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Interactive comment on "Numerical modeling of lower stratospheric Doppler ducted gravity waves over Jicamarca, Peru" by Z. Li et al.

Anonymous Referee #1

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Summary:

This paper reports an attempt to model gravity wave features observed over Jicamarca observatory. There do appear to be serious issues with the present manuscript, which I've provided some comment on below. I have tried to think carefully of a path towards revisions, but this may require a significant revision and resubmission, perhaps even leading to a somewhat different paper than the present manuscript.

A major concern is that the waves being modeled are of quite different scales from the waves that were observed, such that it is difficult to justify the comparisons. Specifically, the simulated waves are of short period (\sim 5-22 minutes), and the observed waves had relatively longer periods \sim 90 minutes. In order for \sim 90 minute waves to experience some reflection and trapping between the ground and a stratospheric wind jet, they

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would need to have quite small horizontal scales. The present paper does not clearly confirm that these waves are ducted – More analysis, and also some investigation of expected reflection conditions, would be needed to determine whether it is reasonable to conclude that they may be ducted waves.

A revised manuscript could focus on, for example, 1) trying to clearly explain and model the \sim 90 minute waves apparent in the data. Or, 2) identifying shorter-period ducted waves in the data that may be trapped in the way that the modeling results currently demonstrate. In other words, it would make sense to bring the modeling efforts in line with the observations, or vice-versa. However, either approach would significantly change the manuscript.

There are also some limitations with the data presentation and analysis, such that it is difficult for the reader to fully appreciate the contents of the data (which otherwise appear to be good). Suggestions to "focus" in on wave scales of interest are listed below, along with general and specific comments/concerns.

General Comments:

The waves identified in the data have \sim 60-90 minute periods and, at present, it is not clear that they are ducted (the manuscript only makes note of the shape of phase structures seen in Figure 2). Some analysis could be used to determine whether they may be ducted under these conditions (assuming a certain propagation direction), and whether this may occur for typical horizontal and vertical wavelengths allowable in the stratosphere / upper-troposphere.

For example, it may be helpful to examine m² profiles with altitude, and calculate estimated Doppler-shifted intrinsic periods, to determine theoretically what range of wave scales and periods are expected to be reflected / ducted under the observed conditions. Waves likely to be ducted *within* the stratospheric wind jet (or a stratospheric thermal duct) would need to have short periods, similar to MLT-region ducted waves. Longer period waves can become reflected by the wind jet, and become trapped between the jet (at 15 km) and the ground surface (sometimes weakly, with leakage occurring over time). This appears to be the case for the waves simulated for this manuscript (10-20 minutes period). For winds to Doppler-shift the intrinsic frequency of a 90 minute wave sufficiently to produce reflection, the horizontal wavelength would need to be extremely short; so, it may not be reasonable to conclude that they are ducted waves.

If the aim is to explain the relatively-coherent \sim 60-90 minute waves seen in this dataset, then it would be helpful to show how the waves behave over time, to illustrate clearly their phase progression, vertical wavelengths, amplitudes, and periods. Focusing in on a shorter time period and altitude range (i.e., in Figure 2, which now shows a full 24 hours), and picking out individual wave events to plot, may help to illustrate these waves more clearly (by showing phase progression, illustrating vertical wavelength, and amplitude). Some calculations, such as those described in the previous paragraph, would be needed to understand how the ambient conditions affect the waves' propagation, and to provide guidance for any model simulations.

If the aim is to identify possibly-ducted waves at shorter periods, then it may be helpful to look at even shorter spans of time (\sim 1 hour), filtered to show only waves having <30 minute periods (or even shorter). Since the time resolution of the data is \sim 2 minutes, it should be possible that some short-period signatures would be present. Using radial or vertical winds (e.g., Fritts and Janches, 2008) would provide more insight into these time-scales, as the shortest-period waves will exhibit stronger vertical wind components.

It is also important to note that the demonstrated form of Doppler ducting (between the ground and a wind jet) appears rather different from that which occurs for very-shortperiod ducted waves and bore features seen in the MLT region. In the MLT, trapping typically occurs due to wind and temperature profiles alone (within a wind jet along the direction of flow, or within a thermal inversion layer), without the influence of a fixed lower boundary (ground). Both cases are interesting, but some caution is needed when making comparisons (last paragraph, page 19021). Shorter-period stratospheric

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ducted waves (with $\sim\!\!$ few minutes periods) would likely exhibit more-comparable characteristics and behavior to MLT ducted waves.

The interesting possibility that some stationary waves may be present in the data is already noted by the authors (page 19015, and figure 2). It may also be that some wave signatures observed here could be associated with quasi-stationary waves (or secondary processes of quasi-stationary waves), which may complicate the analysis even further. Analyses of such waves could be quite difficult given the nature of the measurements and uncertainty of exact propagation direction. But maybe a consideration for future study?

Specific Comments:

1) If possible, it maybe worthwhile to investigate vertical or radial winds. Shorter intrinsic period waves will have larger amplitudes in the vertical velocity component, and contamination from the background winds and larger-scale waves (having stronger horizontal motion) would be reduced dramatically.

2) If seeking to study short-period ducted waves, it would be worthwhile to use an MSISE90 temperature background instead, since stratospheric structure tends to produce robust thermal ducts. I understand that the authors' goal is to study waves trapped by winds, however in the stratosphere (and upper-troposphere) the thermal variation can contribute significantly to reflection/trapping. The winds shown in the data and model are of modest strength, so thermal effects should indeed be very important.

3) I am confused by the description of the model parameters: "The horizontal, vertical, and temporal scales of the disturbance were 10 km, 2 km, and 5.86 min (approximately the Brunt-Vaisala frequency), respectively. The initial amplitude disturbance was equivalent to a 1.2 cm/s vertical wind, and the horizontal wavelength and period were initially set to 20 km and 10 min, respectively." Does this mean that the Gaussian envelope has half-widths of 5.86 minutes, 10 km in the horizontal and 2 km in the vertical? These would lead to an isolated and impulsive source. Were these parameters changed when

longer-period runs were performed? The source also appears to be positioned rather close to the ground (\sim 3km above domain boundary).

4) As noted by the authors, the direction of wave propagation relative to the winds is not known, so it will remain unclear what exact effect the winds may be having on any observed wave. This significant uncertainty should be noted carefully when discussing individual wave features of the data, since the effects of winds will be determined by the relative propagation directions of the wave.

5) It is said that parameters of the source and jet were varied for a number of test runs. I'd caution that the 1km jet test likely was too small relative to vertical grid resolution, and may contribute to non-physical reflections from the wind jet.

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