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# Host model uncertainties in aerosol radiative forcing estimates: results from the AeroCom prescribed intercomparison study

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### Abstract

Simulated multi-model "diversity" in aerosol direct radiative forcing estimates is often perceived as measure of aerosol uncertainty. However, current models used for aerosol radiative forcing calculations vary considerably in model components relevant for forc-

- <sup>5</sup> ing calculations and the associated "host-model uncertainties" are generally convoluted with the actual aerosol uncertainty. In this AeroCom Prescribed intercomparison study we systematically isolate and quantify host model uncertainties on aerosol forcing experiments through prescription of identical aerosol radiative properties in nine participating models.
- <sup>10</sup> Even with prescribed aerosol radiative properties, simulated clear-sky and all-sky aerosol radiative forcings show significant diversity. For a purely scattering case with globally constant optical depth of 0.2, the global-mean all-sky top-of-atmosphere radiative forcing is  $-4.51 \text{ Wm}^{-2}$  and the inter-model standard deviation is  $0.70 \text{ Wm}^{-2}$ , corresponding to a relative standard deviation of 15%. For a case with partially ab-
- <sup>15</sup> sorbing aerosol with an aerosol optical depth of 0.2 and single scattering albedo of 0.8, the forcing changes to  $1.26 \,\mathrm{W}\,\mathrm{m}^{-2}$ , and the standard deviation increases to  $1.21 \,\mathrm{W}^{-2}$ , corresponding to a significant relative standard deviation of 96%. However, the top-ofatmosphere forcing variability owing to absorption is low, with relative standard deviations of 9% clear-sky and 12% all-sky.
- <sup>20</sup> Scaling the forcing standard deviation for a purely scattering case to match the sulfate radiative forcing in the AeroCom Direct Effect experiment, demonstrates that host model uncertainties could explain about half of the overall sulfate forcing diversity of 0.13 W m<sup>-2</sup> in the AeroCom Direct Radiative Effect experiment.

Host model errors in aerosol radiative forcing are largest in regions of uncertain host model components, such as stratocumulus cloud decks or areas with poorly constrained surface albedos, such as sea ice. Our results demonstrate that host model uncertainties are an important component of aerosol forcing uncertainty that require further attention.





## 1 Introduction

Atmospheric aerosol plays an important role in the global climate system through modifications of the global radiation budget: directly, by scattering and absorption of radiation (e.g. Ångström, 1962; McCormic and Ludwig, 1967; Forster et al., 2007); indirectly, by the modification of cloud properties and abundance (e.g. Twomey, 1974; Albrecht,

<sup>5</sup> by the modification of cloud properties and abundance (e.g. Twomey, 1974; Albrecht, 1989; Lohmann and Feichter, 2005); semi-directly, by the effect of the direct and indirect aerosol effects on cloud properties and abundance via the modification of the thermal structure of the atmosphere and the surface energy budget.

Despite considerable progress in global aerosol modelling (Textor et al., 2006; Mann et al., 2012) and observationally guided methods (e.g. Bellouin et al., 2005; Yu et al., 2006; Myhre, 2009), the uncertainties in estimates of direct aerosol radiative effects remains persistently high (Schulz et al., 2006; Myhre et al., 2012).

The simulated multimodel "diversity" in aerosol direct radiative forcing estimates is often perceived as measure of the uncertainty in the representation of aerosols on

<sup>15</sup> global scales (Forster et al., 2007). However, the variability in forcing efficiencies, i.e. radiative forcings normalised by perturbation strength, such as anthropogenic aerosol optical depth (AOD) (Schulz et al., 2006) as well as the sensitivity of radiative forcing to surface albedos reported in AeroCom Phase I (Stier et al., 2007), suggests that "host model" components may contribute an important, yet unquantified, part of the overall uncertainty in aerosol radiative effects.

Aerosol radiative effects depend on a wider range of atmospheric parameters and their representation in host models used in the forcing calculation, in particular on

- surface albedo: representation of soil types; ice/snow cover; spectral dependence; angular dependence of reflectance
- clouds: global and vertical distribution; radiative properties
  - radiative transfer: spectral resolution; accuracy of the method; molecular scattering





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henceforth collectively referred to as "host model effects".

Generally, purely scattering aerosol enhances the backscattering of solar radiation to space, resulting in negative top-of-atmosphere radiative forcings (cooling effect). For partially absorbing aerosol with a given single scattering albedo, there exists a critical

<sup>5</sup> surface albedo, for which the combined aerosol-surface system reflects less solar radiation back to space than the surface alone. For surface albedos below this critical value, the shortwave (SW) top-of-atmosphere (TOA) aerosol forcing is negative, above this value it becomes positive (e.g. Haywood and Shine, 1995).

Optically deep clouds under an aerosol layer also serve effectively as a high albedo surface. Thus, absorbing aerosols above a cloud layer have the potential to introduce positive TOA forcings. However, scattering and absorption associated with clouds above an aerosol layer reduce the available radiation and therefore reduce (negative or positive) aerosol radiative forcings (e.g. Liao and Seinfeld, 1998).

Previous assessments of the uncertainties in aerosol radiative forcing focused either in detail on the radiative transfer codes, performed for individual columns in idealised setup or at selected global locations (Boucher et al., 1998; Halthore et al., 2005; Oreopoulos et al., 2012). While of fundamental importance for the improvement of the process representation, it is generally difficult to scale uncertainties and identified errors in such idealised intercomparison setups performed for individual atmospheric columns

- to uncertainty in global estimates of aerosol radiative effects. Or at the other end of the spectrum, previous uncertainty assessments have widely used multi-model diversity in simulated aerosol fields and radiative forcing as proxy for uncertainties in aerosol radiative forcing (e.g. in successive IPCC assessments and previous AeroCom inter-comparison studies: Forster et al., 2007; Kinne et al., 2006; Textor et al., 2006; Schulz
- et al., 2006), convoluting the uncertainty in simulated aerosol fields with the uncertainty in the forcing calculation.

In this AeroCom Prescribed intercomparison study we aim to bridge these approaches through a systematic assessment of the effects of host model uncertainties on aerosol radiative forcing estimates. We isolate aerosol host model uncertainties





through prescription of identical aerosol radiative properties in all participating models, including offline radiative forcing models used in satellite based assessments, chemical transport models (CTMs) and general circulation models (GCMs), taking away the uncertainty in aerosol processes, as illustrated in Fig. 1.

- In an ideal world one could test the impact of perturbations through systematic sampling of the multi-dimensional parameter/configuration space. Specifically, it would be desirable to investigate the impact of structural model uncertainty through systematic variation of prescribed identical surface albedos, cloud radiative properties and ultimately radiative transfer codes in all models. Practically, constrained by participation
- and ease of implementation, only a subset of such runs is generally available. In our case we specify the aerosol radiative properties while leaving all other model parameters unchanged (different but not necessarily independent among the models). It should be noted that the implementation of identical cloud radiative properties, surface albedos and radiative transfer codes would be a non-trivial task for GCMs as they rely for physical consistency on a closed radiation balance that may not be able to be achieved
- with a prescribed set of parameters.

This study is closely geared with the detailed offline AeroCom Radiative Transfer Code Experiment (Randles et al., 2012) as well as the AeroCom Direct Radiative Effect study ("AeroCom Direct", Myhre et al., 2012).

#### 20 2 Methodology

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#### 2.1 Intercomparison protocol

We approach the assessment of host model uncertainties with two complementary setups of different complexity:

- An idealised setup with globally constant profiles of aerosol radiative properties,
- where AOD is distributed linearly in height over the lowest two kilometers.





 A realistic scenario with prescribed monthly varying, three-dimensional, spatially and spectrally resolved aerosol radiative properties.

To maximise comparability, all simulations are performed in the same setup as used by the models in the submission to the AeroCom Direct experiment (Myhre et al., 2012)

<sup>5</sup> with model-specific aerosol distributions and radiative properties. All models report instantaneous radiative forcings (RF), i.e. host model components other than aerosol radiative properties, such as clouds, are identical for all simulations by each model.

The setup of the AeroCom Prescribed simulation is outlined in Table 1. Results are generally summarised by mean values and standard deviations (SD). To allow for com-<sup>10</sup> parability in the "diversity" of different parameters, we also report the relative standard deviation RSD =  $\frac{|\sigma|}{|\mu|}$ , where  $\sigma$  is the standard deviation and  $\mu$  the mean value of the respective parameter. We should caution that the sample size across the models is very limited so that standard deviation is used here simply as a measure of the intermodel spread and should not be interpreted based on the underlying assumption of <sup>15</sup> a Gaussian distribution, e.g. in the sense of confidence intervals.

## 2.2 Description of participating models

The aim of this study is to identify and quantify host model uncertainties in models commonly used for aerosol radiative forcing estimates.

Out of the 9 participating model variants (GOCART and INCA submitted in two con-

- figurations), all except MPI-2stream and GOCART-GEOS4 are directly comparable to submissions to the AeroCom Direct experiment (Myhre et al., 2012). Out of the 9 model radiation codes, 4 (CAM-PNNL RRTMG, GOCART, MPI-2stream, OsloCTM2) are directly comparable to their submission to the AeroCom Radiative Transfer Intercomparison (Randles et al., 2012).
- Table 2 lists the participating models and details about their radiation schemes, to be complemented by the information provided in the listed references.





Out of 9 submitted configurations, 4 are General Circulation Models (GCMs), 4 are Chemistry Transport Models (CTMs) and 1, MPI-2stream, is an offline radiative transfer scheme. MPI-2stream prescribes cloud derived from the International Satellite Cloud Climatology Project as described in Kinne et al. (2012).

In the FIX1 experiment, eight models report instantaneous radiative forcing, i.e. aerosol radiative effects do not feed back to model meteorology which remains identical for the radiative transfer calculations. ECHAM5-HAM2 in the FIX1 experiment reports adjusted forcing constrained by nudging, i.e. aerosol radiative properties do feed back to the model meteorology which is on the large scale relaxed to ECMWF reanalysis
 data. However, this can still feed back on local scale processes, such as clouds, explaining the generally more noisy results of this submission.

The shortwave spectral resolution varies from 2 to 19 SW bands, and the complexity of the radiation parameterisations varies considerably. Model spatial resolutions vary from  $3.75^{\circ} \times 1.89^{\circ}$  in the horizontal and 19 vertical levels to  $1^{\circ} \times 1^{\circ}$  in the horizontal and 72 vertical levels.

#### 3 Results

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#### 3.1 Host model components

Before proceeding with the presentation of the simulated radiative effects, we provide an overview of the key host model components affecting radiative forcing: cloud proper-

ties and albedos. Key results of this section are also summarised as zonal mean plots in the Appendix.

The annual-mean cloud fractions for the unperturbed case FIX0 are compared in Fig. 2. Significant regional differences are evident in the model cloud fractions. Two models have cloud fractions (GOCART-GEOS4: 0.49 and LMDZ: 0.48) at the lower end of the observed range (Marchand et al., 2010) (although this quantity is gener-

end of the observed range (Marchand et al., 2010) (although this quantity is generally not consistently defined between models and detection limited observations). The





LMDZ-39L version with revised cloud scheme has significantly higher cloud fractions. The simulation of persistent high cloud-fraction stratocumulus decks off the western coasts of the Americas and Africa differs significantly among models.

- The effective broadband short-wave surface albedos (derived as ratio of upward to downward SW radiative fluxes at the surface) shown in Fig. 3 show a broad agreement in the global mean with low oceanic surface albedos and high land surface albedos in arid regions and regions covered by snow and ice. Most models have a global mean close to the multi-model mean of 0.16, except MPI-2stream that shows higher surface albedos at high northern latitudes (presumably snow cover) and a global mean of 0.21.
- The broadband short-wave top-of-atmosphere albedo shown in Fig. 4 includes the effects of surface albedo, cloud albedo and molecular scattering. While models agree on the large scale patterns and the global mean (except GOCART-GEOS4, which has an identified problem with cloud radiative properties), significant differences exist on regional scales. It should be noted that TOA albedo is a key parameter in the tuning of General Circulation Models to achieve radiation balance so that the global mean
- values generally reflect the model tuning (or the lack thereof).

#### 3.2 Radiative forcing

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In this section we present a detailed analysis of the simulated radiative forcings. Individual results are presented to allow visual attribution to differences in host model processes, such as clouds and surface albedos. The key findings are also summarised in Fig. 16 and Table 3.

#### 3.2.1 Scattering case: FIX2-FIX0

The clear-sky and all-sky top-of-atmosphere radiative forcings for the scattering case with globally constant AOD = 0.2 (FIX2-FIX0) are shown in Figs. 5 and 6, respectively. <sup>25</sup> Models generally agree in the large scale negative forcing pattern for the clear sky case, with highest values over dark ocean surfaces and lowest values over areas with





high land surface albedo. The global mean is  $-7.16 \text{ Wm}^{-2}$  with a standard deviation of  $0.75 \text{ Wm}^{-2}$  and a corresponding relative standard deviation (RSD) of 10%. The forcing variability increases in the all-sky forcing fields with forcing patterns corresponding to surface albedos as well as clouds. The global mean is reduced to  $-4.51 \text{ Wm}^{-2}$  while the standard deviation reduces only to 0.70, corresponding to a RSD increase to 15%.

For the purely scattering case discussed so far, aerosol absorption is by definition zero. Nonetheless, enhancement of molecular absorption through the enhancement of the photon path-length by aerosol scattering could potentially play a role for aerosol radiative forcing.

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The atmospheric radiative forcing (i.e. column absorption) for the scattering case (FIX2-FIX0) is shown in Figure 7. Generally, the absorption enhancement is small. However, LMDZ shows considerable clear-sky absorption in both configurations (0.95 and 0.98 W m<sup>-2</sup>) and also OsloCTM2 reaches a global mean of 0.46 W m<sup>-2</sup>. The strong correlation with the surface albedo is caused by the path-length enhancement associated with multiple scattering over bright surfaces.

These results point to structural host model differences in the radiative transfer schemes. Results from the AeroCom Radiative Transfer Intercomparison (Randles et al., 2012) provide further insights: in this study, line-by-line benchmarks models show stronger atmospheric absorption in the purely scattering case than most schemes used

in GCMs and CTMs, which supports the higher values reported by some models here. As the aerosol extinction is generally low in spectral regions of strong water vapour absorption, differences in the treatment of Ozone could be a contributor to these differences. This could in turn be affected by the spectral resolution of the models and it is worth pointing out that two models with highest absorption in the scattering case also have the lowest spectral resolution (LMDZ: 2 SW bands, OsloCTM2: 4 SW bands),

This absorption enhancement for the scattering case in LMDZ and OsloCTM2 is also discernible in the all-sky absorption, however, cloud shielding reduces the effect by about a factor of two (not shown).



#### 3.2.2 Absorbing case: FIX3-FIX0

Replacing the purely scattering aerosol layer in FIX2 by a partially absorbing layer with with the same AOD = 0.2 but SSA = 0.8, shifts the clear-sky forcing over areas with high surface albedos to positive values, and reduces the negative forcings over the low-albedo oceans (Fig. 8). The global mean clear-sky radiative forcing is reduced to  $-1.54 \text{ Wm}^{-2}$  while the standard deviation increases to  $0.94 \text{ Wm}^{-2}$ , corresponding to a RSD increase to 61%.

The importance of clouds for radiative forcing becomes apparent in the all-sky radiative forcing fields, shown in Fig. 9. Except over high albedo surfaces where overlaying cloud reduce some of the absorption and positive forcings from the clear-sky case, forcings are generally more positive. The absorption enhancement is particularly pronounced in the storm tracks and areas with low-level clouds. Regional forcing differences across the models are significantly affected by differences in model clouds. For example, the strong forcing variability west of the coast of the Americas and Africa

across models directly reflects the differences in the representation of low-level stratocumulus clouds (see Fig. 2).

Some of the diversity in the simulated top-of-atmosphere radiative forcings for the absorbing case will depend on differences in the calculated atmospheric radiative forcing (absorption), shown in Figs. 10 and 11.

- <sup>20</sup> The clear-sky atmospheric radiative forcing is a function of incoming solar radiation and surface albedo, which will act to enhance path-length and consequently absorption. The overall global distribution shows a background field decrease towards higher latitudes (reflecting incoming solar radiation) with enhancements of absorption over high albedo surfaces.
- As for the scattering case, the OsloCTM2, LMDZ and LMDZ-39L models show the largest clear-sky atmospheric radiative forcing (18.27, 17.92 and 17.72 Wm<sup>-2</sup>, respectively, compared to the 15.84 Wm<sup>-2</sup> mean of the other models). While this strong absorption leads to fairly positive clear-sky TOA radiative forcings for the LMDZ models,





in OsloCTM2 it is more than balanced by strong scattering, resulting in one of the most negative clear-sky forcings.

Clouds reduce the atmospheric radiative forcing in the all-sky global mean by 12%. Regionally, low-level clouds can also enhance atmospheric absorption through in-<sup>5</sup> crease of path-lengths, as evident in the atmospheric radiative forcings in models with

extended areas of stratocumulus clouds in Fig. 11.

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The relative standard deviation in atmospheric radiative forcing across models is with 7 % clear-sky and 8 % all-sky comparably small.

It should be re-iterated that the reduction of the single scattering to SSA = 0.8 in experiment FIX3 implies still significant effects of scattering, as evident in the negative TOA forcings over dark surface areas. Analysing the difference between experiments FIX3 and FIX2 provides a better insight into the representation and effects of aerosol absorption across the models.

Subtraction of the scattering case isolates the effect of absorption and shifts clearsky and all-sky radiative forcings well into positive regimes (Figs. 12 and 13). Annual model means are 5.62 Wm<sup>-2</sup> clear-sky and 5.77 Wm<sup>-2</sup> all-sky.

As expected, atmospheric radiative forcings are very similar between the FIX3-FIX0 (scattering and absorption) and FIX3-FIX2 (absorption) scenarios (Figs. 10, 11, 14, 15). Noticeable exception is the reduction in atmospheric radiative forcings for the models that showed considerable absorption in the scattering only experiment (LMDZ, LMDZ-39L, OsloCTM2).

The results of AeroCom Prescribed for aerosol layers with an optical depth of AOD = 0.2 prescribed in models over the lowest 2 km are summarised for the three analysed scenarios, scattering, scattering+absorption, absorption, in terms of radiative forcing in Fig. 16.

Even for the purely scattering case (Fig. 16a), significant forcing diversity exists, with relative standard deviations of 11% clear-sky and 16% all sky.





The three models with significant enhancement of molecular scattering in the scattering scenario (LMDZ, LMDZ-39L, OsloCTM2) also show the strongest atmospheric radiative forcing in the two other scenarios including aerosol absorption.

- For the case of scattering and absorption (Fig. 16b), the positive forcing contributions from scattering and negative contributions from absorption almost balance. The resulting TOA radiative forcing are with  $-1.54 \text{ Wm}^{-2}$  small but negative for clear-sky and with  $1.26 \text{ Wm}^{-2}$  small but positive for all-sky conditions, with increased absolute standard deviations of 0.94 and  $1.21 \text{ Wm}^{-2}$ , respectively corresponding to large relative standard deviations of 61 % and 96 %, respectively.
- <sup>10</sup> Interestingly, the variability of the TOA forcings is lower for the absorbing scenario after subtraction of the scattering case (Fig. 16c), both in terms of the absolute standard deviation (0.53 clear-sky and 0.68 W m<sup>-2</sup> all-sky) and relative standard deviations (9 % clear-sky and 12 % all-sky).

## 3.3 Attribution of forcing differences to host model effects

<sup>15</sup> In this section we focus on the attribution of the simulated forcing differences to the underlying differences in the host model configurations.

As a first step, the total effects of clouds on aerosol radiative forcing is quantified through the difference of all-sky minus clear-sky radiative forcings, shown for the scattering case FIX2-FIX0 as multi-model mean and standard deviation in Fig. 17. In the global mean, clouds shield the prescribed scattering aerosol layer from radiation and make the TOA radiative forcing more positive by  $2.65 \text{ Wm}^{-2}$ . As expected, positive all-clear sky TOA forcing perturbations as well as its standard deviation are largest in

areas of high cloud-fractions. For the case with scattering and absorption (FIX3-FIX0) shown in Fig. 18, the additional effect of absorption enhancement for absorbing aerosol above low-level clouds enhances the forcing perturbation of clouds to 2.81 Wm<sup>-2</sup>. The inter-model variability is largest for the stratocumulus decks off the west coasts of the Americas and Africa, that are highly variable across models. Note that even for the case with absorption,





the dominant contribution to the positive forcing perturbation of clouds stems from the reduction of the negative high-latitude forcings over dark ocean surfaces.

While the preceding analysis provides valuable insight into the overall effects of clouds on aerosol radiative forcing, it does not provide an answer to a key question

5 in the assessment of aerosol radiative forcing uncertainties: how much does the intermodel spread in host-model effects, including cloud properties, affect aerosol radiative forcing?

To investigate this question in the absence of experiments with prescribed changes in cloud or surface properties, we explore the sensitivity of TOA SW forcing to local variations in surface or cloudy albedo across the models.

Cloudy albedo is defined here as the TOA albedo due to clouds:

$$A_{\rm cld} = \frac{F_{\rm all}^{\uparrow} - F_{\rm clr}^{\uparrow}}{F_{\rm all}^{\downarrow}},\tag{1}$$

where arrows indicate down and upwelling radiative fluxes (F), defined at TOA and allor clear-skies, as indicated. Likewise, surface albedo is defined as the ratio of up- to down-welling flux at the surface.

Seeking to separate the influence of surface albedo and clouds, we decompose the host model error  $\Delta RF_{TOA}^{all}$  as total differential, which allows us to compare the relative magnitude of the individual host model effects:



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<sup>20</sup> where  $\Delta$  indicate the inter-model variabilities, RF<sup>all</sup><sub>TOA</sub> is the TOA all-sky radiative forcing,  $A_{sur}$  the surface albedo and  $A_{cld}$  the cloudy albedo, as defined above.





(2)

For this analysis, the models have been remapped to a common Gaussian grid with 1.875°×1.875° resolution. Various interpolation schemes were considered (e.g. nearest neighbour, linear or distance-weighted) but the choice did not significantly alter our findings. As ECHAM5-HAM2 does not provide clear-sky TOA upward radiative fluxes it has been excluded from this analysis.

We define sensitivity as in Eq. (2) as the slope of a regression of TOA SW forcing against either cloud or surface albedo (Fig. 19), with each data pair representing a different model. Depending on the grid point under consideration, such regression either makes sense (as there is a clear linear relation), or not (as the data are too scattered) so we also assess the reliability of this sensitivity. This was done by repeating the regression several times, but with one model removed, thus allowing us to assess its contribution to the overall regression. The slope for all models lie in between the minimum and maximum of the seven slopes for all models minus one, for almost all grid-points. These confidence measures are used for the shading in Fig. 19.

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<sup>15</sup> Variation in surface albedo across models is mainly due to sea ice, land ice and desert surfaces as can be seen in the Perturbations row of Fig. 19. The surface albedo sensitivity for FIX2-FIX0 shown in Fig. 19 is broadly speaking positive (increasing forcing with increasing surface albedo). Unambiguous positive albedo sensitivity is found in many places, notably in the Arctic and Antarctic sea ice regions, Australia, Saudi Arabia and Eurasia as well as Northern North America and Southern South America.

We evaluate the forcing error owing to surface diversity as the albedo sensitivity times the albedo perturbation, which is expressed as inter-model standard deviation of the surface albedo for each grid-box. For the scattering case FIX2-FIX0, the surface albedo errors are of the order of about 1 W<sup>-2</sup>, with regional maxima in high surface albedo areas with large inter-model variability.

The impact of surface albedo on the surface albedo sensitivity increases substantially when considering the absorbing case FIX3-FIX0 but sensitivity patterns remain largely the same. The surface albedo forcing error shows similar patterns as in the





scattering case but regional maxima are of the order of about  $3 W^{-2}$  over the high surface albedo areas of Australia, snow-covered high latitudes and sea-ice areas.

Sensitivity to cloudy albedo for the scattering case FIX2-FIX0 shows a more complex picture with regions of both positive or negative sensitivity. Note that positive sensitivity

- <sup>5</sup> regions are generally unambiguous (light shading), while regions of negative sensitivity generally are less certain. The sign of sensitivity to cloud albedo is often ambiguous in regions of high surface albedo (sea and land ice). Again, the absorbing case FIX3-FIX0 shows the same sensitivity patterns but in starker contrast. Concentrating on the positive sensitivity regions, we see they usually occur off the coast where there are
- stratocumulus decks. Sensitivity to cloudy albedo may be expected to be positive in most cases: when scattering or weakly absorbing aerosols are hidden below a layer of clouds or when absorbing aerosols are found above clouds. In the case of strongly absorbing aerosol below clouds there is a chance of negative sensitivity. Possibly this is the case for Siberia and at high latitudes. Several cases of negative sensitivity over land
- adjacent to positive sensitivity over ocean can be seen: Australia and Central America. Since our aerosol profiles are fixed, this could be explained if cloud altitudes are higher over land than over ocean.

The cloud albedo forcing errors, again expressed as cloudy albedo sensitivity times the local standard deviation of the cloudy albedo, for the scattering case FIX2-FIX0 are widespread over oceanic regions with large cloud cover, such as the storm-tracks, sub-tropical stratocumulus decks and in regions of tropical convection and reach about  $1.5 \text{ W}^{-2}$ . Strong forcing perturbations, of about  $3 \text{ Wm}^{-2}$  highlight the importance of the correct representation low-level stratocumulus cloud decks for the TOA radiative forcing of absorbing aerosol.

#### 25 3.4 Realistic aerosol radiative properties

Complementing the highly idealised studies with prescribed globally constant aerosol radiative properties, we conduced with a sub-set of the models (ECHAM5-HAM2,





GOCART-GEOS4, GOCART-MERRA, OsloCTM2) the AeroCom Prescribed FIX1 study with prescribed monthly mean, three-dimensional, spatially and spectrally resolved aerosol radiative properties. Simulations were performed for pre-industrial (PI) and present-day (PD) aerosol radiative properties. Prescribed aerosol radiative prop-<sup>5</sup> erties are illustrated as anthropogenic contributions (PD-PI) in Fig. 20.

It is clear that neither the total nor the anthropogenic AOD is spatially uniform. Also, results from the accompanying study of Samset et al. (2012) suggest that the differences in the vertical profiles account for a large fraction of the diversity in black carbon radiative forcing. Therefore, it is clear that the large variability in forcing efficiencies in the simplified experiments with globally uniform radiative properties cannot simply be scaled globally. For example, significant forcing efficiencies are evident in high-latitude regions (cf. Figs. 6 and 9), however, neither total nor particularly anthropogenic AOD is

significant in those areas.
 Simulated TOA radiative forcings from the models are shown in Fig. 21 (clear-sky)
 and in Fig. 22 (all-sky). Note that ECHAM5-HAM2 reports adjusted forcing so that the results for this case with a contribution of absorption may be affected by semi-direct effects. Simulated clear-sky forcing patterns spatially match the anthropogenic AOD shown in Fig. 20 with negative radiative forcings in the major source and outflow areas of anthropogenic pollution. Some positive radiative forcings are simulated

- over high surface albedo areas over Africa, with strong positive forcings simulated by ECHAM5-HAM2 and OsloCTM2, in agreement with the model behaviour in the idealised FIX3-FIX0 experiment (Fig. 8). The annual inter-model global mean clear-sky radiative forcing is -1.36 Wm<sup>-2</sup> with a relative standard deviation of 12%. All-sky radiative forcings follow roughly the same patterns but compared to the clear-sky forcing are reduced to a mean of -0.84 Wm<sup>-2</sup> and the relative standard deviation increases to 25%. Note that the reduction in forcings from clear-sky to all sky varies between a factor of 1.2 (COCAPT CEOS4) and 1.0 (ECHAM5 HAM2) which could be effected.
  - a factor of 1.3 (GOCART-GEOS4) and 1.9 (ECHAM5-HAM2), which could be affected by the inclusion of semi-direct effects in ECHAM5-HAM2 and very low cloudy albedos in GOCART-GEOS4.





#### 4 Conclusions and implications

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In this intercomparison study we systematically assess the effect of host model uncertainties on aerosol radiative forcing. In a multi-model estimate of aerosol radiative forcing, following the AeroCom Intercomparison protocol, host model effects are isolated through prescription of identical aerosol radiative properties in all models.

The analysis is performed at two levels of complexity: (i) an idealised setup with globally constant aerosol radiative properties prescribed in the host models over lowest two kilometers; (ii) a realistic aerosol forcing scenario with prescribed monthly mean, three-dimensional, spatially and spectrally resolved aerosol radiative properties.

<sup>10</sup> Despite the prescription of identical aerosol radiative properties, simulated aerosol radiative forcings show considerable diversity, challenging the widespread assumption of multi-model forcing diversity as measure of the uncertainty in the global representation of aerosol.

For the case of purely scattering aerosol (AOD = 0.2, SSA = 1.0) in the idealised
setup, the simulated global mean radiative forcings of -7.16 Wm<sup>-2</sup> clear-sky and -4.51 Wm<sup>-2</sup> all-sky, have a relative standard deviation of 10% and 15%, respectively. This compares to an all-sky sulfate (almost purely scattering) aerosol radiative forcing relative standard deviation of 37% in the AeroCom Direct Radiative Effect experiment with interactive aerosol for which additionally sulfate burdens vary with a RSD of 27%
(Myhre et al., 2012). The mean radiative forcing normalised by AOD is -22.55 Wm<sup>-2</sup>

with a RSD of 15%, comparable to a sulfate mean of  $16.6 \text{ Wm}^{-2}$  with a RSD of 27% in Myhre et al. (2012).

For the case of partially absorbing aerosol with AOD = 1.0 and SSA = 0.8, the simulated global mean radiative forcings are -1.54 Wm<sup>-2</sup> clear-sky and 1.26 Wm<sup>-2</sup> all-sky,

while the relative standard deviation increases to 64 % and 84 %, respectively. The complex dependence of RF on the single-scattering albedo does not allow for a sensible scaling of TOA radiative forcing by AOD or AAOD. However, comparison of all-sky atmospheric radiative forcing normalised by absorption optical depth at a wavelength



of 550 nm provides further insights into the importance of host model effects on aerosol absorption. The global multi-model mean is  $360 \text{ Wm}^{-2}$  with a comparably small RSD of 8%. This compares to a mean of  $535 \text{ Wm}^{-2}$  in Myhre et al. (2012) with an RSD of 31%. However, it should be noted that the latter values are skewed by the spectral de-

<sup>5</sup> pendence with strong shortwave absorption in some models: e.g. while IMPACT gives typical mid-range normalised atmospheric radiative forcing of 393 Wm<sup>-2</sup> in this study, it reports 935 Wm<sup>-2</sup> in Myhre et al. (2012).

The set-up of previous assessments of global aerosol radiative forcing, convoluting the uncertainty in simulated aerosol distributions and properties with host model uncertainties, has not allowed to attribute forcing differences to specific host model effects beyond the global mean.

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Here, we isolate the total impact of clouds on aerosol radiative forcing through the difference and inter-model variability between clear-sky and aerosol radiative forcings in our idealised set-ups with globally constant aerosol radiative properties. While in the global-mean the effects of clouds on TOA radiative forcing for the scattering scenario are  $2.65 \text{ Wm}^{-2}$  and  $2.81 \text{ Wm}^{-2}$  for the absorbing scenario, regionally these effects

reach about 10 Wm<sup>-2</sup>. The variability is largest in regions of low-level stratocumulus decks, which are simulated very inconsistently across the models.

To specifically attribute the diversity in aerosol radiative forcing to host model differ-<sup>20</sup> ences, we remap the models on a common grid and calculate for each grid-box the sensitivity of TOA radiative forcing to the model simulated surface and cloudy albedos as slope of a linear inter-model regression. The product of the sensitivity with the albedo perturbation, expressed as inter-model standard deviation, provides a measure of the forcing error owing to the inter-model spread in the respective host-model com-<sup>25</sup> ponent.

The forcing sensitivity to surface albedos shows generally positive values, which increase considerably for the absorbing scenario. Corresponding forcing errors are about  $1 \text{ Wm}^{-2}$  for the scattering and reach  $3 \text{ Wm}^{-2}$  for the absorbing case.





The forcing sensitivity to cloudy albedo shows predominantly positive but also areas with negative sensitivities, with both effects amplified for the absorbing scenario. In particular for the absorbing scenario, negative sensitivities tend to occur over high albedo surfaces, where cloud shielding reduces the positive forcing contribution of absorbing

- <sup>5</sup> aerosol. Corresponding forcing errors are highest in regions with high cloud-fractions reaching about 1 Wm<sup>-2</sup> in the storm tracks for the scattering case. The spatial distribution of the forcing error for the absorbing case, with maxima of about 3 Wm<sup>-2</sup> in areas of low-level stratocumulus decks, confirms the importance of these cloud regimes for the forcing variability of absorbing aerosol.
- To assess the global implications we can scale our forcing standard deviation for the scattering case for the corresponding mean to match the simulated sulfate radiative forcing of -0.35 Wm<sup>-2</sup> in AeroCom Phase II (Myhre et al., 2012), which gives a diversity with standard deviation of 0.06 Wm<sup>-2</sup>. This host model diversity could explain about half of the overall sulfate forcing diversity of 0.13 Wm<sup>-2</sup> in the AeroCom Direct
   Radiative Effect experiment. In terms of RF normalised by AOD, host model effects
- introduce a diversity with RSD of 15%, which compares to an overall RF diversity for sulfate aerosol of 28% in AeroCom Direct.

From our analysis it becomes clear that host model effects have a significant spatiotemporal variability, that may not match the aerosol perturbation in question so the derived global mean diversities may not be directly comparably to AeroCom Direct. However, recalculating the simulated forcing diversity as weighted average, using the ECHAM5-HAM2 anthropogenic optical depth as weighting factor, only slightly changes the global mean RF<sup>all</sup><sub>TOA</sub> from -4.51 to -4.89 Wm<sup>-2</sup> and reduces the inter-model absolute (relative) standard deviations from 0.70 to 0.54 Wm<sup>-2</sup> (15 to 11 %).

A realistic quantification of host model uncertainty – at the trade-off of a lack of attribution to specific host model effects – is provided in the scenario with globally spatio-temporally varying spectrally resolved aerosol radiative properties. In this experiment, performed with a subset of four model configurations, annual global-mean





radiative forcing is  $-1.35 \text{ Wm}^{-2}$  clear-sky with a relative standard deviation of 12% and  $-0.84 \text{ Wm}^{-2}$  all-sky for which the relative standard deviation increases to 25%.

This compares to an annual global-mean radiative forcing of  $0.72 \text{ Wm}^{-2}$  clear-sky with a relative standard deviation of 31 % and  $0.30 \text{ Wm}^{-2}$  all-sky with a relative standard deviation of 57 % in the AeroCom Phase II direct radiative forcing experiment (Myhre et al., 2012).

The significant forcing differences between FIX1 and AeroCom Direct, despite a relatively comparable anthropogenic AOD of 0.040 and 0.031, respectively, can be understood in the context of significant differences in the AAOD of 0.0029 and 0.0016, respectively. The complex dependency of TOA radiative forcing on aerosol absorption complicates a direct comparison of these forcing results, and explains the fairly weak correspondence of RF<sup>all</sup><sub>TOA</sub> between the FIX1 and AeroCom Direct experiments: ECHAM5-HAM2, GOCART-MERRA and OsloCTM2 report –0.59, –0.90, –0.78 W<sup>-2</sup> in FIX1 and –0.15, –0.36, –0.43 W<sup>-2</sup> in AeroCom Direct.

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<sup>15</sup> Clearly, aerosol absorption tends to increase the simulated forcing diversity. This is attributable to the complex dependence of forcing on the effective surface albedo and the importance of co-location of aerosol and cloud layers. Interestingly, atmospheric absorption itself is simulated fairly consistently among models: e.g. for the scenario with prescribed SSA = 0.8 and a fixed ANG = 1.0, its relative standard deviation is only about 7 %.

To summarise the key findings of the AeroCom Prescribed intercomparison study:

 Current models (GCMs, CTMs, offline) used in aerosol radiative forcing calculations show considerable diversity in model parameters relevant for the calculation of aerosol radiative forcing. Surface albedos and cloud fraction show a global mean inter-model relative standard deviation of 11 %; regionally, the variability is significant larger.





- The effects of surface albedo and cloud properties are clearly discernible in the global patterns of radiative forcing of a globally uniform aerosol layer, in particular for absorbing aerosol.
- Significant differences in atmospheric forcings (absorption) for the purely scattering case, for which three models simulate significant enhancement of molecular absorptions, highlight the contribution of structural differences in the radiation schemes to the overal host model errors, further investigated in a companion study (Randles et al., 2012).

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- Even for identically prescribed aerosol radiative properties, the simulated clearsky and all-sky aerosol radiative forcings show significant diversity. Compared to the TOA all-sky forcing diversity for the purely scattering case with absolute (relative) standard deviation of 0.70 Wm<sup>-2</sup> (15%), the forcing diversity is considerably larger for partially absorbing aerosol, with absolute (relative) standard deviations of of 1.21 Wm<sup>-2</sup> (96%).
- However, the TOA forcing variability owing to absorption (subtracting the scattering case from the case with scattering and absorption) is low, with relative standard deviations of 9 % clear-sky and 12 % all-sky. Also the simulated atmospheric forcing (absorption) shows only small variabilities with relative standard deviations of 7 % clear-sky and all-sky.
- Aerosol radiative forcing errors owing to host model components are largest in regions of uncertain host model fields, such as the extended stratocumulus decks off the western coasts of the continents or areas with poorly constrained surface albedos, such as deserts or sea ice covered areas.
  - Although the simulated multi-model "diversity" in aerosol direct radiative forcing estimates is often perceived as measure of the uncertainty in the representation of aerosols on global scales, the uncertainties in the actual forcing calculation for





a known global distribution of global aerosol radiative properties are significant and merit further attention.

While this study is a step forward in our understanding of uncertainties in aerosol radiative forcing estimates, the demonstrated importance of host model effects demands

<sup>5</sup> further work. For example, a more systematic evaluation of models' surface albedos may be a relatively straightforward and promising task and relevant datasets are beginning to emerge (e.g. Cescatti et al., 2012). Although a systematic independent variation or even prescription of surface albedos and cloud properties is a common suggestion, their actual implementation in GCMs is not trivial and raises consistency issues as they will inevitably introduce unphysical radiation imbalances.

The significant difference in the diversity of the normalised atmospheric forcing ( $RF_{atm}^{all}$ /AAOD), between this experiment with prescribed radiative properties (RSD = 7%) as compared to the AeroCom Direct Radiative Effect experiment (RSD = 31%) (Myhre et al., 2012), is an interesting finding. The analysis from the AeroCom Direct experiment highlights the importance of the uncertain spectral depen-

dence of absorbing aerosol that could explain these differences and should be further explored.

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Ultimately, only continued careful evaluation and consistent improvement of the physics underlying the used host models will allow us to reduce uncertainties in aerosol radiative forcing estimates themselves.

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**Table 1.** Setup of the AeroCom Prescribed simulations. All parameters in FIX0, FIX2, FIX3 are assumed globally and seasonally invariant. Experiment names have historical order but are retained for consistency.

Name	Parameters	Comment
FIX0	AOD = 0.0 SSA = n/a ANG = n/a ASY = n/a	Specified at 550 nm
FIX2	AOD = 0.2 SSA = 1.0 ANG = 1.0 ASY=0.7	Linear by height over lowest 2 km Purely scattering case Used for spectral dependence of AOD at other solar wavelengths $\lambda$ Solar-spectrally invariant forward scattering
FIX3	AOD = 0.2 SSA = 0.8 ANG = 1.0 ASY=0.7	Linear by height over lowest 2 km Absorbing case Used for spectral dependence of AOD at other solar wavelengths $\lambda$ Solar-spectrally invariant forward scattering
FIX1	$AOD_{PD} = 0.132$ $SSA_{PD} = 0.963$ $AOD_{PI} = 0.092$ $SSA_{PI} = 0.978$	Present-day (PD) at 545 nm AOD weighted mean Pre-industrial (PI) AOD weighted mean Monthly 3D distribution early release of Kinne et al. (2012)





**Table 2.** Name, version, model type, radiation scheme, short-wave spectral resolution, spatial resolution and references for participating models. Global annual-means of the key host model components: broad-band short-wave surface albedo, broad-band short-wave TOA albedo and cloud fraction for the unperturbed FIXO scenario. See Myhre et al. (2012) for more details on the model configurations.

Name	Version	Туре	Radiation Scheme	Spectral Resolution	Spatial Resolution	References	Surface Albedo	TOA Albedo	Cloud Fraction
CAM-PNNL	CAM5.1	GCM	RRTMG (lacono et al., 2008)	14 SW bands	1.8° × 2.5° 30 Levels	Ghan et al. (2012)	0.155	0.332	0.63
ECHAM5-HAM2	ECHAM5.5- HAM2.0	GCM	Two-stream delta-Eddington (Cagnazzo et al., 2007)	6 SW bands	1.8° × 1.8° 31 Levels	Stier et al. (2005) Zhang et al. (2012)	0.161	0.344	0.63
GOCART-GEOS4	GEOS4	СТМ	Two-stream delta-Eddington (Chou et al., 1998)	11 SW bands	2.5° × 2° 42 Levels	Chin et al. (2002)	0.164	0.242	0.49
GOCART-MERRA	MERRA	СТМ	Two-stream delta-Eddington (Chou et al., 1998)	11 SW bands	2.5° × 2° 72 Levels	Chin et al. (2002)	0.150	0.342	0.60
LMDZ	LMDZ4	GCM	Two-stream delta-Eddington (Morcrette, 1991)	2 SW bands	3.75° × 1.89° 19 Levels	Hourdin et al. (2006)	0.160	0.324	0.48
LMDZ-39L	LMDZ-5B	GCM	Two-stream delta-Eddington (Morcrette, 1991)	2 SW bands	3.75° × 1.89° 39 Levels	Hourdin et al. (2012)	0.159	0.332	0.61
MPI-2stream	MPI-2stream	Offline	Two-stream delta-Eddington (Meador and Weaver, 1980)	8 SW bands	1° × 1° 20 Levels	(Kinne et al., 2012)	0.203	0.365	0.67
IMPACT	IMPACT	СТМ	UMICH based on Collins et al. (2006)	19 SW bands	2.5° × 2° 26 Levels	Liu et al. (2005) Wang and Penner (2009)	0.154	0.340	0.60
OsloCTM2	OsloCTM2	СТМ	Eight-stream discrete-ordinate DISORT (Stamnes et al., 1988)	4 SW bands	2.8° × 2.8° 60 Levels	Myhre et al. (2009)	0.137	0.320	0.63
Mean StdDev RelStdDev							0.160 0.018 11 %	0.327 0.034 11 %	0.59 0.07 11 %





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Table 3. Summary of annual-global mean radiative forcings for the FIX0, FIX2, FIX3 and the FIX1 intercomparison experiments.

	Model	$RF^{all}_{TOA}$	$RF^{clr}_{TOA}$	RF <sup>all</sup> _TOA	$RF^{all}_{atm}$	$RF_{atm}^{clr}$	RF <sup>all</sup> /AAOD
	Units	$(W m^{-2})$	$(Wm^{-2})$	(Wm <sup>-2</sup> )	$(W m^{-2})$	$(Wm^{-2})$	$(W m^{-2})$
	CAM5.1-PNNL	-4.46	-7.16	-22.30	0.11	0.32	
_	ECHAM5-HAM2	-4.31	-7.21	-21.55	0.12	0.11	
×	GOCART-GEOS4	-5.97	-7.22	-29.85	0.08	0.16	
Ē	GOCART-MERRA	-4.58	-7.45	-22.90	0.08	0.13	
X	LMDZ	-4.12	-6.49	-20.60	0.57	0.95	
Ē	LMDZ-39L	-3.86	-6.58	-19.30	0.46	0.98	
:6u	MPI-2stream	-3.63	-6.17	-18.15	0.01	0.00	
eri	IMPACT	-4.54	-7.43	-22.70	0.15	0.28	
ätt	OsloCTM2	-5.12	-8.75	-25.60	0.20	0.46	
Š	Mean	-4.51	-7.16	-22.55	0.20	0.38	
	StdDev	0.70	0.75	3.49	0.19	0.36	
	RelStdDev	15%	10%	15%	96 %	95 %	
Absorption: X0	CAM5.1-PNNL	1.06	-1.96	5.30	13.86	15.39	347
	ECHAM5-HAM2	0.66	-1.71	3.30	13.16	15.84	329
	GOCART-GEOS4	-0.80	-2.08	-4.00	14.28	15.03	357
	GOCART-MERRA	0.32	-2.60	1.60	12.81	14.82	320
	LMDZ	2.50	-0.24	12.50	15.95	17.92	399
글뜨	LMDZ-39L	2.74	-0.46	13.70	15.78	17.72	395
an X3	MPI-2stream	2.78	-0.34	13.90	14.48	15.53	362
attering FI)	IMPACT	1.22	-2.08	6.10	13.86	15.69	347
	OsloCTM2	0.88	-2.43	4.40	15.48	18.27	387
	Mean	1.26	-1.54	6.31	14.41	16.25	360
Š	StdDev	1.21	0.94	6.05	1.13	1.34	28
	RelStdDev	96 %	61 %	96 %	8%	8%	8%



Table	3.	Continued.
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	Model	$RF^{all}_{TOA}$	$RF^{clr}_{TOA}$	$RF^{all}_{TOA}/AOD$	$RF^{all}_{atm}$	$RF_{atm}^{clr}$	$RF_{atm}^{all}/AAOD$
	Units	$(W m^{-2})$	$(Wm^{-2})$	$(Wm^{-2})$	$(W m^{-2})$	$(Wm^{-2})$	$(W m^{-2})$
	CAM5.1-PNNL	5.52	5.20	27.60	13.75	15.07	344
<b>0</b> 1	ECHAM5-HAM2	4.97	5.50	24.85	13.04	15.73	326
X	GOCART-GEOS4	5.17	5.15	25.85	14.20	14.86	355
Ē	GOCART-MERRA	4.89	4.85	24.45	12.74	14.68	319
X3	LMDZ	6.62	6.25	33.10	15.38	16.97	385
Ē	LMDZ-39L	6.60	6.12	33.00	15.31	16.74	383
No	MPI-2stream	6.41	5.83	32.05	14.47	15.53	362
pti	IMPACT	5.75	5.35	28.75	13.70	15.41	343
sol	OsloCTM2	6.00	6.32	30.00	15.27	17.81	382
Ab	Mean	5.77	5.62	28.85	14.21	15.87	355
	StdDev	0.68	0.53	3.41	0.99	1.07	25
	RelStdDev	12%	9%	12%	7%	7%	7%
	ECHAM5-HAM2	-0.59	-1.11	-14.05	1.31	1.46	655
lealistic Case FIX1	GOCART-GEOS4	-1.09	-1.38	-25.95	1.36	1.39	680
	GOCART-MERRA	-0.91	-1.46	-21.67	1.23	1.37	615
	OsloCTM2	-0.78	-1.47	-18.57	1.49	1.66	745
	Mean	-0.84	-1.36	-20.06	1.35	1.47	674
	StdDev	0.21	0.17	5.02	0.11	0.13	55
<u>ц</u>	RelStdDev	25 %	12%	25 %	8%	9%	8%

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Fig. 1. Schematic of the steps involved in aerosol radiative forcing calculations from models and satellite observations, separating aerosol and host model processes.



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#### CAM5.1-PNNL Cloud Fraction: 0.63



0.3 0.4 0.5 0.6 0.7 0.8 0.1 GOCART-MERRA Cloud Fraction: 0.60



0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0 0.2 MPI-2stream Cloud Fraction: 0.67



ECHAM5-HAM2 Cloud Fraction: 0.63



0.4 0.5 0.6 0.7 0.8 03 LMDZ Cloud Fraction: 0.48



0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0 IMPACT Cloud Fraction: 0.60



0.7



0.4 0.5 0.6 0.7 0.8 03 02 LMDZ-39L Cloud Fraction: 0.61



OsloCTM2 Cloud Fraction: 0.63



Fig. 2. Annual mean cloud fractions for experiment FIX0 (AOD = 0.0).



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#### CAM5.1-PNNL Surface Albedo: 0.155



0.3 0.4 0.5 0.6 0.7 0.8 0.9 1.0 GOCART-MERRA Surface Albedo: 0.150



ECHAM5-HAM2 Surface Albedo: 0.161

0.5

LMDZ Surface Albedo: 0.160

0.6 0.7 0.8 0.9 1.0

25 M

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Fig. 3. Annual mean broadband short-wave surface albedos for experiment FIX0 (AOD = 0.0).

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0.8 0.9 1.0

GOCART-GEOS4 Surface Albedo: 0.164

LMDZ-39L Surface Albedo: 0.159

0.4 0.5 0.6 0.7 0.8 0.9 1.0

and the second

0.3





Fig. 4. Annual mean broadband short-wave top-of-atmosphere albedos for experiment FIX0 (AOD = 0.0).



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GOCART-GEOS4 RF Clear-Sky -7.22 Wm<sup>-2</sup>

-7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 152

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CAM5.1-PNNL RF Clear-Sky -7.16 Wm<sup>-2</sup>



9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 152 GOCART-MERRA RF Clear-Sky -7.45 Wm<sup>-2</sup>



ECHAM5-HAM2 RF Clear-Sky -7.21 Wm<sup>-2</sup>

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Fig. 5. Annual mean short-wave clear-sky top-of-atmosphere radiative forcing (RF) between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 1.0 and ANG = 1.0 constant (FIX2-FIX0).







3-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 152 GOCART-MERRA RF All-Sky -4.58 Wm<sup>-2</sup>

15-10-9-8-7-6-5-4-3-2-10123456789101520

SSA = 1.0 and ANG = 1.0 constant (FIX2-FIX0).



CAM5.1-PNNL RF All-Sky -4.46 Wm<sup>-2</sup>



ECHAM5-HAM2 RF All-Sky -4.31 Wm<sup>-2</sup>



6 5 4 3 2 1 0 1 2 3 4 5 6 7 8 9 10 15

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Fig. 6. Annual mean short-wave all-sky top-of-atmosphere radiative forcing (RF) between ex-

periments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding

#### CAM5.1-PNNL RF<sub>atm</sub> Clear-Sky 0.32 Wm<sup>-2</sup>



-3.00 -2.50 -2.00 -1.50 -1.00 -0.50 0.00 0.50 1.00 1.50 2.00 2.50 3.00 GOCART-MERRA RF<sub>atm</sub> Clear-Sky 0.13 Wm<sup>-2</sup>



MPI-2stream RF<sub>atm</sub> Clear-Sky -0.00 Wm<sup>-2</sup>



ECHAM5-HAM2 RF<sub>atm</sub> Clear-Sky 0.11 Wm<sup>-2</sup>



-2.50-2.00-1.50-1.00-0.50 0.00 0.50 1.00 1.50 2.00 2.50 3.00 LMDZ RF<sub>atm</sub> Clear-Sky 0.95 Wm<sup>-2</sup>



0 -2.50 -2.00 -1.50 -1.00 -0.50 0.00 0.50 1.00 1.50 2.00 2.50 3.00





0 -2.50 -2.00 -1.50 -1.00 -0.50 0.00 0.50 1.00 1.50 2.00 2.50 3. LMDZ-39L RF<sub>atm</sub> Clear-Sky 0.98 Wm<sup>-2</sup>



00 -2:50 -2:00 -1.50 -1.00 -0:50 0.00 0.50 1.00 1.50 2.00 2.50 3.00 OsloCTM2 RF<sub>atm</sub> Clear-Sky 0.46 Wm<sup>-2</sup>



**Fig. 7.** Annual mean short-wave clear-sky atmospheric radiative forcing (absorption) between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 1.0 and ANG = 1.0 constant (FIX2-FIX0).





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GOCART-GEOS4 RF Clear-Sky -2.08 Wm<sup>-2</sup>

-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10152 LMDZ-39L RF Clear-Sky -0.46 Wm<sup>-2</sup>

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CAM5.1-PNNL RF Clear-Sky -1.96 Wm<sup>-2</sup>



201510-9-8-7-6-5-4-3-2-1 0 1 2 3 4 5 6 7 8 9 101520 GOCART-MERRA RF Clear-Sky -2.60 Wm<sup>-2</sup>



ECHAM5-HAM2 RF Clear-Sky -1.71 Wm<sup>-2</sup>

6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 152

LMDZ RF Clear-Sky -0.24 Wm<sup>-2</sup>

**Fig. 8.** Annual mean short-wave clear-sky top-of-atmosphere radiative forcing between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 0.8 and ANG = 1.0 constant (FIX3-FIX0).





ECHAM5-HAM2 RF All-Sky 0.66 Wm<sup>-2</sup>

-6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

LMDZ RF All-Sky 2.50 Wm<sup>-2</sup>

20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

IMPACT RF All-Sky 1.22 Wm<sup>-2</sup>

20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

Fig. 9. Annual mean short-wave all-sky top-of-atmosphere radiative forcing between exper-

iments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding

GOCART-GEOS4 RF All-Sky -0.80 Wm<sup>-2</sup>

-6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

LMDZ-39L RF All-Sky 2.74 Wm<sup>-2</sup>

20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

OsloCTM2 RF All-Sky 0.88 Wm<sup>-2</sup>

-6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

CAM5.1-PNNL RF All-Sky 1.06 Wm<sup>-2</sup>

-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

GOCART-MERRA RF All-Sky 0.32 Wm<sup>-2</sup>

15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

MPI-2stream RF All-Sky 2.78 Wm<sup>-2</sup>

20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20

SSA = 0.8 and ANG = 1.0 constant (FIX3-FIX0).





CAM5.1-PNNL RF<sub>atm</sub> Clear-Sky 15.39 Wm<sup>-2</sup>



GOCART-MERRA RF<sub>atm</sub> Clear-Sky 14.82 Wm<sup>-2</sup>



Fig. 10. Annual mean short-wave clear-sky atmospheric radiative forcing (absorption) between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 0.8 and ANG = 1.0 constant (FIX3-FIX0).

ECHAM5-HAM2 RF<sub>atm</sub> Clear-Sky 15.84 Wm<sup>-2</sup>

LMDZ RF<sub>atm</sub> Clear-Sky 17.92 Wm<sup>-2</sup>

GOCART-GEOS4 RF<sub>atm</sub> Clear-Sky 15.03 Wm<sup>-2</sup>

LMDZ-39L RF<sub>atm</sub> Clear-Sky 17.72 Wm

8 10 12 14 16 18 20 22 24 26 28

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12 14 16 18 20 22 16 18 20 GOCART-MERRA RF<sub>atm</sub> All-Sky 12.81 Wm<sup>-2</sup> LMDZ RF<sub>atm</sub> All-Sky 15.95 Wm<sup>-2</sup> LMDZ-39L RF<sub>atm</sub> All-Sky 15.78 Wm<sup>-2</sup> 6 8 10 12 14 16 18 20 22 24 26 28 10 12 14 16 18 20 22 24 26 28 10 12 14 16 18 20 22 24 26 28 MPI-2stream RF<sub>atm</sub> All-Sky 14.48 Wm<sup>-2</sup> IMPACT RF<sub>atm</sub> All-Sky 13.86 Wm<sup>-2</sup> OsloCTM2 RF<sub>atm</sub> All-Sky 15.48 Wm<sup>-2</sup>

CAM5.1-PNNL RF<sub>atm</sub> All-Sky 13.86 Wm<sup>-2</sup>

Fig. 11. Annual mean short-wave all-sky atmospheric radiative forcing (absorption) between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 0.8 and ANG = 1.0 constant (FIX3-FIX0).

ECHAM5-HAM2 RF<sub>atm</sub> All-Sky 13.16 Wm<sup>-2</sup>

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GOCART-GEOS4 RF<sub>atm</sub> All-Sky 14.28 Wm<sup>-2</sup>





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AOD = 0.2 distributed over the lowest two kilometers between experiments with SSA = 0.8 and SSA = 1.0 with holding ANG = 1.0 constant (FIX3-FIX2).

Fig. 12. Annual mean short-wave clear-sky top-of-atmosphere radiative forcing (RF) with

15-10-9-8-7-6-5-4-3-2-1012345678910152 20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 152 20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20 MPI-2stream RF Clear-Sky 5.83 Wm<sup>-2</sup> IMPACT RF Clear-Sky 5.35 Wm<sup>-2</sup> OsloCTM2 RF Clear-Sky 6.32 Wm<sup>-2</sup> -20-15-10-9-8-7-6-5-4-3-2-10123456789101520 -20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20 2015-10-9-8-7-6-5-4-3-2-10123456789101520



GOCART-MERRA RF Clear-Sky 4.85 Wm<sup>-2</sup>



CAM5.1-PNNL RF Clear-Sky 5.20 Wm<sup>-2</sup>



LMDZ RF Clear-Sky 6.25 Wm<sup>-2</sup>

-6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20



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-6-5-4-3-2-1012345678910152 LMDZ-39L RF Clear-Sky 6.12 Wm<sup>-2</sup>









Fig. 13. Annual mean short-wave all-sky top-of-atmosphere radiative forcing (RF) with AOD = 0.2 distributed over the lowest two kilometers between experiments with SSA = 0.8 and SSA = 1.0 with holding ANG = 1.0 constant (FIX3-FIX2).

3-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20 20-15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 15 20 15-10-9 -8 -7 -6 -5 -4 -3 -2 -1 0 1 2 3 4 5 6 7 8 9 10 152





CAM5.1-PNNL RF All-Sky 5.52 Wm<sup>-2</sup>



ECHAM5-HAM2 RF All-Sky 4.97 Wm<sup>-2</sup>



GOCART-GEOS4 RF All-Sky 5.17 Wm<sup>-2</sup>





CAM5.1-PNNL RF<sub>atm</sub> Clear-Sky 15.07 Wm<sup>-2</sup>





ECHAM5-HAM2 RF<sub>atm</sub> Clear-Sky 15.73 Wm<sup>-2</sup>

Fig. 14. Annual mean short-wave clear-sky atmospheric radiative forcing (absorption) between experiments with SSA = 0.8 and SSA = 1.0 with holding ANG = 1.0 constant (FIX3-FIX2).

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GOCART-MERRA RF<sub>atm</sub> All-Sky 12.74 Wm<sup>-2</sup>



Fig. 15. Annual mean short-wave all-sky atmospheric radiative forcing (absorption) between experiments with SSA = 0.8 and SSA = 1.0 with holding ANG = 1.0 constant (FIX3-FIX2).

ECHAM5-HAM2 RF<sub>atm</sub> All-Sky 13.04 Wm<sup>-2</sup>

GOCART-GEOS4 RF<sub>atm</sub> All-Sky 14.20 Wm<sup>-2</sup>



**Fig. 16.** Annual global-mean short-wave clear-sky and all-sky top-of-atmosphere, surface and atmospheric radiative forcing (absorption) between (a) experiments with AOD = 0.2 and AOD = 0.0 for SSA = 1.0, (b) experiments with AOD = 0.2 and AOD = 0.0 for SSA = 0.8 and (c) experiments with AOD = 0.2 for SSA = 0.8 and SSA = 1.0.







**Fig. 17.** Annual multi-model mean and standard deviation difference between all-sky and clearsky top-of-atmosphere radiative forcing between experiments FIX2 and FIX0 with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 1.0 and ANG = 1.0 constant. Model fields have been remapped to a resolution of  $1.875^{\circ} \times 1.875^{\circ}$ .







**Fig. 18.** Annual multi-model mean and standard deviation difference between all-sky and clearsky top-of-atmosphere radiative forcing between experiments FIX3 and FIX0 with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 0.8 and ANG = 1.0 constant. Model fields have been remapped to a resolution of  $1.875^{\circ} \times 1.875^{\circ}$ .







**Fig. 19.** Decomposition of host model errors on aerosol radiative forcing. Perturbation: host model variability expressed as annual-mean inter-model standard deviation of surface and cloudy albedo (defined as here as  $A_{cld} = (F_{all}^{\dagger} - F_{clr}^{\dagger})/F_{all}^{\downarrow})$ . Sensitivity of all-sky TOA radiative forcing expressed as annual mean linear regression of TOA all-sky radiative forcing with surface- and cloudy-albedo across the models. Forcing error expressed as sensitivity × perturbation. Analysis performed for the scattering (FIX2-FIX0) and scattering and absorbing (FIX3-FIX0) case. Dark shading indicates that the sign of the regression slope may change with one model removed; light shading that the maximum variation due to removal of a single model is less than 30 %. White mask indicates grid-points for which total albedo variation across all models is less than 0.05. Analysis performed for models CAM-PNNL, GOCART-GEOS, GOCART-MERRA, LMDZ, LMDZ-39L, IMPACT, OSIOCTM2, remapped to a resolution of 1.875° × 1.875°.







**Fig. 20.** Annual-mean prescribed anthropogenic aerosol optical depth (AOD) and absorption aerosol optical depth (AAOD) at 545 nm as used in the FIX1 experiment.

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**Fig. 21.** Annual mean short-wave clear-sky top-of-atmosphere radiative forcing (RF) between present day and pre-industrial experiments with identical aerosol radiative properties based on an early release of Kinne et al. (2012). Note that ECHAM5-HAM2 reports adjusted forcing.





**Fig. 22.** Annual mean short-wave all-sky top-of-atmosphere radiative forcing (RF) between present day and pre-industrial experiments with identical aerosol radiative properties based on an early release of Kinne et al. (2012). Note that ECHAM5-HAM2 reports adjusted forcing.







Fig. A1. Annual zonal-mean cloud fractions for experiment FIX0 (AOD = 0.0).







**Fig. A2.** Annual zonal-mean broadband short-wave surface and top-of-atmosphere albedos for experiment FIX0 (AOD = 0.0, SSA = 0.8, ANG = 1.0).







**Fig. A3.** Annual zonal-mean short-wave clear-sky and all-sky top-of-atmosphere radiative forcing between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 1.0 and ANG = 1.0 constant.





**Fig. A4.** Annual zonal-mean short-wave all-sky atmospheric radiative forcing (absorption) between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 1.0 and ANG = 1.0 constant (FIX2-FIX0).





**Fig. A5.** Annual zonal-mean short-wave clear-sky and all-sky top-of-atmosphere radiative forcing between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 0.8 and ANG = 1.0 constant.







**Fig. A6.** Annual zonal-mean short-wave all-sky atmospheric radiative forcing (absorption) between experiments with AOD = 0.2 and AOD = 0.0 distributed over the lowest two kilometers, holding SSA = 0.8 and ANG = 1.0 constant (FIX3-FIX0).













**Fig. A8.** Annual zonal-mean short-wave all-sky atmospheric radiative forcing (absorption) between experiments with SSA = 0.8 and SSA = 1.0 with holding ANG = 1.0 constant (FIX3-FIX2).







**Fig. A9.** Annual zonal-mean short-wave difference between all-sky and clear-sky topof-atmosphere radiative forcing between experiments with AOD = 0.2 and AOD = 0.0 and **(a)** SSA = 1.0, **(b)** SSA = 0.8 distributed over the lowest two kilometers, holding ANG = 1.0 constant.



