

1 **The effects of timing and rate of marine cloud brightening**
2 **aerosol injection on albedo changes during the diurnal**
3 **cycle of marine stratocumulus clouds**

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8
9 **Abstract**

10 The marine-cloud brightening geoengineering technique has been suggested as a possible
11 means of counteracting the positive radiative forcing associated with anthropogenic
12 atmospheric CO₂ increases. The focus of this study is to quantify the albedo response to
13 aerosols injected into marine stratocumulus cloud from a point source at different times of
14 day. We use a cloud-resolving model to investigate both weakly precipitating and non-
15 precipitating regimes. Injection into both regimes induces a first indirect aerosol effect.
16 Additionally, the weakly precipitating regime shows evidence of liquid water path gain
17 associated with a second indirect aerosol effect that contributes to a more negative radiative
18 forcing, and cloud changes indicative of a regime change to more persistent cloud. This
19 results in a cloud albedo increase up to six times larger than in the non-precipitating case.
20 These indirect effects show considerable variation with injection at different times in the
21 diurnal cycle. For the weakly precipitating case, aerosol injection results in domain average
22 increases in cloud albedo of 0.28 and 0.17 in the early and mid morning (03:00:00 local time
23 (LT) and 08:00:00 LT respectively) and 0.01 in the evening (18:00:00 LT). No cloud
24 develops when injecting into the cloud-free early afternoon, (13:00:00 LT). However, the all-
25 sky albedo increases (which include both the indirect and direct aerosol effects) are highest
26 for early morning injection (0.11). Mid-morning and daytime injections produce increases of
27 0.06, with the direct aerosol effect compensating for the lack of cloud albedo perturbation
28 during the cloud-free early afternoon. Evening injection results in an increase of 0.04. For the
29 weakly precipitating case considered, the optimal injection time for planetary albedo response

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Deleted: Penetration and accumulation of aerosols above the cloud top may lead to a reduction of all-sky albedo that tempers the cloud albedo increases. The apparent direct aerosol tempering effect increases with injection rate, although not enough to overcome the increase in all-sky planetary albedo resulting from increases in cloud albedo.

1 is the early morning. Here, the cloud has more opportunity develop into a more persistent
2 non-precipitating regime prior to the dissipative effects of solar heating. The effectiveness of
3 the sea-spray injection method is highly sensitive to diurnal injection time and the direct
4 aerosol effect of an intense aerosol point source. Studies which ignore these factors could
5 overstate the effectiveness of the marine cloud brightening technique.

6

7 **1 Introduction**

8 Geoengineering schemes have been proposed that decrease the amount of solar radiation
9 reaching the Earth's surface, creating a cooling effect that could potentially ameliorate future
10 greenhouse gas driven warming (Lenton and Vaughan, 2009). One such scheme, the marine-
11 cloud brightening geoengineering proposal (Latham, 1990, 2002), aims to brighten low cloud,
12 generating a negative radiative forcing from the cloud albedo increase. The original proposal
13 assumed that this albedo increase could be achieved by the deliberate enhancement of the
14 indirect aerosol effect through the injection of aerosols into the marine boundary layer from
15 unmanned wind-powered vessels (Salter et al., 2008).

16 Marine cloud brightening has been simulated in both Global Circulation Models (GCMs) and
17 higher resolution cloud-resolving models. GCMs have difficulty representing the highly
18 inhomogeneous aerosol concentration associated with aerosol injection from a single spraying
19 vessel, but are able to simulate the large-scale response of the atmosphere and ocean (when
20 combined with an ocean model). First investigations increased the cloud droplet number
21 concentrations (N_d) for low-level clouds to a fixed value of 375 cm^{-3} and found forcings
22 ranged from -0.97 W m^{-2} for three regions of persistent marine stratocumulus (Jones et al.,
23 2009) to -8.0 W m^{-2} for adjustment of all low-level maritime cloud (Latham et al., 2008).
24 These simulations also found significant regional climate change that was not offset and also
25 found varying sensitivities of different climate variables (Rasch et al., 2009). For example,
26 precipitation decreases of up to 1 mm day^{-1} have been simulated in the Amazon when cloud
27 drop concentrations were changed (Jones et al., 2009, 2011a).

28 Global aerosol and climate-aerosol model simulations moved away from the fixed assumed
29 N_d , basing the input aerosol injection rates on design characteristics of the proposed wind-
30 powered sea-water spray pumping vessels (Salter et al., 2008). These simulations resulted in
31 maximum N_d of 177 cm^{-3} (Korhonen et al., 2010) and 286 cm^{-3} (Partanen et al., 2012) in the
32 injected regions. Based on similar injection areas as Jones et al. (2009), Partanen et al. (2012)

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1 calculated a global mean radiative forcing of -0.8 W m^{-2} which included a -0.1 W m^{-2} direct
2 aerosol effect, resulting largely from areas of low cloud cover. Whilst the increasingly
3 complex global models are able to represent interactions between injected aerosols,
4 background aerosols, clouds and the climate, large uncertainties remain, especially at the
5 cloud process scale (Wang and Feingold, 2009a, b). Aerosol injection processes and marine
6 stratocumulus (MSc) dynamical processes occur at scales of tens of meters, and therefore can
7 only be examined in detail using cloud-resolving models.

8 Using a cloud-resolving large-eddy simulation (LES), Wang et al., (2011), found that the
9 albedo response to aerosol injection is regime dependent. Heavy precipitation largely
10 scavenging out the injected aerosol, whilst heavily polluted clouds showed little albedo
11 change owing to the already high albedo. Dry regimes offset increased N_d with losses from
12 increased evaporation of the smaller particles. Only weakly precipitating regimes and low
13 CCN conditions (possibly following heavy precipitation) were effectively altered by aerosol
14 injection. The emission of aerosols into individual grid cells (rather than a uniform aerosol
15 increase over the domain as a whole) was used to simulate aerosol emission from individual
16 spraying vessels. This technique, hereinafter described as point source injection, was found to
17 induce complex dynamical feedbacks in precipitating regimes – associated with the buffered-
18 system nature of clouds (Stevens and Feingold, 2009) – and a spatially inhomogeneous albedo
19 response. This small-scale inhomogeneity deviates from the inherent uniformity of both
20 aerosol injection and cloud response of global scale models.

21 The diurnal cycle of MSc in GCMs is produced using parameterisations (for example, of
22 entrainment). The simulated diurnal cycle is thus sensitive to the performance of these
23 parameterisations (e.g. Lock, 2004; Johnson, 2005; and Chlond et al., 2004). The diurnal
24 cycle of MSc in cloud-resolving models is produced through the explicit handling of physical
25 processes, and thus is not prone to problems associated with the parameterised
26 representations. The MSc diurnal cycle is caused by short-wave (SW) solar insolation. The
27 resulting SW in-cloud warming offsets the long-wave (LW) cloud-top radiative cooling and
28 therefore reduces the turbulence that sustains the cloud (Nieuwstadt and Duynkerke, 1996;
29 Duynkerke and Teixeira, 2001; Duynkerke et al., 2004). MSc therefore typically exhibit
30 decoupling of the cloud and sub-cloud layers and cloud dissipation during daylight. The
31 extent of this is dependent on conditions, but is evident in losses in both cloud liquid water
32 path (LWP) and cloud fraction (Duynkerke and Teixeira, 2001; Bretherton et al., 2004;

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1 Dуйnkerke et al., 2004). As the warming effect of the SW radiation stops during the night, the
2 cloud can recover (Wang et al., 2010). Hence, in addition to the range of cloud conditions
3 produced by background atmospheric and background aerosol conditions, further variation
4 will occur through the diurnal cycle. Cloud-resolving simulations of the effects of aerosol
5 injection into different cloud conditions have assumed repeated aerosol injection throughout
6 the day (Wang et al., 2011) and have not investigated the effect of timing of aerosol injection
7 during the diurnal cycle on planetary albedo change effectiveness.

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8 This study investigates the efficacy of aerosol injection on both cloud albedo and all-sky
9 albedo increases, with injection at different times in the diurnal cycle, and at three different
10 aerosol injection rates. The effect is quantified for both weakly precipitating (WP) and non-
11 precipitating (NP) regimes. From this, the optimal time of day to inject aerosols is identified.

12 We quantify the contribution of the direct aerosol effect and how this direct effect interacts
13 with the indirect effect associated with changes in cloud albedo.

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14

15 2 Model set-up

16 We use the Weather Research and Forecasting model coupled with Chemistry (WRF/Chem)
17 V3.3.1 (Skamarock et al., 2008) in the large-eddy simulation (LES) configuration. This model
18 incorporates interactive chemistry through the Carbon Bond Mechanism – Z (CBMZ) gas
19 phase chemical mechanism scheme (Zaveri and Peters, 1999; Fast et al., 2006) and aerosol
20 processes through the 8-bin MOSAIC scheme (Zaveri et al., 2008). Aerosols interact with the
21 cloud through the Morrison et al. (2005) two-moment microphysics scheme, which includes
22 cloud droplet sedimentation. Activation of aerosols to cloud droplets follows the Abdul-
23 Razzak and Ghan (2000) method. LW radiation calculations were performed by the CAM
24 spectral-band LW scheme (Collins et al., 2004). SW radiation calculations were performed by
25 the RRTMG transfer scheme. Sensitivity tests using this combination of radiation schemes
26 produced patterns of long-wave cloud-top radiative cooling, daytime short-wave warming and
27 resulting cloud properties that are characteristic of MSc cloud diurnal behaviour (discussed
28 further in the Results section). The 1.5 order 3-D turbulent kinetic energy closure scheme
29 (Skamarock et al., 2008) was utilised to simulate sub-grid turbulence. Advection was
30 constrained by the monotonic flux limiter option (Wang et al., 2009). Surface layer physical
31 processes were represented by the Monin-Obukov scheme. Horizontal boundary conditions
32 were periodic, and a 250 metre damping layer was included at the model top. Subsidence was

1 included through a large-scale horizontal divergence of $3.75 \times 10^{-6} \text{ s}^{-1}$. Large-scale wind was
2 initialised at zero (following Wang and Feingold 2009a and Wang et al., 2011).

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3 Input soundings follow Research Flight 02 (RF02) of the DYCOMS-II field campaign which
4 observed drizzling stratocumulus (Ackerman et al., 2009). The inversion height was 795 m.
5 Total water mixing ratio was initialised at 9.45 g kg^{-1} in the boundary layer, decreasing to
6 5.0 g kg^{-1} in the free troposphere. The potential temperature was initialised to 288.3 K in the
7 boundary layer, increasing to 303.9 K by 1500 m height. Comparability to previous
8 simulations or observations of the RF02 case (Ackerman et al., 2009) is not expected
9 however, owing to differences between initialisations (for example, background aerosol
10 distributions and large-scale wind). In order to produce three control cases reproducing a
11 range of stratocumulus cloud conditions, three background aerosol concentration
12 initialisations for SO_4 , NH_4 , NO_3 , Na, Cl, black carbon and organic carbon were selected from
13 model output of the global atmospheric aerosol and chemistry GLOMAP model (Mann et al.,
14 2010). The three representative aerosol locations chosen were: the Southern Ocean, a pristine
15 case; the North-East Pacific, the location of the Second Dynamics and Chemistry of Marine
16 Stratocumulus (DYCOMS-II) mission (Stevens et al., 2003) and an area of persistent MSc
17 cloud; and the East China Sea, an area of high atmospheric aerosol concentration resulting
18 from mainly anthropogenic sources. The 8-bin size distributions for these background aerosol
19 initialisations are presented in Figure 1.

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Three background aerosol concentration
initialisations for

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cloud properties under a range of
background marine aerosol concentrations

20 The background aerosol budget comprised a natural wind-driven sea-spray source (Fuentes et
21 al., 2010); activation of background and emitted gases; and a wet deposition sink. The gases
22 SO_2 , H_2O_2 , NH_3 , CO and O_3 were initialised with values typical of the oceanic boundary layer
23 atmosphere in regions of MSc (Georgii and Gravenhorst, 1977; Khalil and Rasmussen, 1994;
24 Kazil et al., 2011). The concentration of SO_2 was increased by 1.0 pptv hr^{-1} in order to
25 represent the processes associated with dimethyl sulphide, in lieu of an explicit scheme,
26 following the observations and modelling of Gray et al. (2011) and Yang et al. (2011).

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2011b).

27 The wet deposition scheme used does not allow for re-suspension of aerosols during the
28 evaporation of rain droplets and hence is likely to lead to an overly strong aerosol sink (Saide
29 et al., 2011).

30 The horizontal domain size was 9 km x 9 km with grid cell resolution of 300 m. The model
31 extends 1.5 km vertically, with 50 vertical layers (increasing in depth with height). Each
32 control case was simulated for 35 hours, starting at 22:00:00 local time (LT) on 21 July 2001.

1 The sun rose at 05:20:00 LT, and set at 19:00:00 LT. Control case results are presented
2 inclusive of the spin-up period. The choice of relatively coarse horizontal resolution follows
3 Wang and Feingold (2009a) and has been used in subsequent modelling studies (Wang et al.,
4 2011). Analysis of the post-injection simulations is limited to the five hour period subsequent
5 to injection. After this time, the aerosols pervade the domain. Selecting a relatively small
6 horizontal domain allows us to perform detailed analysis of the aerosol injection, aerosol
7 interaction with clouds, and rapid cloud response within our computational constraints. It does
8 however preclude the representation of mesoscale features associated with MSc cloud decks
9 (e.g. Wood and Hartmann, 2006; Wood et al., 2008) and secondary circulations that can be
10 triggered by aerosol concentration gradients (e.g. Wang and Feingold, 2009a, b; Feingold et
11 al., 2010; Wang et al., 2011). Despite these exclusions, the variations in simulated cloud
12 properties are consistent with characteristic changes in radiative and dynamical features of the
13 stratocumulus topped boundary layer over the diurnal cycle (discussed further in the Results
14 and Discussion sections), and follow the similar or smaller domain sizes that have previously
15 been used to study the behaviour of marine stratocumulus clouds (e.g. Stevens et al., 2005,
16 Ackerman et al., 2009) and their sensitivities to atmospheric variables over the diurnal cycle
17 (Chen et al., 2011).

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Deleted: The relatively small horizontal domain size allows detailed analysis of the aerosol injection and aerosol interaction with clouds, with computational expediency.

19 3 Experimental design

20 For each of the three background aerosol concentrations, four aerosol injection simulations
21 were performed, corresponding to aerosol injection in the early morning (03:00:00 LT), mid-
22 morning (08:00:00 LT), day (13:00:00 LT) and evening (18:00:00 LT). These times represent
23 injection into the various conditions of the diurnally varying MSc. Additional experiments
24 investigated the effects of varying the aerosol injection rate and were carried out for weakly
25 precipitating conditions only.

Deleted: Analysis of the post-injection simulations is limited to the five hour period subsequent to injection. After this time, the domain becomes saturated with aerosols that would otherwise be advected over a larger domain size. Larger scale feedbacks, as considered in other cloud-resolving investigations (Wang et al., 2009; Wang and Feingold, 2009b) are outside the scope of this work. ¶

26 The spraying vessel was assumed to travel the length of the 9km domain once, along the
27 middle of the domain, at a speed of 5 m s^{-1} . This moving aerosol injection was simulated as an
28 increase in Na and Cl aerosol in one base-layer grid cell at a time. This follows Wang et al.
29 (2011) in simulating the point source aerosol emission from individual spraying vessels. It is
30 noted that whilst this uniform emission within the 300 m x 300 m grid cell is still two orders
31 of magnitude larger than the 2.4 diameter emission rotors proposed by Salter et al. (2008), it
32 is more realistic than a uniform aerosol emission over the whole domain. The mass and

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1 number fluxes were based on injection rates estimated by Salter et al. (2008) with an injection
 2 rate of 30 kg s^{-1} of sea water forming a wet spray of 800 nm diameter. As such, these aerosols
 3 were emitted into the third size bin, representing a dry diameter one quarter of the diameter of
 4 the wet droplets (Lewis and Schwartz, 2004), i.e., 200 nm. The number flux ($1.24 \times 10^{12} \text{ m}^{-2} \text{ s}^{-1}$)
 5 was calculated as the number of 800 nm diameter sea water droplets (assumed to be
 6 spherical) produced for the 30 kg s^{-1} sea water flux. The mass fluxes of Na and Cl
 7 ($4436 \mu\text{g m}^{-2} \text{ s}^{-1}$ and $6840 \mu\text{g m}^{-2} \text{ s}^{-1}$ respectively) were calculated by assuming a 200 nm dry
 8 salt diameter, assuming that the fraction of Na and Cl follows the ratio of atomic weights
 9 (23.00:35.45). In preliminary testing, it was found that injection rates greater than half the
 10 mass and number fluxes of Salter's full emission rate resulted in unphysical outputs from the
 11 SW radiation scheme, ultimately leading to simulation failure. Given that the Salter et al.
 12 (2008) injection rate is dependent on the wind speed and decreases at speeds below $6\text{-}8 \text{ m s}^{-1}$
 13 (Korhonen et al., 2010), and that half of the maximum Salter injection rate (denoted as
 14 SA0.5) produced clear perturbations in the cloud and cloud system, this will be the maximum
 15 injection rate used. Experiments to investigate the effects of varying the aerosol injection rate
 16 on the weakly precipitating case consisted of injecting a quarter of the Salter injection rate
 17 (SA0.25) and a tenth of the Salter rate (SA0.1) at each of the four times through the diurnal
 18 cycle. The aerosol injection experiments are summarised in Table 1.

20 4 Results

21 Of the three background aerosol concentrations, the pristine marine conditions of the
 22 Southern Ocean produced a weakly precipitating case (WP). The North-East Pacific location
 23 typical for MSc, and the most heavily polluted East China Sea background aerosol conditions
 24 both produced non-precipitating regimes (NP-Pa and NP-Ch respectively).

25 4.1 Control cases

26 4.1.1 Weakly precipitating (WP) control case

27 This WP control case demonstrated a clear diurnal cycle in both cloud properties (Fig. 2) and
 28 dynamical and physical processes (Fig. 3).
 29 Cloud-top LW radiative cooling (Fig. 3c) produced a band of negative buoyancy atop positive
 30 cloud layer buoyancy (Fig. 3d). This negative buoyancy produced turbulent kinetic energy

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Deleted: Thus, the maximum injection rate tested had half the mass and number fluxes of the Salter proposed emission (denoted as SA0.5).

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1 (TKE) (Figs. 3e and 3f) which led to boundary layer mixing (suggested by the vertical
2 velocity variance, Fig. 3a). The SW radiation, present during the day, offset this cloud-top
3 cooling, reducing the TKE and mixing through the boundary layer. The reduced vertical
4 transport of moisture from the surface was evident in an accumulation of total water mixing
5 ratio at the surface during the day (Fig. 3g). As the SW radiation dissipated into the second
6 night, the TKE recovered, allowing improved mixing within the boundary layer. Simulated
7 surface heat fluxes also demonstrated a diurnal cycle, with domain average surface latent heat
8 flux varying between 6 W m⁻² during the day and a maximum of 10 W m⁻² during the night.
9 Domain average sensible heat flux was approximately 1 W m⁻² during the day, reaching a
10 maximum of 4 W m⁻² during the night. This periodicity in the strength of dynamical and
11 physical processes was reflected in the pattern of cloud properties. During the more turbulent
12 night (and outside of the spin-up period), cloud fraction reached 70% (Fig. 2e), LWP reached
13 50 g m⁻² (Fig. 2c) and the cloud was approximately 400 m deep (Fig. 2b). In these conditions,
14 the low N_d of around 10 cm⁻³ produced precipitation (Fig. 2a and 2d). The peak surface
15 precipitation rate was 0.6 mm day⁻¹, whilst the cloud base rate at this time was nearly 1.2 mm
16 day⁻¹ (Fig. 2d). The domain average calculated cloud albedo reached 0.35 during the night
17 (Fig. 2f). Even during the night, the cloud and boundary layers were not well coupled.
18 Turbulence was largely confined to the cloud layer, as was vertical velocity variance, and the
19 boundary layer became stratified (Fig. 3g). During the daytime, the continuation of
20 precipitation, augmented by the inhibition of moisture transport to the cloud region in the less
21 turbulent conditions resulted in loss of supersaturation below the inversion. Therefore, for the
22 combination of radiative and thermodynamic conditions established by this formation of
23 atmospheric conditions, cloud formation abated and cloud fraction, LWP, precipitation and
24 albedo fell to zero. For this WP case, there was a period of approximately 6 hours during the
25 day between cloud dissipation and cloud recovery. Satellite observations suggest that this
26 total cloud dissipation is atypical for marine stratocumulus clouds (Rozendaal et al., 1995;
27 Stubenrauch et al., 2006). Daytime cloud dissipation has however been observed during
28 aircraft/ground based field campaigns (Albrecht et al., 1988; Minnis et al., 1992), suggesting
29 that its occurrence is more likely over small, localised areas rather than being evident as a
30 mean cloud property over tens or hundreds of square kilometres.
31 Over the 35 hour long control simulation, cloud top and cloud base heights decreased (by
32 approximately 350 m and 300 m respectively).

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Even during the night, the cloud and boundary layers were not well coupled. Turbulence was largely confined to the cloud layer, as was vertical velocity variance, and the boundary layer became stratified (Fig. 2g). Additionally, over the 35 hour long control simulation, both cloud top and cloud base heights decreased (by around 350 m and 300 m respectively)

4.1.2 Non-precipitating (NP) control cases

Similar to the WP case, the NP cases also showed clear diurnal patterns in cloud properties (Fig. 4) and physical and dynamical processes (Fig. 5).

The background aerosol concentration initialisations led to cloud average N_d of almost 200 cm^{-3} for NP-Pa and around 500 cm^{-3} for NP-Ch case. In both cases, the N_d was sufficient to inhibit precipitation formation (Fig. 4d).

As in the WP case, LW cloud-top radiative cooling (Fig. 5c) produced a band of negative buoyancy at the cloud top (Fig. 5d) that resulted in TKE (Figs. 5e and 5f). Cloud-top radiative cooling was stronger in the NP case than in the WP case, resulting in a stronger vertical velocity variance (Fig. 5a) and a better mixed/less stratified boundary layer (Fig. 5g).

Simulated surface heat fluxes again demonstrated a diurnal cycle, with domain average latent heat flux reaching approximately 10 W m^{-2} during the night, from approximately 3 W m^{-2} during the day. Domain average sensible heat fluxes remained at approximately 0 W m^{-2} during the day and night. Whilst SW radiation during the day again heated the clouds (subduing cloud-top LW radiative cooling and negative buoyancy) they did not fully dissipate. Thus, although weakened during the day, these dynamical and physical processes were maintained over the diurnal cycle.

The diurnal cycle was again seen in the cloud properties. Unlike the total loss of LWP during the day seen in the WP case, the minimum LWP for both of the NP cases was approximately 10 g m^{-2} . This recovered to around 35 g m^{-2} (NP-Pa) and 30 g m^{-2} (NP-Ch) during the subsequent night (Fig. 4c). Similarly, whereas the daytime cloud fraction in the WP case fell to zero, in the NP cases this minimum was maintained at 15% for NP-Pa, and 5% for NP-Ch, recovering to 90% for both cases into the night (Fig. 4e). Cloud was also maintained at a higher fraction for longer, with slower dissipation into the day, and a shorter period of low cloud fraction. Owing to the inverse relationship between cloud droplet radius and optical thickness, the higher cloud average N_d for NP-Ch caused the domain average calculated cloud albedo to be consistently higher than the NP-Pa case, despite the lower cloud fraction and LWP values (Fig. 4f). This cloud albedo also showed a diurnal cycle, ranging from approximately 0.35 to 0.6 for the day and night time values in NP-Ch and from approximately 0.3 to 0.5 for NP-Pa.

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1 Cloud-top height decreased by 150 m over the 35 hour simulation, with the decrease being
2 more exaggerated during the day as turbulence levels decrease. There was additionally a
3 diurnal cycle in cloud base height, with the base rising during the day, causing cloud thinning
4 to around 100m. The cloud doubled in thickness during the night. These cloud height
5 properties were similar for both NP cases.

6 Whilst there were some similarities between processes in the WP and NP clouds (albeit of
7 differing strength), one area of disparity was the vertical velocity skewness – a measure of the
8 relative strengths of updrafts and downdrafts (Fig. 3b and 5b). In the NP control cases, strong
9 downdrafts driven by the cloud top LW radiative cooling descended towards the surface,
10 producing a negative skewness throughout the boundary layer. This was in contrast to the WP
11 case, which showed positive skewness within the cloud layer, and negative skewness below.

12 The diurnal patterns shown in the control cases were similar to previous simulations of the
13 diurnal cycle in marine stratocumulus. For example, LWP magnitudes were similar to those
14 achieved in the simulations of Wang and Feingold (2009a), Wang et al. (2011), and Chen et
15 al. (2011). Whilst the LWP magnitudes were lower than the range of measurements in the
16 DYCOMS-II (RF02) case (90 to 120 g m⁻²), on which the input soundings of these
17 simulations were based, it is noted that the DYCOMS-II measurements assume an average
18 over open and closed cells, and aerosol concentrations differ from the observed conditions.
19 Other features of the control cases suggest typical MSc behaviour. Negative vertical velocity
20 skewness in the NP cases, peaking in the lower third of the boundary layer, agrees with the
21 observations of Hogan et al. (2009). The positive skewness within the cloud in the WP case
22 being consistent with wide downward motions associated with droplet sedimentation within
23 the cloud (Ackerman et al., 2009) and strong, narrow downdrafts, associated with
24 precipitation below the cloud (Wang and Feingold, 2009a). Additionally, the stratification
25 observed in the total water mixing ratio of the WP case suggests uncoupling of the cloud and
26 boundary layers (Jones et al., 2011b) which is typical of precipitating MSc.

27 While cloud conditions do not recover fully into the second night owing to imbalances in
28 forcings, the clear diurnal patterns in cloud properties and – importantly – in underlying cloud
29 system physics and dynamics allows the cloud response to aerosol injection to be related to
30 the characteristic physical status of the cloud system at different times of the diurnal cycle.

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1 4.2 The effect of aerosol injection

2 The effects of aerosol injection on albedo were considered by three measures.

- 3 1. ($\Delta\alpha_{CC}$) The change in domain average cloud albedo for times of SW radiation in the 5
4 hours subsequent to injection compared to the control. This uses the simplified
5 calculation of Twomey (1977) for optical cloud thickness, $\tau = 2\pi N\bar{r}^2 h$, where N is the
6 drop concentration, \bar{r} = a representative mean radius calculated from the mass of
7 liquid water and droplet concentration at each grid cell, and h is the depth of the grid
8 cell. This was then converted into albedo (A) using the approximation, $A = \tau / (6.8 + \tau)$
9 (Lacis and Hansen, 1974; Zhang et al., 2005). An estimation of the aerosol effect on
10 cloud albedo in the absence of LWP increase was also included (i.e. a fixed LWP
11 assumption, $\Delta\alpha_{CC_fixed_LWP}$), whereby the calculation was repeated with control case
12 LWP and increase in N_d weighted for this control case LWP.
- 13 2. ($\Delta\alpha_{AS}$) The domain average change in all-sky planetary albedo for times of SW
14 radiation in the 5 hours subsequent to injection compared to the control was calculated
15 as the ratio of upward to downward SW radiation at the top of atmosphere. This
16 incorporates the concurrent effects of both cloud albedo change and direct aerosol
17 effects, over a constantly low ocean surface albedo.
- 18 3. ($\Delta\alpha_{CS}$) The domain average change in clear-sky albedo for times of SW radiation in
19 the 5 hours subsequent to injection compared to the control was calculated. This
20 calculates the albedo for all columns in the domain, omitting cloud layer effects.
21 Therefore, the masking effect of overlying clouds is removed, and $\Delta\alpha_{CS}$ is a measure
22 of the maximum direct aerosol effect.

Deleted: Since this is calculated in the absence of clouds, and therefore neglects the masking effect of overlying clouds,

23 Since the brightening of clouds is only effective at producing a negative radiative perturbation
24 during daylight, all measures of albedo were calculated only when downward SW radiation is
25 present. As such, the early morning and evening injection times have a reduced analysis
26 period. Injection into the early morning captures the latter portion of cloud alterations, while
27 the injection into the evening captures only 40 minutes after the beginning of injection.

28

1 4.2.1 Aerosol injection into WP case

2 We initially consider the response of the WP case to SA0.5 injection of aerosol at the four
3 different points during the diurnal cycle.

4 The cloud average N_d increased in response to injection at all four times during the diurnal
5 cycle. This increase ranged from a five-fold increase (to 117 cm^{-3}) for injection into the low
6 cloud fraction during the day (13:00:00 LT), to an increase of almost 17 times the original
7 concentration (to 234 cm^{-3}) for injection in the mid-morning (08:00:00 LT) (Table 2). These
8 N_d increases were sufficient to reduce the domain mean precipitation rate by up to 88%
9 averaged over the time where SW radiation was present in the 5 hours subsequent to injection.

10 However, no change occurred for injection into the cloud-free early afternoon, at which time
11 background precipitation has already ceased, or for evening injection (18:00:00 LT) where
12 precipitation rates had not yet recovered. The precipitation decreases produced an increase in
13 LWP compared to the control case of 43% for early morning injection (03:00:00 LT), and
14 114% for mid-morning injection. In addition to LWP increases, injecting aerosols into cloudy
15 conditions resulted in an increase in cloud fraction. Early morning injection doubled the cloud
16 fraction while mid-morning injection tripled the cloud fraction. The cloud fraction, and
17 associated perturbations were negligible for day and evening injections. Aerosol injection also
18 affected the cloud height, particularly when injected into the dissipating cloud in the early and
19 mid mornings. Here, the cloud top descent seen in the control case was replaced by cloud top
20 height maintenance (Fig. 6). A slight cloud top increase was also seen for evening injection,
21 although as this is into the post-SW growth phase of the cloud, the perturbation is less
22 marked. Again, no cloud changes occurred when injecting into the cloud-free early afternoon,

23 Considering how these changes to cloud properties are related to albedo, Fig. 7 shows the
24 $\Delta\alpha_{CC}$, $\Delta\alpha_{AS}$ and $\Delta\alpha_{CS}$ for an aerosol injection rate of SA0.5. There is a clear variation in the
25 $\Delta\alpha_{CC}$ response for aerosol injections at different times during the diurnal cycle. Early and mid
26 morning injections produced the largest $\Delta\alpha_{CC}$ of 0.28 and 0.17 respectively. As the cloud
27 recovered into the second night, evening injection produced a slight $\Delta\alpha_{CC}$ of 0.01. Whilst
28 there was also a clear variation in both the $\Delta\alpha_{AS}$ and $\Delta\alpha_{CS}$ responses for aerosol injection at
29 different times during the diurnal cycle, the pattern was different to that seen in cloud
30 response only. The largest $\Delta\alpha_{AS}$ was seen for early morning injection at 0.11, decreasing to
31 0.06 for mid-morning injection, and decreasing further for evening injection at 0.04. The
32 clearest of the deviations from the $\Delta\alpha_{CC}$ pattern was during the cloud-free early afternoon,

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1 where the $\Delta\alpha_{AS}$ was 0.06. The $\Delta\alpha_{AS}$ was matched by the $\Delta\alpha_{CS}$ response at this time,
2 indicating that the $\Delta\alpha_{AS}$ was purely from the direct aerosol effect. The vertical distribution of
3 the domain maximum unactivated aerosol concentration is shown for each injection time in
4 Fig. 8. The $\Delta\alpha_{CS}$ also increased the $\Delta\alpha_{AS}$ more than the $\Delta\alpha_{CC}$ did for the evening injection
5 where cloud fraction was low. The $\Delta\alpha_{CS}$ again showed a different diurnal pattern. Early
6 morning injection again produced the largest perturbation of 0.08, falling to 0.04 for injection
7 in the mid-morning. This recovered into the day, producing a $\Delta\alpha_{CS}$ of 0.07. The response was
8 again low for evening injection, producing a $\Delta\alpha_{CS}$ of 0.02.

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10 4.2.2 Aerosol injection into NP cases

11 Injection into the NP cases resulted in larger absolute N_d increases than in the WP case (Table
12 3). Increases were also generally larger for the less polluted NP-Pa case than the more
13 polluted NP-Ch case. The maximum resulting domain and time averaged N_d following
14 injection ranged from 234 cm^{-3} (WP) to 315 cm^{-3} (NP-Pa) and 632 cm^{-3} (NP-Ch). These
15 values decreased for injection at the least effective times of day to 117 cm^{-3} , 179 cm^{-3} and
16 517 cm^{-3} respectively. It is noted that these averages are for a small domain size, in the time
17 immediately after injection and should therefore be larger than those obtained in longer time
18 scale and larger domain size simulations. This is true when comparing to the finding of Wang
19 et al. (2011), who report average N_d of 65 mg^{-1} and 46 mg^{-1} for their precipitating cases
20 (where 1 mg^{-1} is equal to 1 cm^{-3} for an air density of 1 kg m^{-3}). As there was no precipitation
21 to prevent, no precipitation change occurred (Table 2). The LWP changes were small (up to
22 2%), with small losses during the NP-Ch morning. Cloud fraction, cloud top and cloud base
23 heights all showed negligible perturbations. Whilst the magnitudes of $\Delta\alpha_{CC}$ were generally
24 significantly smaller for the NP cases compared to the WP cases, these were also sensitive to
25 the timing of injection. For NP-Pa no perturbation was produced for mid-morning injection,
26 although a $\Delta\alpha_{CC}$ of 0.04 resulted from early morning injection. This peak value was only 13%
27 of the maximum $\Delta\alpha_{CC}$ reached when injecting into the WP cloud. For the more heavily
28 polluted NP-Ch case, the pattern was repeated, although where $\Delta\alpha_{CC}$ occur, the magnitude
29 was less than half that of the NP-Pa case.

Deleted: Wang et al. (2011)

30 The $\Delta\alpha_{AS}$ was similarly significantly lower than for the WP case. The maximum $\Delta\alpha_{AS}$
31 obtained for the NP cases occurred for injection into the day (0.02) and was around a sixth of

1 that achieved in the WP case. The values of $\Delta\alpha_{AS}$ were similar for both NP cases. The $\Delta\alpha_{CS}$
2 followed the same alternating pattern as that of the WP case, with higher perturbations in the
3 early morning and day.

4

5 4.2.3 Rate of aerosol injection into WP case

6 For early and mid morning injection into the WP case, N_d increased with injection rate.
7 However, the relationship was non-linear and tended to flatten at higher aerosol injection rates
8 (Table 3). The opposite relationship occurred for day and evening injections, with decreasing
9 N_d as aerosol injection rates increased. Precipitation rates were uniformly reduced across all
10 injection rates for the early and mid-morning. The LWP showed small increases with aerosol
11 injection rates for the early and mid morning injection times, as did the cloud fraction. The
12 $\Delta\alpha_{CC}$ also increased with increasing aerosol injection rates for both the early and mid morning
13 injections (Fig. 9). This relationship was non-linear, showing a flattening gradient at higher
14 aerosols injection rates. For example, the rate of $\Delta\alpha_{CC}$ increase with respect to increase in
15 injection rate reduced to a third for the higher injection rates (SA0.25 to SA0.5) into the cloud
16 in the mid-morning compared to the lower injection rates (SA0.1 to SA0.25). This reduction
17 was just under a half for injection into the early morning cloud. Injecting into the cloud-free
18 conditions of the WP early afternoon, produced no $\Delta\alpha_{CC}$, regardless of aerosol concentration.
19 Increasing the injection rate between SA0.1 and SA0.5 also had little effect on $\Delta\alpha_{CC}$ when
20 injecting into the recovering evening cloud.

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21 The effect of increasing the aerosol injection rates on $\Delta\alpha_{AS}$ also varied through the diurnal
22 cycle. The $\Delta\alpha_{AS}$ showed a positive correlation with increasing injection for early and mid
23 morning injection. Again, the rate of $\Delta\alpha_{AS}$ increase with injection rate was non-linear, the
24 gradient of the response typically halving for the higher injection rates. This relationship was
25 again weaker for the evening, with little variation in the $\Delta\alpha_{AS}$ for different injection rates. The
26 $\Delta\alpha_{CS}$ again showed a non-linearly increasing response.

27

5 Discussion

The combination of horizontal and vertical resolutions, and environmental inputs used in these simulations produce diurnal cycles of radiative heating, cloud properties and dynamics that are characteristic of MSc.

Sensitivity testing of model resolution and domain size suggests that the enhancement of horizontal resolution from 300 m to 100 m improves recovery of LWP into the second night. However, computational restrictions would require such a resolution to be run over a smaller domain size than currently used, further limiting the aerosol injection analysis time. Increasing the horizontal and vertical resolutions from 300 m and ~30 m to 100 m and ~15 m resulted in a poorer recovery of LWP and cloud fraction, suggesting that the combination of input soundings, large-scale atmospheric features and radiative response of the cloud case examined did not benefit from the increased resolution as would be expected. Similarly, increasing the vertical resolution from 20 m to 5 m did not induce significant improvements in cloud response in the sensitivity study of Chen et al. (2011). In spite of the vertical model resolution being larger than the 5 – 10 m typically suggested for resolved entrainment (e.g. Bretherton et al., 1999; Stevens and Bretherton, 1999), diurnal variations in entrainment (exhibited as cloud top height variations, given the constant large-scale divergence) behave as expected, with decreases in entrainment during the day in response to weakening cloud top negative buoyancy, and increased entrainment rates following the injection-induced N_d increases in the WP case (Fig. 5).

Under the initialisation of wind speed to zero, maximum base-layer wind speeds produced by the convergence of downdrafts reach 2 m s^{-1} . These are lower than the 5 to 10 m s^{-1} wind speeds typical of MSc regions (Fan et al., 2012). Thus, while the resulting surface heat fluxes exhibit a diurnal cycle, they are relatively small (c.f. measured nocturnal surface heat fluxes of 93 W m^{-2} (latent) and 16 W m^{-2} (sensible) from DYCOMS-II RF02 (Ackerman et al., 2009)). In the LES MSc modelling of Chen et al. (2011), cloud properties were found to be less sensitive to changes in wind speed than to other environmental settings, including sea-surface temperature, free-tropospheric moisture, and the strength of large-scale subsidence. As demonstrated in our results, while our surface fluxes are relatively low, they are sufficient to maintain cloud during the WP night and NP night and day, and to allow a pattern of rebuilding into the second night in both regimes.

Deleted: Fan et al., 2012

1 The albedo response to aerosol injection for the WP regime is significantly larger than for the
2 NP regime, in agreement with the results of Wang et al. (2011). Whilst aerosol injection into
3 both the NP and WP regimes demonstrate the first indirect aerosol effect (Twomey, 1977),
4 only aerosol injection into precipitation in the WP regime (i.e. early and mid morning)
5 demonstrates a strong second indirect aerosol, or ‘lifetime’, effect (Albrecht, 1989). The LWP
6 increases associated with this second indirect aerosol effect and negative radiative forcing are
7 more effective at increasing the $\Delta\alpha_{CC}$ than the first indirect effect, again in agreement with
8 Wang et al. (2011). However, there is no significant second indirect aerosol effect when
9 injecting into the WP regime cloud-free early afternoon or into the WP regime evening where
10 the cloud is in a period of regrowth and has not recovered sufficiently for precipitation. ▽

11 The LWP increases in the WP regime, and small decreases in LWP in the NP regime illustrate
12 the complexities and uncertainties surrounding the magnitude – and sign – of the second
13 indirect aerosol effect. The slight LWP losses for the early and mid morning aerosol
14 injections in the NP-Ch case suggest a small positive second indirect aerosol effect. The LWP
15 response to additional aerosols depends on comparative gains in water from the suppression
16 of precipitation caused by the reduced coalescence of smaller droplets, and increased
17 entrainment drying resulting from the presence of smaller cloud droplets in the entrainment
18 zone and increased TKE (Ackerman et al., 2004). The net effect on LWP is therefore highly
19 dependent on conditions, including cloud base height, precipitation rates, free troposphere
20 moisture content and the mesoscale cloud regime (Ackerman et al., 2004; Wood, 2007; Wang
21 et al., 2011; Christensen and Stephens, 2012). Using a fluid dynamics model, Ackerman et al.
22 (2004) identified a threshold surface precipitation rate of 0.1 mm day^{-1} , above which LWP
23 increases from precipitation suppression exceed losses from entrainment drying evaporation.
24 However, if the entrained overlying air was drier, net LWP loses were more likely. Using a
25 mixed-layer model, Wood (2007) showed that the cloud-base height was important in
26 distinguishing between clouds that lose or gain LWP in response to aerosol increases, finding
27 a threshold cloud base height of 400 m. Below this height, cloud thickening occurred. Above
28 this height, cloud thinning occurred. This 400 m cloud base threshold holds for the disparity
29 in LWP response between our WP and NP regimes, suggesting that the LWP increases
30 resulting from precipitation suppression in the WP case were sufficient to overcome the
31 increased evaporation of cloud droplets that resulted in small LWP losses in the NP case.
32 While our simulations therefore produce an interesting range of responses to the injection of
33 aerosol, they are a small sub-set of possible cloud conditions. ▽

Deleted: 2011(Albrecht et al., 1988)

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Deleted: (Albrecht, 1989;Ackerman et al., 2004;Wood, 2007). This can be estimated as the difference between $\Delta\alpha_{CC}$ and $\Delta\alpha_{CC, fixed, LWP}$ (Fig. 6). It can be seen that this second indirect aerosol effect is only significant when aerosols are injected into precipitating clouds (in the early and mid morning of the WP regime).

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Deleted: (2011)Whilst increases in LWP, and hence the presence of the second indirect aerosol effect agrees with ship track observations carried out by Christensen and Stephens (2011), the magnitude of the second indirect aerosol effect will be highly dependent upon conditions, including

Deleted: cloud base height and precipitation ratesAckerman et al., 2004; Wood, 2007; Wang et al., 2011; Christensen and Stephens, 2012Although differences exist between the cause of ship tracks and proposed cloud brightening methods, they serve as a useful observable analogy to the aerosol enhancement process.¶

The albedo response for the NP regime is significantly lower than for the WP regime, in agreement with the results of Wang et al. (2011a). The LWP changes in the NP case are small. Slight LWP losses for early and mid morning aerosol injections in the NP-Ch case suggests increased evaporation of the now smaller cloud droplets. These findings agree with LWP losses found by Christensen and Stephens (2011) in observations of ship tracks through closed cell regimes.

1 Whilst the detailed consideration of the comparative size distributions of injected and
2 background aerosols and their effect on planetary albedo change is outside of the scope of this
3 paper, we do not see the losses in N_d in response to aerosol injection that were observed in the
4 global aerosol modelling of Korhonen et al. (2010). They showed that, particularly off the
5 coast in the North-East Pacific, activation of injected 260 nm aerosols suppressed
6 supersaturation, leading to fewer smaller aerosols being activated and the minimum activated
7 aerosol size increasing from ~75-85nm to ~110-140nm. By varying the size distribution of the
8 background aerosol initialisations (Figure 1), this interesting phenomenon could be
9 investigated in future simulations.

10 GCM simulations have found that the lifetime of the injected aerosols is up to 4.8 days (Jones
11 and Haywood, 2012). Whilst our focus is on the rapid cloud responses to aerosol injection,
12 particularly contrasting the cloud responses at different times in the diurnal cycle, we can
13 infer insights into longer time-frame cloud changes from our simulations. Changes in cloud

Deleted: Jones and Haywood, 2012

14 properties suggest that the injected WP cloud is undergoing a regime change to a more
15 persistent, non-precipitating cloud type. In addition to increases in LWP, the cloud-top height
16 is maintained (in place of control case cloud-top height decrease) for injection into the early
17 and mid morning, and the clouds persist into the day, where enhanced albedo is important for

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18 reflection of SW radiation. The cloud top height increases are consistent with those observed
19 by Christensen and Stephens (2011) for ship tracks in the open cellular regime. The indication
20 of regime change is also evident in changes to the vertical velocity skewness as the positive
21 cloud-top skewness of the control case becomes more negative after injection (Fig. 6b). The

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22 effectiveness of this morning injection is consistent with the hypothesis proposed by Wang et
23 al. (2011). Whilst the immediate impact of aerosol injection into the clouds in the evening is
24 reduced owing to the lack of SW radiation, regime change may continue through the night,
25 persisting into the subsequent day. Indicators of regime change do not occur for injection into

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26 the cloud-free early afternoon, regardless of aerosol injection rate. The lack of rapid cloud
27 response for daytime injection may allow the aerosols to disperse horizontally within the
28 boundary before being drawn into the cloud region by updrafts during the subsequent night.

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29 As the aerosols will then cover a larger horizontal extent, the local concentration of aerosols
30 will be diminished, and the pattern of aerosol uptake will be altered. For example, Wang et al.
31 (2011) found that albedo enhancement was larger for uniform compared to point source
32 injection in their weakly precipitating case, however, the converse was true in a more heavily

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1 precipitating case. For our NP regime, no indicators of regime change occur for aerosol
 2 injection at any time.

3 Considering the effect of aerosol injection on planetary albedo changes in the WP case
 4 suggests that an asymptotic limit in increasing the $\Delta\alpha_{AS}$ may lie above SA0.5 (Fig. 9).
 5 Injection rates greater than SA0.5 led to unphysical model outputs and simulation failure.
 6 This demonstrates that the large aerosol fluxes proposed in order to cover large areas of the
 7 ocean produce an extreme response if emitted from a point source. The effects of these locally
 8 high aerosol concentrations prior to dispersion are not considered in the uniform aerosol
 9 application assumed in global models.

10 The disparities between calculated $\Delta\alpha_{CC}$ and $\Delta\alpha_{AS}$ in the WP case for early and mid-morning
 11 injections (Figs. 7 and 9) suggests that the large $\Delta\alpha_{CC}$ are not being fully achieved in the
 12 planetary albedo response. We suggest that the high concentration of aerosols emitted via the
 13 point source injection technique contribute towards this disparity through an
 14 offsetting/tempering effect. The high concentration of injected aerosols emitted from the point
 15 source produced large increases in cloud droplet number concentration, particularly in the
 16 early/mid morning and evening WP cases (Table 3). These increases cause cloud-top radiative
 17 cooling to strengthen, leading to intensification of cloud-top turbulence and the entrainment
 18 of dry air from the free troposphere above (evidenced by the increase in cloud top height).
 19 This entrainment of warm, dry air increases the evaporation of cloud droplets, leading to the
 20 accumulation of interstitial aerosols overlying the cloud top (Figure 8). The sea-salt aerosols
 21 modelled here are highly scattering, but non-absorbing in the ultra-violet/ visible
 22 wavelengths. Whilst a layer of pure NaCl would therefore typically not be associated with a
 23 reduction in planetary albedo when overlying clouds, several factors could cause these
 24 overlying aerosols to reduce the upward SW radiation at the top of the atmosphere. Radiative
 25 transfer modelling of atmospheric concentrations of non-absorbing sea-salt aerosol overlying
 26 a low surface albedo (0.1) have produced a positive SW forcing of up to $+5 \text{ W m}^{-2}$ for solar
 27 zenith angles of up to 30° (Li et al., 2008), (equivalent to between 10:00:00 LT and 14:00:00
 28 LT in our simulations). Here, the predominantly forward scattering of the sea-salt aerosols
 29 causes the majority of the SW radiation to pass through the aerosol layer, with the magnitude
 30 of SW radiation being reflected back to space being reduced by near-infrared absorption, at
 31 which wavelengths sea-salt aerosols are more absorbing than in the ultraviolet/ visible
 32 wavelengths (Hatzianastassiou et al., 2007). The presence of absorbing material in the sea-salt

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 The non-linear effect of the rate of

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Deleted: Figure 7 shows high concentrations of unactivated injected aerosol that have penetrated through the cloud in the early/mid morning and evening WP cases. These aerosols accumulate in a layer above the cloud top.

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1 aerosol would exacerbate the effect, with previous modelling and observational studies
2 showing that partially absorbing aerosols overlying clouds reduce the measured upward
3 irradiance and hence produce low biases in satellite retrievals of cloud optical depth
4 (Haywood et al., 2004; Coddington et al., 2010). A radiatively positive effect was also shown
5 in the modelling of mildly absorbing organic aerosols internally mixed in sea-salt aerosols at
6 mass fractions as low as 10% (Randles et al., 2004). Attenuation of upward radiation may also
7 result from increases in total water mixing ratio above the cloud top (Fig. 6d), associated with
8 the transported aerosols.

9 The apparent offsetting/tempering role of the direct effect increases with increasing injection
10 rate, demonstrated by the increasing disparity between $\Delta\alpha_{CC}$ and $\Delta\alpha_{AS}$ in the early and mid
11 morning cases (Fig. 9). However, this is not sufficient to overcome the increasing $\Delta\alpha_{CC}$ and
12 $\Delta\alpha_{AS}$ with aerosol injection rate (Fig 9). As simulations carried out at the global scale are
13 unable to represent the locally high aerosol concentrations associated with an aerosol point
14 source, they are unable to simulate this effect.

15 The ultimate challenge for the geoengineering modelling community is in incorporating the
16 sensitivities to potential implementation details into GCM simulations, in order to continue
17 the development of increasingly realistic global estimates of MCB effectiveness, which are
18 essential for discussions and decision-making. The results presented here are an indication of
19 the rapid cloud response at a small scale to aerosol injection into MSc clouds at different
20 times in the diurnal cycle. Future investigation into this aerosol timing sensitivity should
21 ideally incorporate: domain sizes large enough to simulate cellular cloud patterns and
22 mesoscale feedbacks; a comprehensive range of cloud properties (including variations of
23 cloud-top height, temperature and moisture jumps across the inversion and large-scale
24 subsidence etc.); and a time-scale long enough to capture the full aerosol lifetime.

25

26 6 Conclusions

27 Simulations were carried out using a cloud-resolving model at small domain size. These were
28 used to investigate the detailed response of MSc cloud systems to the injection of aerosols at
29 different times during the diurnal cycle, and to the effects and interactions of the direct
30 aerosol effect resulting from the concentrated injection of aerosols from a point source.

Deleted: Future radiative transfer modelling of the unnaturally high sea-salt aerosol concentrations that would be present during cloud brightening, and the impact of their vertical position relative to clouds, is suggested.

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1 Based on these simulations, the optimal point in the diurnal cycle for all-sky planetary albedo
2 response is early morning injection into the weakly precipitating cloud regime. This results
3 from a large second indirect aerosol effect that would contribute to a more negative radiative
4 forcing and cloud conversion towards the more persistent non-precipitating regime. Whilst the
5 direct aerosol effect increases all-sky planetary albedo during the cloud-free early afternoon,
6 the lack of cloud changes suggests that this enhancement may be shorter-lived. The high local
7 concentrations of aerosols (associated with the point source injection) leads to changes in the
8 cloud system that cause interstitial aerosols to accumulate above the cloud. The direct effect
9 of these overlying aerosols appears to mask (or temper) increases in cloud albedo.

10 The sensitivity of all-sky planetary albedo change to aerosol injection time during the diurnal
11 cycle – particularly for the weakly precipitating regime – suggests that studies that omit this
12 feature may overestimate all-sky planetary albedo increases, although the presence of a direct
13 aerosol effect at cloud-free times would ameliorate this.

14 Future cloud-resolving modelling, at larger domain sizes and over longer analysis periods is
15 suggested, in order to capture the complex dynamical feedbacks associated with MSc and to
16 quantify the persistence of clouds and aerosol lifetime after point source injection. The
17 ultimate aim is to integrate these characteristic stratocumulus cloud sensitivities into global
18 scale modelling in order to contribute to the continuing improvements in productions of
19 realistic MCB effectiveness estimates that are essential in climate change discussions and
20 decision-making.

21 In any discussion of the effectiveness of geoengineering in altering climate properties, it is
22 necessary to emphasize the importance of the concomitant examination of ethical validity and
23 governance. However, debate and decision-making must be informed by realistic model
24 simulations, particularly given the strict limitations imposed on field testing. The
25 development of realistic model simulations involves the representation of physical
26 implementation details, particularly those that might act to limit the scheme's effectiveness.
27 Here, the all-sky planetary albedo was found to be sensitive to both the timing of the injection
28 with respect to the cloud diurnal cycle and the high concentration of aerosol resulting from
29 point source emission. Both of these would occur should a single injection vessel be used to
30 cover a large area of cloud. The results suggest that omitting either of these details may lead
31 to overestimates of the achievable increase in all-sky planetary albedo. The results presented

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1 here therefore have implications on both the future design of model simulations, and may also
2 inform the development of potential implementation strategies.

3

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14

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1 Table 1. Aerosol injection experiments. WP indicates aerosol injection into the weakly
 2 precipitating control case. NP-Pa and NP-Ch indicate aerosol injection into the non-
 3 precipitating cases initialised with aerosol concentrations associated with the North-East
 4 Pacific (intermediately polluted) and the East China Sea (heavily polluted) respectively.
 5 SA0.5, SA0.25 and SA0.1 describe the aerosol injection rate, as a fraction of the injection rate
 6 proposed by Salter et al. 2008.

Time of aerosol injection (LT)	Aerosol injection rate		
	SA0.5	SA0.25	SA0.1
03:00:00	WP	WP	WP
	NP-Pa	-	-
	NP-Ch	-	-
08:00:00	WP	WP	WP
	NP-Pa	-	-
	NP-Ch	-	-
13:00:00	WP	WP	WP
	NP-Pa	-	-
	NP-Ch	-	-
18:00:00	WP	WP	WP
	NP-Pa	-	-
	NP-Ch	-	-

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1 Table 2. Results for the SA0.5 aerosol injection rate. Domain and time-period averaged liquid
 2 water path (LWP, g m⁻²), cloud fraction (f_c, %), cloud droplet number concentration (N_d, cm⁻³)
 3 ³) and surface rain rate (R_r, mm day⁻¹). The time average is taken for the times of SW
 4 radiation present in the 5 hours subsequent to aerosol injection.

Deleted: Percentage perturbation from the control is shown in brackets.
 Deleted: ¶
 Case

Case	Time of aerosol injection (LT)	LWP, g m ⁻²		f _c , %		N _d , cm ⁻³		R _r , mm day ⁻¹	
		Control	SA0.5	Control	SA0.5	Control	SA0.5	Control	SA0.5
<u>WP</u>	<u>03:00:00</u>	<u>31.8</u>	<u>45.3</u>	<u>37.9</u>	<u>76.3</u>	<u>10.8</u>	<u>176.3</u>	<u>0.25</u>	<u>0.03</u>
	<u>08:00:00</u>	<u>7.0</u>	<u>15.2</u>	<u>6.8</u>	<u>19.8</u>	<u>13.5</u>	<u>234.3</u>	<u>0.09</u>	<u>0.05</u>
	<u>13:00:00</u>	<u>0.6</u>	<u>0.5</u>	<u>0.4</u>	<u>0.3</u>	<u>20.6</u>	<u>116.9</u>	<u>0.00</u>	<u>0.00</u>
	<u>18:00:00</u>	<u>3.6</u>	<u>3.6</u>	<u>5.6</u>	<u>5.6</u>	<u>19.5</u>	<u>171.1</u>	<u>0.00</u>	<u>0.00</u>
<u>NP-Pa</u>	<u>03:00:00</u>	<u>59.8</u>	<u>60.8</u>	<u>97.9</u>	<u>98.6</u>	<u>156.2</u>	<u>315.0</u>	<u>0.00</u>	<u>0.00</u>
	<u>08:00:00</u>	<u>31.7</u>	<u>31.6</u>	<u>79.7</u>	<u>79.3</u>	<u>156.2</u>	<u>180.7</u>	<u>0.00</u>	<u>0.00</u>
	<u>13:00:00</u>	<u>14.8</u>	<u>14.8</u>	<u>22.9</u>	<u>22.7</u>	<u>156.5</u>	<u>259.8</u>	<u>0.00</u>	<u>0.00</u>
	<u>18:00:00</u>	<u>14.3</u>	<u>14.3</u>	<u>22.4</u>	<u>22.5</u>	<u>156.3</u>	<u>178.8</u>	<u>0.00</u>	<u>0.00</u>
<u>NP-Ch</u>	<u>03:00:00</u>	<u>59.6</u>	<u>59.1</u>	<u>98.2</u>	<u>98.7</u>	<u>526.6</u>	<u>632.2</u>	<u>0.00</u>	<u>0.00</u>
	<u>08:00:00</u>	<u>31.6</u>	<u>31.4</u>	<u>80.8</u>	<u>80.3</u>	<u>513.2</u>	<u>517.0</u>	<u>0.00</u>	<u>0.00</u>
	<u>13:00:00</u>	<u>13.4</u>	<u>13.7</u>	<u>18.8</u>	<u>19.1</u>	<u>516.9</u>	<u>556.1</u>	<u>0.00</u>	<u>0.00</u>
	<u>18:00:00</u>	<u>11.8</u>	<u>11.8</u>	<u>9.1</u>	<u>9.1</u>	<u>528.1</u>	<u>528.3</u>	<u>0.00</u>	<u>0.00</u>

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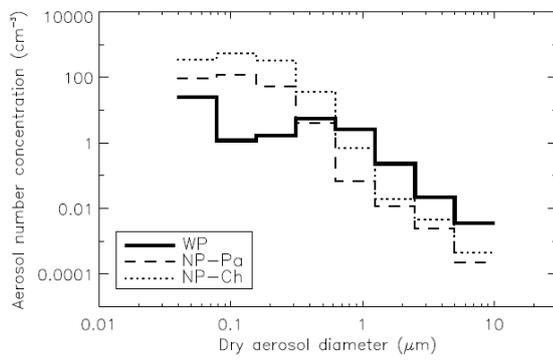
1 Table 3. Results for the weakly precipitating (WP) case. Notes as Table 2.

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<u>Time of aerosol injection (LT)</u>	<u>Case</u>	<u>LWP,</u> <u>g m⁻²</u>	<u>fc,</u> <u>%</u>	<u>Nd,</u> <u>cm⁻³</u>	<u>Rr,</u> <u>mm day⁻¹</u>
<u>03:00:00</u>	<u>Control</u>	<u>31.8</u>	<u>37.9</u>	<u>10.8</u>	<u>0.25</u>
	<u>SA0.5</u>	<u>45.3</u>	<u>76.3</u>	<u>176.3</u>	<u>0.03</u>
	<u>SA0.25</u>	<u>44.6</u>	<u>73.0</u>	<u>141.5</u>	<u>0.04</u>
	<u>SA0.1</u>	<u>44.1</u>	<u>69.7</u>	<u>92.9</u>	<u>0.04</u>
<u>08:00:00</u>	<u>Control</u>	<u>7.0</u>	<u>6.8</u>	<u>13.5</u>	<u>0.09</u>
	<u>SA0.5</u>	<u>15.2</u>	<u>19.8</u>	<u>234.3</u>	<u>0.05</u>
	<u>SA0.25</u>	<u>14.4</u>	<u>17.5</u>	<u>200.3</u>	<u>0.05</u>
	<u>SA0.1</u>	<u>13.1</u>	<u>14.6</u>	<u>124.4</u>	<u>0.06</u>
<u>13:00:00</u>	<u>Control</u>	<u>0.6</u>	<u>0.4</u>	<u>20.6</u>	<u>0.00</u>
	<u>SA0.5</u>	<u>0.5</u>	<u>0.3</u>	<u>116.9</u>	<u>0.00</u>
	<u>SA0.25</u>	<u>0.5</u>	<u>0.3</u>	<u>134.7</u>	<u>0.00</u>
	<u>SA0.1</u>	<u>0.5</u>	<u>0.4</u>	<u>181.6</u>	<u>0.00</u>
<u>18:00:00</u>	<u>Control</u>	<u>3.6</u>	<u>5.6</u>	<u>19.5</u>	<u>0.00</u>
	<u>SA0.5</u>	<u>3.6</u>	<u>5.6</u>	<u>171.1</u>	<u>0.00</u>
	<u>SA0.25</u>	<u>3.6</u>	<u>5.6</u>	<u>180.6</u>	<u>0.00</u>
	<u>SA0.1</u>	<u>3.6</u>	<u>5.6</u>	<u>139.9</u>	<u>0.00</u>

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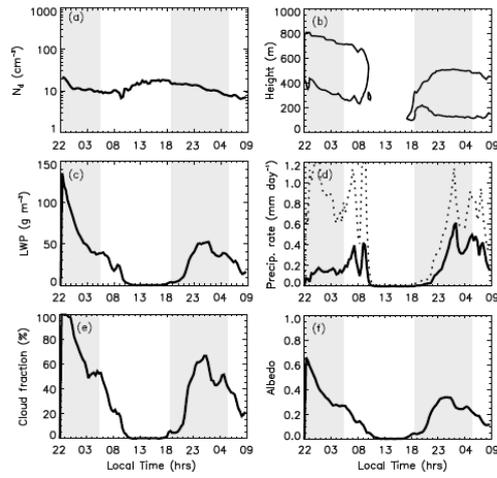


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2 | Figure 1. Background aerosol initialisation for the three control cases: WP, NP-Pa and NP-

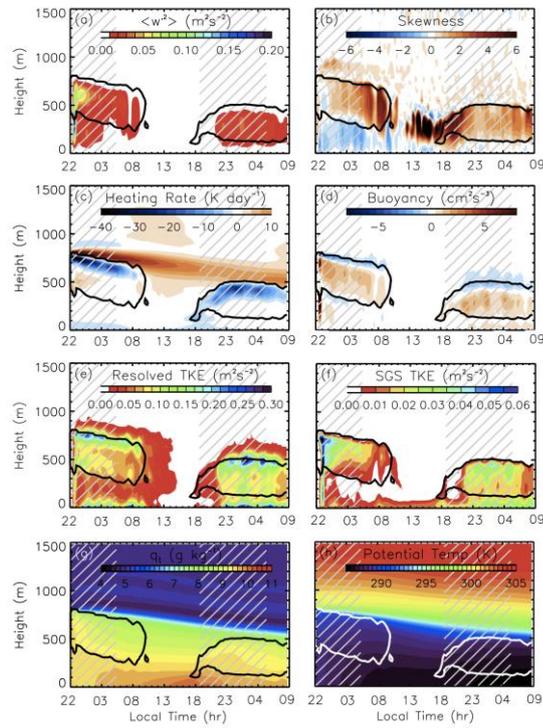
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 2 | Figure 2. Time evolution of domain averaged cloud properties for the WP control case. (a)
 3 | cloud droplet number concentration (N_d , cm^{-3}); (b) cloud top and cloud base height (contour
 4 | at cloud water mixing ratio of $0.01 \text{ g kg}_{\text{dry air}}^{-1}$); (c) liquid water path (LWP, g m^{-2}); (d) surface
 5 | rain rate (solid), and cloud base rain rate (dotted) (mm day^{-1}); (e) cloud fraction (%); and (f)
 6 | cloud albedo. Shading indicates the night.

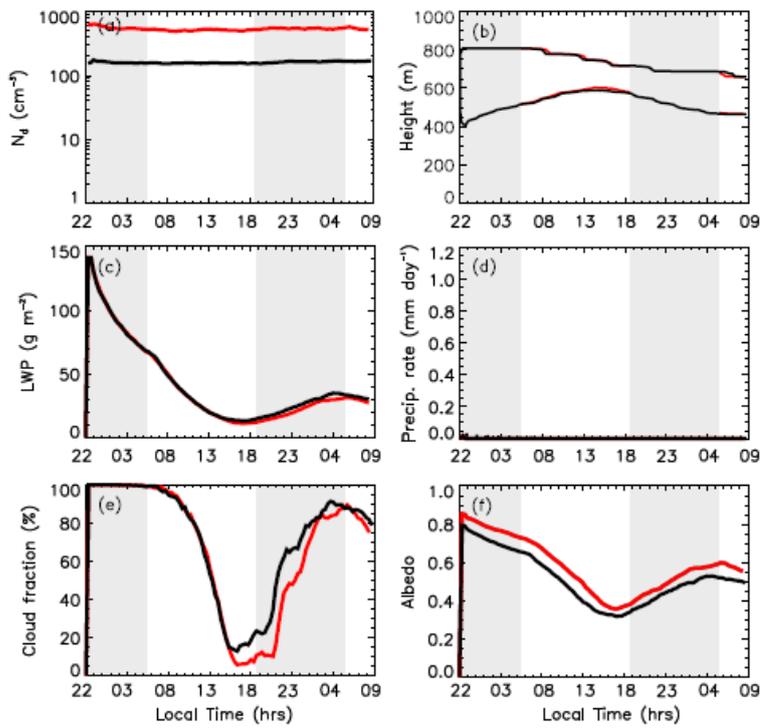
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 2 | Figure 3 Time evolution of domain averaged dynamic and physical properties for the WP
 3 control case. (a) vertical velocity variance ($\langle w'^2 \rangle$, $m^2 s^{-2}$); (b) vertical velocity skewness; (c)
 4 radiative heating rate ($K day^{-1}$); (d) buoyancy ($cm^2 s^{-3}$); (e) resolved turbulent kinetic energy
 5 ($m^2 s^{-2}$); (f) sub-grid scale turbulent kinetic energy ($m^2 s^{-2}$); (g) total water mixing ratio ($g kg_{dry}$
 6 air^{-1}); and (h) potential temperature (K). Solid lines indicate the cloud top and cloud base
 7 height (a contour at cloud water mixing ratio of $0.01 g kg_{dry}^{-1}$). Hatch lines indicates the
 8 night.

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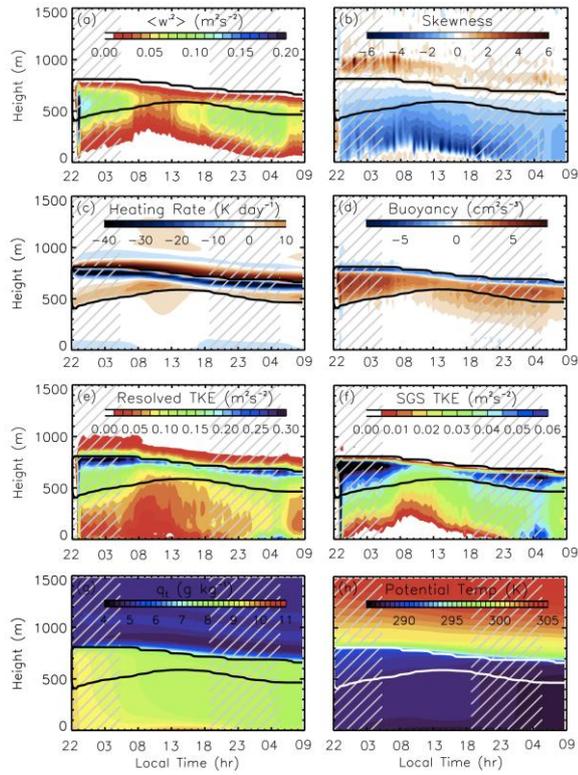
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 2 | Figure 4. Time evolution of domain averaged cloud properties for the NP-Ch (red) and NP-Pa
 3 | (black) cases. Descriptions as Fig. 2.
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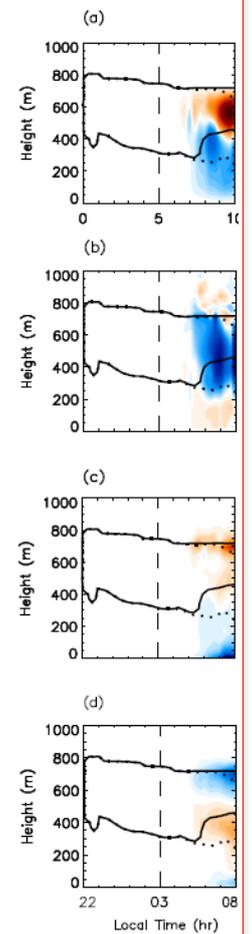
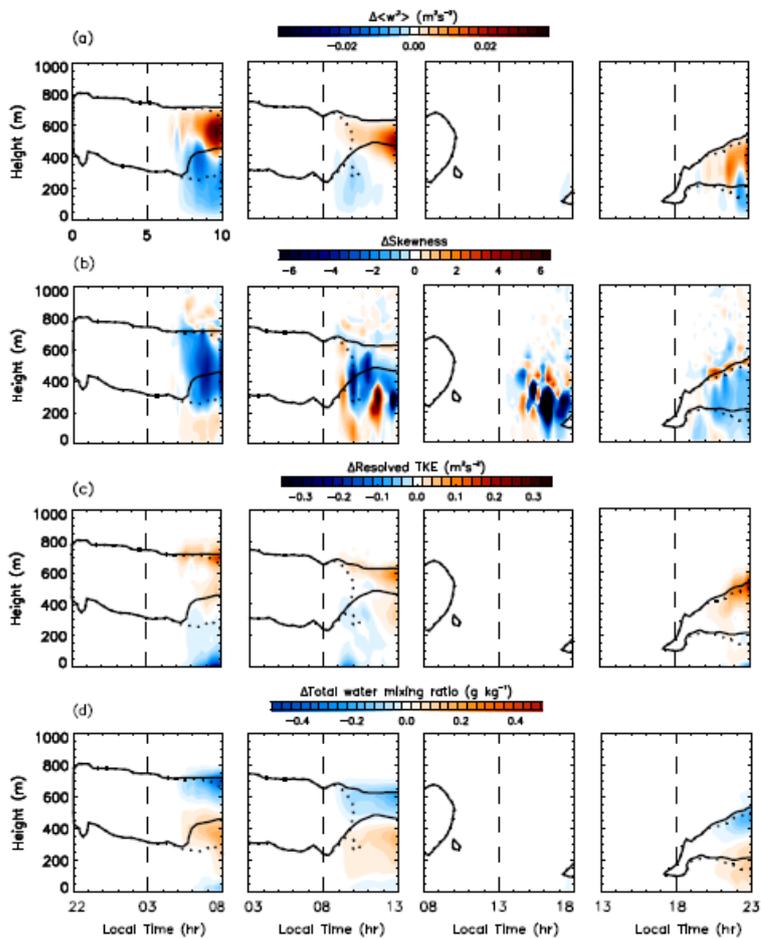
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 2 | Figure 5. Time evolution of domain averaged dynamic and physical properties for NP-Pa
 3 | control case. Descriptions as Fig. 3.
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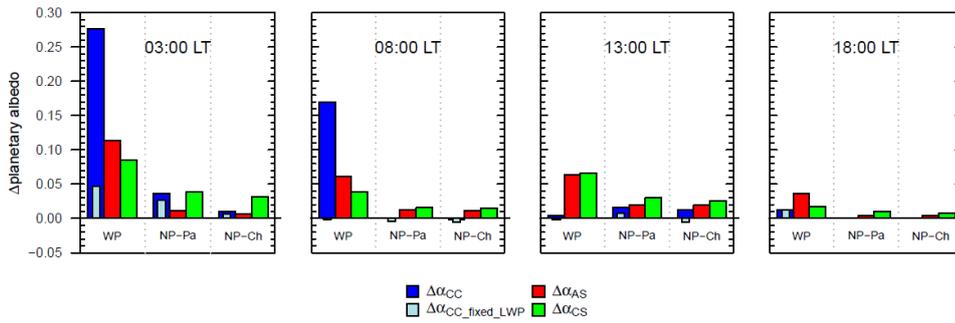


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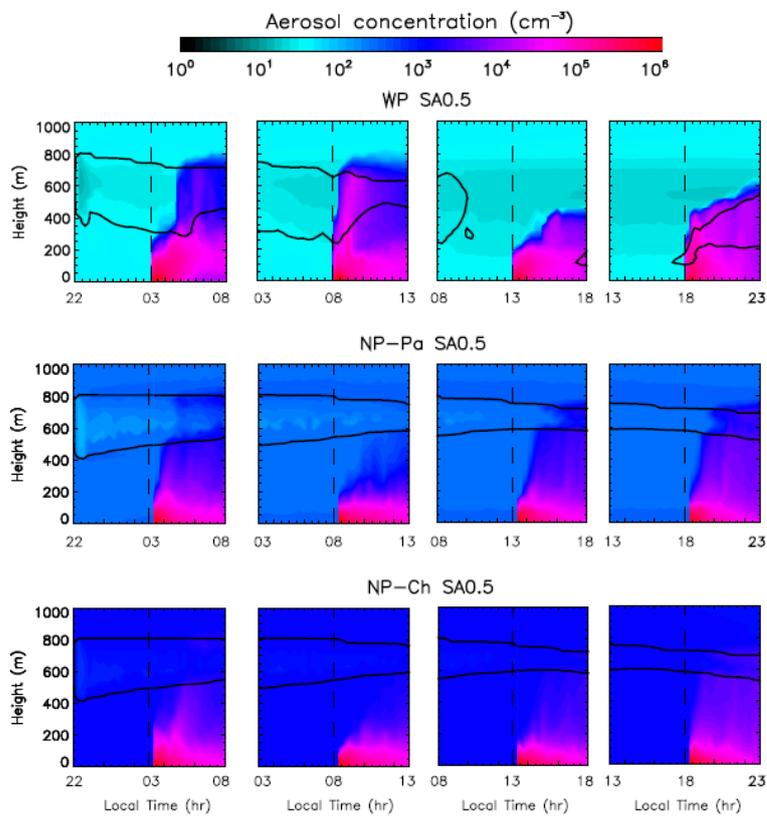
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 2 Figure 6. Time evolution for WP SA0.5 injection-induced perturbations in domain averaged:
 3 (a) vertical velocity variance ($\langle w^2 \rangle$, $\text{m}^2 \text{s}^{-2}$); (b) vertical velocity skewness;
 4 (c) resolved turbulent kinetic energy ($\text{m}^2 \text{s}^{-2}$); and (d) total water mixing ratio ($\text{g kg}_{\text{dry air}}^{-1}$). Solid lines
 5 indicate the perturbed domain average cloud top and base (contour at cloud water mixing ratio
 6 of $0.01 \text{ g kg}_{\text{dry air}}^{-1}$). Control case domain average cloud top and base are indicated by the
 7 dotted lines. The dashed vertical lines indicate the time of aerosol injection.

8



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 2 | Figure 7. Domain and time averaged albedo perturbations associated with aerosol injection at
 3 the SA0.5 rate, at 03:00:00 LT, 08:00:00 LT, 13:00:00 LT and 18:00:00 LT into the weakly
 4 precipitating regime (WP) and non-precipitating regime (NP-Pa and NP-Ch). In each case,
 5 four measures of the effects of aerosol injection on albedo perturbations are shown: the
 6 change in cloud albedo ($\Delta\alpha_{CC}$); the change in cloud albedo assuming a LWP fixed at the
 7 control magnitudes with weighted N_d increases ($\Delta\alpha_{CC_fixed_LWP}$); the change in all-sky
 8 planetary albedo ($\Delta\alpha_{AS}$); and the change in clear-sky albedo ($\Delta\alpha_{CS}$).
 9

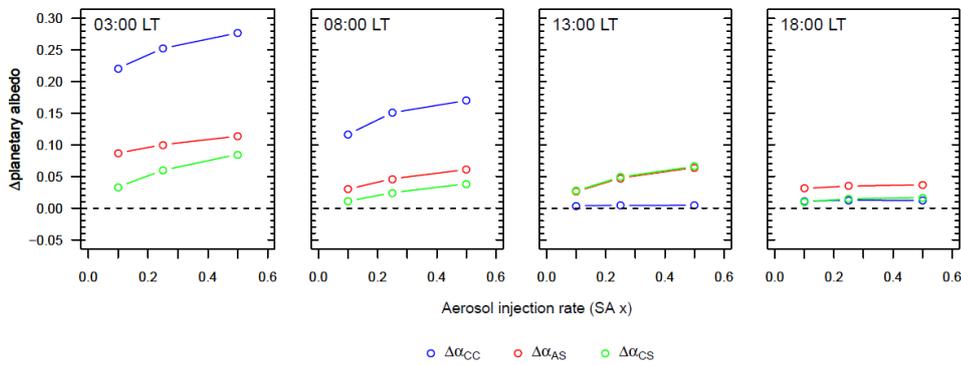
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 2 | Figure 8. Time series of domain maximum aerosol concentrations (cm^{-3}) for the WP, NP-Pa
 3 and NP-Ch cases (all SA0.5 aerosol injection rate). Plots include 5 hours prior to injection and
 4 5 hours subsequent to injection, with aerosol injection start time being indicated by the
 5 vertical dashed line.

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 2 | Figure 9, Domain and time average albedo perturbations associated with SA0.1, SA0.25 and
 3 SA0.5 aerosol injection rates at 03:00:00 LT, 08:00:00 LT, 13:00:00 LT and 18:00:00 LT into
 4 the weakly precipitating (WP) regime. In each case, three measures of the effects of aerosol
 5 injection on albedo perturbations are shown: the change in cloud albedo ($\Delta\alpha_{CC}$); the change in
 6 all-sky planetary albedo ($\Delta\alpha_{AS}$); and the change in clear-sky albedo ($\Delta\alpha_{CS}$).

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