

OPTICAL-MICROPHYSICAL PROPERTIES OF SAHARAN DUST AEROSOLS AND COMPOSITION RELATIONSHIP USING A MULTI-WAVELENGTH RAMAN LIDAR, IN SITU SENSORS AND MODELLING: A CASE STUDY ANALYSIS

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ABSTRACT

A strong Saharan dust event occurred over the city of Athens, Greece (37.9°N, 23.6°E) between 27 March and 3 April 2009, was followed by a synergy of three instruments: a 6-wavelength Raman lidar, a CIMEL sun-sky radiometer and the MODIS sensor. The BSC-DREAM model was used to forecast the dust event and to simulate the vertical profiles of the aerosol concentration. Due to mixture of dust particles with low clouds during most of the reported period, the dust event could be followed by the lidar only during the cloud-free day of 2 April 2009. The lidar data obtained were used to retrieve the vertical profile of the optical (extinction and backscatter coefficients) properties of aerosols in the troposphere. The aerosol optical depth (AOD) values derived from the CIMEL ranged from 0.33-0.91 (355 nm) to 0.18-0.60 (532 nm),

while the lidar ratio (LR) values retrieved from the Raman lidar ranged within 75-100 sr (355 nm) and 45-75 sr (532 nm). Inside a selected dust layer region, between 1.8 and 3.5 km height, mean LR values were 83 ± 7 and 54 ± 7 sr, at 355 and 532 nm, respectively, while the Ångström-backscatter-related ($ABR_{355/532}$) and Ångström-extinction-related ($AER_{355/532}$) were found larger than 1 (1.17 ± 0.08 and 1.11 ± 0.02 , respectively), indicating mixing of dust with other particles. Additionally, a retrieval technique representing dust as a mixture of spheres and spheroids was used to derive the mean aerosol microphysical properties (mean and effective radius, number, surface and volume density, and mean refractive index) inside the selected atmospheric layers. Thus, the mean value of the retrieved refractive index was found to be $1.49(\pm 0.10) + 0.007(\pm 0.007)i$, and that of the effective radiuses was $0.30 \pm 0.18 \mu\text{m}$. The final data set of the aerosol optical and microphysical properties along with the water vapor profiles obtained by Raman lidar were incorporated into the ISORROPIA II model to provide a possible aerosol composition consistent with the retrieved refractive index values. Thus, the inferred chemical properties showed 12-40% of dust content, sulfate composition of 16-60%, and organic carbon content of 15-64%, indicating a possible mixing of dust with haze and smoke. PM_{10} concentrations levels, PM_{10} composition results and SEM-EDX (Scanning Electron Microscope-Energy Dispersive X-ray) analysis results on sizes and mineralogy of particles from samples during the Saharan dust transport event were used to evaluate the retrieval.

1. INTRODUCTION

Atmospheric aerosols have a large impact on the planetary radiation budget, and are thought to exert a net cooling effect on climate (Andreae, 1995; Ramanathan et al., 2001; Heinold et al., 2007; Levin and Cotton, 2009; Ramanathan and Feng, 2009; Lohmann et al., 2010). The cooling effect associated with anthropogenic aerosol is thought to partially mitigate greenhouse gas warming, but estimates of the radiative forcing pattern remain still complex and highly uncertain, owing to the large spatio-temporal variability of aerosol dust and their complex interaction with atmospheric constituents, radiation and clouds (Satheesh et al., 2005; Forster et al., 2007; Min et al., 2009). As mineral dust accounts for about 75% of the global aerosol mass load and 25% of the global aerosol optical depth (Kinne et al., 2006) and is a key player in Earth's climate (Mahowald et al., 2006; Balkanski et al., 2007; Bierwirth et al., 2009; Otto et al., 2009; Müller et al., 2011) affecting precipitation (Yoshioka et al., 2007), it is very important to quantify its

effects on Earth's radiative forcing, both in the short-wave (0.3–4 μm) and long-wave (4–50 μm) spectral regions (Sokolik and Toon, 1999; Sokolik et al., 2001). For the assessment of the radiative effects of dust, it is imperative to obtain accurate data on the vertical profiling of its optical and microphysical properties, as well as its chemical composition around the globe. Although specific dust experiments (e.g. SAMUM 1 and 2) (Ansmann et al., 2011 and references therein) focused also on the estimation of the radiative effects of dust, their results had a rather regional (Saharan region) and a limited temporal coverage; therefore systematic vertical profiles of aerosol optical-microphysical and chemical data around the globe are still missing and open questions about the aerosol role on climate yet exist.

The gap concerning the vertical profiling of the aerosol properties can be filled by synergy of systematic lidar (ground-based, airborne and space-borne measurements to derive the optical-microphysical properties) and of in situ measurements (to derive the optical-microphysical-chemical properties) (e.g. Kandler et al., 2009; Weinzierl et al., 2009; Lieke et al., 2011), which are able to provide much of the information required to constrain models and reduce uncertainties associated with radiative forcing estimates; although the in situ airborne measurements are quite sparse, they remain extremely expensive and limited in both time and space.

To fulfill the gap of the vertical profiling of the aerosol optical-microphysical properties over the globe, two major aerosol monitoring schemes currently exist: a) ground-based lidar networks: the European Aerosol Research Lidar Network (EARLINET; <http://www.earlinet.org>), the Asian Dust Network, (AD-Net; www-lidar.nies.go.jp/AsiaNet) and the Micropulse Lidar Network (MPL-Net; <http://mplnet.gsfc.nasa.gov>), which are members of the Global Atmosphere Watch (GAW) Aerosol Lidar Observation Network (GALION) promoted by the World Meteorological Organization (WMO), and b) space-borne active remote sensing sensors (e.g. LITE and CALIOP lidars) (Berthier et al., 2006; Winker et al., 2007, 2010; Mamouri et al., 2009; Mona et al., 2009; Pappalardo et al., 2010; Liu et al., 2011). Ground-based sun-sky radiometer networks, such as the Aerosol Robotic Network (AERONET) that measures sun and sky radiances in 16 spectral channels (340–1640 nm) (Holben et al., 1998; Eck et al., 2005) in combination with several passive space-borne sensors (e.g. MODIS, OMI, MERIS, MISR, etc.)

can provide information about the total column dust optical-microphysical properties over the globe (e.g. Santese et al., 2008; Amiridis et al., 2009a,b; Carboni et al., 2012; Kim et al., 2012; Redemann et al., 2012; Ridley et al., 2012).

The potential of the lidar technique to provide the vertical profiles of the aerosol optical-microphysical properties over the globe, with emphasis on pure desert dust or mixed dust, has been proved by the measurements performed by the above-mentioned lidar networks or by specific experimental campaigns like: Asian Pacific Regional Aerosol Characterization Experiment (ACE-ASIA), African Monsoon Multidisciplinary Analysis (AMMA), Dust And Biomass-burning EXperiment (DABEX), Dust Outflow and Deposition to the Ocean (DODO), Indian Ocean Experiment (INDOEX), NASA AMMA (NAMMA), Puerto Rico Dust Experiment (PRIDE), Saharan Mineral Dust Experiment (SAMUM 1 and 2), Saharan Dust Experiment (SHADE), Unified Aerosol Experiment (UAE2), etc. (Müller et al., 1999; Ramanathan et al., 2001; Ansmann et al., 2003; Blanco et al., 2003; Feingold and Morley, 2003; Léon et al., 2003; Müller et al., 2003; Reid et al., 2003; Sugimoto et al., 2003; Balis et al., 2004; Kim et al., 2004; Haywood et al., 2005; Müller et al., 2005; Pahlow et al., 2006; Cuesta et al., 2008; Hansell et al., 2008; Heese and Wiegner, 2008; Mattis et al., 2008; Papayannis et al., 2008; Müller et al., 2009a; Tesche et al., 2009a, b; Müller et al., 2010; Pappalardo et al., 2010; Ansmann et al., 2011; Chen et al., 2011; Müller et al., 2011; Sicard et al., 2011; Tesche et al., 2011a, b).

Our study aims to fulfill the existing gap on the vertical profiling of the aerosol dust properties, focusing on the retrieval of the vertical profiling of the optical, microphysical and composition of aged dust aerosol particles associated with a strong Saharan dust event as they interact with anthropogenic particles in the lower free troposphere over an urban site (Athens, Greece). In the following sections we first present the dust forecasting model, instrumentation and methodology used for retrieving the aerosol properties (Section 2). An analysis of the dust event then follows with an emphasis on the days with optimal lidar retrievals (Section 3). We provide final remarks and a summary of the work carried on this paper in Section 4.

2. METHODOLOGY

2.1 The NTUA 6-wavelength Raman lidar system

The National Technical University of Athens (NTUA) lidar system is located on Campus in the city of Athens (37.97°N, 23.79°E, 200 m above sea level-asl.), and has been continuously operating since the initiation of the EARLINET project (Bösenberg et al., 2003) in February 2000. The compact 6-wavelength NTUA Raman lidar system (Mamouri et al., 2008) is based on a pulsed Nd:YAG laser emitting simultaneously at 355, 532 and 1064 nm. The lidar signals are detected at six wavelengths 355, 387, 407, 532, 607 and 1064 nm. The system has been quality-assured by performing direct inter-comparisons, both at hardware (Matthias et al., 2004a) and software levels (Böckmann et al., 2004; Pappalardo et al., 2004).

To obtain reliable and quantitative lidar aerosol retrievals, several techniques and methods have to be combined. The standard backscatter lidar technique is appropriate to retrieve aerosol parameters mostly for small aerosol optical depths ($AOD < 0.2-0.3$ in the visible), assuming a reference height in an aerosol-free area (e.g. the upper troposphere). Under such conditions, the Klett inversion technique (Klett, 1985) is used to retrieve the vertical profile of the aerosol backscatter coefficient (b_{aer}) at the respective wavelengths, during daytime. The resulting average uncertainty on the retrieval of b_{aer} (including both statistical and systematic errors corresponding to a 30–60 min averaging time) in the troposphere is of the order of 20–30% (Bösenberg et al., 1997). To overcome the large uncertainty associated with this technique, the Raman N_2 lidar technique was adopted using the methodology of Ansmann et al. (1992). Since the Raman lidar signals are quite weak, the Raman technique is mostly used during nighttime, when the atmospheric background is quite low.

In the case of the Raman technique, the measurement of the elastic backscatter signals at 355 and 532 nm, as well as that of the N_2 inelastic-backscatter signals at 387 and 607 nm, respectively, permits the determination of the extinction (a_{aer}) and b_{aer} coefficients independently of each other (Ansmann et al., 1992) and, thus, of the extinction-to-backscatter ratio, the so-called lidar ratio ($LR = a_{aer}/b_{aer}$) at both wavelengths (355 and 532 nm). The LR values depend on the chemical composition of aerosols (absorption characteristics), the size distribution and shape characteristics (Ansmann et al., 2003), while the other lidar-derived parameters, at wavelengths

λ_1 and λ_2 (in nm), such as the Ångström backscatter-related ($ABR_{\lambda_1/\lambda_2} = \frac{\ln[b_{aer}(\lambda_1)/b_{aer}(\lambda_2)]}{\ln[\lambda_1/\lambda_2]}$) and

the Ångström extinction-related ($AER_{\lambda_1/\lambda_2} = \frac{\ln[a_{aer}(\lambda_1)/a_{aer}(\lambda_2)]}{\ln[\lambda_1/\lambda_2]}$) exponent (Ansmann et al., 2002) depend on the particle size, shape and the wavelength dependence of the absorption coefficient, respectively (Ansmann et al., 2003). The relative errors of b_{aer} and a_{aer} , of LR, of ABR and AER are mainly due to the presence of noise on the received lidar signal. Additionally, the lidar backscatter profile must be calibrated at a reference height region with negligible aerosol scattering (only Rayleigh scattering). This uncertainty in the calibration region in the upper aerosol-free troposphere (at 355-532-1064 nm) may lead to further errors. Finally, by applying Gaussian's law of error propagation and assuming reasonable uncertainties at the input parameters mentioned above and the lidar overlap function, the remaining systematic uncertainties are of the order of 10-20% on b_{aer} and of 10-15% on a_{aer} (Ansmann et al., 1992; Mattis et al., 2002). Therefore, the corresponding uncertainty on LR is of the order of 14-25%, while on ABR and AER is of the order of 20-35 % and 20-30 %, respectively. Moreover, to reduce the relative errors on the retrieved vertical profiles of b_{aer} (to about 15-20%) referring to measurements performed before the local sunset time (~19:00 UT) when the Klett technique is used, we applied the LR values retrieved by the Raman technique (Ansmann et al., 1992) from the nighttime period of the same day as the Saharan dust event occurred (2 April 2009).

2.2 The CIMEL sun-sky radiometer

The sun photometric observations reported in this paper were performed by a CIMEL sun-sky radiometer, which is part of AERONET (<http://aeronet.gsfc.nasa.gov>) (Holben et al., 1998). The instrument is located on the roof of the Research Center for Atmospheric Physics and Climatology of the Academy of Athens (37.99°N, 23.78°E, elevation: 130 m). The site is located in the city center and 10 km from the sea. This sunphotometric station is operated by the Institute for Space Applications and Remote Sensing (ISARS) of the National Observatory of Athens (NOA). The CIMEL data used in this study will provide information about the columnar AOD, aerosol size distribution, aerosol microphysical properties, and Ångström exponent (α). The inverted aerosol size distributions refer to aerosol radius ranging from 0.01 μm to 15 μm . The expected accuracy for the AERONET inversions is of the order of 15-25% for radius greater than 0.5 μm and 25-100% for radius less than 0.5 μm . The AERONET data products description and

accuracy along with the technical specifications of the CIMEL instrument are given in detail in Holben et al. (1998), Holben et al. (2006) and Smirnov et al. (2000).

2.3 The MODIS instrument

The Moderate Resolution Imaging Spectroradiometer (MODIS) was launched in December 1999 on the polar orbiting Terra spacecraft and since February 2000 has been acquiring daily global data in 36 spectral bands from the visible to the thermal infrared (29 spectral bands with 1 km, 5 spectral bands with 500 m, and 2 spectral bands with 250 m nadir pixel dimensions). The MODIS aerosol products are only created for cloud-free regions. The columnar AOD values are retrieved by MODIS at 550 nm (<http://modis-atmos.gsfc.nasa.gov/products.html>) for both oceans (best) and land (corrected) (Tanré et al., 1997; Levy et al., 2007; Russel et al., 2007; Remer et al., 2008; Redemann et al., 2012). The main sources of uncertainty in the retrieval of the AOD in this case are from instrument calibration errors, cloud-masking errors, incorrect assumptions on surface reflectance and aerosol model (fine (with radius $<< 1 \mu\text{m}$) and coarse (with radius $>> 1 \mu\text{m}$) mode aerosol models) selection (Remer et al., 2005; Levy et al., 2010). Therefore, selection of an inappropriate aerosol model can result in systematic AOD errors. The pre-launch conditions suggested that 1 standard deviation of retrievals would fall within $\pm(0.03+0.05\text{AOD})$ over ocean and $\pm(0.05+0.15\text{AOD})$ over land. These error bounds, derived pre-launch, are referred to as the expected error (EE) (Remer et al. 2005; Levy et al., 2010; Kleidman et al., 2012).

To minimize the uncertainties on the MODIS AOD product several validation studies have been performed, during pre-launch and post-launch procedures regarding the AOD measurements using ground-based instrumentation (Chu et al., 2003; Remer et al., 2005; Misra et al., 2008; Papadimas et al., 2009; Prasad and Singh, 2009). More recently, Levy et al. (2010) performed a global evaluation of the MODIS Collection 5 (C005) dark-target aerosol products over land, showing that more than 66% (one standard deviation) of MODIS-retrieved AOD values compared to AERONET observed values within an expected error (EE) envelope of $\pm(0.05+15\%)$, with high correlation ($R=0.9$). According to the same authors, Terra's global AOD bias changes with time, underestimating by ~ 0.005 , after the year 2004. However, although validated globally, MODIS-retrieved AOD does not fall within the EE envelope in all regions of the planet (Levy et al., 2010).

In this study we used MODIS C005 data, which were recently evaluated and validated for the Greater Mediterranean Basin (29.5°N–46.5°N and 10.5°W–38.5°E) against 29 AERONET stations, as described by Papadimas et al. (2009), who found that when comparing C005 to C004 data, the correlation coefficient increases from 0.66 to 0.76, and the slope of the linear regression fit from 0.79 to 0.85 whereas the offset decreased from 0.12 to 0.04 and the scatter of compared data pairs from 0.15 to 0.12. On the other hand, they found a significant decrease of AOD values over land (by 25.8%) for AODs>0.2. However, the MODIS C005 data still overestimate/underestimate the AERONET AOD values smaller/larger than 0.25, but to a much smaller extent than C004 data. More precisely, collocated MODIS retrievals with data from our AERONET station are evaluated, and the good comparison revealed justifies the MODIS retrieval for the day under study. For that day, the scattering angle of the MODIS observation used for comparison with AERONET was 133.26° and the aerosol type retrieved over land was equal to 2.

2.4 The BSC-DREAM dust model

The Barcelona Supercomputing Center - Dust Regional Atmospheric Model (BSC-DREAM) (Nickovic et al. 2001) has been delivering operational dust forecasts over the North Africa-Mediterranean-Middle East and over Asia regions in the last years (currently at www.bsc.es/projects/earthscience/DREAM/). The model simulates or predicts the 3-dimensional field of the dust concentration in the troposphere. The dust model takes into account all major processes of dust life cycle, such as dust production, horizontal and vertical diffusion and advection and wet and dry deposition, while the chemical aging and aerosol-cloud interactions are not taken into account. The model also includes the effects of the particle size distribution on aerosol dispersion. The model numerically solves the Euler-type mass partial differential equation by integrating it spatially and temporally. The dust production is parameterized using near surface turbulence and stability, as well as soil features. The dust production mechanism is based on viscous turbulent mixing close to the surface and on soil moisture content.

In BSC-DREAM, for each soil texture type, fractions of clay, small silt, large silt and sand are estimated with typical particle size radii of 0.73, 6.1, 18 and 38 µm, respectively. For the present study, BSC-DREAM simulation is initialized with 24-hourly (at 12:00 UTC) updated

NCEP (National Centers for Environmental Prediction) $0.5^\circ \times 0.5^\circ$ analysis data and the initial state of the dust concentration in the model is defined by the 24-h forecast from the previous-day model run (because there are not yet satisfactory three-dimensional dust concentration observations to be assimilated). The resolution is set to $0.33^\circ \times 0.33^\circ$ (~ 50 km) in the horizontal, while in the vertical the model domain extends up to 15 km height within 24 layers. For long-range dust transport studies, only the first two classes (0.73 and $6.1 \mu\text{m}$) are relevant for the analysis particles since their lifetime is larger than about 12 h.

2.5 Derivation of the aerosol microphysical and chemical properties using models

The measured vertical profiles of the aerosol backscatter and extinction coefficients at multiple wavelengths can be inverted to derive particle microphysical parameter profiles (Müller et al., 1999; Veselovskii et al., 2002, 2009; Osterloach et al., 2011). However, this kind of inversion is an ill-posed problem requiring regularization (Engl et al., 2000), therefore, “unique” (unambiguous) solutions will never be available. Furthermore, an application of this technique to dust needs to account for the particles non sphericity, given that backscattering by irregularly shaped particles is weaker than by equivalent-volume spheres (Mishchenko et al., 2000). To address this issue, Mishchenko et al. (1997) suggested approximating the dust particles with a mixture of polydisperse, randomly oriented spheroids, as they can mimic the aerosol optical properties. Dubovik et al. (2006) have included the spheroid model in the AERONET retrieval algorithm, while Merikallio et al. (2011) studied the applicability of spheroidal model particles for simulating the single-scattering optical properties of mineral dust aerosols. Veselovskii et al. (2010) introduced the spheroid model into the lidar retrieval of dust particles physical properties, by assuming that aerosols are a mixture of spheres and randomly oriented spheroids with a size-independent shape distribution. This assumption is applied to all particles. However, we must keep in mind that for the fine mode the optical properties of spheres and spheroids are very close and important differences occur only for the coarse mode. Besides, the output result is not very sensitive to the exact type of shape distribution. Thus, the assumption of size-independent shape proposed here remains quite reasonable. Moreover, our numerical simulations demonstrate that for 10% uncertainty of input optical data (backscatter and extinction coefficients) the dust particle volume density and the effective radius can be estimated to within 30%.

In any case the unknown shape of the aerosols remains a basic problem not yet solved, when one wants to simulate their scattering effects, although progress has been recently made both in the case of Saharan dust (Gasteiger et al., 2011a) and volcanic ash (Gasteiger et al., 2011b) considering the non-sphericity of mineral dust and ash particles by assuming mixtures of absorbing and non-absorbing irregularly shaped mineral dust particles and spheroids, respectively. In the case of dust particles in SAMUM-2, Gasteiger et al. (2011b) found that irregularly shaped dust particles with typical refractive indices, in general, have higher linear depolarization ratios than corresponding spheroids, and improved the agreement with the observations. However, these models remain too complicated and time consuming for their implementation in inversion algorithm, so in our study we are using a simplified spheroidal model, which allows reasonable estimation of the dust particle parameters.

In this paper the microphysical properties of the aerosols in the lower free troposphere, inside the dust layer, were retrieved using the regularization technique (Veselovskii et al., 2002; 2004, 2010), which used as input the vertical profiles of the aerosol extinction a_{aer} (at 355-532 nm) and backscatter coefficients b_{aer} (at 355-532-1064 nm) retrieved from the elastic (during daytime only b_{aer} was retrieved by the elastic channels) and Raman (during nighttime b_{aer} and a_{aer} were retrieved, independently) backscattered lidar signals (obtained at 5 different wavelengths: 355-387-532-607-1064 nm). The inverted aerosol microphysical properties are the effective radius (r_{eff}), the total number (N), the surface area (S) and volume (V), as well as the real and imaginary parts of the particle refractive index (m_{R} and m_{i} , respectively), within different layers in the lower troposphere (1.8-3.5 km height asl.). In our approach we do not consider the spectral dependence of the refractive index, or the chemical composition of the aerosol particles. Thus, the retrieved values of the refractive index are the average ones with respect to the size and spectral range considered (355-1064 nm). Additionally, in our retrieval we consider m_{R} to be in the range 1.33-1.65, m_{i} in the range 0-0.02, and the aerosol particles diameters in the range between 0.15-20 μm . The uncertainty on the m_{R} and m_{i} retrieval is of the order of ± 0.05 and $\pm 50\%$, respectively, according to Veselovskii et al. (2010); the corresponding uncertainty of the retrieved values of the effective radius, volume and surface density is about $\pm 30\%$. Finally, the uncertainty on the number density estimation is about 50% (Veselovskii et al., 2010).

We have of course to clarify here that the inverse problem (using lidar data to retrieve the aerosol micro-physical properties) in our formulation is underdetermined: the set of lidar measurements within a single atmospheric layer is extremely limited to 5 different profiles (3 b_{aer} and 2 a_{aer}) and this is not sufficient to uniquely describe the properties of the aerosol. Therefore, we fit the observation and identify not a unique solution but a family of solutions instead. Specifically, a series of solutions is generated using different initial guesses, different aerosol assumptions and different settings of *a priori* constraints. Each single solution is obtained using the regularization technique. Then the individual solutions corresponding to the smallest residuals are averaged and the result of the averaging is taken as the best estimate of the aerosol properties. This approach has demonstrated possibility to provide rather adequate retrieval of aerosol properties (Veselovskii et al., 2009).

The inverted refractive index (which corresponds to in-situ conditions, i.e., includes aerosol water) along with the water vapor profiles obtained by Raman lidar over Athens and the temperature and relative humidity profiles obtained by radiosonde, were incorporated in the thermodynamic model ISORROPIA II (Fountoukis and Nenes, 2007) to provide a possible aerosol composition. The model treats the thermodynamics of aerosol containing K, Ca, Mg, NH_3/NH_4 , Na, SO_4/HSO_4 , HNO_3/NO_3 , HCl/Cl and H_2O . ISORROPIA-II can predict composition for the “stable” (or deliquescent path) solution where salts precipitate once the aqueous phase becomes saturated with respect to a salt, and, a “metastable” solution, where the aerosol is composed only of an aqueous phase regardless of its saturation state. ISORROPIA-II was executed in “reverse” mode, where known quantities are T, RH and the concentrations of aerosol K, Ca, Mg, NH_4 , Na, SO_4 , NO_3 and Cl. The output provided by ISORROPIA-II is the aerosol phase state (solid only, solid/aqueous mixture or aqueous only) and the speciation in the gas and aerosol phases. The model has been evaluated with ambient data from a wide range of environments (including “dust-rich”) (Moya et al., 2001; Zhang et al., 2003; San Martini et al., 2006; Nowak et al., 2006; Metzger et al., 2006; Fountoukis et al., 2009), while its computational rigor and performance makes it suitable for use in large scale air quality and chemical transport models. Some examples of such 3-D models that have implemented ISORROPIA-II are GISS, CMAQ, PMCAM_x, GEOS-Chem, and ECHAM/MESSy (Adams and Seinfeld, 2002; Yu et al., 2005; Pye et al., 2009; Karydis et al., 2010; Pay et al., 2010; Pringle et al., 2010).

In order to use ISORROPIA in combination with the Raman lidar data, an assumption concerning the aerosol composition has to be done, mainly due to the absence of air mass sample within the under study layers. This is further corroborated by the fact that the aerosol conditions in the Mediterranean, and especially over Southern Greece are extremely complex (Lelieveld et al., 2002), due to the presence and mixing of aerosols of various origins (marine, Saharan dust, biomass burning events, long-range and/or local pollution) (Sciare et al., 2003; Karageorgos and Rapsomanikis, 2007; Koulouri et al., 2008; Sciare et al., 2008; Pikridas et al., 2010; Terzi et al., 2010; Theodosi et al., 2011). Therefore, modeling of such kind of complex aerosols is a crucial issue, although the output results, under such conditions, do remain more or less speculative.

In our procedure, at first a typical composition of sulfate, ammonium sulfate and mineral dust aerosols was considered. ISORROPIA was run forward for the computation of complex refractive index for each aerosol composition, using as input the relative humidity and the temperature within an aerosol layer. Finally, the aerosol composition (a mixture of sulfate, ammonium and mineral dust) with the closest refractive index (both real and imaginary part) value to the one estimated by the inversion model is provided as the most acceptable composition value.

2.6 In situ measurements of aerosol properties

In situ sampling of dust aerosols mixed with urban-like ones was performed to infer the mass concentration and the composition of dust particles near ground. However, under the generally complex aerosol conditions prevailing over S. Greece, as previously mentioned, near surface particle measurements cannot be directly compared to lofted aerosol lidar data, although this could make sense under special conditions (e.g. under very strong Saharan dust events, where the mineral constituents largely dominate the aerosol composition in a homogenized lower troposphere). Our sampling site was installed at the NTUA Campus at the top of a building at 14 m height from ground level (located 200 m above the mean sea level) and included: PM₁₀ continuous concentration monitoring by TSI Dustrak 8520 and TCR TECORA aerosol sampling. The sampling procedure and the elemental composition determinations are described in detail in Remoundaki et al. (2011).

Briefly, PM₁₀ sampling for elemental composition determination and SEM-EDX (Scanning Electron Microscope-Energy Dispersive X-ray) analysis was carried out using a TCR TECORA (Sentinel PM) operating at 38.33 l min⁻¹, constructed and calibrated in order to comply with European Standard EN12341 for standard sampling of PM₁₀. The sampling device operates with autonomy of 16 samples charged in a charging cassette by programming the sampling span and duration. Aerosol samples were collected on 0.45 µm nuclepore membranes. Twelve samples have been collected from 27 March to 2 April 2009. From 28 March to 2 April, two 3-hour samples per day were collected: one starting 06:00 UTC and the second starting at 11:00 UTC in order to correspond to urban activities maxima. This 3-hour time span during the two urban activities maxima (beginning and end of working day) was also selected in order to avoid sampling interruption due to filter clogging.

Sampling material and filter keeping petri-dishes were pretreated by soaking in dilute nitric acid solution and thorough rinsing by ultra-pure water (18MΩ cm⁻¹) and dried under the laminar flow hood of the laboratory. In order to determine PM₁₀ concentrations, the nuclepore membranes were weighted before and after sampling according to the procedure described in Annex C of EN12341 (EN12341, 1999) using a Mettler Toledo MS105 with a resolution of 10 µg in the air conditioned weighing room of the laboratory. The pre-weighted membranes were charged to the filter supports and sampler cassette under the laminar flow hood. Filter blanks and blank field samples were also prepared and analyzed together with samples. The filters were also weighted according to the same procedure as described before. The elemental composition determinations have been carried out by using the EDXRF (Energy Dispersive X-Ray Fluorescence) technique (SPECTRO XEPOS bench top XRF spectrometer SPECTRO A.I. GmbH) with Pd end window X-ray tube. NIST standard SRM 2783 has been used for spectrometer calibration verification. The elements Si, Al, Fe, K, Ca, Mg, S, Ni, Cu, Zn, Mn, and Ti, have been determined. SPECTRO X-LAB PRO was used for values normalization and error correction. The method detection limits were 100 ng cm⁻² for Mg, 20 ng cm⁻² for Al and K, 10 ng cm⁻² for Ca, Ti, Fe, 5 ng cm⁻² for Si, Mn, 2 ng cm⁻² for Ni, 1 ng cm⁻² for S, Cu and Zn. The estimated precision of the method ranged between 0.1% and 30% for individual elements, for most of them being <5%.

3. Case study: 27 March – 3 April 2009 dust episode

This case study concerns an intense Saharan dust outbreak, which lasted for eight days (27 March to 3 April 2009) and affected most of the Eastern Mediterranean and Balkans. The ground and remote sensing instruments were operated continuously during this period (although the NTUA Raman lidar was operated during the end of the episode, when clouds were dispersed and aerosol optical depths were low enough to permit sampling by the laser beam. MODIS and CIMEL instruments did not provide aerosol optical depth between 28 March and 1 April, due to extensive cloud cover.

Figure 1 presents the BSC-DREAM predictions of total dust in size classes between 0.1 and 10 μm (in g m^{-2}) over the European continent at 12:00 UTC. Superimposed on the same figure are the corresponding hourly forecasted wind vectors at 3000 m height level. From this figure we see that during the studied period Athens is influenced by high values of dust loadings (up to 1.5 g m^{-2}) from 29 March to 3 April. The maximum dust load was predicted to occur over Athens on 28, 29 March and 2 April (Fig. 1). These large amounts of dust particles originated from the Saharan region and approached Greece after passing over the Mediterranean Sea. Cluster analysis of back-trajectories for air masses arriving in Athens suggests that they may often experience interaction with maritime aerosol before reaching the Greater Athens Area (GAA) as indicated by Markou and Kassomenos (2010).

Figure 2 presents the air mass back-trajectories ending over Athens at 1000, 2000 and 3500 m height levels at 15:00 UTC (left-side figure) and 19:00 UTC (right-side figure) on 2 April, as calculated by the HYSPLIT model (Draxler et al., 2009). These trajectories show that the air masses sampled over Athens had passed 3-7 days earlier from central, western and eastern Sahara (sometimes within the Planetary Boundary Layer: PBL), so they were enriched with dust particles. Subsequently, they traversed the central Mediterranean region and moved anti-cyclonically over Greece. More precisely, the air masses ending at 2000 m height at 15:00 UTC were enriched with Saharan dust particles near the source passing at about 850 m asl. (about 100-120 hours before their arrival in Greece), while those ending at 3500 m nearly touched the surface (some 70-80 hours earlier), thus they should contain much higher dust loads (Fig. 2, left). On the other hand, the air masses ending at 3500 m at 19:00 UTC were enriched twice with dust

particles passing over the Sahara (about 150 and 30 hours, before) (Fig. 2, right). In both figures desert dust particles had the opportunity to mix with marine aerosols and anthropogenic haze (from Italy and the Balkans/Black Sea areas) accumulating over the Mediterranean Sea, which is a common issue in that area (Lelieveld et al., 2002).

Aerosol backscatter and Raman measurements at 355, 532 and 1064 nm were performed by the NTUA Raman lidar system over Athens only under cloud-free conditions in the studied period. Thus, we will focus on aerosol profiles obtained on 2 April. Figure 3 shows the time-height cross section of the range-corrected backscatter lidar signal (in arbitrary units: AU) obtained at 1064 nm from 13:42 to 20:49 UTC from 300 up to 6000 m a.s.l., after the cloud dissipation. According to the lidar measurements, the entire lower troposphere shows a deep, pronounced and aerosol-rich layer extending from ground up to 3500-4000 m height. More specifically, two thin and distinct aerosol layers are shown. The first layer was located around 2000 m, while the second one was found between 3200-3700 m. Indeed, the BSC-DREAM model indicates the transfer of Saharan dust particles over Athens (Fig. 1). These particles are mostly confined between 2000 and 4000 m height (Fig. 4) and have very high forecasted dust concentrations of the order of $400 \mu\text{g m}^{-3}$ at 3 km. These dust heights are also in full accordance with the output of the HYSPLIT model indicating the arrival of dust-rich air masses over Athens originating from the Saharan desert, then passing over Algeria and Tunisia (Fig. 2). This kind of aerosol structure indicates the presence of aerosols of different origins, as similarly observed during the various campaigns previously cited, such as SAMUM 1 and 2 (Weinzierl et al., 2009; Engelmann et al., 2011; Tesche et al., 2011a), PRIDE, SHADE, DODO1 (McConnell et al., 2008) and DABEX (Heese and Wiegner, 2008; Pelon et al., 2008).

Both aerosol layers detected by lidar slightly descended to lower altitudes with time and became diluted during the afternoon hours (from 13:42 UTC to 16:00 UTC), although always present around 2000 m and 3200-3700 m. The PBL height during daytime reached heights of about 1400 m around 14:00 UTC, while during the afternoon hours it descended down to 500 m a.s.l. around midnight, in full accordance with the closest radiosonde profile data (not shown here). The highest values of the range-corrected backscatter lidar signal within the PBL (shown by the red color in Fig. 3), under stable relative humidity values, indicate the possibility of dust presence

also near ground, mixed with locally produced aerosols (e.g. by anthropogenic sources) (Balis et al., 2006). This was confirmed by Remoundaki et al. (2011), since the mass concentration of PM_{10} particles measured *in situ* at 14 m above ground level, from 27 March to 2 April, showed that during this dust event when the aerosol rich air masses touched the ground, the aerosol mass concentrations exceeded $140\text{--}160\text{ }\mu\text{g m}^{-3}$, from 30 March to 1 April. During noon and early afternoon hours of 2 April, PM_{10} concentrations at ground reached $60\text{--}70\text{ }\mu\text{g m}^{-3}$, consistent with the lidar data concerning the detection of the arrival of the dust layers over Athens (Figure 3). The BSC-DREAM model correctly simulated the existence of a dust layer centred around 3 km (at 18:00 UTC) and extending up to 4000–4500 m height (Fig. 4), although it did not correctly simulate the profile of the aerosol mass concentration near ground [$25\text{ }\mu\text{g m}^{-3}$ measured versus $10\text{ }\mu\text{g m}^{-3}$ simulated, while annual mean PM_{10} mass concentrations in Athens on 2009 were of the order of $26\pm 1\text{ }\mu\text{g m}^{-3}$ (YPEKA, 2010)]. This is because the BSC-DREAM simulates only the dust-related aerosol profiles and not those related to air pollution urban sources.

In Figure 5 the corresponding vertical profiles of the aerosol optical properties (a_{aer} , b_{aer} , LR, ABR and AER), as well as the water vapour mixing ratio (WVMR) are presented, along with the respective error bars. The averaging time of the lidar signals for the retrieved vertical profiles is approximately 3 hours and the vertical range resolution is of the order of 15 m. We note here, that the lower height of our aerosol retrievals is around 1500 m asl., due to the overlap height of our lidar system, which is of the order of 1200–1500 m, depending on the wavelength used. Based on the aerosol extinction and backscatter profiles shown in Fig. 5, the presence of particles (between 17:40–20:40 UTC) extends mainly up to 3500 m, coinciding with decreased values (1.11 ± 0.02) of the $ABR_{532/1064}$ (red line in Fig. 5) between 1500 and 4000 m, indicating the presence of rather small particles ($0.1\mu\text{m}<\text{diameter}<1\mu\text{m}$) (Müller et al., 2003; Ansmann et al., 2002; Tesche et al., 2011b). The corresponding water vapour vertical profile derived by the NTUA Raman lidar showed that its mixing ratio remained of the order of 4 g kg^{-1} inside the dust layer. Moreover, the relative humidity (RH) profile obtained by radiosonde at a nearby location (about 15000 m away) showed RH humidity values (79–80%) around the 3000 m height region, which could lead to a probable mixing of the dust particles with humidity.

The mean LR values found over Athens inside the referred aerosol layers in the height range between 1800 and 3500 m height were 83 ± 7 sr (355 nm) and 54 ± 7 sr (532 nm); while the $ABR_{355/532}$ and $AER_{355/532}$ values, were 1.17 ± 0.08 and 1.11 ± 0.02 , respectively (Table 1). Indeed, the aerosol optical properties presented in Fig. 5, support our view about mixing of dust with other particles, since our measured LR, $ABR_{355/532}$ and $AER_{355/532}$ values are higher than those for pure dust which are close to 53 ± 7 sr (355 nm), 55 ± 7 sr (532 nm), 0.2 ± 0.2 , and 0.0 ± 0.2 , respectively, according to Tesche et al. (2009a) (see Table 1). Therefore, as our LRs are higher than 53-55 sr and $ABR_{355/532}$ and $AER_{355/532}$ values are greater than 1, the particles probed should be a mixture of dust ($AER_{355/532} \sim 0$) and other particles, such as continental haze and smoke ($AER_{355/532} \sim 1.4-2$) (see references in Table 1 and also Ansmann et al., 2001; Franke et al., 2003; Müller et al., 2003; Müller et al., 2004; Amiridis et al., 2009b; Müller et al., 2011; Amiridis et al., 2012; Burton et al., 2012). This is further supported by the MODIS hot spot fire product (<http://modis.higp.hawaii.edu/cgi-bin/modis/modisnew.cgi>) indicating biomass burning activity along the air mass trajectory path given in Fig. 2.

Figure 6 shows the temporal evolution of the CIMEL sun-sky radiometer AOD at eight wavelengths and the Ångström exponent (α) over Athens for the period 27 March to 3 April 2009. The value of α is derived according to the Ångström power law, using the 440, 670 and 870 nm channels (e.g. Eck et al., 1999; Holben et al., 2001). From the almucantar sky radiance measurements (see also <http://aeronet.gsfc.nasa.gov>) at the four highest wavelengths an inversion algorithm (AERONET version 2), as described by Dubovik et al. (2002 and 2006), retrieves a large set of optical and microphysical aerosol parameters. In Figure 6, the MODIS AODs at 550 nm are additionally presented (white squares) along with the BSC-DREAM dust AODs at 550 nm (upper panel). CIMEL data between 29 March and 1 April are lacking owing to excessive cloud cover over the city of Athens.

Moreover, the MODIS data for the **GAA** (10 km x10 km over the station) on March 29 (not shown) showed that the evolution of the event was well captured by the BSC-DREAM model. The BSC-DREAM AODs data (Fig. 6) showed the quick arrival of the desert plume over the Athens station on 29 March and then on 2 April. The highest CIMEL AOD was registered on 2 April with a value of 0.90 ± 0.05 (340 nm). The desert dust plume was also visible on 3 April,

while the following days (from 4 April) showed a clear weakening of the event as the desert plume quickly moved away, as shown by the AOD, which dropped back to background levels of around 0.3 at 340 nm in accordance with the mean annual AOD (0.29 ± 0.18) reported for Athens at 440 nm, by Gerasopoulos et al. (2011). We have to mention here that the same authors report that the mean AOD at 500 nm for Athens is 0.34 during stagnant conditions where anthropogenic haze dominates (Gerasopoulos et al. (2011). Therefore, background AODs are slightly lower than those reported for local haze conditions, and much lower for dust conditions ($AOD > 0.4$). The temporal evolution of the Ångström exponent (440–870 nm) for the same time period is also shown in the lower panel, showing low values (from 0.4 to 1.0) for 2 and 3 April, in inverse correspondence with the high AOD for desert aerosols. One of the characteristics of the desert dust episodes in our area (Balis et al., 2004) is the high variability shown by both parameters during each day.

As can be seen in Figure 6, on 2 April between 10:00 and 14:00 UTC, we observed high AODs due to thick dust layers which were advected to the observation location (as shown in lidar data). For the same day, the mean daily volume aerosol size distribution (not shown) exhibited two modes, but the relative importance of the modes depends on the prevailing aerosol type: an accumulation or fine mode with particle radius below $0.6 \mu\text{m}$, and a coarse mode with particle radius between 0.6 and $15 \mu\text{m}$. In this case, we expect a predominant coarse mode during desert dust conditions. The mode radii and volume concentrations were analyzed in order to characterize the aerosol dust evolution. The evolution of the desert dust is clear in the coarse mode fraction.

Table 2 presents the percentual contribution of dust and sulfates to PM_{10} at near ground level. The detailed calculations have already been presented in Remoundaki et al. (2011). From this Table, it can be seen that dust contribution was at the level of 15% before the arrival of the Saharan dust and increased significantly during the dust event reaching 65% on 31 March and 79% on 1 April, respectively. Sulfates contribution (SO_4^{2-}) was in expected levels for the city of Athens (Karageorgos and Rapsomanikis, 2007; Theodosi et al., 2011) and presented a maximum on March 29 where southerlies (responsible for long-range transport of particles of crustal origin) were simultaneously present with west winds charged with aerosol particles from local

urban and industrial emission sources (e.g. oil refineries of Aspropyrgos located in the WNW-NW sector) (Remoundaki et al., 2011). Finally, both dust and sulfates represent significant fraction of PM_{10} explaining in some cases more than 50% of the PM_{10} mass.

Indeed, the EDX analysis of all particles sampled (Remoundaki et al., 2011) during the reported period (27 March to 3 April 2009), revealed that aluminosilicates (clays) were predominant. The presence of illite was obvious in many cases, quartz particles were rare and very difficult to be detected. Dust particles were very rich in calcium which is distributed between calcite, dolomite and sulfates and Ca-Si particles (e.g. smectites). Iron oxides were often detected. These results are in very good agreement and confirm those reported on the elemental composition of the dust and the origins of the air masses which first started from the Western Sahara and over passed northern Algeria on their way to Greece. These findings are also in very good agreement with literature on the Saharan particles characterization and their relationship to their origins (Coude-Gaussen G. et al., 1987; Avila et al., 1997; Blanco et al., 2003; Coz et al., 2009; Rodríguez et al., 2011).

Using the aerosol backscatter profiles at 355, 532 and 1064 nm and the corresponding aerosol extinction profiles at 355 and 532 nm, we calculated the aerosol microphysical properties with the retrieval code for spheroid particles (Veselovskii et al., 2010) using the lidar data of 2 April. As mentioned previously, the retrieval algorithm represents the aerosol as a mixture of spheres and spheroids. However, without using the particle depolarization ratio in retrieval, the spheroid volume ratio (SVR) is underestimated, which leads to the underestimation of the real part of refractive index (Mishchenko and Hovenier, 1995; Veselovskii et al., 2010). Thus, it is more accurate to suggest that the majority of the particle volume in the considered height range is related to non spherical particles. This assumption is justified by the HYSPLIT trajectories, which suggest that most of the particles in the coarse mode is associated with dust. The finer mode particles (and a fraction of the coarse dust) are expected to mix with anthropogenic pollution and sea salt; this, together with aerosol water will undoubtedly make particles more spherical.

We selected to retrieve the aerosol properties at four different layers for the period between 17:40-20:40 UT: layer 1 (1910-2070 m), layer 2 (2284-2850), layer 3 (2960-3100 m) and layer 4 (3140-3420 m). The retrieved particle volume size distributions $dV/d\ln r$ for the four considered layers is shown in Fig. 7 (left-hand graph). Moreover, the integral particle parameters, such as V , S , N , r_{eff} and m_R and m_i are summarized in Table 3. From the particle size distribution (PSD) analysis, shown in Fig. 7 we can conclude that the fine mode of the PSD is centered at $0.13 \mu\text{m}$, while the coarse mode is centered near 1 and $2 \mu\text{m}$. More specifically, at lower heights (layer 1) the fine mode is prevailing, but at higher altitudes the contribution of the coarse mode becomes more important. The fine mode containing the small particles determines the integral particle number density. In our case, the fine mode particles decrease with height, thus resulting in N decreasing from 1700 cm^{-3} in layer 1, to $700\text{-}800 \text{ cm}^{-3}$ in layers 3 and 4. On the other hand, the mean effective radius rises from $0.22 \mu\text{m}$ to around $0.32 \mu\text{m}$ between layer 1 and layers 3 and 4. In fact, the in situ aerosol sampling near ground revealed that near the end of the Saharan dust event on 2 April, the dominant size of the particles diameter was smaller than $2 \mu\text{m}$ (Remoundaki et al., 2011), which is consistent with the retrieved entire aerosol volume size distribution (Fig. 7). Additionally, in Fig. 7 (right-hand graph in blue color) we show the aerosol size distribution (total column) measured by the CIMEL sun-sky radiometer for different hours (from 05:55:42 UTC to 13:30:45 UTC), where two main aerosol classes are found: those of fine mode (around $0.15 \mu\text{m}$ radius, in agreement with those obtained in the aerosol characterization from direct-sun AERONET data presented in Basart et al., 2009) and those of coarse mode particles (around $1\text{-}2 \mu\text{m}$ radius).

Furthermore, if we divide the integrated CIMEL data (at 13:30:45 UTC) by the dust layer thickness of about $3.5\text{-}4 \text{ km}$, we obtain maxima of the $dV(r)/d\ln(r)$ of the order of $5.5\text{-}6.2$ and $15\text{-}17 \mu\text{m}^3 \text{ cm}^{-3}$ for the fine and coarse mode, respectively. These maximum values are quite close (especially the fine mode ones) to the maximum size distribution values (Fig. 7) retrieved from the lidar data ($5.5\text{-}12$ and $7\text{-}10 \mu\text{m}^3 \text{ cm}^{-3}$, for the fine and coarse mode, respectively). Moreover, our retrieved aerosol size distribution and the one from CIMEL show comparable size distributions having radius centered on $0.13 \mu\text{m}$ (fine mode) and 1 to $2 \mu\text{m}$. Although there is some difference, especially in the coarse mode (around $1\text{-}2 \mu\text{m}$ radius) particles, temporal variability and non concurrent measurements between the retrievals could account for it.

Additionally, the retrieved real part of the refractive index is of the order of 1.47 for the layers 1 and 2, indicating mixing of dust with urban-like sulfate and organic carbon aerosols (Sokolik et al., 1993; Ebert et al., 2004; Raut and Chazette, 2008; McConnell et al., 2010), while for the layers 3 and 4 it increases up to 1.52, indicating the even stronger mixing of dust with organic carbon aerosols and urban-like sulfate over Athens (Ebert et al., 2002; Petzold et al., 2009). The imaginary part of refractive index in all layers is $m_i=0.007\pm0.0035$, indicating aerosol that is internally mixed with slightly absorbing dust (Patterson et al., 1977; Sokolik et al., 1993; Sokolik and Toon, 1999; Ebert et al., 2004; Müller et al., 2009; Kandler et al., 2009).

It is interesting to note that the latest available CIMEL data for 2 April, obtained at 13:30 UTC over Athens (not shown here), gave a columnar refractive index of the order of 1.53-1.55 (real part), while the imaginary part was ranging from 0.009i to 0.015i. These values represent column values which are obtained during the Saharan dust event several hours before the lidar sampling; they are typical of mixtures of silicate particles with sea salt (Ebert et al., 2002). Moreover, the retrieved mean columnar value of the effective radius was 0.21 μm (at 13:30:45 UTC), which compares very well with the retrieved value (0.22 μm), from the lidar data at the dust layer 1 (between 17:40-20:40 UTC), but less with those retrieved from layers 2-4 (2.28-3.42 km), as shown in Table 3. Indeed, layers 2 to 4 (17:40 to 20:40 UTC) are related to the strong dust layer which appeared around 3.5 km (from 13:42 to 16:00 UTC) (see Fig. 3), therefore, they could be probably associated to bigger dust particles.

The final data set of the aerosol optical and microphysical properties along with the water vapor profiles were incorporated into the ISORROPIA II model (Fountoukis and Nenes, 2007) to provide a possible dry chemical composition that is consistent with the retrieved refractive index values (Table 3). Of course, due to the complexity of the aerosols probed over Athens, a unique “solution” for the chemical composition cannot be provided, unless in situ airborne data are available for direct comparison to validate our model results. For the aerosols located at layer 1, we derived a chemical composition of about 50-60% sulfate, 15-25% organic carbon (OC) and 15-35 % mineral dust is required for this. At the second layer, the model showed less concentration of sulfates and a slight increase of OC in comparison with the first one.

Specifically, the retrieved chemical composition was of the order of 32-52% for sulfates, 28-36% for the OC and 12-40% mineral dust. For the aerosols located at layer 3 we derived a chemical composition of about 18-38% sulfate, 52-62% OC and 0-30% mineral dust. For the aerosols located at layer 4 a chemical composition of about 16-36% sulfate, 54-64% OC and 0-30% mineral dust was estimated by the model. At the two upper layers the retrievals showed more concentration of OC which is correlating well with the high values of the refractive index (of the order of 1.52) and is consistent with the enrichment of organics in the aerosol that is often seen in the free troposphere (Heald et al., 2005).

These findings, which indeed indicate mixing of mineral dust aerosols with sulfate and OC ones (typical from urban air pollution sources and biomass burning), are in accordance with the lidar data presented in Fig. 3, where the dust layered aerosols around 2000-3500 m (between 17:40-20:40 UT) are diluted over the PBL, through mixing with locally produced ones. Table 3 summarizes the optical, microphysical and chemical properties of aerosols retrieved at the four specific layers (1st to 4th layer), as well as the RH (%) at each layer for 2 April 2009. Regarding the percentage of mineral dust (34.5%) to PM₁₀ shown in Table 2, we see that the findings from the chemical analysis are in the upper limit values of those derived by the ISORROPIA II model for the lower atmospheric layers (1st and 2nd layers, located from 1.9 to 2.85 km asl.). Given that OC is not measured, 34.5% is in reality the upper limit of dust concentration.

On the other hand, the percentage of SO₄²⁻ contribution to PM₁₀ near ground (see Table 2) was much lower than the ones derived by the ISORROPIA II model for the lower atmospheric layers, but it agreed quite well with the lower limit values of those derived by the ISORROPIA II model for the upper atmospheric layers (3rd and 4th layers, located from 2.9 to 3.42 km asl.). Given that the filter integrates over a larger period than the lidar (Remoundaki et al., 2011), the average chemical composition differs from the retrieval: furthermore, changes in acidity (due to uptake of ammonium) affect the mass associated with the sulfate ion by 40% (Seinfeld and Pandis, 2008).

4. CONCLUSIONS

In this manuscript, we attempted to combine experimental data [multi-wavelength Raman data (3 aerosol backscatter and 2 extinction profiles) and in situ measurements to chemically characterize the aerosol sampled] and models (microphysical inversion and thermodynamic ones), to infer the particle optical and microphysical properties, as well as a possible chemical composition.

During a strong Saharan dust event occurred over Athens (27 March to 3 April 2009), selected measurements were performed to obtain the optical properties of the dust particles in the lower free troposphere. A hybrid regularization technique was used to derive the mean microphysical properties of the dust particles, while the thermodynamic model ISORROPIA II was used to provide possible aerosol chemical properties at four selected dust layers between 2.9 and 3.4 km. AOD values, derived from the CIMEL sun -sky radiometer, ranged from 0.33-0.91 (340 nm) to 0.18-0.60 (500 nm), while the LR values retrieved from the Raman lidar ranged from 75-100 sr (355 nm) and 45-75 sr (532 nm). Moreover, $ABR_{355/532}$ and $ABR_{532/1064}$ values of about 0.9-1.9 and 1.0-1.45 were observed, respectively, while the $AER_{355/532}$ ranged within 0.8-1.5. Inside selected dust layers, mean $AER_{355/532}$ ranged within 0.85-1.25, while the mean LR values were 83 ± 7 sr (355 nm) and 54 ± 7 sr (532 nm) and the mean $ABR_{355/532}$ and $AER_{355/532}$ were 1.17 ± 0.08 and 1.11 ± 0.02 , respectively. The higher mean value of $AER_{355/532}$ (1.25) observed at the lower atmospheric layer indicates the presence of smaller particles, compared to those at higher layers where we have larger particles, since their $AER_{355/532}$ were much lower (0.85-0.94). The presence of pure dust can be easily excluded since it is characterized by AER and ABR values lower than 0.5 (Teschke et al., 2011a). The presence of smaller particles at the lower layer, under this strong dust event, indicates a possible mixing of haze and dust, while the larger particles at higher heights may indicate the mixing of dust with coarser particles. Indeed, inside the four selected dust layers the aerosol refractive indexes ranged from $1.47(\pm 0.05) + 0.0070(\pm 0.0035)i$ to $1.52(\pm 0.05) + 0.0070(\pm 0.0035)i$, while effective radiuses ranging from 0.22 ± 0.06 to 0.33 ± 0.10 μm were retrieved.

In the first two lower atmospheric layers, the inferred possible contribution of dust to the optical properties observed was estimated to vary from 12-40%, with a quite important sulfate contribution from anthropogenic haze of 32-60%, and OC content (15-36%) originating from

urban combustion and/or biomass burning activities (Prosser et al., 2004; Sillanpää et al., 2005). At the two higher layers the inferred possible contribution of dust to the optical properties observed was lower (0-30%), as was the sulfate contribution (18-36%); The latter, combined with the much higher OC content (52-64%) indicates a possible mixture of higher levels of biomass burning smoke, with dust and less continental haze particles. This, along with our retrieved aerosol optical and microphysical properties, is in agreement with Raman lidar and in situ observations reported from DABEX (Heese and Wiegner, 2008) and from SAMUM-2 in Cape Verde by Ansmann et al. (2011) and Tesche et al. (2011a) for mixture of dust and smoke particles. Furthermore, in situ airborne aerosol sampling together with multi-wavelength Raman lidar measurements should be performed to further evaluate the procedure proposed in this study.

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Tables

Table 1. Raman lidar-derived mean aerosol optical properties (LR_{355} , LR_{532} , $ABR_{355/532}$, $AER_{355/532}$) obtained from different campaigns or systematic measurements)

LR_{355} (sr)	LR_{532} (sr)	$ABR_{355/532}$	$AER_{355/532}$	Aerosol type	Reference
83 ± 7	54 ± 7	1.17 ± 0.08	1.11 ± 0.02	Dust + haze + smoke	This study
60 ± 10	50 ± 13	N/A	N/A	Haze + smoke	Balis et al., 2003
71 ± 8	79 ± 10	N/A	1.46 ± 0.30	Haze	Noh et al., 2007
58 ± 12	53 ± 11	1.4 ± 0.5	N/A	Haze	Müller et al., 2007
75 ± 15	N/A	N/A	N/A	Smoke	Heese and Wiegner, 2008
45 ± 25	N/A	1.4 ± 0.5	N/A	Dust + haze + maritime	Papayannis et al., 2008 (Thessaloniki station, only)
65 ± 16	N/A	1.7 ± 0.6	N/A	Smoke	Amiridis et al., 2009
56 ± 7	63 ± 7	0.92 ± 0.59	N/A	Smoke	Noh et al., 2009
56 ± 7	59 ± 10	0.87 ± 0.14	N/A	Haze	Noh et al., 2009
75 ± 7	75 ± 7	0.7 ± 0.2	0.8 ± 0.3	Dust + smoke	Ansmann et al., 2009
53 ± 7	55 ± 7	0.2 ± 0.2	0.0 ± 0.2	Dust	Tesche et al., 2009a
69 ± 17	N/A	1.0 ± 0.7	N/A	Smoke	Giannakaki et al., 2010
75 ± 9	69 ± 8	N/A	$1.07 \pm \text{N/A}$	Dust+smoke	Gross et al., 2011
67 ± 14	67 ± 12	0.71 ± 0.28	0.67 ± 0.38	Dust + smoke	Tesche et al., 2011a
74 ± 09	69 ± 10	0.63 ± 0.19	0.76 ± 0.31	Dust + smoke	Tesche et al., 2011b
87 ± 17	79 ± 17	0.90 ± 0.26	1.15 ± 0.28	Smoke	Tesche et al., 2011b

Table 2. Percentage of mineral dust (MIN) and sulfates contribution in PM₁₀ (27/03-02/04)
(Remoundaki et al., 2011)

Date	PM ₁₀ (µg/m ³)	%MIN	%SO ₄	%TOT(MIN+SO ₄)
27/3/2009	17	19.0	27.4	46.4
28/3/2009	39	18.7	13.4	32.2
28/3/2009	17	17.0	32.3	49.3
29/3/2009	17	15.1	29.4	44.5
29/3/2009	14	13.3	45.6	58.9
30/3/2009	68	34.4	16.1	50.4
31/3/2009	62	64.7	14.3	79.0
31/3/2009	62	39.8	22.2	62.0
1/4/2009	57	45.7	14.7	60.4
1/4/2009	32	78.9	17.5	96.4
2/4/2009	54	34.5	17.5	52.0

Table 3: Mean optical, microphysical and chemical properties of aerosols, as well as the relative humidity RH (%) at each layer, retrieved at four specific layers on 2 April 2009.

	1 st layer	2 nd layer	3 rd layer	4 th layer
Height range (km)	1.91-2.07	2.28-2.85	2.96-3.10	3.14-3.42
Lidar Ratio @ 355nm (sr)	89.8 ± 0.60	89.0 ± 5.50	75.2±0.16	76.7±1.60
Lidar Ratio @ 532nm (sr)	64.0 ± 2.00	56.6 ± 5.00	47.8± 0.09	48.4±1.90
AER _{355/532}	1.250 ± 0.008	0.935 ± 0.043	0.849 ± 0.010	0.936 ±0.024
ABR _{355/532}	1.52±0.05	1.14±0.06	1.03±0.02	0.97±0.02
ABR _{532/1064}	1.070±0.003	1.11±0.01	1.140±0.004	1.13±0.02
Refractive Index (real part)	1.47±0.05	1.47±0.05	1.51±0.05	1.52±0.05
Refractive Index (imaginary part)	0.0070±0.0035	0.0070±0.0035	0.0070±0.0035	0.0070±0.0035
Mean effective radius(μm)	0.22±0.06	0.32±0.10	0.33±0.10	0.31±0.10
Surface density (μm ² cm ⁻³)	290±60	210±45	150±30	170±35
Number density (cm ⁻³)	1700±1000	1100±700	700±400	800±500
Volume density (μm ³ cm ⁻³)	21±6.5	22±6.5	17±5.0	17±5.0
RH (%)	59	64	79	80
Chemical composition	50-60 % sulfate, 15-25 % OC, 15-35 % dust	32-52 % sulfate, 28-36 % OC, 12-40 % dust	18-38% sulfate, 52-62% OC, 0-30% dust	16-36% sulfate, 54-64% OC, 0-30% dust

Figure Captions

Figure 1: Dust loading (in g/m^2) over Europe in the period between 27 March and 3 April 2009, as estimated by the BSC-DREAM forecast model (12:00 UTC). The wind field pattern is also shown for 3000 m height level.

Figure 2: Seven days air mass back trajectories ending over Athens on 2 April 2009 (left-side: at 15:00 UTC, right-side: at 19:00 UTC) based on the HYSPLIT model.

Figure 3: Time-height cross section of the range-corrected backscatter lidar signal (in arbitrary units: AU) at 1064 nm, as observed over Athens, by the NTUA Raman lidar system on 2 April 2009 (13:42-20:49 UTC).

Figure 4. Forecast of the vertical profile of the dust concentration (in $\mu\text{g/m}^3$) over Athens, Greece for 2 April 2009, at 18:00 UT using the BSC-DREAM model.

Figure 5. Vertical profiles of the aerosol optical properties (extinction and backscatter coefficient, lidar ratio and Ångström backscatter- and extinction-related exponent), as well as of the water vapor to dry air mixing ratio (g/kg) (with error bars), as retrieved by the NTUA Raman lidar over Athens on 2 April 2009 (17:40-20:40 UTC).

Figure 6. Temporal evolution of the AOD at eight wavelengths over Athens for the period 27 March to 4 April 2009 according to CIMEL sun-sky radiometer, MODIS at 550 nm (white squares) and BSC-DREAM model at 550 nm (upper panel). Temporal evolution of the Ångström exponent (440/870 nm) for the same time period (lower panel).

Figure 7a. Retrieved aerosol volume size distribution from the NTUA Raman lidar data for radiuses up to $10\text{ }\mu\text{m}$, for the particles in layers 1 (1910-2070 m), 2 (2284-2850 m), 3 (2960-3100 m) and 4 (3140-3420 m) between 17:40-20:40 UTC (left vertical axis). Measured aerosol volume size distribution (total column) by the CIMEL sun-sky radiometer on 2 April 2009, at 13:30 UTC. (right vertical axis).

Figure 1

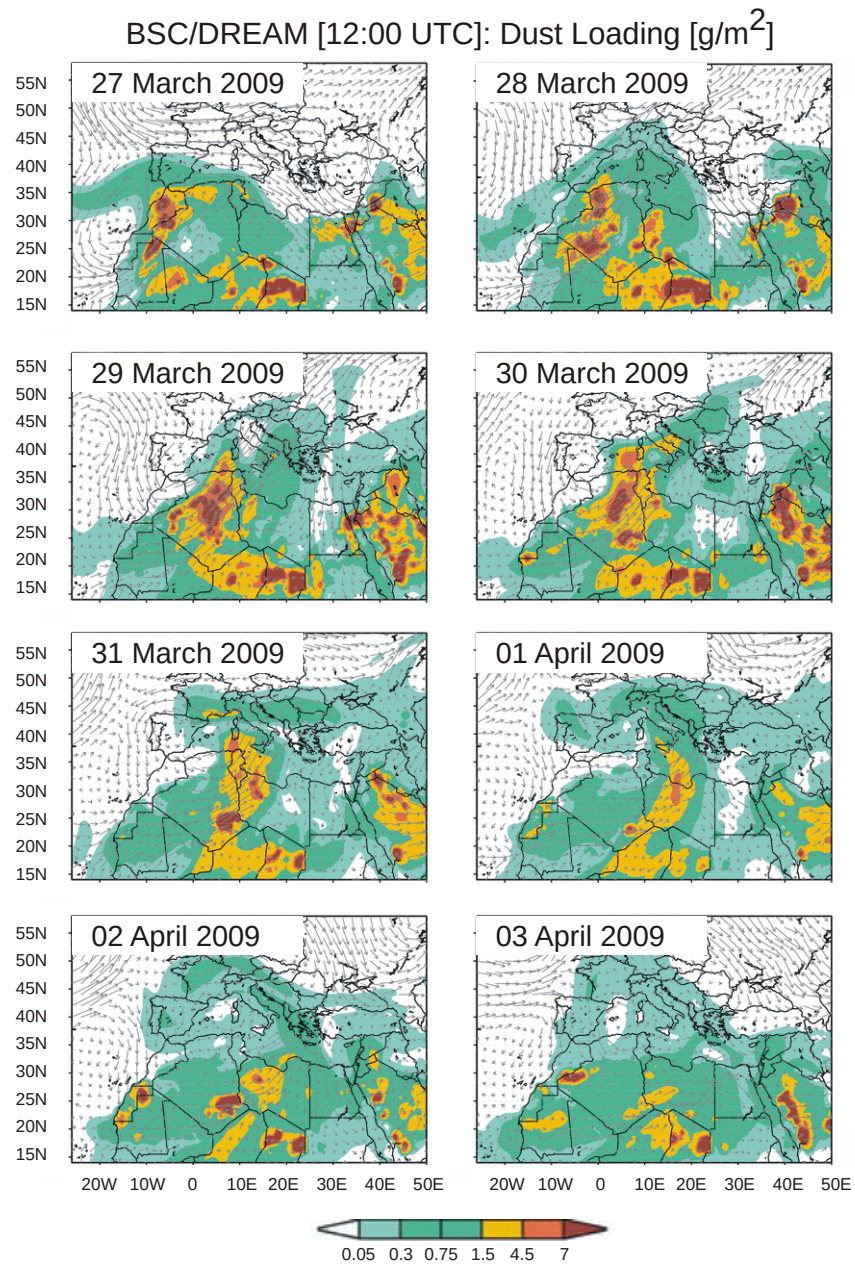


Figure 2

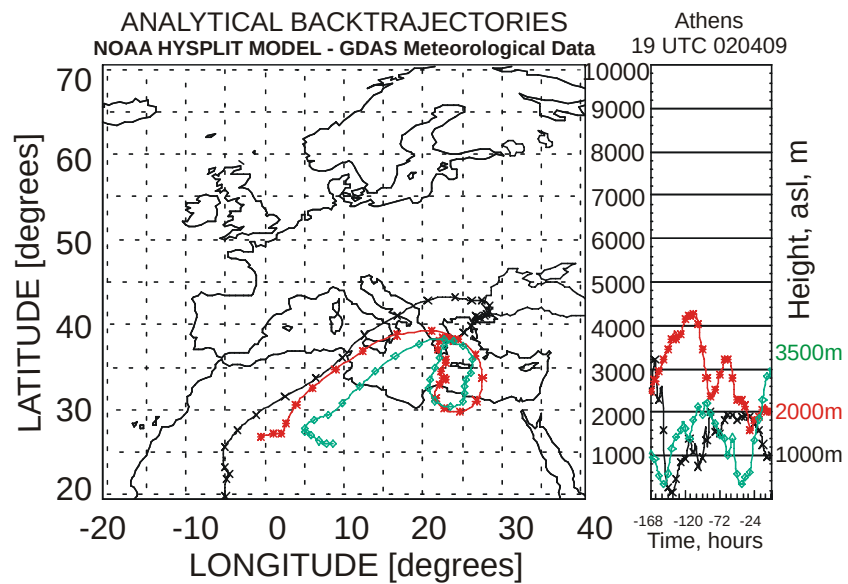
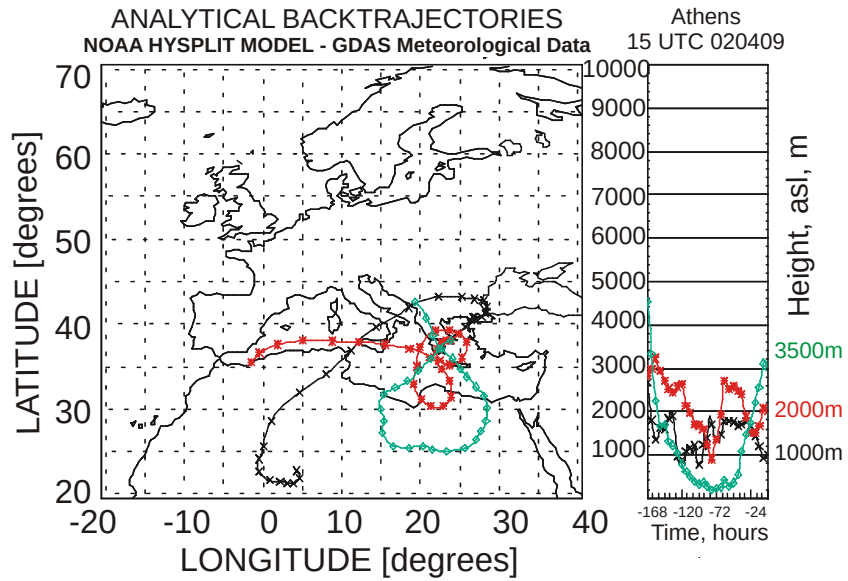


Figure 3

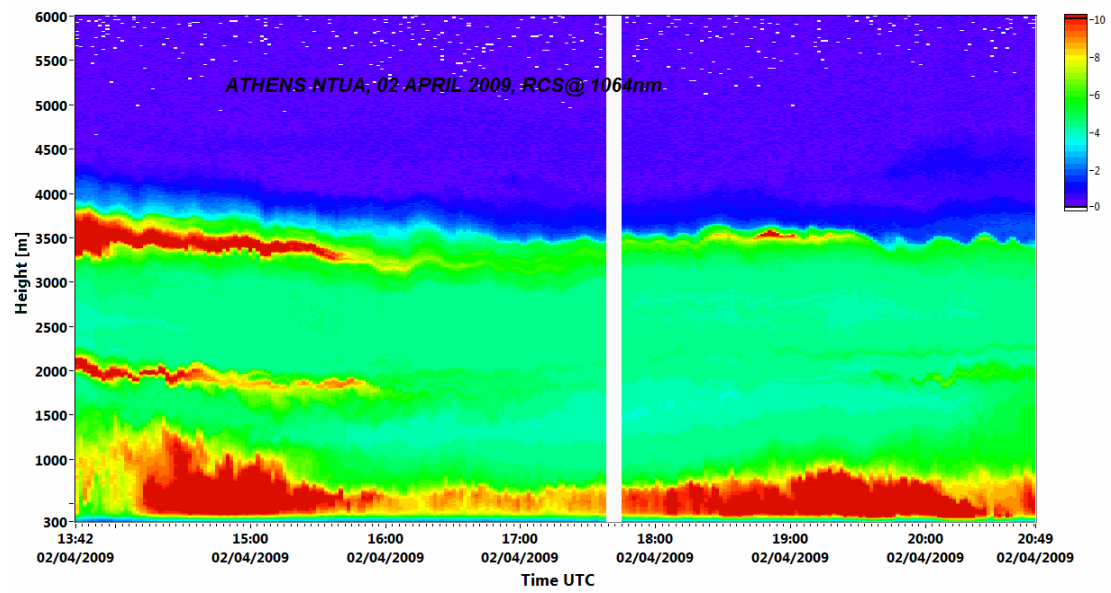


Figure 4

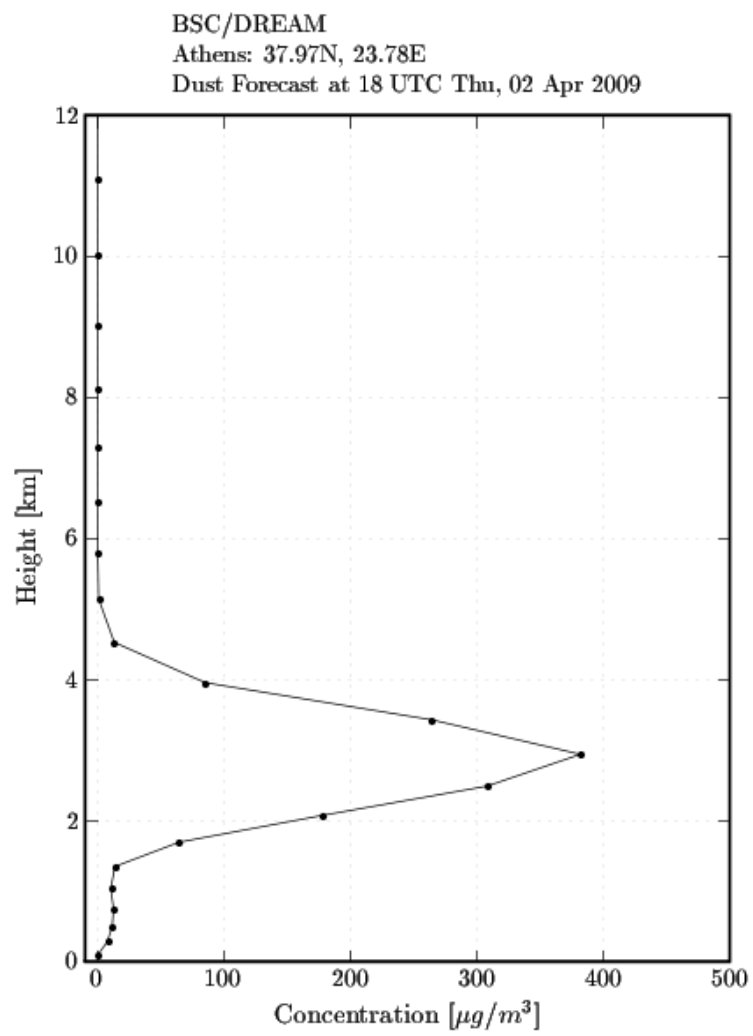


Figure 5

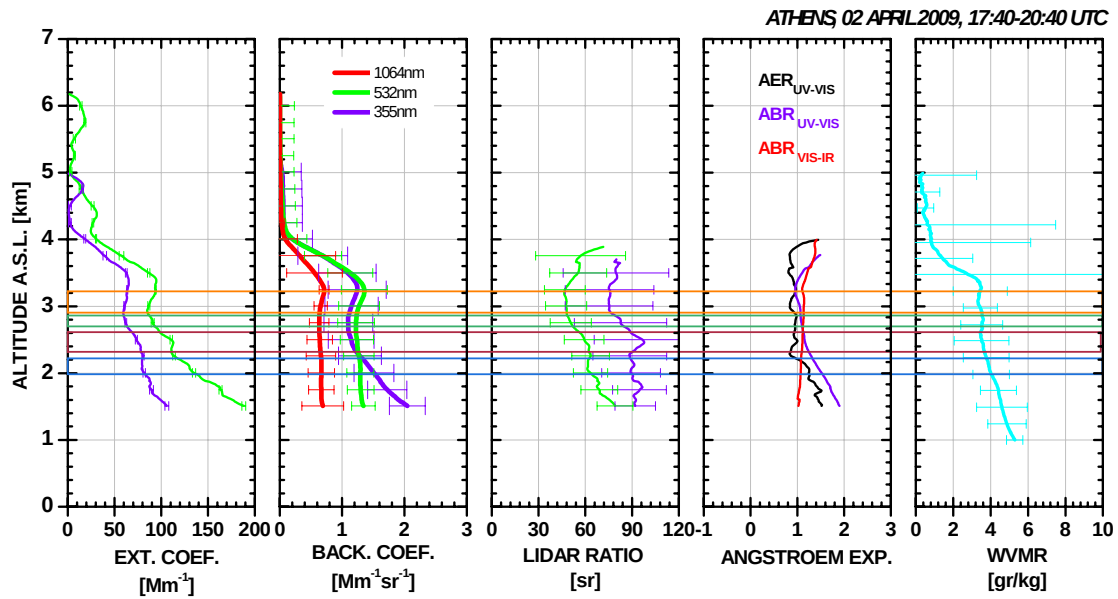


Figure 6

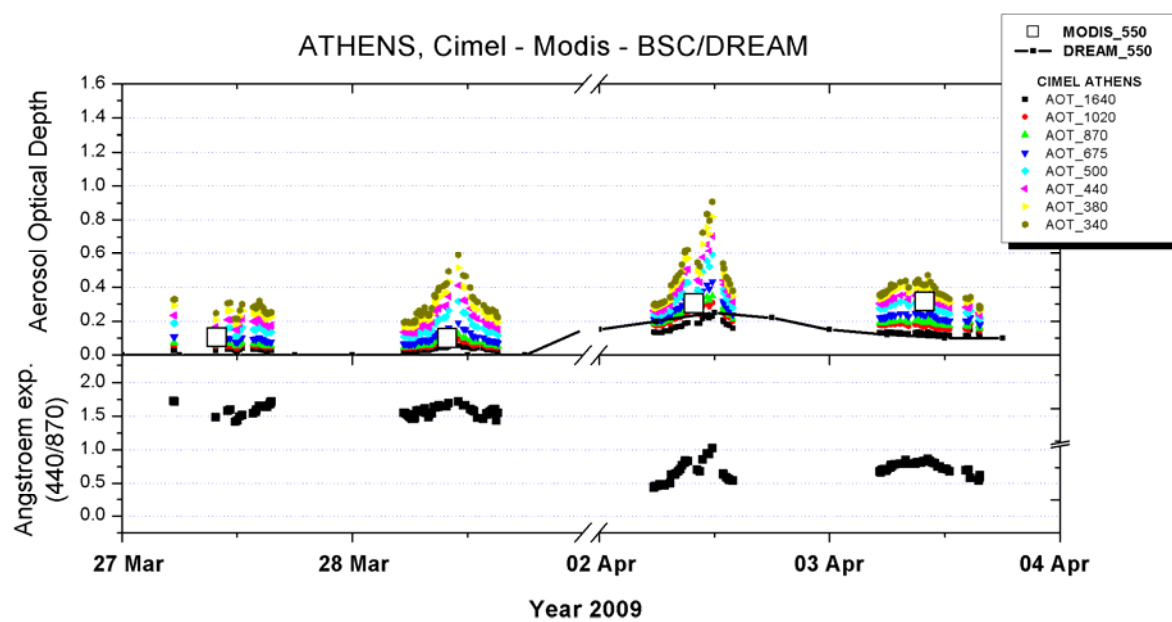


Figure 7

