

**Asian dust over
North America,
Spring 2010**

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A pervasive and persistent Asian dust event over North America during spring 2010: lidar and sunphotometer observations

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Abstract

Among the many well-documented cases of springtime trans-Pacific transport of crustal dust from Asia to North America (significant events include those of 1998, 2001, and 2005), the events of March and April 2010 were extraordinary both in the extent of the dust distribution and in the unique meteorological conditions that caused the dust layers in the free troposphere to linger and be detectable across Canada and the Northern United States for over a month. This study focuses on extending previous research by combining data from CORALNet lidars in Vancouver, BC and Egbert, ON with AERONET sunphotometer retrievals and model results from HYSPLIT and NAAPS to monitor the arrival and distribution of dust layers across North America. This is the first documented instance of lidar detection of Asian dust from the Egbert CORALNet installation, where layers identified as dust using depolarization ratios corresponded with retrievals of coarse mode optical depth at the co-located AEROCAN/AERONET site. In Vancouver dust layer depolarization ratios varied from 0.27 for dust above 6 km to less than 0.10 for the first 1.5–2 km above the surface. Similar layers of elevated dust exhibited much lower bulk depolarization ratios for all altitudes in Egbert, ON where maximum depolarization ratios stayed below 0.15 for all layers from 2–8 km with no clear variation with altitude, or over time. The relative lack of variation is an indication that as the dust particles aged the rate of change in chemical composition and optical properties slowed. HYSPLIT back trajectories performed throughout the free troposphere above these sites showed a majority of air parcels originating from Central Asia on the days in question. Using these techniques, it was shown that elevated layers of aerosol reaching the west coast of North America as early as 17 March also included dust from the same Central Asian sources, extending the known duration of the 2010 event by almost a full month.

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1 Introduction

springtime trans-Pacific transport of crustal dust from the deserts of Central Asia to North America has been well documented over the past decade. Noteworthy studies include comprehensive analyses of the significant 1998 (Husar et al., 2001) and 2001 events (Thulasiraman et al., 2002), summaries of multiple events (Fischer et al., 2009; McKendry et al., 2008), model-based climatologies of trans-pacific transport processes (e.g. Gong et al., 2006; Stohl et al., 2002), and assessments of impacts of Asian dust on North American air quality (Chin et al., 2007; Jaffe et al., 2003; Zhao et al., 2008; Fairlie et al., 2007; Heald et al., 2006). Thanks to years of continuous data collection by multiple, overlapping global and regional networks of aerosol monitoring stations, what was once considered to be a relatively rare occurrence is now known to be a regular annual event.

Recently, attention has been drawn to the extraordinary dust transport events of Spring 2010. Details of the temporal sequence of dust mobilization in the source regions of China, and the meteorological pathways and processes of trans-Pacific transport of the Asian dust during April 2010 are described by Uno et al. (2011). In summary, at least eight major dust mobilization events were shown to have occurred in the Taklamakan desert region between 1 March and 30 April 2010. Of these, the third, fifth, and seventh April events were the largest and all of the events were observed to result in transport of dust to North America. Dust was observed to travel in multiple layers with elevations from 2–10 km and was observed to split into multiple pathways. Of particular note in the context of this study is dust following the northern pathway across Canada. A slow moving anticyclone over the central continent during the period 15–22 April resulted in significant subsidence and stagnation, temporarily trapping the dust over the north-eastern portion of the continent. These observations are consistent with observations from Mt. Bachelor Observatory in Oregon, showing increased aerosol loading on 10 April and 19 April corresponding to layers identified as dust or polluted dust by concurrent CALIPSO overpasses (Fischer et al., 2011). While Uno et al. (2011)

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documented only the transport of dust from the largest events of April, Fischer et al. (2011) documented plumes arriving on the west coast of North America in late March as well. These are clearly the result of smaller, but still substantial March dust storms observed by Uno et al. (2011) and Li et al. (2012). With the exception of Fischer et al. (2011), the impacts of the March events across the North American continent are not well documented.

This study extends and complements the satellite and global model based analyses of Uno et al. (2011), Li et al. (2012) and Fischer et al. (2011) and focuses specifically on ground-based lidar and sunphotometer observations of the aerosol layers as they passed over the continent. Lidar observations were collected from CORALnet (Canadian Operational Research Aerosol Lidar Network) stations located on the east and west coasts of Canada. Detailed analysis was performed on SDA (Spectral Deconvolution Algorithm) data from the AEROCAN site in Egbert, co-located with the CORALNet lidar there. Collected data were analysed in combination with results from the NAAPS (Navy Aerosol Analysis and Prediction System) and HYSPLIT (HYbrid Single-Particle Lagrangian Integrated Trajectory) models to provide a more complete picture of the scope and distribution of this event throughout North America, including documentation of additional dust transport events reaching the west coast as early as 17 March.

2 Methodology

2.1 CORALNet

CORALNet is a semi-autonomous network of ground-based lidars. These remotely controlled facilities are housed in cargo trailers with modifications including a roof hatch assembly, basic meteorological tower, radar interlock system, climate control system and levelling stabilizers. The units can be operated via an internet link and require an external power source. A precipitation sensor is used to operate the roof hatch and three pan/tilt web-cams capture sky conditions and monitor the lidar system's health.

A remote control interface is used to control all vital components of the system, including the ability to provide hard resets of the laser electronics.

A Continuum Inlite III (small footprint) laser operating at 1064/532 nm simultaneously with a pulse repetition rate of 10 Hz is the foundation of the system. The energy output is approximately 150 mJ at 1064 nm and no more than 150 mJ at 532 nm. The upward pointing system measures the return signal in three channels (1064 nm, and two polarization channels at 532 nm). Backscatter information is collected at 3 m vertical resolution with 10 s averaging over a usable range from near ground to 18 km. Full details of the CORALNet system are described in Strawbridge (2012).

Data reported from the CORALNet lidar include backscatter ratios and depolarization ratios. In this context, the backscatter ratio is defined as the ratio of the total measured backscatter for a given channel to the signal expected from purely molecular scattering. This is calculated from raw measured signal by first subtracting out the signal background, comprising both background light and dark signal generated by detector electronics, and then applying a calibration curve that is generated manually by the environment Canada lidar team. The curve varies with altitude and is generated such that the backscatter ratio for clear air in the upper troposphere, near the top of the usable data range of 18 km, is set to an assumed value of unity. Although absolute calibration of the channel is not necessary to produce a backscatter ratio since factors such as system throughput are cancelled out, the curve must be generated to account for extinction and the overlap of the laser with the telescope field-of-view, both of which vary with altitude and can result in large changes to upper altitude results if not corrected.

The calculation of stable ratios from lidar data involving two or more detector channels is often difficult to achieve for high altitudes and areas of low aerosol optical depth. This is due primarily to the fact that in these regions the strength of the lidar signal is small compared to the background noise floor of the detectors, especially for the depolarized channel, resulting in a ratio that is highly unstable. Areas of low aerosol optical depth can be identified by a calibrated backscatter ratio near unity. In order to avoid reporting spurious or misleading depolarization ratios, a mask was generated

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for these regions using the backscatter ratio from the 1064 nm channel, which provided the cleanest signal. This mask was then applied to the depolarization ratio maps and regions for which the aerosol optical depth was insufficient to calculate a reliable depolarization ratio were masked out. These are regions for which the system is not sensitive enough to provide sufficient signal to calculate the depolarization ratio. Furthermore, these areas are of sufficiently low aerosol content as to be irrelevant to the topic at hand so rather than make any assumption about them, depolarization ratios were simply not calculated in these areas. This is in contrast to times where no data were taken, which appear as vertical white stripes in both the backscatter and depolarization ratio plots.

2.2 AERONET/AEROCAN

AERONET (AERosol RObotic NETwork) is an inclusive federation of ground-based remote sensing networks in operation since 1993. Measurements of vertically integrated aerosol properties are accomplished using a CIMEL sunphotometer/sky radiometer (Holben et al., 1998). The AERONET programmatic goals are to assess aerosol optical properties and validate satellite retrievals of aerosol optical properties (<http://aeronet.gsfc.nasa.gov/index.html>). AEROCAN CIMELs (AEROCAN is the Canadian sub-network of AERONET) have been important in tracing the transport and characteristics of Asian dust layers across North America (e.g. Thulasiraman et al., 2002). The CIMEL instruments acquire solar irradiances across eight spectral channels (340, 380, 440, 500, 670, 870, 1020 and 1640 nm) that are transformed into three processing levels of Aerosol Optical Depth (AOD) (1.0 – non-cloud screened, 1.5 – cloud screened and 2.0 – cloud screened and quality assured).

In this study AOD spectra are used as inputs to the SDA (Spectral Deconvolution Algorithm) in order to monitor total, fine and coarse mode AOD variations in relation to lidar profiles. These three quantities, which roughly correspond to sub-micron and super-micron radius regimes, are derived at a reference wavelength of 500 nm (O'Neill et al., 2003) and are standard products on the AERONET web site. Their utility lies in

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the fact that aerosols and clouds can be roughly classified along bimodal lines, thus aerosol pollutants, biomass burning, smoke and volcanic sulphates are fine mode while clouds, dust and volcanic ash are coarse mode. This robust characterization can be very useful in analyzing lidar data if the sampling frequency of sunphotometry data is comparable to the lidar frequency. For high frequency comparisons with lidar data we employed Level 1.0 data as input to the SDA while reserving lower frequency, Level 2.0 data, for temporal scales running from hours to days. The non cloud-screened, Level 1.0 data is preferred because one can then observe, in comparison with the lidar data, all the dynamics of clear and cloudy lines of observation (and use the SDA to spectrally separate clouds). Level 1.0 data is calibration corrected. The AERONET protocol, whatever the level of the data, is to publish AOD results that have only undergone a pre-calibration of the sunphotometer's extraterrestrial calibration coefficient before the regular deployment of the sunphotometer and then re-publish the AOD results as a linear trend between the pre and post calibration coefficients, once the sunphotometer returns from its regular deployment.

In a separate operational mode, almucanter sky radiances are collected across four channels (440, 670, 870, and 1020 nm) at a nominal sampling resolution that is about 1/20 of the nominal AOD sampling resolution (an hour versus 3 min). The sky radiances, along with AOD estimates at the same four channels, are used to perform inversions for particle size distribution and refractive index (Dubovik and King, 2000). The size distribution and refractive index results are then employed to compute auxiliary parameters such as the total, fine and coarse mode optical depth (analogous to the SDA parameters) and other modal parameters. The Dubovik inversion is used in this paper to investigate Asian to North American trends in the particle size distributions for known dust events and to help characterize the bi-modal behaviour of dust aerosol particle size distributions.

2.3 HYSPLIT

Back-trajectories were calculated using the HYSPLIT (HYbrid Single-Particle Lagrangian Integrated Trajectory) model Version 4. HYSPLIT 4 is the current version of a complete system for computing simple air parcel trajectories to complex dispersion and deposition simulations for any location and date (depending on data availability) using a variety of standard data input products (e.g. the NCEP Reanalysis 1948-present). All meteorological data used for this study were taken from Global Data Assimilation System (GDAS) 1° 3P weekly files generated by the National Climatic Data Centre (NCDC). In this study, the layers of interest were observed over a range of altitudes and individual events continued for several days. In order to give a full picture of the paths taken by the air parcels in question, an array of back trajectories were calculated for each event. For each day during which dust was detected, back trajectories were calculated in 6 h intervals throughout the altitude regions where dust layers were observed, in 200 m increments. The time spans for which parcels were traced back was selected on a case-by-case basis. Due to the large number of trajectories calculated, the results were plotted in “frequency mode”, which is essentially a 2-D histogram in 1° increments, rather than individually.

2.4 NAAPS

The Navy Aerosol Analysis and Prediction System (NAAPS) is an Eulerian system for predicting the distribution of tropospheric aerosols based upon the work of Christensen (1997). It uses global meteorological fields from the Navy Operational Global Atmospheric Prediction System (NOGAPS) analyses and forecasts on a 1° by 1° grid, at 6 h intervals, for 24 vertical levels reaching 100 mb. NAAPS provides global 120 h forecasts of smoke, sulfate, and dust distributions in near-real time. Dust emission in the model occurs whenever the friction velocity from wind exceeds a threshold value (currently set at 0.6 ms^{-1}), snow depth is less than a critical value (current value is 0.4 cm), and the surface moisture is less than a critical value (critical value set to 0.3).

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When these conditions are met, the particle flux is calculated and injected into the bottom two layers of the model. In NAAPS, the particle flux is scaled to include only particles with radii less than 5 μm . The dust prediction model is based upon a combination of observed and predicted weather patterns with a global map of known dust emission areas. Dust emission areas are derived from eight of the 94 land-use types used in the USGS Land Cover Characteristics Database, which was developed from Advanced Very High resolution radiometer (AVHRR) data and has 1 km resolution. Some subjective modifications were made to the land use data based on observable evidence when dust source regions were selected (e.g. regions designated “low sparse grassland” were defined as source regions only in China and Mongolia despite existing in other regions such as New Zealand and North America). The flux from a given 1° by 1° grid cell is scaled based upon the fraction of land use that fits within one of the eight designated land use categories. For all areas not designated as dust source regions, the friction velocity threshold is set to infinity.

3 Results

3.1 NAAPS and HYSPLIT model results

NAAPS models from the spring of 2010 predicted aerosol optical thickness in excess of 0.8 for dust in the air above Central Asia, including the Gobi and Taklamakan regions, for multiple sustained periods during March and April. Concentrations this high occur almost exclusively over dust producing regions under conditions of high winds. This is a strong indication that these regions were the source of the dust layers that spread out across the Pacific throughout March and April, in agreement with Uno et al. (2011) and Li et al. (2012). Furthermore, these models predicted that the total integrated AOD from dust in the troposphere over CORALNet installations in Vancouver and Egbert was high enough to be detectable. In order to confirm the connection between this dust and layers observed in lidar data, HYSPLIT back trajectories were calculated for

the regions and times in question to show the progress of the air parcels containing the dust as they approached the lidar installations.

For locations such as Vancouver and Egbert, in the mid-latitude westerlies, it is often the case that for trajectories of more than a couple of days in duration, the majority of parcels come from a generally westward direction, and this is indeed the case for most of the days reported here. More important to note is how tightly the trajectories are grouped and how many of them pass directly over the regions where the aforementioned dust storms were occurring.

NAAPS predictions show dust first reaching the west coast of North America on 15 March. Throughout the periods in March during which dust was detected by the lidar, the NAAPS models consistently showed dust AOD levels of 0.1–0.2 over the west coast of North America from Southern British Columbia down to Northern California. A representative example of this from 19 March is seen in Fig. 3a.

Based on lidar observations, a starting altitude range of 3–9 km was selected for HYSPLIT back trajectories for this event. Tightly grouped back trajectories for this altitude range and date (Fig. 3a) are consistent with an Asian dust source and confirm that this event occurred one week prior to the earliest event identified in Fischer et al. (2011) and almost a full month earlier than the events described in Uno et al. (2011). For this day, air parcels were tracked backwards for a duration of 180 h.

As the dust storms in Asia continued into April, the NAAPS results predicted the continued presence of high concentrations of dust in the aforementioned desert regions. At the same time, layers continued to arrive over North America. For the first 10 days of April the majority of the dust followed a more southern path across the Pacific than was observed during the events of March. The highest concentrations for early April were near the Canada-USA border, with lower concentration layers at times extending as far south as the Gulf of Mexico and north to Baffin Bay. This can be seen in Fig. 3b, which shows the back-trajectory frequency plot for 11 April based on 210 h back trajectory duration and a starting altitude of 3–6 km (consistent with Vancouver lidar observations).

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After 13 April, the NAAPS predictions showed the highest concentrations of dust well north of the Canada-USA border and over the next two days, the layers of dust spread to engulf nearly all of Canada. This large dust layer persisted for another 10 days before finally starting to dissipate on 24 April. On some days, lower concentration regions also extended to cover substantial portions of the US as well. A representative example of this distribution can be seen in Fig. 3c, taken on 20 April. This layer of dust was unusually large due to the extraordinary size and duration of dust storms in the deserts of Central Asia, and it persisted over North America as a result of a large high pressure system that dominated over Canada for several weeks.

Back trajectories from Egbert, Ontario showed greater spread in the medium range compared to Vancouver back trajectories, with air parcels covering large portions of Western North America and the Pacific. But, as exemplified in the trajectory frequency plot for 20 April (Fig. 3c), a significant portion of trajectories converge in the long range to pass over the deserts of Asia. Based on lidar observations from Egbert, the starting altitudes for these back trajectories was 3–9 km.

3.2 CORALNet observations

Dust was clearly visible in CORALNet lidar data in March and April as subsiding layers entering the troposphere on both the east and west coasts of Canada. The layers were identified as dust and distinguished from local mixed layer aerosols through the combined analysis of lidar backscatter and depolarization ratio plots.

Dust particles tend to be highly non-spherical and are thus distinguishable from other aerosols in their depolarization ratios. Desert dust from the Taklamakan region has been shown to have a depolarization ratio of 0.15 to 0.25 with a typical value of 0.23 (Kai et al., 2008). Dust that has been mixed, either internally or externally, with aerosols from other sources such as biomass burning and nucleation of ambient gaseous species tends to have a lower depolarization ratio, more in the range of 0.05 to 0.10 (Omar et al., 2009). Other continental and marine aerosols not associated with dust have much lower depolarization ratios with median values typically below 0.02

(Omar et al., 2009). Thus an examination of depolarization ratios alongside backscatter ratios can prove illuminating when trying to distinguish the nature of elevated dust layers such as these.

Specific observations of aerosol layers were made at UBC from 17–20 March and again on 24 March (Fig. 4). These events pre-dated the observations of long-range transport made by previous authors (Uno et al., 2011; Fischer et al., 2011, e.g.), but coincided with increases in NAAPS modelled optical depth from dust appearing to emanate primarily from the Gobi Desert making it likely that these layers were the result of mid-March wind storms in that region (Li et al., 2012). In order to verify the presence of desert dust, depolarization ratios were calculated from the 532 nm data.

3.2.1 March 2010

The first event occurred between 17–20 March. As shown in Fig. 4a, false colour images of backscatter ratio from the 1064 nm channel show the dust layers entered the lidar field-of-view at an altitude of 6–9 km at noon on 17 March. At this point the mean bulk depolarization ratio of the elevated layers is around 0.25. Combined with backscatter ratios well below what would be expected for clouds, this is a strong indication of desert dust. The depolarization ratio differentiates this layer clearly from the well-mixed layer covering the first 2.5 km up from the ground, which maintains a consistent depolarization ratio of < 0.1 . Over time, presumably through a combination of subsidence and gravitational settling, both layers appeared to move downward. Interestingly, as the dust layers subsided toward the boundary layer, the depolarization ratio was steadily reduced to 0.1 or below. In general terms it seems that above an altitude of 4 km, the depolarization ratio remains above 0.2, indicating mainly dust. Below that, the ratio falls rapidly, indicating heterogeneous mixing with other aerosols. The CORALNet lidar calculates bulk ratios averaged over a given air parcel, so such mixing could be internal or external in nature, depending on the conditions and the chemical species in question. It is to be expected, however, that a higher degree of mixing between dust from long-range transport and fine-mode particles of local origin would occur for lower altitudes,

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especially for altitudes within the well-mixed boundary layer. The observed mixing is a consistent feature of the observations at UBC; the dust layers observed in Fig. 5 exhibit much the same behaviour.

The dust layer observed in the lower troposphere in Vancouver on 24–25 March, shown in Fig. 4b is anomalous among these examples in that it is not seen to include a series of multiple optically thin layers in the middle to upper altitudes of the free troposphere with gradually increased mixing in the lower altitudes. In this instance, a single continuous layer is observed near the surface below a consistent cloud deck and is clearly distinguishable from the aerosols that surround it in both the backscatter and depolarization ratio plots. This layer exhibits far higher optical depth than any other dust layer observed in this study, as evidenced by the unusually high backscatter ratios. The depolarization ratios exhibit the typical characteristics of desert dust, with a relatively high depolarization ratio exceeding 0.22. The presence of the layer above the lidar is short lived compared to other instances and there is little apparent mixing with the surrounding aerosols. The time period from 15:00, 24 March–09:00, 25 March (PDT) is also the only time that the lidar detected a strong signal from unmixed dust in the lower 1 km above the ground. This is a good indication that among the lidar data presented here, this time period saw the largest impact of Asian dust on local air quality in Vancouver. The regions of apparently high backscatter and depolarization ratios that extend above the clouds from 4–12 km from 12:00 on 24 March through the end of 25 March are an artefact caused by the optically thick clouds, not real features.

3.2.2 April 2010

Layers appearing to result from Asian dust storms were again observed by CORALNet in Vancouver on 9–12 April, 2010. Investigation of the lidar backscatter ratio plots indicates that, similar to 17–20 March, by the time the dust had reached Vancouver, it had developed a complex vertical structure comprising multiple sub-layers, the thickest of which were concentrated at altitudes from 3–6 km. Backscatter ratio plots indicate that the layers were subsiding, with the primary layer dropping from 6 km to 3 km during the

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course of the first day, while layers that originated below 4 km entered the mixed layer by the end of the day and were likely deposited to the ground soon afterwards (Fig. 5). Backscatter ratios show these layers to be optically thicker than those observed during the similar event in March. By 13 April, the 6 km layer had subsided and appears to have been entrained into the mixed layer as well. Just as in the events of March, the depolarization ratios clearly identify these layers as dust, with initial ratios of 0.20 or higher (Fig. 5). Also as in the March case (Fig. 4a), as the layers subsided toward the mixed layer, the depolarization ratios decreased. For the primary dust layer, the mean bulk depolarization ratio dropped from 0.2 to 0.1 over the course of two days.

The lidar returns from Egbert show a similar pattern five days later, but with many important differences (Fig. 6). At this location, the elevated layers are originally seen briefly at around midnight on 18 April distributed over altitudes from 5–8 km. An elevated layer was detected prior to this on 18 April, but the depolarization ratios for this layer are low, remaining under 0.1 (region B in middle panel of Fig. 6). This is an indication that for this time period, this layer is dominated by aerosols other than dust, although associated fine-coarse mode optical depth inversions show a steady increase of the coarse mode through this time period, indicating an increase in the amount of dust over time (bottom panel of Fig. 6). This observation was corroborated by back trajectory analysis for 18 April showing trajectories that were widely distributed, not focused over the Asian source regions. After a break during the first half of the day on 19th, the primary layer is observed by the lidar. Backscatter ratios show that unlike the Vancouver events, which were separated into multiple distinct layers, this dust was homogeneously distributed from 3–8 km. After 20 April, the highest concentration occurred from 3–4 km with the layer remaining optically thinner above. In addition to this, a consistent, optically thick boundary layer 1.5–2 km deep is present throughout the observation period. Entrainment and settling seem to draw the elevated layer down to the edge of the boundary layer from 19–20 April, but after that the altitude of the layer stabilizes and depolarization ratios reveal that little mixing seems to occur during the course of the event. Throughout the event, the dust appears embedded in a

background layer of particles with lower depolarization ratios (< 0.1) indicating a heterogeneous mixture of particles that is at least partially external in nature. As before it is not possible to determine the exact nature of these particles from the lidar data, but such low depolarization ratios are typical of fine-mode aerosols (e.g. from biomass burning or anthropogenic sources). It is also clear that by the time the dust layers travelled across North America to Egbert, the bulk depolarization ratios within the dust layers themselves had been reduced substantially. The maximum depolarization ratio observed within the dust layers over Egbert was 0.15, as compared to 0.27 over Vancouver. This could have been the result of external mixing with the aforementioned background layer or internal mixing as dust particles were coated with nucleated gases such as sulphates or nitrates, or more likely a combination of both. This type of atmospheric process for dust has been observed and documented repeatedly (e.g. Tang et al., 2004; Li et al., 2012). Although lower than that observed during the Vancouver events, the depolarization ratio for this layer is clearly within the lower end of the range that indicates dust. The contrast in the depolarization ratios clearly distinguishes this layer as having a different composition than its surroundings. Considering the large distance between the source and the observation and the likelihood of mixing in the interim, it is to be expected that the depolarization ratios would be lower than those observed on the west coast. It is clear that this layer contains a much higher dust content than the local aerosols observed in the boundary layer below it. After considering the HYSPLIT back trajectories for this area, we conclude that the observed dust originated from the Asian dust storms in early April.

Perhaps more interestingly, along with a net reduction in bulk depolarization ratio, the dust layers appear to show more consistency in optical properties over time and altitude than those observed in Vancouver. With the exception of the unique event on 24 March, each of the Vancouver events showed subsiding dust layers for which the depolarization ratios dropped from over 0.20 to 0.10 or below during the course of the four day observation period as they approached the ground. This is a good indication that the composition of the layers was still changing rapidly. For the layers observed over

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Egbert, the initial depolarization ratio was lower, but the ratio was consistent for all altitudes and remained almost totally constant during the entire four day period for which it was visible (Fig. 6). It appears that the particles had achieved a much more stable composition by this point in the evolution of the layers. This observation is consistent with Ryder et al. (2012) who observed what they called a “weakly exponential” trend in the evolution of mean particle diameter, single scattering albedo, and other optical properties as a function of age. This is also similar to the behaviour observed by Mueller et al. (2007) for smoke particles during long-range transport where the rates of particle growth and associated drop in Ångström exponent were shown to be roughly exponential in nature, with the fastest changes occurring in the first 10 days and changes becoming almost undetectable after 15 days in the free troposphere.

3.3 AERONET observations

Figure 6 shows the variation of the Egbert optical depths lined up with the aforementioned backscatter and depolarization profiles. SDA results are plotted as solid circles (black, red and blue representing total, fine and coarse mode optical depths) while the analogue quantities from the Dubovik inversion results are plotted as large Xs. Unlike the events monitored in Vancouver, where cloud cover precluded the gathering of usable sunphotometer data, this event was largely cloud free making it possible to observe the correlation between the variation of the coarse mode optical depth and the backscatter strength of the dust plumes. For example, the dust event that can be seen in the lidar backscatter plot to start after 12:00 (EDT) on 19 April is captured in the coarse mode optical depth variation, including a peak in coarse mode optical depth where one can qualitatively see that the integrated backscatter signal would be a maximum. The increase in high frequency noise from 10:00–12:00 (EDT) on 21 April is the result of clouds as seen in the lidar data at 6 km.

The diurnal behaviour of the fine mode optical depth is rather complex in relation to the behaviour of the coarse mode optical depth but the comparison between the Dubovik inversion and the SDA is broadly consistent. The differences between the high

frequency SDA optical depths and the low frequency Dubovik optical depths are in part due to retrieval errors but also to differences in the method whereby the fine and coarse modes are defined (the former uses an optical definition while the latter uses a simple radius cut-off between the two modes, see O'Neil et al., 2003). The radius cutoff effect is particularly important when the coarse mode distribution straddles the fine mode regime, as it does for the case of small dust particles. It should also be noted that the SDA outputs are quite sensitive to the spectral shape of the AODs. If there is a change in this spectral shape, as occurred between the pre-calibrated SDA outputs and the post-calibrated correction then one can observe changes in the diurnal variation that can constitute a substantial fraction of the SDA AODs. If one believes that the post calibration correction effectively eliminated any major calibration problems then this is not a problem, but it is a demonstration of the effect of changes in AOD spectral shape.

Figure 7 shows a scattergram between the Dubovik retrievals of these parameters for Egbert during the month of April. The points in blue represent the Fig. 6 event of 18–12 April for coarse mode optical depths greater than approximately 0.04. The reported R^2 value of 0.64 for a linear fit indicates that the correlation is significant. The existence of such a correlation is consistent with an analogous relationship between Dubovik retrievals of the bulk particle volume density of each mode. A similar observation for the 2005 Saharan dust event in Vancouver, as well as a discussion and brief literature review on the existence of a fine mode for dust, was presented in McKendry et al. (2007). Since the 2005 Saharan results were obtained from Version 1 Dubovik retrievals, the data were re-analysed using Version 2 retrievals: this is a necessary check since Version 1 did not account for the non-sphericity of dust particles and it has been shown that ignoring this fact can result in fine mode artefacts (Dubovik et al., 2002). The results were essentially the same with fine and coarse mode optical depths and volume concentrations displaying a similar degree of correlation. Other authors have observed or inferred a fine mode dust component from AERONET inversions (e.g. Eck et al. (2008) in the case of U.A.E. dust, Kubilay et al. (2003) for Saharan Dust, and Tratt et al. (2001) for Asian dust) while there is some evidence for a fine mode dust aerosol from

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microphysical impactor measurements (Gomes et al., 1990). However, while these observations are intriguing, the inference that a correlation between fine and coarse mode optical depths suggests the existence of a fine-particle dust mode has to be tempered by findings such as Dey et al. (2004) who found no evidence of a fine mode in their AERONET retrievals during Saharan dust events and Reid et al. (2003) who observed that impactor measurements may create artificial fine mode aerosols from particle bounce and break up effects, particularly in the case of aggregates. Furthermore, Reid et al. (2003) point out that two different inversion algorithms (Dubovik and Nakajima) applied to AERONET data acquired during Saharan dust events yielded a fine mode dust presence in the former case and no such presence in the latter case. Finally, it is worth noting that, while a correlation was found between the fine and coarse mode optical depths in the Dubovik inversions, the SDA retrievals yielded no such correlation. One possible explanation for this is a difference in definition of modes. The Dubovik approach tends to eliminate a fraction of the coarse mode to the benefit of the fine mode, thus tending to increase the likelihood of an apparent correlation of the two modes in the presence of high levels of coarse mode AOD. Another possible explanation is a problem with one or more of the CIMEL channels which could alter the SDA results. As the Dubovik inversion is dependant on almucantar radiance as well as the AOD spectra, it would be less sensitive to this.

4 Conclusions

In this study we have presented modelled as well as empirical observations of an extraordinary example of springtime dust transport from Asia to North America. The event was unusual among similar annual dust transport events in its size, spatial extent, and duration. The extent of the dust distribution was made evident with NAAPS global dust models. More detailed observations of the dust layers in Vancouver, BC and Egbert, ON were made with the use of CORALNet lidar imagery. The Egbert lidar observations constituted the first recorded lidar observation of Asian dust at this location. The

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specific layers in question were traced back to the regions where dust storms occurred through the use of multiple HYSPLIT back trajectories. This combination of NAAPS models and HYSPLIT back trajectories along with sunphotometer and lidar data was used to show that dust from earlier storms in the Gobi region in March also reached the west coast of North America in observable quantities nearly a month prior to previous observations. Analysis of AERONET SDA results from the co-located site in Egbert was performed, showing marked increases in coarse mode optical depth corresponding to the presence of relatively high-depolarization layers in the lidar data. Correlations between coarse and fine mode optical depths for known dust periods over Egbert revived questions about a controversial point: whether such a correlation was the result of an inversion artefact or whether it could potentially be ascribed to the existence of a fine-particle dust mode. By comparing the details of backscatter and depolarization ratios obtained from lidar imagery in April a great deal was revealed about the vertical structure of the dust layers including key differences between the dust layers observed on the east and west coasts. In Vancouver, the dust was separated into multiple distinct layers that had very high initial depolarization ratios, indicating a relatively pure dust layer. These layers appeared to mix with local aerosols as they subsided, resulting in a marked decrease in depolarization ratio over the course of a few days. By the time the dust reached Egbert, it appeared as a single mass distributed over several kilometres of altitude that had apparently undergone significant internal and external mixing as it was transported across the continent. However, the layer showed little additional mixing with the surrounding aerosols during the days of observation. This layer began with a much lower depolarization ratio than those observed in Vancouver, but the ratio remained nearly constant throughout the days during which it was observed. This is an indication that airborne dust particles display a similar pattern to those previously demonstrated for smoke in that their properties change more quickly for relatively young particles than for older ones.

Taken together, these results complement the results of Uno et al. (2011) and Fischer et al. (2011). As such they demonstrate the utility of lidar and sunphotometer

networks in investigating processes affecting long-range transport of aerosols, especially in cases where these two complementary instruments can be co-located in close proximity to one another.

Acknowledgements. We gratefully acknowledge the NOAA Air Resources Laboratory (ARL) for the provision of the HYSPLIT transport and dispersion model used in this publication. We thank the PI investigators and their staff for establishing and maintaining the AEROCAN/AERONET site used in this investigation. We gratefully acknowledge the Naval Research Laboratory, Monterey for the use of NAAPS model results. We would like to acknowledge Bernard Firanski and Michael Travis of the lidar team at Environment Canada, without whose tireless efforts and valued input, none of this research would have been possible. We would also like to acknowledge the valuable support work of the AEROCAN network manager Ihab Abboud of Environment Canada and the AEROCAN QA/processing coordinator Jim Freemantle. Finally, we are grateful to Environment Canada and NSERC for funds used to support this research.

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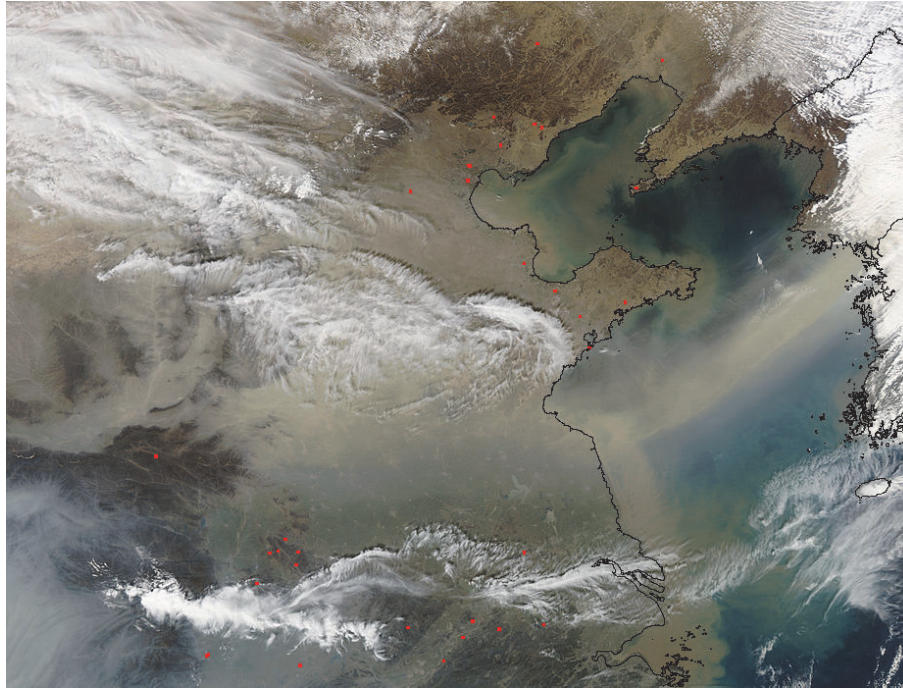


Fig. 1. True colour image from 12 March, 2010 showing dust layers sweeping out over the East China Sea. Image from MODIS instrument on NASA's AQUA satellite is thanks to Jeff Schmaltz and the MODIS Land Rapid Response Team, NASA GSFC.

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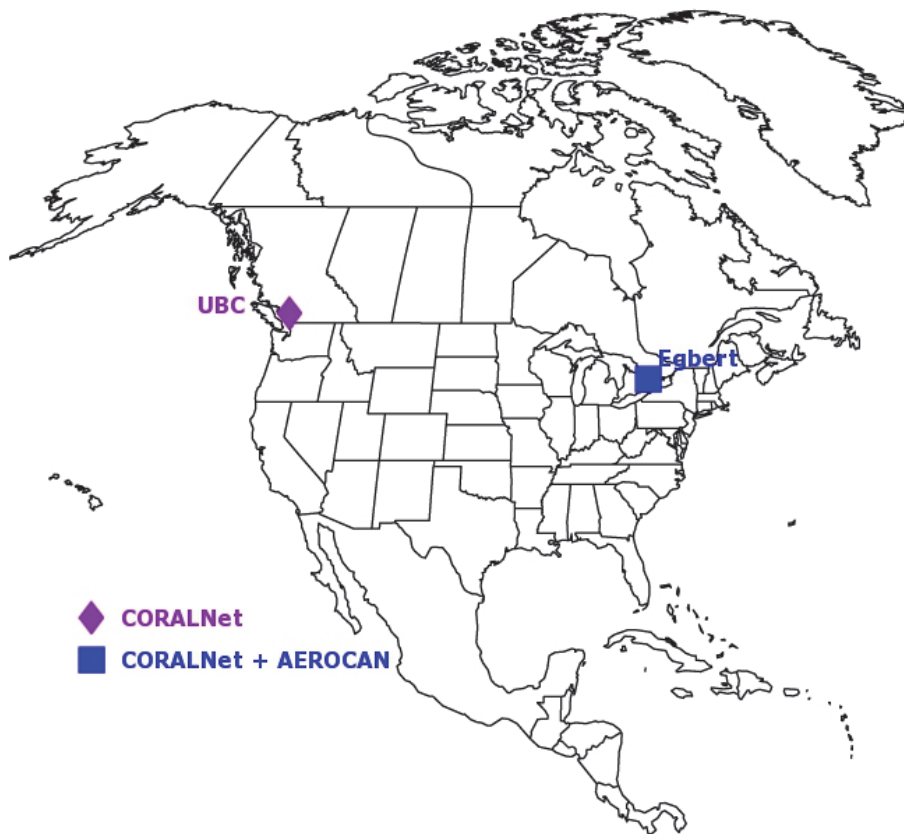


Fig. 2. Map of North America showing locations of CORALNet and AEROCAN stations used in this study.

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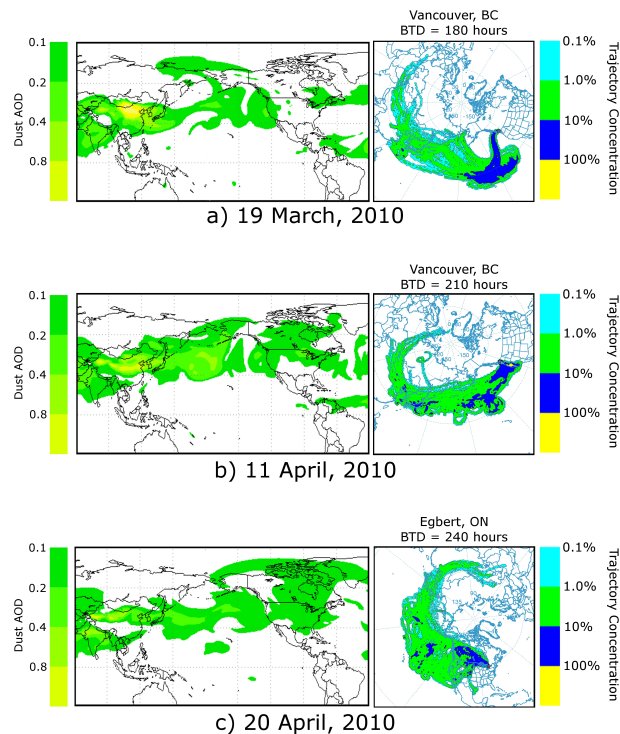


Fig. 3. NAAPS global dust model results (left) alongside frequency plots of HYSPLIT back trajectories (right) for peak days during the three primary dust transport events observed by the CORALNet lidars. Dust models represent 24-h average values for the days in question. HYSPLIT plots include back trajectories that were calculated in 200 m increments throughout the altitudes of interest and repeated every 6 h for the day in question. HYSPLIT plot labels include the location from which trajectories were generated and the back trajectory duration (BTD), or the number of hours air parcels were tracked for that day.

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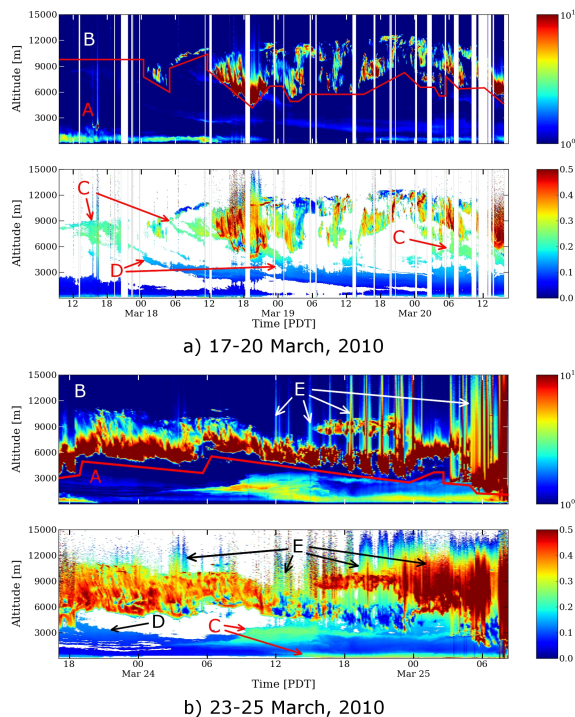


Fig. 4. CORALNet false colour plots for two dust events in Vancouver, BC during March, 2010. **(a)** Lidar returns for Vancouver, BC from 17–20 March, 2010: 1064 nm backscatter ratios (top) and masked 532 nm depolarization ratios (bottom). The red line divides the backscatter ratio plot into Region A, which is dominated by aerosols, and Region B, which is mostly clouds. Areas marked C are typified by high depolarization ratios, likely to contain high concentrations of dust. Areas marked D show reduction in depolarization probably due to mixing with other aerosols. In clouds note the distinct difference between dark blue areas (water) and red-orange areas (ice). **(b)** Lidar returns for Vancouver, BC from 23–25 March, 2010: 1064 nm backscatter ratios (top) and masked 532 nm depolarization ratios (bottom). The red line divides the backscatter ratio plot into Region A, which is dominated by aerosols, and Region B, which is mostly clouds. The areas marked C are defined by high depolarization ratios as dust. The background aerosols surrounding the dust layer are marked D. E indicates artefacts above optically thick layers that are not real features. As the clouds descend, note the transition from ice (red) to water (blue).

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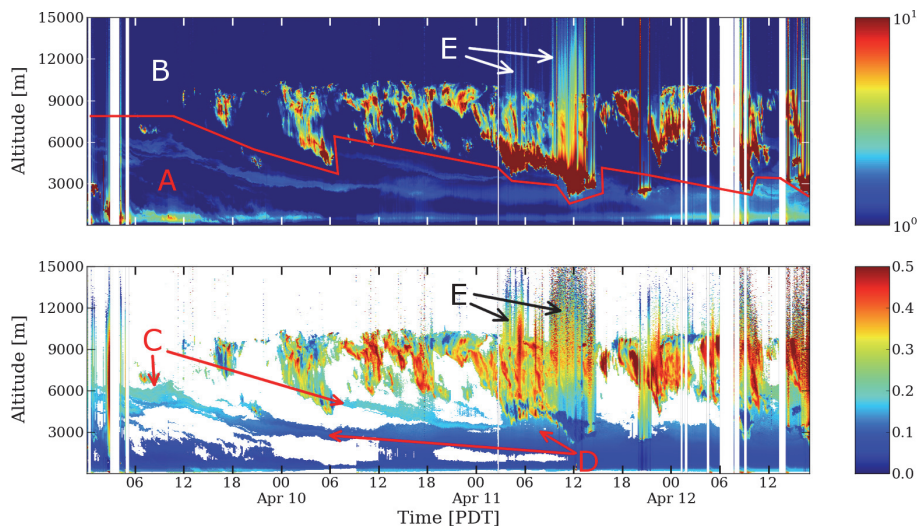


Fig. 5. Lidar returns for Vancouver, BC from 9–12 April, 2010: 1064 nm backscatter ratios (top) and masked 532 nm depolarization ratios (bottom). The red line divides the backscatter ratio plot into Region A, which is dominated by aerosols, and Region B, which is mostly clouds. Areas marked C are typified by high depolarization ratios, likely to contain high concentrations of dust. Areas marked D show reduction in depolarization probably due to mixing with other aerosols. The areas marked E are artefacts due to optically thick layers below and are not real features. In clouds note the distinct difference between dark blue areas (water) and red-orange areas (ice).

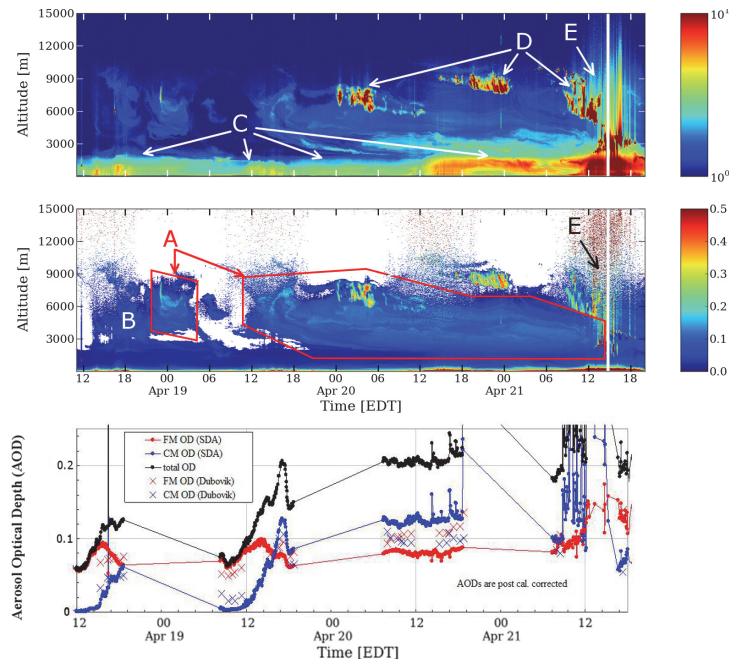


Fig. 6. Lidar returns and AEROCAN retrievals for Egbert, ON from 18–21 April, 2010: the top two panels show the familiar 1064 nm backscatter ratios (top) and masked 532 nm depolarization ratios (middle). Depolarization ratios identify Region A as dust. Region B indicates a larger contribution from background aerosols with low de-polarization ratios from other sources. Region C is the atmospheric boundary layer. Areas marked D are clouds. The area marked E is an artefact of optically thick layers below and is not a real feature. The bottom panel shows an optical depth plot that is co-aligned in time with the lidar plots and represents the results of the SDA (solid circles) and the Dubovik retrievals (large Xs) for fine and coarse mode optical depth. For both types of retrieval, red markers indicate the fine mode and blue markers the coarse mode. Black markers represent the total AOD. The Egbert sunphotometer and the Egbert CORALNet lidar are co-located within a few tens of meters.

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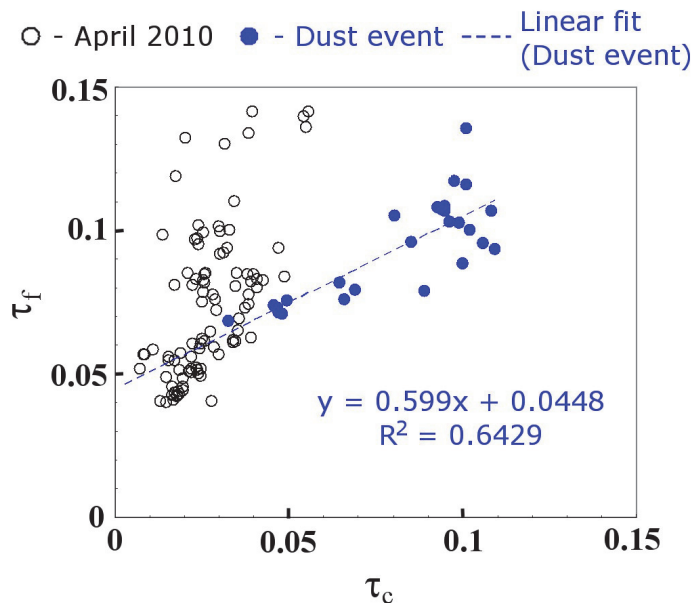


Fig. 7. Fine mode optical depths (τ_f) versus coarse mode optical depths (τ_c) during the month of April at the Egbert site. Filled blue circles represent points classified as dust and hollow black circles represent all other points from April. The optical depths were obtained from the Dubovik Version 2, level 1.5 retrieval results.

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