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Identification and quantification of particle growth channels during new particle formation

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Identification and quantification of particle growth channels

M. R. Pennington et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

Atmospheric new particle formation (NPF) is a key source of ambient ultrafine particles that may contribute substantially to the global production of cloud condensation nuclei (CCN). While NPF is driven by atmospheric nucleation, its impact on CCN concentration depends strongly on atmospheric growth mechanisms since the growth rate must exceed the loss rate due to scavenging in order for the particles to reach the CCN size range.

- In this work, chemical composition measurements of 20 nm diameter particles during NPF in Hytiälä, Finland, in March–April 2011 permit identification and quantitative assessment of important growth channels. In this work we show that:
- 5 (A) sulfuric acid, a key species associated with atmospheric nucleation, accounts for less than half of particle mass growth during this time period; (B) the sulfate content of a growing particle during NPF is quantitatively explained by condensation of gas phase sulfuric acid molecules, in other words sulfuric acid uptake is collision limited; (C) sulfuric acid condensation substantially impacts the chemical composition of preexisting
 - 10 nanoparticles before new particles have grown to a size sufficient to be measured; (D) ammonium and sulfate concentrations are highly correlated, indicating that ammonia uptake is driven by sulfuric acid uptake; (E) sulfate neutralization by ammonium does not reach the predicted thermodynamic endpoint, suggesting that a kinetic barrier exists for ammonia uptake; (F) carbonaceous matter accounts for more than half of the
 - 15 particle mass growth and its oxygen-to-carbon ratio (~ 0.5) is characteristic of freshly formed secondary organic aerosol; and (G) differences in the overall growth rate from one formation event to another are caused by variations in the growth rates of all major chemical species, not just one individual species.

1 Introduction

- 25 Atmospheric new particle formation (NPF), the process whereby gaseous precursors nucleate to form clusters on the order of one nanometer and then grow rapidly to larger

Title Page	
Abstract	Introduction
Conclusions	References
Tables	Figures
◀	▶
◀	▶
Back	Close
Full Screen / Esc	

sizes, can significantly impact cloud condensation nuclei (CCN) levels (Kerminen et al., 2005; Kuang et al., 2010; Merikanto et al., 2009) and thereby influence precipitation patterns (Lee and Feingold, 2010) and climate (Rosenfeld et al., 2008) through changing cloud albedo (Charlson et al., 1992; Lohmann and Feichter, 2005). With respect to human health, NPF produces a large number of nanoparticles and because of their small size they can deposit throughout the respiratory tract or enter the bloodstream (Oberdörster et al., 2005). Exposure to elevated nanoparticle concentrations is associated with higher incidences of adverse cardiopulmonary effects (Gong et al., 2008; Knibbs et al., 2011; Maudgalya et al., 2008). In urban environments, nanoparticles arising from secondary sources can account for over 50 % of the nanoparticle number concentration (Klems et al., 2011). In fact, anthropogenic pollution has been suggested to enhance the formation of nanoparticles over forested environments (Zhang et al., 2009). Despite the importance of nanoparticles to climate and human health, the exact mechanisms governing NPF are poorly understood (Bzdek and Johnston, 2010; Kulmala et al., 2004).

Atmospheric NPF is a two-step process involving (1) the nucleation of small particles or clusters at a critical size and (2) spontaneous growth of the critical nucleus to larger sizes (Kulmala et al., 2000, 2013; Zhang et al., 2012). Much effort has been devoted to understanding the atmospheric nucleation process. Nucleation is thought to occur mainly by the formation of uncharged clusters in the atmosphere (Kulmala et al., 2007) and involves sulfuric acid (Sipila et al., 2010; Young et al., 2008; Weber et al., 1997), water, ammonia (Ball et al., 1999; Benson et al., 2009; Korhonen et al., 1999), amines (Berndt et al., 2010; Yu et al., 2012; Zollner et al., 2012) and possibly organic condensable species (Metzger et al., 2010) such as organic acids (Zhang et al., 2004b; Hou et al., 2013). Indeed, organic species such as amines are likely involved during nucleation, as sulfuric acid and ammonia are insufficient to explain nucleation (Kirkby et al., 2011). Nonetheless, sulfuric acid appears to be the key chemical component, as the nucleation rate in both laboratory and field measurements frequently depends

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

2 Methods

2.1 Nano Aerosol Mass Spectrometer (NAMS)

Data presented in this work were obtained with NAMS. Ambient air was sampled to the NAMS from a height of ~ 4 m through a 1.27 cm (O.D.) length of copper tubing at a flow rate of 5 L min⁻¹.

NAMS has been described in detail elsewhere (Wang et al., 2006; Wang and Johnston, 2006; Pennington and Johnston, 2012). It consists of six main components: a unipolar charger (Chen and Pui, 1999; McMurry et al., 2009), an aerodynamic lens assembly, a digital ion guide (DIG), a digital ion trap (DIT), Nd:YAG laser and a reflectron time-of-flight (TOF) mass analyzer. Aerosol first passes through the unipolar charger to maximize the fraction of particles that receive a +1 charge and then is sampled into the instrument where particles are collimated and focused with the aerodynamic lens and DIG. Particles are then captured inside the DIT where they are irradiated with a high energy laser pulse (532 nm, 200 mJ pulse⁻¹, focused to a spot < 100 µm). The laser pulse forms a plasma that completely disintegrates the particle to form multiply charged atomic ions. The ions are mass analyzed by TOF.

Particle size selection in NAMS is accomplished by selective trapping inside the DIT on the basis of mass-to-charge ratio, which can be related to mass normalized diameter (d_{mn}), defined as the diameter of a spherical particle having unit density and the same mass-to-charge ratio as the particle being trapped. For these measurements, NAMS was operated to trap 18 ± 3 nm particles (DIT ring electrode frequency of 10 kHz and amplitude of +504 V/–507 V). Other relevant parameters are DIG frequency of 50 kHz and amplitude of ±500 V, field adjusting lens voltage of –400 V and Einzel lens voltage of –50 V (Pennington and Johnston, 2012). The relationship between mass normalized diameter (size selection in NAMS) and mobility diameter (d_m ; size measurement with

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

the differential mobility particle sizer, DMPS) is described by

$$d_{mn} = d_m \left(\frac{\rho}{\rho_0} \right)^{1/3} \quad (1)$$

where the reference density, ρ_0 , is 1 g cm^{-3} . Since carbonaceous matter is the major component of particles in Hyttiälä, the ambient particle density was likely on the order of 1.3 g cm^{-3} (Kuwata et al., 2011) meaning that d_{mn} and d_m differed by less than 10% (d_{mn} larger).

Particle mass spectra consisted of a series of multiply charged atomic ions. Raw spectra were baseline corrected and the signal intensities integrated over the relevant atomic ions for carbon (C), nitrogen (N), oxygen (O) and sulfur (S) so that the mole fractions of each element in the particle are determined. Note that NAMS does not quantitatively measure the hydrogen content, so it is not included in mole fraction determination. Deconvolution of overlapping signal intensities (C^{+3} and O^{+4} ; S^{+4} and O^{+2}) was accomplished by the method of Zordan et al. (2010). After deconvolution, the signal intensities for each element were summed and the relative values were taken as the mole fraction of each element in the particle. Mole fractions were averaged over all particles analyzed in a 10 min time block corresponding to the DMPS scanning period. If fewer than 20 particles were analyzed, then averaging was performed over multiple time blocks until a minimum of 20 particles was achieved. Performing 20-particle averages simultaneously minimizes random error from non-uniform plasma energetics while maximizing time-resolution (Klems and Johnston, 2013). Averaging over multiple time blocks was needed only during periods of very low particle number concentration, which generally occurred at night. In general, elemental mole fractions are measured with NAMS to within $\pm 10\%$ of the true values (Zordan et al., 2010). Mass fractions of molecular species are determined by combining the appropriate number of atoms (e.g. S + 4O for sulfate) and converting from elemental mole fraction to elemental mass fraction.

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

2.2 Calculation of effective gas phase sulfuric acid concentration from measured sulfate mass fraction

The effective sulfuric acid gas phase concentration can be calculated from the measured particle phase mass fraction of sulfate by

$$^5 \quad [\text{H}_2\text{SO}_4]_{\text{NAMS}} = \frac{2\text{GR}_{\text{MEAS}}}{v_1 \bar{c}_1 \Gamma_m} \times \frac{\rho_{\text{particle}}}{\rho_{\text{sulfate}}} \quad (2)$$

where GR_{MEAS} is the measured growth rate, v_1 is the volume occupied by a hydrated H_2SO_4 molecule, \bar{c}_1 is the mean thermal speed of the condensing monomer (Kuang et al., 2010), ρ_{particle} is the density of ambient particles during the time period of interest (estimated to be 1.3 g cm^{-3}) (Kuwata et al., 2011), ρ_{sulfate} is the density of condensed phase sulfuric acid (1.8 g cm^{-3}) and $\Gamma_m = \frac{1}{\text{MF}_{\text{sulfate}}}$, where $\text{MF}_{\text{sulfate}}$ is the average sulfate mass fraction for the time period of interest (Bzdek et al., 2012b). Equation (2) does not include the Fuchs–Sutugin correction for mass flux. Although in some circumstances the magnitude of this correction can be substantial (Nieminen et al., 2010), in the particle size range considered here it would affect the calculated sulfuric acid concentration by < 5 %, which is much smaller than the uncertainty of the experimental measurements.

3 Results and discussion

In this study, NAMS (Wang and Johnston, 2006; Zordan et al., 2008, 2010; Bzdek et al., 2011, 2012b; Pennington and Johnston, 2012; Pennington et al., 2012; Wang et al., 2006) is used to obtain single particle mass spectra during NPF in Hyytiälä, Finland, from 21 March through 24 April 2011. NAMS provides a quantitative measure of nanoparticle elemental composition and in this study was set to analyze particles between 15 and 22 nm in diameter. The elemental composition allows the mass fractions

Identification and quantification of particle growth channels

M. R. Pennington et al.

of sulfate, ammonium and carbonaceous matter to be determined. Example particle formation events are shown in Fig. 1.

Figure 1a shows particle size distributions from 3 to 100 nm over two consecutive days where NPF was observed, 18 and 19 April 2011. HYSPLIT (Draxler and Rolph, 2013) back trajectories indicate that air masses during this time period were relatively pollution free, coming from the northwest. Solar irradiance is shown in Fig. 1b along with the NAMS hit rate (number of particles analyzed per 10 min period), which gives a qualitative measure of the particle number concentration within the size range analyzed. Both events were initiated during the daylight hours. On the first day, the particle growth rate was relatively slow and the mode diameter of the particle size distribution reached the NAMS size range near dusk. On the second day, the growth rate was faster and the mode diameter passed completely through the NAMS size range during the day.

Figure 1c shows the average elemental composition of 15–22 nm diameter particles as a function of time. The predominant elements in these particles are carbon, nitrogen, oxygen and sulfur. The carbon mole fraction is anti-correlated with the other elements, which has been observed in urban locations as well (Pennington et al., 2012; Klems et al., 2012). During NPF, the sulfur and nitrogen mole fractions are larger and the carbon mole fraction is smaller than immediately before or after each event. A similar dependence of elemental mole fraction was noted previously for NPF in other locations (Bzdek et al., 2011, 2012b). A remarkable feature of Fig. 1d that could not be studied in previous work is that the change in elemental composition occurs at the onset of NPF *before* the mode diameter reaches the NAMS size range. This characteristic is shown in more detail in Fig. 1d where the N and S mole fractions are plotted as a function of time. Also shown for reference in Fig. 1d is the gas phase sulfuric acid concentration measured with a chemical ionization mass spectrometer (CIMS) (Eisele and Tanner, 1993; Petaja et al., 2009), which closely follows solar irradiance. At the onset of NPF, the particle phase sulfur mole fraction increases almost simultaneously with the gas phase sulfuric acid concentration. Near the end of the event both decrease, but the

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

change in particle composition lags the change in gas phase concentration by 3–4 h. This slow decline in particle phase sulfur after gas phase sulfuric acid declines suggests the event is a regional event rather than a local (plume) event.

It is reasonable to assume all of the sulfur in these particles exists in the form of sulfate (Bzdek et al., 2012b). First, off-line and on-line molecular composition analysis of collected ultrafine particles in this location show sulfate as a major constituent in nanoparticles, with little to no contribution from other sulfur-containing species (Smith et al., 2010). Second, although organosulfates have been detected in 50–100 nm diameter particles in some environments (Hatch et al., 2011), quantitative measurement of its contribution to sub 100 nm particles show that it is low (Lukacs et al., 2009). Moreover, if organosulfates are present in these particles, they are probably the result of aqueous-phase secondary processing of sulfate already contained in the particle and would be most significant during nighttime, rather than during daytime when the increase in sulfur mole fraction occurs. Even if organosulfates exist in the particle, assigning sulfur from an organosulfate to inorganic sulfate will give a correct measure of total sulfate. Finally, S(IV) compounds such as dissolved SO₂, bisulfite and sulfite, which may be significant in large particles such as cloud and fog droplets (Finlayson-Pitts and Pitts, 2000) or mineral dust (Higashi and Takahashi, 2009; Usher et al., 2003), are unlikely to constitute a significant fraction of sulfur species in ultrafine aerosol (Kerminen et al., 2000).

With the assumption that all sulfur in these particles can be assigned to sulfate, the sulfate mass fraction can be quantitatively determined from the sulfur mole fraction and compared to the measured ambient sulfuric acid concentration. At the onset of NPF each day, the sulfate mass fraction rises quickly from around 5 to 30 %. If a preexisting particle at 20 nm containing a sulfate mass fraction of 5 % grows by sulfuric acid condensation at the measured ambient concentration level, we calculate that it would take less than 1 h to increase the sulfate mass fraction from 5 to 30 %, which is within the approximate time period for which this change occurs. (Note that the change in particle size for this level of sulfate mass growth would be about 1 nm, so the growing

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)

Identification and quantification of particle growth channels

M. R. Pennington et al.

particle would remain within the NAMS size range.) Therefore, sulfuric acid condensation is a reasonable explanation for the rapid rise in sulfur mole fraction each day. A corollary to this conclusion is that the mass growth rate of other chemical species during this time period early in the event must be small relative to the sulfate growth rate. For example, if sulfate represented only 20 % of the total growth rate then it would take much longer, on the order of 4–5 h, to increase the sulfate mass fraction to 30 %. Since a time lag of this magnitude is not observed between the increases in sulfuric acid concentration and sulfur mole fraction, mass growth of preexisting particles at the onset of NPF occurs primarily by sulfuric acid condensation. This observation is consistent with a previous Aerosol Mass Spectrometer (AMS) measurement of NPF at larger particle diameters, as sulfate was the first species whose particle-phase concentration increased during the event (Zhang et al., 2004a).

Table 1 gives, for each event, the measured particle growth rate and sulfate mass fraction, as well as the effective sulfuric acid gas phase concentration calculated from the particle phase sulfate mass fraction ($[H_2SO_4]_{NAMS}$) and the measured average sulfuric acid concentration ($[H_2SO_4]_{CIMS}$). In both cases, the calculated sulfuric acid concentration is consistent with the range of measured sulfuric acid concentrations. The similarity between calculated and measured sulfuric acid concentrations confirms the link between particle phase sulfate mass fraction and the rate of gas phase sulfuric acid condensation. In other words, sulfuric acid uptake into the particles is approximately collision rate limited.

Similar time dependent changes in the composition of 20 nm particles are observed in all eight particle formation events as shown in Fig. 2. (The yellow shaded regions in Fig. 2 show the approximate time periods for particle growth through the measured size range, as given by particle hit rates with NAMS.) For seven out of eight events, the sulfur mole fraction increases before the particle mode diameter enters the NAMS size range and then decreases as the mode diameter grows past this range. The change in nitrogen mole fraction is highly correlated with sulfur mole fraction ($r = 0.83$) (Fig. 2b), suggesting that nitrogen incorporation is determined primarily by the sulfur content.

Title Page	
Abstract	Introduction
Conclusions	References
Tables	Figures
	
	
Back	Close
Full Screen / Esc	
Printer-friendly Version	
Interactive Discussion	

Identification and quantification of particle growth channels

M. R. Pennington et al.

The likely form of this nitrogen during NPF is ammonium ion. Inorganic nitrate and organic amines can be discounted for several reasons. First, the Aerosol Inorganics Model (AIM) (Clegg et al., 1998) predicts for both solid and liquid condensed phases in the range of temperature and relative humidity of this study that particulate nitrate will be present only when the ammonium concentration is greater than twice the sulfate concentration. This condition is not met during any of the NPF events since the N/S mole ratio during these time periods averages around 1.5 and never reaches 2. (Inorganic nitrate, however, cannot be ruled out during some off-event nighttime periods where the N/S mole ratio exceeds 2.) Second, chemical composition measurements of accumulation mode particles with AMS during these events show that the nitrate to sulfate mole ratios are all below 0.1 (average 0.06), and there is little evidence for aliphatic amines. Third, chemical composition measurements with the Thermal Desorption Chemical Ionization Mass Spectrometer (TDCIMS) (Smith et al., 2010) in the same particle size range as NAMS, available only 18–19 April, show no evidence for inorganic nitrate (amines were not monitored during this campaign). These observations, combined with the strong positive correlation between nitrogen and sulfur in Fig. 2b suggest that essentially all nitrogen is in the form of ammonium. Although the thermodynamic endpoint predicted by AIM is fully neutralized ammonium sulfate, only partial neutralization of sulfate is observed during the eight NPF events. Partial neutralization suggests either a kinetic barrier to ammonium incorporation, for example due to an activation barrier for ammonia uptake (Bzdek et al., 2013), or a modification of the thermodynamic endpoint by the Kelvin effect. In contrast, AMS measurements of accumulation mode particles show that they are fully neutralized during NPF (ammonium/sulfate mole ratio ≈ 2) as predicted by AIM and indicating that sufficient ammonia levels existed to fully neutralize sulfuric acid in accumulation mode particles. It is possible that the acidity of the aerosol at 20 nm could be explained by an ammonia concentration that is on the same order as the sulfuric acid concentration. However, ammonia concentrations are typically thought to be two orders of magnitude higher than sulfuric acid concentrations (Kirkby et al., 2011).

Title Page	
Abstract	Introduction
Conclusions	References
Tables	Figures
	
Back	Close
Full Screen / Esc	
Printer-friendly Version	
Interactive Discussion	

Figure 2c shows that the carbon mole fraction is anti-correlated with nitrogen ($r = -0.91$), sulfur ($r = -0.87$) and oxygen ($r = -0.81$). The carbon mole fraction is high at night when the sulfur mole fraction is low and then decreases (in relative terms) during the day when NPF occurs. The chemical composition of 20 nm particles is clearly different at night (when particle number concentrations are only on the order of a few hundred per cm^3) than during the day when NPF occurs (and particle number concentrations increase to a few thousand per cm^3). Another trend in Fig. 2c is that carbon content generally increases from left to right (beginning to end of the measurement period), while sulfur and nitrogen both decrease. In Fig. 2d, the average elemental composition during NPF (average over the yellow shaded periods in Fig. 2b and c) is compared to averages over three non-event time periods: (1) morning hours on event days before NPF begins, (2) morning hours on non-event days, and (3) afternoon/evening hours on non-event days. Within experimental error, the elemental compositions during the three off-event time periods are the same. In contrast, the elemental composition of nanoparticles during NPF is substantially different from composition during these three other time periods. In particular, S mole fraction increases by > 100 %, N mole fraction increases by 50 %, whereas C mole fraction decreases by about 30 %.

Figure 3a shows the mass fractions of ammonium, sulfate and carbonaceous matter for 20 nm particles during NPF, averaged over each of the eight shaded time periods in Fig. 2. Ammonium and sulfate are given by nitrogen and sulfur mole fractions as described above. Carbonaceous matter is given by all carbon in the particles plus the portion of oxygen that is not assigned to sulfate. In the early portion of this study, ammonium and sulfate together represent almost two-thirds of the total mass growth, and their contribution decreases to less than half of the mass growth at the end of this study. The remaining mass growth is associated with carbonaceous matter. The increasing contribution of carbonaceous matter during the study parallels increases in biological production of volatile organic carbon as plant growth begins in the spring (Hakola et al., 2012). The apparent oxygen to carbon (O/C) mole ratios for carbonaceous matter are given as insets in Fig. 3a. These values range from 0.4 to 0.6 which is characteristic of

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

“fresh” secondary organic aerosol (SOA) from biogenic sources (Jimenez et al., 2009). The presence of fresh SOA in these particles is not surprising since the fast particle growth rate leaves little time for oxidative aging. The high contribution of carbonaceous matter to aerosol growth is consistent with previous measurements of aerosol composition with AMS in Hyytiälä (Allan et al., 2006; Raatikainen et al., 2010).

Figure 3b shows particle compositions scaled to total growth rate for each of these events. These graphs show that the difference in growth rate from one event to another is caused by a change in the growth rate of all three types of matter together (ammonium, sulfate, carbonaceous matter) and not simply by one of these alone. This observation suggests that growth by all three types of matter is driven by photochemistry and/or atmospheric conditions in a similar manner. The differences among absolute growth rates in Fig. 3b are much greater than the differences among relative growth rates in Fig. 3a.

4 Conclusions

This study shows that particle growth in the 20 nm size range during NPF in the Finnish boreal forest springtime occurs by two major pathways, (1) condensation of sulfuric acid with concurrent partial neutralization by ammonia and (2) formation of “fresh” SOA. The extent to which these two pathways contribute to nanoparticle growth is quantified for the first time. Additionally, sulfuric acid condensation is shown to substantially impact the composition of preexisting nanoparticles not associated with the formation event. The relative importance of SOA increases as biological activity in the local environment increases. Nitrogen and sulfur mole fractions in these particles are highly correlated, suggesting that mass growth by ammonia uptake is driven by sulfuric acid condensation. However, these particles are acidic and do not reach the thermodynamic endpoint of full neutralization to sulfate. In order to understand springtime NPF more thoroughly, future research should be directed toward chemical processes governing sulfate neu-

tralization and acid-catalyzed SOA formation in particles where the Kelvin effect may be substantial.

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Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)



[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)

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M. R. Pennington et al.

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[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)



[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Identification and quantification of particle growth channels

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[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)



[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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- 15

Identification and quantification of particle growth channels

M. R. Pennington et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



Table 1. Particle growth rate (measured), sulfate mass fraction (measured), calculated sulfuric acid concentration (from particle sulfate mass fraction) and measured sulfuric acid concentration for particle formation events on 18 and 19 April 2011.

	18 April 2011	19 April 2011
Growth Rate (nm h^{-1}) ^a _{DMPS}	1.2 ± 0.1	3.0 ± 0.4
Sulfate Mass Fraction ^a _{NAMS}	0.27 ± 0.08	0.35 ± 0.11
$[\text{H}_2\text{SO}_4]_{\text{NAMS}}$ (molec cm^{-3}) ^b	$3 \pm 1 \times 10^6$	$1.0 \pm 0.4 \times 10^7$
$[\text{H}_2\text{SO}_4]_{\text{CIMS}}$ (molec cm^{-3}) ^a	$3 \pm 2 \times 10^6$	$6 \pm 3 \times 10^6$

^a Experimental measurements.

^b Calculated from Eq. (2).

Title Page	
Abstract	Introduction
Conclusions	References
Tables	Figures
◀	▶
◀	▶
Back	Close
Full Screen / Esc	
Printer-friendly Version	
Interactive Discussion	

Identification and quantification of particle growth channels

M. R. Pennington et al.

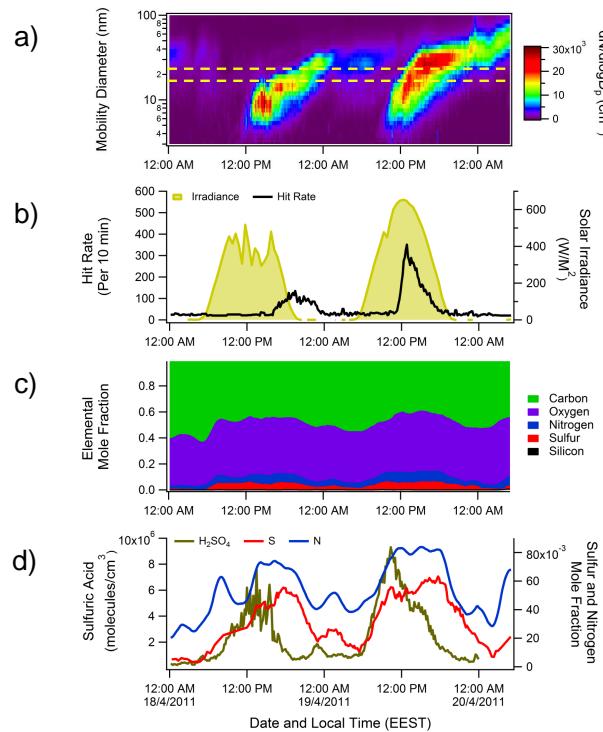


Fig. 1. **(a)** Particle size distributions for 18 and 19 April 2011. Yellow, dashed lines indicate approximate size range analyzed by NAMS. **(b)** Observed NAMS hit rate (particles analyzed per 10 min period; black) and solar irradiation (yellow). **(c)** Elemental mole fractions measured by NAMS, averaged over 10 min periods; plots were subjected to 10 point boxcar smoothing. **(d)** Sulfur mole fraction (red), nitrogen mole fraction (blue), and gas phase sulfuric acid concentration (yellow-green). Mole fraction plots were subjected to 10-point boxcar smoothing.

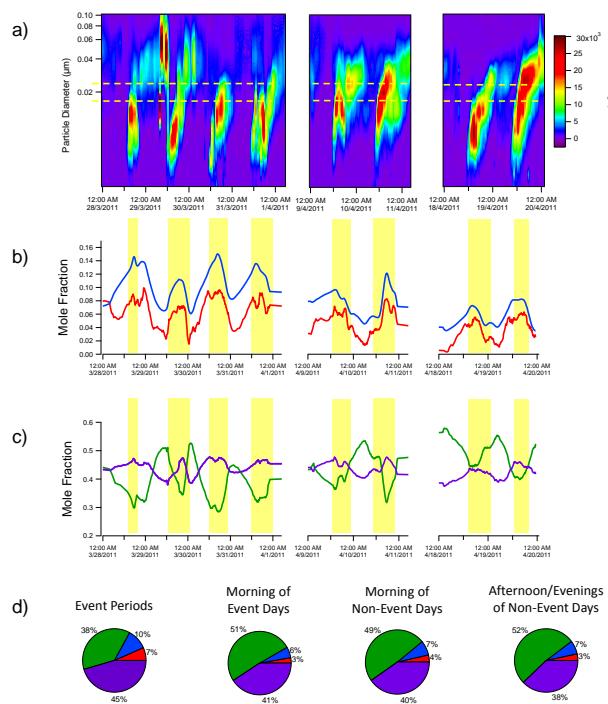


Fig. 2. (a) Particle size distributions for eight days when NPF occurred. **(b)** Elemental mole fractions of nitrogen (blue) and sulfur (red). **(c)** Elemental mole fractions of carbon (green) and oxygen (violet). Elemental mole fractions were subjected to 10-point boxcar smoothing; shaded regions show approximate time periods when the particle mode diameter was within the NAMS size range. **(d)** Average elemental compositions of particles sampled during NPF events, in the mornings of event days (02:00–09:00 LT), in the mornings of non-event days (02:00–09:00 LT) and in the afternoons/evenings of non-event days (10:00–22:00 LT).

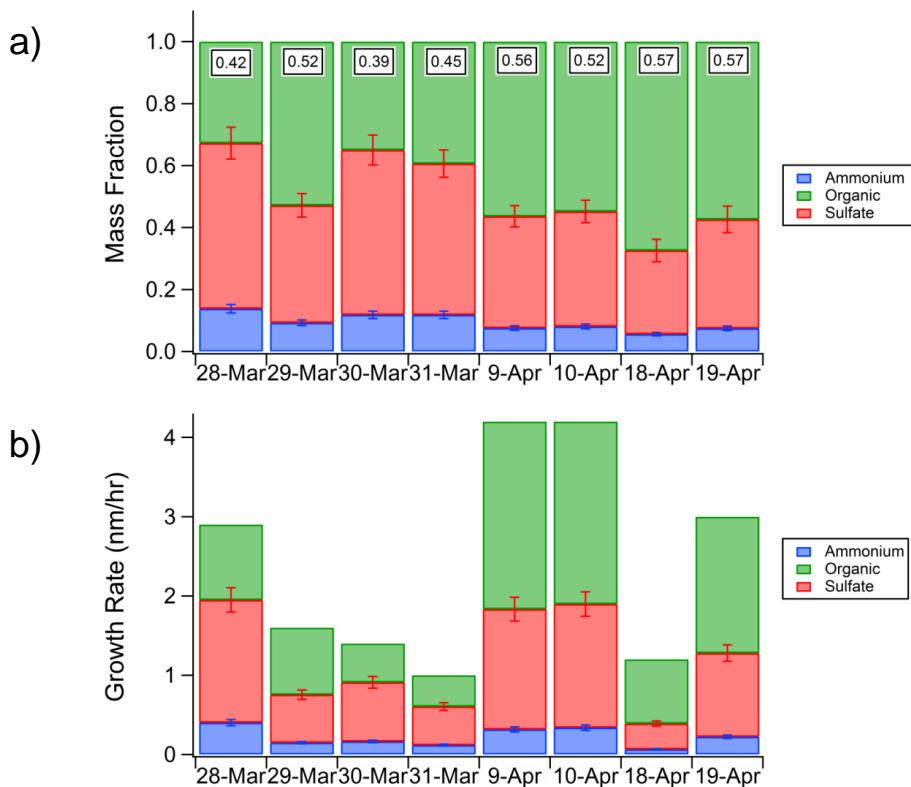


Fig. 3. (a) Mass fractions of sulfate, ammonium and carbonaceous matter for eight NPF events during the measurement campaign. The numerical value inset in each bar is the O/C ratio for that event period. **(b)** Mass growth rates of sulfate, ammonium and carbonaceous matter for the same NPF events. Molecular composition uncertainties are based on assigned uncertainties for measurement of elemental nitrogen and sulfur (10 %).