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The size distribution and mixing state of black carbon aerosol over Europe

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Pape	Title Page	
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Abstract

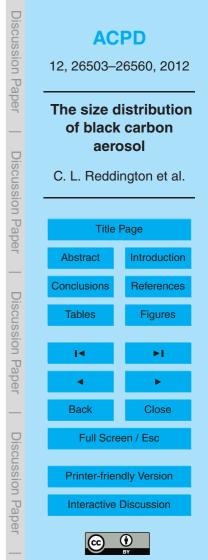
Black carbon-containing aerosol particles play an important role in the direct and indirect radiative forcing of climate. However, the magnitude and sign of the net radiative effect is strongly dependent on the physical properties of the black carbon (BC) compo-

- ⁵ nent of the particles, such as mass, number concentration and size distribution. Here we use a global aerosol model combined with aircraft measurements of BC particle number and size from the Single Particle Soot Photometer (SP2) to assess the realism with which these physical properties are predicted by global models. The comparison reveals a substantial mismatch between the measured and modelled BC size distribu-
- tion over the size range of the SP2 instrument (90–400 nm BC diameter). The model predicts BC particle number concentrations a factor ~3.5–5.7 higher than measured and a mode diameter that is 40–65 nm smaller than observed. More than 90% of the model particles in the SP2 size range contain BC, while the observations suggest only 21%. These model-observation biases in the BC properties are considerably greater
- than for the overall particle distribution, suggesting that the discrepancy is associated with model assumptions about the size and mixing state of the emitted carbonaceous particles. We expect the discrepancy in BC size distribution to be common among most global aerosol models, with implications for model estimates of absorption optical depth and direct radiative forcing.

20 **1** Introduction

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Atmospheric aerosols modify the global radiation budget directly by scattering and absorbing solar radiation and indirectly by modifying the microphysical properties of clouds (e.g. Haywood and Boucher, 2000; Forster et al., 2007). Carbonaceous combustion aerosol particles are emitted directly into the atmosphere as a by-product of incomplete combustion occurring in fossil fuel, biofuel and biomass burning. The black carbon (BC) content of the combustion particles is strongly absorbing at visible and



infra-red wavelengths, and is therefore an important contributor to the direct radiative forcing of the climate by atmospheric aerosols (Forster et al., 2007; Ramanathan and Carmichael, 2009). The current estimates of global radiative forcing from fossil fuel and biomass burning are positive (\sim 0.4 W m⁻²) and are comparable to the contribution of methane, the second most important greenhouse gas (Forster et al., 2007). The short lifetime of BC in the atmosphere (e.g. Schulz et al., 2006) and its predominantly anthropogenic sources (Ito and Penner, 2005; Stier et al., 2006) make it attractive for short-term reduction strategies to reduce future warming of the climate (Jacobson, 2002; Bond and Sun, 2005; Bond et al., 2007; Grieshop et al., 2009; Rypdal et al., 2009).

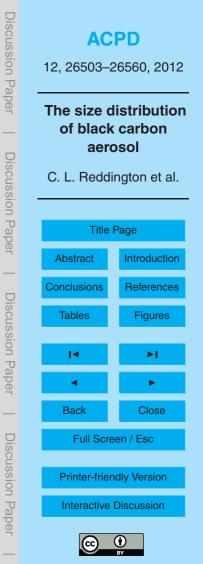
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However, carbonaceous combustion particles can also contain water-soluble material and therefore act as cloud condensation nuclei (CCN) (Zuberi et al., 2005), the number concentration of which governs the magnitude of the aerosol indirect radiative forcing on climate. Although BC particles are hydrophobic and are therefore poor

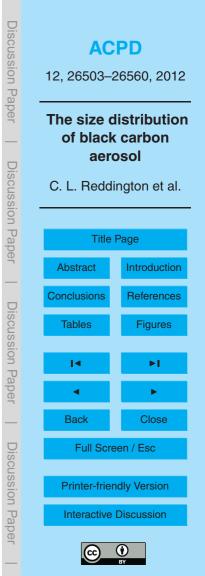
- ¹⁵ CCN, they are often co-emitted with hydrophilic particulate organic matter (POM) and can become internally mixed with this species. BC particles also become increasingly hydrophilic after emission, through condensation of sulphate, nitrate and secondary organic aerosol (SOA) onto the particle surfaces, referred to as particle "ageing" (e.g. Shiraiwa et al., 2007; Moffet and Prather, 2009; Wang et al., 2010; Cheng et al., 2012).
- The degree of mixing, or "mixing state", of atmospheric BC particles with these hydrophilic aerosol components not only influences their CCN activity, but also affects their radiative properties and is therefore important for assessing the direct radiative forcing of carbonaceous aerosol (Jacobson, 2001; Bond et al., 2006).

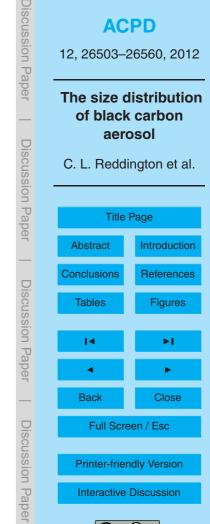
Global model studies show that carbonaceous combustion particles composed of BC and POM could make substantial contributions to global CCN concentrations (Pierce et al., 2007; Chen et al., 2010; Spracklen et al., 2011) and influence cloud properties (Bauer et al., 2010; Jacobson, 2010; Koch et al., 2011; Spracklen et al., 2011). Pierce et al. (2007) showed that carbonaceous aerosol increases global CCN concentrations at 0.2 % supersaturation (CCN(0.2 %)) by 40–90 %, while Spracklen et al. (2011) used



a global model and observations of CCN to show that carbonaceous aerosol accounts for over 50 % of surface CCN(0.2 %), and up to 80 % over polluted continental regions. However, there are large uncertainties associated with the emission size distribution of primary carbonaceous particles in models leading to uncertainties in predicted CCN

- ⁵ concentrations (Pierce et al., 2007; Pierce and Adams, 2009; Spracklen et al., 2011) and estimates of climate forcing (Bauer et al., 2010; Spracklen et al., 2011). Spracklen et al. (2011) find the global mean top-of-atmosphere aerosol indirect effect due to carbonaceous combustion aerosol ranges from -0.34 to -1.08 W m⁻² depending on the assumed emission size of carbonaceous particles.
- ¹⁰ Model predictions of BC mass concentrations from a wide range of aerosol and climate models (including models from the Aerosol Intercomparison Project; Aero-Com) have been extensively evaluated against various atmospheric BC measurements (Schaap et al., 2004; Koch et al., 2009; Vignati et al., 2010; Schwarz et al., 2010b; Gilardoni et al., 2011). In addition, the key uncertainties associated with global modelling
- of atmospheric BC mass concentrations have been identified and investigated by Vignati et al. (2010) and recommendations made for comparing modelled and measured carbonaceous aerosol mass concentrations (Vignati et al., 2010; Gilardoni et al., 2011). However, much less is known about the size and number concentrations of carbonaceous aerosol particles, which are critical quantities for CCN and indirect forcing. More
- importantly, the contribution of carbonaceous aerosol to global and regional CCN concentrations, as quantified by e.g. Pierce et al. (2007) and Spracklen et al. (2011), has not been compared to observations of the BC itself. This is largely due to a lack of measurements of the number concentrations of atmospheric carbonaceous particles since measurements of atmospheric BC are almost entirely mass-based.
- An important limitation for the absorption simulated by global and regional aerosol models is the requirement to make assumptions about the size distribution and mixing state for emitted BC at the sub-grid scale. The majority of global models (whether they simulate only aerosol mass or the full particle size distribution) assume that the BC and OC components are co-emitted as internally mixed particles, commonly referred to in







or whether it is distributed across many particles gives substantially different aerosol 10

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optical properties. Riemer et al. (2009) have developed a box model that explicitly resolves the size and composition of individual particles to simulate the evolution of the mixing state of BC-containing particles (Riemer et al., 2010) and the associated optical and CCN acti-

vation properties (Zaveri et al., 2010) in an idealised urban plume. Zaveri et al. (2010) 15 found that the assumption of internally mixed particles within model size bins can lead to an overprediction of CCN concentrations and BC absorption when compared to the particle-resolved results. However, the representation of the aerosols in the particle resolved model has not been evaluated against size or composition measurements.

global model studies as BC/OC particles (black carbon-organic carbon). Some limited information is available very close to emission sources to suggest that a uniform BC/OC

mixture across the emitted size distribution (i.e., at particle sizes below ~100 nm) is not

realistic (e.g. Kittelson et al., 2000, 2006; Baltensperger et al., 2002; Casati et al., 2007). However, since models are typically only evaluated against BC and OC mass

concentrations, any information that might exist about the mixing state of different size particles is not included in the models. But the distribution of the BC component across

the particle size distribution is very important since it determines the light absorption

properties of the aerosol. For example, whether a few particles contain most of the BC

- Aquila et al. (2011) have developed and implemented a sub-model that tracks mass 20 and number concentrations of BC particles in their different mixing states in a global atmospheric chemistry model. Their model performs reasonably well against measurements of total aerosol number concentrations and size distribution, but such particle resolved models have yet to be evaluated against measurements of BC properties.
- In recent years, advanced observation techniques using laser-induced incandes-25 cence have been developed (the Single Particle Soot Photometer; SP2), which allow simultaneous sized resolved measurements of the mass and number concentrations of the refractory and strongly light-absorbing component of combustion-generated carbonaceous aerosol (e.g. Schwarz et al., 2006). These measurements therefore enable

the size distribution of the BC component to be separated from that of the total particle distribution. In this study we use measurements from the SP2 instrument over Europe to evaluate model predictions of the physical properties of BC. By comparing the number size distribution of BC particles with the total particle number size distribution we are also able to guantify the modelled and measured number fractions of BC particles,

⁵ are also able to quantify the modelled and measured number fractions of BC particles, thereby quantifying the contribution of carbonaceous aerosol to the number of particles at sizes relevant for CCN.

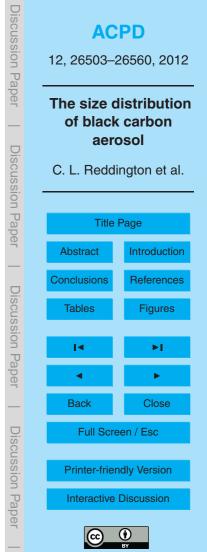
2 Observations

We use observations from the LONG Range EXperiment (LONGREX), an intensive field campaign that took place between 6 and 24 May 2008 as part of the European Integrated Project on Aerosol Cloud Climate Air Quality Interactions (EUCAARI; Kulmala et al., 2009, 2011). Two research aircraft were operated during LONGREX, the DLR (Deutsches Zentrum für Luft-und Raumfahrt) Falcon 20-E5 aircraft and the BAe-146-301 large atmospheric research aircraft operated by the UK Facility for Airborne Atmo-

spheric Measurements (FAAM). Here we use observations made onboard the FAAM aircraft, which mainly sampled in the boundary layer, including the regions of interest identified by the DLR Falcon aircraft. The flight tracks of the FAAM aircraft performed during LONGREX are shown in Fig. 1.

The meteorological conditions of the LONGREX field campaign were characterised

- ²⁰ by two fairly distinct periods, which are described in detail by Hamburger et al. (2011). In the first half of the campaign (up to 15 May) the weather was dominated by a stable anticyclonic blocking event over Central Europe, with its core located over the Northern Germany and Denmark. During this event the lack of cloud cover and precipitation led to an accumulation of pollutants inside the boundary layer within the core region of the
- high pressure system (Hamburger et al., 2011). Strong negative gradients in particle and BC mass concentrations were observed in aircraft vertical profiles between the well mixed boundary layer and the relatively clean middle troposphere (McMeeking et



al., 2010; Hamburger et al., 2011). During the second half of the campaign (16 May onwards) the anticyclone dissipated and the weather was dominated by westerly flow and passage of frontal systems over Central Europe. The majority of flights of the FAAM aircraft were performed during the first half of May 2008 (flights B362–B374 in Fig. 1).

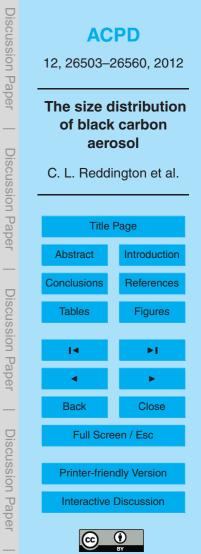
- The physical properties of the refractory and strongly light-absorbing component of carbonaceous aerosol were measured during LONGREX using the SP2 instrument. The term for the component detected by the SP2 has evolved from "elemental carbon" (Gao et al., 2007) or "black carbon" (e.g. Schwarz et al., 2006) to "refractory black carbon" (rBC) (e.g. Schwarz et al., 2010a; McMeeking et al., 2010), to more clearly define the material that is measured. The SP2 relies on laser-induced incandescence (Stephens et al., 2003) to quantify the mass of rBC in individual particles, which can be
- integrated to obtain mass and number concentrations for ambient rBC at high temporal resolution.

The boiling point temperature of atmospheric BC is sufficiently high so that when it absorbs the laser energy it incandescences with an intensity that is proportional to the mass (e.g. Schwarz et al., 2006). For particles consisting of internally mixed BC and non-BC material, the non-BC material will be vaporised at a lower temperature before the BC incandesces, thus the SP2 measures the mass size distribution of the rBC particle "cores". Here, the measured diameter is referred to as the BC core diameter, D_{BC} , while the diameter of the internally mixed particles (rBC core + non-rBC coating) is referred to as the coated-particle diameter, D_{n} .

The SP2 in this study measured rBC over a calibrated volume equivalent diameter (VED) range of $D_{BC} = 55-400$ nm, assuming an rBC density of $1.8 \,\mathrm{g}\,\mathrm{cm}^{-3}$ (McMeeking et al., 2010). The SP2 instrument is also able to provide sizing information for non-incandescing (non-rBC) particles in the size range $D_p = 150-600$ nm and information on the mixing state of rBC (e.g. McMeeking et al., 2011a,b), but these measurements are not available for this campaign period.

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The number-detection efficiency of the SP2 at sea level pressure is reported by Schwarz et al. (2010a) to be close to unity for $D_{BC} \gtrsim 90 \text{ nm VED}$. We therefore restrict

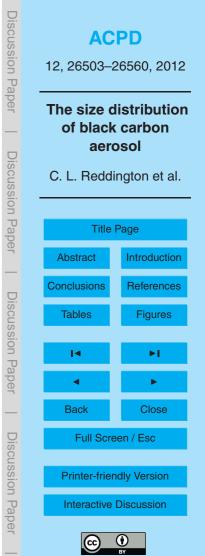


our model-observation comparison to the BC number size distribution above 90 nm dry diameter. An uncertainty of ~30 % has been reported for the mass measurement of a single rBC particle, corresponding to a ~10 % uncertainty in the measured $D_{\rm BC}$ (Shiraiwa et al., 2008; Schwarz et al., 2008; McMeeking et al., 2010).

- The fairly narrow measurement range of the SP2 instrument (~90-400 nm dry diameter) is unlikely to represent the total ambient number and mass concentrations of BC. By fitting log-normal distributions to aircraft SP2 measurements, Schwarz et al. (2006) find the SP2 detects approximately ~60 % of the total ambient BC mass and ~5 % of the total ambient BC number over a mid-latitude region (between 88–98 ° W
- and 29–38° N). The SP2 instrument used in this study has been upgraded to increase the sensitivity to smaller BC particles, hence the measurements represent a larger fraction of the total ambient BC mass (~80%, using the same approach as Schwarz et al. (2006)) (McMeeking et al., 2010). But the fraction of the ambient BC number detected by the instrument is still likely to be relatively small.
- SP2 measurements are available from 13 LONGREX research flights of the FAAM aircraft. All concentrations are reported at ambient conditions. McMeeking et al. (2010) report that a problem related to pressure-dependent flow control in the instrument resulted in unreliable measurements at altitudes above approximately 2 km. We therefore restrict the analysis of these measurements to altitudes below 2.5 km a.s.l. A more detailed dependent for a study can be found.
- tailed description of the instrument and measurements used in this study can be found in McMeeking et al. (2010).

Measurements of the total particle size distribution were obtained using a wingmounted Passive Cavity Aerosol Spectrometer Probe (PCASP)-100X (Particle Measurement Systems, Boulder, CO, USA). The PCASP instrument measured the parti-

²⁵ cle size distribution in the dry diameter range of ~0.1 to ~3.0 µm. An uncertainty of ~30 % is typically cited for PCASP volume measurements (e.g. Kleinman et al., 2012), corresponding to a ~10 % uncertainty in measured diameter. The PCASP measurements used in this study have not been corrected for the refractive indices of the ambient aerosol; the size distribution has been calibrated using the refractive index of the



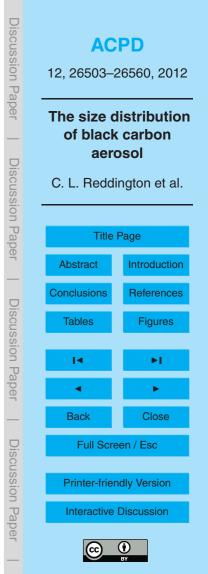
calibration di-ethyl-hexyl-sebacate (DEHS) aerosol. PCASP number size distribution measurements are available for 12 of the FAAM aircraft LONGREX flights with SP2 measurements (shown in Fig. 1). All measured concentrations are reported at ambient conditions.

5 3 Model description

We use the global aerosol microphysics model, GLOMAP (Spracklen et al., 2005a,b; Korhonen et al., 2008; Merikanto et al., 2009; Reddington et al., 2011), which is an extension of the TOMCAT 3-D off-line Eulerian chemical transport model (Chipperfield, 2006). Large scale atmospheric transport and meteorology is specified from 6-hourly ECMWF analyses. Turbulent mixing in the boundary layer and boundary layer height are calculated using the parameterisation of Holtslag and Boville (1993). The model has a horizontal resolution of 2.8°×2.8° and 31 vertical levels between the surface and 10 hPa. The vertical resolution in the boundary layer ranges from ~60 m near the surface to ~400 m at ~2 km a.s.l.

GLOMAP simulates the evolution of size- and composition-resolved aerosols, including their interaction with trace gases and clouds. The aerosol size distribution is specified in terms of a two-moment sectional (bin) scheme with 20 bins spanning ~3 nm to ~10 µm dry diameter. Microphysical processes in the model include nucleation, condensation of gas-phase species, coagulation, in-cloud and below-cloud aerosol scav-

- enging and deposition, dry deposition, and cloud processing (sulphur chemistry on activated aerosol). Full details of the model microphysics scheme are given by Spracklen et al. (2005a) and Merikanto et al. (2009). The aerosol species in GLOMAP include sulphate, sea-salt, and carbonaceous material, which is split into BC and particulate organic matter (POM).
- ²⁵ Concentrations of oxidants OH, O₃, NO₃, H₂O₂ and HO₂ are specified using 6-hourly monthly-mean 3-D gridded concentration fields from a TOMCAT simulation with detailed tropospheric chemistry (Arnold et al., 2005) and linearly interpolated onto the



model timestep. Emissions of biogenic terpenes are based on Guenther et al. (1995). Emissions of sea-salt are calculated using the scheme of Gong et al. (2003). Anthropogenic emissions of SO₂ (from industrial, power-plant, domestic, shipping, road transport, and off-road sources) are based on Cofala et al. (2005) and volcanic SO₂ emissions are based on Andres and Kasgnoc (1998). To account for sub-grid production of sulphate particulates, we assume that 2.5% of SO₂ from anthropogenic and volcanic sources is emitted as sulphuric acid particles. We use the size distribution for primary sulphate modified by Stier et al. (2005) from AeroCom recommendations for the year 2000 (Dentener et al., 2006). The emissions of carbonaceous aerosol used in

the model are described in Sect. 3.1.

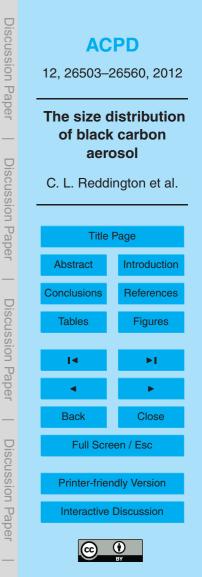
The formation of secondary sulphate particles in the free troposphere is simulated using the binary homogeneous $H_2SO_4-H_2O$ nucleation rates of Kulmala et al. (1998). In the boundary layer the model includes an empirical particle formation mechanism based on gaseous H_2SO_4 (e.g. Sihto et al., 2006; Kuang et al., 2008). In this mechanism the formation rate of sub-3 nm molecular clusters (J_{nuc}) is dependent on the gas-phase sulphuric acid concentration ([H_2SO_4]) to the power of two ($J_{nuc} = K[H_2SO_4]^2$).

A simple scheme for the formation of SOA is included in the model. This process involves the reaction of biogenic monoterpenes with O_3 , OH and NO_3 to form a gas-phase oxidation product with a constant mass yield of 14.3 % (Spracklen et al., 2006).

²⁰ The reactivity of the gas-phase monoterpenes is assumed to be equal to that of α pinene. The first stage oxidation product can form SOA by condensing with zero vapour pressure onto all pre-existing particles (Spracklen et al., 2006, 2008) and is then treated as POM.

3.1 Carbonaceous aerosol in GLOMAP

There is considerable ambiguity surrounding the definition and nomenclature of the strongly light-absorbing component of atmospheric carbonaceous aerosol produced by combustion sources, particularly in the context of aerosol and climate modelling (e.g. Bond et al., 2004; Bond and Bergstrom, 2006; Andreae and Gelencsér, 2006;



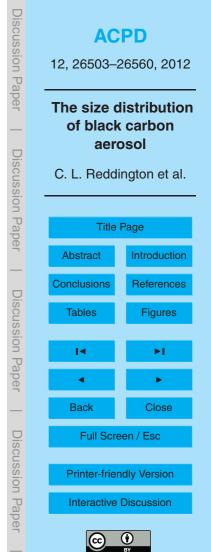
Vignati et al., 2010). The terms "elemental carbon" (EC) and "black carbon" (BC) are often used interchangeably to describe this component. The fraction of carbonaceous aerosol in an organic form is referred to as "organic carbon" (OC) and is usually given zero absorption in models although strictly-speaking OC is partly absorbing (Bond et al., 2004).

The definitions of EC and BC have been discussed in detail in the literature (see e.g. Bond and Bergstrom, 2006; Andreae and Gelencsér, 2006), but in general their definitions are based on the measurement technique (thermo-optical or optical respectively) used to detect the particles. The differences between measurements of EC and BC in different environments have been quantified in several instrument intercomparison studies (e.g. ten Brink et al., 2004; Jeong et al., 2004; Hitzenberger et al., 2006; Bae et al., 2007; Ram et al., 2010). In general there is a high correlation between the measured mass concentrations of EC and BC (e.g. ten Brink et al., 2007), but the differences in the absolute mass concentrations

- are highly variable. For example, Ram et al. (2010) found the BC mass concentration to be ~20 % higher than that of EC, whereas ten Brink et al. (2004) and Jeong et al. (2004) found a difference of up to a factor of 3 between measured BC and EC mass concentrations. It is important to note that in the latter two measurement studies, large differences were also found between BC values obtained with the various optical meth-
- ods and ten Brink et al. (2004) found EC concentrations differed by up to a factor of ~4 between methods. The type of modelled carbonaceous aerosol will therefore depend on the measurements used to compile the model emission inventory.

In GLOMAP, emissions of carbonaceous combustion aerosol are based on Aero-Com prescribed emission datasets for the year 2000 (Dentener et al., 2006). The Ae-

²⁵ roCom inventory includes emissions of primary carbonaceous aerosol from anthropogenic (fossil fuel and biofuel) sources based on Bond et al. (2004) and from biomass burning following van der Werf et al. (2003). The emission inventory developed by Bond et al. (2004) is reported by Vignati et al. (2010) to be predominantly based on measurements of the refractive behaviour of carbon (thermo-optical measurements) and thus

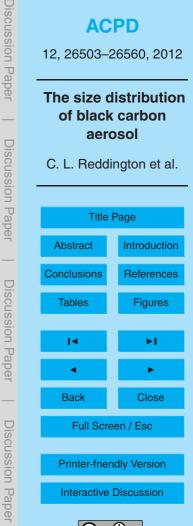


is more representative of EC. However, systematic uncertainties associated with the measurements are generally larger than the operational differences in the measurement techniques, thus Bond et al. (2004) treat measurements of EC as equal to BC, referring to the quantity as "BC". In addition, Koch et al. (2009) refer to both the mod-

⁵ elled and measured quantities as "BC" in their evaluation of AeroCom models against SP2 mass concentration measurements. To be consistent with Bond et al. (2004) and Koch et al. (2009) we use the term "BC" here to describe the modelled quantity.

SP2 measurements of rBC mass concentrations have been shown by several studies to be comparable to measurements of both EC- and BC-type mass concentrations

- (e.g. Slowik et al., 2007; Cross et al., 2010; Kondo et al., 2011). Kondo et al. (2011) found that ambient rBC measured by the SP2 agreed with mass concentrations of EC measured by a common filter-based thermal-optical technique and BC measured by a filter-based photo-absorption technique within 10% on average. Therefore in this study, we treat rBC as equivalent to the modelled BC mass concentration, though we
- note that there are differences between the SP2 technique and the methods used to obtain BC emissions used in the model. We also assume the modelled and SP2measured number size distributions of BC cores are comparable, but note that uncertainties associated with this assumption are not well quantified. Henceforth we refer to the SP2-measured quantity as "BC" as in Schwarz et al. (2006) and Koch et al. (2009).
- ²⁰ Further uncertainty associated with modelling carbonaceous (BC and OC) aerosol is introduced when estimating the emitted particle number flux from a mass-based emission inventory like the one used here. To calculate a number-emissions flux based on the mass-emissions flux, size-resolving models assume a fixed log-normal size distribution at emission, with a specified number median diameter (*D*) and distribution width
- (standard deviation, σ). Several studies have shown that the assumption of an effective emission size distribution is a major source of uncertainty in simulated particle number concentrations (Reddington et al., 2011), subsequently leading to uncertainties in predicted CCN concentrations (Pierce et al., 2007; Pierce and Adams, 2009; Spracklen et al., 2011) and estimates of climate forcing (Bauer et al., 2010; Spracklen et al., 2011).





The uncertainty in simulated primary carbonaceous particle number concentrations is accounted for in our study by showing two model runs with different assumptions for the size of emitted particles (see Table 2). We refer to these two model runs as "BCOC_Ig" for the large BC/OC particles as used by Stier et al. (2005) and "BCOC_sm"

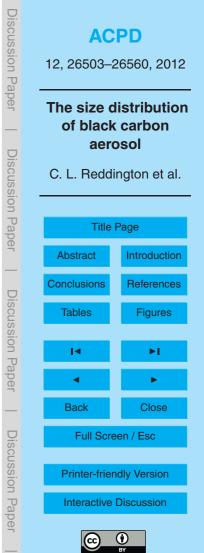
- for the small BC/OC particles as recommended by AeroCom (Dentener et al., 2006). These two size assumptions imply very different emission fluxes of carbonaceous particles for the same mass. The differences in the log-normal size distribution parameters used by Stier et al. (2005) and Dentener et al. (2006) (Table 2) correspond to an overall factor ~4.4 difference in the number-emissions flux of fossil fuel BC/OC particles. It is
 important to note, however, that the large difference in number-emissions flux given by
- ¹⁰ Important to note, nowever, that the large difference in number-emissions flux given by these two size assumptions is more important for particle sizes ≲100 nm (dry diameter), which is the lower limit of the size range under examination in this study.

3.2 Set-up of particle distributions

The model was set-up with three separate particle distributions, each of which is a ¹⁵ mixture of different chemical components. All three distributions are treated as hydrophilic and are wet scavenged. Each distribution tracks the mass concentration of each component and the total particle number concentration per size bin. The aerosol components in each distribution are summarised Table 1. The distributions are:

Distribution D1. receives only emitted carbonaceous (BC and OC) particles. The BC and OC components are internally mixed (i.e. the components are assumed to mix instantaneously after emission), thus we refer to particles in this distribution as "BC/OC" particles. Other aerosol components (H₂SO₄ and SOA) can condense onto and grow these particles, but no other primary or secondary (nucleated) particles enter this distribution. Particles are lost from this distribution through self-coagulation, coagulation with particles in D2 and D3, and through other
 deposition processes.

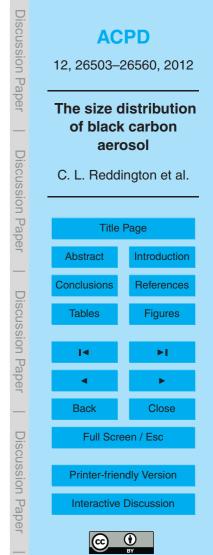
Distribution D2. contains only particulate sulphate (SO_4) , sea-salt (SS), and POM. Particles enter this distribution through primary emissions of sea-spray and from nucleation (including sub-grid nucleation of sulphate particles in plumes (Stevens et al., 2012)). Particles in this dis-



tribution can grow by irreversible condensation of the gas-phase H_2SO_4 and SOA components. As for D1, particles are lost from D2 through self-coagulation, coagulation with particles in D1 and D3, and through other deposition processes.

Distribution D3. contains mixed-component particles from coagulation between particles in D1 and particles in D2. Particles in D1 or D2 that coagulate with particles in D3 also enter this distribution. Thus all components exist in this distribution (BC, POM, SO₄, and SS). No particles are emitted into this distribution directly. The gas-phase H₂SO₄ and SOA components can condense onto and grow particles in D3. Particles in this distribution are lost through selfcoagulation and other deposition processes.

- In the particle phase we associate SOA with OC and the primary and secondary OC components are given the same properties. The OC component is assumed to exist in all particles as POM. The mass of POM from primary OC is set equal to 1.4×OC mass (Dentener et al., 2006).
- The set-up of the model particle distributions described here differs from that used in Reddington et al. (2011) and all previous GLOMAP studies to enable the number concentration of BC-containing particles to be tracked through coagulation processes. The distribution set-up used in previous GLOMAP-bin studies (e.g. Spracklen et al., 2008; Merikanto et al., 2009; Spracklen et al., 2010) assumed that mixed-composition particles resulting from coagulation between BC/OC particles in D1 and SO₄ or SS
- ²⁰ particles in D2 moved to the appropriate size bin in D2 and that BC/OC particles in D1 moved to the equivalent size section of D2 once they accumulated a certain monolayer of water-soluble condensed material (H_2SO_4 or SOA), which is commonly referred to as particle ageing. However, this approach, while computationally faster, makes it impossible to track the number of BC "cores". In the set-up used here, particles enter
- D3 only through coagulation with BC-containing particles, thus all particles in D3 contain a carbonaceous core and the total number concentration of BC cores is the sum of particles in D1 and D3. All modelled concentrations are reported at ambient conditions.



3.3 Description of model simulations

The model aerosol fields were generated from an initially aerosol-free atmosphere initialised on 1 February 2008 and spun-up for 90 days to produce a realistic aerosol distribution (Spracklen et al., 2005a). The model hourly-mean 3-D field over the European domain was linearly interpolated to the vertical and horizontal location of the aircraft. The model experiments used in this study are summarised in Table 2.

The model has previously been evaluated against measurements of total particle (Spracklen et al., 2010) and CCN concentrations (Spracklen et al., 2008; Korhonen et al., 2008; Spracklen et al., 2011), as well as observations of the total and non-volatile particle number size distributions made during LONGREX (Reddington et al., 2011). For the campaign period the model reproduces surface observations of the total num-

- ber size distribution across Europe, particularly at particle sizes relevant for CCN (Reddington et al., 2011). Evaluation of the modelled BC/OC particle number concentration against DLR Falcon aircraft measurements of non-volatile particles shows fairly good
- agreement (campaign-mean bias of ~ -30 %) if we assume a small emission size for primary carbonaceous particles (experiment BCOC_sm). Here, we evaluate GLOMAP against observations of the BC particle number size distribution for the first time.

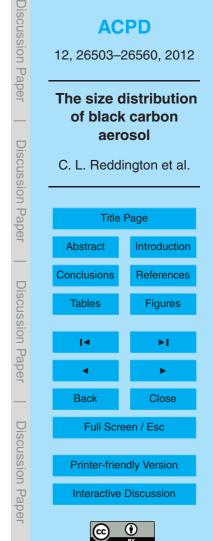
4 Results and discussion

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4.1 The model BC size distribution

²⁰ In the model the size distribution of BC-containing particles (BC core + non-BC coating) is the sum of particle distributions D1 and D3 (see Sect. 3.2). In distributions D1 and D3 the BC-containing particles can grow through self coagulation (which increases the BC core size, D_{BC}) and via condensation of H₂SO₄ and SOA (which increases the total particle size, D_p). Heterogeneous coagulation either between particles in D1 and D2 or between particles in D2 and D3 will act to increase D_p (with the resultant particles



entering D3), whereas heterogeneous coagulation between particles in D1 and D3 will also increase D_{BC} (with the resultant particle entering D3).

To compare the model with the observed BC-core size distributions, we remove the non-BC material (POM, SO₄ and SS) that is coating the BC cores and the diameters of the cores (D_{BC}) are then calculated assuming spherical particles of density (ρ) 1.8 g cm⁻³:

$$D_{\rm BC} = 2[\frac{3}{4\pi\rho}\frac{M_{\rm BC}}{N}]^{\frac{1}{3}}$$

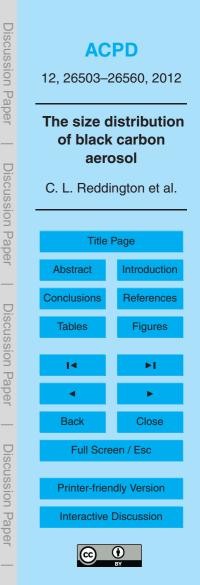
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where M_{BC} is the mass of BC per particle and N is the number concentration of BC cores. D_{BC} is calculated separately for D1 and D3 and a combined distribution calculated for comparison with the SP2.

The campaign-mean modelled total particle mass and number size distributions are shown in Fig. 2a and b for the three aerosol distributions simulated in the model (D1, D2, and D3). Figure 2c shows the mass fraction of BC in distributions D1 and D3 and the BC mass fraction in the total particle mass distribution (D1+D2+D3). The simulated

- ¹⁵ number size distributions of BC cores and coated BC cores, averaged over the campaign period, are shown in Fig. 2d. The modelled distribution of coated BC particles is shifted to larger sizes relative to the BC-core size distribution, but the total number concentration is conserved. The tail of the coated BC particle distribution above ~1 micron disappears in the BC-core distribution because internally-mixed particles with
- $_{20}$ $D_{\rm p}$ >1 μ m contain a relatively small mass fraction of BC (Fig. 2c). The number concentration of these BC cores is included in the BC-core distribution at much smaller sizes relative to the original particle size.

For comparison with observations, the modelled BC-core mass and number size distributions were integrated between the upper and lower size limits of the SP2 mea-²⁵ surement range, taking into account fractions of bins that span the SP2 limits. Previous studies comparing modelled BC mass concentrations with SP2 measurements have applied a scaling factor to account for BC mass that may lie outside the detection



(1)

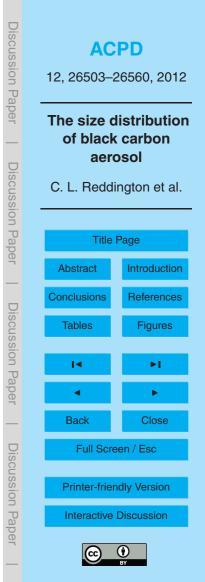
range of the instrument (e.g. Schwarz et al., 2006, 2010b; Koch et al., 2009). The scaling factor is calculated from the difference between the area under a log-normal fit and the SP2-measured BC mass concentration. However, it is only necessary to apply a scaling factor when evaluating bulk mass values. The GLOMAP model provides BC mass (and number) concentration as a function of size; allowing us to perform a direct comparison with SP2 measurements within the specific detection range.

4.2 Evaluation of the modelled BC mass size distribution

Figure 3 shows the modelled and observed time series of BC mass concentrations (at ambient conditions) in the dry diameter size range ~90–400 nm for the FAAM air-¹⁰ craft flights shown in Fig. 1. BC concentrations are only shown for flight sections below 2.5 km (see Sect. 2). Model data corresponding to missing SP2 measurements were removed prior to analysis. Mean modelled and observed BC mass concentrations are given for each flight and for the whole campaign period in Table 3. The normalised mean bias (NMB), calculated between simulated (*S_i*) and observed (*O_i*) BC mass con-¹⁵ centrations as: NMB(%) = $100 \times \sum (S_i - O_i) / \sum O_i$, is also given in Table 3.

Mean observed BC mass concentrations in the SP2 size range for each flight range from ~42 to ~101 ng m⁻³, with a campaign-mean concentration of 61 ng m⁻³. Simulated flight-mean BC mass concentrations range from 40 to 124 ng m⁻³ (campaign mean of 76 ng m⁻³) in the BCOC_sm model experiment and from 70 to 212 ng m⁻³ (campaign mean of 122 ng m⁻³) in the BCOC_lg experiment. The model predicts average BC mass concentrations for each flight within a factor of ~2 in experiment BCOC_sm (NMB = -27 % to 103 %) and within a factor of ~3.1 in experiment BCOC_lg (NMB= 20 % to 212 %).

Averaged over the whole campaign period we find that the model captures the observed BC mass in the BCOC_sm experiment, with a small NMB of only 25%, but a larger bias of 99% in the BCOC_lg experiment. However, both campaign-mean modelled concentrations lie within the uncertainty associated with the carbonaceous emission inventory applied in the model, which is around a factor 2 (Bond et al., 2004).

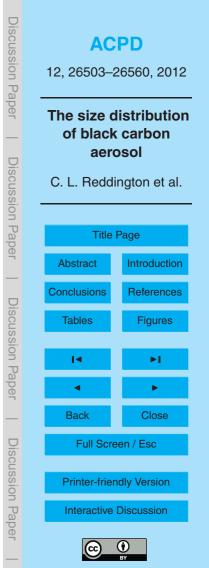


The factor \sim 2 uncertainty reported by Bond et al. (2004) results from combined uncertainties in particulate matter emission factors, emission characterisation, and fuel use.

- The vertical profiles of BC mass concentration averaged over the LONGREX campaign period are shown in Fig. 4a. Predicted concentrations generally lie within one standard deviation of the observations. Between ~1.8 and ~2.5 km altitude the model underpredicts the mean observed BC concentrations by a factor of ~2.9–4.7 (depending on the model experiment). This underprediction could be due to differences between modelled and observed boundary layer height or exchange of particles between
 the boundary layer and free troposphere. However, caution must be taken when comparing the modelled and observed profiles in regions where the number of data points
- is relatively low (total number of measurements, n < 100), because the observations may be biased high from e.g. a subgrid-scale aerosol plume. Below ~1.5 km altitude, where there is a high density of data points ($n > 7 \times 10^3$), the model captures the mean
- observed mass concentrations within a factor of 1.3 in experiment BCOC_sm and within a factor of 2.1 in experiment BCOC_lg. The overprediction of concentrations in experiment BCOC_lg is evident in Fig. 4a near the surface (below ~500 m altitude).

Across the different flights, BC mass concentrations predicted by experiment BCOC_lg are higher by a factor $\sim 1.5-1.8$ than concentrations predicted by experiment

- BCOC_sm, in the detection range of the SP2 (Figs. 3 and 4a). However, the total BC mass concentrations predicted by the two experiments are similar (within ~6%), thus the differences within the SP2 size range are due to differences in the assumed size distributions of emitted carbonaceous particles (see Table 2), which results in a shift in the predicted BC mass size distributions, shown in Fig. 5.
- The campaign-mean modelled size distribution of BC mass is shifted by a factor ~2.4–3.2 (or by approximately 110–130 nm in diameter) to smaller sizes compared to the observations (Fig. 5). The modelled BC mass distribution also peaks at higher mass concentrations than the observations. Using a log-normal fit to the SP2 observations (as shown in Fig. 5), McMeeking et al. (2010) find that a scaling factor of ~1 to 1.2 is



necessary to account for missing BC mass outside the SP2 size range, i.e. suggesting that only ~20% of BC mass lies beyond the detection limits of the SP2. If we compare the total modelled BC mass concentration with the SP2-measured mass concentration scaled by a factor of 1.2, we find the model is biased high in both experiments (BCOC_sm, NMB=239%; BCOC_lg, NMB = 245%).

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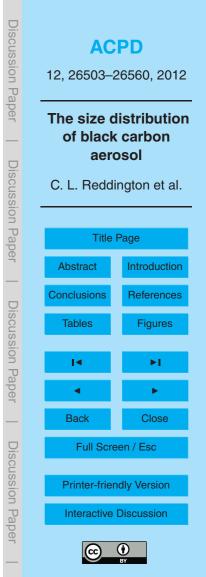
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Previous studies comparing total modelled BC mass concentrations with SP2 measurements multiplied by a scaling factor, have also found a general model overestimation over both remote (Schwarz et al., 2010b) and continental (Koch et al., 2009) regions. Koch et al. (2009) find the average AeroCom model overpredicts the observed vertical profile of total BC mass concentration by a factor of 8 over the Americas. The bias between our modelled and observed total BC mass concentrations (NMB ~ 240 %) lies well within the range in bias of the AeroCom model predictions (NMB = -71 % to +670 %) presented by Koch et al. (2009).

The model overprediction of the total BC mass concentration lies outside the factor ~2 uncertainty of the carbonaceous particle emission inventory, which suggests the high model bias can only be partly explained by the uncertainty in the emissions. However, there may be additional uncertainties to consider regarding BC-measurement methods; Bond et al. (2004) combine measurements made using both thermo-optical and optical techniques, which introduces an additional uncertainty in the modelled quantity.

A further explanation for the high model bias could be that the log-normal fit to the observations (Fig. 5) may underestimate the total ambient BC mass concentrations. Although the BC mass size distribution predicted by the model is close to unimodal, there may be an additional mode in the ambient BC mass size distribution at sizes

²⁵ below the detection limit of the SP2, which is not represented by the log-normal fit. However, a mode in the BC mass distribution at sizes around 50 nm would be unlikely away from source regions.



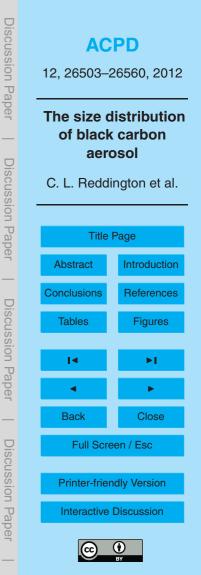
4.3 Evaluation of the modelled BC particle number size distribution

Modelled and observed BC particle number concentrations in the SP2 size range are given for each flight and for the whole campaign period in Table 4. Measured flightmean number concentrations in the range of the SP2 lie between ~11 and ~28 cm⁻³,
with a campaign mean of 16 cm⁻³. Modelled flight-mean number concentrations range from 31 to 87 cm⁻³ in experiment BCOC_sm and from 56 to 150 cm⁻³ in experiment BCOC_lg, overpredicting the observations by a factor ~1.7–10.8. Over the campaign period, the model is clearly biased high in the measurement range of the SP2 (see Table 4); the NMB between modelled and observed flight-mean concentrations is 248 % in BCOC_sm (campaign mean 56 cm⁻³) and 466 % in BCOC_lg (campaign mean 91 cm⁻³).

The campaign-mean vertical profiles of modelled and observed BC particle number concentrations are shown in Fig. 4b. The model overprediction is evident below an altitude of ~1.5 km, where the ambient BC particle number concentration will be strongly dependent on the regional primary carbonaceous emissions. Above this altitude the standard deviation of the model and the observations generally overlap. However, as mentioned in Sect 4.2, the lack of measurements above ~1.8 km altitude could lead to biases in the observations.

Campaign-mean number size distributions of modelled and observed BC cores are compared in Fig. 6 (solid lines). As discussed in Sect. 4.1, the BC core size distribution is shifted to smaller sizes relative to the total particle size distribution (dashed lines), which represents the size of the whole (BC-containing and non-BC) particles. The large positive bias of the model is caused by an overprediction of BC particle concentrations between 90 nm and ~130 nm BC diameter. The agreement improves at larger sizes

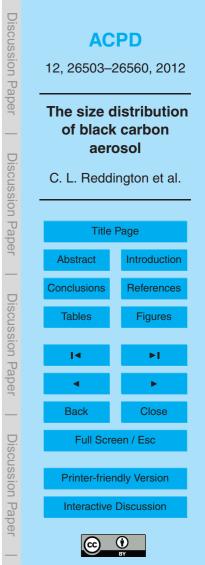
where the model is within the standard deviation of the SP2 observations. However, in general the modelled BC number size distribution decreases too steeply in the measurement range of the SP2 relative to the observations, and lies outside of the 10% uncertainty range associated with the measured diameter (not shown in Fig. 6).



The number median size of BC cores in the model and observations also differ. The campaign-mean modelled BC particle size distribution peaks at diameters of \sim 35 nm and \sim 60 nm in the BCOC_sm and BCOC_Ig experiments, respectively. By fitting a log-normal distribution to the SP2 measurements (see Fig. 6), we estimate the observed

- ⁵ BC number size distribution to peak at $D_{BC} \sim 100$ nm and at a lower number concentration than the model. Schwarz et al. (2006) fitted a log-normal distribution to their SP2 number distribution measurements and found the peak concentration to be around 60 nm VED, for measurements below 5 km, which is in better agreement with our model results. However, the measurements of Schwarz et al. (2006) were conducted over
- North America (between 88–98° W and 29–38° N) so may not be comparable to European observations and model data. In addition, the instrument used in this chapter had been upgraded to have an increased sensitivity to smaller BC particles so would provide a more reliable measurement of the peak diameter of the ambient BC number size distribution.
- There are several possible explanations for the shift in the modelled BC number size distribution to smaller sizes than observed and for the high bias in predicted BC particle number concentrations. Firstly, the size distribution of the emitted BC component of the carbonaceous aerosol could be larger than assumed in the model. Increasing the number median diameter of the emitted carbonaceous size distribution, keeping
- the emitted mass concentration fixed, would shift the BC core size distribution to larger sizes and reduce the peak number concentration. The assumption of an effective emission size distribution for primary BC/OC particles is highly uncertain and assumptions vary widely between models (e.g. Textor et al., 2006). Although we have tested two assumptions for the BC/OC emission size distribution (BCOC_sm and BCOC_lg), these
- ²⁵ two experiments are unlikely to represent the full uncertainty range in the parameterisation of carbonaceous particle emissions in global models.

Secondly, as discussed for the measured BC mass size distribution in the previous section, there could be additional modes in the BC number size distribution at sizes below the detection limit of the SP2. A mode below \sim 90 nm in the BC particle size



distribution is more likely in terms of number than in terms of mass. Figure 6 shows that the large mode in the modelled BC core size distribution is mostly below the size range detectable by the SP2 (in both model experiments). If there is an ambient BC size mode present below ~90 nm, it could explain much of the model discrepancy in terms of number, since a small shift in the size distribution would account for the model-observation differences. However, the greatest model-observation difference in the BC

mass distribution occurs at $D_{BC} > 200$ nm.

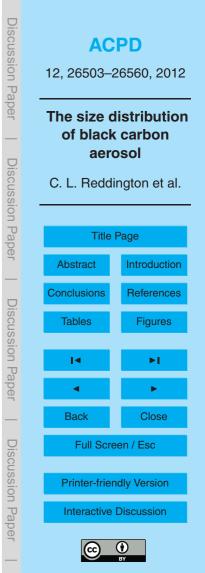
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Finally, there are uncertainties associated with the measurements, which may partly explain the high model bias. The detection efficiency is shown by Schwarz et al. (2010a)

- ¹⁰ (Fig. 11) to decrease as a function of coating mass for BC core diameters below ~125 nm VED. Essentially, BC cores at the lower limit of the BC response range of the SP2 can be missed if they have coatings thick enough to quench the heating that occurs when the particle is illuminated by the laser. For a BC core mass of between ~0.8 and 2 fg (corresponding to $D_{\rm BC}$ ~90 nm-125 nm) the detection efficiency was found to
- decrease to ≲50% if the coating mass exceeded ~9fg (Schwarz et al., 2010a). In the model, all BC-containing particles with a BC-core size within the SP2 range are counted, even if they have thick coatings. Figure 7 shows the modelled BC and coating mass per particle in distributions D1 and D3. At BC core diameters of ~90–100 nm the mean coating mass per particle in D1 (~8–10 fg) is close to the limit observed by
- Schwarz et al. (2010a). However, in D3 the coating mass per particle is larger (≥ 20 fg at ~90–100 nm), suggesting a fraction of BC cores between ~90–100 nm may be undercounted by the SP2. Removing the contribution of D3 to the modelled BC core number concentration reduces the campaign mean by ~41–49 %, but the model remains biased high (BCOC_sm, NMB = 104 %; BCOC_lg NMB = 211 %). It is important to note that the SP2 used by Schwarz et al. (2010a) was configured differently to the

instrument used in this study, therefore the results may not be comparable.

These measurement uncertainties highlight the need for more measurements of the BC number size distribution at and below $D_{BC} \sim 100$ nm, where most of the number lies. As far as the authors are aware, Schwarz et al. (2010a) have performed the only vali-



dation of SP2 measurements of particle number and their investigation was performed under laboratory conditions. Here we are using SP2 measurements performed under varied ambient conditions and at a range of altitudes. With BC mass concentrations the measurements can be compared with other BC mass-sensitive measurements such

as light absorption (McMeeking et al., 2010), to give an indication of how well the SP2 captures the behaviour of atmospheric BC. But for SP2-measured BC number concentrations there is a lack of instruments available to validate the measurements with.

4.4 Quantifying the fraction of particles containing BC

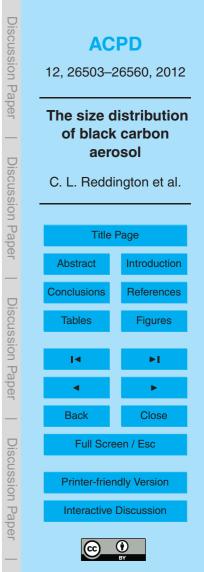
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Previous studies have shown that carbonaceous (BC/OC) particles make important contributions to global and regional CCN (e.g. Pierce et al., 2007; Spracklen et al., 2011), the number concentration of which governs the magnitude of the aerosol indirect effect. Although total particle and CCN concentrations have been evaluated in these studies, the number fraction of carbonaceous particles contributing to CCN-sized particles has not been evaluated. In this section, we use the BC-core and total particle number size distributions to evaluate the predicted fraction of particles that contain BC.

The number fraction of BC-containing particles is the ratio of the number concentration of BC-cores to the total (BC- and non-BC-containing) particle number concentration. This ratio is calculated over the size range of coated BC particles, which is defined by the model. In Fig. 6, this is the ratio of the number of particles in the grey shaded region (BC cores) to the total number of particles within a section of the blue shaded region.

In the model, BC cores in the SP2 size range (D_{BC} =90–400 nm) correspond to coated particles of diameter ~260 nm -1.1 µm when averaged over all flights. There is some variation in the coated particle diameters between flights due to variations in

²⁵ the mass of non-BC material associated with the particles. The minimum and maximum sizes of coated particles for the campaign period are $D_p \sim 200$ nm and $D_p \sim 1.9 \,\mu$ m, respectively. Likewise, the differences in the BC size distribution in the BCOC_sm and BCOC_lg experiments, lead to small differences in the size range of coated BC parti-



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cles. Thus, the modelled BC number fraction, f_{BC} , is calculated for each flight and each model experiment separately according to:

$$f_{\rm BC} = \frac{\sum_{90 \text{ nm}}^{400 \text{ nm}} (N_{\rm D1} + N_{\rm D3})}{\sum_{90 \text{ nm}+coat}^{400 \text{ nm}+coat} (N_{\rm D1} + N_{\rm D2} + N_{\rm D3})}$$

where N_{D1} , N_{D2} and N_{D3} are the number concentrations of particles in distributions D1, 5 D2 and D3, and *coat* is the contribution to the particle diameter in the model from the non-BC material coating the BC particles in each flight.

In the observations the total particle number concentration is obtained from the size distribution measured by the PCASP instrument (Sect. 2) and the observed BC number fraction, $f_{\rm BC, \ obs}$, is calculated according to:

$$f_{\text{BC,obs}} = \frac{\sum_{90 \text{ nm}}^{400 \text{ nm}} (N_{\text{SP2}})}{\sum_{90 \text{ nm}+coat}^{400 \text{ nm}+coat} (N_{\text{PCASP}})}$$

15

where N_{SP2} is the number concentration of BC cores measured by the SP2 and N_{PCASP} is the total particle number concentration measured by the PCASP in the size range of coated BC particles. Direct sizing information for the coated particles sampled by the SP2 is unavailable for this campaign period (Sect. 2), thus the observed coating thickness is not known. Therefore, to define the integration limits for the summation in the denominator of Eq. (3) we use the model to provide an estimate of the coated particle size range.

The modelled and observed total particle size distributions are shown in Fig. 6 (dashed lines). The modelled campaign-mean total particle number concentration is within a factor 2 of the PCASP observations in the model-defined size range of coated BC particles, which is well within the standard deviation of the measurements. The biases between modelled and observed total particle number concentrations in this

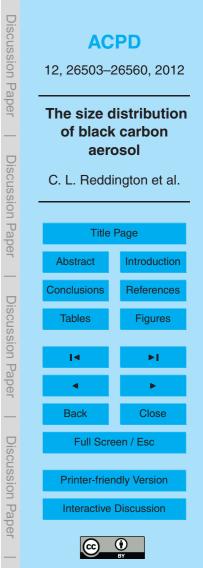
(2)

(3)

size range are shown in Table 5. The NMB between modelled and observed flightmean number concentrations is -24 % in the BCOC_Ig experiment and -50 % in the BCOC_sm experiment. The improved agreement in experiment BCOC_Ig for modelled and observed total particle number concentrations at the large end of the size dis-

- ⁵ tribution, is consistent with the results of our analysis of accumulation mode particle number concentrations in Reddington et al. (2011). In this study we found good agreement between GLOMAP modelled number concentrations with $D_p > 160$ nm and PCASP measurements made on-board the DLR Falcon aircraft for the same campaign period (NMB~ -20%) (Reddington et al., 2011).
- ¹⁰ An uncertainty in modelled coating thickness will introduce an uncertainty into the calculation of $f_{BC,obs}$ (Eq. 3). Based on the measured and observed total size distributions in Fig. 6 and in Reddington et al. (2011) we estimate the uncertainty in non-BC mass in the size range of coated particles to be a factor ~2. This corresponds to a ~26 % uncertainty in the size of coated BC particles. To take into account this uncer-
- tainty, we calculate upper and lower limits to the observed BC number fraction, shown in Table 6, based on minimum and maximum possible PCASP-measured number concentrations within ±26 % of the coated particle size range. The shape of the PCASP-measured number size distribution (Fig. 6) means that a 26 % shift in the model-defined size range of coated BC particles can lead to a large change in the derived number concentration of total particles and thus result in a large change in the observed BC number fraction.

Figure 8 shows normalised frequency distributions of the modelled and observed number fractions of BC-containing particles in the total particle number concentration (with $D_p \gtrsim 260$ nm) for each flight. The modelled distributions are very narrow, generally ²⁵ centred between a BC number fraction of 0.8 and 1.0. The frequency distributions of the observations are much broader than predicted by the model, showing a wide range in the derived BC number fraction, but with the most frequently observed fraction remaining below 0.5 for every flight.



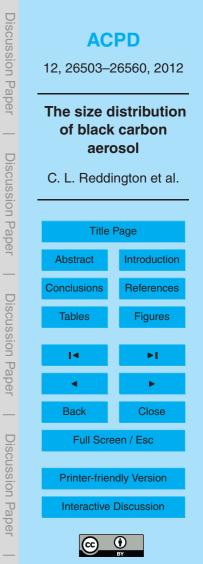
For some flights the observed distributions have long thin tails which extend beyond a fraction of 2.0 (the axes in Fig. 8 have been restricted to a maximum of 2.0 so that the shape of the observed distribution remains clearly visible), which correspond to instances where the SP2-measured number concentration is greater than the PCASP-

- ⁵ measured number concentration in the model-defined size range of coated particles. These instances will be partly due to the measurement uncertainty associated with the instruments and partly due to the uncertainty associated with the model definition of the coated-particle size range. If the observed flight-mean BC number fraction is calculated as a mean over the frequency distribution, the mean value is weighted by these low frequency high value fractions (and the dophed line in Fig. 8). We therefore find that
- ¹⁰ frequency high-value fractions (see the dashed line in Fig. 8). We therefore find that the observed flight-mean BC number fraction is better represented by calculating the ratio of the flight-mean BC core number concentration (Table 4) to the flight-mean total particle number concentration (see the dot-dashed line in Fig. 8).

The mean modelled and observed number fractions of BC-containing particles are shown for each flight in Table 6. The flight-mean observed BC number fraction ranges between 6 % and 46 %, with average fractions of 19 % and 21 % for the campaign period, corresponding to the coated-particle size ranges defined by model experiments BCOC_lg and BCOC_sm respectively. In contrast, the model predicts almost all particles with $D_p \gtrsim 260$ nm contain BC cores, with flight-mean BC number fractions in the range 88–99 %. The average modelled BC number fraction is a factor of ~4.6–

- 4.8 larger than the observations (BCOC_Ig, 92 % compared to 19 %; BCOC_sm, 97 % compared to 21 %). The 26 % uncertainty associated with the size range of coated BC particles leads to an uncertainty in the BC number fraction derived from the observations (Table 6). However, the modelled BC number fraction generally lies well outside
- this range, with the exception of two flights (B370 and B368), where the upper limit of the observed BC number fraction exceeds 100 %.

The substantial overprediction of the observed BC number fraction results from the positive bias in the predicted BC particle number concentrations (Sect. 4.3), while the



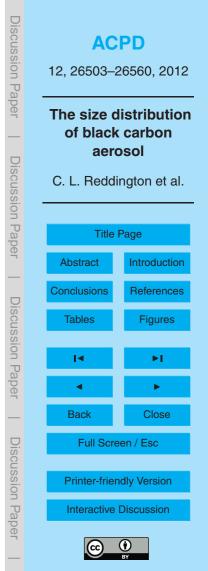
model bias in the predicted total particle number concentration is generally either small or negative.

5 Conclusions and implications

We have evaluated a global aerosol microphysics model against SP2 measurements of the strongly light-absorbing aerosol component, BC, focussing on the mass and number size distributions and mixing state that are important for quantifying the aerosol direct and indirect effects on the climate. SP2 measurements of the mass and number size distributions of BC were made onboard the FAAM research aircraft from 6 to 24 May 2008, during a period of anticyclonic aged pollution over Europe.

- Modelled and observed BC mass concentrations in the detection range of the SP2 (~90–400 nm BC diameter) agree within the approximate factor 2 uncertainty in the carbonaceous emission inventory (Bond et al., 2004). However, modelled BC mass and number size distributions were shifted to smaller sizes than the observations, underpredicting the peak modal diameter by a factor of ~2.4–3.2 in the mass distribution
- and a factor of ~1.7–2.9 in the number distribution (the range arising from the uncertainty in the assumed emission size distribution of primary BC particles). The number concentration of BC cores was substantially overpredicted by the model in the measurement range of the SP2 by a factor 3.5–5.6. Errors in the size distribution of BC cores result in model overprediction of the number of particles that contain a BC core:
- ²⁰ the model predicts that almost all particles with dry diameter $\gtrsim 260$ nm contain BC ($\gtrsim 90$ %), while the observations show that on average only ~20 % of particles at these large sizes contain a detectable BC core. These results suggest there are large errors in the modelled size distribution of the BC component of carbonaceous aerosol.

The discrepancies present in the modelled BC-core size distribution are likely to be related to the treatment of carbonaceous emissions in the model, in particular the assumption of an effective emission size distribution for primary BC/OC particles from fossil and bio-fuel sources. Previous studies have shown that the total particle number

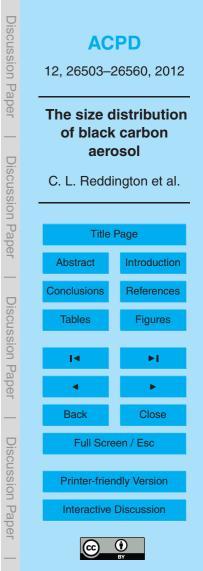


size distribution and CCN concentrations over Europe are very sensitive to the assumed size distribution of the BC/OC particles (e.g. Reddington et al., 2011), although the overall particle distribution was well reproduced. In this work, we have now shown that the size distribution of the BC component of the particles is poorly treated in the

- ⁵ model. In our model, and in common with most aerosol models, we assume that the BC and OC components are homogeneously mixed across the size distribution of emitted carbonaceous combustion particles. However, this assumption is inconsistent with the SP2 observations of BC cores, which suggest that the BC component resides mainly in the larger emitted particles and not in the smaller ones.
- ¹⁰ There are other processes in the model in addition to primary emissions, which influence the physical properties of carbonaceous aerosol and may also contribute to the discrepancy in the modelled and observed BC particle size distributions. For example, self coagulation (between BC/OC particles) and wet/dry removal processes will act to reduce the number concentrations of BC cores and alter their size distribution.
- ¹⁵ Condensation of soluble components onto the carbonaceous particles, or "ageing", will influence the wet removal rates of BC/OC particles. However, in the mostly dry anticyclonic conditions of the LONGREX campaign the modelled BC/OC particle number concentrations below ~1 km will be most strongly affected by primary emissions. Also, we note that the model captures the total size distribution reasonably well (Reddington
- et al., 2011), which suggests that errors in the BC distribution have another explanation than microphysics.

There is independent evidence that the homogeneous mixing assumption of emitted BC/OC particles with diameters smaller than \sim 100 nm is not realistic. Observations in urban environments show that the number median emission sizes and concentrations

of the BC and POM components can differ considerably (e.g. Baltensperger et al., 2002), suggesting some POM may exist as externally mixed particles (without a detectable BC core) e.g. emitted from meat cooking as assumed by Riemer et al. (2010). Indications of the number size distribution of combustion-generated particles, such as soot, can also be gathered from the non-volatile residues of the particle number size



distribution (e.g. Rose et al., 2006; Engler et al., 2007; Birmili et al., 2010). Volatility measurements in the European rural (Engler et al., 2007) and urban (Birmili et al., 2010) background show a consistent mode in the non-volatile number size distribution (with a maximum between ~70 and 100 nm), which is associated with primary soot particles from combustion sources (e.g. Philippin et al., 2004; Rose et al., 2006). Engler

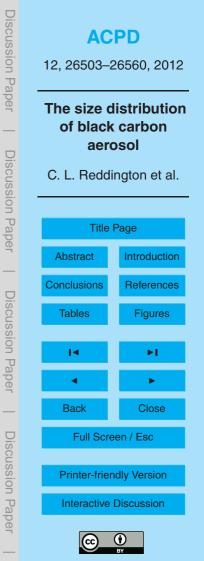
ticles from combustion sources (e.g. Philippin et al., 2004; Rose et al., 2006). Engler et al. (2007) found the number fraction of non-volatile particles (attributed to soot) to be 17% for diameters > 150 nm in continental air masses over Europe, similar to what we have estimated from the SP2, but much lower than calculated in the model.

These and previous measurements therefore suggest that the assumption in the ¹⁰ model that BC and OC are emitted as internally mixed from fossil fuel sources (with mean particle diameters of 30–60 nm) is incorrect. Rather, the observations suggest that the BC component exists in only a fraction of the carbonaceous particles, with a peak contribution by mass at around 200 nm and by number at around 100 nm. The assumptions currently made in the emissions result in an overestimate of the number ¹⁵ concentration of BC particles emitted from combustion sources.

Our results suggest that it is the mixing state of the emitted carbonaceous particles that is in error rather than the size distribution of the total particles. That is, BC is wrongly distributed across the carbonaceous size distribution. We reach this conclusion because of the relatively good agreement with the observed total particle number size distribution found here and in a previous GLOMAP study for the same period over Europe (e.g. Reddington et al., 2011). We found in Reddington et al. (2011) that the size distribution at ~100 nm was more sensitive to the BC/OC emission distribution than to assumptions about nucleated particles. Altering the emitted BC number size distribution to agree with the SP2 observations, but keeping the emitted size distribution

²⁵ of OC the same would ensure the total number concentration remains comparable to observations.

Size-segregated aerosol composition measurements at two polluted European surface sites show that most particles in the size range $D_p \sim 50-140$ nm consist of carbonaceous material (Putaud et al., 2004; Van Dingenen et al., 2004). Although the



size-segregated composition may change substantially from that observed in the urban background to that observed aloft in the boundary layer, these measurements suggest that, in terms of number, a large fraction of particles contain BC and OC, which would be consistent with our model if we were to adjust the BC size distribution, but keep the size distribution of OC fixed.

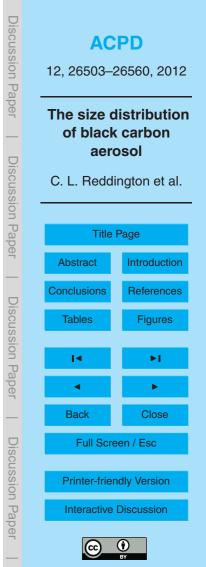
The incorrect modelling of the distribution of BC cores across the total size distribution may have important implications for estimates of aerosol absorption optical depth and direct radiative forcing. Several studies have shown that the mixing state of BC strongly influences estimates of direct radiative forcing (e.g. Jacobson, 2001; Schnaiter et al., 2005; Shiraiwa et al., 2008). However, modification of the shape of the BC size distribution would not necessarily have implications for the CCN potential and indirect effects of carbonaceous particles (which was estimated by Spracklen et al. (2011) to be substantial) because the number concentration and size distribution of POM particles would remain the same. But it is also worth noting that Spracklen et al. (2011) quanti-

10

fied CCN concentrations for particles smaller than those examined here (i.e., CCN at 0.2 % supersaturation corresponding to dry diameters of approximately 74 nm), which in our model would equate to an approximate BC core diameter of ~30 nm.

Recent studies using either process models (Riemer et al., 2009, 2010; Zaveri et al., 2010; Oshima et al., 2009a,b) or a sub-model implemented in a global model (Aquila et al., 2011) have mode important advances in the correspondence of PC mix.

- (Aquila et al., 2011) have made important advances in the representation of BC mixing state across the particle size distribution. The box model developed by Oshima et al. (2009a) simulates the time evolution of the BC mixing state resulting from condensation/evaporation processes, but neglects the effects of coagulation. The stochastic particle-resolved model of Riemer et al. (2009) explicitly resolves the size and compo-
- sition of individual particles and simulates their evolution due to condensation, evaporation, coagulation, emission, and dilution processes (Zaveri et al., 2010). However, since the mixing state of BC particles upon emission is not well quantified, assumptions still have to be made in these models regarding the initial mixing state of BC and POM, e.g. assuming fixed BC/POM mass ratios for particles emitted from diesel

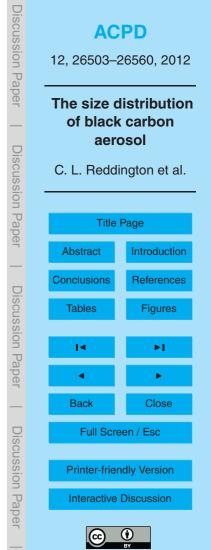


and gasoline engines (Riemer et al., 2010) or assuming a 100 % BC mass fraction for freshly emitted BC particles (Oshima et al., 2009a). In addition, although Riemer et al. (2010) and Oshima et al. (2009b) both applied these models in urban scenarios, the assumed parameters of the BC emission size distribution differed considerably: using number median diameters of 50 nm ($\sigma = 1.7$) and ~107 nm ($\sigma = 1.53$), respectively.

Future studies are needed to measure the BC distribution across the emitted particles (rather than just in the ambient atmosphere, as done here), including particle sizes below ~100 nm. Non-volatile measurements are available in the urban background (e.g. Birmili et al., 2010), but the contribution of non-BC non-volatile particles to these
measurements is not clear. More information is required on the separate contributions of BC and OC to the emitted carbonaceous number size distribution and how the contributions evolve over model gridbox scales. Valuable measurements of the mixing state of EC close to and downwind of sources have recently been made using ATOFMS instruments (e.g. Moffet and Prather, 2009; Healy et al., 2012), but only for sizes larger than ~100 nm.

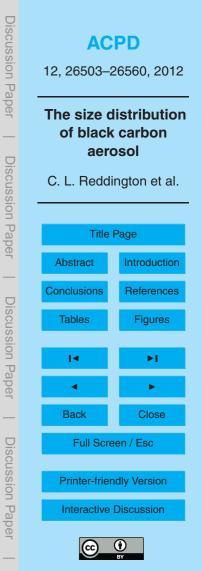
The radiative effects of different BC mixing states should also be re-examined to determine the implications for direct forcing due to BC. A similar analysis of observations over other regions and in different conditions, also using different models, will enable us to assess the wider implications of our study. If the mixing state of the particles is found to be important, then effort will be required to model it correctly. The current assumption of a homogeneous BC/OC mixture makes the model relatively easy and numerically inexpensive, while resolving the change in mixing state across the size distribution would be problematic in commonly used modal aerosol models.

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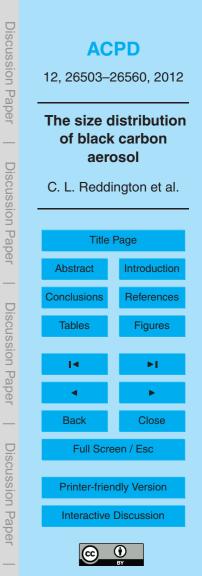
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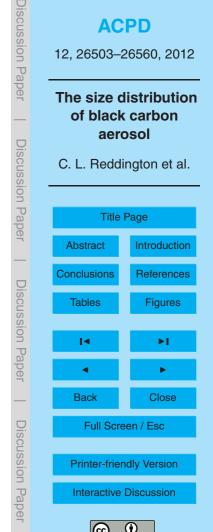
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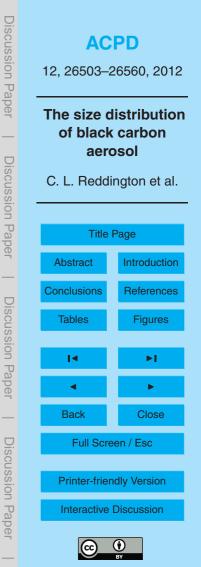
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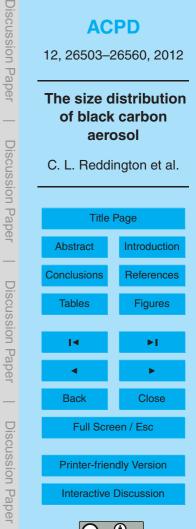


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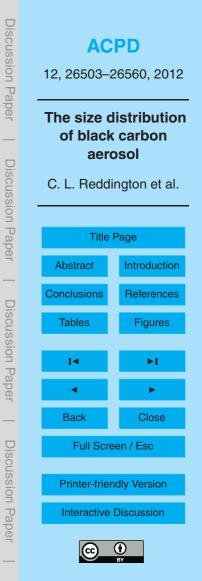
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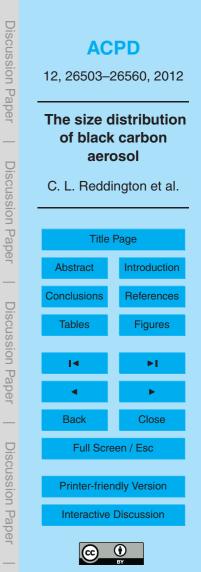
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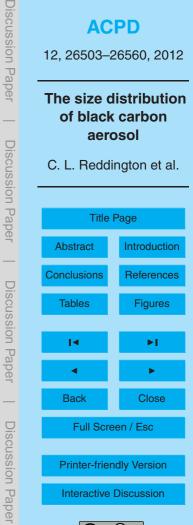


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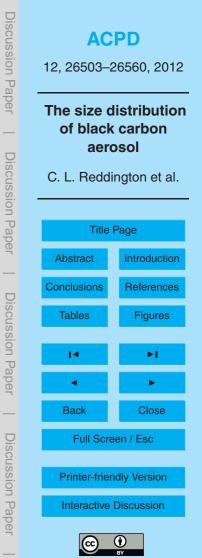


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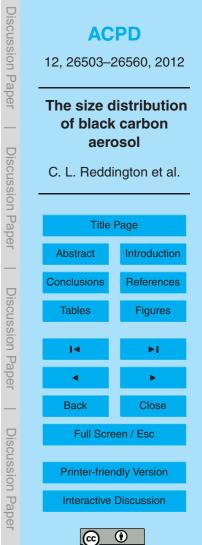
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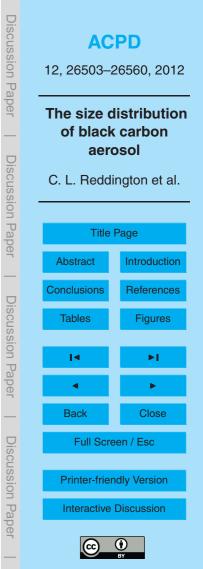
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	Title Page								
5	Abstract	Introduction							
-	Conclusions	References							
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Table 1. Summary of the aerosol distributions and components simulated in GLOMAP for this
study. We include the components of: black carbon (BC), particulate organic matter (POM),
sulphate (SO ₄) and sea salt (SS). The aerosol particles in each distribution can grow via con-
densation of H ₂ SO ₄ (which enters the SO ₄ component) and SOA (which enters the POM com-
ponent).

Distribution	Components	Sources of particle number
D1	BC, POM, SO ₄	Primary BC/OC particles from fossil fuel, biofuel and biomass burning.
D2	SO ₄ , SS, POM	Primary (subgrid) particulate SO_4 from anthropogenic and volcanic sources, secondary (nucleated) SO_4 particles, and primary SS particles.
D3	BC, POM, SO ₄ , SS	Mixed component particles from coagu- lation between distributions D1 and D2.

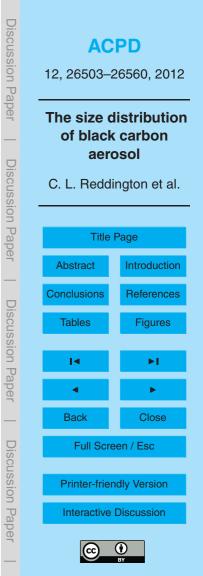


Table 2. Description of the model simulations used in this study. All model simulations include primary aerosol emissions and binary homogeneous nucleation of $H_2SO_4-H_2O$ (Kulmala et al., 1998).

Simulation name	Size distribution of pri- mary fossil fuel and bio- fuel emissions	boundary layer nucle- ation mechanism and rate
BCOC_lg	Large size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$, Stier et al. (2005)	Kinetic: $J_{nuc} = K [H_2 SO_4]^2$ $K = 2 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$
BCOC₋sm	()	Kinetic: $J_{nuc} = K[H_2SO_4]^2$ $K = 2 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$

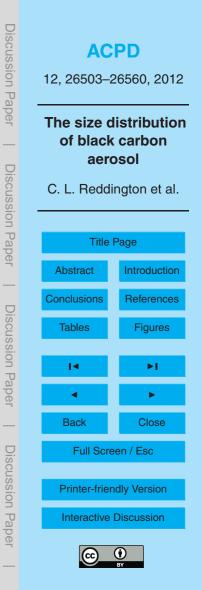


Table 3. Mean modelled and observed BC mass concentrations for 12 FAAM aircraft flights performed during EUCAARI-LONGREX (6–24 May 2008) and for the whole campaign period. The mean values were only calculated for flight sections below 2.5 km a.s.l. The normalised mean bias (NMB) between simulated and observed mass concentrations was calculated as described in the text (see Sect. 4.2). The modelled BC mass was integrated over the same size range measured by the SP2 ($D_{BC} = 90-400$ nm). The two model experiments are described in Table 2.

			Modelled				
		Observed	BCOC_sm BCOC_sm		BCOO	COC₋lg	
		Mean	Mean		Mean		
Date	Flight	$(ng m^{-3})$	(ng m ⁻³)	NMB	(ng m ⁻³)	NMB	
06/05/2008	B362	101	124	22 %	212	110%	
06/05/2008	B363	66	62	-6%	101	53 %	
08/05/2008	B365	62	55	-12%	89	42 %	
09/05/2008	B367	91	66	-27 %	109	20 %	
10/05/2008	B368	66	61	-8%	92	39 %	
10/05/2008	B369	48	56	17 %	85	78%	
12/05/2008	B370	60	121	101 %	188	212%	
12/05/2008	B371	43	77	80 %	116	172 %	
13/05/2008	B373	59	119	103%	182	210%	
14/05/2008	B374	81	106	30 %	158	94 %	
21/05/2008	B379	42	40	-4%	70	68 %	
22/05/2008	B380	78	112	44 %	183	136%	
6-22/05/2008	Campaign	61	76	25 %	122	99%	

ACPD 12, 26503-26560, 2012 The size distribution of black carbon aerosol C. L. Reddington et al. **Title Page** Abstract Introduction Conclusions References **Tables Figures** 14 ►T. ► Back Close Full Screen / Esc **Printer-friendly Version** Interactive Discussion

Discussion Paper

Discussion Paper

Discussion Paper

Discussion Paper

			Modelled				
		Observed	BCOC_sm		BCO	BCOC₋lg	
		Mean	Mean		Mean		
Date	Flight	(cm ⁻³)	(cm ⁻³)	NMB	(cm ⁻³)	NMB	
06/05/2008	B362	28	77	175%	150	433%	
06/05/2008	B363	17	43	152 %	73	330 %	
08/05/2008	B365	18	43	132 %	67	261 %	
09/05/2008	B367	27	47	72%	78	190 %	
10/05/2008	B368	18	48	166 %	83	357 %	
10/05/2008	B369	12	47	286 %	79	552 %	
12/05/2008	B370	15	87	484 %	130	773%	
12/05/2008	B371	11	60	473%	96	809 %	
13/05/2008	B373	12	85	627 %	126	978%	
14/05/2008	B374	17	77	362 %	117	600 %	
21/05/2008	B379	11	31	177%	56	391 %	
22/05/2008	B380	22	78	257 %	122	460 %	
6-22/05/2008	Campaign	16	56	248%	91	466 %	

Table 4. As for Table 3 but for modelled and observed BC particle number concentrations, also in the size range $D_{BC} = 90-400$ nm.

AC	ACPD					
12, 26503–2	26560, 2012					
of black	The size distribution of black carbon aerosol					
C. L. Redd	ington et al.					
Title	Page					
Abstract	Introduction					
Conclusions	Conclusions References					
Tables	Tables Figures					
I	►I					
•	•					
Back	Back Close					
Full Scre	Full Screen / Esc					
Printer-friendly Version						
Interactive Discussion						

Discussion Paper

Discussion Paper

Discussion Paper

Discussion Paper

Table 5. Normalised mean bias (NMB) between modelled and observed total particle number concentrations (N_{tot}) below 2.5 km a.s.l. for each FAAM aircraft flight and for the whole EUCAARI-LONGREX campaign period (6–24 May 2008). Total particle number concentrations are compared in the size range of coated BC particles defined by the model: BC cores in the SP2 size range correspond to coated BC particles in the size range of $D_p \sim 260 \text{ nm} - 1.1 \,\mu\text{m}$, averaged over all flights. Model experiments, BCOC_sm and BCOC_lg, are described in Table 2.

		N _{tot} NMB		
Date	Flight	BCOC_sm	BCOC_lg	
06/05/2008	B362	-76 %	-57%	
06/05/2008	B363	-65 %	-42 %	
08/05/2008	B365	-8%	45 %	
09/05/2008	B367	-65 %	-43 %	
10/05/2008	B368	23%	114%	
10/05/2008	B369	-2%	78 %	
12/05/2008	B370	148 %	218%	
12/05/2008	B371	-24 %	36 %	
13/05/2008	B373	-3%	32 %	
14/05/2008	B374	-41 %	–17 %	
21/05/2008	B379	-78%	-67 %	
22/05/2008	B380	-72%	-57 %	
6-22/05/2008	Campaign	-51%	-24 %	

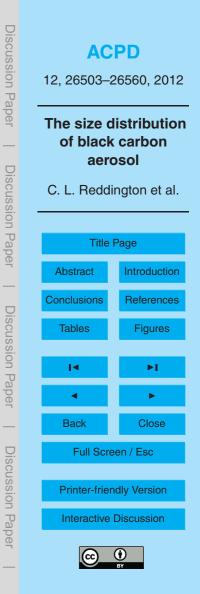


Table 6. Modelled and observed number fractions of BC-cores ($D_{BC} = 90-400 \text{ nm}$) in the total particle number concentration. BC number fractions are calculated over the size range of coated BC particles defined by the model: BC cores in the SP2 size range correspond to coated particles in the size range of $D_p \sim 260 \text{ nm} - 1.1 \mu\text{m}$, averaged over all flights. Because the observed BC number fraction uses model information for the size range of total particles, estimates are different for the two model experiments, BCOC_sm and BCOC_lg. These experiments are described in Table 2.

		BC number fraction			
		BCOC_sm model experiment		BCOC₋lç	g model experiment
Date	Flight	Model	Observations	Model	Observations
06/05/2008	B362	0.96	0.08 +0.10 -0.05	0.90	0.07 ^+0.080.04
06/05/2008	B363	0.95	0.13 ^{+0.19} _{-0.08}	0.93	0.13 ^{+0.19} _{-0.08}
08/05/2008	B365	0.96	0.39 ^{+0.50} _{-0.25}	0.88	0.35 ^{+0.49} _{-0.23}
09/05/2008	B367	0.92	0.19 ^{+0.37} _{-0.13}	0.94	0.18 ^{+0.37} _{-0.13}
10/05/2008	B368	0.98	0.46 ^{+0.87} _{-0.32}	0.93	0.44 ^{+0.88} _{-0.32}
10/05/2008	B369	0.98	0.26 ^{+0.46} _{-0.18}	0.92	0.25 ^+0.460.18
12/05/2008	B370	0.99	0.43 ^{+0.87} _{-0.32}	0.94	0.34 ^{+0.77} _{-0.25}
12/05/2008	B371	0.99	0.14 ^{+0.23} _0.09	0.96	0.14 ^{+0.24} _{-0.11}
13/05/2008	B373	0.98	0.13 ^{+0.22} _{-0.09}	0.95	0.12 ^{+0.21} _{-0.08}
14/05/2008	B374	0.95	0.12 ^{+0.20} _{-0.08}	0.94	0.11 ^{+0.19} _{-0.07}
21/05/2008	B379	0.96	0.08 +0.12 -0.05	0.91	0.06 ^+0.090.04
22/05/2008	B380	0.96	0.08 ^{+0.11} _{-0.04}	0.91	0.07 ^+0.100.04
	Mean	0.97	0.21 ^{+0.35} _0.14	0.92	0.19 ^{+0.34} _{-0.13}
	Median	0.97	0.13 ^{+0.23} _{-0.09}	0.93	0.14 ^{+0.22} _{-0.09}

ACPD 12, 26503-26560, 2012 The size distribution of black carbon aerosol C. L. Reddington et al. **Title Page** Abstract Introduction Conclusions References **Tables Figures** 14 Back Full Screen / Esc **Printer-friendly Version** Interactive Discussion

Discussion Paper

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Discussion Paper

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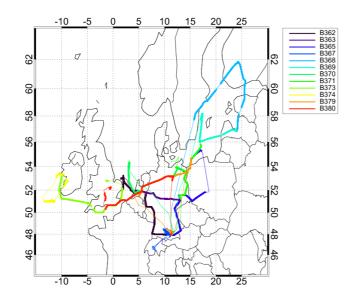
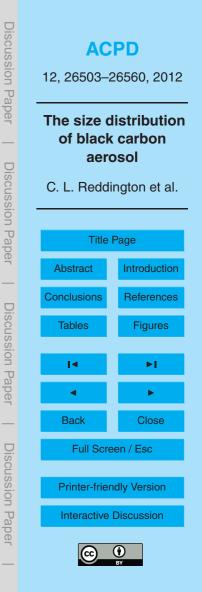
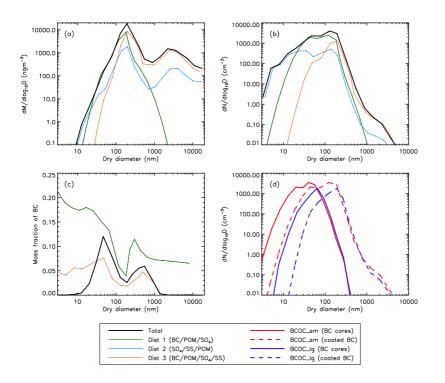


Fig. 1. Map of flight tracks performed by the FAAM BAe-146 research aircraft during the EUCAARI-LONGREX field campaign in May 2008. The flights shown are those with simultaneous SP2 and PCASP measurements available. Sections of the FAAM aircraft flight tracks that are below 3 km a.s.l. are shown in bold.





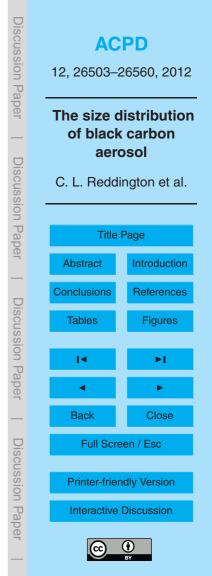


Fig. 2. Campaign-mean modelled **(a)** mass and **(b)** number size distributions for each aerosol distribution described in Table 1. Modelled size distributions were calculated along the flight tracks shown in Fig. 1 (for sections below 2.5 km a.s.l.) and averaged over all flights performed during EUCAARI-LONGREX. **(c)** Campaign-mean mass fraction of BC in each BC-containing distribution (D1 and D3) and in the total particle mass distribution (D1 + D2 + D3). In **(a)**, **(b)**, and **(c)** model results are shown for experiment BCOC_sm. **(d)** Campaign-mean number size distributions of coated BC particles and BC cores for model experiments BCOC_sm and BCOC_lg. The two model experiments are described in Table 2. The coated BC particle distribution is treated as the sum of the BC-containing aerosol distributions in **(b)** (i.e. D1 + D3). The size distribution of BC cores is calculated as described in Sect. 4.1.

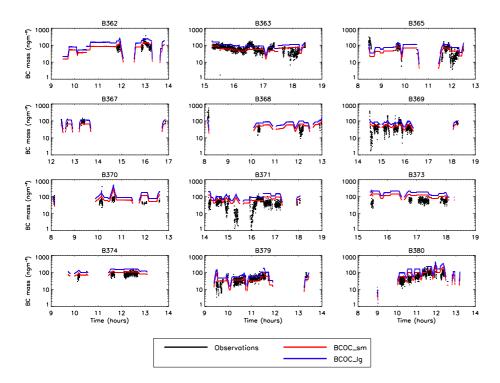
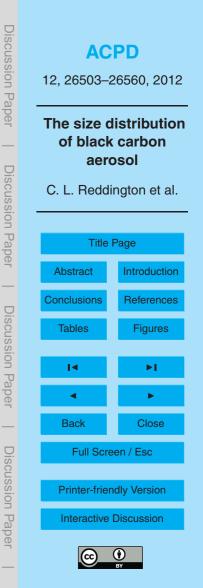


Fig. 3. Time-series of modelled (colour) and observed (black) BC mass concentrations in the size range $D_{BC} = 90-400$ nm for 12 EUCAARI-LONGREX flights of the FAAM aircraft. Concentrations are shown for altitudes below 2.5 km. Observations of BC mass were made using the SP2 instrument. Model experiments, BCOC_sm and BCOC_lg, are described in Table 2.



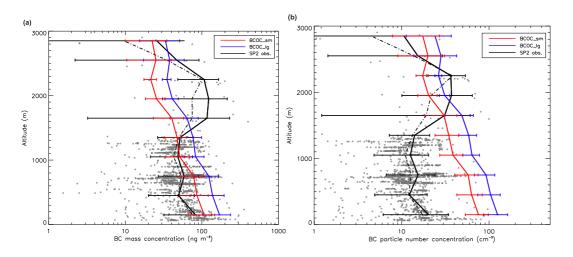
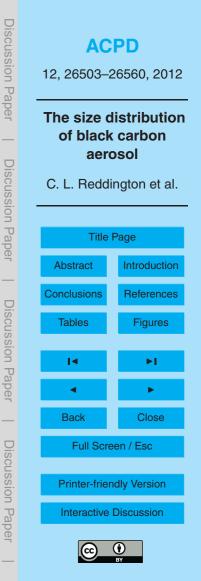


Fig. 4. Vertical profiles of modelled (colour) and observed (black) BC particle **(a)** mass and **(b)** number concentrations in the size range $D_{BC} = 90-400$ nm. The profiles are an average of 12 FAAM aircraft flights performed during LONGREX (sectioned into 300 m altitude bins). Mean concentrations are shown by the solid lines, the observed median concentrations are shown by the dashed lines, the standard deviation is represented by the error bars. Individual 30-s averaged observation points are shown in grey. Observations of BC mass and number concentrations were made using the SP2 instrument. Model experiments, BCOC_sm and BCOC_Ig, are described in Table 2. Modelled and observed concentrations are at ambient conditions.



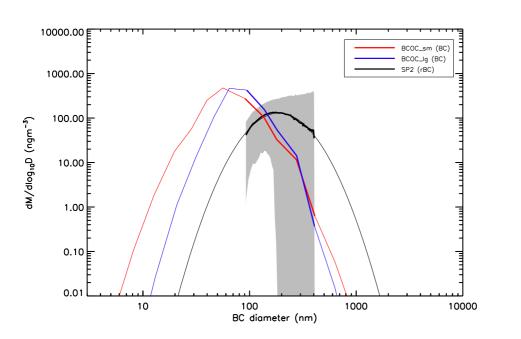
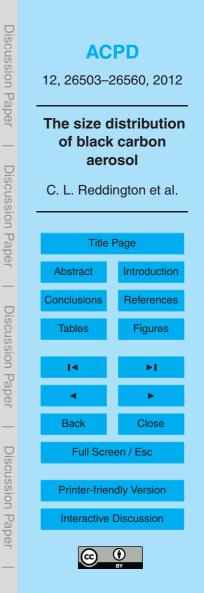


Fig. 5. Campaign-mean simulated (colour) and observed (black) BC mass size distributions. The modelled and observed size distributions of BC cores in the measurement range of the SP2 are shown by the bold solid-lines; a log-normal fit to the observed distribution is shown by the fine black line. The grey shading represents the standard deviation of the SP2 measurements.



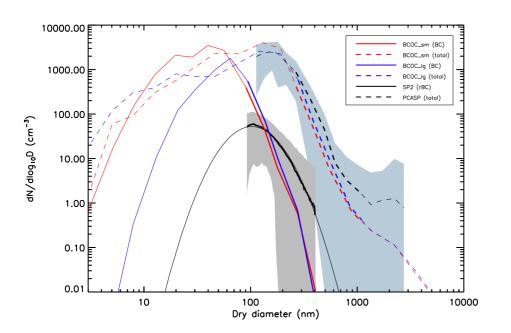
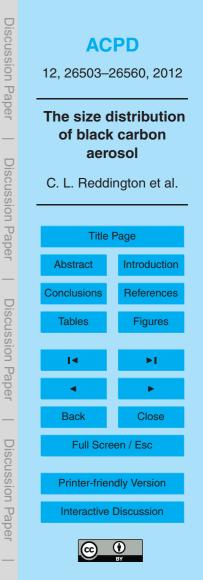


Fig. 6. Campaign-mean simulated (colour) and observed (black) number size distributions of BC cores (solid lines) and total particles (dashed lines). The sections of the size distributions within the SP2 measurement range ($D_{BC} = 90-400 \text{ nm}$) and mean coated-particle size range defined by the model ($D_p \sim 260-1100 \text{ nm}$) are shown in bold. A log-normal fit to the observed BC-core size distribution is shown by the fine black line. The grey and blue shading represent the standard deviation of the SP2 and PCASP measurements, respectively.



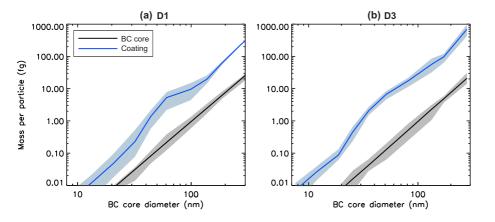
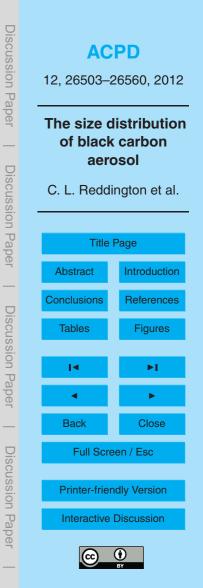


Fig. 7. Campaign-mean modelled BC (black) and coating (blue) mass per particle in distributions **(a)** D1 and **(b)** D3 (see Table 1). The shading extends from the minimum to the maximum mass per particle in each size bin.



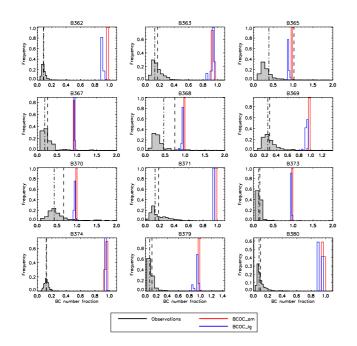


Fig. 8. Normalised histograms of the frequency distribution of modelled (colour) and observed (black) BC number fractions for each valid data point of each flight. The bin size of the modelled distributions is set to 0.03, the bin size of the observed distributions depends on the maximum fraction observed for each flight; values are divided into 20 equally spaced bins with the bin size varying between 0.01 and 0.10. The dashed line shows the mean observed BC number fraction for each flight (calculated as a mean over the frequency distribution). The dot-dashed line shows the observed fraction of mean BC and total particle number concentrations for each flight (calculated as the ratio of the flight-mean BC core number concentration (Table 4) to the flight-mean total particle number concentration). Model experiments, BCOC_sm and BCOC_Ig, are described in Table 2.

