.89
$K_2SO_4$	0.55
KNO <sub>3</sub>	0.53
MgCl <sub>2</sub>	0.98
MgSO <sub>4</sub>	0.24
Mg(NO <sub>3</sub> ) <sub>2</sub>	0.63
WSOC	0.3 <sup>b</sup>

<sup>a</sup> κ of inorganic compounds are derived from ISORROPIA II (Fountoukis and Nenes, 2007). <sup>b</sup> κ of WSOC is estimated based on the studies of Asa-Awuku et al. (2010, 2011) and Padro et al. (2010).



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**Table 3.** Correlation coefficients and slopes between  $\kappa$  values and mass fractions of individual ions and WSOC.

Species	Slope	$R^2$
Na	-5.669	0.0142
$NH_4$	2.409	0.4452
ĸ	5.839	0.0284
Mg	-17.72	0.0532
Ca	-0.0728	0.0003
CI	-1.422	0.0215
NO <sub>3</sub>	0.8673	0.1335
SO <sub>4</sub>	1.079	0.7291
WSOC	0.1227	0.0058



**Fig. 1.** Sketches of particle composition under different conditions: (a) is for dry particles; (b) is for particles under laboratory conditions; (c) and (d) are for particles under ambient conditions. In the former three panels (a, b, c), particles are indicated with mobility diameters, while in the last panel (d) particles are indicated with aerodynamic diameters. The diameters of particles vary under different conditions due to the variation of equilibrium water. The "identified" represents the summation of all chemically analyzed species such as inorganics, OM and EC. The amounts of identified and unidentified matter remain constant under all conditions.

















**Fig. 3.** The average size distributions of the particulate mass and the chemical composition during the HaChi campaign. Panel **(a)** shows the mass concentration of all species and panel **(b)** shows the relative mass fractions in individual impactor stage. OM is converted from OC by a conversion factor of 1.6 (Turpin and Lim, 2001).











**Fig. 5.** Average contributions of main species to  $\kappa$  values. The upper panel shows the absolute contributions of each species, and the bottom panel shows the relative contributions. WSOC and several inorganic compounds are explicitly displayed due to their significant roles in aerosol hygroscopic properties. Other inorganic salts are merged into a group named "Others" due to their relatively minor contributions.























