

**Stratocumulus
response to
perturbations**

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A comparative study of the response of non-drizzling stratocumulus to meteorological and aerosol perturbations

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Abstract

The impact of changes in aerosol and cloud droplet concentration (N_a and N_d) on the radiative forcing of stratocumulus-topped boundary layers (STBLs) has been widely studied. How these impacts compare to those due to variations in meteorological context has not been investigated in a systematic fashion. In this study we examine the impact of observed variations in meteorological context and aerosol state on daytime, non-drizzling stratiform evolution, and determine how resulting changes in cloud properties compare. We perturb aerosol and meteorological properties within an observationally-constrained LES and determine the cloud response, focusing on changes in liquid water path (LWP), bulk optical depth (τ) and cloud radiative forcing (CRF).

We find that realistic variations in meteorological context (i.e. jump properties) can elicit responses in τ and shortwave (SW) CRF that are on the same order of magnitude as, and at times larger than, those responses found due to similar changes in aerosol state (i.e. N_d). Further, we find that one hour differences in the timing of SW radiative heating can lead to substantial changes in LWP and τ . Our results suggest that, for observational studies of aerosol influences on the radiative properties of stratiform clouds, consistency in meteorological context (the cloud top jump properties in particular) and time of observations from day-to-day must be carefully considered.

1 Introduction

Marine boundary layer stratiform clouds are persistent and prevalent (Klein and Hartmann, 1993), imparting a strong negative forcing to the Earth's radiative budget (Chen et al., 2000). The representation of these clouds in current climate models is relatively poor, leading to large uncertainty in climate projections (Randall et al., 2007). The difficulty in representing stratiform clouds in large-scale models is exacerbated by their

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sensitivity to changes in aerosol state and in the “meteorological context” in which the cloud system resides.

The impacts of perturbations in aerosol state on the radiative properties of stratiform cloud systems have been widely studied. These studies have focused on changes in cloud optical properties (e.g. Twomey and Wojciechowski, 1969; Twomey, 1977; Coakley et al., 1987) and changes in cloud system evolution (e.g. Albrecht, 1989). The impact of aerosol on stratiform cloud has been of particular interest and has been extensively studied with models (e.g. Jiang et al., 2002; Ackerman et al., 2004; Lu and Seinfeld, 2005; Wood, 2007; Bretherton et al., 2007; Sandu et al., 2008; Hill et al., 2008; Petters et al., 2012), remote sensing (e.g. Nakajima et al., 1991; Han et al., 1998; Sekiguchi et al., 2003; Kaufman et al., 2005; Quaas et al., 2006; Painemal and Zuidema, 2010) and in-situ observations (e.g. Brenguier et al., 2000; Durkee et al., 2000; Twohy et al., 2005; Ghate et al., 2007; Lu et al., 2007). However, these previous studies of aerosol-cloud interactions, whether based on observations or numerical simulations, generally do not account for variations in meteorological context.

We define “meteorological context” as those large-scale features of the atmosphere and surface that influence the stratiform cloud system on the time scale of interest (which in this study is less than 1 day) that are not strongly influenced by cloud evolution. For example, solar insolation, large-scale subsidence rate and the boundary layer jump properties would be considered part of this meteorological context. In contrast, the temperature and humidity of the boundary layer are not part of this context because they can respond rapidly to changes in the cloud.

Variations in this meteorological context can substantially influence the evolution of stratiform cloud systems. For example, changes in the potential temperature (θ) jump strength can influence entrainment mixing (Lilly, 1968; Sullivan et al., 1998), while changes in free tropospheric moisture content (free tropospheric q_t) can lead to changes in the amount of evaporative cooling due to entrainment (Ackerman et al., 2004). The meteorological context also can influence the radiative forcing of these cloud systems. Increasing aerosol concentration N_a can lead to reductions in liquid

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water path (LWP) when low relative humidity air resides above the boundary layer (Ackerman et al., 2004). The thermodynamic structure of the sub-cloud layer can influence the fraction of drizzle reaching the surface, which in turn can influence boundary layer dynamics and cloud evolution (Feingold et al., 1996; Ackerman et al., 2009).

Furthermore, these variations can also potentially obfuscate the impact of aerosol on cloud evolution. Using satellite and reanalysis data, George and Wood (2010) found that variability in cloud microphysics contributed to less than 10% of the variability in observed albedo in a stratocumulus-dominated region. Variability in albedo was mostly related to variability in LWP and cloud fraction. Additionally, because meteorological and aerosol states are dependent on air-mass history, the two states tend to correlate in observations (Stevens and Feingold, 2009). For example, during the 2nd Aerosol Characterization Experiment (ACE-2, Brenguier et al., 2003) it was found that low aerosol concentrations were correlated with cool, moist maritime air masses while high aerosol concentrations were correlated with warm, dry continental air masses.

For these many reasons it can be difficult to disentangle the changes in the aerosol state and meteorological context in order to isolate the aerosol forcing (Stevens and Feingold, 2009). In observational studies, it is assumed that the meteorological context is approximately constant during the observational period so changes in cloud evolution are primarily determined by changes in aerosol. How constant the meteorological context must be for this assumption to be valid remains an open question. In modeling studies of aerosol-cloud interactions, the initial meteorological context can be set constant, thereby removing its potential to influence cloud evolution. Sometimes a limited analysis of sensitivity to meteorological context is performed (e.g. Jiang et al., 2002; Sandu et al., 2008), but no comprehensive analysis exists.

In this study we examine how stratiform cloud systems are affected by variability in meteorological context and aerosol state and evaluate their comparative importance. Specifically, we address the following questions:

Q1 Given observed variations in meteorological context Δm and aerosol state Δa , how do the resulting changes in stratiform cloud properties Δc compare?

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Q2 What physical processes and interactions lead to these changes in cloud?

We use a numerical modeling framework for this study because we can independently vary meteorological context and aerosol state. Using large-eddy simulation (LES), we investigate stratiform cloud evolution and the response of this evolution to variations in meteorological and aerosol changes. We determine realistic variations in meteorological context Δm through use of European Centre for Medium-Range Weather Forecasting (ECMWF) Re-analysis Interim (ERA-Interim) data (Uppala et al., 2005, 2008).

For objective comparison of the cloud evolution to variations in meteorological context Δm and aerosol state Δa , we compute the responses of the cloud properties (Δc) of τ and LWP. Because we simulate a daytime portion of the diurnal cycle, we also directly compute the response of cloud radiative forcing (CRF) to variations in meteorological context and aerosol state and see how this response compares to the other two responses. Many modeling studies of aerosol-cloud interactions on stratocumulus simulate nighttime cloud evolution (e.g. Bretherton et al., 2007; Hill et al., 2008) and as such rely on modeled response in τ to determine the importance of aerosol's influence on CRF. To serve as the model base case for this comparative study, we first create a observationally-constrained LES of non-drizzling stratocumulus based on in-situ observations taken from the CIRPAS (Center for Interdisciplinary Remotely-Piloted Aircraft Studies) Twin Otter during the VOCALS (VAMOS Ocean-Atmosphere-Land Study) field campaign (Wood et al., 2010). Describing the observations used to create the model base case, the LES configuration, and the comparison between LES output and observations (Sects. 2 to 4) comprise the first part of this study. In the second part we detail the comparative study, including the experimental design, model output and computed responses (Sects. 5 to 7).

We find that realistic variations in meteorological context can elicit responses in CRF and τ on the same order of magnitude as, and at times larger than, those responses found due to realistic changes in aerosol state. Our results suggest that careful consideration of both consistency in meteorological context (the jump properties in particular)

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and time of observations from day-to-day must be given when planning observational studies of aerosol-cloud interactions and their impact on stratocumulus radiative properties.

2 Model description

5 Large-eddy simulation (LES) is a commonly used numerical technique for studying cloud-topped boundary layers. Because it is capable of resolving turbulent motions and the interactions among microphysics, radiation, and dynamics (Stevens et al., 2005; Ackerman et al., 2009; Stevens and Feingold, 2009), it is the most applicable numerical tool for our study. Other cloud-scale numerical modeling techniques (e.g. Harrington et al., 2000; Pinsky et al., 2008) require dynamical motions as inputs. Hence the meteorological context cannot be varied within these models, and interactions between dynamics and either radiation or microphysics cannot be represented. Here we use the Regional Atmospheric Modeling System (RAMS, Cotton et al., 2003) version 4.3.0 configured for LES mode (see Stevens et al., 1998; Jiang et al., 2002). The model configuration and routines we use within RAMS are specified in Table 1.

15 We use a bin microphysical model (Feingold et al., 1996; Stevens et al., 1996) in order to best reproduce observed drop size distributions. This particular microphysical model has been previously used for several studies of aerosol-cloud interactions within the boundary layer (e.g. Jiang et al., 2002; Xue and Feingold, 2006; Hill et al., 2009). In this model aerosol is assumed to be fully-soluble ammonium sulfate with a lognormal size distribution that is constant over time and space (Xue and Feingold, 2006). For the base case the mean aerosol diameter \overline{D}_p is $0.12 \mu\text{m}$. We use a total aerosol concentration $N_a = 450 \text{ cm}^{-3}$, giving an initial average cloud droplet concentration value $N_d = 425 \text{ cm}^{-3}$, matching the mean value from aircraft observations on the VOCALS case study that we are simulating (see next section).

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Large-eddy simulations coupled with a bin microphysical model are computationally intensive, and because of this we simulate only a fraction of the stratocumulus diurnal cycle. Schubert et al. (1979) determined two separate response timescales for the STBL; one of thermodynamic adjustment (changes in water vapor mixing ratio q_v and cloud base, for example) on a timescale of less than a day, and one for the inversion height, adjusting on timescales of 2 to 5 days. Bretherton et al. (2010) showed that STBLs simulated with LES or mixed-layer models evolve to equilibrium states (thin, broken cloud or thick, overcast cloud) over the course of several days, and these equilibria states are dependent on the initial inversion height. The findings of Bretherton et al. (2010) suggest that cloud responses to perturbations in meteorology and aerosol might be less important on longer timescales than those investigated here because changes in inversion height, driven by changes in large-scale subsidence, might play the primary role. Thus our results are most applicable to the shorter thermodynamic adjustment timescale of Schubert et al. (1979). We simulate stratocumulus evolution during daytime hours, corresponding to the time of the observations and during which changes in cloud properties are most relevant to shortwave (SW) radiative forcing.

While LES is the most appropriate tool for this study, like any model, it has imperfections and limitations. One issue common to large-eddy simulations of the stratocumulus-topped boundary layer (STBL) is their propensity to over-entrain air across the cloud top interface (Stevens et al., 2005; Caldwell and Bretherton, 2009). This over-entrainment is attributed to common sub-grid scale parameterizations, and below we describe measures to lessen the impact of this over-entrainment on the modeling output.

3 Observations

To build a realistic, observationally-based large-eddy simulation of stratocumulus, i.e. the model base case, we use in-situ observations taken from the CIRPAS Twin Otter during the VOCALS (VAMOS Ocean-Atmosphere-Land Study) field campaign. We

focus on a simpler non-drizzling case because the existence of drizzle increases the complexity of the evolution of microphysics and dynamics in the STBL (Lu et al., 2007; Ackerman et al., 2009). During VOCALS, drizzle in the coastal stratocumulus observed from the Twin Otter was negligible ($\ll 0.1 \text{ mm day}^{-1}$).

5 Table 2 briefly describes the relevant instrumentation on board and parameters observed by the Twin Otter during VOCALS. Of particular note is the Phase-Doppler Interferometer (PDI), which provides detailed microphysical information about the cloud layer (Chuang et al., 2008). The PDI measures the drop size distribution for a size range from 2.0 to 150 μm in 128 bins. To cross-check the PDI observations, PDI-integrated liquid water content (LWC) is compared with the independently-measured LWC from the Gerber PVM-100 (Chuang et al., 2008). The efficiency with which the PVM-100 samples droplets decreases for drops larger than ~ 30 to 40 μm (Wendisch et al., 2002).
10 Because of this efficiency decrease we use the PDI-derived LWC in our study, covering a broader size range more appropriate for comparisons with the LES. Because of the low drizzle rates, the contribution to LWC by drops larger than 100 μm is negligible.

For the base case, we simulate VOCALS observations from the CIRPAS Twin Otter on 19 October 2008, research flight 03. These in-situ observations were taken in the vicinity of 20° S, 72° W, a few hundred km west of the Chilean coast, at 9:00 to 11:30 local time (12:00 to 14:30 UTC). All observations are averaged to 1 Hz (for a horizontal resolution of 55 m) over five ~ 30 km flight legs: two below-cloud (both near the surface), one just below cloud base, and two in-cloud (mid-cloud and cloud top). During this day a STBL with cloud of ~ 300 m thickness was observed, and the LWC increased nearly adiabatically with height. We compared the atmospheric profiles taken before and after the flight legs and found little change in the thermodynamic and wind profiles. The inversion height also remained fairly constant, indicating that net entrainment or de-
25 trainment in the boundary layer was negligible. Vertical profiles of vertical velocity and equivalent potential temperature show that the observed STBL is well-mixed. Taken together, these STBL properties provide us with a “canonical” stratocumulus case to

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model with LES; that is, a case that has similar characteristics to those previously studied with LES (e.g. Dyunkerke et al., 2004; Stevens et al., 2005).

Potential temperature and moisture content jumps at the cloud top interface were +12.7 K and -6.55 g kg^{-1} , respectively. The Passive Cavity Aerosol Spectrometer Probe (PCASP) showed sub-cloud accumulation mode aerosol concentrations elevated from those expected for clean maritime conditions ($\sim 600 \text{ cm}^{-3}$), at least partially accounting for the low drizzle rates observed. Observed average sea surface temperature and surface flux values are shown in Table 1.

4 Comparing model performance to observations

The sounding data used to initialize the model are described in Table 3. We initialized the base simulation at 07:30 UTC, five hours prior to the hour of the five $\sim 30 \text{ km}$ flight legs, giving the LES ample time to spin-up realistic boundary layer eddies. Because of this time difference, we found it necessary to modify the sounding data from that taken by the Twin Otter so that the simulated boundary layer would reasonably compare to that observed. These modifications, also shown in Table 3, were (a) increasing q_t content in the boundary layer by 0.2 g kg^{-1} (a 3% increase over the measured value, and (b) lowering the height of the inversion by 60 m (from 1040 m to 980 m).

Furthermore, we require thermodynamic profile data from the top of the model domain (2 km) to the top of the atmosphere (TOA) for accurate radiative computations. From the top of the model domain to 16 km, we used the sounding from Iquique, Chile taken at 12Z on the same day as the flight observations. Using the Iquique sounding ensured us that free tropospheric q_t values in the simulation were similar to those during the observational period. From 16 km up to TOA (103 km) we determined the thermodynamic profile from interpolation of profiles from McClatchy et al. (1971), as typically done in RAMS. Interpolation between the McClatchy et al. (1971) subtropical winter and subtropical summer profiles by time of year was used to create an appropriate subtropical profile for 19 October 2008. Latitudinal interpolation between that

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resulting profile and the McClatchy et al. (1971) tropical profile was used to create a profile appropriate for 20° S.

Figures 1 through 3 show comparisons of profiles from the LES and observations. For the LES we show output from two simulations: one in which sub-grid diffusion of scalars (e.g. moisture, energy) is accounted for (DIFF); and one in which this sub-grid diffusion is neglected (NODIFF). Stevens et al. (2005), in a large LES intercomparison and performance study, suggest that neglecting the sub-grid diffusion of scalars leads to a more well-mixed model STBL and better agreement with observations by reducing the impact of over-entrainment common to LES. Nominally the sub-grid scheme ensures that fluxes of energy and moisture remain constant with changes in model grid resolution; hence the primary disadvantage of neglecting sub-grid diffusion of scalars is that simulation output can exhibit dependency on changes in model grid resolution (Stevens et al., 2005). We deemed this possible modification to be reasonable if it resulted in better agreement between the model and observations in our case. Furthermore, Cheng et al. (2010) have found that, even with the full use of the sub-grid scheme, boundary layer cloud LES output are resolution dependent.

The θ and q_v profiles as observed on the flight legs are reasonably represented by the LES (Fig. 1a, b). Encouragingly, all model profiles show a well-mixed boundary layer similar to that observed. For both simulations, domain-averaged model θ (by 0.1 K for DIFF and 0.2 K for NODIFF) and model q_v (by 0.24 gkg⁻¹ for DIFF and 0.21 gkg⁻¹ for NODIFF, both by 3%) in the boundary layer are biased low as compared to the observations. (Note that all quantitative comparisons between model and observations are between plotted mean values only). The neglect of sub-grid diffusion of scalars leads to a small decrease in θ and small increase in q_v within the boundary layer. This is expected because mixing of warmer and drier free tropospheric air into the boundary layer is reduced when this diffusion of scalars is neglected.

To determine how well the two LES configurations represent observed dynamical properties, we examine resolved-scale profiles of vertical velocity variance, buoyancy production of turbulent kinetic energy (TKE) and total water flux. Vertical velocity

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variance ($\overline{w'w'}$), or the vertical component of TKE, is a useful proxy for the strength of circulations within the STBL. For the highest and lowest of the five aircraft altitudes (30 m and 1025 m), the DIFF and NODIFF simulations exhibit very little difference. In both cases, observed $\overline{w'w'}$ compares reasonably with modeled values. For the two flight legs at 710 and 870 m, observed values match well with NODIFF (underestimated by 8%), and are underestimated by 24 % and 12 %, respectively, by DIFF. For the flight leg at 310 m altitude both simulations underestimate $\overline{w'w'}$ by a factor of 2 (by 54 % for DIFF and 46 % for NODIFF). NODIFF shows better agreement with observations, since this particular configuration results in less entrainment of free tropospheric air and a smaller buoyancy sink of boundary layer TKE (Stevens et al., 2005). Thus more energy is available to drive STBL circulations.

Investigation of profiles of buoyancy production of TKE and total water flux (Fig. 3) reveal small differences between the two LES configurations. In the convective STBL, buoyancy production of TKE is an important source term in the TKE budget (Nicholls, 1989). Radiative cooling at cloud top leads to negatively buoyant parcels that propagate downward, driving STBL circulations. We find that buoyancy production of TKE within cloud increases only slightly when sub-grid diffusion of scalars is neglected. This slight increase is expected; less TKE is used to entrain potentially warmer air and mix it into the boundary layer (i.e. buoyancy destruction of TKE) when the entrainment rate is reduced. As we found in Fig. 2, the agreement between model and observations appears reasonable in-cloud (overestimating by 7 % for DIFF and 25 % for NODIFF at 870 m) and poorer for the 300 m flight leg, underestimating by an order of magnitude in both cases. The observations in Figs. 2 and 3a suggest that parcels of air below cloud are more buoyant and lead to stronger updrafts and downdrafts in this region than what is simulated. Large-eddy simulation has been previously shown to underestimate the strength of STBL circulations when compared to observations (e.g. Stevens et al., 2005).

The two simulations predict similar total water fluxes (Fig. 3b). Each simulation agrees quite well with observed values to within measurement uncertainty, although the

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observed total water fluxes are subject to substantial uncertainties due to instrument precision. Both simulations exhibit a positive increase in total water flux with height and as the cloud is entered. Total water flux within the STBL is slightly larger for NODIFF because there is more total water within the STBL (Fig. 1b) and because circulations are slightly stronger (Fig. 2).

To determine how well the model can represent the observed variation in cloud LWC, we compared the probability distribution functions (PDF) of LWC as observed on the flight legs near cloud top and mid-cloud to the PDF of LWC in similar layers (Fig. 4). The altitude of the Twin Otter varied by ~ 25 m and ~ 20 m on the two legs, respectively, and we computed the PDF of LWC with LES output for the same thickness layers.

The PDFs from observations at cloud top exhibits a modal value between 0.42 and 0.43 g kg^{-1} with a long tail towards smaller values of LWC (Fig. 4a). The wide distribution of LWC values observed is due to the Twin Otter traversing both diluted (entrainment of overlying dry air is substantial) and undiluted (entrainment of overlying dry air is small) cloud parcels. At mid-cloud the width of the PDF is narrower (Fig. 4d) because at this height the cloud parcels are turbulently mixed and less entrainment of dry air occurs at this height. The modal value between 0.16 and 0.17 g kg^{-1} is, as expected, lower than at cloud top.

The modeled distributions of LWC at cloud top compare reasonably well with observations. In general the PDFs from LES output (Fig. 4b, c, e, f) are less noisy because there are an order of magnitude more sampling points in the LES than in the flight leg (10^3 in the observations vs. 10^4 in the LES output). The similarity in PDF shape between the model output and observations is strong for DIFF (Fig. 4b). The modal value at cloud top is between 0.35 and 0.36 g kg^{-1} , slightly (16 %) lower than that observed. At cloud top NODIFF (Fig. 4c) results in a modal value between 0.45 and 0.46 g kg^{-1} (neglecting zero LWC values), slightly higher (7 %) than that observed.

The two model predictions of the distribution of LWC mid-cloud both underestimate the modal value. For DIFF (Fig. 4e), the modal value mid-cloud (between 0.11 and 0.12 g kg^{-1}) underestimates the observations by 30 %. The modal value between 0.15

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and 0.16 g kg^{-1} for NODIFF (Fig. 4f) is underestimated by 6 % compared to the observations. These differences in model output, paired with the errors at cloud top, suggest differing cloud thicknesses between DIFF and NODIFF. The NODIFF simulation exhibits a thicker cloud layer than that of DIFF (265 m compared to 245 m).

It would be preferable to compare observed and simulated LWP. However, because the aircraft sampling strategy focused mainly on horizontal legs it is not possible to generate statistically-significant observational estimates of LWP without assumption. If we assume an adiabatic profile of LWC in a 300 m thick cloud (as was observed), and set the cloud-top LWC value to the modal observed value, we derive an estimate for LWP in the observed case of 65 g m^{-2} . From LES time series output, LWPs averaged over the simulated hour of observation for NODIFF and DIFF are 58.1 and 47.0 g m^{-2} , respectively. Thus both simulations appear to underestimate observed LWP (9.9 % and 27.1 %, respectively).

The performance of the two LESs in attaining reasonable agreement between model and observations for thermodynamic and flux profiles was comparable. Based on more accurate representation of LWP and circulation strength with respect to observations (shown in Fig. 2), we choose to use NODIFF, in which subgrid diffusion of scalars is neglected, as the base case. Note that our choice does not imply that subgrid, turbulent diffusion is negligible or irrelevant; we simply choose to neglect subgrid diffusion for expediency and because of the better match between model output and observations.

5 Experimental design

5.1 Determining meteorological and aerosol perturbations

In creating the experimental simulations for the comparative study, we required that variations in meteorological context have three characteristics: (1) be constrained by observations, (2) be objectively computed, and (3) be determined consistently. In some modeling sensitivity analyses, large perturbations in variables are purposely chosen to

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maximize the possibility of finding a response. We prefer the perturbations to be more realistic so that the response of the stratocumulus cloud system to one perturbation can be reasonably compared with another.

To determine realistic perturbations in the q_t and θ jumps for stratocumulus clouds in similar seasons and regions, we use the ERA-Interim dataset (Uppala et al., 2005, 2008). The ERA-Interim data, while spatially coarse as compared to available observations, gives us a far larger temporal variation in meteorology than we would obtain from the observations taken from the Twin Otter during VOCALS (19 flights, 2 soundings taken per flight). Wyant et al. (2010) showed the ECMWF family of models reasonably matches satellite-observed stratocumulus cloud fractions for this region.

We first accumulated daily reanalysis data from the two nearest available reanalysis data points to 20° S, 72° W, where the Twin Otter conducted all its flights (20.0° S, 72.4° W and 20.0° S, 71.7° W). The data is gridded at 2.5° by 2.5° latitude-longitude resolution at six-hour intervals. From the surface (1000 mb) to an altitude of 700 mb, the vertical resolution is 25 mb. We used all days from September to November from 2001 to 2010 since stratocumulus is persistent during the austral spring in this region. We used 18Z data since this time most closely coincides with the observations.

Although stratocumulus is persistent in the observed region during the austral spring, the stratocumulus layer can be subjected to synoptic changes that influence its robustness (Rahn and Garreaud, 2010). To ensure that a stable stratocumulus layer existed around 20° S, 72.5° W for all reanalysis data we excluded data on days when the low cloud fraction was below 0.95 at either of the two reanalysis data points at either 12 and 18Z.

To ensure that the reanalysis data exhibit spatial homogeneity around 20° S, 72.5° W, we also excluded days where the height of the inversion (height of the steepest gradients in temperature and water vapor mixing ratio) did not coincide between the two grid points. Using these strict criteria, we were left with 60 data points from which to compute perturbations. From the accumulated data set we computed the standard deviation for θ and q_t jumps across the cloud top interface. Note that the “mean” values of

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the jump values within the ERA-Interim dataset do not coincide with the mean values in the model base case; it is the variation of these jumps within the ERA-Interim data that is of interest.

To modify the q_t and θ jumps above the boundary layer in the experimental simulations, we altered the properties of the model free troposphere instead of the properties of the model boundary layer. We first determined the height of the model boundary layer as the model layer for which the liquid water potential temperature gradient was maximized for each model column in the domain. We then modified the instantaneous values of q_v or θ for all model layers above the boundary layer.

For the simulations where the θ jump was modified, we increased and decreased the θ above the boundary layer by one standard deviation (UP THETA and DOWN THETA, ± 1.3 K). Because the observed free tropospheric q_v is low (below 1.0 g kg^{-1}), the two perturbations for the q_t jump were both in the positive direction; by one standard deviation (UP MOIST, $+0.87 \text{ g kg}^{-1}$) and by two standard deviations (UP 2XMOIST, $+1.74 \text{ g kg}^{-1}$).

We would prefer that the perturbations in meteorological context and aerosol state originate from the same dataset and using the same methodology. Aerosol and cloud droplet data are not available in the ERA-Interim dataset, however, and we must use a different methodology to get a “1-sigma” variation in aerosol state.

We chose to perturb only total aerosol concentration N_a , with no change in the mean particle size \bar{D}_p ($0.12 \mu\text{m}$). The simulated change in cloud drop concentration correlates strongly with the aerosol concentration perturbations. The initial mean values of model N_a and N_d in the base case ($N_a = 450 \text{ cm}^{-3}$, $N_d = 425 \text{ cm}^{-3}$) represent a strongly polluted stratocumulus case with substantial activation of aerosol. As a result, we elected not to impose an increase in aerosol concentration as a perturbation. Instead, we maintain the same aerosol size distribution shape and decrease the aerosol concentration by factors of 2 and 4, thereby simulating moderately polluted (HALF ND) and fairly clean (QUARTER ND) STBL cases, respectively. The lowest values of $N_a = 113 \text{ cm}^{-3}$, $N_d = 106 \text{ cm}^{-3}$ are fairly representative of the clean STBL (Miles et al., 2000).

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In order to span the full range of aerosol and cloud droplet concentrations observable within stratocumulus, a lower-end value of $N_d = 50 \text{ cm}^{-3}$ is probably more appropriate, while the upper range $N_d = 425 \text{ cm}^{-3}$ is a reasonable upper value. Although we do not have decadal time series of aerosol data available for the region of interest, we note that the Twin Otter observed N_d to vary between 188 cm^{-3} and 392 cm^{-3} during VOCALS (Zheng et al., 2010). Thus the selected N_d range is probably larger than needed and somewhat overestimates the variability in aerosol state in matching the 1-sigma variability in meteorology. We keep this in mind when comparing cloud responses across meteorological and aerosol perturbations.

We also chose to test the response of stratocumulus to one hour temporal differences in SW radiative heating. Aerosol-cloud interactions have been studied from polar-orbiting satellites (e.g. Nakajima et al., 1991; Painemal and Zuidema, 2010) but the time at which a satellite observes any given region varies by approximately one hour (the approximate return period). Thus, we seek to understand how such one-hour changes in SW heating might influence stratocumulus properties as observed from space. Such results are also potentially relevant to aircraft campaigns, when the observational period can shift from day-to-day.

The aerosol and meteorological properties that are varied, the magnitude of these variations, and possible ways in which the cloud will respond to these variations, are summarized in Table 4. We focused on the response of stratocumulus to those meteorological factors likely to modify STBL evolution on time scales less than a day.

5.2 Configuration of experimental simulations

By perturbing the base simulation with one perturbation at a time from Table 4 (two different perturbations of one aerosol and each of three meteorological properties), we created eight separate experimental simulations. The perturbations described in the previous subsection were added at 09:30 UTC, two hours into the base LES, and the simulations were allowed to run for an additional six hours, to 15:30 UTC. Simulating this time period allows us to determine how the responses of LWP, τ and CRF vary

through the observation period to near solar noon. For reference, Fig. 5 shows the temporal variation of solar insolation at TOA for the base simulation. This variation in solar insolation is shifted one hour back and one hour ahead in the SW forcing experiments.

As noted above, meteorological context and aerosol state are often correlated (Bren-
guier et al., 2003), but here we assume independence because attributing changes in
cloud properties Δc to changes in specific aerosol and meteorological factors will be
simpler if we only consider factors one at a time. Interactions between two or more
co-varying properties would make the attribution process more difficult. Examining
changes in cloud responses to co-varying aerosol and meteorological properties is
left to future study, possibly using the factorial method (Teller and Levin, 2008).

6 Results from experimental simulations

We first briefly describe the time evolution of the cloud layer in the model base
case to provide some context for the discussion of the perturbation simulations. From
09:30 UTC to 15:30 UTC, the base case LWP decreases from 67 gm^{-2} to 18 gm^{-2} as
solar insolation increases. After 14:00 UTC the cloud layer has thinned such that it
is optically thin in the longwave (LW) (Garrett and Zhao, 2006; Petters et al., 2012),
and LW radiative cooling from the cloud top begins to decrease slightly with time. The
boundary layer deepens over the six hours, reaching a nearly steady height at the
end of simulation. This deepening indicates that entrainment of the overlying dry air
cloud also play a role in the decrease in LWP. We again note that the base simulation
is of non-drizzling stratocumulus; drizzle does not play a measurable role in any of
the experimental simulations, even in those where cloud droplet concentrations were
reduced.

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6.1 Response to perturbations in potential temperature jump

Perturbations in the θ jump from the base case (labeled BASE) lead to changes in entrainment rate that affect cloud LWP. Together Fig. 6a, f show that an increase in the θ above the STBL leads to a higher LWP and vice versa (simulations UP THETA and DOWN THETA, respectively).

As suggested by other studies (e.g. Lewellen and Lewellen, 1998; Sullivan et al., 1998; Sun and Wang, 2008), an increase in the θ jump leads to stronger stability (i.e. greater density contrast) across the interface, reducing the rate at which dry air from above the cloud top entrains into the boundary layer. Also, because of the increase in θ above the boundary layer, cloud integrated LW radiative cooling is reduced (Fig. 6c) and hence there is less buoyant production of TKE within the cloud layer (Fig. 6e). Buoyant production is the primary source of TKE within the convective STBL, and a decrease in this quantity results in less TKE available to drive entrainment. Taken together, these two mechanisms lead to a slower increase in boundary layer height (Fig. 6b). Boundary layer height is directly related to entrainment rate because large-scale subsidence is the same in all simulations (see Table 1).

The converse of these qualitative arguments applies when the θ jump is decreased. However, the cloud response is not identical in magnitude for the positive and negative θ jumps, i.e. the response is not symmetric.

As the sun rises toward mid-day and cloud integrated SW radiative heating increases (Fig. 6d), LWP decreases in all three simulations. Shortwave radiative heating increases with LWP and explains in part why the LWP for the UP THETA simulation decreases more rapidly after 13:00 UTC as compared to the other two. Integrated LW cooling also decreases after 13:00 UTC in all three simulations as the cloud layer becomes optically thin in that portion of the electromagnetic spectrum.

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6.2 Response to perturbations in moisture jump

For the base case, decreases in the magnitude of the q_t jump(s) (i.e. moistening the free troposphere) lead to increases in LWP (Fig. 7a, f). Like the STBL response to changes in the θ jump, changes in LWP are related to changes in the entrainment process and the magnitude of LW radiative cooling. For the same amount of entrained overlying air into the STBL, increasing q_v above the boundary layer leads to less evaporation of cloud and less associated evaporative cooling. This change in the entrainment process partly explains both the increase in LWP with increasing free tropospheric q_t , as well as the increase in latent heating at cloud top (Fig. 7d). Simultaneously, integrated LW radiative cooling decreases as there is more water vapor to emit LW radiation to the top of the cloud (Fig. 7c).

As in Fig. 6, this decrease in integrated LW cooling (in conjunction with less cloud top evaporative cooling) leads to less buoyancy production of TKE (Fig. 7e) when the magnitude of the q_t jump decreases. Again, as this in-cloud buoyancy production decreases, we find a reduction in entrainment rate, which can be seen as a reduction in boundary layer growth (Fig. 7b). This reduction in entrainment rate with increased free tropospheric q_t also leads to less evaporation of cloud. There is less mixing of relatively dry overlying air into the cloud, and what mixing does occur brings in moister cloud-free air.

Different from the perturbations in θ jump, one of the simulations, UP 2XMOIST, does not appear to become optically thin in the LW spectrum near the end of simulation. Integrated LW radiative cooling decreases only slightly at the end of simulation, whereas for the other two (BASE and UP MOIST) LW cooling decreases more substantially (Fig. 7c). Furthermore, comparing Fig. 6a to Fig. 7a shows that the response of LWP to the moisture jump perturbations is larger than the response to θ jump perturbations. This difference in LWP response has important bearing on the associated responses of τ and CRF.

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6.3 Response to perturbations in radiative heating

Displacing the time of sunrise one hour earlier (simulation RAD EARLY) leads to a decrease in LWP, and delaying sunrise by one hour (simulation RAD LATE) leads to the opposite response (Fig. 8a). In general, SW heating decreases LWP by warming the cloud layer, and more SW heating integrated over simulation time further decreases LWP (Fig. 8d).

Shortwave heating can also weaken circulations in the STBL through stabilizing the cloud layer with respect to the sub-cloud layer (e.g. Turton and Nicholls, 1987), and can lead to the cloud layer becoming somewhat decoupled from the subcloud layer and surface (e.g. Turton and Nicholls, 1987; Lu and Seinfeld, 2005; Sandu et al., 2008; Petters et al., 2012). This decoupling can lead to further reductions in LWP because the cloud layer now receives less water vapor flux from below cloud. Profiles of vertical velocity variance ($\overline{w'w'}$) for 12:30 to 13:30 UTC show that increases in total SW forcing over simulation time (RAD EARLY) lead to substantial reductions in circulation strength (Fig. 8f). For RAD EARLY, $\overline{w'w'}$ exhibits a local minima in the STBL, indicating that some decoupling first occurs in that simulation.

Owing to the weakening of circulation strength with increases in SW forcing, we also find a reduction in entrainment rate (Fig. 8b). A reduction in the rate at which overlying dry air mixes into the boundary layer could lead to an increase in LWP. Liquid water path decreases instead, and thus the combination of SW warming and reduced water vapor flux from the sub-cloud layer into the cloud more than offset the impact of this decrease in entrainment rate.

The increase in total SW forcing over simulation time for RAD EARLY results in a thinner cloud layer with a lower cloud fraction at the end of simulation as compared to the model base case (Fig. 8e). Cloud fractions are defined as the ratio of model columns with $LWP < 10 \text{ gm}^{-2}$ to the total number of model columns. As the amount of cloud dwindles, the amount of integrated LW cooling from the cloud layer also decreases (Fig. 8c). Conversely, for RAD LATE, we find an overcast cloud layer at end

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of simulation. These results suggest that modest (~ 1 h) changes in the time of observation (e.g. by satellite or aircraft) can lead to substantial changes in the radiative properties of a stratocumulus layer. We discuss these changes in Sect. 7.

6.4 Response to perturbations in aerosol concentration

5 Previous studies have shown that perturbations in N_a and N_d can lead to either cloud thinning or thickening. When large scale forcings such as subsidence and SW forcing are held constant, changes in either the drizzle process (Albrecht, 1989; Jiang et al., 2002) or the entrainment process (Ackerman et al., 2004; Bretherton et al., 2007; Hill et al., 2009) can each play important roles in the STBL response. We found that, compared to our descriptions of cloud response to the meteorological perturbations, accurate description of how perturbations to N_a and N_d impact the simulations requires more elaboration.

10 Relative to the base case, decreases in N_a and N_d lead to increases in LWP (Fig. 9a). Increases in LWP with decreases in N_a and N_d occur almost immediately after the aerosol perturbations were introduced at 09:30 UTC. What is the mechanism causing the immediate divergence in model LWPs with changes in N_a and N_d ? Because the thermodynamic profiles of all three simulations are identical immediately after the aerosol perturbations are introduced, it is unlikely that thermodynamics play a role in the immediate response (though feedbacks to the thermodynamic state could strongly affect longer time-scale responses). Instead we look to immediate changes in micro-physical processes when cloud droplet concentration is altered (Fig. 10).

15 Our simulations exhibit a weak drizzle process. Even when N_d is decreased to its lowest value in QUARTER ND there is negligible sedimentation of cloud water below cloud base (not shown). Thus we look to potential changes in the entrainment process. When cloud droplet concentration is decreased evaporative cooling at cloud top is expected to decrease for two reasons: there is less total droplet surface area through which liquid water can become water vapor (Wang et al., 2003; Ackerman et al., 2004;

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Hill et al., 2009), and there are fewer droplets near the cloud top interface because larger droplets sediment faster (Bretherton et al., 2007; Hill et al., 2009).

As the evaporation rate near cloud top decreases, LWP would be expected to increase. We see that, for the first half hour after perturbations are induced, evaporative cooling (negative latent heating) at cloud top (associated with entrainment) does decrease with decreases in N_a and N_d (Fig. 10a). Thus these changes in evaporation at cloud top can be related to the increases in LWP seen in Fig. 9a immediately after 09:30 UTC.

Less evaporative cooling at cloud top also causes less buoyancy production of TKE in that region (Fig. 10c), resulting in decreases in $\overline{w'w'}$ near cloud top (Fig. 10b, around 800 m altitude). Weaker turbulence leads to less vigorous entrainment, and thereby larger LWP can be maintained. As a whole this process is known as the evaporation-entrainment effect (Hill et al., 2009). This effect could also play a role in the immediate increases in LWP with decreased N_d at 09:30 UTC.

We note our model vertical resolution of 5 m is unable to explicitly resolve mixing across the stratocumulus cloud top interface (Stevens et al., 2005). Even with subgrid fluxes turned off, spurious diffusion of cloud droplets across the interface can occur within LES, leading to an overestimation of entrainment efficiency (Bretherton et al., 1999). We anticipate this overestimation to somewhat exaggerate the strength of cloud top evaporation and its dependence on N_a and N_d .

We also find that, in response to the evaporation-entrainment effect, boundary layer growth decreases with decreases in N_d (Fig. 9b) as entrainment rate decreases. This change in boundary layer growth plays an important role in the further evolution of these simulations. Before we elaborate further, we first consider the impacts of LW radiative cooling and SW radiative heating.

Figure 9c shows us that integrated LW cooling is similar across all three simulations until about 13:30 UTC. After 13:30 UTC we see that LW cooling is dependent on N_d due to variations in cloud thinning and reductions in cloud fraction with N_d (Fig. 9e). After this time, as N_d decreases, integrated LW cooling increases because the cloud

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fraction increases and individual cloudy model columns are more likely to be optically thick in the LW. This change in LW cooling with N_d could help explain the slight increase in differences in LWP across the three simulations after 13:30 UTC; more LW cooling within the boundary layer can lead to cloud growth through a lowering of the lifting condensation level.

Decreases in N_d lead to more integrated SW heating (Fig. 9d). Because cloud integrated SW heating increases with increases in both LWP and N_d , it is clear that the increase in LWP and consequent increase in cloud SW heating dominates the expected decrease in SW heating due to decreases in N_d . If SW heating were to play a primary role, we would expect LWP values across the simulations to become more similar during the day because higher LWP values beget more heating and would lead to more cloud evaporation. Because we do not find this relationship between LWP and N_d (Fig. 9a), we turn to the role of boundary layer growth and entrainment to explain the simulated longer-term response to aerosol.

Averaged over the fourth hour of simulation after the perturbations were induced (12:30 to 13:30 UTC), q_v and θ profiles indicate that, as N_d decreases, a cooler, moister STBL results (Fig. 10d, e) that leads to more cloud growth. This cooler, moister STBL can be attributed to slower boundary layer growth and entrainment (Fig. 9b); more entrainment of warm, dry overlying air leads to a warmer, drier STBL. Note that Fig. 10d and e are qualitatively representative of adjacent hourly periods for these simulations.

Although the differences are small, we see near cloud top (900 m to 1000 m) for the hour between 12:30 and 13:30 UTC that $\overline{w'w'}$ is smaller for the lower values of N_d (Fig. 10f). This relationship can again be associated with the evaporation-entrainment feedback, as we found near cloud top from 09:30 UTC to 09:45 UTC (Fig. 10b). This relationship between circulation strength and N_d near cloud top is in contrast with the more obvious increase of $\overline{w'w'}$ with decreases in N_d for the bulk of the STBL. However, we must keep in mind the importance of circulation strength near cloud top in determining entrainment rate, as opposed to circulation strength through the boundary layer (Lilly, 2002; Caldwell and Bretherton, 2009). Taken as a whole, and as seen in Wang

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et al. (2003), Figs. 9 and 10 show that decreases in evaporative cooling and entrainment rate with decreases in N_a and N_d result in the increases of LWP found in this set of simulations.

7 Computed responses in cloud properties

To objectively compare the impact of the perturbations in meteorological context Δm and aerosol state Δa on the model base case, we computed the response of three cloud properties Δc (LWP, τ and CRF) to these perturbations. We computed these responses from 5-min domain averaged cloud properties, averaged over one hour centered on each of the last four hours of simulation (12:00, 13:00, 14:00 and 15:00 UTC).

Because we have two separate perturbations (e.g. UP THETA and DOWN THETA) for each of the four perturbed parameters, we computed two hourly-averaged cloud responses for each hour.

For all perturbation types, the two responses are reported by comparing cloud properties for (i) the simulations using the *middle* (absolute) value of θ jump, q_t jump, N_a , and SW forcing relative to the simulation using *smallest* value, and then (ii) by comparing the simulations using the *largest* perturbed parameter value relative to the *middle* value. See Table 5 for the exact choice of simulations used for each response calculation.

Figures 11 to 14 show these computed responses. While the hour over which we average is the same for all responses, we slightly shift the results left or right at each hour for clarity. As shown in Table 5, at each hour in Figs. 11 to 14 the point slightly shifted to the left is for the middle–smallest simulations, while the point shifted to the right is for the largest–middle simulations.

Although cloud responses to changes in SW forcing (simulations RAD EARLY and RAD LATE) are included in the Figs. 11 and 12, we discuss cloud responses to these variations in a separate subsection (Sect. 7.2). Because solar insolation for this particular set of simulations differs from solar insolation in the other perturbation

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simulations, we do not compute CRF responses to variations in SW forcing (i.e. in Fig. 13 and 14).

7.1 Responses to changes in jump properties compared to responses to changes in droplet concentration

7.1.1 Liquid water path

Figure 11 shows the time evolution of responses of LWP. Averaged over the two responses at each hour and across all four hours, the LWP response to increases in N_d is the largest in magnitude (-13 g m^{-2}), followed by the response to increases in the q_t jump (-8 g m^{-2}). The average LWP response to increases in the θ jump is the smallest at 5 g m^{-2} .

For each perturbation, the LWP response varies with hour and, in some cases, at each hour between the two perturbations (e.g. UP THETA and DOWN THETA). The LWP responses to increases in the θ jump are all positive and vary the least over simulation time (between 2 and 7 g m^{-2}). The LWP responses to increases in the q_t jump (decrease in free tropospheric q_t are all negative, varying between -5 and -12 g m^{-2}). Within each hourly average, the LWP response to changes in θ jump are fairly linear (e.g. the response at each hour for UP THETA and DOWN THETA are similar). For changes in the q_t jump, the LWP response is fairly linear at 12:00 and 13:00 UTC and less so at 14:00 and 15:00 UTC.

The LWP responses to increases in N_d are all negative and vary between -6 and -23 g m^{-2} . For all four hours we find a non-linear LWP response to these increases in N_d . The first increase from $N_d = 106 \text{ cm}^{-3}$ to $N_d = 213 \text{ cm}^{-3}$ yields the larger response in LWP, and is associated with non-linear changes in entrainment rate with N_d (Fig. 9b). This non-linearity increases with time.

Because of the substantial decrease in LWP during the day, relative LWP responses vary more widely with time as compared to absolute LWP responses. For example,

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relative LWP responses to increases in N_d vary from -10% at 12:00 UTC to -94% at 15:00 UTC, when the cloud layer is thinnest.

7.1.2 Optical depth

We found LWP responses to changes in meteorological perturbations (Δm) to be of the same magnitude, or smaller, than LWP responses to aerosol perturbations (Δa) (Fig. 11). However, LWP responses to these perturbations can not be directly translated into radiative responses. In general bulk optical depth τ is proportional to LWP, N_d and the dispersion of the cloud drop size distribution, represented by k (Brennguier et al., 2011):

$$\tau \sim (kN_d)^{1/3} \text{LWP}^{5/6}. \quad (1)$$

We computed τ for the SW portion of the spectrum using:

$$\tau = \int_{z_b}^{z_t} \int_{r_l}^{r_u} 2\pi r^2 n(r) dr dz, \quad (2)$$

where z_t and z_b are the heights of the cloud top and cloud base, respectively, r_u and r_l are the radius size range of the drop size distribution and $n(r)$ is the number of drops between r and $r + dr$ (Seinfeld and Pandis, 1998). The extinction efficiency is assumed to be 2. Using output of 5-min averaged cloud droplet size distribution data, we integrated the model drop size distribution first over the 25 bins in the microphysical model and then over the total depth of the cloud layer. For the base case, changes in τ with time follows the same trend as LWP with time (compare the black lines on Fig. 11 and Fig. 12), as expected from Eq. (1).

Optical depth responses to perturbations in the two jump properties are proportional to LWP responses (Fig. 12). For increases in q_t jump strength, hourly-averaged values of the responses in τ are between -2.7 (-25%) to -1.0 (-15%). For increases in θ

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jump strength, hourly-averaged values of τ increase by 0.7 (11 %) to 1.5 (8 %). Note that relative responses in τ are computed relative to their corresponding “BASE” hourly-averaged τ values.

Comparison of responses in τ to N_d perturbations (Fig. 12) to concurrent responses in LWP (Fig. 11) reveal substantial differences. We find that responses in τ change both sign and magnitude over simulation time when N_d is increased. At 12:00 UTC the hourly-averaged τ response, averaged over the two responses, is 1.6 (10 %). This response decreases to 0.6 (4 %), -0.6 (-6 %), and finally -1.2 (-18 %) for 15:00 UTC. In contrast, perturbations in N_d elicited negative LWP responses throughout simulation time.

We find the responses of τ to increases in both jump properties are also proportional to the responses of LWP. Increases in q_t jump strength (decrease in free tropospheric q_t) primarily lead to decreases in LWP and τ , and increases in the θ jump strength primarily lead to increases in LWP and τ . We do not find this same direct proportionality when we perturb N_d . In general, increasing N_d in stratocumulus while holding other properties constant leads to an increase in τ , as shown in Eq. (1) (Twomey, 1977). The LWP response to increasing N_d is negative (Fig. 11), the result of which is a decrease in τ . When taken together the two separate responses to τ somewhat mitigate each other. This mitigation, or cancellation, has been found in other modeling studies of aerosol-cloud interactions within both marine stratiform (Ackerman et al., 2004; Wood, 2007) and marine cumuliform (Zuidema et al., 2008) cloud layers. In the simulations, the response of τ to increasing N_d is dominant at 12:00 UTC but becomes less so as the simulation continues. By 15:00 UTC impact of increasing N_d is more than offset by the response of τ to the decrease in LWP, resulting in a net negative τ response.

The responses shown in Fig. 12 show that, within non-drizzling stratocumulus, bulk τ can be as responsive to realistic changes in the q_t and θ jumps as it is to substantial changes in aerosol and cloud droplet concentrations. However, for the purposes of understanding the climatic impact of stratocumulus, we are most interested in the impact

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that these perturbations in meteorological context and aerosol state have on the SW radiative forcing of the cloud layer.

7.1.3 Cloud radiative forcing

In general, SW radiative forcing of stratocumulus at TOA is substantially larger than corresponding LW radiative forcing (Klein and Hartmann, 1993). Chen et al. (2000) determined that, averaged globally, the SW radiative forcing of these cloud layers at TOA to be almost ten times as large as their LW radiative forcing. At the surface, the globally averaged CRFs are of the same order of magnitude; shortwave radiation incident on the surface is lessened while LW emission to the surface is increased. Here we investigate the responses of both.

We computed the responses of SW and LW CRF at both TOA and at the surface. For these computations we used output of thermodynamic and cloud LWC profiles, averaged over the domain and in 5-min periods, and computed the radiative fluxes at TOA and surface with or without the presence of clouds. The difference between those two computed radiative fluxes is the CRF. We did not compute CRF response for the “RAD” simulations because we modified the solar insolation in those two simulations.

We might expect that LW CRF would have a weak response to perturbations in aerosol and meteorological context when the cloud layer is optically thick in the LW but could respond more strongly when the cloud layer is optically thin. However, hourly-averaged responses in LW CRF to the perturbations never exceed 2 W m^{-2} at TOA or at the surface (Fig. 13). At TOA, the largest hourly-averaged response is 0.8 W m^{-2} (4%), and the largest response at the surface is -1.3 W m^{-2} (2%). These results indicate that, for the base case, LW emission from the cloud layer to space or the surface is not substantially impacted by the perturbations we examined.

We find substantial variation in SW CRF responses across the simulations (Fig. 14). To understand this variation we must understand SW CRF in the base case. At both the surface and TOA, SW CRF is negative throughout the simulation. The changes of SW CRF with time at TOA (Fig. 14a) and at the surface (Fig. 14b) are similar, with

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larger negative SW CRF values at the surface because of atmospheric absorption. Solar insolation is maximum at 15:30 UTC (end of simulation), but SW CRF is strongest shortly before 14:00 UTC at -410 W m^{-2} . Because the cloud layer thins in response to increased SW radiative heating, the cloud layer is considerably thinner at the end of simulation and is not as reflective.

We find that SW CRF responses to the perturbations, and the variations of these responses with time, are quantitatively similar between TOA and the surface. Thus we focus our attention on the SW CRF responses at TOA (Fig. 14a). The cloud thickens in response to increased stability at the boundary layer top when the θ jump increases, making it both more reflective and absorptive. The hourly-averaged responses in SW CRF increase in magnitude from -5 and -2 W m^{-2} (2 and 1 %) at 12:00 UTC to -12 and -21 W m^{-2} (4 and 7 %) at 15:00 UTC.

In response to less moisture above the boundary layer (increase in q_t jump), the cloud layer thins and becomes less reflective and absorptive. The CRF response increases from 2 and 1 W m^{-2} (1 %) at 12:00 UTC to 59 and 26 W m^{-2} (18 and 8 %) at 15:00 UTC. For the hours centered at 14:00 and 15:00 UTC, there are large differences between the two SW CRF computed responses to moisture perturbations. These large differences illustrate how responses can depend strongly on the reference state of the cloud system; because the BASE cloud layer is thin at these times, SW CRF is strongly dependent on changes in q_t jump strength.

For increases in N_d the hourly-averaged responses in SW CRF vary between -9 and -11 W m^{-2} (4 %) at 12:00 UTC and between -1 and 44 W m^{-2} (0 and 14 %) at 15:00 UTC. As found in Fig. 12, there are opposing effects on SW CRF; increasing N_d results in a more reflective cloud while decreasing LWP results in a less reflective cloud. The impact of increasing N_d dominates cloud thinning at 12:00 UTC and cloud thinning becomes more important as the simulation progresses. The interaction between these two effects causes more non-linearity in the variation of this SW CRF response with time as compared to that from the jump properties. Similar to the results in Fig. 12,

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perturbations in the two jump properties can elicit changes in SW CRF on the same order of magnitude as those found for perturbations in N_d .

For all perturbations, the magnitude and variation in SW CRF responses to the perturbations increases substantially at 15:00 UTC, when solar insolation is largest and the cloud layer is thinnest. Also, these SW CRF responses do not vary in direct proportion to the responses in τ (Fig. 12). In general, variation in SW CRF responses substantially increases as the simulations move forward in time, behavior not found in the responses of τ . For example, the smallest responses in τ to increases in θ jump strength occur at 15:00 UTC, while the smallest SW CRF responses to the same increases occur at 12:00 UTC.

In general SW CRF is dependent on both solar insolation and cloud albedo. Cloud albedo is proportional to τ (Bohren, 1987) and, provided that solar insolation is fixed, the amount of SW radiation reflected by the cloud (i.e. its radiative forcing) is also proportional to τ . However solar insolation is not fixed in the simulations, and hence its variation must explain why SW CRF responses are not proportional to responses in τ . An incremental change in τ will elicit changes in SW CRF proportional to the amount of solar insolation. In the simulations solar insolation increases substantially during the simulation period, with the largest values of solar insolation occurring around 15:00 UTC. As compared to 12:00 UTC, relatively small changes in τ can elicit relatively large changes in SW CRF at 15:00 UTC. Figure 14 highlights the importance of time of day in determining the SW CRF response of stratiform cloud layers.

7.2 Responses to changes in shortwave forcing (“RAD” perturbations)

For the base case, the LWP responses to shifting sunrise one hour earlier or later are substantial. For LWP (Fig. 11), responses to increasing SW forcing are smallest at 12:00 UTC (average of -3.8 gm^{-2} , 6 %) and increase to their largest negative value at 14:00 UTC (average of -15 gm^{-2} , 36 %). From 12:00 UTC to 14:00 UTC, time-integrated solar insolation varies widely between the three simulations “RAD EARLY”, “BASE” and “RAD LATE” (Fig. 8d), and thus results in varying amounts of cloud

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thinning. By the end of simulation, the time-integrated solar insolation is more even across all three simulations. The absolute LWP response at 15:00 UTC is consequently smaller (average of -12 g m^{-2}) but still a 50 % departure from the base case.

Similar to the perturbations in jump properties, the responses of τ to increases in both jump properties are generally proportional to the responses of LWP (Fig. 12). The largest negative absolute responses to variations in solar insolation occur on the hour centered at 14:00 UTC (average of -3.78 , 26 %) and become smaller at 15:00 UTC (average of -2.9 , 45 %).

These simulations suggest that even modest, ~ 1 h changes in the time of observation (e.g. by satellite or aircraft) can lead to a factor of two modification in LWP and τ . By extension, any study that combines observations from different periods of time can introduce diurnal variability that will obscure the desired observation of, for example, aerosol influences on the radiative properties of clouds. The largest variations in day-time stratocumulus LWP and τ due to changes in observation time, and consequent time-integrated solar insolation, can be expected to occur soon after solar insolation varies fastest with time of day (mid-morning and mid-afternoon local solar time). Note the largest variations in cloud properties do not occur in lockstep with these variations in solar insolation because the cloud layer does not respond instantaneously to such changes.

7.3 Computed sensitivities

Until now, we have focused on the response of cloud properties to perturbations as defined in Sect. 5.1. However, many previous studies have computed the sensitivity of clouds to meteorology and/or aerosol, so we now report similar calculations for comparison purposes. Computed as $\frac{d \ln \text{response}}{d \ln \text{perturbation}}$, these dimensionless values are shown in Table 6. The values of $\frac{d \ln \tau}{d \ln N_d}$ are in reasonable agreement with those found in other modeling studies (e.g. Lu and Seinfeld, 2005; Hill et al., 2009), keeping in mind that

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there can be wide variation across studies depending on meteorological context and aerosol size distribution (McComiskey et al., 2009).

Averaged over the four hour simulation period, LWP sensitivities are of the same order of magnitude between aerosol and meteorological perturbations. When we consider radiative impacts (in τ and SW CRF), the aerosol perturbations elicit sensitivities an order of magnitude lower than the meteorological perturbations. Again we can attribute this relationship to the partial mitigation occurring between changes in cloud thickness and microphysical properties when N_d increases. Cloud optical depth decreases due to cloud thinning but increases due to increases in N_d , as found in other modeling studies (Ackerman et al., 2004; Wood, 2007; Zuidema et al., 2008).

8 Discussion and conclusions

In this study we have used large-eddy simulation to examine the impact of observed variations in meteorological context Δm and aerosol state Δa on daytime, non-drizzling stratiform evolution, and compared resulting changes in cloud properties Δc .

We first created an observationally-constrained LES based on in-situ observations taken during VOCALS. We tried two different LES frameworks: one where sub-grid diffusion of scalars (e.g. moisture, energy) is accounted for (DIFF); and one where this sub-grid diffusion is neglected (NODIFF). Both frameworks were able to reasonably replicate the observed well-mixed boundary layer and its thermodynamic profiles. Because the NODIFF framework better simulated the observed circulation strength and LWP, we chose it as the basis for the experimental simulations. From this base LES we perturbed aerosol and meteorological properties and determined the cloud response. We determined realistic variations in meteorological context Δm through use of ERA-Interim data (Uppala et al., 2005, 2008), and determined realistic variations in aerosol state from in-situ observations taken during VOCALS (Zheng et al., 2010).

We found that realistic variations in meteorological context (i.e. jump properties) can elicit responses in the cloud properties of optical depth (τ) and SW cloud radiative

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forcing (SW CRF) that are on the same order of magnitude as those responses found due to realistic changes in aerosol state (i.e. N_d). In response to increases in N_d , the cloud layer in the base case cloud layer thinned due to increases in evaporative cooling and entrainment rate. This cloud thinning somewhat mitigates the increase in τ resulting from increases with N_d (i.e. Twomey effect). On the other hand, variations in meteorological context (θ jump and q_t jump) did not substantially modify N_d . The cloud layer thickens in response to an increase in the θ jump and thins in response to an increase in the q_t jump, both resulting in τ and SW CRF responses comparable to those found from perturbations in N_d .

We directly computed LW and SW CRF responses to aerosol and meteorological perturbations. Longwave CRF was not substantially altered by the perturbations we tested, while SW CRF could be modified by as much as 18 % by the perturbations. The variation in absolute SW CRF responses was relatively small during the morning hours and increased as solar insolation increased. This variation highlights the importance of time of day in determining the SW CRF response of stratiform cloud layers to changes in meteorology and aerosol.

Although our conclusions are based on a consistent modeling framework, and the simulations are derived from realistic observations, there are a few important caveats of note. First, we held large-scale subsidence constant across all the simulations. Bretherton et al. (2004) found a diurnal variation in large-scale subsidence for Southeast Pacific stratocumulus, found to be driven by thermal circulation between the Andes and the ocean (Garreaud and Munoz, 2010). This variation can influence the LWP and cloud fraction within coastal stratocumulus, and we did not account for this variation. Also, we did not examine changes in cloud responses due to co-varying aerosol and meteorological properties, and these interactions could play important roles in modifying the cloud responses we found. This examination is left for future study.

We addressed the core questions in our introduction using a modeling framework at a cloud-scale process level. Nevertheless, we arrive at a conclusion similar to that of George and Wood (2010), from their analysis of large-scale satellite data; variations

in meteorological context can obfuscate the impact of aerosol perturbations on cloud evolution. We also investigated the response of stratocumulus to one hour temporal differences in SW radiative heating. The simulations suggest that ~ 1 h changes in the time of observation (e.g. by satellite or aircraft) can lead to substantial changes in LWP and τ . Thus our results suggest that, for observational studies of aerosol-cloud interactions within stratiform clouds, consistency in meteorological context (the jump properties in particular) and time of observations from day-to-day must be carefully considered.

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Table 1. Model base case configuration and settings for Regional Atmospheric Modeling System (RAMS) in large-eddy simulation. Where applicable, configuration values are based on in-situ observations from the Twin Otter.

Model Part	Setting	Notes/Reference
grid resolution	50 m horizontal, 10 m vertical refined to 5 m near boundary layer top	vertical resolution consistent with Stevens et al. (2005)
domain size	3.4 km on a side, 2 km in vertical	simulates one full convective cell (Caldwell and Bretherton, 2009)
boundaries	cyclic lateral boundary conditions, rigid bottom and top	Rayleigh friction layer in top 16 vertical layers for removal of spurious gravity wave reflection
model timestep	0.5 s for model spin-up, 1 s thereafter	meets CFL criterion
microphysics parameterization:	bin microphysical model	(Tzivion et al., 1987; Feingold et al., 1988; Tzivion et al., 1989), described in Feingold et al. (1996); Stevens et al. (1996)
	25 bins for non-drizzling case	mass-doubling between bins
radiation parameterization:	two-stream solver	(Harrington, 1997)
	correlated- k distribution spectral band model	15 shortwave and 12 longwave spectral intervals (Cole, 2005)
	binned cloud optical properties	(Harrington and Olsson, 2001)
	thermodynamic profile above domain	Iquique, Chile sounding from 12Z, 19 October 2008
radiative timestep	5 s	meets strict criterion of Xu and Randall (1995)
sub-grid scale parameterization	Deardorff isotropic diffusion scheme	(Deardorff, 1980)
subsidence	$5 \times 10^{-6} \times z \text{ ms}^{-1}$	z is height; expression follows Ackerman et al. (2009), subsidence value for best match to observations
sea surface temperature	constant 289.7 K	as measured from Twin Otter for non-drizzling case, used for radiative computation only
surface fluxes	constant 3 and 27 Wm^{-2} for sensible/latent fluxes	as measured from Twin Otter

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Table 2. Aerosol and cloud instrument payload on Twin Otter during field campaigns. Abbreviated list; only instruments referenced in this article are listed.

Parameter	Instrument	Measured at	Range Detected/Error
Liquid Water Content	Gerber PVM-100	10 Hz	< 40 μm (nominal)
Cloud Droplet Size Distribution	Phase Doppler Interferometer (PDI)	1 to 10 Hz	2–100 μm
Drizzle Size Distribution	Cloud Imaging Probe (CIP)	1 Hz	100–2000 μm
Accumulation-mode Aerosol Size Distribution	Passive Cavity Aerosol Spectrometer (PCASP)	1 Hz	0.1–2.6 μm
Particle Number Concentration	TSI Condensation Particle Counter (CPC) 3010	10 Hz	diameter > 10 nm
Turbulent velocities	Nose-mounted gust probe	100 Hz	$\pm 0.1 \text{ ms}^{-1}$
Ambient Temperature	Rosemount	10 Hz	–50 to 50, $\pm 0.1 \text{ }^\circ\text{C}$
Dew Point Temperature	EdgeTech chilled mirror	1 Hz	–50 to 50, $\pm 0.2 \text{ }^\circ\text{C}$
Water Vapor Content	Li-Cor	20 Hz	0 to 42, $\pm 0.005 \text{ g m}^{-3}$
Sea Surface Temperature	Heitronics KT 19.85 Pyrometer	10 Hz	–5 to 45 $^\circ\text{C}$
Barometric Pressure	Setra barometric transducers	100 Hz	600–1100 mb $\pm 75 \text{ mb}$

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Table 3. Thermodynamic profile (sounding) used to initialize model. Where applicable, original values from observations (before modification for use in this study) are shown in parentheses. The surface pressure was initialized at 1018.0 mb. Temperature and moisture values above the inversion were those observed immediately before the flight legs.

Layer	Potential Temperature (K)	Total Water Content (g kg^{-1})	U wind (m s^{-1})	V wind (m s^{-1})
0–980 m (0–1040 m)	287.30	7.55 (7.35)	–0.50	0.70
990 m (1050 m)	293.65	4.17 (4.07)	0.55	–0.50
1000 m (1060 m)	300.00	0.80	1.60	–1.70
above 1000 m (1050 m)	observed	observed	1.60	–1.70

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Table 4. Aerosol and meteorological properties varied and for which responses of cloud properties LWP, optical depth and cloud radiative forcing are computed.

Factor	Modify by	Possible impacts on STBL	References
Aerosol concentration	Half/quarter value uniformly across size distribution	Alters aerosol-cloud interactions	refer to introduction
Moisture jump	Increase moisture content above BL by $[1, 2] \times 0.87 \text{ g kg}^{-1}$	Modulates evaporation through entrainment mixing	(Ackerman et al., 2004)
Potential temperature jump	Increase/decrease potential temperature above BL by 1.3 K	Modulates energy transfer through and amount of entrainment mixing	(Lilly, 1968; Sullivan et al., 1998)
Radiative heating	Shift initial solar geometry ± 1 h	Solar heating stabilizes the cloud with respect to sub-cloud, alters boundary layer thermodynamic profile	(Turton and Nicholls, 1987; Sandu et al., 2008)

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Table 5. Expressions depicting how the two hourly-averaged responses (left-hand and right-hand for each hour) are computed for each perturbation in Figs. 11 to 14. The expressions in the rightmost column use the simulation names described in Sect. 5.

Perturbation	Left-hand	Right-hand
Aerosol concentration	(HALF ND) – (QUARTER ND)	(BASE) – (HALF ND)
Moisture jump	(UP MOIST) – (UP 2XMOIST)	(BASE) – (UP MOIST)
Potential temperature jump	(BASE) – (DN THETA)	(UP THETA) – (BASE)
Shortwave heating	(BASE) – (RAD LATE)	(RAD EARLY) – (BASE)

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Table 6. Sensitivities of cloud response (liquid water path, optical depth and cloud radiative forcing) to perturbations (moisture and potential temperature jumps, cloud droplet concentration). These domain-averaged values are computed as $\frac{d \ln \text{cloud response}}{d \ln \text{perturbation}}$ for each 5-min time period and for each perturbation (two per 5-min average). Means and standard deviations are two responses per 5-min average and over all four hours of simulation time.

Cloud Response	Increase in Moisture Jump	Increase in Potential Temp. Jump	Increase in Droplet Concentration
Liquid Water Path	-1.12 ± 0.48	0.98 ± 0.36	-0.36 ± 0.20
Optical Depth (τ)	-0.94 ± 0.41	0.84 ± 0.32	-0.02 ± 0.18
SW CRF TOA	-0.34 ± 0.32	0.35 ± 0.22	0.01 ± 0.08
SW CRF Surface	-0.38 ± 0.31	0.36 ± 0.21	-0.00 ± 0.09
LW CRF TOA	0.24 ± 0.03	-0.08 ± 0.03	0.05 ± 0.01
LW CRF Surface	0.08 ± 0.04	0.03 ± 0.04	-0.01 ± 0.01

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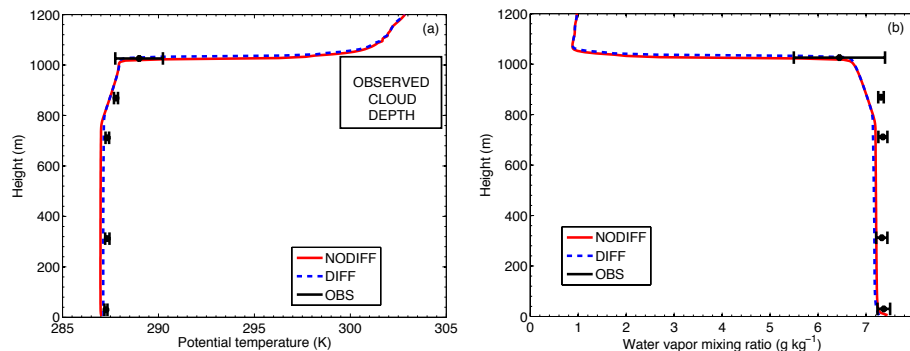


Fig. 1. Comparison of thermodynamic profiles between CIRPAS in-situ observations (data points) and LES output (lines). Observations show mean (symbol) and standard deviations (error bars) over each of the five flight legs. LES output are domain-averaged and temporally averaged over the sixth hour of simulation. Times of observations and LES output coincide.

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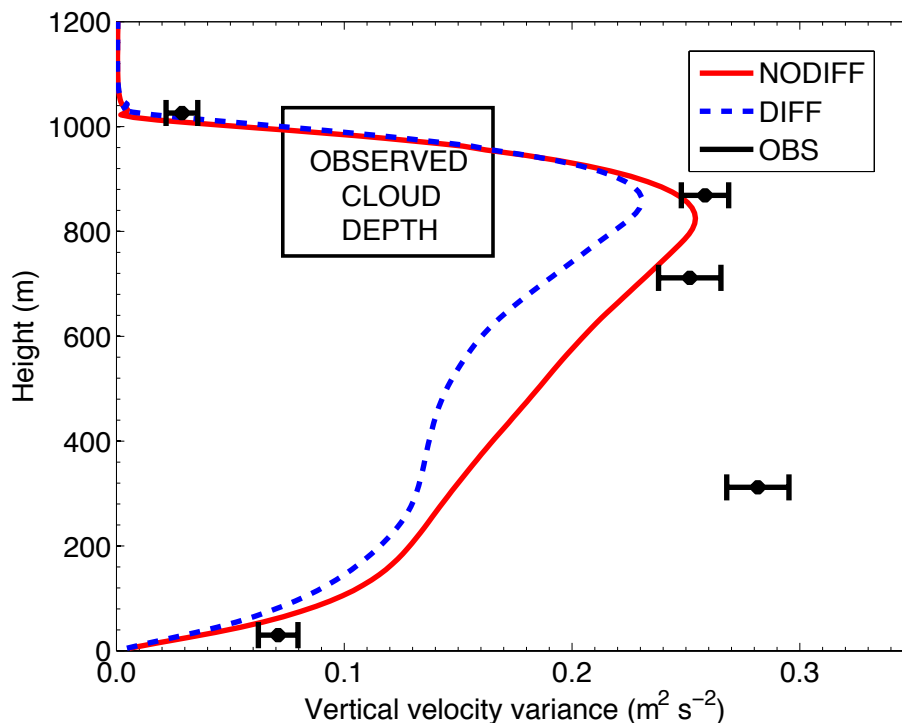


Fig. 2. Comparison of resolved-scale flux profiles between CIRPAS in-situ observations (data points) and LES output (lines). Observations show means (symbol) and computed errors (error bars) over each of the five flight legs. Errors are computed with propagation of measurement uncertainties in Table 2. LES output are domain-averaged and temporally averaged over the sixth hour of simulation. Times of observations and LES output coincide.

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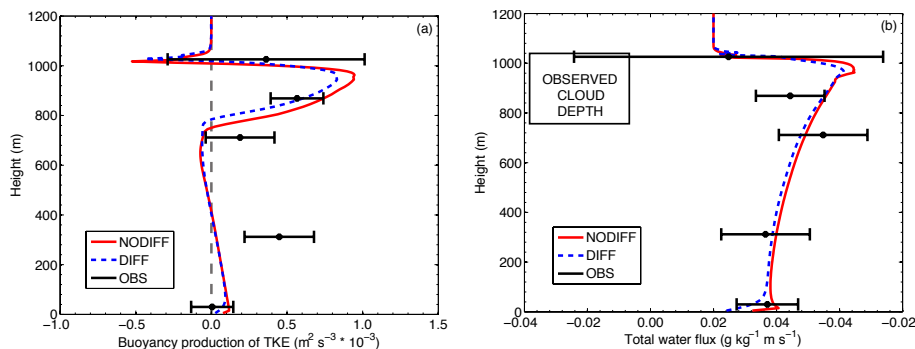


Fig. 3. Comparison of resolved-scale flux profiles between CIRPAS in-situ observations (data points) and LES output (lines). Observations show means (symbol) and computed errors (error bars) over the five flight legs. Errors are computed with propagation of measurement uncertainties in Table 2. LES output are domain-averaged and temporally averaged over the sixth hour of simulation. Times of observations and LES output coincide. The gray dashed line in (a) indicates zero values for visualization.

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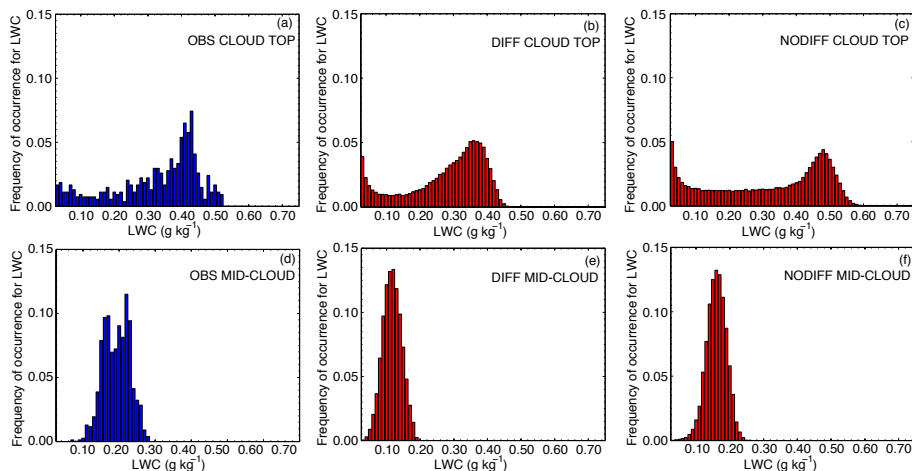


Fig. 4. Probability distribution function (pdf) of liquid water content observed with Phase Doppler Interferometer during flight leg at **(a)** cloud top, and **(d)** mid-cloud. These pdfs, as modeled with large-eddy simulation and allowing diffusion of scalars (DIFF) are shown in **(b)** (cloud top) and **(e)** (mid-cloud). The same, as modeled while neglecting diffusion of scalars (NODIFF), are shown in **(c)** (cloud top) and **(f)** (mid-cloud). Model output from large-eddy simulation is averaged over a 30 min window centered on time of observation (averaging over 6 snapshots at 5 min intervals). All liquid water contents are binned into 0.01 g kg^{-1} ranges.

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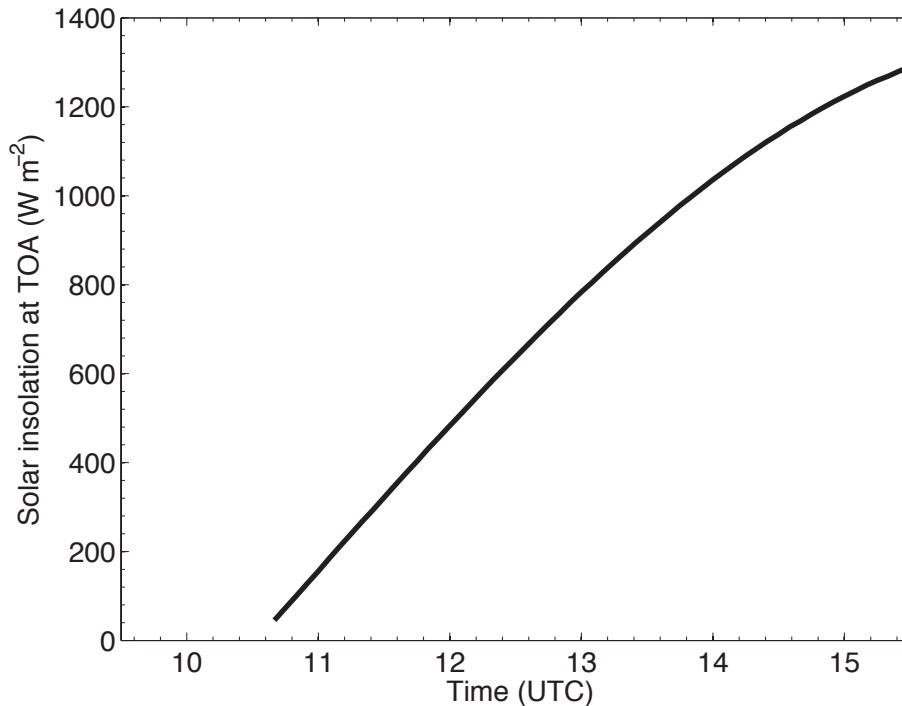


Fig. 5. Solar insolation at TOA as it varies over simulation time for the base case LES. All experimental simulations have the same solar insolation except for the radiation perturbation simulations, where the solar insolation is shifted in time by one hour.

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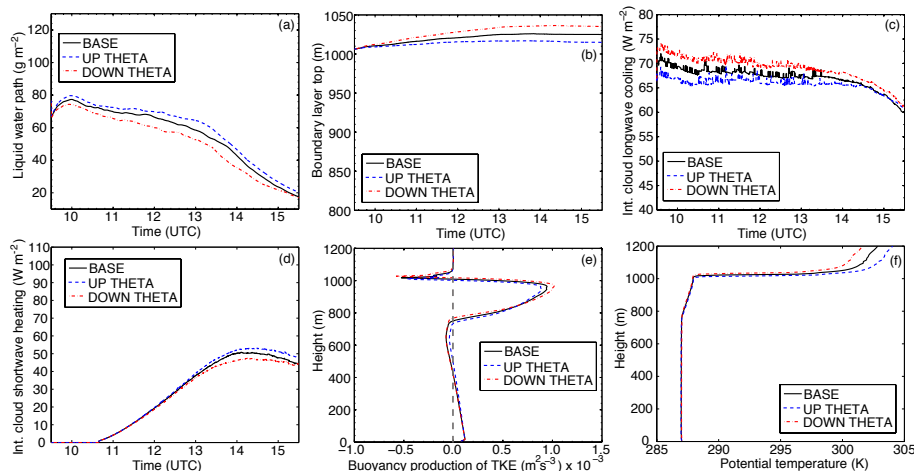


Fig. 6. LES output showing STBL response for changes in potential temperature jump across the cloud top interface. Time series of quantities, shown in (a) to (d), are domain averaged and vertically integrated. Vertical profiles, shown in (e) and (f), are domain averaged and temporally averaged over the hour of observation (12:30 to 13:30 UTC).

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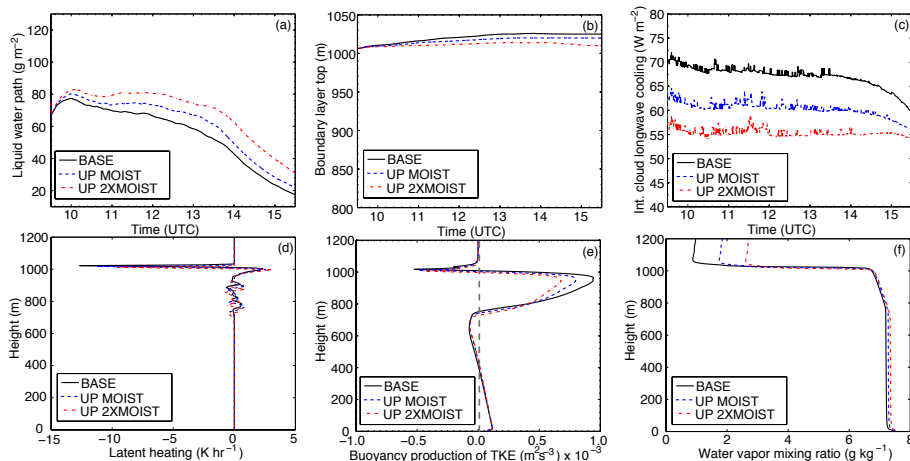


Fig. 7. LES output showing STBL response for changes in moisture jump across the cloud top interface. Time series of quantities, shown in (a) to (c), are domain averaged and vertically integrated. Vertical profiles, shown in (d) to (f), are domain averaged and temporally averaged over the hour of observation (12:30 to 13:30 UTC).

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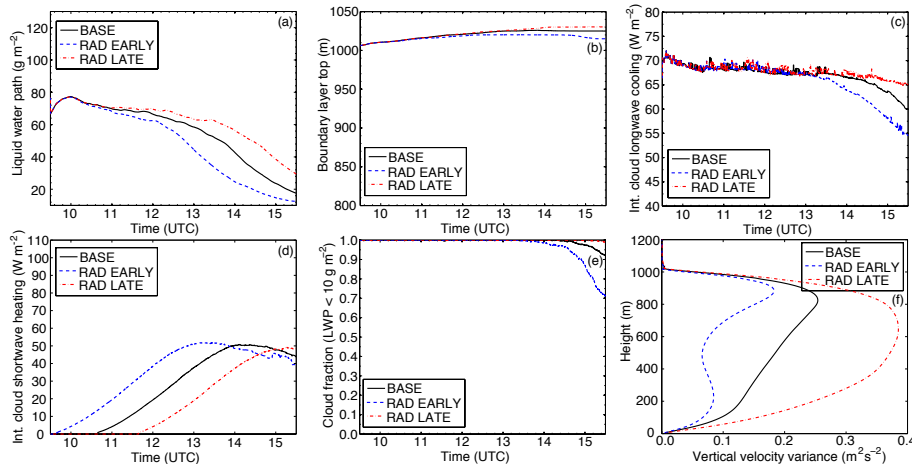


Fig. 8. LES output showing STBL response for changes in shortwave forcing. Time series of quantities, shown in (a) to (e), are domain averaged and vertically integrated. The vertical velocity variance profiles shown in (f) are domain averaged and temporally averaged over the hour of observation (12:30 to 13:30 UTC).

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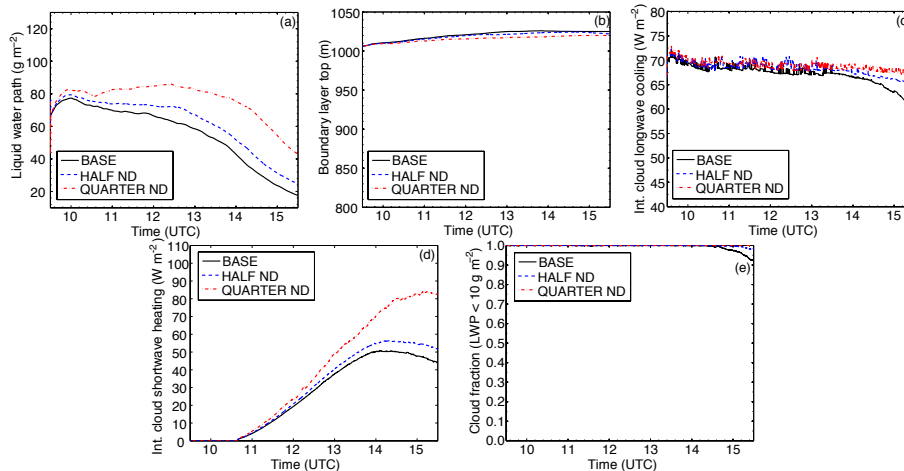


Fig. 9. LES output showing STBL response for changes in aerosol and cloud droplet concentrations. Domain averaged and vertically integrated time series of quantities are shown.

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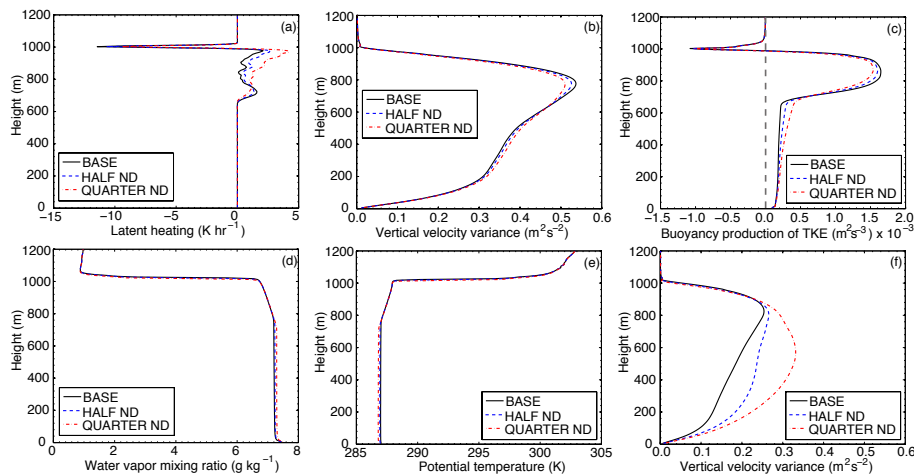


Fig. 10. LES output showing STBL response for changes in aerosol and cloud droplet concentrations. Vertical profiles, shown in (a) to (c), are domain averaged and temporally averaged over the first fifteen minutes of simulation after perturbation (09:30 to 09:45 UTC). Vertical profiles, shown in (d) to (f), are domain averaged and temporally averaged over the hour of observation (12:30 to 13:30 UTC).

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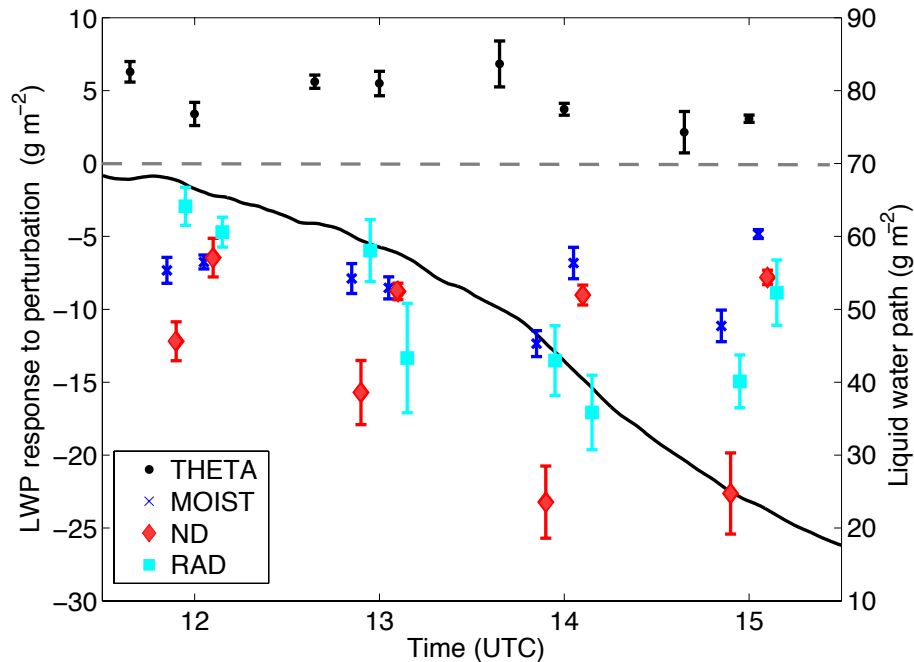


Fig. 11. Response of LWP to perturbations in meteorological context and aerosol state, computed from the experimental simulations (left y-axis). All mean responses are computed using 5-min temporally and domain averaged data, averaged over each of the four hours. Standard deviations for these means are shown with error bars. The grey dashed line indicates a zero response in LWP. The hour over which we average is the same for each computed response; we spread the responses out around each time for clarity. There are two computed hourly responses for each perturbation type; refer to Table 5 for exact expressions. For reference the black line shows the time series of base case LWP (right y-axis).

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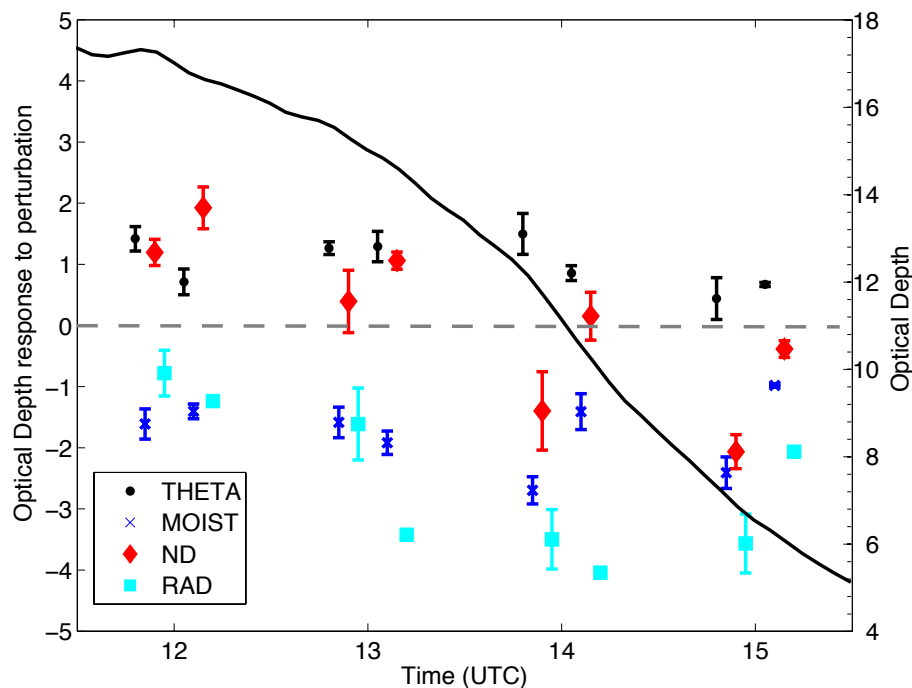


Fig. 12. Response of optical depth (τ) to perturbations in meteorological context and aerosol state, computed from the experimental simulations (left y-axis). Responses of τ are shown as described in Fig. 11. For reference the black line shows the time series of base case τ (right y-axis) and the grey dashed line indicates a zero response in τ .

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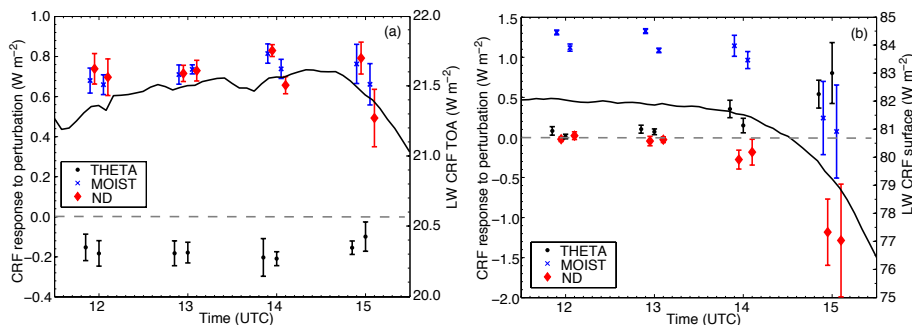


Fig. 13. Response of longwave cloud radiative forcing (LW CRF), at **(a)** TOA and **(b)** the surface to perturbations in meteorological context and aerosol state, computed from the experimental simulations (left y-axis). Responses of LW CRF are shown as described in Fig. 11. For reference the black line shows the time series of base case LW CRF (right y-axis) and the grey dashed line indicates a zero response in LW CRF.

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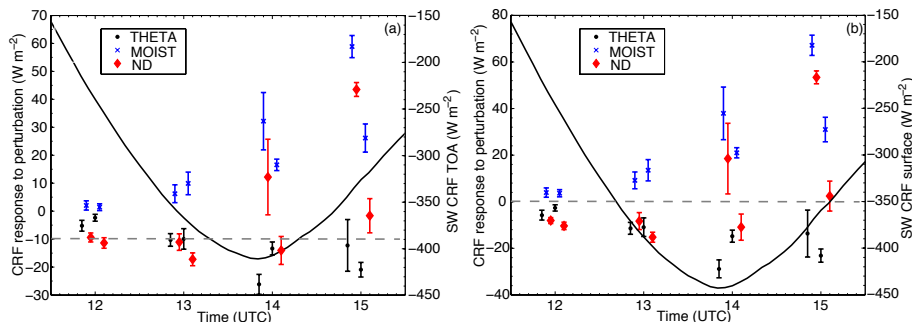


Fig. 14. Response of shortwave cloud radiative forcing (SW CRF), at **(a)** TOA and **(b)** the surface to perturbations in meteorological context and aerosol state, computed from the experimental simulations (left y-axis). Responses of SW CRF are shown as described in Fig. 11. For reference the black line shows the time series of base case SW CRF (right y-axis) and the grey dashed line indicates a zero response in SW CRF.

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