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The Atmospheric Chemistry and Canopy Exchange Simulation System (ACCESS): model description and application to a temperate deciduous forest canopy

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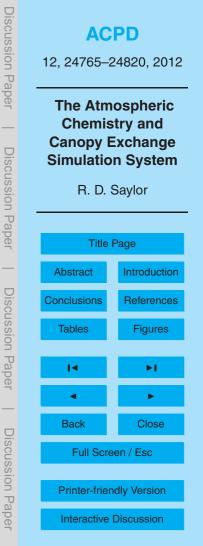
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Abstract

Forest canopies are primary emission sources of biogenic volatile organic compounds (BVOCs) and have the potential to significantly influence the formation and distribution of secondary organic aerosol (SOA) mass. Biogenically-derived SOA formed as a re-

- ⁵ sult of emissions from the widespread forests across the globe may affect air quality in populated areas, degrade atmospheric visibility, and affect climate through direct and indirect forcings. In an effort to better understand the formation of SOA mass from forest emissions, a 1-D column model of the physical and chemical processes occurring within and just above a vegetative canopy has been created. This model,
- the Atmospheric Chemistry and Canopy Exchange Simulation System (ACCESS), includes processes accounting for the emission of BVOCs from the canopy, turbulent vertical transport within and above the canopy and throughout the height of the planetary boundary layer (PBL), near-explicit representation of chemical transformations, mixing with the background atmosphere and bi-directional exchange between the at-
- ¹⁵ mosphere and canopy and the atmosphere and forest floor. The model formulation of ACCESS is described in detail and results are presented for an initial application of the modeling system to Walker Branch Watershed, an isoprene-emission-dominated forest canopy in the Southeastern United States which has been the focal point for previous chemical and micrometeorological studies. Model results of isoprene profiles and fluxes
- are found to be consistent with previous measurements made at the simulated site and with other measurements made in and above mixed deciduous forests in the Southeastern United States. Sensitivity experiments exploring how canopy concentrations and fluxes of gas-phase precursors of SOA are affected by background anthropogenic nitrogen oxides suggest potentially significant non-linearities in the chemical and phys-
- ical system of the canopy which may have an impact on the relative magnitude of SOA formed through aqueous- versus gas-phase pathways as a function of anthropogenic influence.

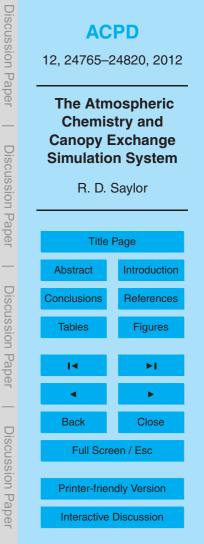




1 Introduction

Forests are a critical component of our planet's global ecosystem, occupying a little more than 30% of total land area (Potter, 1999), comprising 50–60% of total carbon biomass (Malhi et al., 2002), accounting for 40% of the total solar energy captured by green plants (Perry et al., 2008), and generating 50% of total terrestrial photosynthesis

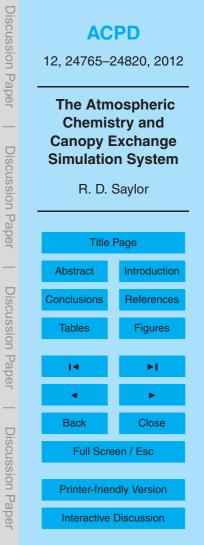
- ⁵ green plants (Perry et al., 2008), and generating 50 % of total terrestrial photosynthesis (Malhi et al., 2002). The dynamic, bi-directional exchange of trace chemical species between forests and the atmosphere has important impacts on both the forest ecosystem and atmospheric composition, with potentially profound consequences on air quality, climate and global ecosystem functioning. In particular, forests are a dominant source
- of volatile organic compound (VOC) emissions into the earth's atmosphere. Of the total non-methane VOC emissions of 1300 Tg C yr⁻¹, close to 90% is thought to originate from biogenic sources (Goldstein and Galbally, 2007) and forests account for 90% of all global biogenic emissions (Naik et al., 2004). As a result, by themselves, global forests account for nearly 80% of all non-methane VOC emissions. As the dominant
- ¹⁵ source of biogenic VOC (BVOC) emissions, forests thus have a substantial impact on local, regional and global atmospheric chemistry, since BVOCs play key roles in the formation of both ozone (O_3) and secondary organic aerosol (SOA) (Fuentes et al., 2000) and secondary roles in the global carbon cycle (Goldstein and Galbally, 2007) and the oxidizing power of the global atmosphere (Poisson et al., 2000).
- Recognizing the vital role that forests play in atmospheric chemistry, several intensive field measurement campaigns have been undertaken to better understand the chemical and physical processes that contribute to and control the exchange of trace species between forest canopies and the atmosphere. As examples of field campaigns conducted in temperate North American forests, the PROPHET (Carroll et al., 2001;
- ²⁵ Tan et al., 2001), CELTIC (Karl et al., 2005), BEARPEX (Wolfe et al., 2011a), and CABINEX (Kim et al., 2011; Steiner et al., 2011; Bryan et al., 2012) campaigns have all provided a wealth of new information about forest canopy chemistry and exchange, but have also raised many new questions (see Bryan et al., 2012 for a concise summary).





After the initial realization that BVOCs can have a major influence on the formation of O_3 in rural and urban environments (Trainer et al., 1987; Chameides, et al., 1988), Gao et al. (1993) constructed a coupled chemistry (69 reactions), first-order-closure turbulent diffusion one-dimensional (1-D) column model of an idealized deciduous for-

- $_{5}$ est canopy to investigate vertical profiles and fluxes of O₃, isoprene and other chemical constituents. Gao and Wesely (1994) extended Gao et al.'s (1993) model by using a second-order closure approximation for vertical turbulent diffusion and found that vertical fluxes of isoprene and O₃ were not greatly influenced by the enhanced treatment of turbulence. Doskey and Gao (1999) used the original model of Gao et al. (1993) to
- ¹⁰ analyze vertical profiles of isoprene, methanol and O₃ measured above the Harvard Forest in Petersham, Massachusetts, during July 1996. Their results exhibited only a minor impact of chemistry on isoprene fluxes near canopy top and that vertical fluxes decreased rapidly with height, resulting in little isoprene escaping from the planetary boundary layer (PBL) into the free troposphere.
- Makar et al. (1999) developed a 1-D canopy chemistry column model to study the chemical processing of BVOCs within and above a temperate deciduous forest near Borden, Ontario, Canada. Employing a chemical mechanism with a more extensive description of isoprene and monoterpene oxidation reactions than the Gao et al. (1993) model (a total of 268 reactions of 79 species), they simulated vertical profiles, fluxes
- ²⁰ and process budgets of isoprene, α -pinene, O_3 , HO_x, organic peroxy radicals and other BVOC oxidation products. In contrast to Doskey and Gao (1999), they concluded that neglect of isoprene chemical losses within and just above the canopy could result in substantial overestimation of isoprene fluxes from the Borden forest, while the amount of O₃ produced was minimal at the site because of low NO_x levels. Stroud et al. (2005)
- enhanced the model of Makar et al. (1999) (including sesquiterpene emissions and chemistry; enhanced treatment of radiative fluxes) and applied it to the analysis of measurements made in a Loblolly pine plantation at Duke Forest, North Carolina, as part of the CELTIC field program in July 2003. In contrast to Makar et al. (1999), they found that a relatively small amount of isoprene reacted chemically within and just





above the canopy. For the sesquiterpene, β -caryophyllene, they estimated that 70% reacted before transport to the free troposphere with little sensitivity in that value with respect to background anthropogenic pollution levels.

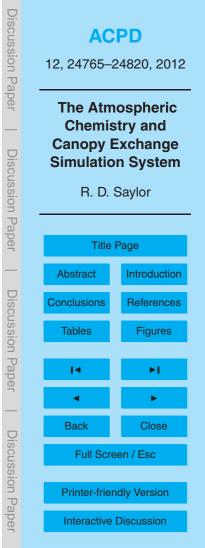
Stockwell and Forkel (2002) and Forkel et al. (2006) applied the Canopy Atmospheric CHemistry Emission (CACHE) model to a Norway spruce forest at Waldstein, Germany, and simulated 1-D profiles of temperature and water vapor in addition to gas-phase chemistry of trace species within and above the forest canopy. Gas-phase chemistry was simulated with the Regional Atmospheric Chemistry Mechanism (RACM) of Stockwell et al. (1997) and simulations were used to analyze data collected at the Waldstein

- ¹⁰ site during the BEWA2000 field campaign (Klemm et al., 2006). Forkel et al. (2006) estimated with CACHE that chemical reactions reduced BVOC above canopy fluxes by 10–15% compared to simulations with no chemistry and that maximum O_3 values were enhanced by 15–20% due to BVOC emissions. Bryan et al. (2012) applied the CACHE model to measurements made in the CABINEX 2009 field measurement study
- at the University of Michigan Biological Station PROPHET site (Schmid et al., 2003) and found that uncertainties in vertical mixing parameterizations are critical components in interpreting observations from a canopy chemistry field measurement study. Mogensen et al. (2011) used a 1-D column model (Boy et al., 2011) to analyze mea-

surements from the SMEAR II measurement site (Kulmala et al., 2001) in Southern Fin-

- ²⁰ land in a boreal forest. The model (referred to as SOSA) simulated the evolution of momentum, heat and moisture in the PBL and included chemistry derived from the Master Chemical Mechanism (MCM) (including 2140 reactions of 761 species representing chemistry of isoprene, monoterpenes and other BVOCs). Mogensen et al. (2011) found that the model under predicted measured sinks of hydroxyl radical (OH) above
- ²⁵ the forest and suggested that the missing reactivity was due to unknown BVOCs or uncertainties in the modeled mechanism.

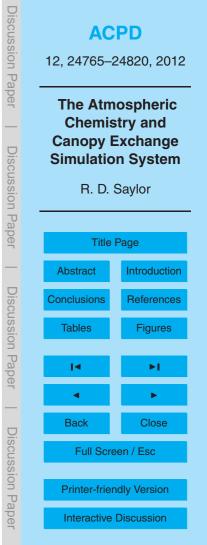
Wolfe and Thornton (2011) and Wolfe et al. (2011a,b) used the Chemistry of Atmosphere-Forest Exchange (CAFE) model to analyze measurements made during the 2007 BEARPEX field campaign. CAFE is a 1-D vertically resolved chemical





transport model that was designed to simulate a young Pondersa pine canopy located in the Sierra Nevada Mountains near the University of California's Blodgett Forest Research Station (Goldstein et al., 2000). CAFE utilizes a subset of the MCM v3.1 chemical mechanism including reactions for isoprene, monoterpenes, sesquiterpenes and other BVOCs, but no onterpenes (a tatal of 2005 re-

- other BVOCs, but no anthropogenic VOCs other than propanal (a total of 2085 reactions of 632 species). In their comparison of BEARPEX 2007 measurements with model results, Wolfe et al. (2011b) noted in particular that the model significantly underestimates OH concentrations and requires an unknown enhanced radical recycling mechanism to correct this under prediction.
- It is clear from the preceding very brief review of prior studies of forest canopy chemistry and exchange that there is still much to be understood about this very important topic. Moreover, most previous investigations have focused on gas-phase chemical processes and the effect of BVOC emissions from forest canopies on O_3 and PBL fluxes of isoprene and other primary BVOCs. Now that it is becoming clearer that
- ¹⁵ isoprene, in addition to terpenes, contributes to SOA (Carlton et al., 2009) and that aqueous- or wet-aerosol-phase chemical processing may contribute to total SOA concentrations (Lim et al., 2010; Hallquist et al., 2009), multiphase modeling and measurement studies of forest-atmosphere exchange are needed to analyze the importance of these SOA formation pathways. To arrive at a better scientific understanding of the
- ²⁰ complex chemical and physical processes of forest-atmosphere exchange and provide a platform for robust analysis of future field measurements of these processes, a process-level, multiphase model of the atmospheric chemistry and physics of forest canopies is being developed. This model, the Atmospheric Chemistry and Canopy Exchange Simulation System (ACCESS) will be used to investigate various aspects of
- forest-atmosphere exchange and chemistry including gas- and aerosol phases. In the work reported here, a preliminary gas-phase-only version of the model is described and results from an initial application to a mixed deciduous forest in the Southeastern USA (SE USA) is presented. The model is described in Sect. 2, its application to an isoprene-emission-dominated mixed deciduous forest is described in Sect. 3, results





are presented and discussed in Sect. 4, and conclusions and future model development and applications are described in Sect. 5.

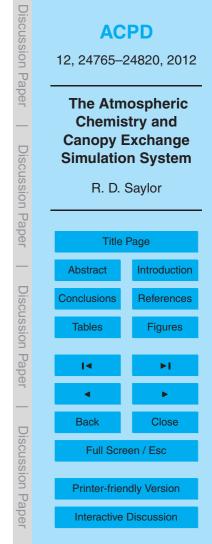
2 Model description

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- ACCESS is a general column modeling system that can be used to simulate one-dimensional multiphase atmospheric chemistry, vertical turbulent transport and biosphere-atmosphere exchange processes from the earth's surface to the top of the planetary boundary layer (PBL). The model, written in Fortran 90, has been designed to be modular and flexible with individual processes included or excluded as desired via an input simulation control file and with the science content of processes and inputs easily upgradeable without modification of the basic code structure. Application of ACCESS to a particular simulation configuration (i.e. vegetative canopy, chemical species and mechanism, meteorology, background or free tropospheric concentrations,
- etc.) is accomplished via a combination of input data files and peripheral tools. In this work, ACCESS is applied to an isoprene-dominated, mixed deciduous forest canopy in
- the SE USA in an initial attempt to better understand how background anthropogenic sources may impact the production of biogenic SOA in this area. In particular, this work focuses on how the above canopy fluxes of gas-phase SOA precursor compounds may be influenced by the level of background NO_x concentrations. For this application, the governing equation of ACCESS is given by

$${}_{20} \quad \frac{\partial C_i(z,t)}{\partial t} = E_i(z,t) + A_i(z,t) + D_i(z,t) + R_i(z,t) - \frac{\partial F_i(z,t)}{\partial z}$$
(1)

where, $C_i(z,t)$ = the vertical gas-phase concentration profile of species *i*; $E_i(z,t)$ = the emission rate of species *i*; $A_i(z,t)$ = the rate of mixing of species *i* with a defined background concentration; $D_i(z,t)$ = the deposition rate of species *i*; $R_i(z,t)$ = the rate of chemical transformation of species *i*; and, $\frac{\partial F_i(z,t)}{\partial z}$ = the rate of vertical turbulent mixing of species *i*.





Parameterizations for the processes in Eq. (1) that influence the vertical profiles of gas-phase chemical species within and above a forest canopy are described in detail in Sects. 2.1–2.5.

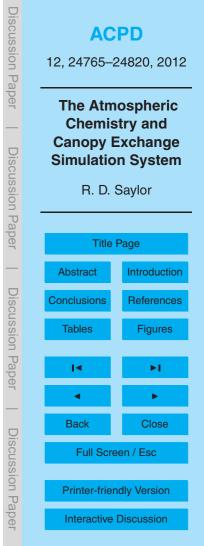
The model domain is discretized with 1 m vertical resolution within the canopy and an expanding stretched grid from the top of the canopy (h_c) to the maximum height of the PBL (h) so that the vertical grid node locations (z_k, m) are defined as

$$Z_{k} = \begin{cases} k - 1 & 1 \le k \le h_{c} \\ h_{c} + (h - h_{c}) \left[\frac{\alpha^{(k - h_{c} - 1)} - 1}{\alpha^{(N - h_{c} - 1)} - 1} \right] h_{c} < k \le N \end{cases}$$

where *k* is the grid point index beginning at the surface, *N* is the total number of grid points, and α is a parameter which determines the rate of vertical stretching of the grid and is determined separately for each domain and canopy height. For the domain used in this work, *h* = 2000 m, *h*_c = 24 m, *N* = 81, and α = 1.08182.

Integration of the ACCESS governing equation is performed using operator splitting (Strang, 1968; Ropp and Shadid, 2009), each process being integrated sequentially over a user controlled integration time step (nominally 60 s) using an appropriate

- ¹⁵ process-specific sub-time step. Emissions, deposition and background mixing are integrated with a simple explict Euler method; integration of turbulent vertical diffusion employs the implicit method of Patankar (1980) with Crank-Nicolson time discretization; and chemical transformations are integrated with the Fortran 90 version of VODE (Brown et al., 1989; http://www.radford.edu/~thompson/vodef90web/). For stiff systems
- of ordinary differential equations, VODE uses a variable coefficient backward differentiation formula (BDF) algorithm that is both highly accurate and computationally efficient. Inputs to ACCESS include meteorological variables (including temperature, pressure, humidity, mean horizontal wind speed, vertical turbulent flux data, canopy attenuation of actinic radiative flux and photosynthetic photon flux density PPFD), for-
- est canopy morphology (including the vertical profile of leaf area density, biogenic volatile organic carbon emission factors, and soil emission factors), and background trace species concentrations (including species mixed from the remote background



(2)



and concentrations of species above the PBL). Meteorological variables for a simulation can be provided from observed data, from a vertically resolved land surface model or can be generated from user defined parameterizations. Outputs from ACCESS include vertical concentration profiles, fluxes and process budgets of all simulated trace species and calculated emissions rates and deposition velocities. The model can be run in either dynamic mode or to steady-state at a prescribed fixed time or to a full steady-state diurnal cycle.

2.1 Chemistry

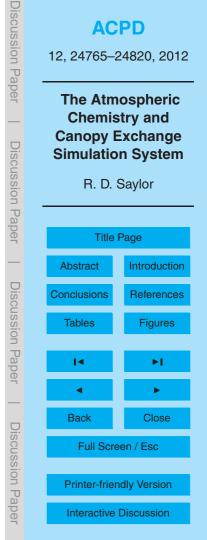
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The chemical mechanism of the model is derived as a subset of the University of Leeds Master Chemical Mechanism (MCM) v3.2 (Saunders et al., 2003) and includes 62 inorganic reactions $(NO_x-O_3-NO_y-HO_x)$ and near-explicit chemistry for methane, six biogenic hydrocarbon species (isoprene, α -pinene, β -pinene, limonene, β -caryophyllene and 2-methyl-3-buten-2-ol) (MBO) and ten anthropogenic hydrocarbon species (ethane, propane, n-butane, ethene, propene, trans-2-butene, benzene, toluene, m-xylene and o-xylene). The complete mechanism includes 6988 reactions of 2429 integrated species and four non-integrated species (O_2 , H_2O , CO_2 and H_2). The full mechanism listing is provided in the supplement to this article. ACCESS includes Python-based tools to automatically generate Fortran90 code suitable for direct insertion into the chemistry modules of the modeling system, based upon the text listing of the mechanism once it is downloaded from the University of Leeds MCM

²⁰ listing of the mechanism once it is downloaded from the University of Leeds MCM website (http://mcm.leeds.ac.uk/MCM/). Using these tools, the chemical mechanism of ACCESS can be quickly updated or modified.

Rate coefficients of the inorganic thermal reactions were updated to the recommendations of Sander et al. (2011), with the exception of the association reaction of NO_2

with OH, which was updated with the recommendation of Mollner et al. (2010). The rate coefficients of the organic thermal reactions were taken as provided in the MCM v3.2. Photolytic rate coefficients as a function of zenith angle and altitude for the 1066 photolysis reactions in the mechanism were mapped to MCM photolysis reactions from





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where, T(z) is the vertical canopy leaf temperature profile (K) (assumed to be equal to the air temperature profile in the initial version of ACCESS); R_{gas} is the ideal gas

with, $E_{\rm b}^{\rm isop}$ = the effective leaf-level isoprene emission rate (nmol m⁻² leaf s⁻¹); LAD(z) = canopy leaf area density profile (m² leaf m⁻³); and, C_f = units conversion 15 factor.

The temperature correction factor, γ_{T} , is calculated as

 $\gamma_{\rm T}(z) = \frac{\exp\left[C_{t1}(T(z) - T_{\rm s}) / (R_{\rm gas}T(z)T_{\rm s})\right]}{1 + \exp\left[C_{t2}(T(z) - T_{\rm m}) / (R_{\rm gas}T(z)T_{\rm s})\right]}$

е and light correction factors and is given by $q_{\rm b}^{\rm isop}(z) = E_{\rm b}^{\rm isop} \cdot \rm{LAD}(z) \cdot C_f$ (4)

where,
$$q^{isop}(z)$$
 is the canopy isoprene emission rate (molecules cm⁻³ s⁻¹); $q_b^{isop}(z)$

where,
$$q^{isop}(z)$$
 is the canopy isoprene emission rate (molecules cm⁻³ s⁻¹); $q_b^{isop}(z)$ is
the canopy emission rate (molecules cm⁻³ s⁻¹) prior to the application of temperature

2.2 Emissions

 $q^{\text{isop}}(z) = q_{\text{b}}^{\text{isop}}(z) \cdot \gamma_{\text{T}}(z) \cdot \gamma_{\text{L}}(z)$

For the initial application of ACCESS reported here for a temperate, broadleaf forest in the SE LISA isoprene is assumed to be the dominant BVOC emitted from the capopy the SE (Gold sis. E et al. (1993, 1995) as follows:

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constant (= 8.314 J mol⁻¹ K⁻¹); T_s = 301 K; T_m = 314 K; C_{t1} = 95000 J mol⁻¹; and C_{t2} = 230000 J mol⁻¹.

The light correction factor, γ_L , is calculated as

$$\gamma_{\rm L}(z) = \frac{\alpha_{\rm L}C_{\rm L} \ {\sf PPFD}(z)}{\sqrt{1 + \alpha_{\rm L}^2 {\sf PPFD}^2(z)}}$$

⁵ where, PPFD(*z*) is the canopy-attenuated photosynthetically active photon flux density (µmol photons m⁻² s⁻¹); $\alpha_{L} = 0.0027$; and, $C_{L} = 1.066$.

Soil NO emissions in ACCESS are based on the formulation of Wolfe and Thornton (2011) and are temperature dependent with a maximum emission rate at 30 °C,

$$E_{\rm NO} = \begin{cases} E_{\rm NO}^{\rm b} \cdot \frac{T_{\rm soil}}{30^{\circ}{\rm C}} \ T_{\rm soil} < 30^{\circ}{\rm C} \\ E_{\rm NO}^{\rm b} \ T_{\rm soil} \ge 30^{\circ}{\rm C} \end{cases}$$

and are introduced into the domain in the lowest model layer. Soil temperature is approximated as a linear function of the air temperature in the lowest model layer (Williams et al., 1992),

 $T_{\rm soil} = 0.84T_1 + 3.6^{\circ}{\rm C}$

The basal soil NO emission rate, E_{NO}^{b} , is an input variable for the simulation, but typically may range from 0 to 13 ng N m⁻² s⁻¹ (Steinkamp and Lawrence, 2011).

2.3 Deposition

Leaf level dry deposition is treated with the formulation of Meyers et al. (1998), where the species-specific dry deposition velocity, v_d , as a function of height within the canopy is computed with

²⁰
$$v_{\rm d}(z) = \frac{1}{r_{\rm s}(z) + r_{\rm b}(z) + r_{\rm m}} + \frac{2}{r_{\rm b}(z) + r_{\rm c}}$$
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(6)

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(9)



where, r_s , r_b , r_m , and r_c are the leaf stomatal, boundary layer, mesophyll and cuticular resistances (s cm⁻¹), respectively.

The stomatal resistance formulation of Zhang et al. (2002) is used,

$$r_{\rm s}(z) = \frac{r_{\rm s,min} \left(1 + b_{\rm rs}'/{\rm PPFD}(z)\right)}{f(T) \cdot f({\rm VPD}) \cdot f(\psi)} \cdot \frac{\mathcal{D}_{\rm H_2O}}{\mathcal{D}}$$
(10)

⁵ where, $r_{s,min}$ = minimum leaf stomatal resistance (s cm⁻¹); b'_{rs} = canopy specific empirical constant (µmol photons m⁻² s⁻¹); \mathcal{D}_{H_2O} = molecular diffusivity of water vapor in air (cm² s⁻¹); \mathcal{D} = molecular diffusivity of the deposited species in air (cm² s⁻¹); f(T) = temperature correction function (dimensionless); f(VPD) = water vapor pressure deficit correction function (dimensionless); and, $f(\psi)$ = water stress correction function (dimensionless).

Both $r_{s,min}$ and b'_{rs} are canopy specific parameters which are given by Wesely (1989) and Zhang et al. (2003) for a deciduous broadleaf forest as 1.0 s cm^{-1} and 196.5 µmol m⁻² s⁻¹, respectively. An empirical formula for the molecular diffusivity of water vapor in air as a function of air temperature, T (K), and pressure, p (Pa) is given by Tracy et al. (1980) as

$$\mathcal{D}_{\rm H_2O} = 0.226 \, \left(\frac{T}{273.15}\right)^{1.81} \left(\frac{10^5}{\rho}\right) \tag{11}$$

Molecular diffusivity data for specific model species in air at STP was compiled from Massman (1998) and Yaws et al. (1995) and a temperature and pressure correction similar to that used in Eq. (10) is applied.

The temperature correction function, f(T), is defined as

$$f(T) = \frac{T - T_{\min}}{T_{\text{opt}} - T_{\min}} \left[\frac{T_{\max} - T}{T_{\max} - T_{\text{opt}}} \right]^{\frac{T_{\max} - T_{\text{opt}}}{T_{\text{opt}} - T_{\min}}}$$
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(12)

with $T_{min} = 0$ °C, $T_{max} = 45$ °C, and $T_{opt} = 27$ °C. The water vapor pressure deficit correction, *f* (VPD), is defined by

$$f(VPD) = 1 - b_{vpd} VPD$$
(13)

$$VPD = e^{sat}(T) - e \tag{14}$$

where, b_{vpd} = canopy specific empirical constant (kPa⁻¹); VPD = vapor pressure deficit (kPa); $e^{sat}(T)$ = saturation water vapor pressure at air temperature T (kPa); and, e = ambient water vapor pressure (kPa).

A b_{vpd} value of 0.1 kPa⁻¹ as recommended by Wolfe and Thornton (2011) was adopted in this work. The saturation water vapor pressure is computed with the function of Wagner and Pruss (1993).

Finally, the water stress correction function, $f(\psi)$, is defined by

$$f(\psi) = \frac{\psi - \psi_{c_2}}{\psi_{c_1} - \psi_{c_2}}$$
(15)
$$\psi = -0.72 - 0.0013 F$$
(16)

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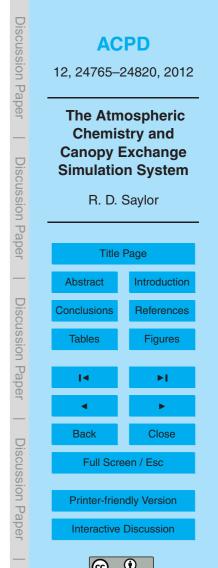
where, ψ = leaf water potential (MPa); E = solar irradiance (W m⁻²), and ψ_{c_1} and ψ_{c_2} are empirical constants with values –1.9 MPa and –2.5 MPa, respectively.

For the leaf boundary layer resistance, $r_{\rm b}$, the formulation of Wu et al. (2003) is used

20 $r_{\rm b} = 2 \frac{{\rm Sc}^{0.667}}{\kappa u^*}$

where, Sc = the Schmidt number = v_{air}/D , κ is von Karman's constant (= 0.4), and u^* is friction velocity. Using the analysis of Weber (1999), the friction velocity is approximated as

 $u^* = 0.14\bar{u}$



(17)

(18)

where \bar{u} is the mean wind speed (cm s⁻¹), so that the final expression for $r_{\rm b}$ becomes

$$r_{\rm b} = \frac{10.5}{D^{0.667}\bar{\mu}} \tag{19}$$

Wesely's (1989) formulation for the mesophyll and cuticular resistances are used

$$r_{\rm m} = \frac{1}{H^*/3000 + 100f_0}$$

5 $r_{\rm c} = \frac{r_{\rm c}^{\rm O_3}}{H^*/10^5 + f_0}$

where, H^* is the deposited species effective Henry's Law constant (Matm⁻¹), f_0 is a species dependent reactivity factor, and $r_c^{O_3}$ is the cuticular resistance for ozone (O₃), for which Wesely (1989) recommends a value of 20 s cm⁻¹ for a deciduous forest. Effective Henry's Law constants were compiled from Zhang et al. (2002), Sander et al. (2011), and Sander (2009).

With the species specific deposition velocities calculated from Eq. (8), the deposition rate to the canopy, D_i , is then computed as potentially bi-directional with

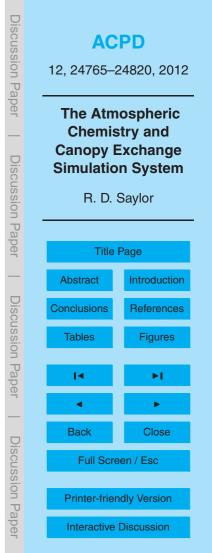
 $D_i(z) = -v_{d_i}(z)(C_i(z) - \Gamma_i) \text{ LAD}(z)$

¹⁵ where, Γ_i is the compensation point for species *i* and LAD is the leaf area density function within the canopy (cm² leaf cm⁻³). Although canopy exchange is implemented in ACCESS as in Eq. (22), compensation points are zero for all species in the simulations reported in this work so that all exchange is uni-directional (i.e. deposition only).

2.4 Vertical transport

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²⁰ Vertical exchange between forest canopies and the atmosphere is dominated by turbulent mixing (Finnegan, 2000). For some time it has been recognized that traditional



(20)

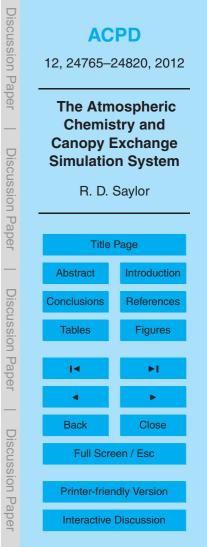
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gradient diffusion theory to model turbulent transport within vegetative canopies has substantial limitations (Legg and Monteith, 1975; Shaw, 1977; Raupach and Thom, 1981; Denmead and Bradley, 1985; Baldocchi and Meyers, 1988). In particular, the presence of counter-gradient transport and coherent eddies with length scales approaching h_c have been assessed to be major drivers of the total exchange between forests and atmosphere (Raupach et al., 1996; Finnegan et al., 2009; Steiner et al., 2011; Dupont and Patton, 2012). Attempts have been made to better approximate canopy turbulence with higher-order closure approaches (Wilson and Shaw, 1977; Meyers and Paw U, 1986; Katul and Albertson, 1998), with Lagrangian approaches

- (Rapauch, 1989a,b), and more recently with large-eddy simulation approaches (Edburg, 2009; Bohrer et al., 2009; Finnegan et al., 2009; Edburg et al., 2012). To a greater or lesser extent, all of these attempts improve the representation of momentum, heat or scalar vertical fluxes within the canopy as compared to measurements over that achievable by a simple first-order closure approach. However, when attempting to model the
- ¹⁵ complex, nonlinear atmospheric chemistry of scalars occurring within and above the canopy, these admittedly more accurate approaches to representing vertical transport become computationally prohibitive. Most previous efforts to simulate forest canopy atmospheric chemistry and vertical transport have thus chosen to represent canopy turbulence with first-order closure K-theory, with a few (Makar et al., 1999; Stroud et al.,
- 20 2005; Wolfe and Thornton, 2011; Bryan et al., 2012) employing the parameterized eddy diffusivities with Raupach's (1989a) localized-near-field approximation, and even fewer attempting higher-order closure approaches (Gao and Wesely, 1994; Boy et al., 2011). In practice, with currently available computational resources, a compromise must be made between the representation of turbulence and the representation and complete-
- ness of the chemical transformations included in the model. Assessments of the overall impact that this compromise may have on the results obtained from canopy chemistry models are limited (Gao and Wesely, 1994; Dlugi et al., 2010), but generally indicate that simplification of the turbulence model, while far from being a trivial impact, does not substantially change major conclusions drawn from these investigations. Future





versions of ACCESS may explore this question further, but in the work reported here a more complete representation of the chemistry is used while a simplified vertical turbulence representation is employed, as described below.

Using Reynolds turbulent decomposition and averaging, the vertical turbulent flux is ⁵ given by

$$F_i(z) = \overline{w'C_i'(z)}$$
(23)

where, w' is the vertical velocity fluctuation, C'_i is the trace species concentration fluctuation, and the overbar represents time averaging. With a first-order closure assumption of gradient diffusion theory, the vertical turbulent flux is approximated with

10
$$\overline{W'C'}(z) = -K_V(z)\frac{\partial C_i(z)}{\partial z}$$

which then gives

$$\frac{\partial F_i(z)}{\partial z} = -\frac{\partial}{\partial z} \left(K_v(z) \frac{\partial C_i(z)}{\partial z} \right)$$

for the rate of vertical turbulent mixing. Integrated in the model as a time-split process, the vertical transport is then represented as

$$\int \frac{\partial C_i(z)}{\partial t} = \frac{\partial}{\partial z} \left(K_v(z) \frac{\partial C_i(z)}{\partial z} \right)$$
(26)

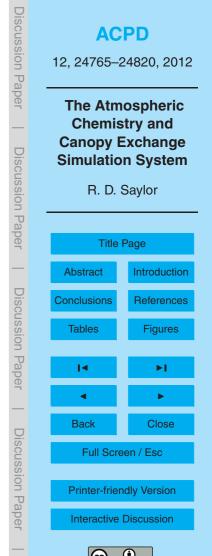
with boundary conditions

$$-K_{v}(0)\frac{\partial C_{i}(0)}{\partial z} = -v_{s_{i}}\left(C_{i}(0) - C_{i}^{s}\right) \quad \text{at } z = 0$$

and

1

$$-K_{v}(h)\frac{\partial C_{i}(h)}{\partial z} = k_{e}\left(C_{i}(h) - C_{i}^{a}\right) \quad \text{at } z = h$$
24780



(24)

(25)

(27)

(28)

where, v_{s_i} = the deposition velocity of species *i* to the forest floor (cm s⁻¹); C_i^s = the compensation point concentration of species *i* in the forest floor soil (molecules cm⁻³); k_e = the entrainment rate of air above the top of the PBL (cm s⁻¹); C_i^a = the concentration of species *i* above the top of the PBL (molecules cm⁻³); and, *h* = the height of the PBL (cm).

Eddy diffusivities, K_{ν} , within the canopy are computed with the localized near-field approximation of Raupach (1989a)

 $K_v(z) = R \ \sigma_w^2(z) \ T_{\mathsf{L}}(z)$

5

10

where, σ_w = the standard deviation of the vertical velocity fluctuation (cm s⁻¹); T_L = a Lagrangian integral time scale (s); and, R = the near-field correction factor, defined by

$$R = \frac{\left(1 - e^{-\tau/T_{\rm L}}\right) \left(\frac{\tau}{T_{\rm L}} - 1\right)^{3/2}}{\left(\frac{\tau}{T_{\rm L}} - 1 + e^{-\tau/T_{\rm L}}\right)^{3/2}}$$

Raupach (1989a) suggests the use of

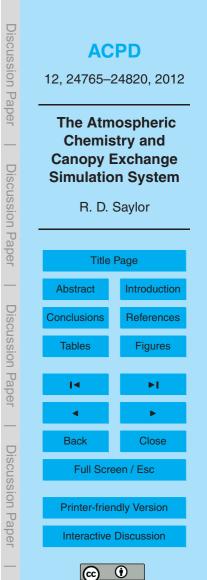
- 1-

$$T_{\rm L} = \frac{0.3h_{\rm c}}{u^*} \tag{31}$$

to determine the Lagrangian time scale and Raupach (1989a) and Baldocchi (1997) propose

$$\sigma_{w} = u^{*} \left(\alpha_{0} + (\alpha_{1} - \alpha_{0}) \frac{z}{h_{c}} \right)$$
(32)

as a parameterization of the vertical velocity standard deviation, where α_0 and α_1 are empirical constants.



(29)

(30)

In the PBL above the canopy, the parameterization of Ulke (2000) is used

$$K_{v}(z) = 0.4u^{*}h\left(\frac{z}{h}\right)\left(1-\frac{z}{h}\right)g\left(z,\frac{h}{L}\right)$$

where g is a function of atmospheric stability,

$$g = \left(1 + 6.9\frac{h}{L}\frac{z}{h}\right)^{-1}$$
 for stable conditions, $\frac{h}{L} > 0$ (34)

5

15

 $g = \left(1 - 22\frac{h}{L}\frac{z}{h}\right)^{1/4} \text{ for unstable conditions, } \frac{h}{L} < 0$ (35)

$$g = 1$$
 for neutral conditions, $\frac{h}{L} = 0$

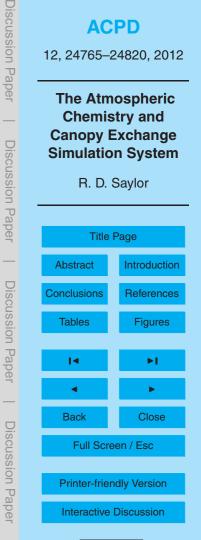
At canopy top, the Ulke (2000) and Raupach (1989a) eddy diffusivities are matched ¹⁰ by adjusting the value of α_1 as a function of h/L (with $\alpha_0 = 0.45$, α_1 ranges over 0.5–2.0 as a function of atmospheric stability).

2.5 Background mixing

ACCESS includes an optional process to account for the influence of background sources of trace species on chemistry within and above the canopy. Mixing from these background sources is represented by

$$A_i(z) = -k_b \left(C_i(z) - C_i^b \right)$$
(37)

where, k_b is an adjustable mixing rate (s⁻¹) and C_i^b are prescribed background trace species concentrations. This process is configured so that it can be applied to either 24782



(33)

(36)

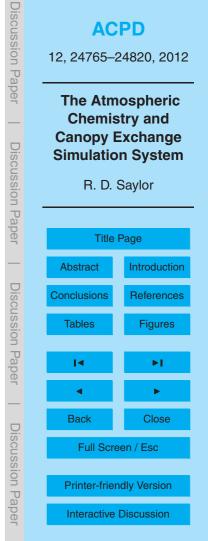
all integrated species or to only a selected subset. When applied to all species, it simulates a true advective mixing process of air from an upwind source, but when applied to only a selected subset of species, it acts to constrain the selected species to tend towards the prescribed values. In this way, simulations can be run where species mea-

- ⁵ sured in a field campaign can be constrained to observed values or the background values of certain selected species can be varied to investigate their impact on chemical processes within the canopy. For the simulations reported here, a subset of CH₄, CO and O₃ were prescribed at 1700, 150 and 60 ppbv, respectively, with a mixing rate time constant of ~ 3 h. Additionally, in the experiments presented in Sect. 4, NO_x back 10 ground values were prescribed across a range of 0.1–30 ppbv to investigate the impact
- of anthropogenic NO_x sources on canopy biogenic hydrocarbon fluxes.

3 Application to an isoprene-emission-dominated deciduous forest

The Walker Branch Watershed (WBW) is a dedicated ecosystem research area on the US Department of Energy's Oak Ridge Reservation in east Tennessee. The 97.5 ha watershed has been the site of long-term ecosystem and atmospheric research ac-15 tivities since the mid-1960s. A flux tower located within the watershed (35° 57' 30" N. 84° 17' 15" W; 365 m above mean sea level) and 10 km southwest of Oak Ridge, Tennessee, has served as a focal point for previous atmospheric turbulence and chemical flux measurements (Baldocchi et al., 1984, 1995, 1999; Harley et al., 1997; Fuentes et al., 2007) and the canopy morphology of the forest surrounding the flux tower has 20 been extensively documented (Hutchison et al., 1986; Baldocchi and Hutchison, 1986; Baldocchi et al., 2002). The forest is mixed deciduous consisting of chestnut oak (Quercus prinus), tulip poplar (Liriodendron tulipifera), white oak (Quercus alba), red oak (Quercus rubra), red maple (Acer rubrum), and various hickory species (Carya sp.) in order of decreasing biomass density (Kardol et al., 2010). Since different tree species 25 have differing basal isoprene emission rates (Geron et al., 2001), the effective leaf-level

isoprene emission rate, $E_{\rm b}^{\rm isop}$, is dependent on the composition and prevalence of tree





species and their biomass density in the forest canopy being simulated. In this study, a value of $E_{\rm b}^{\rm isop}$ was chosen to be consistent with canopy-scale isoprene fluxes measured at the WBW flux tower. At the time of isoprene flux measurements made at the tower (Baldocchi et al., 1995, 1999; Fuentes et al., 2007), the stand was approximately 50 yr old, the overstory canopy height (h_c) was 24 m, and the whole canopy leaf area index (LAI) was $4.9 \,{\rm m}^2 \,{\rm leaf \,m^{-2}}$ ground area. In this work, ACCESS was applied to the WBW forest to investigate the influence of background anthropogenic NO_x sources on above canopy fluxes of SOA precursors in an isoprene-emission-dominated landscape. The modeled leaf area density (LAD) function of the canopy (idealized from the measurements of Hutchison et al., 1986) is shown in Fig. 1.

Although ACCESS has been designed to ingest meteorological and radiative flux data from a variety of sources, including vertically resolved land surface models or canopy environment models, in this work a combination of climatological data and empirical parameterizations were used to generate input data for the WBW forest application (Figs. 2, 3). ACCESS was run in steady-state mode, simulating 02:00 p.m. LT on 10 July, with a surface temperature of 303 K with a vertical profile determined by a 6.5 K km⁻¹ lapse rate, surface pressure of 970 mb (geopotential pressure correspond-

ing to 365 m ASL), and 60 % relative humidity. Above canopy solar insolation, E_0 , was computed for clear sky conditions from the empirical formulation of Meyers and Dale (1983) and canopy top PPFD was then approximated as $0.49E_0$ and converted to μ mol photons m⁻² s⁻¹. Attenuation of PPFD or actinic flux within the forest canopy is formulated as

$$I(z) = I_0 \cdot \exp\left(-\kappa_{\rm f} \cdot {\rm LAI}_{\Sigma}(z)\right)$$

where, $\kappa_{\rm f}$ is the canopy attenuation function for WBW forest as described by Baldocchi and Hutchison (1986) and LAI₅ is the top-down cumulative leaf area index (m² m⁻²) of

²⁵ and Hutchison (1986) and LAI_{Σ} is the top-down cumulative leaf area index (m² m⁻²) of the canopy computed from the leaf area density profile. Finally, the mean wind speed

(38)



within the canopy, $\bar{u}(z)$, is computed from the empirical relation of Meyers et al. (1998)

$$\bar{u}(z) = \bar{u}(h_{\rm c}) \exp\left(-\gamma_{\rm LAI} \left(1 - z/h_{\rm c}\right)^{\beta}\right)$$
(39)

where, $\bar{u}(h_c)$ is the mean wind speed at canopy top, γ_{LAI} is the whole canopy LAI (with a maximum value of 4), and β is a canopy specific empirical parameter that is set equal to 0.5 for typical deciduous forests.

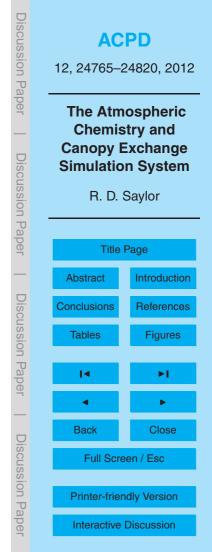
4 Results and discussion

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The gas phase oxidation of monoterpenes and sesquiterpenes was originally thought to be the only pathway that produced significant amounts of biogenically derived SOA. However, recently many studies have suggested that additional pathways exist for SOA formation, including gas-phase isoprene reactions (Claeys et al., 2004; Kroll et al.,

- formation, including gas-phase isoprene reactions (Claeys et al., 2004; Kroll et al., 2006) and aqueous-phase processing of soluble oxidation products of both isoprene and terpenes (Hallquist et al. 2009; Ervens, et al., 2008; Lim et al., 2010). In the SE USA, isoprene emissions dominate biogenic hydrocarbon sources, accounting for more than 80 % of total BVOC emissions (Goldstein et al., 2009). Organic matter (OM) com-
- ¹⁵ prises roughly 30–40% of total fine particle mass in the SE USA (Edgerton et al., 2005; Tanner and Parkhurst, 2000) and evidence has accumulated that isoprene oxidation products are routinely found in PM_{2.5} samples from this region (Clements and Seinfeld, 2007; Edney et al., 2005). Furthermore, ¹⁴C isotope studies have indicated that the majority of organic matter in fine particles over the entire USA originates from the second studies in the term of the second studies in the second studies in the second studies from the second studies from
- ²⁰ modern sources rather than fossil ones (Schichtel et al., 2008) and this is true in the SE USA as well (Tanner et al., 2004; Ding et al., 2008b; Lee et al., 2010). As a whole, this evidence strongly suggests that SOA formed from biogenic isoprene emissions contributes significantly to total fine particle OM in the SE USA.

However, as summarized by Lee et al. (2010), there is substantial evidence of a strong anthropogenic influence on OM concentrations in the SE USA, in particular for



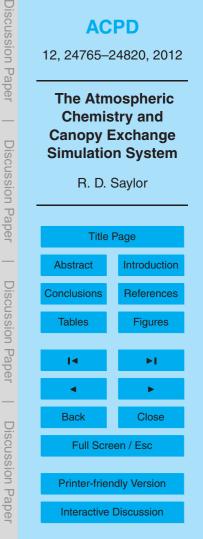


measurements of water-soluble organic carbon (WSOC) in $PM_{2.5}$ (Weber et al., 2007; Sullivan and Weber, 2006; Zhang et al., 2012), which exhibit strong correlations with anthropogenic pollutants such as CO, elemental carbon and fossil fuel hydrocarbons. On the other hand, Ding et al. (2008a) observed significant correlations of WSOC from

- ⁵ PM_{2.5} samples in the SE USA with the isoprene oxidation products 2-methyltetrols. Weber et al. (2007) speculate that some anthropogenic component (or components) influences the amount of SOA formed, regardless of whether the VOC is biogenic or anthropogenic in origin. In a comprehensive review article, Hoyle et al. (2011) survey the currently known possible mechanisms through which anthropogenic sources
- ¹⁰ may enhance the formation of biogenic SOA. In this work, ACCESS is applied to an isoprene-emission-dominated forest canopy to investigate the relative magnitude of SOA precursor concentrations and fluxes above the canopy as a function of background levels of NO_x. Assuming that higher levels of background NO_x are associated with anthropogenic sources, the results of these simulations may suggest one mech-¹⁵ anism by which higher levels of biogenic SOA can be directly correlated with anthro-
- ¹⁵ anism by which higher levels of biogenic SOA can be directly correlated with anthropogenic influences.

Although details of the mechanism of isoprene oxidation are still the subject of vigorous investigation and some uncertainty (Stone et al., 2011; Archibald et al., 2010a,b; Galloway et al., 2011), in particular the apparent shortcomings of HO_x chemistry in low

- NO_x, high isoprene environments (Lelieveld et al., 2008; Hofzumahaus et al., 2009; Pugh et al., 2010), the broad outlines of first- and second-generation products from isoprene oxidation are relatively well defined. Carlton et al. (2009) reviewed current understanding of the reaction pathways that may lead to SOA formation from isoprene. First-generation products methacrolein and methyl vinyl ketone (MVK) are the
- $_{\rm 25}$ best characterized and evidence indicates that reactions involving methacrolein likely lead to SOA formation while MVK reaction products do not. Under low NO_x conditions, first-generation organic peroxides are more prevalent (Surratt et al., 2006), but as previously mentioned there is substantial mechanistic uncertainty in this regime. Considerable interest has recently been focused on several small, second-generation





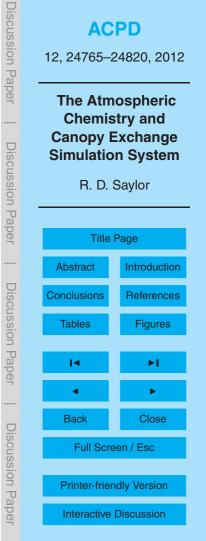
products including glyoxal, glycolaldehyde, methyl glyoxal and hydroxyacetone. Absorption and chemical processing of these water-soluble compounds in cloud droplets or wet aerosols are suspected to add to total SOA mass via aqueous oligomerization reactions (Lim et al., 2005; Ervens et al., 2008; Altieri et al., 2006, 2008; Carlton et al., 2007; Ortiz-Montalvo et al., 2012). Recent global-scale simulations including

aqueous-phase SOA production have indicated that these pathways account for a substantial fraction of total SOA produced in the global atmosphere (Liu et al., 2012; Lin et al., 2012). In the simulations reported below, the concentration profiles and fluxes of methacrolein, MVK, glyoxal, methyl glyoxal, glycolaldehyde and hydroxyacetone are examined as a function of background NO_x levels.

For each experiment of the simulated WBW canopy, a fixed concentration of NO_x (0.1, 1.0, 5, 10 and 30 ppbv) was specified for a well-mixed background boundary layer that was mixed into the column with a ~ 3 h mixing time constant (Wolfe and Thornton, 2011). Each simulation was run with fixed vertical profiles of all meteorological

- (Figs. 2, 3) and isoprene emissions data (Fig. 4) and a fixed solar zenith angle corresponding to 02:00 p.m. LT at the WBW latitude and longitude. Initial conditions, background concentrations and free tropospheric concentrations were identical for each simulation as specified in Table 1. The simulations were each run for a total of 12 h which allowed ample time for a steady state to be achieved for all species, as shown in
- Fig. 5 for NO₂ and isoprene. All results shown below use profiles from t = 12 h as the steady-state result. Figure 6 presents the steady-state profiles of NO₂ and O₃ which resulted for each specified background NO_x (bNO_x) value.

Simulated vertical profiles of isoprene as a function of background NO_x value are presented in Fig. 7. Profiles over the full model domain (Fig. 7a) exhibit a relatively rapid ²⁵ monotonic decrease with height as is expected for a near surface emitted species that is chemically reactive. Increasing bNO_x values increase the reactivity of the system, thereby decreasing the steady-state values of isoprene throughout the modeled column. Modeled isoprene mixing ratios in and just above the canopy (Fig. 7b) are seen to agree relatively well with measurements (means ±1 standard deviation) made by

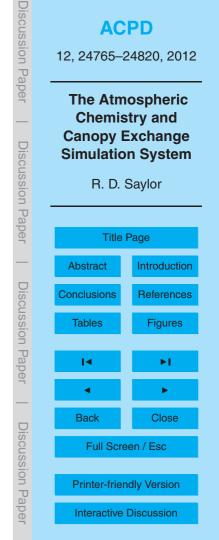




Baldocchi et al. (1995) and Fuentes et al. (2007) at the WBW flux tower. The modeled near-surface mixing ratios and vertical profiles also compare favorably with other profile measurements made in or near the SE USA. Andronache et al. (1994) analyzed vertical profiles of isoprene from balloon-borne measurements made in western Alabama

- ⁵ during July 1990 and found means of 37 profiles which ranged from > 4 ppbv at the surface and dropped quickly to less than 2 ppbv by 300 m above ground level (AGL). They categorized the profiles into two groups roughly equal in number, "simple" profiles in which the mixing ratio decreased monotonically with height and "complex" profiles where a maximum occurred somewhere above the surface. The "complex" profiles
- ¹⁰ were characterized by non-uniform turbulence structures with layers of strong wind shear or enhanced vertical stability. Wiedinmyer et al. (2005) presented surface and balloon-borne isoprene measurements made in and near the Ozarks in central Missouri as part of the Ozarks Isoprene Experiment in July 1998. Ground-level isoprene mixing ratios ranged from < 1 to 35 ppbv in the oak dominated forests of the Ozarks (similar to WBW) and balloon-measured means of afternoon mixing ratios ranged from
- 3–4 ppbv near 200 m AGL to < 2 ppbv just above 800 m AGL.

Modeled vertical fluxes of isoprene (Fig. 8a) peak near 50–70 m, whereas the maximum chemical loss of isoprene (Fig. 8b) occurs just above the canopy top (~ 30 m) where maximum photochemical activity occurs. As seen in Fig. 8, as bNO_x in-²⁰ creases over the simulated range, peak chemical loss rates of isoprene increase from < 2 ppbv h⁻¹ to almost 15 ppbv h⁻¹ just above canopy top, while the maximum isoprene flux decreases from > 26 to near 20 nmol m⁻² s⁻¹ (a decrease of > 20 %). Maximum modeled isoprene fluxes at or just above canopy top were also observed by Gao et al. (1993) and Doskey and Gao (1999). Stroud et al. (2005) examined the impact of anthropogenic pollution on the overall canopy fluxes of isoprene and only found a small change (a maximum 4 % decrease) between scenarios ranging from clean continental to urban. Results from other canopy modeling efforts have varied widely in assessing the impact that chemical loss has on isoprene fluxes from the canopy. Using the





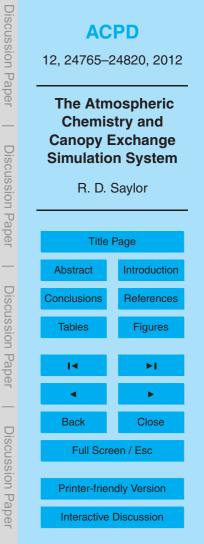
same base model as Stroud et al. (2005), Makar et al. (1999) estimated that neglecting

chemistry would result in substantially (~ 40 %) larger isoprene mixing ratios above the canopy. On the other hand, Gao et al. (1993) and Doskey and Gao (1999) both estimated only negligible impact of chemical loss on above canopy isoprene fluxes, while Forkel et al. (2006) reported a 10–15 % reduction in isoprene fluxes due to chemistry.

⁵ Because these studies use various condensed chemical mechanisms and have attempted to simulate different forest canopy morphologies and species across a broad range of BVOC emission rates and background chemical concentrations, it is difficult to draw definitive conclusions. Reconciling these disparate results will require a more complete investigation into the environmental, biological and anthropogenic factors that may influence the role that chemistry plays in above canopy isoprene fluxes.

Fuentes et al. (2007) presented data from one day (20 July) at WBW showing that isoprene measurements peaked in the upper levels of the canopy, however model results from ACCESS exhibit a gradual decrease of isoprene from the surface upwards, which is typical for a relatively well-mixed vertical column. To obtain peaks in isoprene

- at canopy top that are 30 % larger than values obtained at or near the surface as shown by Fuentes et al. (2007) may imply only very slight vertical mixing when these measurements were taken, since it would not be expected that chemical loss of isoprene would be stronger deeper within the shaded canopy. However, since Fuentes et al. (2007) do not provide details concerning in-canopy turbulence or atmospheric stability (other
- than modeled canopy residence times) on the day of these measurements, it is difficult to assess this supposition. As mentioned earlier, it has been recognized for some time that within canopy turbulence is complex; several recent studies (Steiner et al., 2011; Bryan et al., 2012; Dupont and Patton, 2012) have re-emphasized the importance of simultaneous measurement of turbulent mixing parameters when performing
- chemical profile measurements in and above forest canopies. The frequency of occurrence (roughly half of the total measurements) of the "complex" vertical profiles of Andronache et al. (1994) also emphasizes the importance of detailed characterization of the atmospheric turbulence structure within and above the canopy for a full understanding of chemically reactive exchanges between the biosphere and atmosphere.

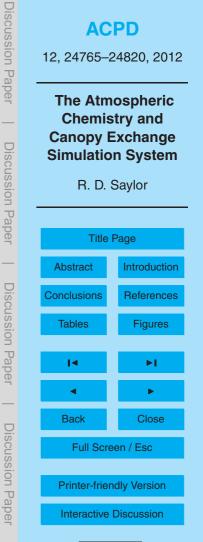




Simulated mixing ratio profiles, vertical fluxes and chemical production/loss rates are presented as a function of bNO_x for first- and second-generation products of isoprene oxidation in Figs. 9–14. The first generation products methacrolein (Fig. 9) and MVK (Fig. 10) exhibit similar profiles, with MVK concentrations and fluxes generally being larger (roughly 2×) for a given bNO_x value. The peaks of chemical production of methacrolein and MVK coincide with the maximum chemical loss of isoprene just above canopy top (~ 30 m) and the magnitude of chemical production increases with increasing bNO_x value. Higher in the boundary layer, the net chemical budget for these species changes from production to loss as conversion to second-generation products becomes more dominant than production from isoprene. The height above canopy top where this transition from net production to net loss occurs decreases with increasing bNO_x value, as does the height of maximum vertical flux.

The second-generation products glyoxal (Fig. 11), methyl glyoxal (Fig. 12), glycolaldehyde (Fig. 13), and hydroxyacetone (Fig. 14) exhibit vertical profiles and variations ¹⁵ with bNO_x that are similar to each other but different from methacrolein and MVK. Mixing ratio vertical profiles of the second-generation products do not drop off in magnitude with height as quickly as with methacrolein and MVK and vertical flux profiles generally continue to increase throughout the depth of the boundary layer for all values of bNO_x. Additionally, the vertical profile of the chemical production rate of second-generation

²⁰ products changes significantly with increasing bNO_x and the height at which the peak rate occurs lowers with increasing bNO_x values. It is notable that the magnitudes of the vertical profiles of these species do not necessarily increase monotonically with increases in bNO_x value but exhibit inherent non-linearities. For example, the glyoxal mixing ratio for $bNO_x = 30$ ppbv is larger near the surface than the value at bNO_x = 10 ppbv, but eventually decreases below the profiles for both $bNO_x = 10$ ppbv and $bNO_x = 5$ ppbv above 400 m. Similarly, the vertical profiles (and fluxes) of methyl glyoxal, glycolaldehyde and hydroxyacetone exhibit non-linear variations with bNO_x that are non-intuitive, reflecting a complex interaction between vertical transport and chemistry. For second-generation products shown in Figs. 11–14, the relative magnitude of



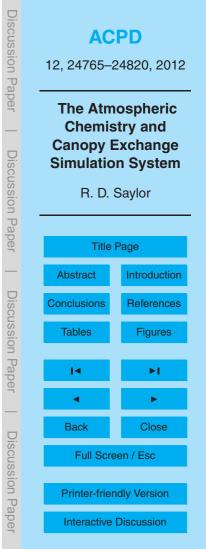


within canopy mean mixing ratios (Table 2) is sequenced as hydroxyacetone > gly-coaldehyde > methyl glyoxal > glyoxal for all values of simulated bNO_x. Interestingly, although methacrolein and MVK concentrations increase approximately 3-fold from the lowest to highest values of bNO_x, the second-generation products exhibit increases
⁵ ranging from 11-fold (glycolaldehyde – from 0.1 to 1.1 ppbv) to 15-fold (methyl glyoxal – from 0.024 to 0.37 ppbv). Although not explicitly investigated in this initial study, these non-linearities of first- and second-generation products with respect to photochemical activity (via background NO_x levels) may have important implications for the potential production of SOA through aqueous-phase versus gas-phase pathways. Furthermore, these non-linearities may not be captured well by coarser-resolution regional- or global-scale models. Future work will further explore the broader implications of these initial findings.

5 Summary and future applications

Many scientific questions and uncertainties remain concerning the formation of SOA
¹⁵ in the atmosphere. Emissions of BVOCs from vegetative canopies, and from forests in particular, and the chemical transformations and turbulent vertical mixing that occurs within and above the canopy play an important role in the formation pathways of SOA. In this work a new modeling system designed to investigate these pathways has been described in detail and results from an initial application of the model to an
²⁰ isoprene-dominated deciduous forest canopy in the SE USA has been presented. The model produces reasonable within-canopy isoprene concentrations as compared to measurements made during two summer field measurement studies at the simulated site and with other measurements of vertical mixing ratio profiles of isoprene in or near the SE USA. Preliminary analyses of the mixing ratio profiles and vertical fluxes of

first- and second-generation isoprene oxidation products as a function of background NO_x levels exhibit non-linearities that may have important implications for the pathways





through which SOA is formed in different oxidative environments and will be the focus of near-term follow on studies of this work.

Future applications of the current ACCESS modeling system will include explorations of the environmental and anthropogenic factors which control mixing ratio profiles and

- ⁵ vertical fluxes of SOA precursor species and attempt to identify improved treatments of current BVOC emissions parameterizations for three-dimensional atmospheric chemistry and air quality models to better represent the inherent non-linearities of the chemistry and physics of atmosphere-forest exchange. Particularly vital in this work will be comparisons and analyses of simulation results with either past or future measure-
- ¹⁰ ments made in intensive field campaigns in forested environments. Future versions of ACCESS will include multiphase chemistry and transport to more fully investigate and evaluate the aqueous- and aerosol-phase chemical processes that contribute to overall SOA formation in the atmosphere.

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²⁰ Supplementary material related to this article is available online at: http://www.atmos-chem-phys-discuss.net/12/24765/2012/ acpd-12-24765-2012-supplement.zip.

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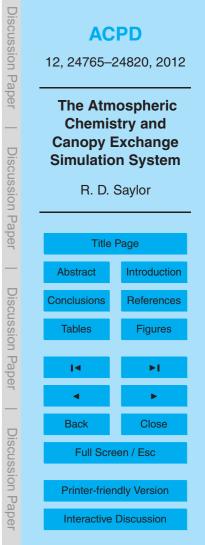


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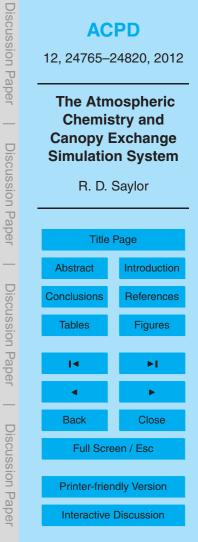
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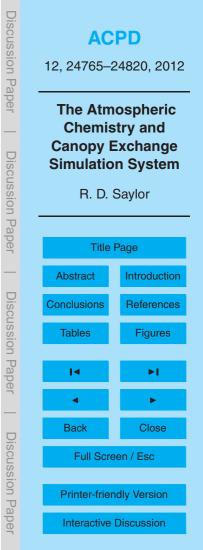
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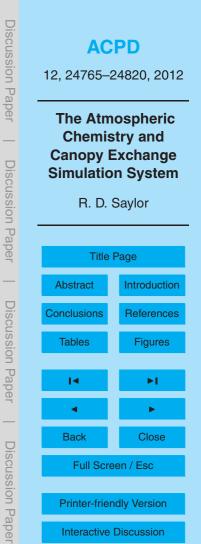
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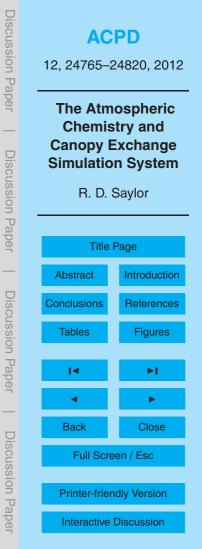


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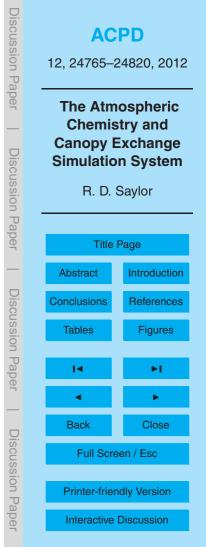
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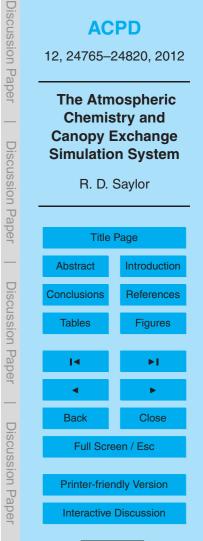
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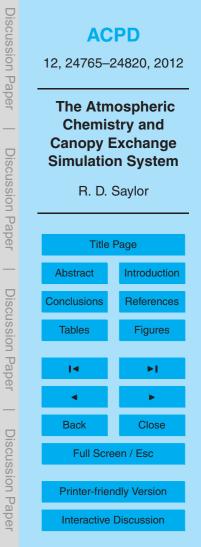
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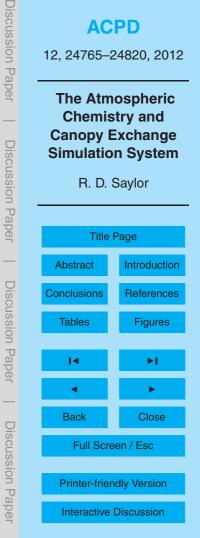
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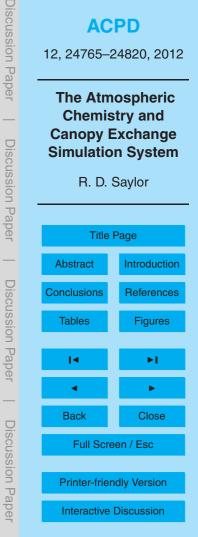
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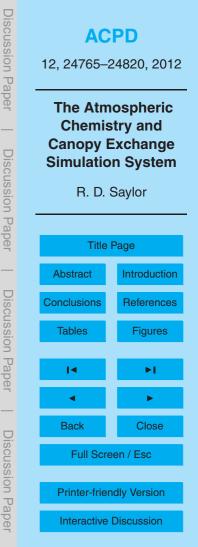
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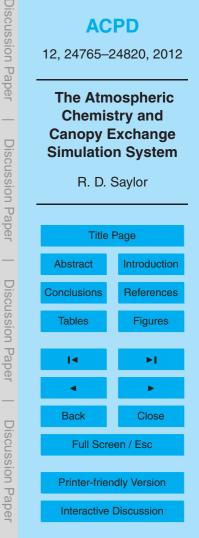




Table 1. Specified initial, background and above PBL mixing ratios for Walker Branch Watershed simulations.

Initial				
Species	Value			
0 ₃	60 ppbv			
CÔ	150 ppbv			
CH ₄	1700 ppbv			
OH	1×10^6 molecules cm ⁻³			
HO ₂	1×10^8 molecules cm ⁻³			
All other species	0 ppbv			
Background				
Species	Value			
O ₃	60 ppbv			
CÕ	150 ppbv			
CH ₄	1700 ppbv			
NO _x	0.1, 1, 5, 10, 30 ppbv			
Above PBL				
Species	Value			
O ₃	60 ppbv			
CÔ	150 ppbv			
CH ₄	1700 ppbv			
All other species	0 ppbv			

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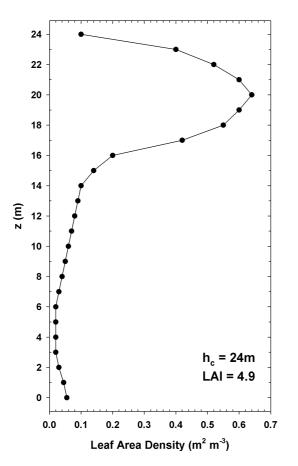


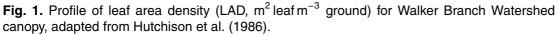
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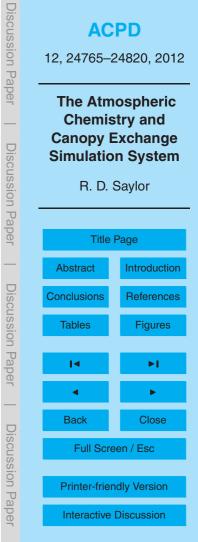


Table 2. Mean within-canopy mixing ratios (ppbv) for selected isoprene oxidation products as a function of background NO_x .

bNO _x	methacrolein	MVK	glyoxal	methyl glyoxal	glycolaldehyde	hydroxyacetone
0.1 ppbv	0.34	0.56	0.014	0.024	0.10	0.11
1.0 ppbv	0.45	0.99	0.047	0.077	0.28	0.31
5.0 ppbv	0.64	1.3	0.15	0.24	0.71	0.97
10 ppbv	0.72	1.4	0.17	0.30	0.84	1.2
30 ppbv	0.92	1.9	0.18	0.37	1.1	1.2









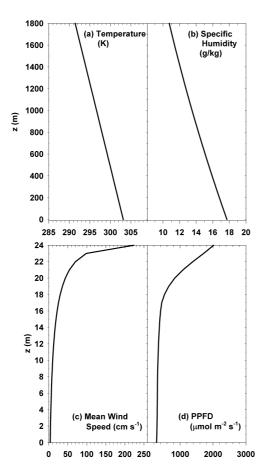
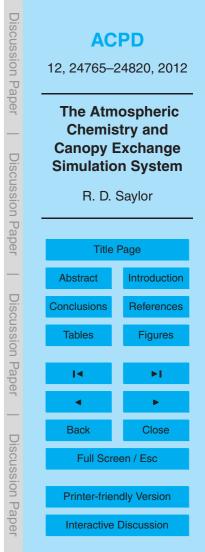
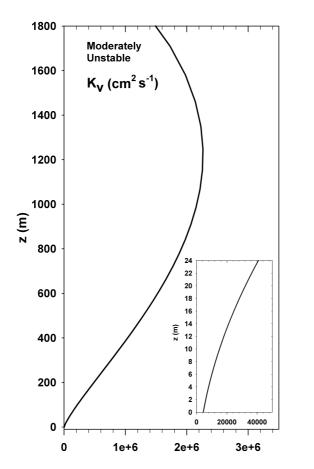
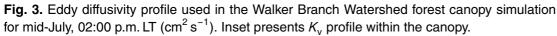


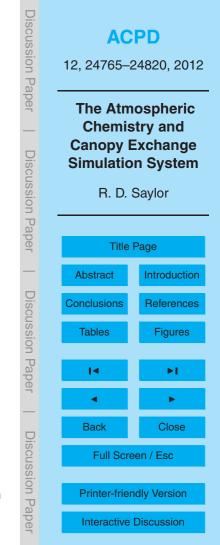
Fig. 2. Input meteorological and radiative flux data used in the Walker Branch Watershed forest canopy simulations for mid-July, 02:00 p.m. LT: **(a)** air temperature (K); **(b)** specific humidity $(g kg^{-1})$; **(c)** mean wind speed $(cm s^{-1})$; and, **(d)** photosynthetic photon flux density $(\mu mol \, photons \, m^{-2} \, s^{-1})$.



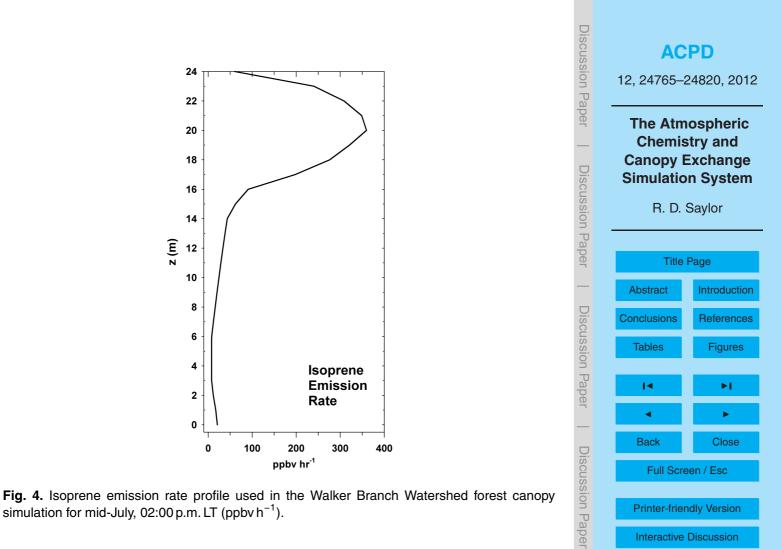












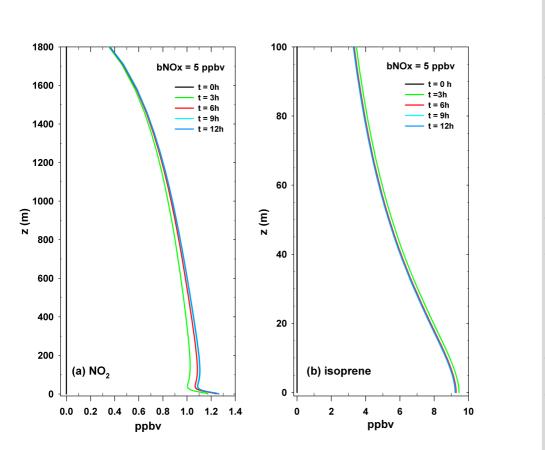
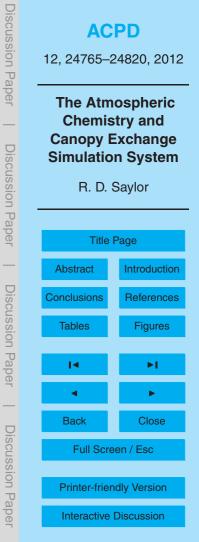


Fig. 5. Vertical profiles of **(a)** NO₂ and **(b)** isoprene for $bNO_x = 5 \text{ ppbv}$, illustrating the approach to steady-state over the 12 h simulation.





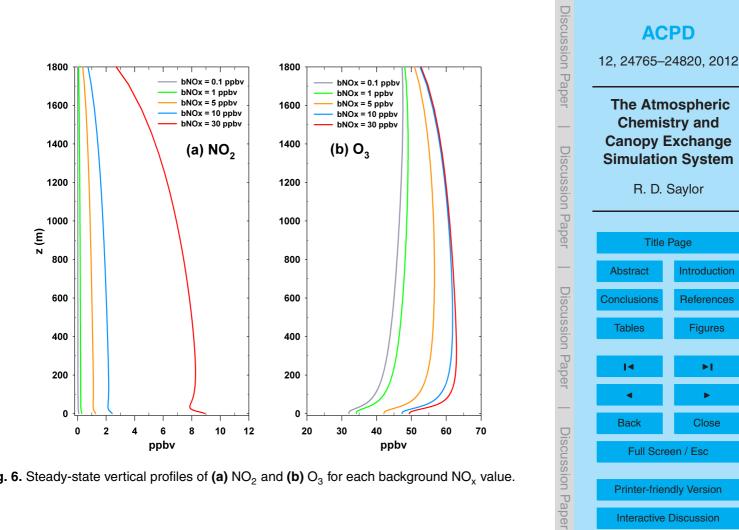


Fig. 6. Steady-state vertical profiles of (a) NO_2 and (b) O_3 for each background NO_x value.



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Interactive Discussion

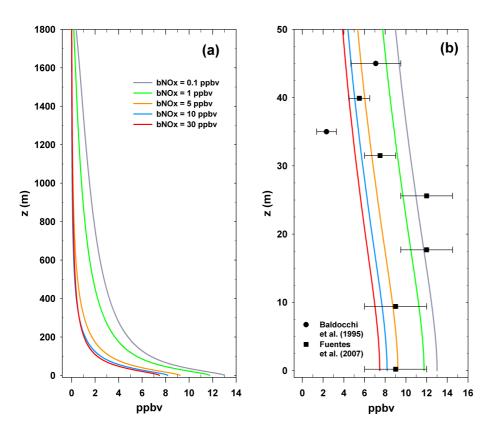
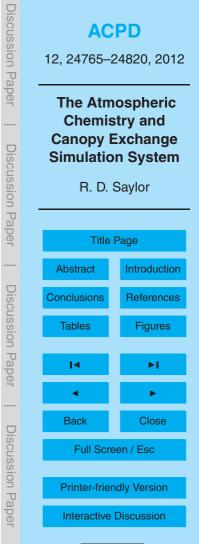


Fig. 7. Steady-state vertical profiles of isoprene (ppbv) for each background NO_x value for **(a)** the entire column and for **(b)** the lowest 50 m with measurements (means ±1 standard deviation) of Baldocchi et al. (1995) and Fuentes et al. (2007).





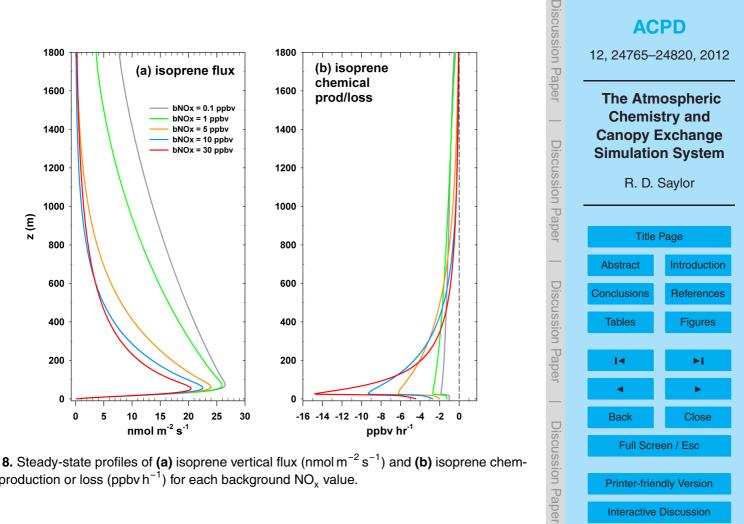


Fig. 8. Steady-state profiles of (a) isoprene vertical flux (nmol $m^{-2} s^{-1}$) and (b) isoprene chemical production or loss (ppbv h^{-1}) for each background NO_x value.

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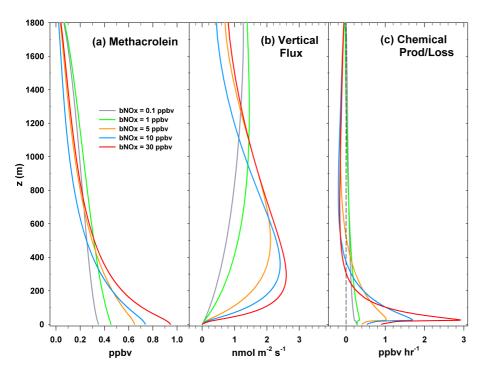
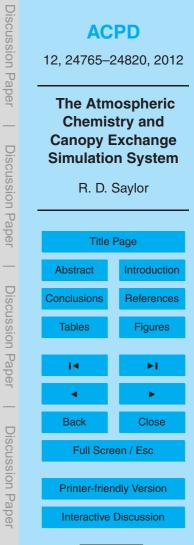


Fig. 9. Vertical profiles of methacrolein **(a)** mixing ratio (ppbv), **(b)** vertical flux (nmol $m^{-2} s^{-1}$), and **(c)** chemical production or loss (ppbv h^{-1}) for each background NO_x value.





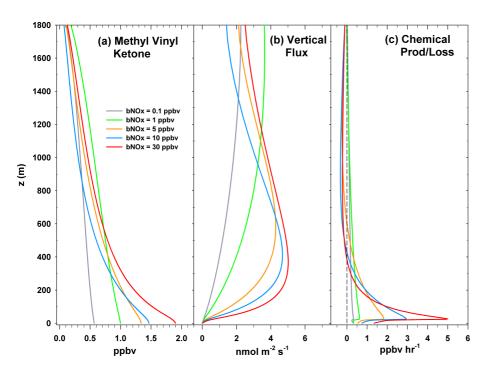
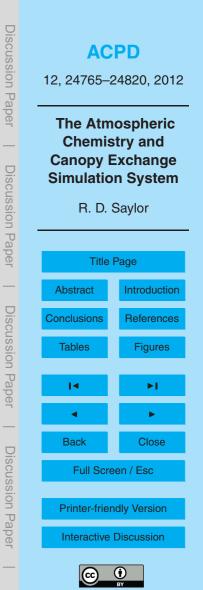


Fig. 10. Vertical profiles of MVK (a) mixing ratio (ppbv), (b) vertical flux (nmol $m^{-2} s^{-1}$), and (c) chemical production or loss (ppbv h^{-1}) for each background NO_x value.



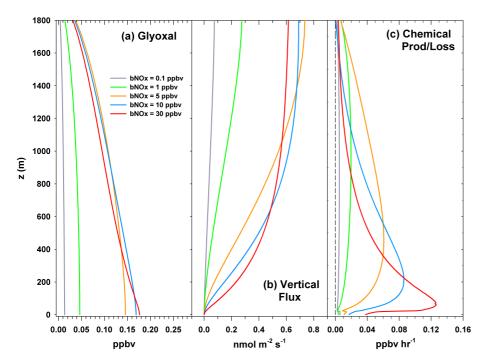
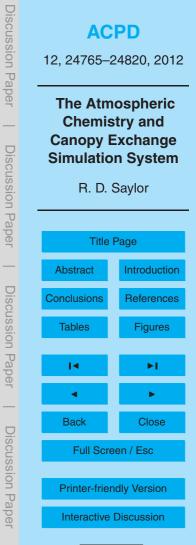


Fig. 11. Vertical profiles of glyoxal **(a)** mixing ratio (ppbv), **(b)** vertical flux (nmol $m^{-2} s^{-1}$), and **(c)** chemical production or loss (ppbv h^{-1}) for each background NO_x value.





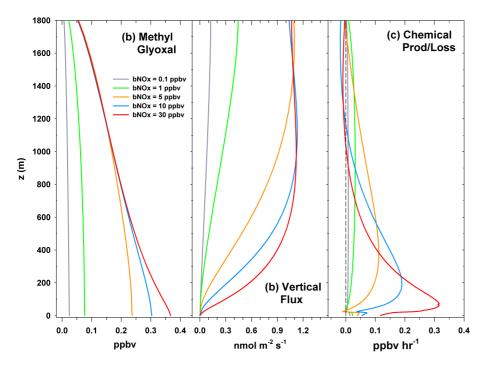
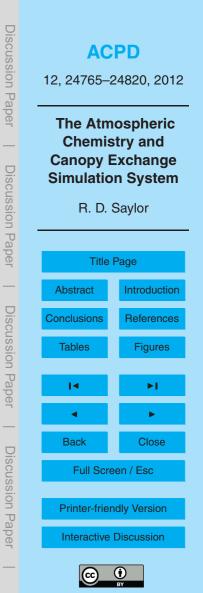


Fig. 12. Vertical profiles of methyl glyoxal (a) mixing ratio (ppbv), (b) vertical flux (nmol $m^{-2} s^{-1}$), and (c) chemical production or loss (ppbv h^{-1}) for each background NO_x value.



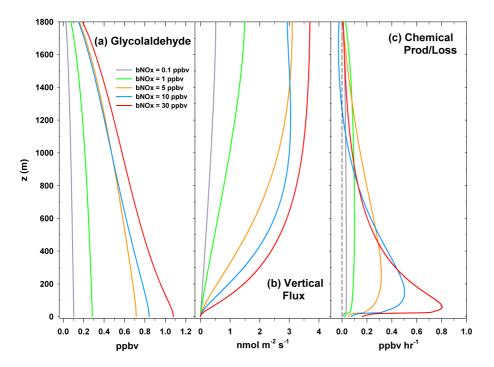
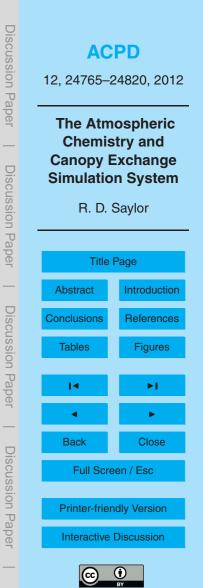


Fig. 13. Vertical profiles of glycolaldehyde (a) mixing ratio (ppbv), (b) vertical flux (nmol $m^{-2} s^{-1}$), and (c) chemical production or loss (ppbv h^{-1}) for each background NO_x value.



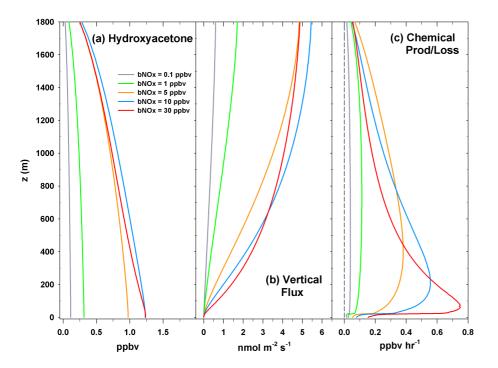


Fig. 14. Vertical profiles of hydroxyacetone (a) mixing ratio (ppbv), (b) vertical flux (nmol $m^{-2} s^{-1}$), and (c) chemical production or loss (ppbv h^{-1}) for each background NO_x value.

