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Sources and light absorption of water-soluble brown carbon aerosols

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# Sources and light absorption of water-soluble brown carbon aerosols in the outflow from northern China

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## Abstract

High loadings of anthropogenic carbonaceous aerosols in Chinese air influence the air quality for over 1 billion people and impact the regional climate. A large fraction (17–80 %) of this aerosol carbon is water soluble, promoting cloud formation and thus climate cooling. Recent findings, however, suggest that water-soluble carbonaceous aerosols also absorb sunlight, bringing additional direct and indirect climate warming effects, yet the extent and nature of light absorption by this water-soluble brown carbon (WS-BrC) and its relation to sources is poorly understood. Here, we combine source estimates constrained by dual-carbon-isotope with light absorption measurements of WS-BrC for a March 2011 campaign at the Korea Climate Observatory at Gosan (KCOG), a receptor station in SE Yellow Sea for the outflow from N. China. The mass absorption cross-section (MAC) of WS-BrC for air masses from N. China were in general higher ( $0.8\text{--}1.1\text{ m}^2\text{ g}^{-1}$ ), than from other source regions ( $0.3\text{--}0.8\text{ m}^2\text{ g}^{-1}$ ). We estimate that this effect corresponds to 13–49 % of the radiative forcing caused by light absorption by black carbon. Radiocarbon constraints show that the WS-BrC in Chinese outflow had significantly higher amounts of fossil sources (30–50 %) compared to previous findings in S. Asia, N. America and Europe. Stable carbon ( $\delta^{13}\text{C}$ ) measurements indicated influence of aging during air mass transport. These results indicate the importance of incorporating WS-BrC in climate models and the need to constrain climate effects by emission source sector.

## 1 Introduction

High emissions of anthropogenic carbon aerosols from highly industrialized and populated northern and eastern China (Fig. 1) greatly influence the regional climate and air quality of the whole East Asian region. The water-soluble organic carbon (WSOC) is a substantial component of East Asian aerosols with estimates spanning from 17–80 % of the total organic carbon (TOC) (Feng et al., 2006, 2007; Duvall et al., 2008;

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Pathak et al., 2011; Huang et al., 2012). WSOC may influence regional climate through several mechanisms. WSOC affects the particle's hygroscopicity and hence the ability of aerosol particles to act as cloud condensation nuclei (CCN) thus contributing to the indirect aerosol climate effects (e.g., Charlson et al., 2001; Svenningsson et al., 2006; Asa-Awuku et al., 2008). It has recently been found that WSOC exhibits light-absorbing (“brown carbon” BrC) properties (Cheng et al., 2011; Zhang et al., 2011). This WS-BrC contributes both a direct climate forcing (Chung et al., 2012; Bahadur et al., 2012; Jacobson, 2012) and a semi-direct climate effect through heating and evaporation of clouds (Jacobson, 2012).

There is a need to better understand the sources, properties and atmospheric processing of WS-BrC. It has been suggested that WSOC in atmospheric particles may come from a wide range of primary and secondary sources (e.g., Decesari et al., 2007; de Gouw et al., 2008). A few initial studies with direct measurement of the natural abundance radiocarbon content of WSOC appears promising to constrain the sources of this large aerosol carbon fraction. Short-term radiocarbon-based studies of WSOC in N. America and Europe suggest an overwhelming contribution of modern carbon sources to WSOC in both rural and urban environment (Szidat et al., 2004; Weber et al., 2007; Wozniak et al., 2008, 2012a, b; Kirillova et al., 2010). However, recent year-round <sup>14</sup>C-WSOC campaigns at two regional background sites constrained a 20% fossil contribution in S. Asia (Kirillova et al., 2013). Given the larger contribution of fossil-fuel combustion to elemental carbon (EC) in E. Asia than in S. Asia (Zhang et al., 2009; Ramana et al., 2010), it is conceivable that there is a substantially larger contribution by anthropogenic-fossil sources also to WSOC (and thus WS-BrC) over East Asia.

Furthermore, the stable carbon isotope signal ( $\delta^{13}\text{C}$ ) can be used to differentiate not only sources but also the atmospheric processing of the carbonaceous aerosols as it additionally reflects kinetic isotope effects (KIE) induced by transformation reactions during both primary aerosol and precursor emissions as well as during atmospheric transport (Anderson et al., 2004; Widory et al., 2006; Aggarwal and Kawamura, 2008; Das et al., 2010; Iannone et al., 2010; Kirillova et al., 2013).





radiocarbon analysis. During this method a 1.5 cm<sup>2</sup> subsample was heated at the two ramps to 870 °C in the atmosphere of helium (98 %) and oxygen (2 %) during 110 s and remained to be exposed to the temperature of 870 °C for 190 s until all the TOC was oxidized to CO<sub>2</sub>.

The CO<sub>2</sub> produced by the TOT analyzer was purified through magnesium perchlorate and silver wool traps to remove water and halogen-containing gases, correspondingly. Subsequently, it was cryotrapped in liquid N<sub>2</sub> and sealed in the glass ampoules. Further, the CO<sub>2</sub> was reduced to graphite, and the carbon isotopic composition was measured at the US NSF National Ocean Science Accelerator Mass Spectrometry (NOSAMS) facility (Woods Hole, MA, USA).

### 2.3.2 WSOC

For <sup>13</sup>C and <sup>14</sup>C analysis a filter area corresponding to at least 150 µg WSOC for each of 10 chosen samples was acidified by fumigation as described above and extracted as described previously (Kirillova et al., 2010). Stable carbon isotope ( $\delta^{13}\text{C}$ -WSOC) measurements were performed at the Stable Isotope Laboratory (SIL) of the Department of Geological Sciences at Stockholm University (Stockholm, Sweden). The instrumental method employed sample combustion with a Carlo Erba NC2500 analyzer connected via a split interface to reduce the gas volume to a Finnigan MAT Delta V mass spectrometer. The radiocarbon measurements of the freeze-dried WSOC isolates were performed at the US-NSF NOSAMS facility as described previously (McNichol et al., 1992; Pearson et al., 1998; Kirillova et al., 2010).

## 2.4 Uncertainty estimation

The overall precision of TC and WSOC concentrations and isotopic signatures was estimated based on uncertainties from several different sources, including the precision of concentration estimation (estimates from triplicate analysis), mass contributions from field blanks (estimates from several blanks), as well as precision of isotope

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characterization (instrument precision) and the isotope signature of the field blanks (Supplement). To properly account for all uncertainties, we employed an error propagation scheme based on a Monte Carlo strategy as described previously (Kirillova et al., 2013).

## 5 2.5 Light absorption by WS-BrC

Light extinction of 13 WSOC samples (both TSP and PM<sub>2.5</sub>) was measured using a Hitachi U2010 spectrophotometer, using the wavelength range of 190–1100 nm. The mass absorption cross section (MAC) was computed according to the Lambert–Beer Law:

$$10 \quad \text{MAC} = \frac{-\ln \left| \frac{I}{I_0} \right|}{C \cdot L} = \frac{A}{C \cdot L} \quad (1)$$

Where  $I$  denote light intensity,  $C$  is the concentration of WSOC in solution,  $L$  is the light path length (= 1 cm, for the currently used quartz cuvettes) and  $A$  is the absorbance (assuming that the scattering contribution to extinction is low). For comparison with previous results, the MAC was computed for 365 nm (Cheng et al., 2011). Equation (1) predicts a linear relation between absorbance and concentration. This prediction was tested by dilution experiments, and was found to agree well within the currently investigated concentration/wavelength range (data not shown).

The wavelength ( $\lambda$ ) dependence of the WSOC absorption was investigated by fitting an absorption Ångström Exponent (AAE) using the following relation:

$$20 \quad \frac{A(\lambda_1)}{A(\lambda_2)} = \left( \frac{\lambda_1}{\lambda_2} \right)^{\text{AAE}} \quad (2)$$

AAE were fitted within the range 330–400 nm to avoid interference from light absorbing inorganic compounds such as nitrate (Cheng et al., 2011).

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### 3 Results and discussion

#### 3.1 Meteorological setting and air mass characterization

The climate of Jeju Island is driven by the Asian monsoon system. In late winter and spring, the air masses arriving at KCOG are largely influenced by the anthropogenic activities in China and Korea, and by Asian dust storms (e.g., Carmichael et al., 1996; Huebert et al., 2003; Sahu et al., 2009). The major objective of the Gosan Pollution Experiment (GoPoEx), carried out at KCOG 8–23 March 2011, was to intercept aerosols from the N. Chinese outflow to study their sources and properties.

To examine the geographical source regions of the air masses during GoPoEx, five-day back trajectories (BTs) were generated at three arrival heights (100, 500 and 850 m a.g.l.) for every 6 h using NOAA HYSPLIT software v4 (<http://www.arl.noaa.gov/ready/hysplit4.html>). Consideration of both path and heights of the BTs allowed us to determine five main source areas: Beijing, Liaoning, Yellow Sea, Mongol (Mongolia and the Nei Mongol province of China) and the Korean peninsula (Fig. 1, Supplement, Figs. S1–S3). The air in the Yellow Sea category circulated for extended times at high altitude over Jeju region before descending to KCOG, whereas air mass transfer over the other source regions occurred at low altitudes.

Three episodes of elevated pollutant loadings were observed during the high-intensity campaign (Fig. 2): (1) a pollution event was observed from 10 p.m. of 10 March to 6 a.m. of 12 March (filter samples 5–7) with air-masses originating from the Beijing area, which was characterized by high loadings of  $PM_{2.5}$ ,  $PM_{10}$ , EC and  $SO_2$ . (2) An Asian dust episode was observed from 5 a.m. of 15 March to 9 a.m. of 16 March (filter samples 13–15), originating from the Mongol source sector, which was characterized by high  $PM_{10}$ , low  $PM_{2.5}$  and pollution tracers and high wind speeds ( $13\text{--}16\text{ m s}^{-1}$ ). (3) A second dust event combined with rain was observed from 1 p.m. of 21 March to the end of campaign (filter samples 25 and 26).

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## 3.2 WSOC abundance and distribution

During GoPoEx, WS-BrC contributed  $\sim 45\text{--}47\%$  of TOC (Fig. 2c). When comparing total suspended particles (TSP) with  $\text{PM}_{2.5}$ ,  $\sim 80\%$  of WSOC was found in the fine ( $< 2.5\ \mu\text{m}$ ) mode. The highest concentrations were found during the pollution event (10–12 March,  $2.3\ \mu\text{g m}^{-3}$  in  $\text{PM}_{2.5}$  and  $3.5\ \mu\text{g m}^{-3}$  in TSP, Fig. 2), but elevated WSOC concentrations were also observed during the two dust events (15–16 and 21–22 March). The concentrations of WSOC during the GoPoEx period are within the range of what has been observed in Chinese cities ( $0.4\text{--}9.6\ \mu\text{g m}^{-3}$  for  $\text{PM}_{2.5}$ ), the concentrations at KCOG during the pollution event are typical of levels reported for Chinese cities (Feng et al., 2006; Pathak et al., 2011; Huang et al., 2012; Cheng et al., 2011).

## 3.3 Light absorption of water-soluble brown carbon (WS-BrC) in outflow from N. China

Black carbon (BC) is frequently referred to as the major light absorbing aerosol species (e.g., Ramanathan and Carmichael, 2008; Bond et al., 2013). However, other carbonaceous aerosols may also absorb light, but typically with a more pronounced wavelength dependence – “brown carbon” (Andreae and Gelencsér, 2006). For the GoPoEx, the light absorption of WS-BrC was found to have a wavelength dependence reflected in an Absorption Ångström Exponent (AAE) ranging between 5.6 and 7.7 ( $6.4 \pm 0.6$ , Supplement Fig. S4). In comparison, the AAE for BC is typically 1 (Hecobian et al., 2010; Cheng et al., 2011; Kirchstetter and Thatcher, 2012). The WS-BrC AAE for GoPoEx is comparable with observations near Beijing, China of 7.0 in summer and 7.5 in winter (Cheng et al., 2011), values of 6.2–8.3 in SE USA (Hecobian et al., 2010), 7.1 over the Amazonas (Hoffer et al., 2006). Similarly, the current range of the recipient-intercepted WS-BrC mass absorption cross section values at 365 nm ( $\text{MAC}_{365}$ ) (Fig. 3a) were  $0.3\text{--}1.1\ \text{m}^2\ \text{g}^{-1}$  ( $0.7 \pm 0.2\ \text{m}^2\ \text{g}^{-1}$ ), which compares with Beijing source area during winter ( $1.8 \pm 0.2$ ; Cheng et al., 2011), Beijing in summer ( $0.7\ \text{m}^2\ \text{g}^{-1}$ ; Cheng et al., 2011) and with SE USA ( $0.3\text{--}0.7\ \text{m}^2\ \text{g}^{-1}$ ; Hecobian et al., 2010).



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GoPoEx, radiocarbon measurements of WS-BrC show that the fossil contribution is as large as the biomass contribution in the PM<sub>2.5</sub> fraction (Fig. 3c). These values are much higher than what has previously been reported for WSOC in Europe and USA, showing the much larger influence of fossil sources to this large component of aerosol carbon in the N. China outflow. For TSP, the fossil contribution was somewhat lower, showing a large influence from biomass/biogenic coarse mode water soluble particles. Little variation in time was found during the present campaign, except for the dust episodes, when then biomass contribution was larger.

By combining the  $\Delta^{14}\text{C}$  with the stable carbon isotope ( $\delta^{13}\text{C}$ ) composition, it is possible to examine the dual effects of source variability and atmospheric processing of WS-BrC as well as of TOC (Kirillova et al., 2013). Since the  $\Delta^{14}\text{C}$  parameter is reported relative to a stable carbon standard, this parameter is only sensitive to emission source (biomass vs fossil) variability. In contrast,  $\delta^{13}\text{C}$  is sensitive to both variability of different emissions sources (e.g., coal, petroleum, wood combustion and marine sources) and to atmospheric processing due to the kinetic isotope effect. By considering the two-dimensional  $\Delta^{14}\text{C}$ - $\delta^{13}\text{C}$  signal for the GoPoEx campaign, four distinct clusters appear in the following order (from lower left to top right along the E. Asia continuum in Fig. 4): TOC PM<sub>2.5</sub>, TOC TSP, WS-BrC PM<sub>2.5</sub> and WS-BrC TSP. The four populations are distributed along a straight line, which is very similar to our previous findings for the S. Asian outflow (Fig. 4: S. Asia continuum line, Kirillova et al., 2013). Comparison of the E. Asian and S. Asian datasets show three consistent trends: (1) Higher fossil contribution in both WS-BrC and TOC in E. Asia than in S. Asia; (2) WS-BrC is more modern (i.e., “younger”  $^{14}\text{C}$  signal) and more enriched in  $^{13}\text{C}$  compared to TOC in both size fractions for both E. and S. Asia; (3) Coarse particles are more modern and enriched in  $^{13}\text{C}$  compared to PM<sub>2.5</sub> for both WS-BrC and TOC.

The enrichment in  $^{13}\text{C}$  of WS-BrC relative to TOC in both E. and S. Asia may reflect either difference in sources or in atmospheric processing. Particulate emission sources cover a wide range of  $\delta^{13}\text{C}$  values: on average  $-13\text{‰}$  for C4 plants,  $-22$  to  $-18\text{‰}$  for marine sources,  $-23$  to  $-21\text{‰}$  for coal,  $-34$  to  $-25\text{‰}$  for C3 plants,  $-29$  to  $-24\text{‰}$ .

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for liquid petroleum and  $-40$  to  $-28$ ‰ for gaseous petroleum (e.g., Miyazaki et al., 2007, 2011; Kawashima and Haneishi, 2012; Anghitori et al., 2011; Widory, 2006). For Chinese outflow, C4 plants (e.g., maize and sugar cane) are not expected to be a large contribution. By combining the isotope data with ratios of inorganic ions isolated from the same filters, marine sources are not expected to have a major influence on WS-BrC during the GoPoEx (Fig. S7).

The  $\delta^{13}\text{C}$  value is also influenced by atmospheric processing (Fig. 4 inset). During formation of Secondary Organic Aerosol (SOA), molecules depleted in heavy isotopes are expected to react faster, leading to depletion in  $^{13}\text{C}$ . This prediction is supported by laboratory studies (e.g., Iannone et al., 2010; Anderson et al., 2004). In contrast, during atmospheric aging (here meaning reactions with reactive species such as the hydroxyl radical) molecules with lighter isotopes react faster, forming gaseous species such as CO, CO<sub>2</sub> and volatile organic carbon (VOCs). This leads to an enrichment of  $^{13}\text{C}$  in the residual carbon in the aerosol phase, which has also been supported by laboratory and field studies (Aggarwal and Kawamura, 2008; Smith et al., 2009). In addition, aging may also involve rearrangement within the aerosol phase, e.g. condensation reactions, without production of gaseous substances (Kalberer et al., 2004; Zahardis et al., 2006; Nguyen et al., 2011). Since there is then no net transfer of carbon, these reactions do not affect  $\delta^{13}\text{C}$  signature of the aerosol TOC, but may change the signature of a sub-population such as of the WS-BrC. Semivolatile organic compounds partition between gas and particulate phases causing enrichment of heavier isotopes in the particulate phase. However, laboratory studies indicate these effects are likely to have only a minor effect on the  $\delta^{13}\text{C}$  signature (Harrington et al., 1999; Wang and Kawamura, 2006).

Both SOA formation and aging leads to production of more polar compounds, such as WS-BrC. The higher  $\delta^{13}\text{C}$  values ( $^{13}\text{C}$  enrichment) of WS-BrC compared with TOC either indicates differences in sources or processing. Excluding the less likely candidates C4 plants and marine contributions, it is unlikely that the observed difference between TOC and WS-BrC reflects source differences. Instead, the more probable explanation is that this difference is explained by atmospheric processing/aging since





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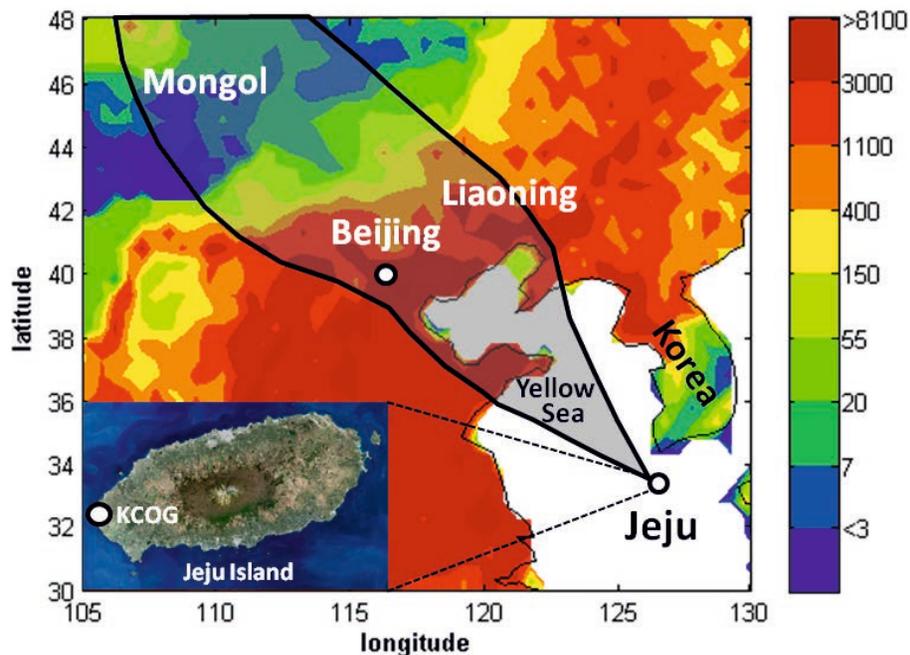
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**Fig. 1.** Map depicting bottom-up emission inventory estimates of anthropogenic Total Organic Carbon (TOC) estimated as the sum of black carbon (BC) and organic carbon (OC) in tons  $\text{yr}^{-1}$  per  $0.5^\circ \times 0.5^\circ$  grid in year 2006 (Zhang et al., 2009). The five main geographical source regions from back trajectories (BTs) analysis for the present March 2011 campaign (GoPoEx – Gosan Pollution Experiment) are indicated: Beijing, Liaoning, Mongol, Yellow Sea and Korea. The shaded sector between the black lines show the predominant range of BTs of the Chinese outflow for the study period. The location of the Korean Climate Observatory at Gosan (KCOG) on Jeju Island is shown in the inset satellite image, provided by NASA (<http://earthobservatory.nasa.gov/IOTD/view.php?id=35900>).

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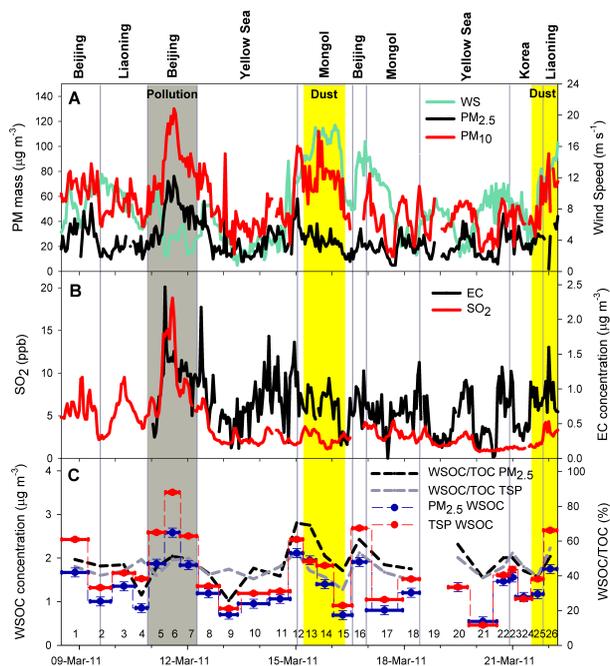
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**Fig. 2.** Pollutant tracers and water-soluble organic (brown) carbon concentrations during the March 2011 GoPoEx campaign at KCOG: Ambient air particulate mass ( $\text{PM}_{2.5}$  and  $\text{PM}_{10}$ ) and wind speed **(A)**; concentrations of  $\text{SO}_2$  provided by South Korean National Institute of Environmental Research (NIER) and semi-continuously measured elemental carbon (EC) **(B)**; concentrations of water-soluble organic carbon (WSOC) and WSOC to total organic carbon (TOC) ratio **(C)** in both ambient total suspended particles (TSP) and  $\text{PM}_{2.5}$  fractions at KCOG during the GoPoEx campaign. The relation between pollutant tracers and back trajectory source regions are marked at the top. The pollution event is marked in grey and the dust events in yellow.

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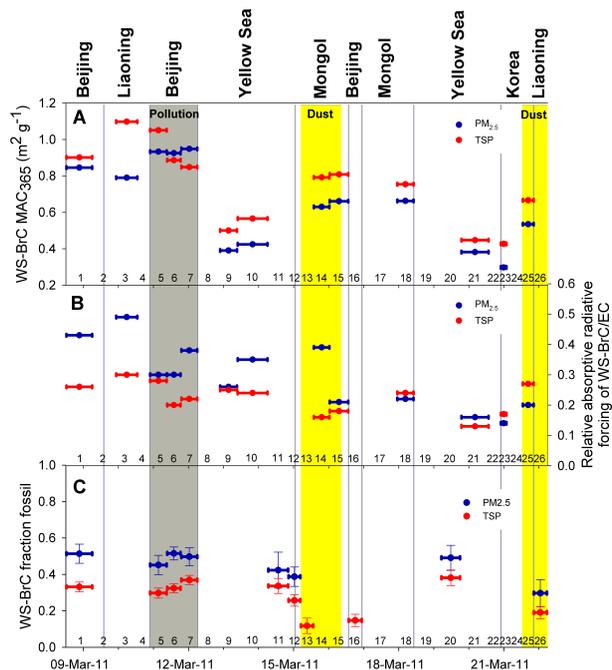
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**Fig. 3.** Mass absorption cross section of water-soluble brown carbon (WS-BrC) at 365 nm ( $MAC_{365}$ ) **(A)**; relative absorptive radiative forcing of WS-BrC compared to that of elemental carbon (EC) **(B)**; radiocarbon-based source-apportionment measurements of fraction fossil in  $PM_{2.5}$  and TSP aerosols at KCOG station during the GoPoEx campaign **(C)**.

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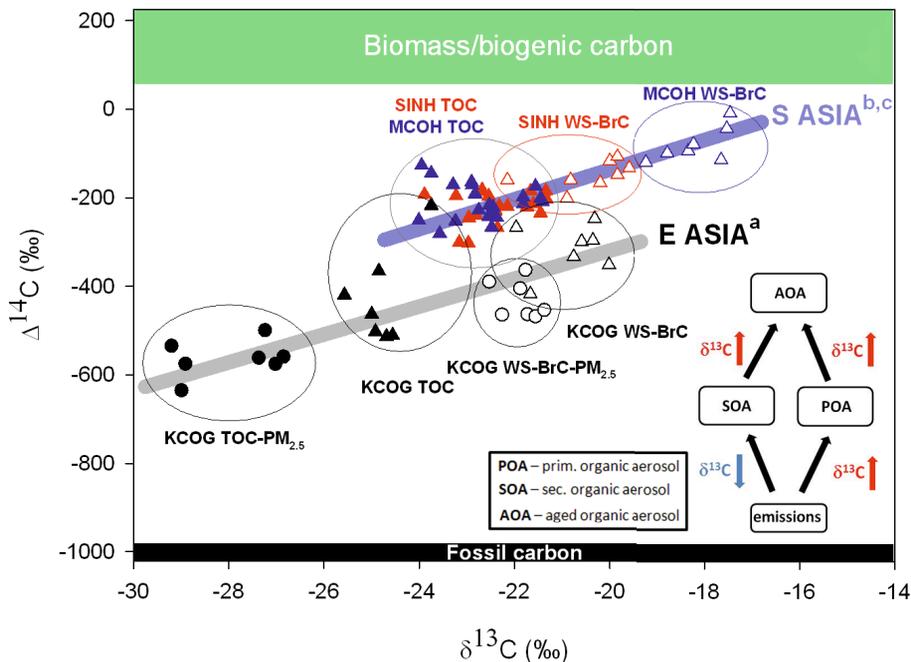
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**Fig. 4.** Two-dimensional presentation of dual-isotope ( $\delta^{13}\text{C}$  vs  $\Delta^{14}\text{C}$ ) signals of WS-BrC and TOC in PM<sub>2.5</sub> and TSP for a. GoPoEx campaign in E Asia (dust-influenced samples are excluded) compared to in S. Asia (b. Sheesley et al. (2012) and c. Kirillova et al. (2013) datasets) from NW Indian site in Sinhadgad (SINH) and Indian Ocean site on Hanimaadhoo Island, Maldives (MCOH). The bottom right inset graphically summarizes the general trends of the influence of atmospheric processing on the  $\delta^{13}\text{C}$  signature of carbonaceous aerosols.