Atmos. Chem. Phys. Discuss., 12, 22629–22685, 2012 www.atmos-chem-phys-discuss.net/12/22629/2012/ doi:10.5194/acpd-12-22629-2012 © Author(s) 2012. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

Assessment of the interannual variability and impact of the QBO and upwelling on tracer-tracer distributions of N₂O and O₃ in the tropical lower stratosphere

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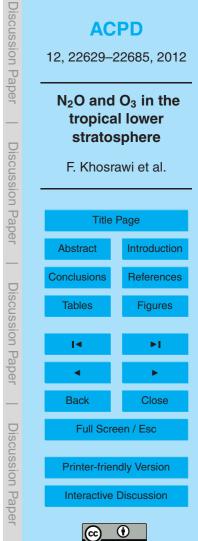
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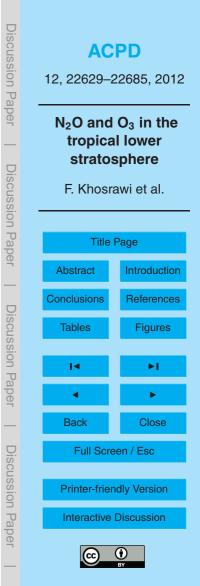
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Received: 2 August 2012 - Accepted: 14 August 2012 - Published: 3 September 2012

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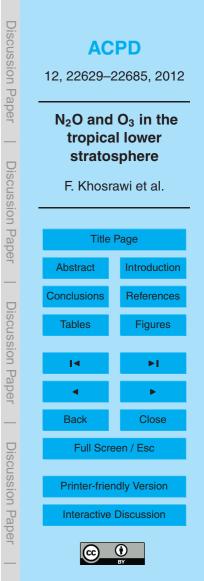
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Abstract

A modified form of tracer-tracer correlations of N_2O and O_3 has been used as a tool for the evaluation of atmospheric photochemical models. Applying this method monthly averages of N_2O and O_3 are derived for both hemispheres by partitioning the data

- into altitude (or potential temperature) bins and then averaging over a fixed interval of N₂O. In a previous study, the method has been successfully applied to the validation of two Chemical Transport Models (CTMs) and one Chemistry-Climate Model (CCM) using 1-year climatology derived from the Odin Sub Millimetre Radiometer (Odin/SMR). However, the applicability of a 1-year climatology of monthly averages of N₂O and
- ¹⁰ O₃ has been questioned due to the inability of some CCMs to simulate a specific year for the evaluation of CCMs. In this study, satellite measurements from Odin/SMR, the Aura Microwave Limb Sounder (Aura/MLS), the Michelson Interferometer for Passive Atmospheric Sounding on ENVISAT (ENVISAT/MIPAS), and the Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere (CRISTA-1 and CRISTA-2)
- ¹⁵ as well as model simulations from the Whole Atmosphere Community Climate Model (WACCM) are considered. By using seven to eight years of satellite measurements derived between 2003 and 2010 from Odin/SMR, Aura/MLS, ENVISAT/MIPAS and six years of model simulations from WACCM the interannual variability of lower stratospheric monthly averages of N₂O and O₃ is assessed. It is shown that the interannual
- variability of the monthly averages of N₂O and O₃ is low and thus can be easily distinguished from model deficiencies. Further, it is investigated why large differences between Odin/SMR observations and model simulations from the Karlsruhe Simulation Model of the Middle Atmosphere (KASIMA) and the atmospheric general circulation model ECHAM5/Messy1 are found for the Northern and Southern Hemisphere tropics
- (0° to 30° N and 0° to -30° S, respectively). The differences between model simulations and observations are most likely caused by an underestimation of the quasi-biennial oscillation and tropical upwelling by the models as well as due to biases and/or instrument noise from the satellite instruments. Finally, an inter-comparison between



Odin/SMR, Aura/MLS, ENVISAT/MIPAS and WACCM was performed. The comparison shows that these data sets are generally in good agreement but that also some known biases of the data sets are clearly visible in the monthly averages, thus showing that this method is not only a valuable tool for model evaluation but also for satellite inter-comparisons.

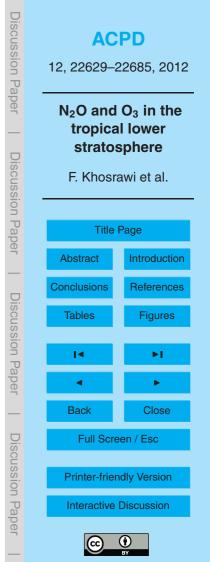
1 Introduction

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 N_2O is a long-lived species in the troposphere and lower stratosphere and thus can be used as a tracer for atmospheric transport. N₂O is produced in the troposphere by natural (soils, wetlands) as well as by anthropogenic sources (industrial emissions, biomass burning) and is destroyed photochemically in the stratosphere. N_2O abun-10 dances in the troposphere have rapidly increased due to anthropogenic emissions during the last centuries. Pre-industrial values were around 270 ± 7 ppbv and have risen to 319 ± 0.12 ppbv in 2005 (Forster et al., 2007). The lifetime of N₂O is 100 years in the troposphere and decreases with altitude from several years in the lower stratosphere to ~8 months in the middle stratosphere (Stanford and Ziemke, 1991). Throughout the 15 lower stratosphere, the mean N_2O mixing ratio decreases with increasing altitude. N_2O is transported via the Brewer-Dobson circulation to the polar regions after entering the lower stratosphere at the tropical tropopause (Brewer, 1949; Dobson, 1956). The temporal and spatial distribution of N₂O can thus be used as diagnostic of global-scale transport processes at different timescales, from seasons to decades (e.g. Ricaud 20 et al., 2009). Measurements of N₂O show that the zonally averaged lower stratospheric N_2O mixing ratios vary systematically with season, latitude and altitude (Strahan et al.,

1999). Strahan et al. (1999) also demonstrated that local changes from the seasonal zonal mean profiles can be interpreted as the result of recent stratospheric transport.

 $_{25}$ Ozone (O₃) is rather short lived in the troposphere (days to weeks). Although O₃ has a longer lifetime in the lower stratosphere ozone is not photochemically conserved and thus has a limited application as tracer of transport. O₃ mixing ratios in the lower



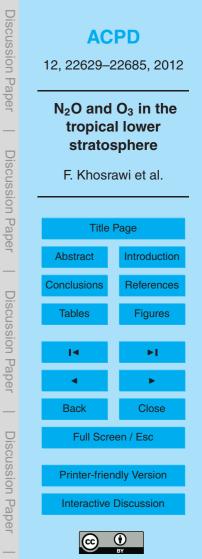
stratosphere are highly variable. This is due to both the strong variability of O_3 photochemistry with latitude, altitude and season as well as the seasonality of the atmospheric transport vertically and horizontally (e.g. Dobson et al., 1973; Proffitt et al., 2003). O_3 is throughout the year produced photochemically primarily in the tropical stratosphere. The peak production rate of O_3 occurs at ~30 km (Perliski et al., 1989).

- The photochemical destruction of ozone is particularly pronounced at polar latitudes during late winter/early spring and summer. Ozone changes due to dynamical processes are caused by ascent of stratospheric air due to the Brewer Dobson circulation in the tropical stratosphere together with descent over the Arctic and Antarctic. This results in a seasonal transport of stratospheric air from the tropics to the polar regions
- where the strength of the ozone transport is dependent on season (Brewer, 1949; Dobson, 1956).

The inter-annual variability of the equatorial stratosphere (~17–50 km) is dominated by the quasi-biennial oscillation (QBO); downward propagating easterly and westerly ¹⁵ wind regimes that occur with an average period of 28 months (Baldwin et al., 2001) while the semi-annual oscillation (SAO) is the strongest mode of annual variability above 35 km altitude (e.g. Randel et al., 1998; Lossow et al., 2008). Satellite measurements (e.g. Randel et al., 1994; Ricaud et al., 2009; Jin et al., 2009) and model simulations (e.g. Sassi et al., 1993; Jin et al., 2009) have shown that the equatorial N₂O fields exhibit a SAO in the mid and upper stratosphere. In the lower stratosphere sev-

fields exhibit a SAO in the mid and upper stratosphere. In the lower stratosphere several studies have shown that the signature of the annual oscillation (AO) is found in the trace gas distributions (e.g. Randel et al., 1998; Schoeberl et al., 2008; Ricaud et al., 2009). Further, based on satellite measurements, the influence of the QBO on long-lived species in the tropical regions has been shown (e.g. O'Sullivan and Dunkerton, 1997).

In the recent decade a lot of effort has been put into the evaluation and improvement of Chemistry-Climate Models (CCMs) to provide reliable predictions of future changes in climate (WMO, 2010). Evaluation of CCMs with measurements, using a variety of diagnostics and ensuring that appropriate diagnostics are used, is thus essential



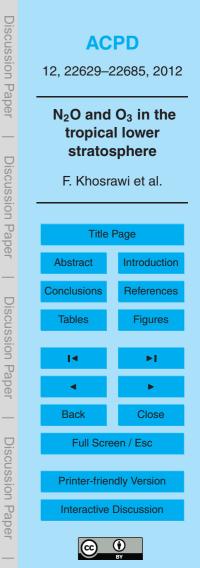
(SPARC CCMVal, 2010). The simulation of the QBO in CCMs is among other processes still a challenge (e.g. Giorgetta et al., 2006). The difficulties in simulating the QBO have various reasons which all result in serious biases in the representation of the wave-mean flow interaction which is the key process in the QBO forcing (Holton

- and Lindzen, 1972). While some models get the oscillations with realistic time scales and thus resemble the observed QBO, other models get periods deviating from the observed ones and are typically faster (Giorgetta et al. (2006) and references therein). Giorgetta et al. (2006) showed that for producing a realistic QBO in CCM simulations a very high vertical resolution is required (~1 km) so that the vertical structures of the
- ¹⁰ waves are resolved sufficiently well. Further, a realistic simulation of the QBO improves the simulation of tropical upwelling and the atmospheric tape recorder (Baldwin et al., 2001; Giorgetta et al., 2006). The atmospheric tape recorder is caused by the imprint of the tropopause temperature on trace gases as e.g. H₂O, CO and HCN and their transport into the stratosphere with the upwelling branch of the Brewer-Dobson circulation.
- ¹⁵ The tape recorder signature was first discussed based on satellite-borne water vapour measurements by Mote et al. (1996).

In a recent model evaluation study (Khosrawi et al., 2009) a method based on ozone (O_3) and nitrous oxide (N_2O) measurements was used to evaluate two CTMs and one CCM in the lower stratosphere. In this method, monthly averages of O_3 and N_2O binned

- ²⁰ by potential temperature are calculated in the N₂O/O₃ tracer space. The method applied in Khosrawi et al. (2009) was introduced by Proffitt et al. (2003) for the northern hemisphere lower stratosphere based on aircraft and balloon-borne measurements and helps to separate O₃ variability due to latitudinal transport from photochemical changes. In our follow-up studies this method had been extended to greater altitudes
- and to the southern hemisphere (Khosrawi et al., 2004, 2006; Khosrawi et al., 2008) using satellite data from the Improved Limb Atmospheric Spectrometers (ILAS and ILAS-II) and the Odin Sub-Millimetre Radiometer (Odin/SMR), respectively.

The model evaluation (Khosrawi et al., 2009) was performed for the potential temperature levels of 500 ± 25 K and 650 ± 25 K. In the northern hemisphere tropics (0°

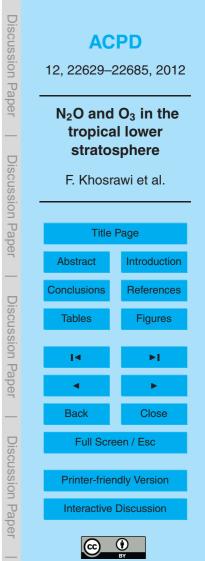


to 30° N) differences between models and satellite observations were found at both levels. At 500 ± 25 K, in both models a steeper negative correlation (decreasing O_3 with increasing N₂O) was found. In Khosrawi et al. (2009) these differences were explained to be probably caused partly by the large vertical O_3 gradients occurring in the tropics which cannot entirely be resolved by the rather coarse vertical resolution

- of Odin/SMR of 3 km and partly by inaccuracies in the model simulations of transport in the tropical stratosphere. In the frame of this study it will be shown that the latter reason is applicable and that the former has no direct influence on the results. Though the method suggested by Proffitt et al. (2003) focuses on O_3 , a discrepancy in
- ¹⁰ N₂O between models and satellite observations had been found as well. In the northern hemisphere tropics, monthly averages of N₂O values at 650 ± 25 K (~25 km) were much higher from Odin/SMR observations (reaching up to 330 ppbv) than simulated by the models. Though not discussed in detail by Khosrawi et al. (2009), the same discrepancies were found in the southern hemisphere tropics (0° to -30° S). These
- N₂O values are ~10 ppbv higher than the highly accurate ground-based observations of N₂O (319 ppbv in 2005) derived in the troposphere which is the only source region of this trace gas. Satellite data sets tend in general to have somewhat too high N₂O mixing ratios exceeding >320 ppbv as can be seen in the tracer-tracer correlations and probability density functions (PDFs) derived from ACE-FTS (Hegglin and Shepherd, 2007) and vertical profiles from e.g. Aura-MLS and ENVISAT/MIPAS (Barrett et al.,
- 2006; Lambert et al., 2007; Griesfeller et al., 2008; Payan et al., 2009).

Here, monthly averages of N_2O and O_3 from different satellite data sets are derived and compared to the Odin/SMR monthly averages. The satellite data sets that have been chosen are the ones which have a high temporal and spatial coverage in the

tropics as the Aura Microwave Limb Sounder (MLS), the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) on ENVISAT and the Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere (CRISTA). Further, monthly averages of N₂O and O₃ derived from the satellite data sets are compared to the monthly averages derived from model simulations from the Whole Atmosphere Community Climate



Model (WACCM). By applying eight years of Odin/SMR (2003–2010), seven years of Aura/MLS (2004–2010), seven years of ENVISAT/MIPAS (2003-2009) measurements and six years of WACCM simulations (2005–2010) the inter-annual variability of monthly averages of N₂O and O₃ can be assessed. This assessment allows the criticism on e.g. Khosrawi et al. (2009) to be addressed that the application of solely one year of monthly averages of N₂O and O₃ for the evaluation of CCMs is not sufficient. By applying additionally CRISTA-1 and CRISTA-2 data the cause of the exceptionally high N₂O bins derived from Odin/SMR at 650 ± 25 K that were found in our recent model evaluation study (Khosrawi et al., 2009) are investigated. Finally, a comparison between Odin/SMR, Aura/MLS, ENVISAT/MIPAS and WACCM is performed for the years 2003, 2006 and 2009 to test the applicability of the monthly averages of N₂O and O₃ also for satellite-satellite inter-comparisons.

2 Satellite Data

In the following the satellite data sets used in this study will be briefly described. A summary of the used satellite data sets, their vertical resolution, their precision as well as the number of profiles they measured per day is given in Table 1.

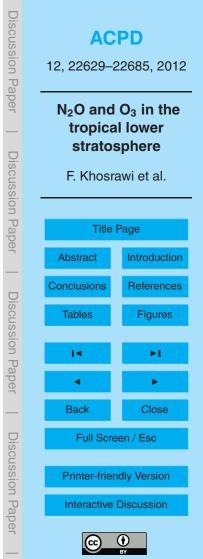
2.1 Odin/SMR

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Odin was launched on 20 February 2001 and carries two instruments, the Optical Spectrograph and Infrared Imaging System (OSIRIS) (Llewellyn et al., 2004) and the Sub-Millimetre Radiometer (SMR) (Frisk et al., 2003). Observations of the thermal emis-

sion of trace gases originating from the Earth's limb were performed by Odin/SMR in a time-sharing mode with astronomical observations until 2007 and solely in aeronomy mode thereafter. In aeronomy mode, various target bands are dedicated to profile measurements of trace constituents relevant to stratospheric and mesospheric chemistry and dynamics such as Q., ClO, N. Q. HNQ, H. Q. CQ, HQ, and NQ, as well as

istry and dynamics such as O_3 , CIO, N_2O , HNO₃, H_2O , CO, HO₂ and NO, as well as

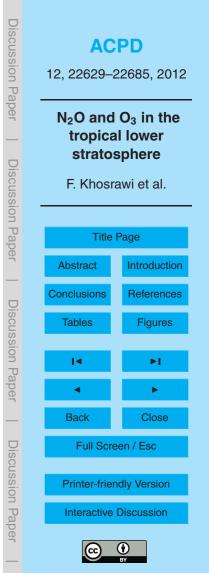


minor isotopologues of H_2O and O_3 (e.g., Murtagh et al., 2002). Stratospheric mode measurements were performed every third day until April 2007 and every other day thereafter. A typical stratospheric mode scan covers the altitude range from 7 to 70 km with a resolution of ~1.5 km in terms of tangent altitude below 50 km and of ~5.5 km ⁵ above. Usually, the latitude range between 82.5° S and 82.5° N is observed by the measurements (Urban et al., 2005a,b). Here, we use Chalmers Odin/SMR version 2.1 data of N₂O and O₃ from the 501.8 GHz band. N₂O and O₃ profiles are retrieved from \sim 12 to 60 km and $\sim 13 \text{ to } 65 \text{ km}$, respectively, with an altitude resolution of 1.5 km and 3 km, respectively. The systematic error of the N₂O measurements is estimated to be \leq 12 ppbv above 20 km and in the range of 12-35 ppbv (up to 10-15%) below (Urban et al., 10 2005a). The single profile precision has been estimated to be 10-30 ppby. Extensive validation of Odin/SMR has been conducted, especially with the space-borne sensors ENVISAT/MIPAS, Atmospheric Chemistry Experiment-Fourier Transform Spectrometer (ACE-FTS) and Aura/MLS. The Odin/SMR N₂O data have been validated in the altitude range of ~15-50 km. The comparison of Odin/SMR N₂O with ENVISAT/MIPAS Oxford 15 processor showed a good overall agreement within 4-7 ppbv (Urban et al., 2005b, 2006). The systematic error of Odin/SMR O_3 measurements is estimated to be lower

than 0.6 ppmv. Odin/SMR measurements of O₃ derived with the Chalmers Version 2.1 retrieval algorithm were validated by Jégou et al. (2008) and showed a good agreement of Odin/SMR Version 2.1 data with ground-based (-0.15 ± 0.3 ppmv), balloon-borne (-0.7 ± 1 ppmv) and space-borne sensors (-0.3 ± 0.2 ppmv). The inter-comparison of Odin/SMR ozone measurements with ENVISAT/MIPAS and balloon sonde data by Jones et al. (2007) showed an agreement within 10 % between 17 and 55 km (a maximum deviation of 0.42 ppmv) and 5–10 % between 25 and 35 km (less than 0.5 ppmv below 33 km).

2.2 Aura/MLS

The Microwave Limb Sounder (MLS) on the Earth Observing System Aura satellite was launched in July 2004. The Aura/MLS instrument is an advanced successor to



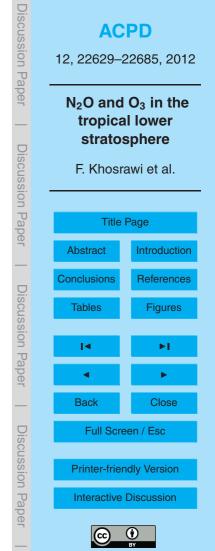
the MLS instrument on the Upper Atmosphere Research satellite (UARS) that was launched in 1991 and provided measurements until 1999. MLS is a limb sounding instrument that measures the thermal emission at millimeter and submillimetre wavelengths using seven radiometers to cover five broad spectral regions (Waters et al., 2006). Measurements are performed from the surface to 90 km with a global latitude coverage from 82° S and 82° N. Here, we use MLS v2.2 data. The estimated single profile precision of a retrieved profile of N₂O is ~13–25 ppbv (7–38%) and the estimated accuracy 3–70 ppbv (9–25%) for the pressure range 100-4.6 hPa. The scientifically useful range of the N₂O data is from 100 to 1 hPa. A detailed validation of the MLS N₂O data can be found in Lambert et al. (2007). A good agreement with correlative data was found. The agreement with ACE-FTS was within ±5% for pressures 100–1 hPa, with the ENVISAT/MIPAS within ±5% for pressures 32–1 hPa and for Odin/SMR between 0% and –5% for pressures 68-4.6 hPa. Validation studies of MLS O₃ were performed by Jiang et al. (2007); Livesey et al. (2008) and Froidevaux et al. (2008). The precision

¹⁵ of O₃ has been estimated to be ±40 ppbv in the upper troposphere and lower stratosphere (215–100 hPa). The accuracy has been estimated to be ±20 ppbv in the UT/LS between 215 and 147 hPa. A comparison of MLS O₃ with SAGE (Froidevaux et al., 2008) and radiosondes (Jiang et al., 2007) showed a ~20 % bias in MLS O₃ at 215 hPa at mid and high latitudes. However, this bias was not found in the comparison of MLS

 $_{\rm 20}$ O_3 with ground-based lidar measurements (Jiang et al., 2007).

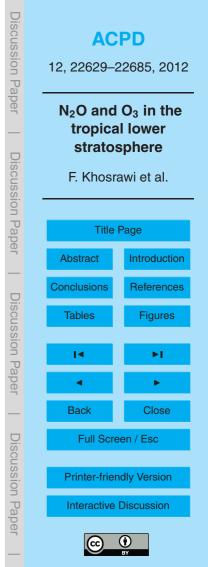
2.3 ENVISAT/MIPAS

The Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) was launched in March 2002 onboard the ESA's ENVIronmental SATellite (ENVISAT) research satellite. MIPAS is a middle infrared Fourier Transform spectrometer measuring the atmospheric emission spectrum in the limb sounding geometry. MIPAS operated in its nominal observation mode from June 2002 to March 2004, thus, approximately two years. Measurements during this time period were performed in its full spectral resolution measurement mode with a designated spectral resolution of 0.035 cm⁻¹.



Measurements were performed covering the altitude range from the mesosphere to the troposphere with a high vertical resolution (about 3 km in the stratosphere). After a failure of the interferometer slide in the end of March 2004, MIPAS resumed measurements in January 2005 with a reduced spectral resolution of 0.0625 cm⁻¹ but with improved spatial resolution. Target products of MIPAS are the trace gases as e.g. H₂O, 5 O₃, HNO₃, CH₄, N₂O and NO₂ as well as temperature (Fischer and Oelhaf, 1996). Here, we use the N₂O and O₃ data retrieved with the IMK/IAA (Institut für Klimatologie und Klimaforschung in Karlsruhe/Instituto de Astrofisica de Andalucia) processor, namely version V3O_N2O_11 and V3O_O3_9, respectively, for the years 2003 and 2004 (full resolution measurements) as well as V4O_N2O_202 and V4O_O3_201, respec-10 tively for 2005 onwards measurements (reduced resolution measurements). A detailed description of the MIPAS retrieval and error analysis for observations with the reduced spectral resolution can be found in von Clarmann et al. (2009). For N₂O the total retrieval error varies between 8 and 16% in major parts of the stratosphere and reaches 30.5% at the stratopause. The precision has been estimated to be 8.8 ppbv (4.5%) at 15 20 km, 13.3 ppbv (8.3 %) at 25 km and 3.9 ppbv (6.7 %) at 30 km. The total error of O_3 is 12-13% and precision for O₃ varies between 50 and 275 ppbv (140 ppbv or 3.8% at 20 km, 275.9 ppbv or 4.4 % at 25 km and 256.7 ppbv or 4.3 % at 30 km). The IMK/IAA

- full spectral resolution retrievals of N₂O and O₃ are described in Glatthor et al. (2005)
 and Glatthor et al. (2006). In both versions a positive bias is found in the N₂O data in the lowermost stratosphere. The total error for the full spectral resolution retrieval lies between 18 to 25 ppbv (10–12%) for N₂O at altitudes between 20 and 30 km. The MIPAS N₂O data were validated by Payan et al. (2009) and the O₃ data by Steck et al. (2007). A very good agreement with correlative data has been found for O₃ with dif ferences within ±10%. The MIPAS N₂O measurements are in good agreement with
- correlative data. No bias was found in the middle stratosphere while a small positive bias of 4 % was found in the lower stratosphere (Payan et al., 2009).

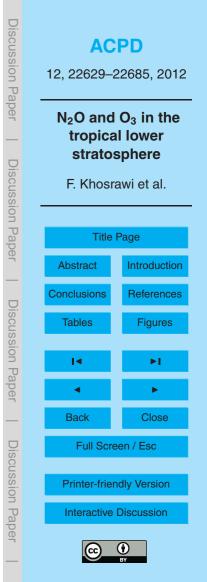


2.4 CRISTA

The CRISTA (CRyogenic Infrared Spectrometers and Telescopes for the Atmosphere) instrument was launched aboard the NASA space shuttles "Atlantis" and "Discovery", respectively, into an orbit of 300 km altitude and 57° inclination. The CRISTA-1 mission
⁵ was conducted from 4–12 November 1994 (Offermann et al., 1999) and the CRISTA-2 mission from 8–16 August 1997 (Grossmann et al., 2002). The CRISTA instrument was mounted on the CRISTA Shuttle Pallet Satellite (SPAS) platform which operates at a distance of 20–100 km behind the shuttle. CRISTA was a limb scanning instrument which measured the thermal emission (4–71 µm) of 15 trace gases as well as
of aerosols and clouds. CRISTA has a high spatial resolution in all three dimensions, with a resolution of typically 6° in longitude, 3° in latitude and 2 km vertical. The horizontal distance of two adjacent measurement points is about 650 km across the flight track and 200 to 400 km along the flight track. The latitudinal coverage of the observations was from 57° S to 67° N for the CRISTA-1 mission. CRISTA-2 measurements were

performed with an increased latitudinal coverage ranging from 74° S to 74° N. Measurements were made for photochemically active gases as e.g. O₃, ClONO₂, HNO₃, NO₂, N₂O₅ as well as of long-lived trace gases as CFC-11, N₂O and CH₄ (Riese et al., 1997, 1999; Offermann et al., 1999). Here, we use CRISTA-1 version 3 and CRISTA-2 version 1 measurements of N₂O and O₃. The CRISTA-1 systematic and statistical errors for N₂O are 26% and 3%, respectively, and for O₃ 10% and 2%, respectively, at 25 km. The CRISTA-2 s ystematic and statistical error for N₂O are 12% and 5.3%, respectively, and for O₃ 10% and 2.1%, respectively, at 25 km. A description of the

CRISTA error analyses can be found in Riese et al. (1999).



Model data 3

3.1 WACCM

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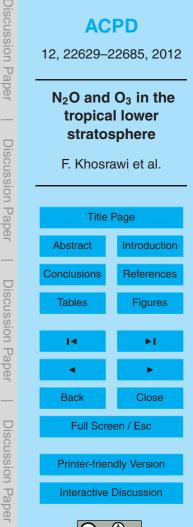
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Discussion Paper The Whole Atmosphere Community Climate Model, Version 4 (WACCM 4) is a fully interactive chemistry climate model, where the radiatively active gases affect heating and cooling rates and therefore dynamics (Garcia et al., 2007). Recently, a new version of the WACCM 4 model has been developed that allows the model to be run with "external" specified dynamical (SD) fields (Lamarque et al., 2012). These meteorological **Discussion** Paper fields come from the NASA Global Modeling and Assimilation Office (GMAO) Goddard Earth Observing System Model, Version 5 (GEOS-5). Here, temperature, zonal and meridional winds, and surface pressure are used to drive the physical parameterization that control boundary layer exchanges, advective and convective transport, and the hydrological cycle. In this study, the WACCM 4 meteorological fields are 'nudged' with the SD meteorological fields using the approach described in Kunz et al. (2011). The chemical module of WACCM 4 is based upon the 3-D chemical transport Model of **Discussion** Paper OZone and Related Tracers, Version 3 (MOZART-3) (Kinnison et al., 2007). WACCM 4 includes a detailed representation of the chemical and physical processes in the troposphere through the lower thermosphere. The species included within this mechanism are contained within the O_x, NO_x, HO_y, ClO_y, and BrO_y chemical families, along with CH₄ and its degradation products. In addition, fourteen primary non-methane hydrocarbons and related oxygenated organic compounds are included (Emmons et al., 2010). This mechanism contains 122 species, more than 220 gas-phase reactions, 71

- photolytic processes, and 18 heterogeneous reactions on multiple aerosol types. The SD-WACCM simulation employed here corresponds to the time period from 1 January 2005 through the end of 2010. For this simulation the model had a spin-up time from
- 1980 through 2003 using the fully interactive mode, i.e., WACCM without SD. On 1 Jan-25 uary 2004 the model was switched to SD with the nudging approach as described in Kunz et al. (2011). The horizontal resolution is $1.9^{\circ} \times 2.5^{\circ}$ (210 × 270 km), with a vertical



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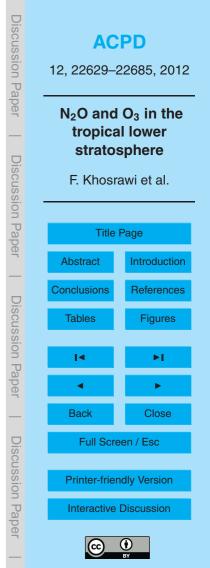
resolution of <1 km in the troposphere, 1 km in the lower stratosphere, and $\sim2 \text{ km}$ in the upper stratosphere.

4 Method

The method applied here has been put forward by Proffitt et al. (2003) based on balloon and aircraft data for the northern hemisphere and has been applied to satellite data and 5 extended to southern hemisphere data by Khosrawi et al. (2004). The first application of the method to satellite data was done for the polar regions using ILAS and ILAS-II data (Khosrawi et al., 2004, 2006) and then extended to other latitude regions using Odin/SMR data (Khosrawi et al., 2008). In our latest study the monthly averages of N_2O and O_3 were applied for the evaluation of atmospheric chemical models in the 10 tropics, midlatitudes and polar regions (Khosrawi et al., 2009). An assessment of the usage of different data sets as well as an assessment of different vertical resolutions used in the model simulations was given. Detailed descriptions of the method including discussions on the influence of limited sampling, the influence of diabatic descent on the N_2O/O_3 distribution can be found in the above references. Here, the method will be 15 only briefly described.

4.1 General characteristics

The application of a modified form of tracer-tracer correlations of N₂O and O₃, namely by using the method as suggested by Proffitt et al. (2003) has the advantage that it helps separating O₃ variability due to latitudinal transport from photochemical changes. Monthly averages of N₂O and O₃ are calculated by binning the data by altitude or potential temperature and then averaging over a fixed interval of N₂O (20 ppbv). This is exemplary shown in Fig. 1 for the month August and November 2005 applying the method on measurements derived from the Atmospheric Chemistry Experiment Fourier Transform



Spectrometer (ACE-FTS) in the northern hemisphere.¹ First, the N₂O and O₃ is data is separated into potential temperature bins (here from 400 ± 25 K to 650 ± 25 K as given by the color coding in Fig. 1). The separation into potential temperature (or altitude) bins makes a typical feature of the N₂O/O₃ relationship visible, namely the decrease of N₂O and increase of O₃ with increasing potential temperature (or altitude). Second, N₂O and O₃ are averaged over 20 ppbv N₂O resulting in a set of curves that lie within these potential temperature bins.

Due to the limited spatial sampling of ACE-FTS caused by the applied measurement technique and chosen satellite orbit, a separation of the monthly averages of N_2O and

- ¹⁰ O₃ derived from ACE-FTS into latitude regimes is not possible. Thus, measurements for the entire hemisphere are considered for describing our method. However, applying the May midlatitude (ATMOS Shuttle 1985), April high-latitude (ATMOS Shuttle 1993) as well as the November tropics (ATMOS Shuttle 1994) reference curves (Proffitt et al., 1990; Michelsen et al., 1998b), we can differentiate between air of tropical, midlatitude
- ¹⁵ and polar character (Proffitt et al., 2003; Khosrawi et al., 2008). These reference curves were derived from the high resolution spectrometer ATMOS flown on space shuttle mission with durations of approximately two weeks each (Michelsen et al., 1998a). The ACE-FTS measurements in August 2005 are centered around the tropical reference curve indicating that the measurements were primarily performed in the tropics while
- in November the observations are centered around the midlatitude and polar reference curve indicating air of midlatitudinal and polar character as can be expected from the ACE-FTS coverage during these months.

The general characteristic of the families of curves derived from monthly averages of N_2O and O_3 binned by altitude or potential temperature in the polar regions is a positive

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¹ACE-FTS is a solar oocultation instrument (Bernath et al., 2005; Boone et al., 2005). A seasonally varying coverage of the globe is provided, with an emphasis on midlatitudes and the polar regions. Here, we use ACE-FTS version 2.2. ACE-FTS measurements are used here since the method applied in this study can be much easier be visualized using data from a solar occultation instrument.

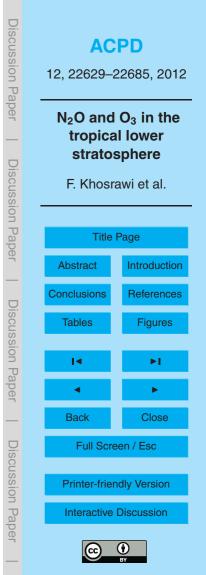
correlation (increasing N₂O with increasing O₃) at potential temperature levels above 500 ± 25 K and a negative correlation (decreasing N₂O with increasing O₃) at potential temperature levels below 500 ± 25 K (Fig. 1, right panel). The positive correlation at the levels above 500 ± 25 K is caused by diabatic descent of air from above the O₃
⁵ maximum (Proffitt et al., 2003; Khosrawi et al., 2009). At and below 500 ± 25 K the curves are influenced by a combination of diabatic descent and polar winter ozone loss. Descent at potential temperature levels ≤500 ± 25 K is visible in these curves as an extension of the curves to N₂O mixing ratios <50 ppbv and ozone loss by a change of slope (Fig. 1). However, as discussed by Khosrawi et al. (2008) diabatic descent is in the monthly averages to a certain extent masked by tropical ozone production when the entire hemisphere is considered. Thus, the separation in photochemical and dynamical processes can be most easily performed when only the polar regions are considered. As in Khosrawi et al. (2008) and Khosrawi et al. (2009) the monthly averages are

separated into latitude regimes (tropics, midlatitudes and polar regions).

4.2 N₂O/O₃ distributions in the tropics

In this study, the method is applied to measurements and model simulations derived in the northern hemisphere tropics (0°-30° N) as previously done in Khosrawi et al. (2008, 2009). In the tropics, a flat to positive correlation is found at potential temperature levels above 700 \pm 25 K (Khosrawi et al., 2008). The positive correlation is caused by the photochemical production of ozone in the tropics. The flat correlation below 700 \pm 25 K is caused by the general distribution of N₂O and O₃. Both species have their maxima in the lower stratosphere and thus exhibit no latitudinal gradients in the tropics. At levels below 550 \pm 25 K the tropical air is influenced by midlatitude air (Khosrawi et al., 2008). In fact, the tropics are not truly isolated from the midlatitudes and these are not truly isolated from the high latitudes as has been shown in previous studies (e.g., Randel

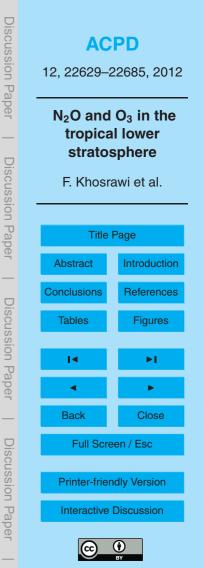
²⁵ Isolated from the high latitudes as has been shown in previous studies (e.g., Randel et al., 1993; Proffitt et al., 2003; Konopka et al., 2009). The O_3/N_2O distribution in the tropics is similar in both hemispheres. Further, seasonal changes are small and equal in both hemispheres (Khosrawi et al., 2008).



In our recent model comparison (Khosrawi et al., 2009) we evaluated the Karlsruhe Simulation Model of the Middle Atmosphere (KASIMA, Ruhnke et al., 1999; Reddmann et al., 2001) as well as the atmospheric general circulation model ECHAM5/Messy1 (E5M1, now better known as EMAC (Jöckel et al., 2006)). Large differences between model simulations and observations were found in the tropics. The model evaluation was performed at 500 ± 25 K and 650 ± 25 K using Odin/SMR observations as reference. Figure 2 shows the comparison of the monthly averages of N₂O and O₃ derived from model simulations by KASIMA (blue) and E5M1 with T40L90MA resolution (green) with the averages derived from Odin/SMR (grey) observations for two potential temper-10 ature levels (500 ± 25 K and 650 ± 25 K) for January 2003 (NH, tropics).

A reasonable agreement was found at 500 ± 25 K for both models. At 500 ± 25 K in both models a steeper negative correlation as for the Odin/SMR observations was found which leads to higher O₃ mixing ratios at N₂O mixing ratios smaller than 250 ppbv and lower O₃ mixing ratios at N₂O mixing ratios larger than 250 ppbv. Therefore, differences between models and observations in averaged O₃ mixing ratios were changing

- ¹⁵ ences between models and observations in averaged O_3 mixing ratios were changing from +40 % to -40 % (not shown). Indeed, it could be that the strong vertical ozone gradients in the tropical lower stratosphere are difficult to resolve with the relatively coarse altitude resolution of Odin/SMR O_3 observations (3 km). However, to assess the effect of this aspect we degraded as an exemplary test highly resolved SD-WACCM data onto
- ²⁰ the altitude resolution of the MIPAS O₃ observations (which is essentially the same as for Odin/SMR) using a larger set (more than 2000) of averaging kernel and a priori information from the MIPAS O₃ retrieval (see e.g. Connor et al. (1994); equation 4). This test revealed only a small difference between the original and degraded SD-WACCM O₃ data, i.e. 0.05 ppmv at 650 K and virtually zero at 500 K. Hence the altitude res-
- $_{25}$ olution of the satellite data is not the cause of the discrepancies between the model and satellite data. The differences could rather be due to the fact that N₂O and O₃ were retrieved with different altitude resolutions and that we use the relationship between these two species. On the other hand, transport processes in the tropical lower stratosphere are difficult to represent in models (e.g. Hegglin and Shepherd, 2007)



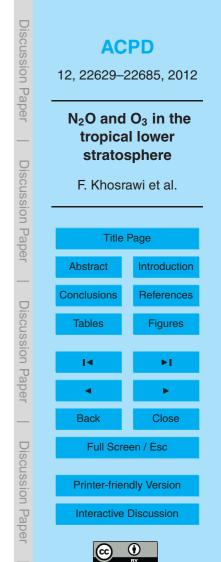
and vertical velocities are overestimated by models in the lower tropical stratosphere (Ploeger et al., 2010), so that the model vs Odin/SMR differences could also be partly due to model deficiencies (Khosrawi et al., 2009).

- Though a satisfactory agreement between models and observations was found at ⁵ $650 \pm 25 \text{ K}$ (differences generally within $\pm 20 \%$) unusually (unrealistically) high N₂O mixing ratios (N₂O > 320 ppbv) were found in the Odin/SMR data that were not found in the model simulations. The reported statistical uncertainty of a single Odin/SMR data point on $650 \pm 25 \text{ K}$ level is of the order of the chosen N₂O bin size ($1\sigma \sim 25 \text{ ppbv}$). This may lead to an artificial extension of the correlation curves at their ends since certain N₂O/O₃ pairs will then be sorted into the 320 to 340 ppbv bin. Further, the number of data points present in the bins for N₂O > 320 ppbv is rather small, i.e. typically only $\sim 10 \%$ of the data points that are found in other bins (Khosrawi et al., 2009). In this study we investigate if these high N₂O mixing ratios (N₂O > 320 ppbv) are caused by
- the influence of the statistical uncertainty on the N_2O/O_3 averages or by measurement ¹⁵ uncertainties or if these values are caused by a physical process which is not well represented or difficult to simulate in the models as e.g. the QBO. We also investigated the cause of differences between models and measurements at 500 ± 25 K and assess the inter-annual variability of monthly averages of N_2O and O_3 as well as test their applicability for satellite-satellite inter-comparisons. For this purpose, we apply our method ²⁰ to measurements in the tropics derived from several satellite data sets as Odin/SMR,
- Aura/MLS and ENVISAT/MIPAS, CRISTA-1, CRISTA-2, as well as to model simulations from a further CCM, the SD-WACCM model.

5 Results

5.1 Assessment of the inter-annual variability

 $_{\rm 25}$ To assess the inter-annual variability of monthly averages of N_2O and O_3 we consider eight years of Odin/SMR (2003-2010) measurements, seven years of ENVISAT/MIPAS



measurements (2003-2009), seven years of Aura/MLS measurements (2004–2010) as well as 6 years of model simulations from SD-WACCM (2005–2010). Figure 3 shows the monthly averages of N_2O and O_3 derived from Odin/SMR for the northern hemisphere tropics (0-30° N) at 500 ± 25 K and 650 ± 25 K for the years 2003 to 2010. The figure shows that the inter-annual variability is low and can easily be distinguished from

model deficiencies (see e.g. differences between model simulations and Odin/SMR observations in Fig. 2).

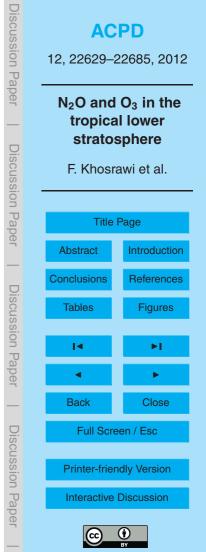
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Small inter-annual variations in the monthly averages of N₂O and O₃ are seen as an extension of the curves in the N₂O space and slightly varying O₃ mixing ratios (max 1 ppmv) at both ends of the curves. The slight variations in O₃ at 650 ± 25 K for N₂O

- \geq 300 ppbv are most likely caused by the quasi-biennial oscillation (QBO). In fact, the QBO dominates the variability of the equatorial stratosphere with a peak amplitude at around 25 km (Baldwin et al., 2001). The slight variations in O₃ at 500 ± 25 K for N₂O \geq 300 ppbv are most likely caused by the inter-annual variability of tropical upwelling
- ¹⁵ in connection with the prevailing QBO phase. There is a clear modulation of tropical ascent associated with the QBO (Punge et al., 2009). Upwelling is enhanced when the vertical wind shear caused by the QBO winds at a selected level is easterly but reduced or even turned to subsidence in westerly shear conditions at the equator (Plumb and Bell, 1982; Punge et al., 2009). Thus, higher N₂O values at e.g. 650 K can be expected
- during the QBO east phase. During the time period considered in this study the QBO was in its easterly phase in 2003, 2005, 2007 and 2009. The extension to $N_2O \leq 220$ ppbv and $N_2O \leq 150$ ppbv, respectively, and the variations in O_3 at these N_2O values can be attributed to air of mid-latitude origin (see reference curves in Fig. 1).

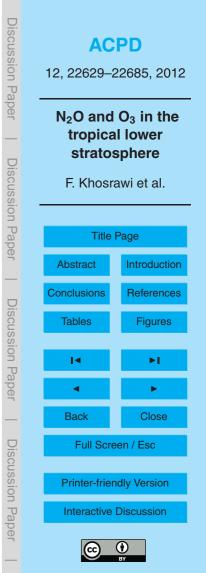
The monthly averages of N₂O and O₃ derived from Aura/MLS observations for the ²⁵ northern hemisphere midlatitude tropics are shown in Fig. 4. Note that 2005 is used here as reference since measurements of MLS started at the end of 2004. Aura/MLS is a microwave instrument like Odin/SMR and similar trace gas distributions are derived from both instruments (Barrett et al., 2006; Lambert et al., 2007). However, there are differences between Aura/MLS and Odin/SMR in the geographical and temporal



sampling as well as in the vertical resolution of the measurements. N₂O is measured by Odin/SMR with a vertical resolution of 1.5 km and O₃ with a vertical resolution of 2.5-3.5 km while N₂O is measured by Aura/MLS with a vertical resolution of 4–6 km and O₃ with a vertical resolution of 3 km (Table 1). The much lower standard deviations of monthly averages from Aura/MLS compared to the monthly averages derived from Odin/SMR are caused by five times higher number of observations and the coarser vertical resolution (Table 1) of the Aura/MLS measurements which results in a lower variability of the measured values.

Further, due to the coarser spatial resolution of Aura/MLS compared to Odin/SMR

- the inter-annual variability in the monthly averages of N₂O and O₃ is even lower. As for Odin/SMR the slight variations in O₃ at 650 ± 25 K at N₂O ≥ 300 ppbv are most likely caused by the inter-annual variations in trace gas concentrations caused by the AO and QBO and the differences at 500 ± 25 K are most likely caused by different strengths in tropical upwelling from year to year (which however is also steered by the QBO). The
- QBO and tropical upwelling are connected (Punge et al., 2009) but the effect of the QBO is stronger at 650 K since this is the altitude where the QBO amplitude peaks and 500 K is a altitude where upwelling is most pronounced. Since these processes are coupled differences in upwelling may also cause differences in the QBO and vice versa (e.g. Punge et al., 2009).
- The ENVISAT/MIPAS N₂O measurements have a better vertical resolution than the Aura/MLS observations, but not as good as the Odin/SMR observations of (Table 1). Further, the temporal resolution of the measurements from ENVISAT/MIPAS is not as high as from Aura/MLS and not as low as from Odin/SMR. Thus, the standard deviations of the monthly averages of N₂O and O₃ derived from ENVISAT/MIPAS are not
- as low as the ones derived from Aura/MLS and not as high as the ones derived from Odin/SMR (Note: these are not the sole reasons, instruments precision plays also a role). The monthly averages of N₂O and O₃ derived from ENVISAT/MIPAS for the years 2003 to 2010 are shown in Fig 5. As for Odin/SMR and Aura/MLS the inter-annual variability of monthly averages of N₂O and O₃ is also low for ENVISAT/MIPAS. A bias with



respect to the observed N₂O mixing ratios between the high spectral resolution (2003-2004) and the low spectral resolution observations (2005 onwards) at $650 \pm 25 \text{ K}$ is in some months clearly visible. The bias between these two data versions can be mainly attributed to the differences in the retrieval set-up. Slight variations in the monthly av-

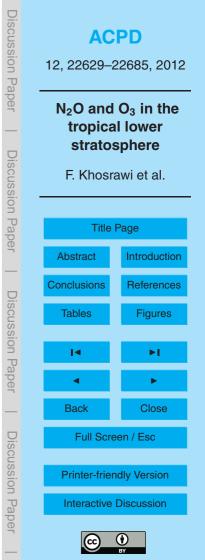
⁵ erages are caused as for Odin/SMR and Aura/MLS by air of midlatitude origin, the inter-annual variability in N₂O and O₃ most likely caused by the QBO and different strengths of tropical upwelling from year to year due to the prevailing QBO phase.

In addition to the consideration of seven to eight years of monthly averages of N_2O and O_3 derived from three different satellite data sets the inter-annual variability of these averages as derived from a Chemistry-Climate model is investigated. For the pur-

- ¹⁰ these averages as derived from a Chemistry-Climate model is investigated. For the purpose of a model evaluation study it is important that not only the inter-annual variability in the satellite data sets is low but also that the inter-annual variability in the model simulations is low. The monthly averages of N₂O and O₃ derived from SD-WACCM for the years 2005 to 2010 are shown in Fig 6. The inter-annual variability in the SD-WACCM ¹⁵ monthly averages of N₂O and O₃ is as low as for the monthly averages derived from
 - the satellite data sets.

In the SD-WACCM simulation the QBO is realistically represented and arises solely from the nudging of the WACCM dynamics with GEOS5 meteorological fields. As in our recent model evaluation study the curves of monthly averages of N_2O and O_3 derived

- ²⁰ from SD-WACCM are at 500 ± 25 K steeper (but not as steep as E5M1 and KASIMA) than the ones derived from the satellite data which can most likely be attributed to a stronger tropical upwelling in the model simulation than observed. At 650 ± 25 K the slight variations form year to year in the monthly averages are caused most likely by the QBO. In the SD-WACCM data in April and extension of the curves to much lower
- N₂O values than in the monthly averages derived from the satellite observations are found which can be attributed to a stronger in-mixing of midlatitude air into the tropics by the model.



5.2 Observation of exceptionally high N₂O mixing ratios

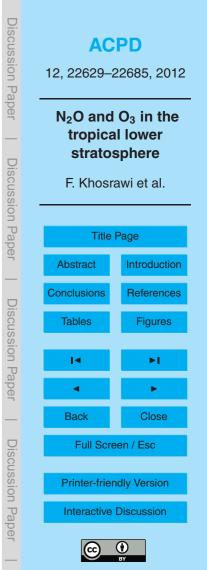
5.2.1 Analysis of Odin/SMR observations

In the tropics, monthly averages of N₂O values at 650 \pm 25 K were much higher derived from Odin/SMR observations (reaching up to 330 ppbv) than simulated by KASIMA and

- E5M1. These values are even higher than the highly accurate ground-based observations of N₂O (319 ppbv in 2005) derived in the troposphere (Forster et al., 2007). In the Odin/SMR data these high N₂O mixing ratios occur solely in the tropics and with a seasonal cycle. In the northern hemisphere a maximum is found during the winter months and a minimum during summer months (e.g. January and July 2003, respectively, see
- Supplement). This summer/winter variation is consistent with tropical upwelling which is stronger during northern hemisphere winter (e.g. Randel et al., 2007; Ploeger et al., 2010). Further, besides a seasonal variability in the observation of these high values we also found an inter-annual variability. N₂O mixing ratios exceeding 320 ppbv are found in January 2003, 2004, 2006 and 2010 but not in January 2005, 2007 and 2009, as
 well as in October 2003, 2006 and 2007 but not in October 2004, 2005 and 2008-2010 (Fig. 3 and 7).

The Odin/SMR N_2O anomaly fields (daily zonal-mean minus a multi-year zonal mean) for the tropics at latitudes between 10° N and 10° S are shown in Fig. 8 top and second panel. The N_2O anomaly fields derived from Odin/SMR show a clear signature of the quasi biannial assiltation in the strategy have the properties of the strategy have been to be the strategy have been to be assiltation of the strategy have bee

- ²⁰ of the quasi-biennial oscillation in the stratosphere. The positive anomalies propagate downward in the upper stratosphere with an interval of approximately two years. The QBO was in its westerly phase during 2002, 2004, 2006, 2008 and 2010 while the QBO was in its easterly phase during 2003, 2005, 2007 and 2009 (FU Berlin database http://www.geo.fu-berlin.de/en/met/ag/strat/produkte/qbo/ and Jin et al. (2009)).
- The occurrence of the maxima and minima in the maximum N_2O mixing ratios of the averaged bins $650 \pm 25 \text{ K}$ (see Supplement) agree quite well with the positive and negative anomalies found at around 650 K in the N_2O anomaly field (Fig. 8 second panel). For example, distinct negative anomalies are found during summer 2008 and



2009 where we also find distinct minima in the maximum N₂O mixing ratios of the averaged bins. Further, the N₂O fields for the stratosphere (Fig. 8 third panel) show that due to a stronger upwelling N₂O was transported higher up in 2002, 2004, 2006, 2008 and 2010 which is in agreement with the maxima of the maximum N₂O mixing ratios of the averaged bins we found (Fig. 7 as well as Supplement).

5.2.2 Analysis of Aura/MLS, CRISTA and ENVISAT/MIPAS observations

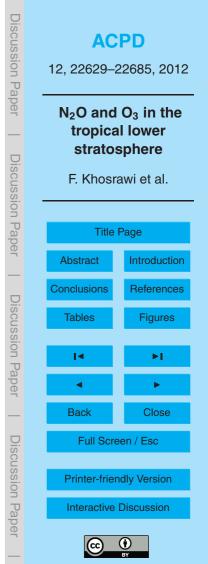
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The same data sets as in Sect. 5.1 are applied here plus additionally the CRISTA-1 and CRISTA-2 measurements. The CRISTA data sets have been included in this study though they only provide measurements for two weeks in November 1994 and August

- 10 1997, respectively. However, CRISTA-1 and CRISTA-2 is one of the few data sets having a very high temporal and spatial resolution in the tropics. Comparing the results from Odin/SMR with the results derived from Aura/MLS generally lower maximum N₂O mixing ratios are found in Aura/MLS than in Odin/SMR (Fig. 4 and 7 as well as tables in Supplement). A low bias of Aura/MLS N₂O relative to Odin/SMR N₂O has already
- ¹⁵ been shown in recent validation studies (Barrett et al., 2006; Lambert et al., 2007). Barrett et al. (2006) found that maximum absolute differences between Aura/MLS and Odin/SMR N₂O can reach up to 20–30 ppbv.

Though the highest N_2O averages from Aura/MLS are ~20 ppbv lower than the monthly averages from Odin/SMR, a similar structure of higher N_2O values during win-

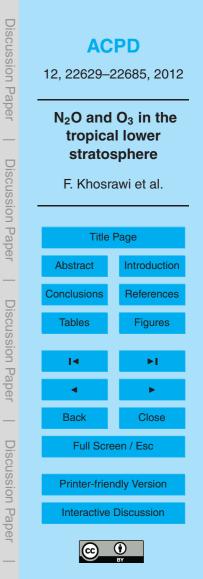
- ter months is found (see Fig. 7 and tables in Supplement). The summer minima, however, are not as strongly pronounced in the Aura/MLS data as in Odin/SMR data. The fact that lower N₂O averages than Odin/SMR (330 ppbv) are found in the Aura/MLS observation is likely caused due to the coarser vertical resolution of Aura/MLS as can be seen from Fig. 9. Figure 9 shows the Probability Density Functions (PDFs) calculated and the Aura/MLS as can be seen from Fig. 9. Figure 9 shows the Probability Density Functions (PDFs) calculated
- from Odin/SMR with the original Odin/SMR resolution of N₂O measurements (1.5 km) and for the Odin/SMR N₂O measurements smoothed to the Aura/MLS vertical resolution (4 km). The application of PDFs of long-lived tracers has been introduced by Sparling (2000) to quantify mixing regions and mixing barriers. The winter hemisphere PDF



has three modes while the summer hemisphere PDF has two modes. The peaks in the winter hemispheric PDF correspond to the polar vortex, mid-latitude surf-zone and tropics and the minima define the vortex edge and the subtropical boundary. The summer hemisphere PDF peaks correspond to the tropics and summer extra-tropics with

- ⁵ a broader minimum between them corresponding to the subtropical barrier (Palazzi et al., 2011). As can be seen from the PDFs shown in Fig. 9, the high N₂O mixing ratios (N₂O > 300 ppbv) are smoothed out due to the coarser resolution of Aura/MLS. Further, small temporal and spatial differences in the structure of the SAO and QBO as observed by Odin/SMR and Aura/MLS were found by Jin et al. (2009).
- ¹⁰ For considering a data set which has a similar vertical resolution as Odin/SMR (1.5 km) we apply our method to the CRISTA-1 and CRISTA-2 observations (2 km) for November 1994 and August 1997, respectively. Though CRISTA-1 and CRISTA-2 provide only data for two week periods it is to our knowledge the only satellite instrument with such a high vertical and horizontal resolution in the tropics. Figure 10 shows the
- ¹⁵ monthly averages of N₂O and O₃ derived from all CRISTA-1 measurements performed from 4 to 12 November 1994 at altitude levels between 25 and 45 km and derived from CRISTA-2 measurements from 8 to 16 August 1997 at altitudes between 20 and 50 km (NH, tropics). Although these measurements were performed several years earlier than the Odin/SMR measurements, also here N₂O mixing ratios up to 330 ppbv at
- $_{20}$ 25 km (~650 K) are found that are in good agreement with the Odin/SMR monthly averages (CRISTA-1, November 1994), despite of the large systematic errors of CRISTA-1 (see Table 1). The mean tropospheric N₂O mixing ratio has increased during this time period from 314 ppmv in 1998 to 319 ppbv in 2005 (IPCC, 2007; Forster et al., 2007).

The ENVISAT/MIPAS N_2O measurements have a better vertical resolution (3–4 km) than the Aura/MLS observations (4–6 km), but not as good as the Odin/SMR observations (1.5–3 km). In 2003 and 2004, before the intermission in the ENVISAT/MIPAS operation, maximum N_2O mixing ratios of 330 ppbv are found between February and April. From 2005 onwards when ENVISAT/MIPAS continued its operation with a lower spectral resolution much lower N_2O mixing ratios (Fig 5 and 7) are found than in 2003



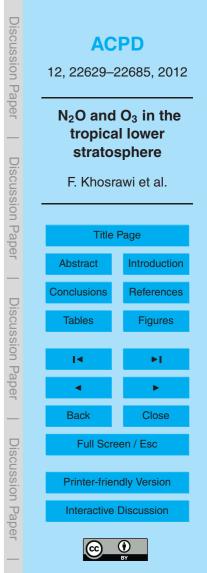
and 2004 (e.g. Palazzi et al., 2011). These low values are a result of the efforts to reduce the well-known bias in MIPAS N₂O observations of the period before. However, these values are also lower than the ones measured by Aura/MLS and Odin/SMR. As in the Odin/SMR monthly averages higher N₂O values are found in the ENVISAT/MIPAS
⁵ monthly averages during the winter months. Especially, besides 2003 and 2004 between 2008 and 2010 higher N₂O values are found, thus a similar structure as in the Aura/MLS and SD-WACCM monthly averages (Sect. 5.2.3). Thus, from this satellite data comparison applying monthly averages of N₂O and O₃ a seasonal and interannual cycle in the occurrence of enhanced N₂O mixing ratios is consistently found in all data sets, however, more or less pronounced dependent on which data set is considered. We found that these values occur preferentially in the satellite data onto the lower vertical resolution. However, the degradation of the Odin/SMR data onto the lower vertical resolution of the ENVISAT/MIPAS data set, using their averaging kernel

order of a few ppbv between the highly resolved and degraded Odin/SMR data. Thus, the preferential occurrence of these high N₂O values in the data set with high vertical resolution must be a coincidence. Concerning the reliability of these values it is very likely that the absolute N₂O values exceeding 320 ppbv are caused due to some bias problems or instrument noise. To entirely understand the reason for occurrence of these high N₂O values in satellite measurements further studies are necessary which are beyond the scope of this study.

and a priori information (Connor et al., 1994), resulted in only small differences in the

5.2.3 Analysis of SD-WACCM model simulations

Since these high N₂O mixing ratios in the tropical lower stratosphere were found while performing a model evaluation (Khosrawi et al., 2009) we also consider model simulations in this study. Due to the fact that these high values occur with a seasonal and inter-annual variability it was presumed that the QBO that is usually not well represented in many models (e.g. Giorgetta et al., 2006; SPARC CCMVal, 2010) could be



the cause for the occurrence of the high values. ¹ In fact, in the simulation used for our recent model evaluation study (Khosrawi et al., 2009) the meteorological fields in the KASIMA simulation where nudged toward operational ECMWF analyses between 7 and 48 km. In the E5M1 low resolution simulation the QBO was nudged while in the high resolution E5M1 simulation the QBO is generated internally. We do not intend to perform an additional model evaluation study, thus, only simulations from one model

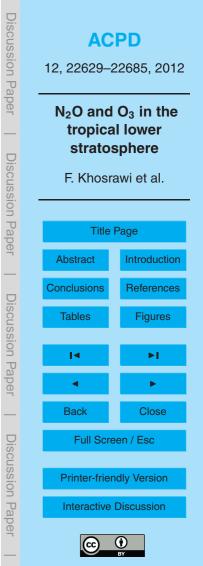
⁵ high resolution E5M1 simulation the QBO is generated internally. We do not intend to perform an additional model evaluation study, thus, only simulations from one model are considered.

SD-WACCM simulations for the years 2005 to 2010 including a realistic representation of the QBO are taken into account (Fig 6). The simulation of a realistic QBO improves also the simulation of the tropical upwelling and the atmospheric tape recorder compared to a model without a QBO. Further, the SAO is only simulated realistically if the QBO is represented (Giorgetta et al., 2006). SD-WACCM N₂O mixing ratios are of a comparable value as the ENVISAT/MIPAS mixing ratios derived from the low spectral resolution observations (from 2005 onwards). Thus, SD-WACCM maximum N₂O mixing ratios are ~20 ppbv lower than Aura/MLS and Odin/SMR maximum N₂O mixing ratios.

Although the QBO has been fully considered in SD-WACCM and the model simulations were performed with a high vertical resolution in the lower stratosphere (1 km), no structure as pronounced as in the satellite data sets showing higher maximum N_2O

- ²⁰ mixing ratios of the averaged bins during winter months is found. However, higher values are found during Mai 2007, February 2009 and from January to June 2010. The QBO in SD-WACCM is realistically simulated and in good agreement with the QBO derived from Odin/SMR (not shown). However, the curves from SD-WACCM at 550 ± 25 K are not as steep as the ones derived from KASIMA and E5M1 and thus agree much better with the ones derived from the satellite data as will be discussed in the next
- section. Further, in SD-WACCM the QBO signal does not propagate as far down as in

¹Note: As discussed in the previous section the absolute values are most likely caused by satellite biases and instrument noises, but the winter/summer variation on the occurrence of higher/lower N_2O values we could attribute to the QBO



in Odin/SMR. This may explain why we do not see the structure of higher N_2O values in winter than in summer as pronounced in SD-WACCM as in the satellite data.

5.3 Inter-comparison

For investigating if the monthly averages of N₂O and O₃ can not only be applied for model evaluations but also for satellite inter-comparison a comparison of Odin/SMR, Aura/MLS, ENVISAT/MIPAS and SD-WACCM averages derived for the tropics is performed. The comparison is performed for all years but is shown here for the years 2003 and 2009 (years with higher N₂O) and 2006 (years with lower N₂O). The QBO in 2003 and 2009 was in its easterly phase, while it was in its westerly phase in 2006.

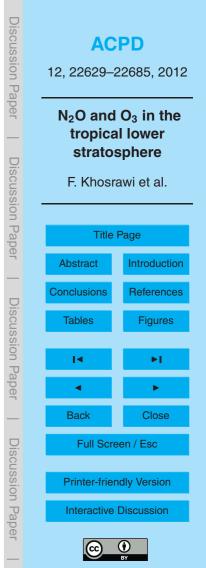
- In these data comparisons the Odin/SMR data is taken as the reference. In Fig. 11 the monthly averages derived from Odin/SMR and ENVISAT/MIPAS for the year 2003 are shown (the other data sets were not available for that year). ENVISAT/MIPAS O_3 mixing ratios are somewhat higher than Odin/SMR at 650 ± 25 K and somewhat lower than Odin/SMR at 500 ± 25 K. The O_3 differences between these two instruments are within $\pm 20\%$ (not shown). The differences between MIPAS and Odin/SMR O_3 are
- within $\pm 20\%$ (not shown). The differences between MIPAS and Odin/SMR O₃ are similar to the ones found in the validation study by Jones et al. (2007).

The curves of both data sets are very similar concerning their N_2O mixing ratios. However, Odin/SMR extends generally to a somewhat larger N_2O range at both potential temperature levels. High N_2O mixing ratios (330 ppbv) are found in the Odin/SMR monthly averages between January to March and October to December. In the EN-

20 monthly averages between January to March and October to December. In the EN-VISAT/MIPAS monthly averaged values are as high as the ones from Odin/SMR are found between February and April (Fig. 11 as well as Fig. 7).

The comparison of Odin/SMR with ENVISAT/MIPAS, Aura/MLS and SD-WACCM for the year 2006 (Fig. 12) shows a good overall agreement at 500 ± 25 K. At 650 ± 25 K Odin/SMR O₃ mixing ratios seem to be somewhat lower (0.5-1-1 pmv), indicating a negative bias of Odin/SMR O₃ measurements compared to ENVISAT/MIPAS and

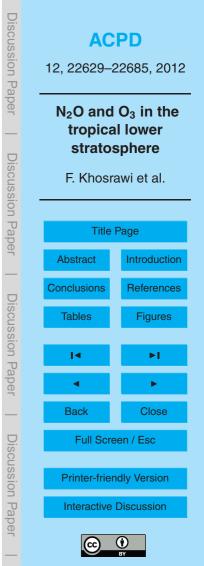
Aura/MLS as described above and as reported in the validation studies by Barrett et al. (2006). N_2O mixing ratios as high as 330 ppbv are found in January and October in



the Odin/SMR data, but not in the other three data sets where maximum values range between 270 and 290 ppbv. Although the other data sets do not reach maximum N_2O mixing ratios as high as Odin/SMR still higher maximum N_2O mixing ratios than in the other months are found in January (except for SD-WACCM). The fact, that Aura/MLS N_2O is biased low by 20–30 ppbv between 68.1 and 10 hPa compared to Odin/SMR

- ⁵ N₂O is biased low by 20–30 ppbv between 68.1 and 10 hPa compared to Odin/SMR has already been shown by Barrett et al. (2006). However, the Odin/SMR N₂O single profile precision was estimated to be 10–30 ppbv and the estimated systematic error 12–25 ppbv (Urban et al., 2006; Lambert et al., 2007). Thus, the differences in the absolute values of the maximum mixing ratios may be caused by the instrument biases.
- ¹⁰ Another interesting feature in this comparison is that the curves at 500 ± 25 K from ENVISAT/MIPAS, Aura/MLS and SD-WACCM are not as flat as the ones derived from Odin/SMR. The flat N₂O/O₃ curve from Odin/SMR was, besides the overestimation of tropical upwelling by the models, the reason that large differences between models and observations were found at 500 ± 25 K in our recent model comparison (Khosrawi
- et al., 2009). Thus, if we would repeat our model comparison with ENVISAT/MIPAS or Aura/MLS and SD-WACCM we would not find these large discrepancies between model and measurements. The flat relationship in the Odin/SMR at 500 ± 25 K is probably caused by an underestimation of O₃ in the tropics and subtropics. Jones et al. (2007) found the largest systematic differences with Odin/SMR O₃ being lower than
- ²⁰ ozone sonde measurements at tropical latitudes below 35 km. An underestimation of Odin/SMR O₃ compared to the MIPAS ESA version 4.61 was found between 19 and 25 km. The underestimation of Odin/SMR O₃ compared to ozone sondes was found to be more than 1 ppmv between 20–25 km in the tropics (Jones et al., 2007).

The comparison of Odin/SMR with ENVISAT/MIPAS, Aura/MLS and SD-WACCM for the year 2009 (Fig. 13) shows a better agreement of the satellite data sets at 650 ± 25 K. However, somewhat larger differences than for 2009 are found for 2003 and 2006 are found at at 500 ± 25 K. Especially, the differences increase with increasing N₂O. Palazzi et al. (2011) derived PDFs from Odin/SMR, Aura/MLS and ENVISAT/MIPAS and found that the PDFs derived from these three instruments have

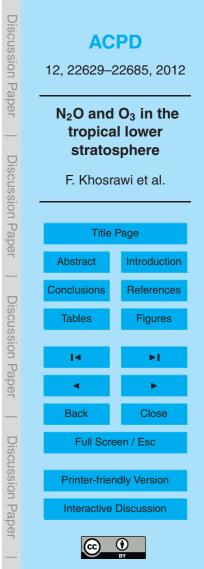


the same structure which can be expected for instruments which have a comparable coverage. The PDFs show a variation from year to year which is a function of the QBO phase (e.g Randel et al., 1998; Palazzi et al., 2011). The wintertime subtropical barrier is shifted toward the summer hemisphere when the QBO is in its westerly

- ⁵ phase. In 2003 and 2009 the QBO was in its easterly phase and in its westerly phase in 2006. Figure 14 shows the PDFs derived from Odin/SMR at 650 K for the southern and northern hemisphere for 2003, 2006 and 2009. In 2006 when the QBO was in its westerly phase a smaller subtropical edge (minima in the PDF at ~200 ppbv) and broader surf-zone (peak in the PDF around 100-200 ppbv) accompanied with some-10 what larger surf-zone and vortex peak values are found during northern hemisphere
- what larger sun-zone and vortex peak values are found during northern hemisphere winter compared to 2003 and 2009 when the QBO was in its easterly phase. This results in N_2O/O_3 curves which span over a shorter N_2O range in 2006 when the QBO was in its westerly phase.

6 Conclusions

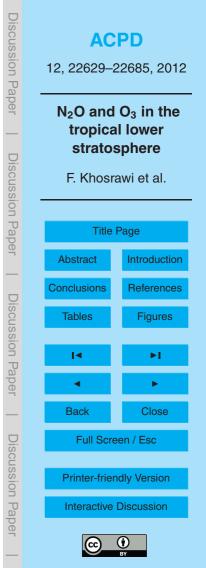
- ¹⁵ We applied a modified form of tracer-tracer correlations of N₂O and O₃ where the data is organized monthly for both hemispheres by partitioning the data into altitude or potential temperature bins and then averaging over a fixed interval of N₂O. By applying this method to satellite data it becomes a quite valuable tool for the evaluation of atmospheric chemical models as well as for satellite inter-comparisons. By applying eight
- ²⁰ years of Odin/SMR measurements (2003–2010), seven years of Aura/MLS measurements (2004–2010) and seven years of ENVISAT/MIPAS measurements (2003–2009) as well as six years of model simulations from SD-WACCM the inter-annual variability of monthly averages of N₂O and O₃ has been assessed. We found that the inter-annual variability is low and can easily be distinguished from model deficiencies.
- In a recent model evaluation study where two CTMs and one CCM were evaluated (Khosrawi et al., 2009) large differences between model simulations and Odin/SMR observations in the northern and southern hemisphere tropics (0° to 30° N and 0° to



 -30° S, respectively) were found. The model evaluation was performed for the potential temperature levels of 500 ± 25 K and 650 ± 25 K. At 500 ± 25 K in both models a steeper negative correlation (decreasing O₃ with increasing N₂O) was found than in the observations. In Khosrawi et al. (2009) these differences were explained to be probably partly caused by the large vertical O₃ gradients occurring in the tropics which cannot entirely be resolved by the rather coarse vertical resolution of Odin/SMR of 3 km and partly by inaccuracies in the model simulations of transport in the tropical stratosphere. While it could be shown here that the latter reason is applicable, we found that the vertical resolution itself is not a reason for the differences between model and ob-

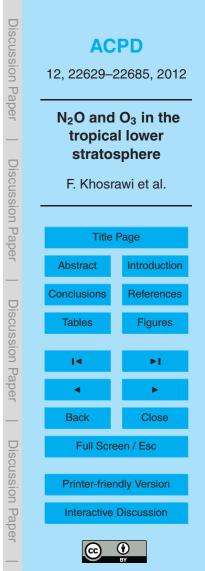
- servations. We attribute the steeper correlation in the model simulations to a incorrect simulation of tropical upwelling which is due to a missing or incorrect simulation of the QBO. In fact, the meteorological fields in the KASIMA simulation were nudged toward operational ECMWF analyses for generating the QBO in the simulation. In the E5M1 low resolution simulation the QBO was nudged. Though in the E5M1 high resolution
- simulation the QBO was generated internally and a realistic QBO was simulated the modelled QBO did not always represent the observed QBO phase (Jöckel et al., 2006). As we have shown in this study applying model simulations of SD-WACCM with a high vertical resolution and thus a realistic representation of the QBO results in smaller differences between model and measurements. However, an underestimation of O₃ from
- ²⁰ Odin/SMR found by Jones et al. (2007) could be the reason for the rather flat correlation of the monthly N_2O/O_3 averages that contributed to the large differences found in Khosrawi et al. (2009) between models and satellite data.

The N₂O monthly averages derived from Odin/SMR observations at the potential temperature level of 650 ± 25 K were much higher (20 to 40 ppbv) than the N₂O val-²⁵ ues that were derived from the model simulations. Further, these values were also higher than the highly accurate ground-based measurements of N₂O derived in the troposphere which is the only source for this trace gas (330 ppbv compared to the tropospheric average of 319 ppbv \pm 0.12 ppbv in 2005 Forster et al., 2007). However, the bias we found in our monthly averages is much higher than the differences to the



measured ground-based N₂O mixing ratios (20-40 ppbv between model and satellite measurements compared to 10 ppbv between satellite and ground-based measurements). Such a high positive bias was not found in validation studies performed applying Odin/SMR N₂O observations and thus this difference is probably caused by the ⁵ combination of too low N₂O values derived from the model simulation as well as somewhat too high N₂O mixing ratios measured by Odin/SMR.

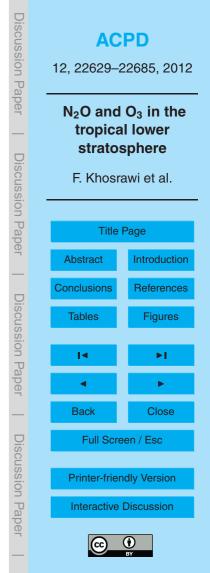
- Since in our method the data is averaged over bins of fixed N₂O we found that the maximum bins with N₂O \geq 330 ppbv contain a relatively low number of data points compared to other bins. Further, these values occur with a seasonal dependence showing a maximum in winter and a minimum in summer. Furthermore, by considering eight years (2003–2010) of data it was found that these high values occur also with an inter-annual variability. We found that the exceptionally high values of N₂O averages found in Odin/SMR are also found in the monthly averages derived from CRISTA and ENVISAT/MIPAS which like Odin/SMR provide N₂O measurements with a high vertical resolution.
- Thus, the exceptionally high absolute values of N₂O found in the data of instruments with high vertical resolution might be related to some (partly well-known) bias problems or instrument noise. However, the degradation of the Odin/SMR data onto the lower vertical resolution of the ENVISAT/MIPAS data set, using their averaging kernel and a priori information, resulted in only small differences in the order of a few ppbv between the highly resolved and degraded Odin/SMR data. Thus, the preferential occurrence of these high N₂O values in the data set with high vertical resolution must be a coincidence. However, the seasonal and inter-annual variability of these values is most probably caused by local dynamical processes in the tropics as in particular the
- ²⁵ seasonal cycle of tropical upwelling and the quasi-biennial oscillation (QBO), where the latter usually is not well represented in model simulations. The monthly averages of N₂O and O₃ derived from Odin/SMR, Aura/MLS, ENVISAT/MIPAS and SD-WACCM were compared with each other for the years 2003, 2006 and 2009. The comparison showed that these data sets are generally in good agreement but that also known



biases of the satellite data sets are clearly visible in the monthly averages, thus showing that this method is not only a valuable tool for model evaluation but also for satellite inter-comparisons.

Supplementary material related to this article is available online at: http://www.atmos-chem-phys-discuss.net/12/22629/2012/ acpd-12-22629-2012-supplement.pdf.

Acknowledgements. We are grateful to the European Space Agency (ESA) for providing the Odin/SMR data. Odin is a Swedish led project funded jointly by Sweden (SNSB). Canada (CSA), Finland (TEKES), and France (CNES) and the European Space Agency (ESA). We would like to thank the ACE-FTS team for providing their data. Funding for the ACE mission 10 is provided by the Canadian Space Agency (CSA) and the Natural Sciences and Engineering Research Council of Canada (NSERC). We also would like to thank the MLS team for providing their data. MLS data were obtained from the NASA Goddard Earth Sciences Data and Information Center. The WACCM modeling work was conducted at the National Center for Atmospheric Research (NCAR) and sponsored by the U.S. National Science foundation. We would like to 15 thank the UK Met Office (UKMO) and European Centre for Medium-Range Weather Forecasts (ECMWF) for providing their meteorological analyses and the National Computer Center (NSC) Linköping, Sweden for providing computer resources. We also would like to acknowledge P. Braesicke and R. Hommel for helpful discussions, J.-U. Grooß for helpful discussions and help with the processing of the Odin/SMR and Aura/MLS data as well as N. Thomas for her help 20 with processing the UKMO data. We are also grateful to the Swedish Research Council and the Swedish Space Board for funding F. Khosrawi.



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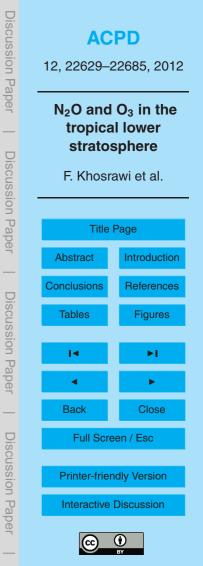
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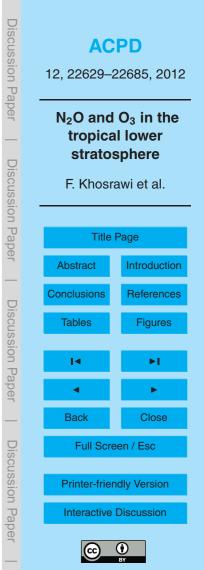
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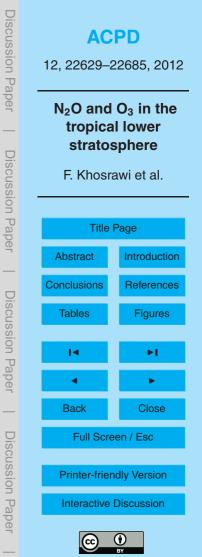
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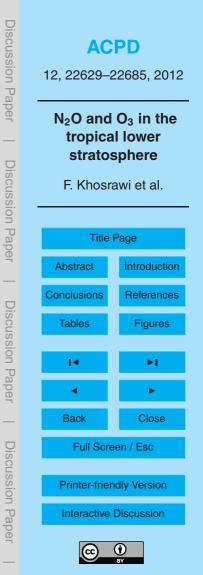
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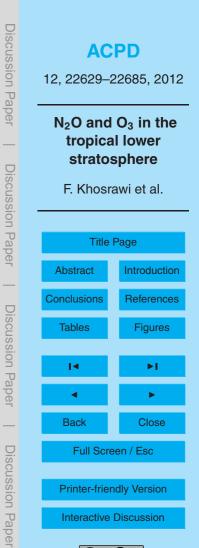
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Table 1. Satellite measurements used in this study.

Instrument	Version	Measurements since	N ₂ O vertical resolution	N ₂ O precision	O ₃ vertical resolution	O ₃ precision	profiles per day
Odin/SMR	V2.1	2001	1.5 km	10–20 %	2.5-3.5 km	20 %	~650
CRISTA-1	V3	Nov 1994 ¹	2 km	26 %(3 %) ³	2 km	12%(2%) ³	~4300
CRISTA-2	V1	Aug 1997 ¹	2 km	12 %(5.3 %) ³	2 km	10 %(2.1 %) ³	~4300
MLS	V2.2	2004	4–6 km	9–25 %	3 km	3–10%	~3300
MIPAS	V3/V4 ²	2002	3–4 km	5–10 %	2.5–4.5 km	3–8 %	~1200

¹ Measurements only performed during this month. ² V30_N20_11 and V30_O3_9, V40_N20_202 and V40_O3_201 ³ Errors are given for the tropics only.

³ The Precision error analyses was separated into systematic (include uncertainties of spectroscopy as well) and random errors.

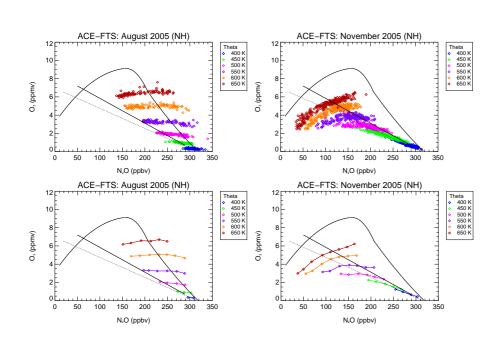
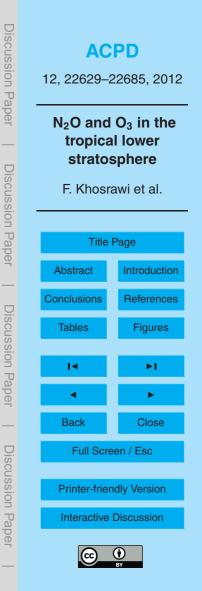


Fig. 1. N₂O versus O₃ for August and November 2005 derived from ACE-FTS observations (NH). Top: N₂O/O₃ correlation separated into potential temperature bins from 400 ± 25 K to 650 ± 25 K given by the colour coding. Bottom: O₃/N₂O correlation after the averaging over 20 ppbv N₂O has been applied within the potential temperature bins. Additionally, the May midlatitude (ATMOS Shuttle 1985, solid line), April high-latitude (ATMOS Shuttle 1993, dashed line), and November tropics (ATMOS Shuttle 1994, solid curve) reference curves are shown (Proffitt et al., 1990; Michelsen et al., 1998b).



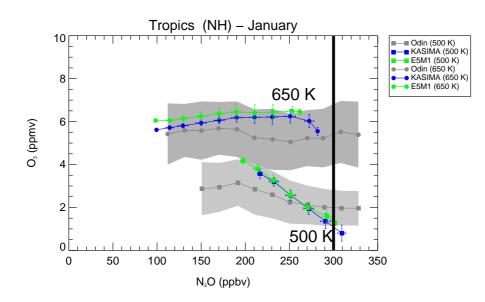
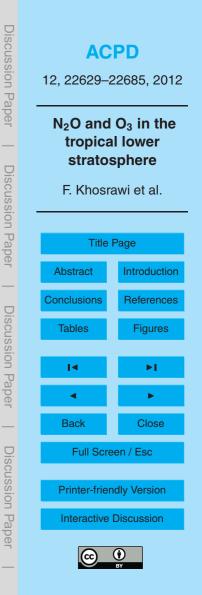


Fig. 2. Comparison of monthly averages of N₂O and O₃ derived from KASIMA (blue) and E5M1 T40L90MA (green) with Odin/SMR (grey) at 500 ± 25 K and 650 ± 25 K in the northern hemisphere tropics (0°-30° N, January 2003). The grey shaded area marks the range of the standard deviations of the monthly averages derived from Odin/SMR. The black solid line marks where N₂O mixing ratios exceed 300 ppbv.



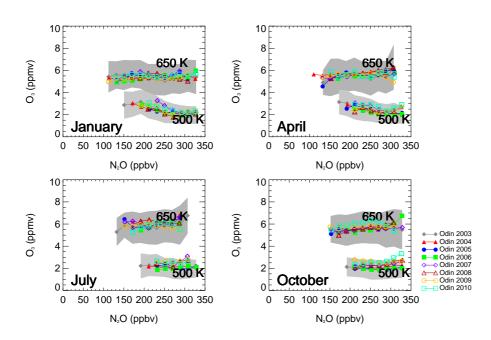
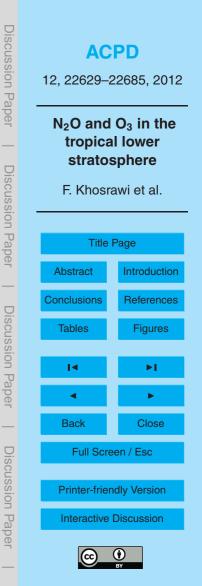


Fig. 3. Comparison of monthly averages of O_3 and N_2O derived from Odin/SMR for the years 2004 (red triangles), 2005 (blue circles), 2006 (green squares), 2007 (purple diamonds), 2008 (dark red triangles), 2009 (gold circles) and 2010 (green squares) with monthly averages derived for the year 2003 (grey diamonds) at 500 ± 25 K and 650 ± 25 K. Shown are the monthly averages for the northern hemisphere tropics (0°-30° N) for the months January, April, July and October. The grey shaded area marks the range of standard deviations of the monthly averages of O_3 derived from Odin/SMR data for the year 2003.



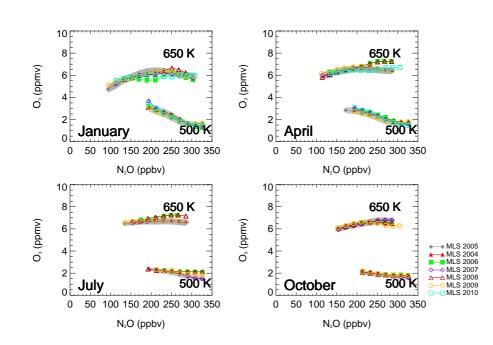
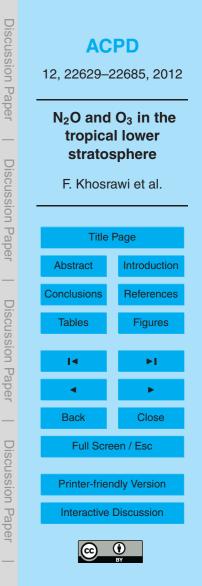


Fig. 4. Same as Fig 3 but for MLS observations for the years 2004–2010: 2004 (red triangles), 2005 (grey diamonds) and 2006 (blue circles), 2007 (green squares), 2008 (violet diamonds), 2009 (dark red triangles), 2010 (gold circles). Note: 2005 is used here as reference since measurements of MLS started in mid-2004.



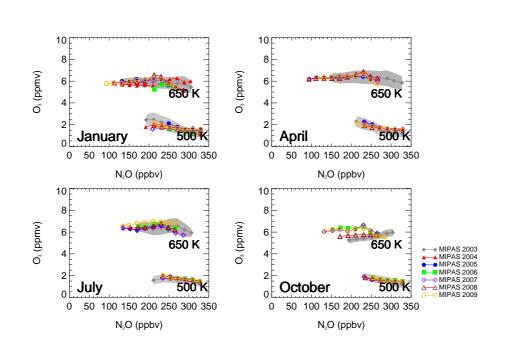
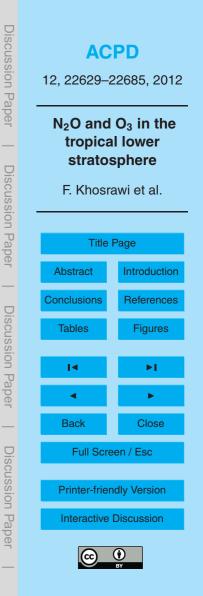


Fig. 5. Same as Fig 3 but for MIPAS observations for the years 2003–2009: 2003 (grey diamonds), 2004 (red triangles), 2005 (blue circles) and 2006 (green squares), 2007 (violet diamonds), 2008 (dark red triangles), 2009 (gold circles).



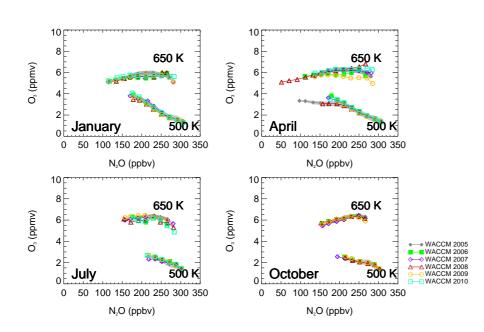
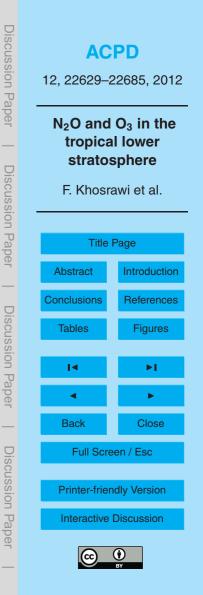


Fig. 6. Same as figure 3 but for SD-WACCM simulations for the years 2005–2010: 2005 (grey diamonds) and 2006 (blue circles), 2007 (green squares), 2008 (violet diamonds), 2009 (dark red triangles), 2010 (gold circles). Note: 2005 is used here as reference.



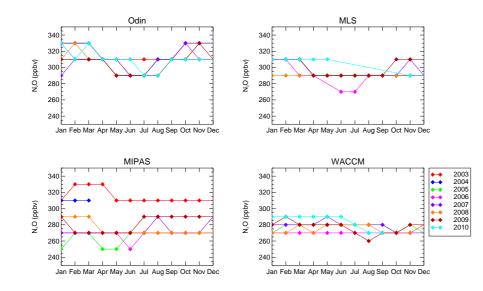
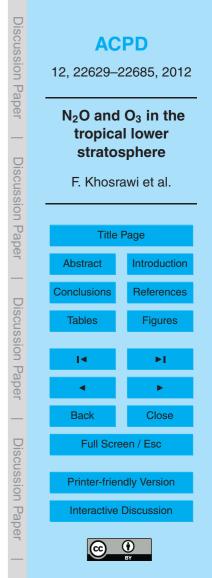


Fig. 7. Maximum monthly averaged N₂O mixing ratios (averaged mixing ratio of the last N₂O bin) at 650 ± 25 K are shown for Odin/SMR, Aura/MLS, ENVISAT/MIPAS and SD-WACCM (Visualization of the data presented in Tables 1 to 4 of the Supplement).



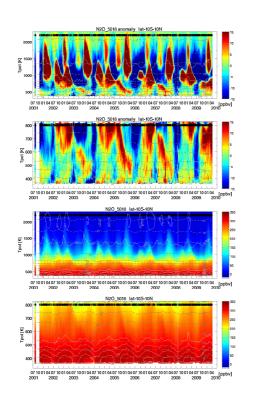
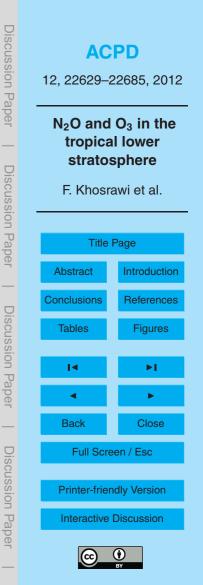
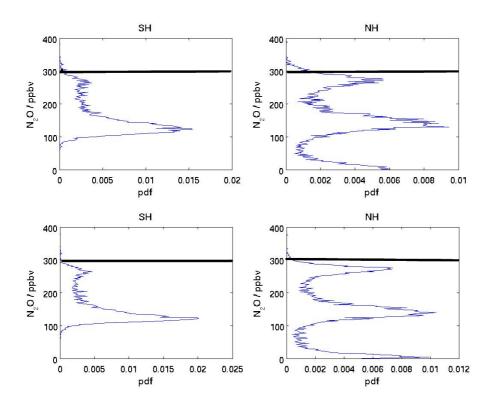
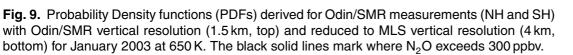


Fig. 8. Top and second row: Odin/SMR N₂O anomaly (daily zonal-mean minus multi-year zonal mean) for the years 2001-2010 for the entire stratosphere and lower stratosphere for the equivalent latitude range 10° N- 10° S, respectively. Third row and bottom: N₂O mean for the years 2001–2010 for the entire stratosphere and lower stratosphere for the equivalent latitude range 10° N– 10° S. Altitude and temperature (from ECMWF) are given as grey and white lines, respectively.







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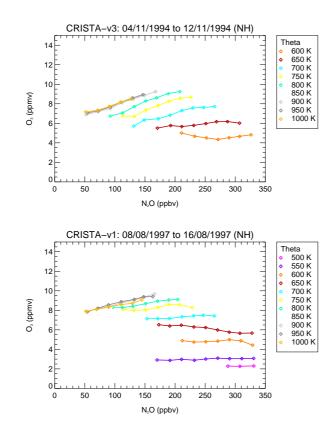
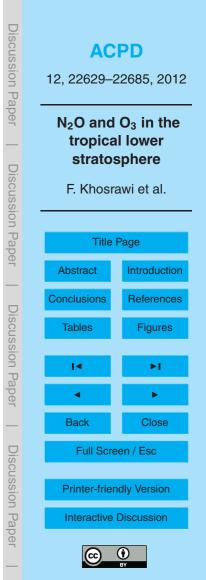
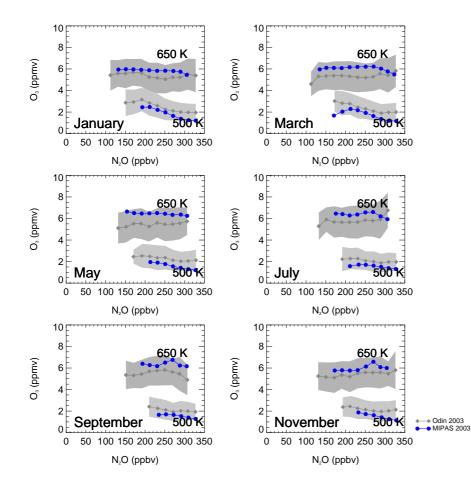
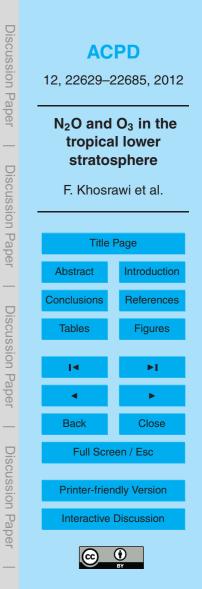


Fig. 10. Averages of N₂O and O₃ derived from CRISTA-1 measurements for November 1994 (4–12 November 1994) for potential temperatures levels between 600 ± 25 and 1000 ± 25 K (top) and CRISTA-2 measurements for August 1997 (8–16 August 1997) for potential temperatures levels between 500 ± 25 and 1000 ± 25 K (bottom) for the northern hemisphere tropics (0°–30°).









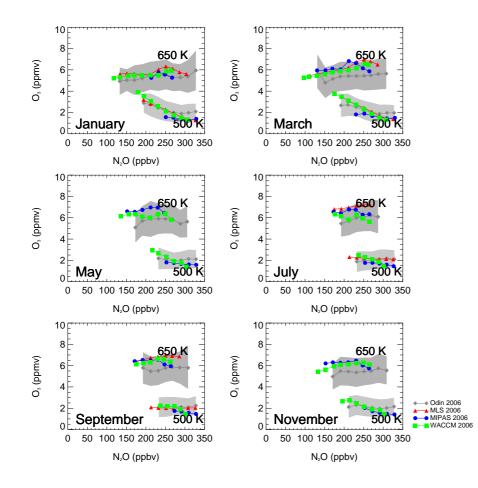
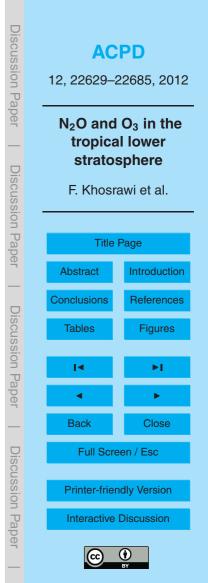


Fig. 12. Same as figure 11, but for 2006 and including Aura/MLS data and SD-WACCM data. Odin (grey diamonds), MLS (red triangles), MIPAS (blue circles) and SD-WACCM (green squares). Note: no MLS data available for May and November 2006.



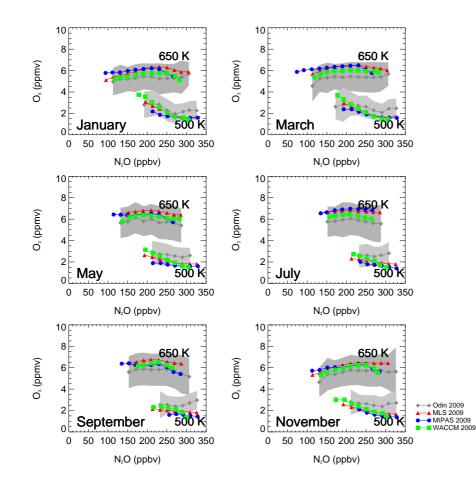
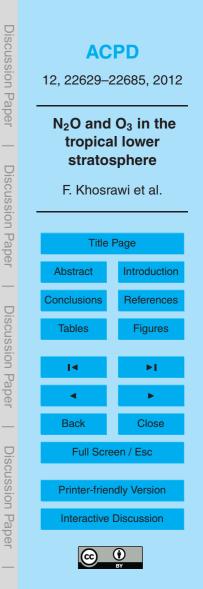


Fig. 13. Same as Fig. 11, but for the year 2009 and including Aura/MLS and SD-WACCM data. Odin/SMR (grey diamonds), Aura/MLS (red triangles) and SD-WACCM (green squares).



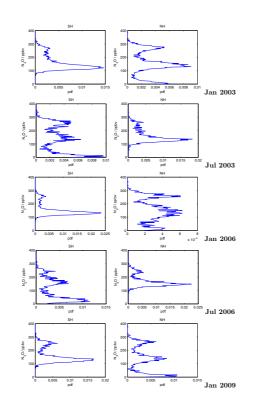


Fig. 14. Probability Density functions (PDFs) derived for Odin/SMR measurements (NH and SH) with Odin/SMR at 650 K for January and July 2003, January and July 2006 and January 2009. Note: the x-scale is not the same for all figures.

