Atmos. Chem. Phys. Discuss., 12, 9857–9901, 2012 www.atmos-chem-phys-discuss.net/12/9857/2012/ doi:10.5194/acpd-12-9857-2012 © Author(s) 2012. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

# Functionalization and fragmentation during ambient organic aerosol aging: application of the 2-D volatility basis set to field studies

B. N. Murphy<sup>1</sup>, N. M. Donahue<sup>1</sup>, C. Fountoukis<sup>2</sup>, M. Dall'Osto<sup>3,4</sup>, C. O'Dowd<sup>4</sup>, A. Kiendler-Scharr<sup>5</sup>, and S. N. Pandis<sup>1,6</sup>

<sup>1</sup>Department of Chemical Engineering, Carnegie Mellon University, 5000 Forbes Ave, Pittsburgh, Pennsylvania 15213, USA

<sup>2</sup>Institute of Chemical Engineering Sciences, Foundation of Research and Technology Hellas (ICE-HT/FORTH), Patra, Greece

<sup>3</sup>National Center for Atmospheric Science, Division of Environmental Health and Risk Management, School of Geography, Earth & Environmental Sciences University of Birmingham Edgbaston, Birmingham B15 2TT, UK

<sup>4</sup>School of Physics and Centre for Climate and Air Pollution Studies, National University of Ireland Galway, Galway, Ireland

<sup>5</sup>IEK-8II: Troposphäre, Forschungszentrum Jülich, Jülich, Germany

<sup>6</sup>Department of Chemical Engineering, University of Patras, Patra, Greece



Received: 24 February 2012 - Accepted: 27 March 2012 - Published: 18 April 2012

Correspondence to: S. N. Pandis (spyros@andrew.cmu.edu)

Published by Copernicus Publications on behalf of the European Geosciences Union.



# Abstract

Multigenerational oxidation chemistry of atmospheric organic compounds and its effects on aerosol loadings and chemical composition is investigated by implementing the Two-Dimensional Volatility Basis Set (2-D-VBS) in a Lagrangian host chemical trans-

- <sup>5</sup> port model. Three model formulations were chosen to explore the complex interactions between functionalization and fragmentation processes during gas-phase oxidation of organic compounds by the hydroxyl radical. The base case model employs a conservative transformation by assuming a reduction of one order of magnitude in effective saturation concentration and an increase of oxygen content by one or two oxygen atoms
- per oxidation generation. A second scheme simulates functionalization in more detail using group contribution theory to estimate the effects of oxygen addition to the carbon backbone on the compound volatility. Finally, a fragmentation scheme is added to the detailed functionalization scheme to create a functionalization-fragmentation parameterization. Two condensed-phase chemistry pathways are also implemented as
- additional sensitivity tests to simulate (1) heterogeneous oxidation via OH uptake to the particle-phase and (2) aqueous-phase chemistry of glyoxal and methylglyoxal. The model is applied to summer and winter periods at three sites where observations of organic aerosol (OA) mass and O:C were obtained during the European Integrated Project on Aerosol Cloud Climate and Air Quality Interactions (EUCAARI) campaigns.
- The base case model reproduces observed mass concentrations and O:C well, with fractional errors (FE) lower than 55% and 25%, respectively. The detailed functionalization scheme tends to overpredict OA concentrations, especially in the summertime, and also underpredicts O:C by approximately a factor of 2. The detailed functionalization model with fragmentation agrees well with the observations for OA concentration,
- <sup>25</sup> but still underpredicts O:C. Both heterogeneous oxidation and aqueous-phase processing have small effects on OA levels but heterogeneous oxidation, as implemented here, does enhance O:C by about 0.1. The different schemes result in very different fractional attribution for OA between anthropogenic and biogenic sources.



## 1 Introduction

Atmospheric fine particulate mass ( $PM_{2.5}$ ) has been implicated in negative health outcomes in human populations (Pope et al., 2009) and it represents an uncertain link between anthropogenic activities and global climate forcing (IPCC, 2007; Isaksen et

al., 2009). Although associations between the specific chemical composition of these particles and their health and climate effects are complex and remain uncertain, a significant fraction (20–90%) of fine particulate mass is directly attributable to organic compounds (Zhang et al., 2007; Morgan et al., 2010b). A thorough understanding of the formation pathways and atmospheric evolution of these gas- and aerosol-phase
 compounds is necessary in order to understand the atmospheric particle system as a whole.

The atmospheric organic aerosol (OA) system is complex. OA consists of thousands of individual compounds (Goldstein and Galbally, 2007), each with their own characteristic emission sources, volatilities, chemical reactivity with regard to the hydroxyl radical

- <sup>15</sup> and other atmospheric oxidants and degradation pathways (Atkinson and Arey, 2003; Kanakidou et al., 2005; Kroll and Seinfeld, 2008; Hallquist et al., 2009). It has long been known that oxidation of volatile organic compounds (VOC) can lead to production of semivolatile species that readily partition to the particle phase (Grosjean and Seinfeld, 1989; Pandis et al., 1992; Odum et al., 1997; Griffin et al., 1999). However, our understanding is based on loboratory observations made supertimescales on the order.
- <sup>20</sup> understanding is based on laboratory observations made over timescales on the order of hours to a day, but atmospheric particles are typically airborne for about a week (Pandis et al., 1995), and are continually exposed to oxidants until they are removed. This continued oxidation (aging) is a complex process with uncertain impacts.

Efforts to describe the evolution of OA mass concentration over time in computational models have followed two general approaches recently: (1) explicit simulation of the emission, gas/particle partitioning and deposition of specific identifiable chemical species (Griffin et al., 2002; Pun et al., 2002; Johnson et al., 2006) or (2) treatment of species relevant to organic aerosol formation via classes of compounds lumped by



similarities in some intensive property such as volatility, carbon number, oxidation state, or polarity (Donahue et al., 2006, 2011; Pankow and Barsanti, 2009). The first approach may eventually be able to explain OA formation and aging based on first principles (Aumont et al., 2005). However, the current uncertainty about the physical properties of

- <sup>5</sup> many of these species (e.g. vapor pressure, activity coefficients) and the corresponding rates of the various chemical pathways introduce substantial uncertainties in the results of this approach. In addition the computational burden of explicitly modeling the atmospheric transport and fate of thousands of species makes implementation of this explicit approach in a regional- or global-scale chemical transport model (CTM)
- extremely challenging. The second approach exploits the highly "averaged" nature of the real atmosphere and tries to describe the initial oxidation and subsequent aging processes as they act on primary organic compounds. Treating the OA system as a conceptually simplified mixture of surrogate chemical species is advantageous when predicting the OA concentration and properties in a large-scale CTM.
- <sup>15</sup> The two-dimensional volatility basis set (2-D-VBS) tracks volatility (as effective saturation mass concentration,  $C^*$ , in  $\mu$ g m<sup>-3</sup>) and oxidation state (as ratio of elemental oxygen to carbon, O:C) of a population of organic compounds (Jimenez et al., 2009). The scheme directly uses effective saturation concentration to predict OA mass concentration after gas-particle equilibrium is achieved through an absorptive partitioning
- <sup>20</sup> process. The O:C dimension allows comparison of model predictions with aerosol mass spectrometer (AMS) measurements to evaluate our conceptual model of atmospheric organic aerosol aging. O:C is a useful metric for analyzing OA aging in that species generally increase in O:C with every oxidation reaction (Kroll et al., 2011; Murphy et al., 2011). Volatility, on the other hand, should change to some degree with every oxi-
- dation step but may increase or decrease with complex dependencies on the nature of the reaction mechanism. A key is the relative role of functionalization processes, which tend to decrease volatility, and fragmentation of C-C bonds, which tends to increase volatility (Kroll et al., 2009; Chacon-Madrid et al., 2010). The complicated interactions between functionalizing and fragmenting pathways and the rates at which they occur



in both the vapor and aerosol phases will likely influence source-receptor relationships as well as the strength of urban enhancement over regional background levels. Unfortunately, isolating these processes in smog-chamber experiments is an exceedingly difficult task. We argue that a CTM employing the 2-D-VBS combined with the corre-

<sup>5</sup> sponding field measurements is well-suited to probe the sensitivity of modeled atmospheric OA formation to various aging schemes and assess the reasonableness with which those schemes represent both ambient OA loadings and chemical composition.

The 2-D-VBS scheme has been evaluated for one measurement site from the EU-CAARI summer campaign (Murphy et al., 2011). That study used a Lagrangian CTM

- to model one-dimensional columns of air as they approached Finokalia, a remote location on the island of Crete in Greece. The base-case OA aging model assumed that the products from each OH aging reaction have an effective saturation concentration one order of magnitude lower than the reactant. This scheme is a simple parameterization of the effects of aging on organic compounds that was based on laboratory and CTM other is a simple parameterized. 2002. Another state and CTM
- studies (Robinson et al., 2007; Lane et al., 2008; Grieshop et al., 2009; Murphy and Pandis, 2009). The model performed reasonably well in predicting OA mass and O:C for the Finokalia summer study. Several sensitivity tests were also performed, all based on the fundamental assumption that organic compounds only functionalized when oxidized. Those tests revealed that continually reducing the volatility of both anthropogenic
- <sup>20</sup> and biogenic OA compounds (assuming a reasonable reaction rate constant with the hydroxyl radical,  $k_{OH} = 1 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) would overpredict the OA measured at Finokalia; similar conclusions have been reached with 3-D CTMs (Lane et al., 2008; Murphy and Pandis, 2010). Those studies interpreted this overprediction as a possible indication that multigenerational aging results in a net average decrease in volatility for
- <sup>25</sup> anthropogenic SOA but no net average change in volatility for biogenic SOA, presumably due to a balancing of fragmentation and functionalization effects. This assumption has consequences for the fraction of total OA mass that the model predicts to be attributed to anthropogenic or biogenic sources and should be further investigated. The



current study, by explicitly including detailed functionalization and fragmentation of SOA from all sources, takes a more rigorous look at this assumption.

Understanding has grown significantly in the last decade of both condensed-phase chemistry involving hydroxyl radical reactive uptake to OA containing particles (Rudich

- et al., 2007) as well as aqueous-phase processing of species like glyoxal and methylglyoxal (Carlton et al., 2008; Sareen et al., 2010; Ervens et al., 2011). However, these processes are still regarded as highly uncertain contributors to atmospheric OA chemistry (Fuzzi et al., 2006; Hallquist et al., 2009). Aqueous-phase chemistry may enhance O:C or OA mass significantly if it can produce low volatility compounds with high oxygen
- <sup>10</sup> content. The base-case mechanism is constrained by smog-chamber studies which, for the most part, have found it difficult to reproduce the high ambient O:C values as high as ambient measurements (Chhabra et al., 2010; Ng et al., 2010; Qi et al., 2010). Recently, Chen et al. (2011) produced SOA from isoprene with higher O:C (0.75–0.88) but results with  $\alpha$ -pinene and  $\beta$ -caryophyllene SOA were much lower (0.33–0.52 and 0.33–
- 0.54, respectively). Those authors hypothesized that particle-phase reactions close the gap between chemical composition measured in the lab and that observed in the field. Here we implement reasonable approximations to condensed-phase processes and analyze their impacts on model-predicted OA mass concentrations and O:C over relevant atmospheric timescales.
- Building on the Murphy et al. (2011) framework, we extend the analysis in the current study to include two more measurement sites with good AMS datasets (Mace Head, Ireland and Cabauw, Netherlands), and explore model behavior for a winter period (February/March 2009). Further, we introduce more detailed functionalization and fragmentation schemes to the model and assess them at these particular sites. Finally,
- <sup>25</sup> we examine the impact on model predictions of both OA concentration and O:C when other proposed OA formation pathways (heterogeneous hydroxyl uptake and aqueousphase processing) are incorporated in the model framework.



### 2 Application to the EUCAARI campaigns

#### 2.1 Measurements

One of the major objectives of the EUCAARI campaigns was quantification of the relationship between anthropogenic aerosol particles and regional air quality (Kulmala et

al., 2009). Several AMS instruments were deployed to sites throughout Europe in May 2008 and February/March 2009 during EUCAARI intensives (Kulmala et al., 2011). This study will focus on observations made at two remote sites (Finokalia, Greece and Mace Head, Ireland) and one suburban site (Cabauw, Netherlands). In this way, the model will be evaluated not only under a variety of meteorological and photochemical conditions, but also under a variety of source influences.

Summertime observations at Finokalia were conducted from 8 May to 4 June 2008 (Pikridas et al., 2010). The solar radiation was intense during the period, with daily maxima always exceeding  $850 \text{ W m}^{-2}$ . The non-refractory PM<sub>1</sub> chemical composition was measured with an Aerodyne quadrupole aerosol mass spectrometer (Q-AMS) as

- <sup>15</sup> described in detail by Hildebrandt et al. (2010a). Using the organic mass at m/z 44 as measured by the Q-AMS, they estimated O:C with the parameterization of Aiken et al. (2008). The contribution from m/z 57 (characteristic of fresh, hydrocarbon-like organic species) was barely detectable throughout the campaign, indicating that heavily aged organic aerosol was arriving at the site regardless of the source location. The win-
- <sup>20</sup> ter campaign at Finokalia took place from 25 February to 25 March 2009 and the OA was much less oxidized than in the summer (average O:C = 0.5 and 0.8, respectively) as documented by Hildebrandt et al. (2010b). That study also found the OA chemical composition to be much more variable in the winter than the summer and concluded that decreases in photochemical activity in the wintertime likely explain the discrep-
- ancy. Here we have chosen 9 summer days and 10 winter days for evaluation because these days were characterized by air parcels originating from Europe within the previous 48 h instead of originating from Africa or from western parts of the Mediterranean Sea, where emission inventories are more uncertain.



The Mace Head Atmospheric Research Station is influenced by air masses that range from exceedingly clean (those that arrive directly from the North Atlantic to the west) to polluted continental outflow (Dall'Osto et al., 2010). Here, a High Resolution Time of Flight Aerosol Mass Spectrometer (HR-ToF-AMS) was deployed from 16 May

- <sup>5</sup> to 13 June 2008 and 26 February to 26 March 2009. O:C values were calculated explicitly rather than estimated from the m/z 44 results. Parcel trajectories arriving from the European continent tended to correlate with warm, sunny conditions, leading to higher degrees of oxidation. We focus on periods when polluted air masses arrived at the site from Europe, selecting 7 summer days and 5 winter days.
- The model must be able to capture near-source OA formation and processing in addition to longer-range regional transport and aging. The Cabauw station is located in a rural, agricultural area of the Netherlands; however, it is close to large urban sources (about 30 km from Rotterdam and Utrecht, 50 km from Amsterdam). Organic aerosol concentrations were observed with a HR-ToF-AMS from 28 April to 30 May 2008 and
- <sup>15</sup> 25 February to 25 March 2009. The summer dates were characterized by regional pollution events with high contribution of organics to PM<sub>1</sub> (Morgan et al., 2010a), whereas in winter nitrate was the dominant component (Mensah et al., 2011). Dates from these sets were selected for model comparison in order to avoid regional stagnation events that would make simulation with a one-dimensional Lagrangian transport model ex-
- <sup>20</sup> ceedingly difficult. This selection criterion still includes parcels that have arrived from nearby countries east or southeast of the Netherlands. Eight summer and 10 winter days were chosen.

#### 2.2 Chemical Transport Model

The CTM host model used in the current study was described in detail by Murphy et al. (2011). Briefly, the model simulates a one-dimensional column of air as it is advected through the European continental domain by wind fields obtained from the Weather Research and Forecast (WRF) model (Skamarock et al., 2008). A 10 gridcell vertical column reaches 2.5 km in the atmosphere, with the first cell rising from the



surface to 60 m height. The model takes into account atmospheric transport and chemical processes, including hourly emissions (both anthropogenic and biogenic), dry and wet deposition of gases and aerosols, gas-phase chemistry (SAPRC-99) and vertical turbulent dispersion. WRF is used offline to calculate the hourly gridded meteorological parameters (vertical dispersion coefficients, temperature, pressure, water vapor,

clouds, and rainfall) that are used as inputs to the CTM.

Anthropogenic and biogenic emissions are input as hourly gridded fields. Anthropogenic gases include land emissions from the GEMS dataset (Visschedijk et al., 2007) and emissions from international shipping activities. Anthropogenic particulate matter

- <sup>10</sup> mass emissions of organic and elemental carbon are based on the Pan-European Carbonaceous Aerosol Inventory (EUCAARI deliverable D42, 2009) that has been developed as part of the EUCAARI activities (Kulmala et al., 2009, 2011). Three different datasets are combined in order to produce the biogenic gridded emissions for the model. Emissions from ecosystems are produced offline by the Model of Emissions
- of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006). Since sea surface covers a considerable portion of the domain, the marine aerosol model developed by O'Dowd et al. (2008) has been used with the submicron aerosol sea-spray source function from Geever et al. (2005) to estimate mass fluxes for both accumulation and coarse mode including the organic aerosol fraction. Wind speed data from WRF and ablerant will a consentrations are the inputs needed for the marine aerosol model. Wild
- <sup>20</sup> chlorophyll-a concentrations are the inputs needed for the marine aerosol model. Wildfire emissions were also included (Sofiev et al., 2008a, b).

The Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler et al., 2009) is used to calculate 72 h back trajectories for each arriving air parcel. For consistency, this study uses the same meteorological fields (calculated by

WRF) as input to HYSPLIT to calculate the back trajectories. To help mitigate uncertainty from wind shear, 20 trajectories are calculated for each arrival time by varying the height of the arriving parcel from ground level to the 2.5 km model ceiling. The HYSPLIT clustering analysis utility is then used to estimate the path of one trajectory that best represents the origins of the 20 sample trajectories simultaneously.



PMCAMx-2008 includes an additional OA model species that is advected from outside the regional model boundaries. This species, background OA (bgOA), is assumed to be highly aged with very low volatility, and will be shown to be a minor influence on total OA mass concentrations at all of the sites for the model evaluation days chosen.

# 5 3 Organic aerosol aging

10

The OA module used for this study is based on the 2-D-VBS framework described in Donahue et al. (2011). Organic aerosol mass is segregated into 12 bins along an axis of effective saturation concentration ( $C^* = 10^{-5}$  to  $10^6 \,\mu g \,m^{-3}$  at 300 K) separated by an order of magnitude. This range includes intermediate volatility and semivolatile compounds important for atmospheric OA formation as well as low- and very low-volatility compounds that are important for comparisons to thermodenuder observations. Each  $C^*$  interval contains 13 bins to describe O:C (0.0 to 1.2 incremented by 0.1), so the complete 2-D-VBS for a given source class contains 156 bins.

Five OA source classes are resolved: (1) aSOA formed from the oxidation of anthropogenic VOCs, (2) bSOA formed from oxidation of biogenic VOCs, (3) POA species emitted with C\* ≤ 1000 µg m<sup>-3</sup>, which may evaporate and recondense during atmospheric transport, (4) OH oxidation products of the semivolatile primary emissions, known as semi-volatile SOA (sSOA), and, (5) intermediate volatility SOA (iSOA) from primary emissions with C\* > 1000 µg m<sup>-3</sup>. We parameterize emissions of these intermediate volatility organic compounds (IVOCs) with the often-used 1.5 times the original POA mass emission rate (Robinson et al., 2007; Murphy and Pandis, 2009; Hodzic et al., 2010; Tsimpidi et al., 2010). This mass is susceptible to homogeneous oxidation by OH and may go to lower volatility and condense to form SOA.

For first-generation SOA yields from anthropogenic and biogenic VOCs, we use parameters that have been applied to the eastern US, Mexico City and EUCAARI domains with reasonable success (Murphy and Pandis, 2010; Tsimpidi et al., 2010; Fountoukis et al., 2011). In previous work, these first-generation products were assumed to have



a uniform O:C of 0.4 in the base case, but an alternative parameterization was considered with O:C varying with the saturation concentration of the product (Murphy et al., 2011). Given that the trend of increasing O:C with decreasing volatility has been confirmed in several laboratory and field studies (Huffman et al., 2009; Kostenidou et al., 2009; Shilling et al., 2009; Ng et al., 2010; Chen et al., 2011), this work will assume

al., 2009; Shilling et al., 2009; Ng et al., 2010; Chen et al., 2011), this work will assume the latter, variable parameterization for first-generation SOA for all cases.

# 3.1 Base-case functionalization scheme

The primary focus of this work is to explore a variety of proposed OA aging schemes, and the effect they have on predicted organic mass concentrations and O:C values.

- The base-case model configuration used here is an extension of the one-dimensional volatility basis set (1-D-VBS) and is described in detail in Murphy et al. (2011). That scheme reasonably reproduced summertime organic mass and O:C observations at Finokalia. The scheme approximates the effects of functionalizing organic compounds through oxidation by OH after formation of the first-generation semivolatile products
- <sup>15</sup> (SOA and its attendant vapors) from traditional VOC oxidation. Each reaction is assumed to reduce saturation concentration by one order of magnitude and to add one or two oxygen atoms to the carbon skeleton with equal probability. For this case, an OH reaction rate constant equal to  $1 \times 10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> will be applied to the condensable gases in equilibrium with traditional anthropogenic SOA products and
- $4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  for those gas-phase species in equilibrium with sSOA and iSOA. Consistent with Murphy et al. (2011), traditional biogenic condensable gases are aged at an equal rate to the traditional anthropogenic species, but reactions are assumed not to decrease their saturation concentrations, only increase their oxygen content, with functionalization and fragmentation in a rough balance.
- <sup>25</sup> Another benefit of the 2-D-VBS framework is that this model explicitly describes the OM to OC ratio. Past studies (Shrivastava et al., 2008; Grieshop et al., 2009; Murphy and Pandis, 2009; Farina et al., 2010; Hodzic et al., 2010) estimated the amount of organic mass added based on rough assumptions about the net addition of oxygen



and possible hydrogen loss for each aging step. Because oxygen content is tracked by the O:C axis, total organic matter (OM) can be calculated online as described by Murphy et al. (2011). That study reported an average total organic mass addition of 16% per OH oxidation reaction, but this value is somewhat variable.

- <sup>5</sup> The one-bin shift functionalization scheme just described has certain implications for the behavior of the OA population as a whole. First, because oxidation lowers saturation concentration one bin per reaction, if only homogenous gas-phase reactions are considered, SOA mass tends to accumulate in the saturation concentration bin corresponding to the total atmospheric loading (typically 0.1 to 10 μg m<sup>-3</sup>). In other words,
- once the species condense they are no longer susceptible to oxidation, and will stay in this bin. The model will predict negligible SOA mass at effective saturation concentrations below 0.01 µg m<sup>-3</sup>. Second, because only one direction of volatility transformation is considered, oxidation reactions will progressively move mass to lower volatility bins and enhance OA concentrations as an air parcel continues along its trajectory. This aggressive addition of mass is inconsistent with known chemistry (Atkinson and Arey,
- 2003; Kroll et al., 2009) and may lead to overestimation at medium to long aging time scales (Grieshop et al., 2009).

This study will address both of these concerns by first implementing a functionalization scheme that is based on group contribution methods to predict vapor pressures of likely products, followed by implementing a fragmentation scheme that takes into account C-C bond scission that likely occurs at moderate to high O:C.

#### 3.2 Detailed functionalization scheme

20

This section describes the approach used to model functionalization of organic species in the 2-D-VBS. A more extensive explanation is given in Jimenez et al. (2009). An im-<sup>25</sup> portant underlying assumption here is that compounds under this model framework (with a certain  $C^*$  and O:C) are chemically similar enough to have a common average aging behavior during an aging reaction. It is for this reason that the model includes the initial step of oxidation of SOA precursors that is based on traditional



SOA chamber yield parameterizations: explicitly modeled species have unique chemistry, but the products map into one of the 2-D-VBS classes described above. After the unique first-generation step, we hypothesize that aging is carried out primarily by reaction with OH and can be written as follows:

5 ROG + OH 
$$\rightarrow \sum_{i=1}^{N_{\mathcal{O}}} \sum_{j=1}^{N_{\mathcal{O}^*}} \alpha_{i,j} P_{i,j}$$

10

where ROG is a specific reactive organic gas and  $\alpha_{i,j}$  is the stoichiometric yield of the product,  $P_{i,j}$  with *i* oxygen atoms added relative to the organic gas parent and experiences a change in volatility by *j* volatility bins relative to the parent.  $N_{C^*}$  is the total number of volatility intervals. The number of oxygen atoms added per generation of oxidation, *i*, will vary depending on the particular chemical pathway followed. Here we assume that  $N_{\Omega} = 3$ , with 50% of the reaction adding two oxygen atoms

$$\binom{N_{C^*}}{j=1}\alpha_{2,j}$$
, 20% adding one  $\binom{N_{C^*}}{j=1}\alpha_{1,j}$ , and 30% adding three  $\binom{N_{C^*}}{j=1}\alpha_{3,j}$ . Each

number of added oxygens results in a different distribution of volatility reduction. Here, we use the trends outlined by Donahue et al. (2011) and informed by the SIMPOL group

- <sup>15</sup> contribution methods developed by Pankow and Asher (2008). On average, each addition of an -OH functionality should be accompanied by an = O addition. This would lead to an average volatility reduction of -1.75 in  $\log_{10}C^*$  per oxygen group added. Recognizing that this is an average, we center a distribution around this volatility change, and write an aging kernel that assigns products as a function of volatility and number
- of oxygen atoms added (Table 1). The kernel is applied to every organic surrogate species (every combination of saturation concentration and O:C). The transformation must be mapped from the kernel, which describes the number of added oxygens, to the y-axis of the 2-D-VBS, which is O:C. Each point in the 2-D-VBS has a different average carbon number (Donahue et al., 2011) and thus will inform the translation from number of added oxygen atoms to change in O:C (Murphy et al. 2011). As an effective or the second sec
- <sup>25</sup> from number of added oxygen atoms to change in O:C (Murphy et al., 2011). As an



(1)

example, Table 2, shows the reaction stoichiometry that would result for a species with O:C = 0.4 and  $C^* = 10000 \,\mu g \,m^{-3}$ . Coefficients in Table 1 are in carbon mass units and were transformed to total mass units online with the approach documented previously. The coefficients in Table 2 are for total mass. For this detailed aging case, we relax the assumption that biogenic SOA species age differently than their traditional anthropogenic SOA counterparts and treat all of the mass similarly after the first generation of oxidation.

# 3.3 Fragmentation scheme

5

Breaking carbon bonds leads to products with lower carbon numbers than the parent, but not necessarily higher volatility since radical fragments will functionalize after they fragment. As described in the supplemental information of Jimenez et al. (2009), given that molecules of many structures exist in one surrogate species bin, it is likely that functional groups will exist in many locations throughout the carbon backbone. The locations of these functional groups will affect the probability of fragmenting at any given site, so we assume that this site of attack is on average randomly and thus

- uniformly distributed across the backbone as well. Since this uniform distribution acts on a molar basis, the mass distribution of the products will be weighted towards larger carbon number fragments. The O:C of the larger fragments will likely be similar to the O:C of the reactant hydrocarbon, and so these fragments will move directly right
- in the 2-D-VBS space, increasing in volatility but not in O:C. However, the smallest fragments will have a much larger O:C than the reactant. We represent this feature by assigning mass in all of the volatility bins to the right of the midpoint (from the reactant volatility bin to the highest modeled bin) O:C values that move diagonally towards the highest modeled O:C. Because some of these fragments will be radicals, we apply the
- <sup>25</sup> functionalization kernel from section 3.2 to a portion of those fragmentation products. The stable molecules remain at the  $C^*$  and O:C values resulting from the first part of the fragmentation process.



The branching ratio between the functionalization and fragmentation pathways must also be parameterized. Highly reduced, large organic species typical of primary emissions and driving first-generation SOA formation are likely to only add functionalities rather than fragment upon oxidation. As they age, though, and functional groups are added along the backbone, fragmentation becomes more likely. Ultimately, oxidation must result in CO<sub>2</sub> if a mechanism were carried through to its thermodynamic endpoint. The fragmentation branching ratio is parameterized with the following relationship (Donahue et al., 2012):

 $\beta_{\rm frag} = (O:C)^{(1/6)}$ 

<sup>10</sup> The branching ratio,  $\beta_{\text{frag}}$ , equals 0 if the compound has no oxygen and only functionalizes upon oxidation.  $\beta_{\text{frag}}$  will equal 1 if the reactant compound is so oxygenated that almost all of the products are fragmented when reacted with OH. This dependence on O:C ensures that compounds will most likely fragment after reaching O:C = 0.4.

# 3.4 Condensed-phase aging

<sup>15</sup> Two additional sensitivity cases to the detailed model configuration employing functionalization and fragmentation will be explored: (1) OH uptake and reaction in the particle phase, and (2) aqueous-phase SOA formation.

In addition to degrading gas-phase organic compounds, hydroxyl radicals can also collide with particles and react with OA molecules in the particle phase (or on the particle surface). This study uses the same theoretical assumptions that appeared in Murphy et al. (2011). The model does not explicitly account for the size dependence of OH uptake; instead it simulates this reaction similarly to the gas-phase mechanism but with a reduced rate constant ( $k_{OH} = 6 \times 10^{-12} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ ) to account for rate limitations due to diffusion (Weitkamp et al., 2008). This value is suggested as an upper bound by Jimenez et al. (2009), and is a factor of 3 larger than that used in previous work (Murphy et al., 2011), in which this heterogeneous process was found not to affect



(2)

either predicted OA concentrations or O:C significantly at Finokalia during the summer period. The products from each heterogeneous OH reaction are distributed with the same aging kernels applied to the gas-phase aging reactions. This is a source of uncertainty but little information exists regarding product distributions of heterogeneous <sup>5</sup> phase OH chemistry.

Aqueous-phase chemistry is considered here for the dissolution of glyoxal and methylglyoxal into the particulate aqueous phase followed by reaction with the hydroxyl radical to form oxalic acid and oxalic-acid like products (Carlton et al., 2008; Myrioke-falitakis et al., 2011). The solubilities of glyoxal and methylglyoxal are parameterized through their effective Henry's law constants  $(3 \times 10^5 \text{ and } 3.7 \times 10^3 \text{ M} \text{ atm}^{-1}$ , respectively). This aqueous-phase mass reacts with aqueous OH (H<sup>eff</sup> = 25 M atm<sup>-1</sup>; Seinfeld and Pandis, 2006) to form oxalic acid with a reaction rate constant of  $3.1 \times 10^9 \text{ mol}^{-1} \text{ s}^{-1}$  (Myriokefalitakis et al., 2011). This OA mass is subject to wet and dry deposition in the host transport model after formation. We assume O:C = 1.7 for SOA from glyoxal and 1.5 for that from methylehavel, appriatent with abamber experiments (Fryane et al.

1.5 for that from methylglyoxal, consistent with chamber experiments (Ervens et al., 2011).

# 4 Results

#### 4.1 Base-case functionalization scheme

The base-case scheme presents a relatively simple approach to aging chemistry, yet it
 reproduces diurnally averaged OA concentrations and O:C at the three EUCAARI sites
 reasonably well in both seasons (Fig. 1a, b). The model predicts OA concentrations better for summer conditions than winter at all three sites, with fractional error not exceeding 35% for summer (Table 3). For winter, the base-case model performs similarly for
 Finokalia and Cabauw, but overpredicts in Mace Head (fractional error = 88%). Fractional biases indicate overestimation of OA mass concentrations during both seasons at Finokalia and Cabauw, with stronger overestimation during the winter. The Mace



Head OA mass concentration predictions are consistently overpredicted in the winter time (fractional bias = 84 %). The root-mean-square-error for this particular dataset is also the worst, indicating possible problems with the winter emissions inventory near Ireland, or a problem with the application of the IVOC emission parameterization to sources in Western Europe. Otherwise, the base-case model appears to be behaving consistently throughout a range of low to moderate organic mass loadings.

O:C (Table 4) predictions are encouraging (fractional error  $\leq 28$  %, fractional bias  $\leq \pm 28$  %). The absolute magnitude of O:C predicted, which varies from 0.34 to 0.66, is also in agreement with AMS observations reported by Ng et al. (2010). Oxygenation is clearly underpredicted at Finokalia during the summer period. This result was seen in previous work (Murphy et al., 2011), but it was also noted that biases in the conversion from m/z 44 (measured by the AMS) to O:C could introduce up to 20% uncertainty in the observations. Still, the model reproduces the trend of higher O:C ratios with longer

10

transport times from sources that is seen in the ambient data.
 The volatility distributions shown in Fig. 2a and b correspond to the average state of OA and organic vapor mass of parcels arriving at the Cabauw ground site at 09:00 a.m. and 06:00 p.m. LT, respectively. These distributions illustrate an important feature of the simple scheme used for this base case. These figures are snapshots of different parcels at one location (Cabauw) rather than of the same air parcel as it continues to be

- <sup>20</sup> oxidized, but the basic trend is captured. Organic vapor mass is freshly emitted in the morning hours throughout a range of saturation concentrations, and by the afternoon it is pushed largely into the 0.1 and  $1 \mu g m^{-3}$  bins. Moreover, a great deal of vapor mass is not aged to lower volatility. These are the biogenic secondary organic vapors. If these were aged under this scheme, the model would likely overpredict OA concentrations
- at all sites as was seen in Murphy et al. (2011). Figure 3 shows the O:C distribution of parcels arriving at Finokalia at 01:00 p.m. LT averaged over all simulation days for each of the these three scenarios. The base-case scenario predicts O:C values that peak in the mid-afternoon and generally increase as the parcel proceeds along its trajectory, which is consistent with the picture of the atmosphere as an oxidizing environment



for organic molecules. Given AMS measurements that separate OOA into SV-OOA and low volatility OOA (LV-OOA), one might expect most OA mass at Finokalia to fall within a tight range of high O:C representative of a high degree of aging (Hildebrandt et al., 2010a). Instead, the model-predicted O:C distribution of the parcel as it arrives
<sup>5</sup> at the observation site (far right of Fig. 3a) does not indicate that most OA at Finokalia was aged to high O:C but rather that a heavy tail of mass dispersed in O:C space enhances the O:C weighted average. This may indicate a weakness in the base-case model's ability to represent OA aging chemistry accurately or it may reveal a different perspective of ambient OA worth investigating in field measurements. The figure also
<sup>10</sup> shows an accumulation of mass at very high O:C. As mentioned before, this model assumes that bSOA volatility is not affected by aging. Thus most of the aged bSOA vapors have plenty of opportunity to continue to age in the gas-phase and contribute to these highly oxygenated species.

#### 4.2 Detailed functionalization scheme

- <sup>15</sup> The functionalization kernel constitutes an attempt to more accurately represent the effect that addition of alcohol, carbonyl, and acid functionality to the carbon backbone will have on the volatility of typical atmospheric organic compounds. The products of this kind of oxidation pathway will likely reduce in saturation concentration by an average of 1.7 decades per added oxygen (3.4 decades per generation), significantly lower than assumed in the base-case model (1 decade per generation). As a consequence, organic mass beginning at higher volatility with little contribution to the condensed phase (i.e.  $C^* > 10 \,\mu \text{g m}^{-3}$ ) moves to lower volatility faster, enhancing bulk OA concentrations. This is clearly evident in Fig. 1c as well as Table 3. Predicted organic aerosol concentrations using the functionalization scheme increase and are biased high with a fractional bias reaching 69 % during the summer in Finokalia and 117 % during the Mace Head
- winter. Additionally, this scheme relaxes the assumption that aging has no *net* effect on the volatility of biogenic SOA and assumes that biogenic SOA ages exactly like its anthropogenic counterpart species. Much of the OA concentration enhancement seen



in the resulting prediction comes from aging of this bSOA mass. The most extreme example is at Finokalia during the summer, where the average concentration of bSOA mass increases from  $0.94 \,\mu g \,m^{-3}$  in the base case to  $3.73 \,\mu g \,m^{-3}$  in the detailed functionalization case (~300 % enhancement). The predicted enhancement in aSOA mass is comparatively modest, 0.62 to  $0.82 \,\mu g \,m^{-3}$  (~32 % enhancement).

The effect of this scheme on predicted O:C is also striking. Because, in this model configuration, fewer generations of oxidation are necessary to yield low volatility OA, the average O:C of OA formed decreases substantially (almost a factor of 2 at all sites and seasons). The predicted average O:C falls between 0.26 and 0.37, which is characteristic of semi-volatile oxygenated organic aerosol (SV-OOA) observed in the atmosphere (Ng et al., 2010), but significantly lower than that for bulk OA. This parameterization predicts an O:C trend that stays relatively constant throughout the evolution of the air parcel (Fig. 3b). The magnitude at which O:C is constant depends on both the functionalization kernel (saturation concentration reduction per OH reaction)

and the assumed extent of oxidation of the 1st-generation SOA products. Whereas some mass continues to age up to O:C = 0.6-0.7, more mass is added at low O:Cvalues from freshly emitted POA and recently oxidized SOA, and the bulk average O:C stays relatively constant, despite daily changes in meteorological conditions and variable emissions sources along the parcel path.

#### 20 4.3 Fragmentation scheme

5

Scission of carbon-carbon bonds due to oxidation may be an important process to atmospheric organic compound evolution but its overall effects are complex and uncertain. Our representation of the fragmentation phenomenon results in significant changes to predicted organic mass concentrations but not to O:C (Fig. 1) when compared to the detailed functionalization case. Bond cleavage results in product species with fewer carbons than the reactant molecule. Even though subsequent functionalization of these fragments may very well lead to products with lower volatility than the reactant, the average effect of fragmentation is to increase volatility, as expected.



This drives a decrease of OA concentrations compared to the detailed functionalization case. The fragmentation scenario predicts OA concentrations more similar to the base-case model configuration, although concentrations are substantially lower (generally  $0.6-1.0 \,\mu g \,m^{-3}$  less) for all cases except Cabauw winter, where concentrations

- <sup>5</sup> slightly increased. The detailed functionalization/fragmentation scenario includes more dynamic pathways for the evolution of aged products (they may increase or decrease in volatility and O:C by varying magnitudes depending on their location in the 2-D-VBS space), and from an OA mass concentration perspective, these effects seem to lead to closer agreement with ambient measurements.
- <sup>10</sup> Although OA mass concentration predictions seem to be within reason, the model fails to predict the degree of oxygenation observed during the EUCAARI study. Fractional biases (FB) are between -77% and -35%, following closely the detailed functionalization case. The two worst performing periods were summer in Finokalia (FB = -77%) and winter in Mace Head (FB = -72%), indicating that this scenario is deficient in representing aging over long time scales while pollutants are being trans-
- <sup>15</sup> deficient in representing aging over long time scales while pollutants are being transported to remote sites. The model performs marginally better in suburban Cabauw (FB = -57 % and -46 % in summer and winter, respectively), but there is still a clear discrepancy in the representation of oxidation chemistry as O:C is underpredicted by a little more than half even in this location that is close to sources. This case also pre-
- dicts a rather constant O:C (Fig. 3c) evolution along parcel trajectories, comparable to the previous case, which does not consider fragmentation. Mass concentrations are suppressed by added evaporation when fragmentation is introduced, and this evaporation becomes much more likely after compounds reach O:C = 0.4, explaining why the bulk-average O:C does not grow beyond this level. There is some mass growth at high O:C (~1.2), but this mass is a feature of the modeling framework functionalizing highly
- volatile ( $C^* \ge 10^6 \,\mu\text{g m}^{-3}$ ) compounds.



#### 4.4 Condensed-phase aging

#### 4.4.1 Heterogeneous reaction via OH uptake

The effects of particle-phase OA oxidation by OH uptake on total mass loadings are variable but relatively modest. One case (Finokalia summer) shows OA particulate mass enhancement, due to particle-phase reactions driving mass to even lower volatility, while other cases result in decreases in mass loadings when this chemistry is added (Table 5). These decreases are due to volatilization of aged organic species from the particle phase. This sensitivity study illustrates the considerable complexity that heterogeneous oxidation processes introduce in conceptual models of atmospheric OA aging. The overall effect these processes have on organic particulate loadings is a function of

- <sup>10</sup> The overall effect these processes have on organic particulate loadings is a function of several variables. The age of the parcel, the specific anthropogenic or biogenic sources involved, the OA mass loading, the O:C distribution, and local meteorological conditions all seem to play a role. Noting these complications, though, the net effect of heterogeneous chemistry via particle-phase OH reactions was not greater than  $\pm 0.4 \,\mu g m^{-3}$  in
- <sup>15</sup> any simulation in this study, even with the upper-bound estimation of the particle-phase OH reaction rate constant. These reactions have a more significant, and consistent effect on the degree of OA oxygenation though. Because oxidation can occur in both the particulate- and gas-phases and O:C increases with every reaction, it is enhanced for every case investigated here. Enhancements in O:C appear to be strongly correlated
- with exposure to high photochemical activity, as expected. The strongest increases are seen during summer periods at all sites and at low latitudes during the winter (O:C in Finokalia increases from 0.35 to 0.46), where there is more sunlight. The absolute magnitude of the increase (typically ~0.1) even at suburban Cabauw in the summer-time motivates further investigation of these processes, keeping in mind that the rate of reaction assumed is high compared to what laboratory studies suggest.

Figure 3d shows that for this scenario, as in the base case, O:C continues to increase as the parcel is transported toward its destination. This is mostly due to a build-up of mass high in O:C ( $\sim$ 1.1). This mass is oxidized in the particle phase but



does not volatilize. A major source of uncertainty in this effort is the assumption that heterogeneous- and gas-phase reactions can be modeled with the same aging kernels. It is quite possible that chemistry in the condensed-phase would lead to formation of different products than that in the gas-phase. Thus, the model configuration used here is only a first guess.

# 4.4.2 Aqueous-phase SOA formation

5

10

This scenario includes formation of SOA by glyoxal and methylglyoxal absorbed into cloud water and reacted with hydroxyl radicals absorbed into the same phase. Formation of these precursor species in the gas-phase by oxidation of hydrocarbons is parameterized by the SAPRC99 chemical mechanism. Overall, glyoxal formed about two orders of magnitude more OA mass than methylglyoxal in all cases. This was expected given their significant difference in effective Henry's law constants. Negligible enhancements to both OA mass loadings ( $\leq 3\%$ ) and O:C ( $\leq 10\%$ ) at the surface were seen for the diurnally-averaged, representative days at all sites when this chemical path-

way was added to the detailed functionalization/fragmentation scenario. However, OA mass enhancement on some specific days was significantly higher than the average increase. For example, on 17 March at Mace Head, 0.1 μg m<sup>-3</sup> was formed by glyoxal processing, which is about 10 times the average formation seen for the representative day evaluated in winter at that site.

<sup>20</sup> The OA production from this scenario is somewhat lower than that seen by Carlton et al. (2008), where monthly average *carbon* mass was increased by ~0.2  $\mu$ gC m<sup>-3</sup> at Speciation Trends Network (STN) and IMPROVE measurement sites in the Eastern United States. It is also lower in absolute magnitude than estimates from observations of glyoxal during the recent CaINEX campaign, where 0–0.2  $\mu$ g m<sup>-3</sup> organic matter

was attributed to glyoxal processing (Washenfelder et al., 2011). However, the relative contribution estimated from that study was 0–4% of total SOA mass formed, which is similar to the results here. Another important consideration in comparing results among aqueous-phase processing studies is the episodic nature of this phenomenon that has



been observed. Carlton et al. (2008) point out that meteorology, including cloud field variability can play a significant role in model predictions. The current results indicate that aqueous-phase processing may not be as important from a regional, highly averaged perspective. Thus individual model studies should be compared with each other and with measurements for the same time and location.

# 5 Discussion

When viewed together, the base-case, detailed functionalization and detailed functionalization/fragmentation scenarios explored in this study reveal interesting features regarding the current concept of OA aging. The base-case model has weaknesses due to its chemical simplicity. It can be criticized for accumulating OA mass in the semivolatile 10 regime that is acceptable over the course of a laboratory experiment but may lead to mass overprediction at atmospherically-relevant timescales. However, the model performs well in CTM evaluations after certain assumptions (i.e. no net effect of aging on volatility of bSOA) are introduced (Lane et al., 2008; Murphy and Pandis, 2009; Tsimpidi et al., 2010; Fountoukis et al., 2011). The detailed functionalization scenario 15 employs the fundamentals of group contribution theory to approximate the effect on volatility of adding relevant functional groups to the carbon backbone (Donahue et al., 2011). This approach results in OA overprediction and O:C underprediction in every case examined in this dataset and is therefore not likely representing the whole aging story on its own. 20

Fragmentation may be an important process causing overall loss of OA mass for an ensemble of compounds. However, the specific effect of fragmentation on individual species may not be to increase volatility, especially for large, reduced compounds. Adding this chemical pathway to the detailed functionalization scenario decreased OA

mass concentrations to the approximate magnitude predicted by the base-case and brought the model into close agreement with the measurements. Because source contributions are variable among the sites and seasons used in this study (Fig. 4), it would



be fair to hypothesize that this detailed functionalization/fragmentation scheme would predict bulk OA concentrations reasonably well if used in a large-scale 3-D CTM.

There are other important concerns to be addressed though. First, the base-case and detailed functionalization/fragmentation models predict very different O:C trends

- <sup>5</sup> along a parcel trajectory. Fragmentation acts as a sink for moderately oxidized compounds in removing them from the particle phase through volatilization according to the branching ratio calculated (Eq. 2). Reduced compounds are not as susceptible to this process so they provide an additional source for aerosol mass at lower O:C. With a source and sink in place, a trend similar in behavior to a pseudo-steady-state develops
- and O:C stays relatively constant along a parcel trajectory. The base case does not include a fragmentation sink, and thus accumulation of O:C and OA mass is evident as air parcels age. The second concern addresses apportionment of OA to its sources, an important issue to understand when recommending, adopting and enforcing air quality standards. Figure 4 reemphasizes the relative agreement between the base case
- and detailed functionalization/fragmentation case, especially when compared to the enhanced OA mass concentrations for the detailed functionalization case alone. However, this gross agreement is not always achieved for the same reasons. For example, although the Mace Head winter case shows similar fractional contribution among the source categories isolated by the model for the base case and detailed functionaliza-
- tion/fragmentation case, the summertime Finokalia illustrates high discrepancy, where the bSOA contributes 65% of the OA mass to the system when it is aged, while it makes up only 30% for the base case model configuration. In fact, most sites show this rough doubling of the fractional contribution of biogenic SOA to the total when aging is explicitly accounted for with the 2-D-VBS approach. Biogenic SOA dominates over
- traditional sources of SOA (e.g. toluene, xylene, alkenes, alkanes, etc.) for all summertime scenarios when fragmentation is treated similarly for both surrogates. In most cases though, summer and winter, more than half of the total OA mass is predicted to originate from anthropogenic sources, which includes traditional SOA, intermediate volatility SOA, semivolatile SOA and POA. There are also uncertainties about the role



of  $NO_x$  (anthropogenic) in enhancing bSOA growth. Above all it is important for policy applications to have an understanding of how much ambient OA loadings can be reduced by reducing anthropogenic emissions.

#### 6 Conclusions

- <sup>5</sup> This work presented the continued development and evaluation of the 2-D-VBS framework with ambient observations from the EUCAARI campaign. The model was evaluated for its ability to predict OA mass concentrations and O:C at two remote sites and one suburban site for two seasons. The base-case aging configuration, which employed a simplified functionalization scheme designed only to reduce the volatility of <sup>10</sup> organic species by one decade in saturation concentration upon gas-phase OH oxi
  - dation, predicted OA concentrations moderately well (fractional error  $\leq$ 40%, fractional bias  $\leq \pm 35$ %) for all sites except Mace Head in the winter time. O:C was predicted well at all sites, especially given the variability in O:C seen at each site throughout the year.
- Two other schemes were developed to explore the roles of more detailed parameterizations of functionalization and fragmentation processes. The detailed functionalization case overpredicted OA concentrations at all sites and underpredicted O:C ratios considerably. This is a direct result of the assumed shift of -1.75 in  $\log_{10}C^*$  for every functional group added. With functionalization and fragmentation invoked for all SOA species (including biogenic SOA), the model predicted less OA mass concentrations
- than the base case and the detailed functionalization case. Statistically, this scheme showed the best performance for OA mass concentration predictions during the summer. However, it performed worse than the base-case scheme at predicting O:C. It is possible that some other process not present in this model contributes to the enhanced degree of oxygenation seen at photochemically intense, remote sites like Finokalia.
- <sup>25</sup> Heterogeneous reaction with OH in the particle phase, and aqueous-phase production of oxalic acid from glyoxal and methylglyoxal were both explored to try to close the gap in O:C predictions of the functionalization/fragmentation scheme. Heterogeneous



reactions (an upper bound parameterization) enhanced O:C by continuing to oxidize particle-bound species, but also served to decrease OA concentrations during several periods due to fragmentation and evaporation out of the particle phase. Aqueous-phase production of oxalic acid did not produce enough highly oxygenated OA to close the 5 modeled/measurement gap in O:C either.

Further understanding of the full OA aging mechanism is important for fundamental understanding of OA atmospheric chemistry but also policy decision making. The 2-D-VBS is a useful conceptual framework for organizing atmospheric organic chemistry into a relatively concise picture. It is also readily evaluated with physical observations of ambient and laboratory particular through the use of the AMC and thermadenudar

- of ambient and laboratory particles through the use of the AMS and thermodenuder. However, large uncertainties still loom for this approach, including the parameterization for the functionalization/fragmentation branching ratio and the nature of the chemistry that may be occurring in the particle phase. Future laboratory work is needed to reduce these uncertainties and identify other processes that could account for the high degree
- of oxygenation seen in summertime Finokalia where transport times are long and photochemistry is substantial. In general the 2-D-VBS space is a great utility for exploring these uncertainties as lessons learned from laboratory studies are connected to field observations.

Acknowledgements. The authors gratefully acknowledge Lea Hildebrandt Ruiz and
 Amewu Mensah for their contributions of mass spectrometer measurements from the Finokalia Aerosol Measurement Experiments (FAME) and Cabauw, respectively, in May 2008 and February–March 2009. This research was supported by the Electric Power Research Institute (EPRI), the Pan-European Gas-AeroSOIs-climate interaction Study (PEGASOS) and the European Research Council (project ATMOPACS).

#### 25 **References**

Aiken, A. C., Decarlo, P. F., Kroll, J. H., Worsnop, D. R., Huffman, J. A., Docherty, K. S., Ulbrich, I. M., Mohr, C., Kimmel, J. R., Sueper, D., Sun, Y., Zhang, Q., Trimborn, A., Northway, M.,



Ziemann, P. J., Canagaratna, M. R., Onasch, T. B., Alfarra, M. R., Prevot, A. S. H., Dommen, J., Duplissy, J., Metzger, A., Baltensperger, U., and Jimenez, J. L.: O/C and OM/OC ratios of primary, secondary, and ambient organic aerosols with high-resolution time-of-flight aerosol mass spectrometry, Environ. Sci. Technol., 42, 4478–4485, doi:10.1021/Es703009q, 2008.

- 5 Atkinson, R. and Arey, J.: Atmospheric degradation of volatile organic compounds, Chem. Rev., 103, 4605–4638, doi:10.1021/Cr0206420, 2003.
  - Aumont, B., Szopa, S., and Madronich, S.: Modelling the evolution of organic carbon during its gas-phase tropospheric oxidation: development of an explicit model based on a self generating approach, Atmos. Chem. Phys., 5, 2497–2517, doi:10.5194/acp-5-2497-2005, 2005.
- <sup>10</sup> Carlton, A. G., Turpin, B. J., Altieri, K. E., Seitzinger, S. P., Mathur, R., Roselle, S. J., and Weber, R. J.: CMAQ model performance enhanced when in-cloud secondary organic aerosol is included: comparisons of organic carbon predictions with measurements, Environ. Sci. Technol., 42, 8798–8802, doi:10.1021/Es801192n, 2008.

Chacon-Madrid, H. J., Presto, A. A., and Donahue, N. M.: Functionalization vs. fragmentation:

- n-aldehyde oxidation mechanisms and secondary organic aerosol formation, Phys. Chem. Chem. Phys., 12, 13975–13982, doi:10.1039/C0cp00200c, 2010.
  - Chen, Q., Liu, Y. J., Donahue, N. M., Shilling, J. E., and Martin, S. T.: Particle-phase chemistry of secondary organic material: modeled compared to measured O:C and H:C elemental ratios provide constraints, Environ. Sci. Technol., 45, 4763–4770, doi:10.1021/Es104398s, 2011.

20

Chhabra, P. S., Flagan, R. C., and Seinfeld, J. H.: Elemental analysis of chamber organic aerosol using an aerodyne high-resolution aerosol mass spectrometer, Atmos. Chem. Phys., 10, 4111–4131, doi:10.5194/acp-10-4111-2010, 2010.

Dall'Osto, M., Ceburnis, D., Martucci, G., Bialek, J., Dupuy, R., Jennings, S. G., Berresheim, H.,

- <sup>25</sup> Wenger, J., Healy, R., Facchini, M. C., Rinaldi, M., Giulianelli, L., Finessi, E., Worsnop, D., Ehn, M., Mikkilä, J., Kulmala, M., and O'Dowd, C. D.: Aerosol properties associated with air masses arriving into the North East Atlantic during the 2008 Mace Head EUCAARI intensive observing period: an overview, Atmos. Chem. Phys., 10, 8413–8435, doi:10.5194/acp-10-8413-2010, 2010.
- <sup>30</sup> Donahue, N. M., Robinson, A. L., Stanier, C. O., and Pandis, S. N.: Coupled partitioning, dilution, and chemical aging of semivolatile organics, Environ. Sci. Technol., 40, 2635–2643, doi:10.1021/Es052297c, 2006.



Donahue, N. M., Epstein, S. A., Pandis, S. N., and Robinson, A. L.: A two-dimensional volatility basis set: 1. organic-aerosol mixing thermodynamics, Atmos. Chem. Phys., 11, 3303–3318, doi:10.5194/acp-11-3303-2011, 2011.

Donahue, N. M., Kroll, J. H., Pandis, S. N., and Robinson, A. L.: A two-dimensional volatility

basis set – Part 2: Diagnostics of organic-aerosol evolution, Atmos. Chem. Phys., 12, 615– 634, doi:10.5194/acp-12-615-2012, 2012.

Draxler, R., Stunder, B., Rolph, G., Stein, A., and Taylor, A.: HYSPLIT4 User's Guide, NOAA Silver Spring, MD, 231 pp., 2009.

Ervens, B., Turpin, B. J., and Weber, R. J.: Secondary organic aerosol formation in cloud droplets and aqueous particles (aqSOA): a review of laboratory, field and model studies,

Atmos. Chem. Phys., 11, 11069–11102, doi:10.5194/acp-11-11069-2011, 2011. EUCAARI deliverable D42: D42 Pan – European carbonaceous aerosol inventory, EUCAARI

Deliverable Report, Geosciences, T. B. E. a. Utrecht, Netherlands, 2009.

10

15

Farina, S. C., Adams, P. J., and Pandis, S. N.: Modeling global secondary organic aerosol formation and processing with the volatility basis set: Implications for anthropogenic secondary organic aerosol, J. Geophys. Res. Atmos., 115, D09202, doi:10.1029/2009jd013046, 2010.

- Fountoukis, C., Racherla, P. N., Denier van der Gon, H. A. C., Polymeneas, P., Charalampidis, P.
   E., Pilinis, C., Wiedensohler, A., Dall'Osto, M., O'Dowd, C., and Pandis, S. N.: Evaluation of a three-dimensional chemical transport model (PMCAMx) in the European domain during the
- 20 EUCAARI May 2008 campaign, Atmos. Chem. Phys., 11, 10331–10347, doi:10.5194/acp-11-10331-2011, 2011.
  - Fuzzi, S., Andreae, M. O., Huebert, B. J., Kulmala, M., Bond, T. C., Boy, M., Doherty, S. J., Guenther, A., Kanakidou, M., Kawamura, K., Kerminen, V.-M., Lohmann, U., Russell, L. M., and Pöschl, U.: Critical assessment of the current state of scientific knowledge, terminology,
- <sup>25</sup> and research needs concerning the role of organic aerosols in the atmosphere, climate, and global change, Atmos. Chem. Phys., 6, 2017–2038, doi:10.5194/acp-6-2017-2006, 2006.
  - Geever, M., O'Dowd, C. D., Ekeren, S., Flanagan, R., Nilsson, E. D., Leeuw, G., and Rannik, U.: Submicron sea spray fluxes, Geophys. Res. Lett., 32, L15810, doi:10.1029/2005GL023081, 2005.
- Goldstein, A. H. and Galbally, I. E.: Known and unexplored organic constituents in the Earth's atmosphere, Environ. Sci. Technol., 41, 1514–1521, doi:10.1021/es072476p, 2007.
  - Grieshop, A. P., Logue, J. M., Donahue, N. M., and Robinson, A. L.: Laboratory investigation of photochemical oxidation of organic aerosol from wood fires 1: measurement and simulation



9886

of organic aerosol evolution, Atmos. Chem. Phys., 9, 1263–1277, doi:10.5194/acp-9-1263-2009, 2009.

Griffin III., R. J., Flagan, D. R. C., and Seinfeld, J. H.: Organic aerosol formation from the oxidation of biogenic hydrocarbons, J. Geophys. Res., 104, 3555–3567, 1999.

<sup>5</sup> Griffin, R. J., Dabdub, D., and Seinfeld, J. H.: Secondary organic aerosol – 1. Atmospheric chemical mechanism for production of molecular constituents, J. Geophys. Res. Atmos., 107, 4332, doi:10.1029/2001jd000541, 2002.

Grosjean, D. and Seinfeld, J. H.: Parameterization of the formation potential of secondary organic aerosols, Atmos. Environ., 23, 1733–1747, doi:10.1016/0004-6981(89)90058-9, 1989.

Guenther, A., Karl, T., Harley, P., Wiedinmyer, C., Palmer, P. I., and Geron, C.: Estimates of global terrestrial isoprene emissions using MEGAN (Model of Emissions of Gases and Aerosols from Nature), Atmos. Chem. Phys., 6, 3181–3210, doi:10.5194/acp-6-3181-2006, 2006.

Hallquist, M., Wenger, J. C., Baltensperger, U., Rudich, Y., Simpson, D., Claeys, M., Dommen,

- J., Donahue, N. M., George, C., Goldstein, A. H., Hamilton, J. F., Herrmann, H., Hoffmann, T., Iinuma, Y., Jang, M., Jenkin, M. E., Jimenez, J. L., Kiendler-Scharr, A., Maenhaut, W., McFiggans, G., Mentel, Th. F., Monod, A., Prévôt, A. S. H., Seinfeld, J. H., Surratt, J. D., Szmigielski, R., and Wildt, J.: The formation, properties and impact of secondary organic aerosol: current and emerging issues, Atmos. Chem. Phys., 9, 5155–5236, doi:10.5194/acp-9-5155-2009, 2009.
- Hildebrandt, L., Engelhart, G. J., Mohr, C., Kostenidou, E., Lanz, V. A., Bougiatioti, A., DeCarlo, P. F., Prevot, A. S. H., Baltensperger, U., Mihalopoulos, N., Donahue, N. M., and Pandis, S. N.: Aged organic aerosol in the Eastern Mediterranean: the Finokalia Aerosol Measurement Experiment 2008, Atmos. Chem. Phys., 10, 4167–4186, doi:10.5194/acp-10-4167-2010, 2010a.
  - Hildebrandt, L., Kostenidou, E., Mihalopoulos, N., Worsnop, D. R., Donahue, N. M., and Pandis, S. N.: Formation of highly oxygenated organic aerosol in the atmosphere: Insights from the Finokalia Aerosol Measurement Experiments, Geophys. Res. Lett., 37, L23801, doi:10.1029/2010gl045193, 2010b.
- Hodzic, A., Jimenez, J. L., Madronich, S., Canagaratna, M. R., DeCarlo, P. F., Kleinman, L., and Fast, J.: Modeling organic aerosols in a megacity: potential contribution of semi-volatile and intermediate volatility primary organic compounds to secondary organic aerosol formation, Atmos. Chem. Phys., 10, 5491–5514, doi:10.5194/acp-10-5491-2010, 2010.



- Huffman, J. A., Docherty, K. S., Mohr, C., Cubison, M. J., Ulbrich, I. M., Ziemann, P. J., Onasch, T. B., and Jimenez, J. L.: Chemically-resolved volatility measurements of organic aerosol from different sources, Environ. Sci. Technol., 43, 5351–5357, doi:10.5194/acp-9-7161-2009, 2009.
- <sup>5</sup> IPCC: Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change, Press, C. U. Cambridge, United Kingdom and New York, NY, USA, 996 pp., 2007.
  - Isaksen, I. S. A., Granier, C., Myhre, G., Berntsen, T. K., Dalsøren, S. B., Gauss, M., Klimont, Z., Benestad, R., Bousquet, P., Collins, W., Cox, T., Eyring, V., Fowler, D., Fuzzi, S., Jöckel, P.,
- Laj, P., Lohmann, U., Maione, M., Monks, P., Prevot, A. S. H., Raes, F., Richter, A., Rognerud, B., Schulz, M., Shindell, D., Stevenson, D. S., Storelvmo, T., Wang, W. C., van Weele, M., Wild, M., and Wuebbles, D.: Atmospheric composition change: climate-chemistry interactions, Atmos. Environ., 43, 5138–5192, 2009.
- Jimenez, J. L., Canagaratna, M. R., Donahue, N. M., Prevot, A. S. H., Zhang, Q., Kroll, J. H., DeCarlo, P. F., Allan, J. D., Coe, H., Ng, N. L., Aiken, A. C., Docherty, K., Ulbrich, I., Grieshop, A. P., Robinson, A. L., Duplissy, J., Smith, J. D., Wilson, K. R., Lanz, V. A., Hueglin, C., Sun, Y.
  - L., Tian, J., Laaksonen, A., Raatikainen, T., Rautiainen, J., Vaattovaara, P., Ehn, M., Kulmala, M., Tomlinson, J. M., Collins, D. R., Cubison, M. J., Dunlea, E., Huffman, J. A., Onasch, T. B., Alfarra, M. R., Williams, P., Bower, K., Kondo, Y., Schneider, J., Drewnick, F., Borrmann,
- S., Weimer, S., Demerjian, K., Salcedo, D., Cottrell, L., Griffin, R. J., Takami, A., Miyoshi, T., Hatakeyama, S., Shimono, A., Sun, J. Y., Zhang, Y. M., Dzepina, K., Kimmel, J. R., Sueper, D., Jayne, J. T., Herndon, S. C., Trimborn, A., Williams, L. R., Wood, E. C., Middlebrook, A. M., Kolb, C. E., Baltensperger, U., and Worsnop, D. R.: Evolution of organic aerosols in the atmosphere, Science, 326, 1525–1529, 2009.
- Johnson, D., Utembe, S. R., Jenkin, M. E., Derwent, R. G., Hayman, G. D., Alfarra, M. R., Coe, H., and McFiggans, G.: Simulating regional scale secondary organic aerosol formation during the TORCH 2003 campaign in the southern UK, Atmos. Chem. Phys., 6, 403–418, doi:10.5194/acp-6-403-2006, 2006.

Kanakidou, M., Seinfeld, J. H., Pandis, S. N., Barnes, I., Dentener, F. J., Facchini, M. C., Van

Dingenen, R., Ervens, B., Nenes, A., Nielsen, C. J., Swietlicki, E., Putaud, J. P., Balkanski, Y.,
 Fuzzi, S., Horth, J., Moortgat, G. K., Winterhalter, R., Myhre, C. E. L., Tsigaridis, K., Vignati,
 E., Stephanou, E. G., and Wilson, J.: Organic aerosol and global climate modelling: a review,
 Atmos. Chem. Phys., 5, 1053–1123, doi:10.5194/acp-5-1053-2005, 2005.



Kostenidou, E., Lee, B. H., Engelhart, G. J., Pierce, J. R., and Pandis, S. N.: Mass spectra deconvolution of low, medium, and high volatility biogenic secondary organic aerosol, Environ. Sci. Technol., 43, 4884–4889, doi:10.1021/Es803676g, 2009.

Kroll, J. H. and Seinfeld, J. H.: Chemistry of secondary organic aerosol: Formation and

- evolution of low-volatility organics in the atmosphere, Atmos. Environ., 42, 3593–3624, doi:10.1016/j.atmosenv.2008.01.003, 2008.
  - Kroll, J. H., Smith, J. D., Che, D. L., Kessler, S. H., Worsnop, D. R., and Wilson, K. R.: Measurement of fragmentation and functionalization pathways in the heterogeneous oxidation of oxidized organic aerosol, Phys. Chem. Chem. Phys., 11, 8005–8014, doi:10.1039/B905289e, 2009.
- Kroll, J. H., Donahue, N. M., Jimenez, J. L., Kessler, S. H., Canagaratna, M. R., Wilson, K. R., Altieri, K. E., Mazzoleni, L. R., Wozniak, A. S., Bluhm, H., Mysak, E. R., Smith, J. D., Kolb, C. E., and Worsnop, D. R.: Carbon oxidation state as a metric for describing the chemistry of atmospheric organic aerosol, Nature Chemistry, 3, 133–139, doi:10.1038/Nchem.948, 2011.

10

- <sup>15</sup> Kulmala, M., Asmi, A., Lappalainen, H. K., Carslaw, K. S., Pöschl, U., Baltensperger, U., Hov, Ø., Brenquier, J.-L., Pandis, S. N., Facchini, M. C., Hansson, H.-C., Wiedensohler, A., and O'Dowd, C. D.: Introduction: European Integrated Project on Aerosol Cloud Climate and Air Quality interactions (EUCAARI) – integrating aerosol research from nano to global scales, Atmos. Chem. Phys., 9, 2825–2841, doi:10.5194/acp-9-2825-2009, 2009.
- Kulmala, M., Asmi, A., Lappalainen, H. K., Baltensperger, U., Brenguier, J.-L., Facchini, M. C., Hansson, H.-C., Hov, Ø., O'Dowd, C. D., Pöschl, U., Wiedensohler, A., Boers, R., Boucher, O., de Leeuw, G., Denier van der Gon, H. A. C., Feichter, J., Krejci, R., Laj, P., Lihavainen, H., Lohmann, U., McFiggans, G., Mentel, T., Pilinis, C., Riipinen, I., Schulz, M., Stohl, A., Swietlicki, E., Vignati, E., Alves, C., Amann, M., Ammann, M., Arabas, S., Artaxo, P., Baars, H., Beddows, D. C. S., Bergström, R., Beukes, J. P., Bilde, M., Burkhart, J. F., Canonaco, F., Clegg, S. L., Coe, H., Crumeyrolle, S., D'Anna, B., Decesari, S., Gilardoni, S., Fischer, M., Fjaeraa, A. M., Fountoukis, C., George, C., Gomes, L., Halloran, P., Hamburger, T., Harrison, R. M., Herrmann, H., Hoffmann, T., Hoose, C., Hu, M., Hyvärinen, A., Hõrrak, U., linuma, Y.,
- Iversen, T., Josipovic, M., Kanakidou, M., Kiendler-Scharr, A., Kirkevåg, A., Kiss, G., Klimont,
   Z., Kolmonen, P., Komppula, M., Kristjánsson, J.-E., Laakso, L., Laaksonen, A., Labonnote,
   L., Lanz, V. A., Lehtinen, K. E. J., Rizzo, L. V., Makkonen, R., Manninen, H. E., McMeeking,
   G., Merikanto, J., Minikin, A., Mirme, S., Morgan, W. T., Nemitz, E., O'Donnell, D., Panwar,
   T. S., Pawlowska, H., Petzold, A., Pienaar, J. J., Pio, C., Plass-Duelmer, C., Prévôt, A. S. H.,



Pryor, S., Reddington, C. L., Roberts, G., Rosenfeld, D., Schwarz, J., Seland, Ø., Sellegri, K., Shen, X. J., Shiraiwa, M., Siebert, H., Sierau, B., Simpson, D., Sun, J. Y., Topping, D., Tunved, P., Vaattovaara, P., Vakkari, V., Veefkind, J. P., Visschedijk, A., Vuollekoski, H., Vuolo, R., Wehner, B., Wildt, J., Woodward, S., Worsnop, D. R., van Zadelhoff, G.-J., Zardini, A.

A., Zhang, K., van Zyl, P. G., Kerminen, V.-M., Carslaw, K. S., and Pandis, S. N.: General overview: European Integrated project on Aerosol Cloud Climate and Air Quality interactions (EUCAARI) – integrating aerosol research from nano to global scales, Atmos. Chem. Phys., 11, 13061–13143, doi:10.5194/acp-11-13061-2011, 2011.

Lane, T. E., Donahue, N. M., and Pandis, S. N.: Simulating secondary organic aerosol formation

- using the volatility basis-set approach in a chemical transport model, Atmos. Environ., 42, 7439–7451, doi:10.1016/j.atmosenv.2008.06.026, 2008.
  - Mensah, A. A., Holzinger, R., Otjes, R., Trimborn, A., Mentel, T. F., ten Brink, H., Henzing, B., and Kiendler-Scharr, A.: Aerosol chemical composition at Cabauw, the Netherlands as observed in two intensive periods in May 2008 and March 2009, Atmos. Chem. Phys. Discuss., 11, 27661–27699. doi:10.5194/acpd-11-27661-2011. 2011.
- 11, 27661–27699, doi:10.5194/acpd-11-27661-2011, 2011.
   Morgan, W. T., Allan, J. D., Bower, K. N., Esselborn, M., Harris, B., Henzing, J. S., Highwood, E. J., Kiendler-Scharr, A., McMeeking, G. R., Mensah, A. A., Northway, M. J., Osborne, S., Williams, P. I., Krejci, R., and Coe, H.: Enhancement of the aerosol direct radiative effect by semi-volatile aerosol components: airborne measurements in North-Western Europe, Atmos.
   Chem. Phys., 10, 8151–8171, doi:10.5194/acp-10-8151-2010, 2010a.
- Chem. Phys., 10, 8151–8171, doi:10.5194/acp-10-8151-2010, 2010a.
   Morgan, W. T., Allan, J. D., Bower, K. N., Highwood, E. J., Liu, D., McMeeking, G. R., Northway, M. J., Williams, P. I., Krejci, R., and Coe, H.: Airborne measurements of the spatial distribution of aerosol chemical composition across Europe and evolution of the organic fraction, Atmos. Chem. Phys., 10, 4065–4083, doi:10.5194/acp-10-4065-2010, 2010b.
- Murphy, B. N. and Pandis, S. N.: Simulating the formation of semivolatile primary and secondary organic aerosol in a regional chemical transport model, Environ. Sci. Technol., 43, 4722– 4728, doi:10.1021/Es803168a, 2009.
  - Murphy, B. N. and Pandis, S. N.: Exploring summertime organic aerosol formation in the eastern United States using a regional-scale budget approach and ambient measurements, J.
- <sup>30</sup> Geophys. Res.-Atmos., 115, D24216, doi:10.1029/2010jd014418, 2010.
  - Murphy, B. N., Donahue, N. M., Fountoukis, C., and Pandis, S. N.: Simulating the oxygen content of ambient organic aerosol with the 2D volatility basis set, Atmos. Chem. Phys., 11, 7859–7873, doi:10.5194/acp-11-7859-2011, 2011.



- Myriokefalitakis, S., Tsigaridis, K., Mihalopoulos, N., Sciare, J., Nenes, A., Kawamura, K., Segers, A., and Kanakidou, M.: In-cloud oxalate formation in the global troposphere: a 3-D modeling study, Atmos. Chem. Phys., 11, 5761–5782, doi:10.5194/acp-11-5761-2011, 2011.
  Ng, N. L., Canagaratna, M. R., Zhang, Q., Jimenez, J. L., Tian, J., Ulbrich, I. M., Kroll, J. H.,
- Docherty, K. S., Chhabra, P. S., Bahreini, R., Murphy, S. M., Seinfeld, J. H., Hildebrandt, L., Donahue, N. M., DeCarlo, P. F., Lanz, V. A., Prévôt, A. S. H., Dinar, E., Rudich, Y., and Worsnop, D. R.: Organic aerosol components observed in Northern Hemispheric datasets from Aerosol Mass Spectrometry, Atmos. Chem. Phys., 10, 4625–4641, doi:10.5194/acp-10-4625-2010, 2010.
- O'Dowd, C. D., Langmann, B., Varghese, S., Scannell, C., Ceburnis, D., and Facchini, M. C.: A combined organic-inorganic sea spray source function, Geophys. Res. Lett., 35, L01801, doi:10.1029/2007GL030331, 2008.
  - Odum, J. R., Jungkamp, T. P. W., Griffin, R. J., Forstner, H. J. L., Flagan, R. C., and Seinfeld, J. H.: Aromatics, reformulated gasoline, and atmospheric organic aerosol formation, Environ. Sci. Technol., 31, 1890–1897, 1997.
  - Pandis, S. N., Harley, R. A., Cass, G. R., and Seinfeld, J. H.: Secondary organic aerosol formation and transport, Atmos. Environ. A-Gen., 26, 2269–2282, 1992.

15

25

- Pandis, S. N., Wexler, A. S., and Seinfeld, J. H.: Dynamics of tropospheric aerosols, J. Phys. Chem.-US, 99, 9646–9659, doi:10.1021/j100024a003, 1995.
- Pankow, J. F. and Asher, W. E.: SIMPOL.1: a simple group contribution method for predicting vapor pressures and enthalpies of vaporization of multifunctional organic compounds, Atmos. Chem. Phys., 8, 2773–2796, doi:10.5194/acp-8-2773-2008, 2008.
  - Pankow, J. F. and Barsanti, K. C.: The carbon number-polarity grid: A means to manage the complexity of the mix of organic compounds when modeling atmospheric organic particulate matter, Atmos. Environ., 43, 2829–2835, 2009.
  - Pikridas, M., Bougiatioti, A., Hildebrandt, L., Engelhart, G. J., Kostenidou, E., Mohr, C., Prévôt, A. S. H., Kouvarakis, G., Zarmpas, P., Burkhart, J. F., Lee, B.-H., Psichoudaki, M., Mihalopoulos, N., Pilinis, C., Stohl, A., Baltensperger, U., Kulmala, M., and Pandis, S. N.: The Finokalia Aerosol Measurement Experiment – 2008 (FAME-08): an overview, Atmos. Chem. Phys., 10, 6793–6806, doi:10.5194/acp-10-6793-2010, 2010.
- 6793–6806, doi:10.5194/acp-10-6793-2010, 2010.
   Pope, C. A., Ezzati, M., and Dockery, D. W.: Fine-particulate air pollution and life expectancy in the United States, New Engl. J. Med., 360, 376–386, doi:10.1056/NEJMsa0805646, 2009.

iscussion F	<b>AC</b> 12, 9857–{	<b>PD</b> 9901, 2012
<sup>D</sup> aper   Discuss	Applicat 2-D volat set to fiel B. N. Mur	ion of the ility basis d studies rphy et al.
sion Pape	Title	Page
er	Abstract	Introduction
Dis	Conclusions	References
cussion	Tables	Figures
n Pa		▶
per	•	•
—	Back	Close
Discuss	Full Scre	en / Esc
ion Paper	Interactive	Discussion
		$\bigcirc$

9891

- Pun, B. K., Griffin, R. J., Seigneur, C., and Seinfeld, J. H.: Secondary organic aerosol 2. Thermodynamic model for gas/particle partitioning of molecular constituents, J. Geophys. Res. Atmos., 107, 4333 doi:10.1029/2001jd000542, 2002.
- Qi, L., Nakao, S., Malloy, Q., Warren, B., and Cocker, D. R.: Can secondary organic aerosol formed in an atmospheric simulation chamber continuously age?, Atmos. Environ., 44, 2990– 2996, doi:10.1016/j.atmosenv.2010.05.020, 2010.

5

10

- Robinson, A. L., Donahue, N. M., Shrivastava, M. K., Weitkamp, E. A., Sage, A. M., Grieshop, A. P., Lane, T. E., Pierce, J. R., and Pandis, S. N.: Rethinking organic aerosols: Semivolatile emissions and photochemical aging, Science, 315, 1259–1262, doi:10.1126/science.1133061, 2007.
- Rudich, Y., Donahue, N. M., and Mentel, T. F.: Aging of organic aerosol: bridging the gap between laboratory and field studies, Annu. Rev. Phys. Chem., 58, 321–352, doi:10.1146/annurev.physchem.58.032806.104432, 2007.
- Sareen, N., Schwier, A. N., Shapiro, E. L., Mitroo, D., and McNeill, V. F.: Secondary organic material formed by methylglyoxal in aqueous aerosol mimics, Atmos. Chem. Phys., 10, 997– 1016, doi:10.5194/acp-10-997-2010, 2010.
  - Seinfeld, J. H. and Pandis, S. N.: Atmospheric Chemistry and Physics: From Air Pollution to Climate Change, 2nd ed., John Wiley and Sons, Hoboken, New Jersey, 2006.

Shilling, J. E., Chen, Q., King, S. M., Rosenoern, T., Kroll, J. H., Worsnop, D. R., DeCarlo, P. F., Aiken, A. C., Sueper, D., Jimenez, J. L., and Martin, S. T.: Loading-dependent elemental

- <sup>20</sup> F., Aiken, A. C., Sueper, D., Jimenez, J. L., and Martin, S. I.: Loading-dependent elemental composition of  $\alpha$ -pinene SOA particles, Atmos. Chem. Phys., 9, 771–782, doi:10.5194/acp-9-771-2009, 2009.
  - Shrivastava, M. K., Lane, T. E., Donahue, N. M., Pandis, S. N., and Robinson, A. L.: Effects of gas particle partitioning and aging of primary emissions on urban and regional organic
- <sup>25</sup> aerosol concentrations, J. Geophys. Res. Atmos., 113, D18301, doi:10.1029/2007jd009735, 2008.
  - Skamarock, W. C., Klemp, J. B., Dudhia, J., Gill, D. O., Duda, M. G., Huang, X., Wang, W., and Powers, J. G.: A Description of the Advanced Research WRF Version 3, National Center for Atmspheric Research (NCAR) Boulder, CONCAR/TN-475+STR, 2008.
- Sofiev, M., Lanne, M., Vankevich, R., Prank, M., Karppinen, A., and Kukkonen, J.: Impact of wild-land fires on European air quality in 2006–2008, Modeling, Monitoring and Management of Forest Fires, WIT Trans. on Ecology and the Environment, 119, 353-361, 2008a.



- Sofiev, M., Vankevich, R., Lanne, M., Koskinen, J., and Kukkonen, J.: On integration of a Fire Assimilation System and a chemical transport model for near-real-time monitoring of the impact of wild-land fires on atmospheric composition and air quality, Modeling, Monitoring and Management of Forest Fires, WIT Trans. Ecol. Envir., 119, 343–351, 2008b.
- <sup>5</sup> Tsimpidi, A. P., Karydis, V. A., Zavala, M., Lei, W., Molina, L., Ulbrich, I. M., Jimenez, J. L., and Pandis, S. N.: Evaluation of the volatility basis-set approach for the simulation of organic aerosol formation in the Mexico City metropolitan area, Atmos. Chem. Phys., 10, 525–546, doi:10.5194/acp-10-525-2010, 2010.

Visschedijk, A. J., Zandveld, P., and Denier van der Gon, H. A. C.: TNO Report 2007 A-

- <sup>10</sup> R0233/B: A high resolution gridded European emission database for the EU integrated project GEMS, Organization for Applied Scientific Research Netherlands, 2007.
  - Washenfelder, R. A., Young, C. J., Brown, S. S., Angevine, W. M., Atlas, E. L., Blake, D. R., Bon, D. M., Cubison, M. J., de Gouw, J. A., Dusanter, S., Flynn, J., Gilman, J. B., Graus, M., Griffith, S., Grossberg, N., Hayes, P. L., Jimenez, J. L., Kuster, W. C., Lefer, B. L., Pollack, I.
- B., Ryerson, T. B., Stark, H., Stevens, P. S., and Trainer, M. K.: The glyoxal budget and its contribution to organic aerosol for Los Angeles, California, during CalNex 2010, J. Geophys. Res., 116, D00V02, doi:10.1029/2011jd016314, 2011.
  - Weitkamp, E. A., Lambe, A. T., Donahue, N. M., and Robinson, A. L.: Laboratory measurements of the heterogeneous oxidation of condensed-phase organic molecular makers for motor vehicle exhaust, Environ. Sci. Technol., 42, 7950–7956, doi:10.1021/es800745x, 2008.

20

- Zhang, Q., Jimenez, J. L., Canagaratna, M. R., Allan, J. D., Coe, H., Ulbrich, I., Alfarra, M. R., Takami, A., Middlebrook, A. M., Sun, Y. L., Dzepina, K., Dunlea, E., Docherty, K., DeCarlo, P. F., Salcedo, D., Onasch, T., Jayne, J. T., Miyoshi, T., Shimono, A., Hatakeyama, S., Takegawa, N., Kondo, Y., Schneider, J., Drewnick, F., Borrmann, S., Weimer, S., Demerjian, K., Williams,
- P., Bower, K., Bahreini, R., Cottrell, L., Griffin, R. J., Rautiainen, J., Sun, J. Y., Zhang, Y. M., and Worsnop, D. R.: Ubiquity and dominance of oxygenated species in organic aerosols in anthropogenically-influenced Northern Hemisphere midlatitudes, Geophys. Res. Lett., 34, L13801, doi:10.1029/2007GL029979, 2007.

	ACPD					
5	12, 9857–9901, 2012					
	Application of the 2-D volatility basis set to field studies B. N. Murphy et al.					
	Title	Page				
2	Abstract	Introduction				
-	Conclusions	References				
	Tables	Figures				
	I	►I.				
5	•	Þ				
-	Back	Close				
7	Full Scre	en / Esc				
	Printer-frien	dly Version				
	Interactive	Discussion				
2						

Discussion P	<b>AC</b> 12, 9857–9	<b>PD</b> 9901, 2012
aper   Discussion	Applicati 2-D volati set to fiel B. N. Mur	ion of the lity basis d studies phy et al.
n Pap	Title	Page
θr	Abstract	Introduction
_	Conclusions	References
)iscus:	Tables	Figures
sion Pa	14	۶I
aper	•	•
—	Back	Close
Discu	Full Scre	en / Esc
Ission	Printer-frier	dly Version
n Pap	Interactive	Discussion
ber		0

**Table 1.** Stoichiometric yields<sup>\*</sup> for the functionalization kernel applied to all species in the 2-D-VBS upon hydroxyl radical reaction.

$\Delta \log_{10} C^*$	-7	-6	-5	-4	-3	-2	-1
+3 Os +2 Os +1 O	0.02	0.04	0.08 0.05	0.04 0.15	0.02 0.20 0.06	0.10 0.15	0.09

\* Product yields are on a carbon mass basis.

	iscussion Pa	<b>AC</b> 12, 9857–4	<b>PD</b> 9901, 2012		
aper   Discussic		Application of the 2-D volatility basis set to field studies B. N. Murphy et al.			
	n Pap	Title	Page		
	)er	Abstract	Introduction		
		Conclusions	References		
	iscus	Tables	Figures		
	sion F	I	▶1		
	aper	•	×.		
		Back	Close		
	Disc	Full Scre	een / Esc		
	ussion F	Printer-frier	ndly Version		
	ape	Interactive	Discussion		

Table 2. Example stoichiometry for oxidation of organic vapor with O:C = 0.4 and  $C^*$  $10\,000\,\mu g\,m^{-3}$ .

O:C		$\log_{10}C^*$						
	-3	-2	-1	0	1	2	3	4
0.9	0.004	0.010	0.018	0.010	0.004 <sup>a</sup>			
0.8	0.022	0.043	0.087	0.043	0.022			
0.7			0.048	0.143	0.191	0.096		
0.6				0.038	0.078	0.093	0.040	
0.5					0.040	0.099	0.059	
0.4								ROG <sup>b</sup>

<sup>a</sup> Yields are on a total mass basis. The sum is equal to 1.2.
 <sup>b</sup> Carbon number inferred from group contribution methods is 7.2.

Table 3. Organic mass concentration ( $\mu g m^{-3}$ ) performance metrics for all simulations.

Season	Site	Model Run	Meas. Avg	Sim. Avg	Fract. Error	Fract. Bias	r	RMSE
Summer	Finokalia	Base case Func. Func./Frag.	3.07	3.67 6.38 2.66	0.18 0.69 0.16	0.14 0.69 –0.15	0.37 0.45 0.40	0.80 3.43 0.57
	Cabauw	Base case Func. Func./Frag.	3.18	3.90 5.32 2.70	0.24 0.50 0.19	0.20 0.50 -0.16	0.02 0.31 0.21	1.02 2.34 0.70
	Mace Head	Base case Func. Func./Frag.	1.43	2.06 2.68 1.32	0.35 0.60 0.16	0.35 0.60 -0.08	0.59 0.43 0.55	0.71 1.31 0.28
Winter	Finokalia	Base case Func. Func./Frag.	1.33	1.77 2.17 0.95	0.31 0.47 0.34	0.24 0.47 -0.34	0.73 0.63 0.60	0.60 0.91 0.42
	Cabauw	Base case Func. Func./Frag.	1.56	2.31 3.99 2.42	0.39 0.85 0.41	0.35 0.85 0.41	0.36 0.48 0.48	0.97 2.57 1.01
	Mace Head	Base case Func. Func./Frag.	1.92	4.34 6.83 3.70	0.88 1.18 0.80	0.84 1.17 0.73	0.49 0.25 0.18	2.91 5.42 2.53

<b>AC</b> 12, 9857–	<b>ACPD</b>				
Application of the 2-D volatility basis set to field studies B. N. Murphy et al.					
Title	Title Page				
Abstract	Introduction				
Conclusions	References				
Tables	Figures				
14	▶1				
•	•				
Back	Close				
Full Scr	een / Esc				
Printer-frie	Printer-friendly Version				
Interactive Discussion					

**Discussion** Paper

**Discussion** Paper

**Discussion** Paper

**Discussion** Paper

Season	Site	Model Run	Meas. Avg	Sim. Avg	Fract. Error	Fract. Bias	r	RMSE
Summer	Finokalia	Base case Func. Func./Frag.	0.80	0.62 0.34 0.35	0.25 0.79 0.77	-0.25 -0.79 -0.77	0.43 0.51 0.58	0.18 0.45 0.44
	Cabauw	Base case Func. Func./Frag.	0.54	0.57 0.32 0.30	0.05 0.50 0.57	0.05 -0.50 -0.57	0.93 0.94 0.93	0.03 0.22 0.24
	Mace Head	Base case Func. Func./Frag.	0.53	0.61 0.35 0.33	0.15 0.40 0.46	0.15 -0.40 -0.46	0.42 0.65 0.61	0.09 0.18 0.20
Winter	Finokalia	Base case Func. Func./Frag.	0.49	0.66 0.37 0.35	0.28 0.28 0.35	0.28 -0.28 -0.35	0.25 0.34 0.37	0.17 0.12 0.15
	Cabauw	Base case Func. Func./Frag.	0.34	0.34 0.26 0.21	0.14 0.28 0.46	-0.01 -0.28 -0.46	0.04 0.16 0.22	0.05 0.09 0.13
	Mace Head	Base case Func. Func./Frag.	0.58	0.47 0.31 0.27	0.24 0.60 0.72	-0.21 -0.60 -0.72	-0.35 -0.36 -0.30	0.14 0.28 0.32

 Table 4. O:C performance metrics for all simulations.

AC	ACPD			
12, 9857–	9901, 2012			
Application of the 2-D volatility basis set to field studies B. N. Murphy et al.				
Title	Title Page			
Abstract	Introduction			
Conclusions	References			
Tables	Figures			
I٩	۰			
•	•			
Back	Close			
Full Screen / Esc				
Printer-friendly Version				
Interactive Discussion				

**Discussion** Paper

**Discussion** Paper

**Discussion** Paper

**Discussion** Paper

#### Table 5. Effects on model-predicted OA mass and O:C when accounting for condensed-phase chemistry.

Season	Site	Detailed Functionalization/ Fragmentation	Heterogeneous OH Uptake	Aqueous-Phase SOA Formation	
		OA Concentration [µg m <sup>-3</sup> ] (O:C)			
Summer	Finokalia	2.66 (0.35)	2.73 (0.46)	2.67 (0.35)	
	Cabauw	2.70 (0.30)	2.43 (0.37)	2.71 (0.31)	
	Mace Head	1.32 (0.33)	1.17 (0.41)	1.34 (0.36)	
Winter	Finokalia	0.95 (0.35)	0.72 (0.40)	0.96 (0.37)	
	Cabauw	2.42 (0.21)	2.26 (0.23)	2.44 (0.24)	
	Mace Head	3.70 (0.27)	3.38 (0.29)	3.75 (0.29)	

Discussion Pa	AC 12, 9857–	<b>;PD</b> 9901, 2012
per   Discuss	Applicat 2-D volat set to fie B. N. Mu	ion of the ility basis Id studies rphy et al.
ion Paper	Title Abstract	Page Introduction
_	Conclusions	References
Discussi	Tables	Figures
on P	14	►I
aper	•	<b>F</b>
—	Back	Close
Discussion	Full Scr Printer-frie	een / Esc ndly Version
Paper		Discussion

BY



**Fig. 1.** Model-measurement agreement for OA mass concentrations (left column) and O:C (right column) at all three observation sites for both summer and winter seasons. Each row corresponds to a specific model implementation: base case (top row), detailed functionalization case (middle row), and functionalization plus fragmentation case (bottom row).





**Fig. 2.** Modeled volatility distributions as a function of effective saturation concentration for parcels arriving at Cabauw, Netherlands during the summer episode. The data is an average over all simulation days during the campaign.





**Fig. 3.** Average modeled O:C distribution as parcels approach Finokalia in the summer time. The x-axis corresponds to time along the trajectory approaching Finokalia (parcels are initialized at t = 1 and arrive at the site at t = 71 h). Four scenarios are shown: (a) base case, (b) detailed functionalization, (c) detailed functionalization/fragmentation and (d) detailed functionalization/fragmentation with heterogeneous chemistry via OH uptake. Parcels arrive at 01:00 p.m. LT. The gray line indicates the concentration-weighted O:C ratio for bulk organic aerosol predicted by the 2-D-VBS model. The dashed black vertical lines indicate midnight during the trajectory.





Fig. 4. Simulation average OA mass concentrations resolved by source class. Data on white backgrounds are for summer scenarios while shaded backgrounds indicate winter scenarios.

