Simulations of column-averaged CO₂ and CH₄ using the NIES TM with a hybrid sigma-isentropic (σ - θ) vertical coordinate

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22 Abstract. We have developed an improved version of the National Institute for Environmental Studies 23 (NIES) three-dimensional chemical transport model (TM) designed for accurate tracer transport 24 simulations in the stratosphere, given the use of a hybrid sigma-isentropic (σ - θ) vertical coordinate 25 that employed both terrain following and isentropic parts switched smoothly around the tropopause. 26 The air-ascending rate was derived from the effective heating rate and was used to simulate vertical 27 motion in the isentropic part of the grid (above level 350 K), which was adjusted to fit to the observed 28 age of the air in the stratosphere. Multi-annual simulations were conducted using NIES TM to evaluate 29 vertical profiles and dry-air column-averaged mole fractions of CO₂ and CH₄. Comparisons with 30 balloon-borne observations over Sanriku (Japan) in 2000-2007 revealed that the tracer transport 31 simulations in the upper troposphere and lower stratosphere are performed with accuracies of $\sim 5\%$ 32 for CH₄ and SF₆, and ~1% for CO₂ compared with the observed volume-mixing ratios. The simulated 33 column-averaged dry air mole fractions of atmospheric carbon dioxide (XCO_2) and methane (XCH_4) 34 were evaluated against daily ground-based high-resolution Fourier Transform Spectrometer (FTS) 35 observations measured at twelve sites of the Total Carbon Column Observing Network (TCCON) (Bialystok, Bremen, Darwin, Garmisch, Izaña, Lamont, Lauder, Orleans, Park Falls, Sodankylä, 36 37 Tsukuba, and Wollongong) between January 2009 and January 2011. The comparison shows the 38 model's ability to reproduce the site-dependent seasonal cycles as observed by TCCON, with 39 correlation coefficients typically on the order 0.8–0.9 and 0.4–0.8 for XCO₂ and XCH₄, respectively, 40 and mean model biases of $\pm 0.2\%$ and $\pm 0.5\%$, excluding Sodankylä, where strong biases are found. The 41 capturing of tracer total column mole fractions is strongly dependent on the model's ability to 42 reproduce seasonal variations in tracer concentrations in the planetary boundary layer (PBL). We found a marked difference in the model's ability to reproduce near-surface concentrations at sites 43 located some distance from multiple emission sources and where high emissions play a notable role in 44 45 the tracer's budget. Comparisons with aircraft observations over Surgut (West Siberia), in an area with high emissions of methane from wetlands, show contrasting model performance in the PBL and in 46 47 the free troposphere. Thus, the PBL is another critical region for simulating the tracer total column 48 mole fractions.

50 **1. Introduction**

51 Carbon dioxide (CO₂) and methane (CH₄) are the greenhouse gases that contribute the most to global warming (IPCC, 2007). Recent studies of global sources and sinks of greenhouse gases, and 52 53 their concentrations and distributions, have been based mainly on *in situ* surface measurements 54 (GLOBALVIEW-CH4, 2009; GLOBALVIEW-CO2, 2010). The diurnal and seasonal "rectifier effect", 55 the covariance between surface fluxes and the strength of vertical mixing, and the proximity of local 56 sources and sinks to surface measurement sites all have an influence on the measured and simulated 57 concentrations, and complicate the interpretation of results (Denning et al., 1996; Gurney et al., 2004; 58 Baker et al., 2006).

In contrast, column-averaged dry-air mole fractions (DMFs; denoted XG for gas G) are much less sensitive to the vertical redistribution of the tracer within the atmospheric column (e.g. due to variations in planetary boundary layer (PBL) height) and are more directly related to the underpinning surface fluxes than are near-surface concentrations (Yang et al., 2007). Thus, column-averaged measurements and simulations are expected to be very useful for improving our understanding of the carbon cycle (Yang et al., 2007; Keppel-Aleks et al., 2011; Wunch et al., 2011).

The Short-Wave InfraRed (SWIR) measurements from the SCIAMACHY imaging spectrometer 65 66 onboard the ENVISAT satellite (Bovensmann et al., 2001) and the Japanese Greenhouse gases Observing SATellite (GOSAT) (Yokota et al., 2009) show some usefulness in determining the dry-air 67 68 column-averaged mole fractions of carbon dioxide (XCO₂) and methane (XCH₄) (Bergamaschi et al., 69 2007, 2009; Bloom et al., 2010). However, the GOSAT retrieval algorithms are under continuing 70 development and require reliable data for evaluation. One appropriate way to validate GOSAT is to use ground-based high-resolution Fourier Transform Spectrometer (FTS) observations from the Total 71 72 Carbon Column Observing Network (TCCON) (Butz et al., 2011; Morino et al., 2011; Parker et al., 73 2011; Wunch et al., 2011). Ground-based FTS observations of the absorption of direct sunlight by 74 atmospheric gases in the near-infrared (NIR) spectral region provide accurate measurements of the total 75 columns of greenhouse gases (Wunch et al., 2010). Due to the limited number of TCCON sites, there is 76 a relatively uneven spatial distribution of measurements, and measurements are not continuous because 77 they depend on the cloud conditions (Wunch et al., 2011). As a result, there are notable temporal and 78 spatial gaps in the data coverage, particularly at high latitudes and over heavily clouded areas such as 79 South America, Africa, and Asia; in such areas, model data can be used (Parker et al., 2011).

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The synoptic and seasonal variabilities in XCO₂ and XCH₄ are driven mainly by changes in

surface pressure, the tropospheric volume-mixing ratio (VMR) and the stratospheric concentration, which is affected in turn by changes in tropopause height. The effects of variations in tropopause height are more pronounced with increasing contrast between stratospheric and tropospheric concentrations; i.e., the influence is greater for CH_4 than for CO_2 due to CH_4 oxidation by O(1D), OH, and Cl in the stratosphere. A 30-ppbv change in tropospheric CH_4 or a 30-hPa change in tropopause height would produce a ~1.5% variation in sea level XCH_4 (Washenfelder et al., 2003).

87 A precision of 2.5 ppmv (better than 1%) for CO₂ (Rayner and O'Brien, 2001) and 1%–2% for 88 CH₄ (Meirink et al., 2006) for monthly mean column-integrated concentrations on a regional scale is 89 needed to reduce uncertainties in predictions of the carbon cycle. The target requirement formulated for the candidate Earth Explorer mission A-SCOPE mission is 0.02 PgC/yr per 106 km² or 0.1 ppmv 90 91 (Ingmann, 2009; Houweling et al., 2010). Transport-model-induced flux uncertainties that exceed the 92 target requirement could also limit the overall performance of CO₂ missions such as GOSAT. 93 However, the model accuracy requirement may depend on the measurement sensitivity (averaging 94 kernel) for different tracers. If the measurement has little or no sensitivity to the tracer VMR in a given 95 altitude region, then the accuracy of the model tracer concentrations in that region is irrelevant. A key 96 element in accurately determining XCO₂ and XCH₄ is to obtain precise simulations of tracers throughout the atmosphere, including the stratosphere as well as the PBL. 97

Hall et al. (1999) suggested that many chemical transport models (CTMs) demonstrate some common failings of model transport in the stratosphere. The difficulty of accurately representing dynamical processes in the upper troposphere (UT) and lower stratosphere (LS) has been highlighted in recent studies (Mahowald et al., 2002; Waugh and Hall, 2002; Monge-Sanz et al., 2007). While there are many contributing factors in this regard, the principal factors affecting model performance in vertical transport are meteorological data and the vertical grid layout (Monge-Sanz et al., 2007).

104 The use of different meteorological fields in driving chemical transport models can lead to 105 diverging distributions of chemical species in the upper troposphere/lower stratosphere (UTLS) region 106 (Douglass et al., 1999). Several studies based on multi-year CTM simulations have shown that vertical 107 winds directly supplied from analyses can result in an over-prediction of the strength of the 108 stratospheric circulation and an under-prediction of the age of air (Chipperfield, 2006; Monge-Sanz et 109 al., 2007). On the isentropic grid, the diabatic heating rate can substitute for the analysed vertical 110 velocity. A radiation scheme or recalculated radiation data can be implemented to resolve some of the 111 problems of vertical winds from assimilated data products. Weaver et al. (1993) found that the use of a 112 radiative scheme for long-term simulations gave a better representation of the meridional circulation,

113 compared with simulations using the analysed vertical winds.

114 The isentropic vertical coordinate system has notable advantages over other types of coordinate 115 systems, such as height, pressure, and "sigma" (Arakawa and Moorthi, 1988; Hsu, 1990), due to its 116 ability to minimize vertical truncation and the non-existence of vertical motion under adiabatic 117 conditions, except for diabatic heating (Bleck, 1978; Kalnay, 2002). These advantages result in reduced 118 finite difference errors in sloping frontal surfaces, where pressure or z-coordinates tend to have large 119 errors associated with poorly resolved vertical motion. The implementation of an isentropic coordinate 120 with a radiation scheme helps to avoid erroneous vertical dispersion and enables the accurate 121 calculation of vertical transport in the UTLS region (Mahowald et al., 2002; Chipperfield, 2006).

122 The aim of this study is to develop a NIES TM version with an improved tracer transport 123 simulation in the stratosphere by implementing a sigma-isentropic coordinate system with an air-124 ascending rate derived from the effective heating rate, in order to obtain a more accurate simulation of 125 atmospheric CO₂ and CH₄ profiles, and corresponding column-averaged concentration. The remainder 126 of the paper is organized as follows. The model modifications are described in Section 2, and Section 3 127 presented results i.e., evaluation the modelled age of the air and validation the CO₂, CH₄, and SF₆ 128 vertical profiles by comparison against balloon-borne *in situ* observations in the stratosphere. Also 129 examined is the model's performance in reproducing the near-surface concentration and free-130 troposphere vertical profiles of CH₄. XCO₂ and XCH₄ simulated by NIES TM are compared with daily 131 FTS observations at twelve TCCON sites between January 2009 and January 2011. Finally, a 132 discussion (Section 4) and conclusions (Section 5) are provided.

134 **2. Model description**

This section describes the formulation of the NIES model version (denoted NIES-08.1i) used in this paper. Belikov et al. (2011) described the main model features, such as the flux-form dynamical core with a third-order van Leer advection scheme, a reduced latitude–longitude grid, a horizontal fluxcorrection method (necessary for mass conservation) and turbulence parameterization. However, the present paper focuses on the recently incorporated hybrid sigma–isentropic vertical coordinate and a change in the meteorological dataset used to drive the model.

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2.1. Sigma-isentropic vertical coordinate

Previous NIES transport model versions with sigma-pressure and hybrid sigma-pressure vertical coordinate systems do not fully accommodate chemical and dynamical processes in the stratosphere, which results in the model failing to reproduce vertical tracer profiles. To overcome this issue, one can use climatological values of CO_2 and CH_4 in the stratosphere (Eguchi et al., 2010). However, this approach does not account for year-to-year VMR variation and can distort the meridional mass circulation in long-term simulations.

148 It was previously thought that because potential temperature under adiabatic motion is 149 individually conserved, it could be used as an ideal vertical coordinate. However, in several studies that 150 have been published since the first successful integration of hydrostatic equations in isentropic 151 coordinates performed by Eliassen and Raustein (1968), a number of disadvantages have been 152 revealed. Many of them relate to the fact that isentropes intersect the Earth's surface. The combined 153 hybrid vertical coordinate system consisted of the θ coordinate in the free atmosphere (where the air 154 motion is quasi-adiabatic) with a σ terrain-following system near the surface, which helps to avoid 155 problems with the θ vertical coordinate (Bleck, 1978).

Hence, we follow the general methodology of Hsu and Arakawa (1990) and Konor and Arakawa (1997), and use the σ - θ hybrid sigma-isentropic coordinate that is isentropic in the UTLS region but terrain-following in the free troposphere. The coordinates switch smoothly near the tropopause level, as follows:

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$$\sigma = \begin{cases} \left(P + \frac{\Delta P}{(\zeta - \theta)} \Delta \theta\right) \frac{1}{P_s}, & \text{if } \theta \ge \theta_T, \\ 1 - \frac{(P_s - P)(1 - \sigma_\theta)}{(P_s - P_\theta)}, & \text{if } \theta < \theta_T; \end{cases}$$
(1)

161 where ζ denotes the level of the sigma-isentropic grid as described in Table 1, *P* and *P_s* are 162 atmospheric pressure and surface atmospheric pressure respectively, $\theta = T(P_s/P)^{(R/c_p)}$ is potential 163 temperature, *T* depicts temperature, *R* is the molar gas constant, c_p is the specific heat for a constant 164 pressure, σ_{θ} and P_{θ} are "sigma" and pressure at the level θ_T , respectively. We set $\theta_T = 350K$ to ensure 165 that isentropes do not intersect the Earth's surface.

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2.2. Simulation of upward motion in the stratosphere

167 To calculate vertical transport in the θ -coordinate domain of the hybrid sigma-isentropic 168 coordinate, we use precalculated heating rates. Unlike the SLIMCAT model, which has an embedded 169 diagnostic radiation scheme to calculate heating rates (Chipperfield, 2006), the NIES model 170 interpolates the climatological heating rate at every meteorology data update step (3h) at every model 171 cell of sigma-isentropic grid using 2D monthly distribution of atmospheric reanalysis heating rate (see 172 Section 2.3).

173 The most problematic region in modelling vertical transport is a level around the tropopause 174 transition region known as the Tropical Tropopause Layer (TTL). Radiative heating in the TTL is a 175 result of heating from the absorption of infrared radiation by ozone and carbon dioxide, balanced by 176 infrared cooling, mainly from water vapour (Thuburn and Craig, 2002). The level termed as the 'stagnation surface' (Sherwood and Dessler, 2003) occurs where the total heating rate $Q_{total} = 0$, and is 177 178 demarcated by net cooling below and net heating above. The height of this transition level is almost 179 constantly around $\theta = 360 \text{ K}$ ($\approx 15 \text{ km}$, 125 hPa) (Gettelman et al., 2004; Folkins et al., 1999). There is 180 some variability in the level of $Q_{total} = 0$; e.g., ± 500 m between different locations and seasons; ± 400 m 181 for individual profiles (Gettelman et al., 2004).

Among other aspects of Troposphere-to-Stratosphere Transport (TST) that are not adequately addressed, it is unclear how air parcels overcome the vertical gap between the main convective outflow around 350 K and the level with significant heating rates (Konopka et al., 2007). In some models, erroneous spurious meteorology, a diffusive numerical scheme (Eluszkiewicz et al., 2000), or extra vertical motion due to the implementation of vertical transport misrepresenting the adiabatic conditions are responsible for extra artificial mixing in this region, thereby obscuring the vertical transport problem.

189 In isentropic coordinates, the impact of such erroneous effects is significantly reduced. As a 190 result, the use of a simulated heating rate leads to insufficient TST of tracers through the TTL. When models are unable to resolve a process explicitly, it is necessary to implement a parameterization to improve the simulation. Thus, Konopka et al. (2007) showed that more realistic tracer distributions are obtained by implementing the mixing parameterisation into a Chemical Lagrangian Model of the Stratosphere (CLaMS) with an isentropic vertical coordinate. Induced vertical mixing, driven mainly by vertical shear in the tropical flanks of subtropical jets, has been cited in explaining the upward transport of trace species from the main convective outflow to the tropical tropopause around 380 K (Konopka et al., 2007).

The total diabatic heating rates of different reanalysis products can produce dissimilar results (Fueglistaler et al., 2009). In our work, we implemented a scheme with additional transport in the TTL by increasing the air-ascending rate in the TTL, which was adjusted to fit the observed age of air in the stratosphere, as follows:

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- For levels above 360 K (isentropic part of the vertical coordinate), the air-ascending rate was multiplied by 2.5.
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• Constant vertical wind component (0.6 K/day) was set at the levels 180–40 hPa for tropical areas (15°S–15°N).

206 **2.3. Meteorological data and vertical resolution**

207 NIES TM is an off-line model driven by Japanese reanalysis data covering more than 30 years 208 from 1 January 1979 (Onogi et al., 2007). The period of 1979–2004 is covered by the Japanese 25-year 209 Reanalysis (JRA-25), which is a product of the Japan Meteorological Agency (JMA) and the Central 210 Research Institute of Electric Power Industry (CRIEPI). After 2005, a real-time operational analysis, 211 employing the same assimilation system as JRA-25, has been continued as the JMA Climate Data 212 Assimilation System (JCDAS). The JRA-25/JCDAS dataset is distributed on Gaussian horizontal grid 213 T106 (320 \times 160) with 40 hybrid σ -p levels. The 6-hourly time step of JRA-25/JCDAS is coarser than 214 the 3-hourly data from the National Centers for Environmental Prediction (NCEP) Global Forecast 215 System (GFS) and Global Point Value (GPV) datasets, which were used in the previous model version 216 (Belikov et al., 2011). However, with a better vertical resolution (40 levels on a hybrid σ -p grid versus 217 25 and 21 pressure levels for GFS and GPV, respectively) it is possible to implement a vertical grid 218 with 32 levels (versus 25 levels used before), resulting in a more detailed resolution of the boundary 219 layer and UTLS region (Table 1).

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The 2D monthly distribution of the climatological heating rate used to calculate vertical transport

in the θ -coordinate domain of the hybrid sigma–isentropic coordinate is prepared from JRA-25 reanalysis data, which are provided as the sum of short- and long-wave components on pressure levels.

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2.4. Turbulent diffusion and deep convection parameterization

The calculation of turbulent diffusion is similar to that described by Maksyutov et al. (2008). To separate the transport processes in the well-mixed near-surface layer and free troposphere, we used 3hourly PBL height data taken from European Centre for Medium-Range Weather Forecasts (ECMWF) Interim Re-Analysis. Above the top of the PBL, the parameterisation of the turbulent diffusivity follows the approach used by Hack et al. (1993), who estimated free-troposphere diffusivity from local stability as a function of the Richardson number. Below the top of the PBL, the turbulent diffusivity is set to a constant value of $40 \text{ m}^2\text{s}^{-1}$, under the assumption that the boundary layer is well mixed.

232 Following Grell (1993), to simulate deep convection we used a Kuo-type penetrative cloud 233 convection scheme including entrainment and detrainment processes on convective updrafts and 234 downdrafts, as proposed by Tiedtke (1989). We calculated cumulus mass-flux from the detailed 235 distribution of convection precipitation, using the method developed by Austin and Houze (1973), as 236 first adopted by Feichter and Crutzen (1990). This approach is based on the fact that the amount of 237 lifting air in an updraft core of a cumulus cell is related to precipitation, which it produces, and that the 238 temperature excess and entrainment are reflected in its vertical development. Given the amount of the 239 convective precipitation rate provided by the JRA-25/JCDAS dataset, the mass of air transported 240 upward within the cells was computed from the conservation of moisture.

241 2.5. Model setup

In this paper, the performance of the new CTM in various configurations is investigated by running a series of experiments to study atmospheric tracer transport and the model's ability to reproduce the column-averaged dry air mole fractions of atmospheric CO₂ and CH₄. The model was run at a horizontal resolution of $2.5^{\circ} \times 2.5^{\circ}$ and 32 vertical levels from the surface to 3 hPa, using three tracers: CO₂, CH₄, and sulphur hexafluoride (SF₆).

Forward model simulations were performed for SF_6 and CH_4 for 22 years (January 1988 to February 2011) using the simulation setup, initial distribution, fluxes, sinks, and chemical reactions (for CH₄) described in the Protocol for TransCom-CH₄ inter-comparison (Patra et al., 2011). For the CH₄ simulation, an inverse model-adjusted flux was used, obtained by optimising the surface fluxes of CH₄ using the LMDZ model for the period 1988–2005 (Bousquet et al., 2006). For the 2006–2011 fluxes, the average seasonal cycle was repeated. For the SF₆ simulation for the period 1988–2005, the annual mean SF₆ emission distributions at $1^{\circ} \times 1^{\circ}$ were taken from EDGAR 4.0 (2009), and the global totals were scaled by Levin et al. (2010). The 2005 distribution was used from 2006 onwards (Patra et al., 2011).

The simulation was started on 1 January 1988 using the initial 3D tracer distributions. This was prepared following a 10-year spin-up simulation by the Atmospheric General Circulation Model (AGCM)-based chemistry transport model with CH_4 and SF_6 concentrations at the South Pole of 1655 ppb and 1.95 ppt, respectively (Patra et al., 2011).

The CO₂ simulation was started on 1 January 2000 with the initial distribution derived from GLOBALVIEW-CO2 (2010) observations using prescribed fluxes from the Comprehensive Observation Network for Trace gases by AIrLiner (CONTRAIL) Transport Model Intercomparison (TMI) (Niwa et al., 2011), as follows:

1. Fossil fuel emissions are derived from the EDGAR-1998 distribution (Olivier and Berdowski,
2001) and the emission totals are scaled using the growth rate of the top 20 country-specific fossil fuel
consumptions, as obtained from the Carbon Dioxide Information Analysis Center (CDIAC) (Boden et
al., 2009).

2. The climatological inversion flux represents all non-fossil source/sink distributions over land
and ocean, derived by inverse modelling with 12 TransCom3 models (Gurney et al., 2004) and from
observational data obtained from GLOBALVIEW-CO2 at 87 sites during 1999–2001 (Miyazaki et al.,
2008).

3. Results

The current model version has been used in several tracer transport studies and was evaluated through participation in transport model intercomparisons (Niwa et al., 2011; Patra et al., 2011). The model results of tracer transport simulations show good consistency with observations and other models in the near-surface layer and in the free troposphere. However, the model performance in the UTLS region has not been evaluated in detail.

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3.1. Validation of the mean age of air in the stratosphere

280 The mean age of air is purely a transport diagnostic. Modellers are ultimately interested in 281 accurately simulating the distribution of trace gases that are affected by both transport and 282 photochemistry (Waugh and Hall, 2002). The accurate determination of the chemical constituents that 283 are transported across the tropopause, which are strongly affected by synoptic-scale events and other 284 small-scale mixing processes, is a major challenge for modern CTMs (Hall et al., 1999). In the 285 stratosphere, the vertical transport of substances is very weak due to the almost adiabatic conditions. 286 However, many models are unable to reproduce sufficiently weak transport, especially in the tropical 287 lower stratosphere, because the model grid does not reflect the underlying constraint that the flow is 288 almost isentropic, making the model transport vulnerable to numerical errors (Mahowald et al., 2002). 289 Generally, models tend to have ages of air in the stratosphere that are too young and tend to propagate 290 the signal upward from the troposphere into the lower stratosphere too quickly, especially in the tropics 291 (Hall et al., 1999; Park et al., 1999). By implementing a hybrid sigma-isentropic vertical coordinate, 292 the observed age of air is more accurately determined than when using a model that employs a hybrid 293 pressure grid (Mahowald et al., 2002; Chipperfield, 2006; Monge-Sanz et al., 2007).

294 The mean age of air can be calculated from measured or modelled tracer concentrations that are 295 conserved and that vary linearly with time (Waugh and Hall, 2002). Among several chemical species 296 that approximately satisfy the criterion of linear variation, CO₂ and SF₆ are the most reliable 297 compounds with which to derive the mean age, because they are very long-lived species and their 298 annual mean concentrations have been increasing approximately linearly (Conway et al., 1994; Maiss 299 et al., 1996). In spite of uncertainties due to nonlinearity in tropospheric growth rates and the neglect of 300 photochemical processes (Waugh and Hall, 2002), estimates performed with CO₂, SF₆, and other 301 tracers show rather good agreement.

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In this paper, SF_6 is simulated to derive the mean age of the air in the upper troposphere and in

the lower stratosphere. The model was run for 22 years before the simulation results were analysed,
because the age of stratospheric air was unchanged for the last 30 years (Engel et al., 2009).

Figure 1 shows the annual mean of the zonal-mean age of air obtained with NIES TM at an altitude of 20 km, together with the mean age values derived from CO_2 and SF_6 ER-2 aircraft observations (Andrews et al., 2001). Both the model and observation estimations of the mean age indicate values of approximately 1 year near the equator, large gradients in the subtropics, and values of around 4–5 years at high latitudes.

310 The vertical profiles of mean age derived from *in situ* measurements of CO₂ and SF₆ show that at 311 all latitudes, the mean age of the air increased monotonically with height throughout the stratosphere, 312 with only weak vertical gradients above 25 km (Figure 2). The model slightly overestimated the age of 313 air in the tropics (Figure 2a) and underestimated it at middle and high latitudes (Figure 2b, c). The 314 spikes in high-latitude profiles (Figure 2c) are due to the sampling of fragments of polar vortex air. 315 Despite this, the general shape of the isopleths in Figure 3 is realistic and illustrates the balance of the 316 meridional mass (Brewer-Dobson) circulation, which tends to increase latitudinal slopes, and 317 isentropic mixing, which tends to decrease the slopes (Plumb and Ko, 1992).

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3.2. Validation of CO₂, CH₄, and SF₆ vertical profiles in the stratosphere

319 To evaluate the model's ability to reproduce stratospheric transport, the simulated vertical 320 profiles of CO₂, CH₄, and SF₆ were analysed and compared against balloon-borne observation data 321 (Figure 4). The observed VMRs were derived from six individual profiles of balloon-borne 322 measurements performed by Prof. Takakiyo Nakazawa and Shuhji Aoki (Tohoku University) for 323 Sanriku, Japan (39.17°N, 141.83°E) for 28 August 2000, 30 May 2001, 4 September 2002, 6 324 September 2004, 3 June 2006, and 4 June 2007, following the procedures described by Nakazawa et al. 325 (2002). The vertical profiles were determined by averaging the modelled and observed concentrations 326 taken for the same day and time. The error bars show the standard deviation. To calculate the mean profiles, we subtracted the annual growth rate of 0.23 pptv/yr (Stiller et al., 2008) for SF₆ and variable 327 328 growth rates derived by Conway and Tans (2011) for CO₂ for the period 2001–2007. No correction is 329 applied to the CH₄ concentration, because a slowdown in the CH₄ increase was observed in the 330 stratosphere for the period 1978–2003 (Rohs et al., 2006).

In general, the NIES TM is able to capture the shape of a tracer's vertical profile in the stratosphere. These profiles consist of several parts with different properties, such as: 1) weak gradients up to 70 hPa; 2) a large decrease of VMRs at heights between 70 and 50 hPa; 3) almost constant concentrations from 50 to 30 hPa, and 4) significant (especially for CH₄) gradients from 30 hPa
 upwards (Figure 4).

The modelled profile of SF_6 is consistent with the observed profile up to 50 hPa and has a relatively large (~5%) positive bias above this level (Figure 4a). SF_6 is a chemically inert tracer (in the troposphere and stratosphere), indicating that transport alone is responsible for the variation in its profile. The discrepancy between the observed and simulated vertical profiles is consistent with the underestimation of the age of air above 40 hPa in temperate and high-latitude zones, as discussed above.

In contrast, the CH₄ profile was found to have a strong negative bias (~5%) between 100 and 20 hPa (Figure 4b), which disappeared with height. It would appear that a change in the CH₄ loss rate due to chemical reactions leads to a less excessive destruction of methane and a better agreement with observations above 20 hPa. SF₆ is not involved in any chemical reactions to compensate for the extra vertical transport in the UTLS region.

The simulated CO_2 vertical profile (Figure 4c) overestimated the observed profile by 0.5% below 90 hPa and underestimated it by 0.5% above 90 hPa. The individual profiles used to derive the average profile were obtained at the beginning and end of the vegetation season; consequently, the modelled CO_2 profile could show a seasonal variation at the 140–100 hPa level. The large error bars become smaller with height, enabling an estimate of the seasonal variation of approximately 1–2 ppmv at 140 hPa. The spread of data in the profiles at about 1.5 ppmv at all levels is common for measured CO_2 .

353 Thus, the simulated vertical profiles of CH_4 and SF_6 are generally within ~5% of the observed 354 VMRs, while CO_2 profiles are within 1%. Given that the stratosphere only represents 15%–20% of the 355 mid-latitude atmospheric column mass, these results are sufficient for this study. It is noted that the 356 simulated CO₂ profiles have a smoother shape and show a better consistency with the observations, as 357 the simulation was run for 9 years less than that for CH_4 and SF_6 . This result indicates the ability of the 358 model to reproduce vertical profiles of the tracers in the lower stratosphere more accurately for a 359 relatively short-term period (about 10 years) than for a long-term period (about 20 years). This result 360 reflects the fact that the model tends to overestimate tracer concentrations in the uppermost part of the 361 domain, due to sparse grid layers in the LS.

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3.3. Validation of CO₂, CH₄, and SF₆ concentrations in the free troposphere

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The ability of the NIES TM to simulate SF₆ and CO₂ in the near-surface layer and in the free

364 troposphere was validated by Belikov et al. (2011) and Niwa at al. (2011). The inter-hemispherical 365 gradients of SF₆ and CO₂, and vertical profiles and seasonal variations of CO₂ were evaluated against 366 the GLOBALVIEW-CO2 and World Data Centre for Greenhouse Gases (WDCGG) observations, and 367 against an aircraft measurement dataset of CONTRAIL (Niwa at al., 2011). Although the NIES TM's 368 performance in terms of transport, emission distribution and chemical loss, inter-hemispheric gradient, 369 seasonal cycle, and synoptic variations in CH₄ was also quantified as part of the TransCom-CH₄ 370 experiment (Patra et al., 2011), this section focuses on near-surface seasonal variations and vertical 371 profiles of methane.

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3.3.1. Validation of near-surface CH₄ concentrations

373 Given that one of the aims of this paper is to validate the modelled column-averaged 374 concentration against ground-based FTS TCCON observations, we examined the variability of CH₄ 375 concentrations at TCCON sites. We selected GLOBALVIEW-CH4 (GV-CH4) sites located near to 376 TCCON stations and the following three sites additionally: Alert (82.45°N, 62.52°W), Mauna Loa 377 (19.53°N, 155.58°W), and Syowa (69.00°S, 39.58°E) (Table 2). Time-series plots of the modeled near-378 surface CH₄ concentrations were compared with *in situ* observation data. For simplicity, we refer to the 379 names of nearby TCCON stations with surface GV-CH₄ station data. Figure 5 shows time series of the 380 CH₄ seasonal cycle for 1990–2008, which was manually adjusted by the annual mean concentration at 381 the South Pole.

The simulations indicate that the model underestimated the near-surface seasonal cycle at northern high-latitudes. The model bias for Alert was 13.0 ppb versus 8.4 and 6.5 ppb for Mauna Loa and Syowa, respectively. A similar feature was observed for the trend of CH_4 , for which the model bias decreased from the North Pole (0.5 ppb/yr) to the South Pole (0.1 ppb/yr) (Figure 6).

Southern Australia and New Zealand are relatively isolated from large-scale CH₄ emission sources, and as a result there was some consistency between the modelled and measured values (r = 0.87-0.9 for Baring Head and Cape Grim) in capturing the small variability (amplitude of 30 ppb). As Darwin is located relatively close to the Asia tropical area (Malaysia and Indonesia), which is marked by very high variations in CH₄ emissions and complicated meteorological conditions, the model was not able to reproduce the seasonal cycle as well at this site, compared with other sites.

The results for North America, whilst including a range of emission sources, indicated a similar agreement in the phase for Park Falls and Southern Great Plains (Lamont) ($r \sim 0.7$) and performed poorly in reproducing the growth rate, as the model underestimated the trends for both sites (Figure 6). Mixed results were also found for the European sites: good agreement with observations was found for Pic Du Midi (Orleans) and Ocean Station M (Bremen), but poor agreement for Hohenpiessenberg (Garmisch) and Pallas-Sammaltunturi (Sodankylä). However, the worst agreement in the growth rate was found for Ryori (Tsukuba), where the model systematically underestimated the seasonal variations of the tracer.

We found the very different model performance at the remote sites such as Ocean Station M (Bremen), Izaña, Cape Grim (Wollongong), Baring Head (Lauder), Alert, Mauna Loa, and Syowa, where the model was generally able to accurately reproduce the phase of variations in surface concentrations (correlation coefficients of 0.85-0.95). For other sites (Park Falls, Pallas-Sammaltunturi (Sodankylä), Ryori (Tsukuba), and Southern Great Plains (Lamont),), however, where multiple emission sources are located close by and where local meteorology plays a major role, the model encountered difficulties in reproducing the complicated CH₄ surface concentrations.

407

3.3.2. Validation of CH₄ vertical profiles in the troposphere

408 To examine the variability of CH_4 in the near-surface layer and in the free troposphere, the VMRs 409 simulated by the model were compared against aircraft observations performed by T. Machida (NIES) 410 in 1993–2007 over Surgut, West Siberia. This location is marked by high CH_4 emissions from 411 wetlands.

412 It is challenging to perform simulations of CH₄ in the northern high-latitude regions because of 413 large uncertainties in emissions due to under-sampling of CH₄ concentrations over most regions, 414 particularly where melting permafrost releases CH_4 (Zhuang et al., 2009). Despite this problem, the 415 modelled and measured values are in good agreement above 1 km (Figure 8). The model is less 416 effective in reproducing the high variability in CH₄ concentrations in the near-surface layer and could 417 not accurately simulate short-term variations. The VMR at the 1-km level and below was highly 418 variable due to changes in the PBL height, which determined the volume of air absorbing all emitted 419 tracers and the local meteorology. The greatest amount of variability was found in July and August 420 (Figure 8), reflecting variations in the PBL height during the daytime and high emissions from 421 wetlands.

The averaged trends derived from the biases for the levels at 1, 3, and 7 km show similar values in all cases, in the range of 40-0 ppb depending on the season. This result indicates balanced transport from the surface layer to the free troposphere due to the implementation of the JR-25/JCDAS meteorological data provided on the sigma–pressure levels. The correlation coefficients between simulated and observed CH_4 values show an increase towards the free troposphere, from 0.19 for the 1-km level to 0.53 for the 7-km level, because vertical propagation decreases with height. While other factors are involved, changes in PBL height and associated variation in the rates of tracer redistribution from local sources to the free troposphere are important drivers of high variability in CH_4 VMR at GV- CH_4 sites with high emissions. Similar trends were obtained by Houweling et al. (2010) for four different transport models used to simulate XCO_2 .

432

3.4. Validation of CO₂ and CH₄ column-averaged DMFs

The main goal of this paper was to validate the model's ability to reproduce the CO_2 and CH_4 column-averaged dry-air mole fractions using observations from TCCON sites, which is a global network of ground-based high-resolution FTS recording direct solar spectra in the near-infrared spectral region (Wunch et al., 2011). The overall objectives of TCCON include improving our understanding of the carbon cycle and validating XCO_2 and XCH_4 retrieved from satellite observations.

438 For comparison, we selected simulated and TCCON measured concentrations of XCO₂ and 439 XCH₄ taken at around $13:00 \pm 1$ hour local time over TCCON sites for the period January 2009 to 440 January 2011. Samples within this time-frame were collected for analysis, to assess the model's 441 performance within the GOSAT overpass interval. The following the TCCON sites were selected: Bialystok (Poland, 53.22°N, 23.13°E); Bremen (Germany, 53.10°N, 8.85°E); Darwin (Australia, 442 443 12.42°S, 130.89°E); Garmisch (Germany, 47.48°N, 11.06°E); Izaña (Spain, 28.30°N, 16.50°W); 444 Lamont (USA, 36.6°N, 97.49°W); Lauder (New Zealand, 45.04°S, 169.68°E); Orleans (France, 445 47.97°N, 2.11°E); Park Falls (USA, 45.95°N, 90.27°W); Sodankylä (Finland, 67.37°N, 26.63°E); Tsukuba (Japan, 36.05°N, 140.12°E); and Wollongong (Australia, 34.41°S, 150.88°E). For the Lauder 446 447 site we used data from the 125HR spectrometer when available (February 2010-present) and data from 448 the older 120HR spectrometer prior to February 2010.

449 To compare the modelled total column with measurements directly, it is necessary to consider the 450 measurement averaging kernels. Averaging kernels describe the sensitivity of the retrieved total 451 column to a perturbation in absorber abundance in a given layer of the vertical profile (Rodgers and 452 Connor, 2003; Wunch et al., 2011). At present TCCON provides a single set of averaging kernels for 453 CO₂ and CH₄, tabulated as a function of solar zenith angle (SZA), based on a subset of retrievals from 454 the Lamont site (https://tccon-wiki.caltech.edu/Sites/Lamont/Averaging_Kernels). Site-specific a priori 455 profiles used in TCCON CO2 retrievals were provided by each site PI (https://tccon-456 wiki.caltech.edu/Network_Policy/Data_Use_Policy/Auxiliary_Data). single CH_4 priori Α a

457 (independent of location and time) has been used in TCCON retrievals at all sites other than Darwin 458 and Sodankyla. At these sites site-spicific and time-independent CH4 profiles are provided. The 459 tabulated averaging kernels were interpolated to the SZA of the measurements and applied in the 460 calculation of the CO_2 or CH_4 vertical column in accordance with Equation 15 of Connor et al. (2008). 461 The tracer vertical column abundances were then divided by the dry-air column abundance to calculate 462 the column-averaged dry air mole fractions, denoted Xy or DMF hereafter.

Due to the SZA dependence of the TCCON averaging kernels, the difference between total column concentrations calculated with and without averaging kernels is greatest for sites located farthest from the equator, Bialystok, Bremen and Sodankylä, which yield values in the range -0.6 to 2.0 ppm and -20 to 20 ppb for XCO₂ and XCH₄, respectively; the difference is smallest for the tropical and subtropical sites Darwin and Izaña, with values of -0.4 to 0.4 ppm and -5.0 to 5.0 ppb, respectively.

Time series of the model results and FTS data for XCO_2 and XCH_4 are shown in Figures 10 and 12, respectively. These figures were produced by manually adjusting the XCO_2 and XCH_4 model offsets (2.2 ppm and -32.0 ppb, respectively). The offsets were caused by the use of slightly out-dated fluxes for the simulations, the implementation of an averaging kernel, and misfit in the modelled vertical profiles. Thus, XCH_4 may be affected due to high uncertainty of OH, which is responsible for CH₄ destruction in the atmosphere.

475 Matching the model's mean CH_4 with the observations is achieved by adjusting either global total 476 emissions or sinks, which both have large uncertainties (10-20%, Patra et al, 2011). Small residual 477 offsets can be adjusted by tuning global emissions, but long-term simulations are required to reach and 478 equilibration between sources and sinks. Adding a small 30 ppb offset to simulated results is nearly 479 equivalent to the corresponding proportional change in the emissions fields on the order of 2%. For 480 CO_2 , the corresponding bias correction is about 0.5%.

For TCCON, the observation symbols and error bars represent the mean and standard deviations of the weighted average if more than one measurement within the $13:00 \pm 1$ hour local time was available. Note that gaps in the TCCON data time-series are due to cloud and instrumental issues.

484

3.4.1. Modelled XCH₄ compared with TCCON FTS observations

485 Reproducing the CH_4 seasonal variation was a big challenge, because of its rather small 486 amplitude and high scatter relative to the mean climatological value. As expected, the seasonal variation in XCH₄ over the Southern Hemisphere (i.e., Darwin, Lauder, and Wollongong) was weak
(Figure 10a, g, h) due to the smaller contribution of the local emissions; consequently, the model ability
to reproduce the variation generally depends on reproducing of large-scale transport. The correlation
coefficients for these sites are very similar (0.55, 0.58, and 0.66, respectively; Table 3).

491 In contrast, model performance at the Northern sites is strongly depends on powerful local 492 sources. The best correlation coefficients (in the range of 0.62-0.74) are obtained for Lamont, Bremen 493 and Bialystok, where seasonal variation in XCH₄ has a highest amplitude among considered sites. 494 European sites show slightly large biases of 4.52, -8.80, and 20.91 for Bialystok, Orleans and 495 Sodankylä, respectively. For Orleans the correlation is rather weak (0.59, Table 3), whereas for the 496 corresponding GlobalView station of Pic Du Midi it is rather strong (>0.85, Fig. 7). This is due to the 497 fact that Pic Du Midi is a high altitude site (free troposphere) and Orleans a lowland site affected by 498 surface-near small scale processes. For Sodankylä the modelled time-series profile has two-peak shape 499 representing two maximum of the concentration. One maximum is due to anthropogenic influence in 500 the end of winter and beginning of spring, another is caused by wetland emissions in the beginning of 501 fall. However, FTS measurements are not able to capture spring maximum, and as a result, there is a 502 large bias (b=20.91 ppb) and low correlation coefficient (r = 0.40) for this site.

503 For Wollongong, the simulated results were strongly underestimated (bias -11.05ppb), as the 504 FTSs amplitude of seasonal variation was found to be twice (Figure 10*l*) the model value and twice that 505 for other TCCON sites in the Southern Hemisphere. The reasons for this result remain unclear, 506 although it may be relevant that Wollongong is located near major urban centres and sites of industrial 507 activity, where emissions from coal mining are the largest source above background (Fraser et al., 508 2011).

509 Izaña is oceanic site located on a small island. The model's grid is too rough to reproduce local 510 emission and loss. The model is not able to reproduce small-scale variation of concentration, as results, 511 weak correlation (0.53) and large bias (9.05 ppb).

512 In general, the minimum and maximum bias between the two datasets is -8.80 ppb (-0.49 %) and 513 20.91 ppb (1.16 %), respectively. Figure 11 shows a scatter diagram of model XCH₄ data versus 514 ground-based FTS XCH₄ data for 12 sites. The majority of points are within an interval of $\pm 1\%$ of 515 XCH₄

516 **3.4.2.** Modelled XCO₂ compared with TCCON FTS observations and GECM

517 We compared XCO₂ time-series with TCCON and constructed a 3-D CO₂ climatology GECM 518 (Gap-filled and Ensemble Climatology Mean) (Saito et al., 2011) (Figure 12). The seasonally varying 519 climatology in GECM was estimated by taking an ensemble of the various transport models in 520 combination with the interpolated bias correction, using a data product based on *in situ* measurements 521 in the troposphere (GLOBALVIEW-CO2, 2010) and the monthly vertical and latitudinal distribution of 522 the ACTM-derived mean age of air in the stratosphere. Six transport models (ACTM, LMDZ4, NICAM, PCTM, and TM5), including the previous version of NIES TM (Belikov et al., 2011), 523 524 participated in this study, but this is considered unlikely to seriously distort the results. The GECM 525 seasonal cycle was nudged towards a seasonal cycle of the extended CO₂ record (GLOBALVIEW-526 CO_2 , 2010) by filtering out the inter-annual anomalies and the synoptic variability in the extended CO_2 527 records using a curve-fitting procedure (Masarie and Tans, 1995).

The modelled XCO_2 and GECM XCO_2 time series show strong correlations with the TCCON data (correlation coefficients of 0.8–0.9; Table 3), as the seasonal XCO_2 variation is stronger than the XCH_4 cycle. Because of the use of actual meteorology and more up-to-date fluxes, the NIES TM described the seasonal variations slightly better for Bialystok, Bremen, Darwin, Lamont, Lauder, and Wollongong. Moreover, for all sites except Park Falls, Tsukuba, and Wollongong, the model bias was less than the bias for GECM. At other sites, comparisons of the model versus FTS and GECM versus FTS produced almost identical results.

The model shows quite good results in reproducing different seasonal cycles for all considered sites, including very steep decreasing of XCO_2 at Sodankylä during vegetation period and almost flat profiles at sites in the Southern Hemisphere. Figure 13 shows a scatter diagram of model XCO_2 data versus ground-based FTS XCO_2 data. The minimum and maximum differences in the model data compared with the FTS data are -0.62 ppm (-0.16 %) and 1.21 ppm (0.31 %), respectively.

For XCO₂ there are several events with only one FTS measurement taken at approximately 13:00 \pm 1 hour local time. Generally, the standard error in such cases is quite large especially for Lamont and Tsukuba (Figure 12f, k).

544 **4. Discussion**

545 The model was able to reproduce the seasonal and inter-annual variability of XCO₂ and XCH₄ with correlation coefficients of 0.8–0.9 and 0.4–0.7, respectively. A small correlation was obtained for 546 547 methane, due to the weak seasonal cycle of CH₄ and a high scatter of XCH₄ obtained from the ground 548 FTS data within the selected interval (13:00 \pm 1 hour local time). The modeled time-series have quite 549 small biases for all sites excluding Sodankylä, where model show large bias both for XCO₂ and XCH₄, 550 1.21 ppm and 20.91 ppb, respectively. Moreover, GECM results also has large misfit (1.22 ppm) for 551 this site. Without Sodankylä's data bias of modeled results is $\pm 0.2\%$ and $\pm 0.5\%$ for XCO₂ and XCH₄, 552 respectively.

In contrast to CO_2 , the modeled vertical profiles of CH_4 show large deviations from the limited set of a priori profiles used in TCCON CH_4 retrievals to date. If true atmospheric vertical structure and variability in tracer mixing ratios are not adequately represented in the TCCON retrieval a priori, retrieval errors may result. TCCON retrieval a priori profiles for non- CO_2 gases have been substantially improved in the upcoming revision of the TCCON retrieval algorithm. It will be of interest to repeat the model intercomparison with TCCON XCH₄ once the TCCON data have been reanalysed.

559 The tracer column-averaged dry-air mole fraction is a sensitive indicator of overall model 560 performance, because it is relatively unaffected by changes in vertical transport and surface pressure, 561 and shows minor spatial and temporal variations. As a result, the total column represents the model 562 performance on a global scale. The XCO_2 and especially the XCH_4 scatter diagrams (Figures 11 and 563 13, respectively) show balanced redistributions of tracer concentrations from the Northern Hemisphere, 564 with high emissions to the Southern Hemisphere reproduced by the model. Moreover, the Darwin site 565 shows vertical redistribution due to powerful tracer outflow from the PBL into the troposphere and the 566 stratosphere, because this site is located in the tropics. The good agreement between simulated XCH₄ 567 and FTS measurements highlights the ability of the model to capture the vertical profile of tracers, and 568 in particular, to simulate balanced transport across the tropopause, as the mean age of methane was 569 markedly different in the lower stratosphere and upper troposphere.

571 **5. Conclusion**

572 We performed multi-annual simulations of CO₂, CH₄, and SF₆ using the NIES three-dimensional 573 offline chemical transport model (version NIES-08.1i), driven by JRA-25/JCDAS reanalysis data. This 574 version uses a flexible hybrid sigma-isentropic (σ - θ) vertical coordinate consisting of terrain-following 575 and isentropic levels switched smoothly near the tropopause. Vertical transport in the isentropic part of 576 the grid in the stratosphere was controlled by an air-ascending rate derived from the effective heating 577 rate from JRA-25/JCDAS reanalysis, and was adjusted to fit the observed age of air in the stratosphere. 578 The use of this vertical transport scheme avoided spurious vertical mixing caused by interpolation of 579 the meteorological vertical wind component, and this resulted in improved model performance in the 580 stratosphere, as the simulated vertical profiles of CO_2 , CH_4 , and SF_6 showed good agreement with 581 balloon-borne observations. A comparison of model data with balloon-borne observations over Sanriku 582 (Japan) in 2000–2007 revealed that the tracer transport simulations were performed with accuracies of 583 ~5% for CH₄ and SF₆, and ~1% for CO₂ compared with the observed VMRs.

We evaluated the model performance in simulating near-surface CH_4 concentrations by comparisons with measurements at GLOBALVIEW-CH4 sites. In general, the model was able to reproduce the variations in the surface concentrations more accurately (r = 0.6-0.8) at sites located some distance away from multiple emission sources. For other sites, where high emissions and local meteorology play a major role, it proved difficult to reproduce the CH_4 surface concentrations.

For measurements above 1 km, the model data are in good agreement with aircraft observations (1993–2007) over Surgut, West Siberia, which is an area with high emissions of methane from wetlands. However, the model was less effective in reproducing the high variability of CH_4 concentrations in the near-surface layer and did not simulate short-term variations with any reasonable accuracy. These results are in agreement with the findings of Houweling et al. (2010), and highlight the importance of obtaining a realistic representation of PBL dynamics, especially in regions with high tracer emissions.

596 Convolved with scene-dependent instrument averaging kernels, XCO_2 and XCH_4 were calculated 597 from NIES TM tracer distributions and were compared with measurements acquired at TCCON 598 ground-based FTS sites for the period from January 2009 to January 2011. The model was able to 599 reproduce the seasonal and inter-annual variability of XCO_2 and XCH_4 with correlation coefficients of 600 0.8–0.9 and 0.4–0.8, respectively. A comparison of modelled data and TCCON observations revealed 601 that the model biases are $\pm 0.2\%$ for XCO_2 and $\pm 0.5\%$ for XCH_4 without Sodankylä's data. In general, the overall performance of NIES TM at TCCON sites is similar to the performance of four transport models (IFS, LMDZ, TM3, and TM5) compared by Houweling et al. (2010) for XCO_2 and to GEOS-Chem TM results published by Parker et al. (2011) for XCH_4 . Although the focus of future work will be to further improve and validate XCO_2 and XCH_4 simulations, the performance of the current model version is sufficient for use in evaluating satellite retrieval algorithms in areas not covered by ground-based FTS sites.

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888	Table 1. Levels of the vertical grid in the NIES TM model					1
		H, km	$\sigma = P/P_s$	≈∆, m	ζ (σ – θ grid levels), K	Number of levels
	Near-surface layer	0-2	1.0–0.795	250	-	8
	Free troposphere	2–12	0.795– 0.195	1000	- 330, 350	10
	Upper troposphere and		0.195– 0.003	1000	365, 380, 400, 415, 435, 455, 475, 500	
		12–40		2000	545,	14
	stratosphere			-	590, 665, 850, 1325, 1710	
					Total levels:	32
889						
890						
891						
892						
893						
894						
895						
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897						
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899						
900						
901						

No	TCCON stations			GLOBALVIEW stations				
	Station name	Lat.	Lon.	Station name	Lat.	Lon.	Alt., m	
1	Bialystok	53.22°N	23.13°E	Baltik See	55.35°N	17.22°E	28	
2	Bremen	53.10°N	8.85°E	Ocean Station M	66.00°N	2.00°E	5	
3	Darwin	12.42°S	130.89°E	Darwin	12.42°S	130.57°E	3	
4	Garmisch	47.48°N	11.06°E	Hohenpeissenberg	47.80°N	11.01°E	990	
5	Izaña	28.30°N	16.50°W	Izaña	28.31°N	16.50°W	2360	
6	Lamont	36.61°N	97.49°W	Southern Great Plains	36.80°N	97.50°W	374	
7	Lauder	45.04°S	169.68°E	Baring Head	41.41°S	174.87°E	80	
8	Orleans	47.97°N	2.11°E	Pic Du Midi	42.93°N	0.13°E	2877	
9	Park Falls	45.95°N	90.27°W	Park Falls	45.95°N	90.27°W	483	
10	Sodankylä	67.37°N	26.63°E	Pallas-Sammaltunturi	67.97°N	24.12°E	560	
11	Tsukuba	36.05°N	140.12°E	Ryori BAPMon	39.03°N	141.83°E	260	
12	Wollongong	34.41°S	150.88°E	Cape Grim	40.68°S	144.69°E	164	
13				Alert	82.45°N	297.48°E	110	
14				Mauna Loa	19.54°N	155.58°W	3397	
15				Syowa	69.00°S	39.58°E	14	

Table 2. Locations of TCCON and GLOBALVIEW stations used in the comparisons

No	Station name	Х		XCH₄		
	Station name	Correlation	Bias, ppm	Correlation	Bias, ppb	
1	Bialystok (Poland, 53.22°N, 23.13°E)	0.93	0.61	0.74	4.52	
2	Bremen (Germany, 53.10°N, 8.85°E)	0.88	0.19	0.72	1.27	
3	Darwin (Australia, 12.42 °S, 130.89 °E)	0.90	-0.62	0.55	-2.41	
4	Garmisch (Germany, 47.48 °N, 11.06 °E)	0.93	0.76	0.44	0.98	
5	Izaña (Spain, 28.30°N, 16.50°W)	0.87	-0.53	0.53	9.05	
6	Lamont (USA, 36.61°N, 97.49°W)	0.91	-0.44	0.62	-1.13	
7	Lauder (New Zealand, 45.04 °S, 169.68 °E)	0.90	0.22	0.58	-1.20	
8	Orleans (France, 47.97 °N, 2.11 °E),	0.96	0.17	0.59	-8.80	
9	ParkFalls (USA, 45.95 °N, 90.27 °W)	0.95	-0.28	0.51	-2.30	
10	Sodankylä (Finland, 67.37°N, 26.63°E)	0.94	1.21	0.40	20.91	
11	Tsukuba (Japan, 36.05 °N, 140.12 °E)	0.85	-0.24	0.53	3.80	
12	Wollongong (Australia, 34.41°S, 150.88°E)	0.80	0.32	0.66	-8.19	
All stations		0.90	-0.62 (-0.16%) 1.21 (0.31%)	0.54	-8.80 (-0.49%) 20.91 (1.16%)	

Table 3. Correlation coefficients and biases of the modelled XCO_2 and XCH_4



914Fig. 1.Mean age of air at 20 km altitude from NIES TM simulations (blue line), compared with915the mean age of air derived from *in situ* ER-2 aircraft observations of CO_2 (Andrews et al.,9162001) and SF_6 (Ray et al., 1999) (red line). Error bars for the observations are 2σ 917(Monge-Sanz et al., 2007).



920Fig. 2.Comparison of observed and modelled (red lines) mean age of air at latitudes of: (a) $5^{\circ}S$,921(b) 40^{\circ}N, and (c) 65^{\circ}N. The lines with symbols represent observations: *in situ* SF₆ (dark922blue line with triangles) (Elkins et al., 1996; Ray et al., 1999), whole air samples of SF₆923(light blue with square outside vortex, and orange line with asterisk inside vortex)924(Harnisch et al., 1996), and mean age from *in situ* CO₂ (green line with diamonds) (Boering925et al., 1996; Andrews et al., 2001).



Fig. 3. Cross-section of the annual mean age of air (years) from NIES TM simulations of SF₆ with JRA-25/JCDAS reanalysis.



Fig. 4. Comparison of observed and modelled concentration averaged for the period 2000–2007: a)
 SF₆, b) CH₄, and c) CO₂. The observed VMRs were derived from six individual profiles of balloon-borne measurements over Sanriku, Japan (39.17°N, 141.83°E).





Fig. 5. Detrended seasonal cycle of CH₄ surface volume mixing ratio for GLOBALVIEW stations (corresponding TCCON s_tations in parentheses): a) Baltik See (Bialystok); b) Ocean Station M (Bremen); c) Darwin (Darwin); d) Hohenpeissenberg (Garmisch); e) Izaña (Izaña); f)
Southern Great Plains (Lamont); g) Baring Head Station (Lauder); h) Pic Du Midi (Orleans); i) Park Falls (Park Falls); j) Pallas-Sammaltunturi (Sodankylä); k) Ryori (Tsukuba); l) Cape Grim (Wollongong); m) Alert; n) Mauna Loa; and o) Syowa.



Fig. 6. Average difference between simulated and observed trends (ppb/yr) of CH₄ for Jan 1990
and Dec 2009 at GLOBALVIEW stations.



Fig. 7. Correlation coefficients between simulated and observed CH₄ at GLOBALVIEW stations.



Fig. 8. Comparison of observed and modelled CH₄ concentrations averaged for the period 19932007. The vertical profiles were produced by averaging the modelled and observed
concentrations taken on the same day and at the same time. Error bars show the standard
deviation.



Fig. 9. Time series of bias (model minus observation) and the averaged (moving average with period 12) value of bias for the 1, 3, and 7 km levels over Surgut (61.25°N, 73.43°E) for the period 1993–2007.





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965 Time series of XCH₄ measured by FTS and modelled by NIES TM for the period January **Fig. 10.** 2009 to February 2011, for the following stations: a) Bialystok (Poland, 53.22°N, 23.13°E); 966 b) Bremen (Germany, 53.10°N, 8.85°E); c) Darwin (Australia, 12.42°S, 130.89°E); d) 967 Garmisch (Germany, 47.48°N, 11.06°E); e) Izaña (Spain, 28.30°N, 16.50°W); f) Lamont 968 (USA, 36.6°N, 97.49°W); g) Lauder (New Zealand, 45.04°S, 169.68°E); h) Orleans 969 (France, 47.97°N, 2.11°E); i) Park Falls (USA, 45.95°N, 90.27°W); j) Sodankylä (Finland, 970 971 67.37°N, 26.63°E); k) Tsukuba (Japan, 36.05°N, 140.12°E); and l) Wollongong (Australia, 34.41°S, 150.88°E). The "error" for each symbol is a combination of the spread due to 972 973 weighted averaging within the $13:00 \pm 1$ hour local time interval and observation error.



977Fig. 11. Scatter diagram of modelled and FTS XCH4 at all FTS sites. Dotted lines show a standard978deviation of $\pm 1\%$ of XCH4.





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984 Fig. 12. Time series of XCO₂ measured by FTS, modelled by NIES TM and derived from a 3-D CO₂ climatology GECM for the period January 2009 to February 2011, for the following 985 986 stations: a) Bialystok (Poland, 53.22°N, 23.13°E); b) Bremen (Germany, 53.10°N, 8.85°E); 987 c) Darwin (Australia, 12.42°S, 130.89°E); d) Garmisch (Germany, 47.48°N, 11.06°E); e) Izaña (Spain, 28.30°N, 16.50°W); f) Lamont (USA, 36.6°N, 97.49°W); g) Lauder (New 988 Zealand, 45.04°S, 169.68°E); h) Orleans (France, 47.97°N, 2.11°E); i) Park Falls (USA, 989 45.95°N, 90.27°W); j) Sodankylä (Finland, 67.37°N, 26.63°E); k) Tsukuba (Japan, 990 36.05°N, 140.12°E); and I) Wollongong (Australia, 34.41°S, 150.88°E). The "error" for 991 992 each symbol is a combination of the spread due to weighted averaging within the $13:00 \pm 1$ 993 hour local time interval and observation error.



Fig. 13. As for Fig. 11, but for XCO₂.