

1 **Exploring the vertical profile of atmospheric organic**  
2 **aerosol: Comparing 17 aircraft field campaigns with a**  
3 **global model**

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## 1 Abstract

2 The global organic aerosol (OA) budget is highly uncertain and past studies suggest that  
3 models substantially underestimate observed concentrations. Few of these studies have  
4 examined the vertical distribution of OA. Furthermore, many model-measurement  
5 comparisons have been performed with different models for single field campaigns. We  
6 synthesize organic aerosol measurements from 17 aircraft campaigns from 2001-2009 and use  
7 these observations to consistently evaluate a GEOS-Chem model simulation. Remote,  
8 polluted and fire-influenced conditions are all represented in this extensive dataset. Mean  
9 observed OA concentrations range from 0.2-8.2  $\mu\text{g sm}^{-3}$  and make up 15 to 70% of non-  
10 refractory aerosol. The standard GEOS-Chem simulation reproduces the observed vertical  
11 profile, although observations are underestimated in 13 of the 17 field campaigns (the median  
12 observed to simulated ratio ranges from 0.4 to 4.2), with the largest model bias in  
13 anthropogenic regions. However, the model is best able to capture the observed variability in  
14 these anthropogenically-influenced regions ( $R^2=0.18-0.57$ ), but has little skill in remote or  
15 fire-influenced regions. The model bias increases as a function of relative humidity for 11 of  
16 the campaigns, possibly indicative of missing aqueous phase SOA production. However,  
17 model simulations of aqueous phase SOA suggest a pronounced signature in the mid-  
18 troposphere (2-6 km) which is not supported in the observations examined here. Spracklen et  
19 al. [2011] suggest adding  $\sim 100 \text{ Tg yr}^{-1}$  source of anthropogenically-controlled SOA to close  
20 the measurement-model gap, which we add as anthropogenic SOA. This eliminates the model  
21 underestimate near source, but leads to overestimates aloft in a few regions and in remote  
22 regions, suggesting either additional sinks of OA or higher volatility aerosol at colder  
23 temperatures. Sensitivity simulations indicate that fragmentation of organics upon either  
24 heterogeneous or gas-phase oxidation could be an important (missing) sink of OA in models,  
25 reducing the global SOA burden by 15% and 47% respectively. The best agreement with  
26 observations is obtained when the simulated anthropogenically-controlled SOA is increased to  
27  $\sim 100 \text{ Tg yr}^{-1}$  accompanied by either a gas-phase fragmentation process or a reduction in the  
28 temperature dependence of the organic aerosol partitioning ~~n~~ increase in volatility away from  
29 source (by decreasing the enthalpy of vaporization from  $42 \text{ kJ mol}^{-1}$  to  $25 \text{ kJ mol}^{-1}$ ). These  
30 results illustrate that models may require both additional sources and additional sinks to  
31 capture the observed concentrations of organic aerosol.

## 1 Introduction

2 Fine aerosols contain a substantial fraction of organic material over much of the world (Zhang  
3 et al., 2007). Despite this, the sources and budget of these particles are poorly understood.  
4 While models have been unable to reproduce the magnitude and variability of observed  
5 concentrations of organic aerosol (OA) in many regions (Heald et al., 2005;Heald et al.,  
6 2006;de Gouw et al., 2005;Volkamer et al., 2006;Johnson et al., 2006;Chung and Seinfeld,  
7 2002;Hodzic et al., 2009;Kleinman et al., 2008), they perform better in clean regions (Capes  
8 et al., 2009;Chen et al., 2009;Dunlea et al., 2009;Slowik et al., 2010). Few of these  
9 comparisons have explored the vertical extent and distribution of OA. Knowledge of the  
10 vertical distribution of OA is required to estimate the global budget and the impact of OA on  
11 climate and can provide key insight into OA evolution and lifetime. Previous observational  
12 evaluations of our understanding of OA formation and processing have been performed with a  
13 suite of different model types, spanning the scale of constrained box models through to global  
14 3D models, with different model parameters and complexity. Furthermore, most studies use a  
15 model to interpret observations from a single field campaign or surface network. It is  
16 therefore imperative to integrate these individual studies into a picture of generic model  
17 weaknesses which could be used to inform future experimental investigations. Our objectives  
18 here are therefore twofold: to synthesize the largest single set of observations of OA from  
19 aircraft campaigns to examine the vertical distribution of these particles in diverse  
20 environments and to use these to consistently investigate OA loading in a global model.

21 Organic aerosol is traditionally thought to be either directly emitted as primary organic  
22 aerosol (POA) or formed from the low-volatility oxidation products of gas-phase precursors  
23 as secondary organic aerosol (SOA). Recent work on the volatility of emitted particles has  
24 blurred the distinctions between these categories, with oxidation of primary emissions as well  
25 as previously unrecognized semi-volatile and intermediate volatility compounds (S/IVOC),  
26 producing an additional source of SOA (Robinson et al., 2007;Jimenez et al., 2009;de Gouw  
27 et al., 2011). These concepts have been adopted in many recent model studies, however  
28 limited laboratory constraints on this system have required the application of a considerable  
29 degree of chemical intuition and conjecture in implementation (Pye and Seinfeld,  
30 2010;Murphy and Pandis, 2009;Lane et al., 2008;Farina et al., 2010). Furthermore, the  
31 discrepancy between models and observations has motivated a re-examination of chamber  
32 experiments, leading to revisions of previously estimated SOA yields (Shilling et al., 2008;Ng

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1 et al., 2007b), the identification of new SOA precursors (Kroll et al., 2005; Volkamer et al.,  
2 2009; Lim and Ziemann, 2009) and the recognition of the impact of environmental conditions  
3 on yields (eg. RH, acidity, nitrogen oxide levels) (Ng et al., 2007a; Iinuma et al., 2004; Surratt  
4 et al., 2007). Both laboratory and field studies have also suggested that aqueous processing of  
5 organics may be an important additional pathway for SOA formation (Lim et al.,  
6 2005; Carlton et al., 2006; Sorooshian et al., 2007a; Volkamer et al., 2007). Model descriptions  
7 of OA may include any combination of the above processes with varying complexity. Thus, a  
8 bottom-up modeling approach to investigating the organic aerosol budget is highly  
9 challenging and poorly constrained.

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10 Field observations of ambient organic aerosol therefore play the critical role of arbiter of  
11 model fidelity. While the examination of OA concentrations at surface sites in the United  
12 States by Chung and Seinfeld (2002) was indicative of model bias, this became more evident  
13 during highly instrumented field campaigns that followed, where the evolution of OA could  
14 be tracked with high time-resolution (thus allowing correlation with gas-phase tracers) and  
15 some chemical resolution (eg. (Volkamer et al., 2006; de Gouw et al., 2005)). Airborne  
16 observations supply an additional vertical dimension which can be used to differentiate  
17 surface source influences and injection/production aloft. The vertical distribution of OA is  
18 also a key indicator of atmospheric lifetime and export efficiency, and ultimately can be used  
19 to characterize the global budget of these particles (Heald et al., 2010). The first airborne  
20 observations of OA were obtained in the Caribbean during PELTI in 2000 (Maria et al.,  
21 2002). With numerous airborne campaigns around the world over the past decade, we are now  
22 in a position to examine the top-down constraints that these campaigns offer on the  
23 distribution and processing of OA.

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## 25 **2 Description of Observations**

26 This study integrates observations of atmospheric composition taken during 17 aircraft field  
27 campaigns which took place between 2001 and 2009. This is the most spatially  
28 comprehensive suite of in situ observations of organic aerosol collected to date. Figure 1  
29 shows the flight tracks for these campaigns ([Figure S1 shows the flight tracks colored by  
30 altitude and observed OA concentrations](#)). The timing and primary references for each  
31 campaign are listed in Table 1. All but one of these campaigns took place in the Northern  
32 Hemisphere, with a particularly dense coverage of the northern mid-latitudes. However,

1 remote, polluted and fire-influenced conditions are all represented in this dataset. The  
2 measurements also preferentially characterize spring/summer conditions, although all seasons  
3 are represented in the dataset. Aerosol concentrations were measured using an Aerodyne  
4 Aerosol Mass Spectrometer (AMS) (Jayne et al., 2000; Canagaratna et al., 2007) in 15 of the  
5 17 campaigns. The exceptions are the Fourier Transform Infrared Spectroscopy (FTIR) filter  
6 measurements during ACE-Asia (Maria et al., 2003) and the Particle-Into-Liquid Sampler  
7 (PILS) measurements of water soluble organic carbon (WSOC) during ITCT-2K4 (Sullivan et  
8 al., 2006). These measurements all nominally represent sub-micron aerosol, although  
9 transmission efficiencies may differ between instrumentation. Uncertainty on the AMS  
10 species mass concentrations is estimated ~30-35% (Bahreini et al., 2009). We refer readers to  
11 the references in Table 1 for details on specific instrumentation and on the data analysis  
12 procedures.

13 All aerosol concentrations are given here as mass concentrations at standard temperature and  
14 pressure (STP: 298K, 1 atm) denoted as  $\mu\text{g sm}^{-3}$ . Observations are also reported as 1-minute  
15 averages for all campaigns, with the exception of ACE-Asia observations which are reported  
16 on the coarser native time scale of the filter samples. Observations are gridded to the model  
17 grid resolution when compared with model simulations in Sections 4, 5 and 6. In this case,  
18 observations that fall within a given grid box during a model time step are averaged.

19 A number of these campaigns were significantly influenced by either local or transported  
20 biomass burning emissions, mainly from wildfires. We use observations of acetonitrile  
21 measured via Proton Transfer Reaction Mass Spectrometry (PTR-MS), where available, to  
22 identify this influence (7 campaigns: ITCT-2K4, TexAQS, MILAGRO, ARCTAS spring and  
23 summer, IMPEX and AMMA) (Murphy et al., 2010; Karl et al., 2009; de Gouw et al.,  
24 2006; Warneke et al., 2006). This relationship is generally characterized by a cluster of points  
25 with a branch of coincidentally high OA and acetonitrile observations from in-plume  
26 measurements (Figure S2+). As previously shown, the ITCT-2K4 observations were heavily  
27 influenced by wide-spread fires in Alaska and Northern Canada in 2004 (Heald et al., 2006).  
28 Aircraft sampled fire plumes transported from the boreal regions, including Siberia and North  
29 America, during both the spring and summer ARCTAS campaigns in 2008 as well (Singh et  
30 al., 2011; Wang et al., 2011). The AMMA campaign in Western Africa was coincident with  
31 the peak in Southern Hemisphere agricultural burning (Reeves et al., 2010). As a result, air  
32 masses sampled in Western Africa included contributions from aged and elevated fire plumes

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1 (Murphy et al., 2010). Biomass burning was one of several sources which led to elevated  
2 aerosol concentrations in Mexico City during MILAGRO (DeCarlo et al., 2008). Similarly,  
3 local biomass burning occasionally influenced TexAQS observations, but was not a dominant  
4 source (Bahreini et al., 2009). OA observations from IMPEX, a campaign which is relatively  
5 devoid of biomass burning influence (Dunlea et al., 2009), show little correlation with  
6 acetonitrile (Figure S24). We see a strong relationship between OA and acetonitrile for  
7 campaigns influenced by boreal fire activity. Vigorous vertical mixing, characteristic of the  
8 tropics, diluted fire plumes during the AMMA campaign, but the relationship between OA  
9 and acetonitrile remains. The cluster of very high OA concentrations measured during  
10 AMMA were obtained during a circuit of Lagos and are not fire-dominated. For the six  
11 campaigns with identifiable fire influence, where noted, we identify the most intense fire  
12 plumes as those cases where acetonitrile exceeds the 80<sup>th</sup> percentile of observed  
13 concentrations (marked as dotted lines in Figure S24, 80<sup>th</sup> percentile values vary between 135-  
14 289 ppt). Observations made during January and February in Western Africa during DABEX  
15 and DODO are also dominated by fire activity (Capes et al., 2008), but measurements of  
16 acetonitrile concentrations are not available for these campaigns.

17 Figure 2a shows the range of OA concentrations measured across all 17 campaigns (here and  
18 in what follows the DABEX and DODO campaign measurements are merged for simplicity).  
19 The observed variability may reflect not only the environment, but also campaign objectives,  
20 in terms of relative efforts to sample background and concentrated plumes. Mean  
21 concentrations lie between 0.2 to 8.2  $\mu\text{g sm}^{-3}$  (means and standard deviations for each  
22 campaign are listed in Table 1). The lowest OA concentrations (with means less than 1  $\mu\text{g sm}^{-3}$ )  
23 are reported for ITOP and IMPEX over the Northern Atlantic and Pacific, VOCALS-UK in  
24 the sub-tropical Pacific off of Chile, TROMPEX off of equatorial Africa, and the OP3  
25 campaign off of Borneo. These campaigns constitute our “remote” sampling dataset, grouped  
26 to the right of the figure. OA concentrations during the springtime ARCTAS campaign are  
27 also quite low, and sampling over the Arctic could certainly be considered remote, but these  
28 observations are influenced by boreal fire activity (see above) and they will therefore be  
29 counted in our biomass burning dataset along with DABEX, DODO, AMMA, and ARCTAS  
30 (summer). The remaining campaigns: ACE-Asia, TexAQS, ADIENT, EUCAARI, and  
31 ADRIEX, are primarily influenced by anthropogenic outflow. MILAGRO and ITCT-2K4 are  
32 heavily influenced by both biomass burning and pollution outflow. Concentrations reported  
33 during DABEX, ARCTAS (summer) and MILAGRO exhibit the largest variability, reflecting

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1 a mixing of clean air with plumes. Figure 2b confirms these classifications, demonstrating  
2 low sulfate concentrations for the remote and biomass burning datasets. Mean sulfate  
3 concentrations exceed  $1 \mu\text{g sm}^{-3}$  in all of the anthropogenically-influenced regions.

4 Figure 2c shows that OA makes up 15-70% on average of the sub-micron non-refractory  
5 aerosol mass (total of OA, sulfate, nitrate and ammonium) measured across these campaigns.  
6 Contributions can be as low as 2% and as high as 95% for individual 1-minute measurements.  
7 Campaigns characterized with the lowest mean OA fractions include the clean IMPEX,  
8 TROMPEX and VOCALS-UK observations, the remote springtime ARCTAS measurements  
9 and the Northern European ADIENT measurements where the ammonium nitrate fraction was  
10 enhanced. For ACE-Asia, DABEX and ARCTAS (summer) more than 2/3 on average of the  
11 aerosol mass is made up of OA. Two of these campaigns are part of the biomass burning class  
12 we have defined.

13 We do not include the measurements made in summer of 2000 during the PELTI experiment  
14 in our analysis (Maria et al., 2002), primarily because sampling did not extend beyond 4 km  
15 altitude. However we note here for comparison that concentrations in this campaign ranged  
16 from 0.8 to  $4.0 \mu\text{g sm}^{-3}$ , with decreasing concentration with altitude.

17 In what follows, we use the spatial distributions of the observed organic aerosol  
18 concentrations across all these regions to investigate loading and the potential contribution of  
19 different sources and sinks as represented in the GEOS-Chem model. The investigation of  
20 timescales of formation and processing requires a more detailed analysis of individual events  
21 and environments and is beyond the scope of this work.

22

### 23 **3 Model Description**

24 In this study we use the global chemical transport model GEOS-Chem ([www.geos-chem.org](http://www.geos-chem.org))  
25 to simulate aerosol concentrations for each aircraft campaign. The GEOS-Chem coupled  
26 aerosol-oxidant simulation includes  $\text{H}_2\text{SO}_4\text{-HNO}_3\text{-NH}_3$  aerosol thermodynamics coupled to  
27 an ozone- $\text{NO}_x$ -hydrocarbon-aerosol chemical mechanism (Park et al., 2004). The model  
28 scheme also includes black carbon (Park et al., 2003), organic aerosol (details below), sea salt  
29 aerosol (Alexander et al., 2005), and soil dust (Fairlie et al., 2007). Results are shown with  
30 v8-03-01 of the model at  $2^\circ \times 2.5^\circ$  horizontal resolution driven by assimilated meteorology  
31 from the NASA Global Modeling and Assimilation Office (GMAO). The simulations use

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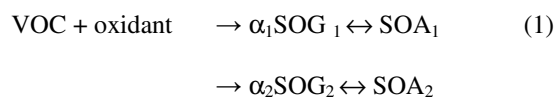
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1 GEOS-5 meteorology for 2004-2009, and GEOS-4 meteorology in 2001 when the GEOS-5  
2 product is not available. Vertical resolution is 47 levels for GEOS-5 and 30 levels for GEOS4  
3 from the surface to ~0.01 hPa (both degraded for computational efficiency from 72 and 55  
4 levels respectively). The model is sampled for the time and location of the aircraft for each  
5 campaign, thus achieving a best match to meteorological conditions and emissions.

6 Our objective here is to use one consistent organic aerosol simulation across a suite of field  
7 data from multiple years. We use the standard configuration of GEOS-Chem and do not  
8 include recent developments aimed at capturing the potential additional source of organic  
9 aerosol from semivolatile and intermediate volatility compounds (Pye and Seinfeld, 2010).

10 Our simulations thus represent a baseline scheme of non-volatile primary organic aerosol  
11 (POA) and semi-volatile secondary organic aerosol (SOA). POA sources include fossil fuel  
12 from Bond et al., (2007) biofuel from Yevich and Logan (2003) and year-specific monthly-  
13 mean biomass burning from the GFED2 inventory (with the exception of 2009 for which  
14 year-specific emissions are not available and climatology is employed) (van der Werf et al.,  
15 2006). We assume that 50% of POA emitted from combustion sources is hydrophobic with a  
16 1.2 day e-folding conversion from hydrophobic to hydrophilic to account for aerosol aging  
17 and mixing (Park et al., 2003). We apply a ratio of 2 to simulated organic carbon (OC)  
18 concentrations to account for non-carbon mass in POA (Turpin and Lim, 2001; Aiken et al.,  
19 2008). Although this ratio is too high for POA as emitted, it reflects the effect of aging and  
20 oxygen gain in the OA:OC for simplicity. The SOA simulation uses a 2-product model  
21 scheme based on Chung and Seinfeld (2002) and includes biogenic (monoterpenes,  
22 sesquiterpenes, isoprene) and anthropogenic (aromatics) precursors and their updated gas-  
23 phase yields based on smog chamber studies, as most recently described by Henze et al.  
24 (2008). This framework is described as follows:



27 Where SOG represents the secondary organic gases, produced in proportion to the mass-based  
28 stoichiometric coefficients ( $\alpha$ ), and in equilibrium with the particle phase (SOA), as a  
29 function of the partitioning coefficients or saturation vapor pressures. Note that the initial  
30 products of aromatic oxidation are allowed to react with HO<sub>2</sub> or NO before partitioning to the  
31 particle phase, with different yield parameters for the associated high and low NO<sub>x</sub> regimes  
32 and the formation of non-volatile SOA under low NO<sub>x</sub> conditions (Henze et al., 2008).

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1 As in Henze et al. (2008) we describe the temperature dependence of partitioning coefficients  
2 with an enthalpy of vaporization ( $\Delta H_{\text{vap}}$ ) of 42 kJ mol<sup>-1</sup>. A sensitivity simulation with  
3  $\Delta H_{\text{vap}}=25$  kJ mol<sup>-1</sup> is presented in Section 6. Biogenic VOC emissions are calculated  
4 interactively based on the MEGAN2 emission scheme (Guenther et al., 2006), whereas  
5 anthropogenic VOC emissions are specified following the GEIA inventory (Wang et al.,  
6 1998). The global OA sources total 82 Tg yr<sup>-1</sup> for 2008 and are shown by category in Table 2.  
7 In Section 6 we also discuss aqueous-phase production of SOA from glyoxal and  
8 methylglyoxal simulated using the empirical irreversible uptake scheme of Fu et al. (2008).  
9 This source is not otherwise included in our GEOS-Chem simulations.

10 Global anthropogenic SO<sub>2</sub> sources follow EDGAR 3.2 (Olivier et al., 2001)(Olivier, 2001)  
11 and are over-written by regional inventories where available, including the European  
12 Monitoring and Evaluation Programme (EMEP) inventory for Europe (Vestreng and Klein,  
13 2002), the EPA National Emission Inventory (NEI) for 2005 for the US (EPA, 2008), the  
14 Criteria Air Contaminants (CAC) inventory from Environment Canada  
15 ([http://www.ec.gc.ca/pdb/cac/cac\\_home\\_e.cfm](http://www.ec.gc.ca/pdb/cac/cac_home_e.cfm)), BRAVO for Mexico (Kuhns et al., 2005)  
16 and Streets et al. (2006) for Asia. Anthropogenic emissions are scaled for the year of  
17 simulation up to 2006, and held constant thereafter. Year-specific biomass burning emissions  
18 are from the same GFED2 inventory as OA. Ship emissions of SO<sub>2</sub> are specified according to  
19 the pre-mission ARCTAS inventory based on the work of Eyring et al. (2005b;2005a). SO<sub>2</sub> is  
20 also emitted from both eruptive and non-eruptive (continuous degassing) volcanoes following  
21 the AEROCOM inventory from 1985-2007 (Diehl, 2009). The sulfur source from DMS  
22 oxidation is described by Park et al. (2004).

23 Wet deposition of soluble aerosols and gases follows the scheme of Liu et al. (2001) including  
24 contributions from scavenging in convective updrafts, rainout, and washout. Gas-phase  
25 organics (SOG) in equilibrium with SOA are assumed to be highly soluble ( $H=1 \times 10^5$  M atm<sup>-1</sup>).  
26 An 80% scavenging efficiency is assumed for SOA based on Chung and Seinfeld (2002).  
27 Increasing this efficiency to 100% (mimicking sulfate removal efficiency) reduces the global  
28 SOA burden by only 3% in a 2008 sensitivity simulation. Dry deposition follows a standard  
29 resistance-in-series model (Wesely, 1989). Wet removal constitutes 90% of the total  
30 deposition of SOA. Fisher et al. (2011) show that simulated wet removal of inorganic aerosol  
31 in GEOS-Chem agrees well with deposition measurements in the United States, Europe and  
32 Asia. Thus, while uncertainties on organic aerosol deposition remain large, given the unbiased

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1 simulation of inorganic aerosol removal in GEOS-Chem and our assumptions of highly  
2 soluble organics, our OA removal is most likely to be unbiased, or at worst overestimated.

3 Previous versions of this model have been compared against organic aerosol observations  
4 from surface networks in the United States, demonstrating a low bias (Liao et al., 2007; Park  
5 et al., 2003; van Donkelaar et al., 2007). ACE-Asia airborne measurements were significantly  
6 underestimated by an early version of the model which did not include SOA formation from  
7 isoprene and aromatics (Heald et al., 2005). The model underestimate of OA during ITCT-  
8 2K4 was more modest, however it was shown that the model had little skill in reproducing the  
9 variability of observed OA concentrations (Heald et al., 2006). Similarly, the model  
10 simulation of the surface observations from the Amazon during the AMAZE-08 campaign  
11 was not significantly biased (Chen et al., 2009). These studies were performed with different  
12 model versions and importantly, different meteorology than the results shown here.

13

#### 14 **4 Vertical Profile of Organic Aerosol**

15 Figure 3 shows the mean vertical profile of OA measured for each field campaign compared  
16 with the baseline GEOS-Chem simulation. Simulated contributions from hydrophobic POA  
17 (POA<sub>o</sub>), hydrophilic POA (POA<sub>i</sub>) and SOA are shown separately. Many of these campaigns  
18 do not represent a uniform sampling of the region, but rather a “plume chasing” approach that  
19 skews the observations towards high values. Coarse grid scale global models cannot  
20 reproduce concentrated plumes (Rastigejev et al., 2010), thus the median of the observations  
21 (also shown), which is unaffected by these extreme plumes, is likely the “best” test of model  
22 performance. While Figure 3 shows the mean of the simulated concentrations, the model  
23 means and medians are largely interchangeable here. The standard model underestimates  
24 observed OA concentrations at virtually all altitudes, in all environments, although the profile  
25 shape is reproduced in most regions. This comparison will be discussed further in Section 5.

26 Generally, organic aerosol concentrations peak in the boundary layer and decline with  
27 altitude, reflecting the role of dilution and removal (eg. TexAQS and ADRIEX). Biomass  
28 burning perturbs this profile, with elevated layers aloft through the free troposphere. Where  
29 available, we use observations of acetonitrile to filter out only the most intense fire plumes  
30 (see Section 2) which we do not expect the model to capture; the resulting means are shown  
31 as thin lines. It is important to note that this retains the regional (“diffuse”) biomass burning  
32 influence on OA concentrations. This biomass burning plume filtering removes enhanced OA

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1 layers from 3-4 km during ITCT-2K4 and ARCTAS (spring) and reduces the concentrations  
2 at these altitudes during MILAGRO. OA concentrations from the surface up to 5 km during  
3 ARCTAS (summer) are more than halved when these plumes are removed. Removal of fire  
4 plumes also reduces OA concentrations throughout the troposphere during TexAQS and  
5 AMMA. The filtered mean profiles strongly resemble the median profiles, indicating that this  
6 filtering largely removes the high tail of the distribution of observed OA concentrations. The  
7 ratio of POA to SOA in polluted regions for the model is larger than 1, which contrasts with  
8 ratios much smaller than 1 in observations in aged polluted air, e.g. [\(De Gouw and Jimenez,  
9 2009\)](#). This suggests that SOA [\(including oxidized SOA from S/IVOC\)](#) in the polluted  
10 regions in the model may be underestimated, [and/or that POA is overestimated by assuming it  
11 is entirely non-volatile](#). Asia may be an exception to this. Fu et al. [\(submitted\)](#) suggest that  
12 Chinese primary anthropogenic emissions of OA are severely underestimated in bottom-up  
13 emission inventories. This may contribute to the discrepancy observed during ACE-Asia in  
14 the boundary layer, but cannot explain elevated free tropospheric concentrations of OA.

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15 The OA profile observed during ACE-Asia is relatively unique among the datasets with  
16 uniformly high concentrations in the free troposphere and no evident biomass burning  
17 influence [\(Heald et al., 2005\)](#).

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18 The OA profile for our “remote” dataset is far more uniform, with low concentrations  
19 reported throughout the troposphere. The bulk of these measurements are taken over the  
20 ocean and are therefore not strongly influenced by local continental emissions. Lowest  
21 altitude measurements ( $< 1 \mu\text{g sm}^{-3}$ ) are consistent with ship-based observations of OA, likely  
22 of marine origin [\(Lapina et al., 2011\)](#). The low OA concentrations do not indicate substantial  
23 production of OA during long range transport, consistent with the hypothesis of Peltier et al.  
24 [\(2007\)](#) and Dunlea et al. [\(2009\)](#) that sustained net production of OA does not occur in aged  
25 pollution advected over ocean basins unlike the continued production of sulfate [\(Brock et al.,  
26 2004\)](#).

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27 Figure 4 shows the observed and simulated profiles of sulfate for comparison. The model  
28 generally captures the magnitude and shape of the profile of sulfate. This provides some  
29 additional support for the accuracy of the wet removal scheme in GEOS-Chem. The model  
30 significantly underestimates sulfate observed near Borneo during OP3. This suggests that  
31 local emissions in the region, including continental, ship or DMS, are underestimated.  
32 Robinson et al. [\(2011\)](#) use backtrajectories to ascribe the sulfate source during OP3 to be off-

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1 island. The simulation is not improved with the use of an alternate ship emission inventory  
2 from the International Comprehensive Ocean-Atmosphere Data Set (ICOADS) (Wang et al.,  
3 2008). Sulfate exceeds OA concentrations in several of the remote regions, but as Figure 3c  
4 suggests, OA dominates aerosol composition for the majority of campaigns. Figure 5 shows  
5 that the ratio of mean observed OA to mean observed sulfate ranges almost three orders of  
6 magnitude (from 0.11-63) across different regions, an even larger range than that reported by  
7 Heald et al. (2010) for the Zhang et al. (2007) surface observations (0.3 to 7.0). Remote sites  
8 are the only regions where observed sulfate concentrations exceed OA levels (Figures 4 and  
9 5). The variability in this ratio demonstrates that scaling the sulfate source to estimate the OA  
10 budget as in (Hallquist et al., 2009;Goldstein and Galbally, 2007) can provide only a very  
11 coarse, and regionally inaccurate, estimate. Indeed, uniformity in this ratio would be  
12 surprising given the diversity in sources and formation pathways for these two different  
13 species. The observed sulfate to organic aerosol relationship is shown in Figure S32, with  
14 high overall correlation in anthropogenic environments, but a diversity of influences apparent  
15 in most datasets. Given the low bias in simulated OA, the model generally does not exceed  
16 OA to sulfate ratios of ~10, and is not as variable as observed.

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## 18 5 Model Evaluation Metrics

19 Figure 6 shows both the ratio and difference between observed and simulated OA  
20 concentrations for all 17 field campaigns. This can be compared to Figure 2 of Volkamer et  
21 al. (2006) (and Figure 12 of Hodzic et al. (2010)) with some important differences. In  
22 particular, we compare here total OA measured to modeled, not SOA only as in Volkamer et  
23 al. (2006). Given that current analytical techniques cannot separate SOA from OA, this  
24 removes the uncertainty of whether the measured oxygenated OA may include aged primary  
25 emissions. Similarly, as definitions of SOA have evolved, modeled SOA in various studies  
26 may or may not include aged primary emissions. Composition analysis, though challenging,  
27 can help to untangle these contributions, but these constraints are not available here. Thus  
28 total OA is the more rigorous “apples to apples” comparison. Using the median as a metric to  
29 evaluate model performance (as in Section 4), we find that observations range from 0.4 to 4.2  
30 of the simulated values, in the median. Median OA concentrations are underestimated by the  
31 model in 13 of the 17 campaigns. The only significant model overestimate is for the  
32 VOCALS-UK campaign, a remote campaign with low OA concentrations. Hawkins et al.

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1 (2010) report that ship-based AMS observations during this campaign were less than half of  
2 the FTIR measurements of OA made aboard the same platform, suggesting possible collection  
3 efficiency differences, particularly with marine organics. This may also influence lower  
4 tropospheric OA concentrations for the comparable airborne instrument. Model skill appears  
5 particularly poor for the OP3 campaign when examining the ratio of concentrations, but the  
6 differences show that these are ratios of small concentrations. The model simulates the  
7 median concentrations within  $1 \mu\text{g sm}^{-3}$  for 11 of the 17 campaigns (ADIENT, the ARCTAS  
8 spring and summer campaigns, AMMA, DABEX & DODO, VOCALS-UK, OP3, IMPEX,  
9 ITOP and TROMPEX). All of the 6 campaigns with a large model bias have been classified  
10 as being subject to significant anthropogenic influence. We find that the differences between  
11 model and observed OA concentrations are not limited to the free troposphere, but that rather  
12 the ratios and differences shown in Figure 6 are consistent throughout the troposphere. A  
13 study by Wang et al. (2011) showed that mean concentrations of OA observed during the  
14 Spring ARCTAS campaign (including the enhancement due to fire emissions) are reproduced  
15 by GEOS-Chem when driven by the daily FLAMBE fire emission inventory. While the mean  
16 OA is underestimated here when using monthly-mean GFED emissions, the median is well  
17 captured. This again, emphasizes the importance of using the median as a metric in our  
18 comparisons, particularly in regions influenced by plumes. Our results differ from the picture  
19 established by Volkamer et al. (2006) that model bias increases with photochemical age.  
20 Instead here we find the model underestimate, both as a ratio and in absolute terms, is largest  
21 close to source. This may be indicative of a fragmentation loss of organics at long timescales  
22 (see Section 6.3). We note that here we access much longer aging timescales than Volkamer  
23 et al. (2006), with datasets that are far less influenced by continental sources. Indeed, we  
24 characterize ACE-Asia as a near-field campaign, unlike Volkamer et al. (2006) who consider  
25 this to be the most aged among the four campaigns they summarized.

26 As Figure 6 suggests, there is a wide range of model performance for each aircraft campaign.  
27 One metric for this is the ability of the model to capture the variability in observed OA  
28 concentrations (Figure 7). Model skill varies considerably; less than 10% of the variability is  
29 captured by the model for 5 campaigns, with a maximum of 57% of the variability in OA  
30 captured by GEOS-Chem for TexAQS. Note here that the observations have been averaged to  
31 the model spatial resolution, such that we do not penalize the model for its inability to capture  
32 sub-grid variability (see Section 2). This does not account for differences that can arise from  
33 aircraft sampling that inadequately captures the range of sub-grid variability (i.e.

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1 representation error). The poor model performance in some regions can be attributed to the  
2 inability of the model to capture fire plumes. When these concentrated plumes are filtered  
3 from our datasets (see Section 2), the variability in the remaining dataset is better represented  
4 for some campaigns (ITCT-2K4, ARCTAS spring and summer). A GEOS-Chem model  
5 simulation with a similar configuration as here but using daily varying fire emissions captures  
6 a greater fraction of the variability in the ARCTAS spring observations ( $R^2=0.38$  compared to  
7 0.20) (Wang et al., 2011). Figure 7 indicates that model skill is highest in anthropogenically  
8 influenced regions. This may reflect the ability of the model to better capture the strong  
9 gradients from near source to background (driven by emission inventories and the processes  
10 of dilution and removal) versus the smaller range of variability in aged air masses. Variability  
11 in biomass burning influence may be the most difficult to reproduce, reflecting spatial and  
12 temporal changes in burn conditions and fuels that are not captured in emission inventories.

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13 Recent studies have attempted to use more detailed information on the composition and  
14 volatility of observed OA to guide the evaluation of modeled OA (Spracklen et al.,  
15 2011;Jathar et al., 2011). One constraint used by both of these studies is the fraction of OA  
16 which is oxygenated (OOA) according to positive matrix factorization (PMF) analysis of  
17 AMS measurements. The OOA:OA ratios in surface observations from Zhang et al. (2007)  
18 exceed 0.6 in all cases, and are generally in the range of 0.85-1.0, indicating a very small  
19 hydrocarbon-like aerosol contribution. In order to estimate this ratio in the model, we assume  
20 that only the hydrophobic POA ( $POA_o$ ) is equivalent to the non-oxygenated hydrocarbon-like  
21 OA (HOA) reported for AMS measurements. Therefore our simulated OOA is the sum of  
22 hydrophilic or aged POA ( $POA_i$ ) and SOA. Hydrophobic POA makes a very small  
23 contribution to global OA loading. Figure 8 shows the simulated OOA:OA ratios across the  
24 17 aircraft campaigns, which range from 0.75 to 1.0, consistent with reported AMS ratios in  
25 the literature (PMF analysis is not available for direct comparison for all the campaigns  
26 investigated here). Therefore, the correct range of OOA:OA is simulated even for campaigns  
27 with larger model bias or poor descriptions of observed variability. The OOA:OA ratio is  
28 therefore not necessarily a good discriminator of model skill, and can be simulated well for  
29 the wrong reasons. The degree of oxidation, represented for example by the oxygen to carbon  
30 ratio (O:C), may be a better test of model performance.

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## 1 6 Attributing Model-Measurement Discrepancy

2 We investigate here whether the OA model bias discussed in Sections 4 and 5 can be  
3 attributed to any particular source type or process.

### 4 6.1 Aqueous phase SOA

5 Both laboratory (Carlton et al., 2007) and field measurements (Sorooshian et al.,  
6 2007a;Sorooshian et al., 2007b;Hennigan et al., 2009;Sorooshian et al., 2010) have provided  
7 evidence of aqueous phase formation of SOA, however the importance of this pathway to the  
8 global source of OA is unclear. Fu et al. (2008) estimated a global source of 11 Tg yr<sup>-1</sup> of  
9 SOA formed through the irreversible uptake of dicarbonyls in clouds and aerosols,  
10 comparable to the source of SOA from the gas-phase pathway. Inclusion of this additional  
11 source of SOA in the GEOS-Chem model eliminated the low bias previously seen during  
12 ITCT-2K4, with a small improvement in the ability of the model to capture the observed  
13 variability in WSOC (Fu et al., 2009). Similarly, Carlton et al. (2008) find that including a  
14 detailed aqueous phase SOA mechanism in the CMAQ model modestly reduces (improves)  
15 the simulated bias (correlation) compared to the ITCT-2K4 measurements.

16 Figure 9 shows the vertical profile of the contribution of aqueous phase SOA simulated in the  
17 GEOS-Chem model based on the Fu et al. (2008) scheme. This figure is illustrative, as results  
18 from a 2006 simulation are sampled for the location and month of the field campaigns  
19 examined here, but neither year nor flight tracks are matched as in our previous comparisons.

20 Fu et al. (2008) estimate that ~90% of the simulated aqueous source is from in-cloud  
21 oxidation. The vertical profile of production in-aerosol and in-cloud (not shown) are very  
22 similar, and therefore are considered together here. Aqueous phase SOA makes up more than  
23 20% of total OA at all altitudes, with a pronounced enhancement from 2-6 km, where  
24 contributions vary from 40-80% of total simulated OA. This mid-tropospheric enhancement is  
25 not supported by the observed vertical profiles in Figure 4, nor is the model-observation  
26 discrepancy (when aqueous SOA is not included) larger in this region of the troposphere.  
27 Thus, addition of the aqueous phase source, as currently described in the GEOS-Chem model,  
28 is unlikely to improve the model simulation, beyond a mean reduction in bias.

29 Previous studies have shown an enhancement of OA in the particle phase (Hennigan et al.,  
30 2009) or an enhancement of organic acids (Sorooshian et al., 2010) as a function of relative  
31 humidity (RH). Figure 10 shows that while sulfate concentrations (dominated by aqueous

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1 phase formation) increase with relative humidity, the OA relationship is not as clear, with  
2 only 6 of the 16 campaigns (no RH data for ACE-Asia available) demonstrating a non-  
3 negligible positive correlation. Note that values are only shown for RH bins which were well-  
4 sampled (i.e. >2% of total measurements made at specific RH conditions). Figure 10 also  
5 shows that for 12 of the 16 campaigns the ratio of observed to simulated OA increases with  
6 relative humidity, with robust correlations ( $R > 0.60$ ). This indicates that model bias may  
7 increase with humidity, possibly indicative of a missing role for aqueous phase SOA or  
8 enhanced OA partitioning in the presence of water (Pankow, 2010). Some of the campaigns  
9 where this relationship is not significantly correlated (ADRIEX and TROMPEX) sampled a  
10 very narrow range of relative humidity, and thus trends are difficult to establish. Given the  
11 variability in the observed concentrations, trends shown here are indicative rather than robust.  
12 This analysis does not offer definitive evidence for the importance of aqueous phase SOA, but  
13 it does not preclude it. Additional chemical constraints are clearly required to investigate the  
14 importance of this source to the global OA budget.

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## 15 6.2 Increasing Existing OA Sources

16 Spracklen et al. (2011) used AMS surface observations to optimize model OA sources in the  
17 GLOMAP model, estimating a  $100 \text{ Tg yr}^{-1}$  source of anthropogenically-controlled SOA,  
18 which also resulted in much better agreement with the IMPROVE observations over the US.  
19 SOA is formed irreversibly in the GLOMAP model. Our standard model simulation includes  
20 only  $3.1 \text{ Tg yr}^{-1}$  of aromatic SOA (ASOA). Observed ambient OA composition from  
21 numerous field campaigns is more consistent with alkane than aromatic precursor species  
22 (Russell et al., 2011). Both sources share similar spatial emissions, and thus in absence of  
23 large differences in volatilities (associated with for example varying product distributions  
24 from the oxidation of alkanes of varying carbon chain length), we expect, however we expect  
25 that the spatial distribution of SOA from either source would be similar. Given that the spatial  
26 (including vertical) distribution of ASOA and SOA from S/IVOCs as simulated by Pye and  
27 Seinfeld (2010) are also very similar to each other, we use modeled aromatic SOA as a proxy  
28 for any anthropogenically-controlled SOA. This assumption is highly general, given that  
29 different oxidation rates and volatilities could produce somewhat different vertical  
30 distributions. Biogenic SOA and primary (fresh and aged) sources here (Table 2) are only  
31 modestly higher than the estimates of Spracklen et al. (2011) ( $13$  and  $51 \text{ Tg yr}^{-1}$  respectively).  
32 Figure 11 compares the observed airborne OA concentrations with the GEOS-Chem model

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1 when ASOA concentrations are increased 30-fold, to mimic an addition of 93 Tg yr<sup>-1</sup> in the  
2 model (without allowing re-partitioning in response to higher OA). The model simulation in  
3 anthropogenic regions improves dramatically when ASOA is increased, with almost perfect  
4 agreement of median values for ITCT-2K4, TexAQS, MILAGRO, ADIENT and ADRIEX.  
5 Observations from ACE-Asia, AMMA and OP3 remain underestimated. However,  
6 concentrations at both remote locations (IMPEX, ITOP, TROPEX, VOCALS-UK) and aloft  
7 in some locations (ARCTAS, EUCAARI) are overestimated with this additional source. This  
8 may suggest that either the lifetime of ASOA is shorter than simulated, or that the volatility is  
9 higher (see Section 6.3). The vertical profile simulated by the model reflects a particular mix  
10 of non-volatile and semi-volatile organics, with volatility and yields estimated from chamber  
11 experiments. For example, aromatic SOA has higher yields at low NO<sub>x</sub>, but it is also non-  
12 volatile in the model, and is therefore relatively enhanced at higher altitudes, where dilution  
13 would partition semi-volatile SOA to the gas phase. Thus, the overestimate aloft apparent in  
14 Figure 11 could also suggest that aromatic SOA at low NO<sub>x</sub> should be semi-volatile, or that  
15 the porportion of SOA formed under low NO<sub>x</sub> conditions is overestimated in the model. This  
16 would also be consistent with a greater S/IVOC source rather than an aromatic SOA source.  
17 We conclude here only that the observations are consisitent with higher volatility OA but do  
18 not attempt to use the vertical profile to constrain the contribution of SOA at different  
19 volatilities. Overall, the increase in ASOA suggested by Spracklen et al. (2011) is consistent  
20 with observations close to source (well represented in the surface dataset used by those  
21 authors), but may not be consistent with the remote campaigns and locations included here.

22 We note that while scaling the ASOA concentrations is an efficient means of testing this  
23 hypothesis (i.e. does not require new model simulations), it neglects the non-linearity of SOA  
24 partitioning. We performed a sensitivity simulation for 2008 where aromatic precursor  
25 emissions were increased directly. When the results of this are compared to scaling our  
26 standard simulation (with total burdens normalized) we see that non-linear partitioning shifts  
27 the OA mass to the high ASOA concentration regions as expected. As a result, concentrations  
28 in the Northern Hemisphere are higher than when this effect is not taken into account, by up  
29 to 15% at the surface near sources, and uniformly by ~5% in the free troposphere. Thus,  
30 simulated concentrations shown in Figure 11 are slightly lower than would be expected if  
31 non-linear partitioning were accounted for.

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1 Scaling biogenic SOA (BSOA) concentrations to add 4-fold the concentrations  
2 (approximately equivalent to adding 94 Tg yr<sup>-1</sup>, again, neglecting non-linear partitioning  
3 effects), produces surprisingly similar results to when ASOA is increased (Figure S43). Our  
4 anthropogenic environments all include significant fractions of BSOA, and increasing the  
5 BSOA eliminates model-observation discrepancies for ITCT-2K4, TexAQS, MILAGRO, and  
6 ADRIEX. Concentrations remain underestimated for ACE-Asia, AMMA and OP3, but are  
7 also low in this case for ADIENT and EUCAARI (in the boundary layer). Simulated remote  
8 concentrations remain high.

9 Figure 12 attempts to differentiate the model performance with these additional sources. The  
10 relative change in the ability of the model to reproduce the observed variability is shown for  
11 each campaign when simulated ASOA is increased by 30, when BSOA is increased by 4 and  
12 when POA is tripled (all equivalent to an addition of ~100 Tg yr<sup>-1</sup>). We see modest  
13 improvements in model skill (<7%) for 5 campaigns (all anthropogenically-influenced) when  
14 ASOA is increased, with slightly diminished performance for 4 campaigns. Increasing BSOA  
15 in the model results in lesser improvements, and almost no model skill improvement is  
16 evident when simulated POA is tripled. This result is consistent with Spracklen et al. (2011)  
17 who found that increasing either anthropogenic or biogenic SOA sources reduced the  
18 GLOMAP model bias, but that only increasing anthropogenic sources enhanced the  
19 correlation with observations. However, this result should not be over-interpreted, as the  
20 change in R<sup>2</sup> here is modest, suggesting (as expected) that the model is missing key sources of  
21 variability. The GEOS-Chem simulation employed here does not include NO<sub>x</sub> dependent  
22 yields for monoterpenes and sesquiterpenes. Ng et al. (2007a) show that monoterpene yields  
23 are enhanced at low NO<sub>x</sub>, while sesquiterpene yields are reduced. The model may therefore be  
24 neglecting an interaction between anthropogenic sources and BSOA, blurring the lines of  
25 source attribution and resulting in more modest improvements in the simulation when BSOA  
26 sources (as currently treated) are increased in the model. Furthermore, a lack of improvement  
27 in correlation when increasing existing sources in the model could reflect spatial mis-  
28 representation of emission inventories.

29 One additional avenue to increase OA loading in the model is via a decrease in removal. A  
30 sensitivity simulation performed for 2008 indicates that decreasing the SOA wet removal  
31 efficiency by a factor of 2 increases the global burden (and local concentrations) by less than  
32 10%. This does not address a possible bias in the assimilated meteorology rain frequency and

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1 amount, however as discussed in Section 3, previous model evaluations of aerosol wet  
2 deposition are largely unbiased. Therefore it is highly unlikely that excessive removal of OA  
3 in the model could make more than a minor contribution to the model bias.  
4

### 5 **6.3 Increasing the loss of OA**

6 The comparison of Figure 11 suggests that additional sinks of OA may be required in the  
7 model to reproduce the low concentrations in remote regions. Parameterized wet removal in  
8 models is highly uncertain and could perhaps account for such an additional loss. However, as  
9 discussed in Section 3, the unbiased simulation of wet removal of inorganics, and the high  
10 solubilities assumed for the gas and particle phase organics in the GEOS-Chem model,  
11 suggest that if anything wet deposition may be overestimated in our simulation. This  
12 highlights the need for observational constraints on OA deposition. Until that time, we can  
13 only conjecture that this is not the cause of our model bias.

14 In order to reduce OA loading aloft we conduct an additional sensitivity simulation where the  
15 enthalpy of vaporization of SOA is reduced to  $25 \text{ kJmol}^{-1}$ , estimated based on Cappa and  
16 Jimenez (2010), in order to dampen the simulated volatility decrease at low temperatures. We  
17 note that this enthalpy of vaporization is shown by Cappa and Jimenez (2010) to be the best  
18 parameterized fit for the lumped 2-product model of SOA, and does not represent a physically  
19 realistic enthalpy of vaporization for any given organic compound, as discussed by Donahue  
20 et al.(2006) and Cappa and Jimenez (2010). This decrease in enthalpy of vaporization  
21 effectively increases the volatility of SOA away from sources. This reduces the global mean  
22 burden of SOA by ~50%. We find that this decrease in the enthalpy of vaporization largely  
23 corrects the overestimates in OA observed in remote conditions and aloft, with boundary layer  
24 concentrations essentially unchanged. Figure 13 repeats the evaluation of Figure 6 with the  
25 30-fold increase in ASOA and the decrease in enthalpy of vaporization. With these updates,  
26 median simulated OA concentrations are within  $1 \mu\text{g sm}^{-3}$  for 15 of 17 campaigns. ACE-Asia  
27 concentrations remain underestimated, whereas the DABEX/DODO campaign, which is  
28 almost exclusively influenced by biomass burning, is overestimated when ASOA is increased.  
29 However, while a relative increase in volatility away from source could help reconcile our  
30 model simulation with observations, this seems physically unlikely given that aged organic  
31 aerosol is expected to decrease in volatility (Jimenez et al., 2009).

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1 Alternate sensitivity simulations were performed to include a loss process from  
2 fragmentation. Previous work has shown that heterogeneous oxidation can lead to the  
3 breaking of carbon-carbon bonds, producing smaller fragment organics, with higher volatility  
4 (Molina et al., 2004;Kroll et al., 2009). This has been postulated both as a source of gas-phase  
5 organics (Kwan et al., 2006) and as a possible sink for OA over long timescales (weeks)  
6 (Kroll et al., 2011;Smith et al., 2009). However this loss process critically depends on the  
7 branching ratio between fragmentation and functionalization, which is poorly constrained. We  
8 include this in our sensitivity simulation by oxidizing SOA with OH ( $k_{OH}=1 \times 10^{-12} \text{ cm}^3$   
9  $\text{molecule}^{-1} \text{ s}^{-1}$ ) and assuming that 5% of the products are lost via fragmentation (the remainder  
10 is retained as SOA). We have little experimental guidance on the appropriate branching ratio,  
11 and thus 5% is assumed as a seemingly modest but reasonable value. Such a loss reduces the  
12 global annual mean SOA burden by 15%, with largest relative decreases aloft (<25% mean  
13 change in concentration). This is consistent with the estimate that up to 20% of OA can be  
14 lost at high (long) OH exposures based on ambient aging experiments (George et al., 2008).  
15 The addition of this sink of SOA brings the model into slightly better agreement with  
16 observations (when ASOA levels remain at 30-fold levels), but the simulation remains biased  
17 high in remote regions and aloft.

18 Fragmentation from gas-phase oxidation of semi-volatile organics can prevent the formation  
19 of SOA (Kroll et al., 2007;Chan et al., 2007). An alternate set of simulations were performed  
20 to assess the potential importance of this process on the SOA budget. The gas-phase fraction  
21 of semi-volatile organics (SOG) are oxidized by OH 20 times faster than the heterogeneous  
22 path ( $k_{OH}=2 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , matching the value employed by Grieshop et al.(2009))  
23 with the same assumption that 5% of the oxidized mass is lost via fragmentation per each  
24 reaction. This fast oxidation reduces the SOA burden globally by 47%. In terms of  
25 comparisons with observations the results are remarkably similar to the results obtained when  
26 the enthalpy of vaporization is decreased. SOA concentrations are reduced aloft and remotely  
27 by 40-60%, bringing the model into better agreement with the observations (assuming a  
28 concurrent 30-fold increase in ASOA). However, it is likely that this implementation is an  
29 upper-limit on the loss of gas-phase organics, given that aged organics are likely of lower  
30 volatility, and thus less likely to be found (and lost) in the gas-phase.

31 While the model bias can be successfully eliminated by a combination of increasing sources  
32 and the sinks discussed here, none of the sensitivity experiments undertaken to reduce the OA

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1 bias aloft/remotely substantially improve the model's ability to capture the observed  
2 variability.

3

#### 4 **7 Discussion and Conclusions**

5 The datasets used here represent the most spatially diverse set of observations of organic  
6 aerosol and include a critical vertical dimension to the sampling. The observations of elevated  
7 OA in the free troposphere from ACE-Asia (Maria et al., 2004; Huebert et al., 2004; Mader et  
8 al., 2002) remain an outlier, and contrary to Heald et al. (2005), the other datasets examined  
9 here do not support a sustained source of organic aerosol in the free troposphere. Rather they  
10 indicate that atmospheric organic aerosol is primarily of surface origin, with significant  
11 enhancements aloft from injected fire plumes.

12 One key environment is not adequately represented in this dataset: the clean forested region  
13 (both tropical and boreal) at the peak of the biogenic emissions season. The OP3 campaign  
14 may best fall in this category, however much of the sampling was performed over the Celebes  
15 and Sulu Seas, and not necessarily in biogenic outflow. The summer ARCTAS and  
16 EUCAARI campaigns preferentially characterize the boreal regions, however there are  
17 considerable boreal fire and Northern European anthropogenic influences in these campaigns,  
18 respectively. Nevertheless, the observed concentrations from these campaigns suggest that  
19 OA loading close to biogenic sources is modest in comparison to more anthropogenically-  
20 influenced regions. High biogenic SOA events such as those reported by Slowik et al. (2010)  
21 are thus not characterized in this dataset.

22 These observations are employed to perform the most extensive evaluation of a model  
23 simulation of organic aerosol concentrations to date. GEOS-Chem simulations are performed  
24 for the specific meteorology and emissions of each given campaign from 2001 to 2009 and  
25 matched to the aircraft flight tracks. We find that the standard GEOS-Chem simulation  
26 underpredicts median observed OA in 13 of the 17 field campaigns (median observed to  
27 simulated ratios ranging from 0.4-4.2).

28 Analysis of these observations and the model-measurement discrepancies does not provide  
29 definitive evidence of (missing) aqueous phase sources of SOA in the model. However the  
30 increasing model bias as a function of relative humidity is an indicator that this process

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1 requires further study. Estimating the importance of this production pathway to the global  
2 budget will require additional chemical constraints, for example via tracers such as oxalate.

3 The comparison of these airborne observations with the GEOS-Chem simulation highlights  
4 the uncertainty associated with organic aerosol lifetime and loss. We demonstrate here that  
5 remote concentrations of OA are highly sensitive to increasing losses, via for example, the  
6 ~~decrease in temperature sensitivity of OA partitioning increase in volatility at lower~~  
7 ~~temperatures~~ (via the enthalpy of vaporization) and the addition of an oxidation loss of  
8 organics. These processes, in addition to depositional loss, ultimately control concentrations  
9 down-wind of sources. The relative roles of changing volatility, chemical loss and physical  
10 removal are poorly constrained and require further investigation. However we find that  
11 concentrations in remote regions are generally low ( $< 1 \mu\text{g sm}^{-3}$ ) and thus are unlikely to  
12 contribute substantially to air quality degradation.

13 Conversely, we find that in proximity to source regions, GEOS-Chem is able to reproduce  
14 much of the observed variability and vertical gradient in concentrations. While the model  
15 underestimate is largest here (but less than a factor of 5 in the median), the bias is largely  
16 eliminated in these regions when anthropogenic SOA sources are increased to  $\sim 100 \text{ Tg yr}^{-1}$ .

17 ~~We do not These observations cannot be used to~~ further distinguish the nature of this source  
18 (e.g. S/IVOCs, higher aromatic SOA, alkane-derived SOA, or anthropogenically controlled  
19 biogenic SOA), ~~however,~~ n idealized series of simulations which characterize the spatial  
20 patterns of OA simulated as a function of emission source, reaction rate and volatility could  
21 be used to investigate this further. However, o ~~Our results conclusions~~ are consistent with  
22 Spracklen et al. (2011) who employed a different global model, with a different SOA scheme,  
23 to investigate a different set of observations, lending some confidence to the robustness of  
24 these results. As the body of observational constraints grows it will be useful to compare  
25 concentrations simulated by models with different chemical schemes and driven by different  
26 meteorology. Furthermore, higher spatial resolution simulations may both reduce model  
27 biases and provide better opportunities to investigate processing timescales, particularly close  
28 to source.

29 As theoretical and laboratory investigations provide new potential mechanisms for OA  
30 formation and processing for models, it is essential that the ability of these models to then  
31 reproduce diverse field observations remains the primary arbiter of performance. This dataset  
32 represents over a decade of field sampling by numerous groups and is a global benchmark for

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1 OA modeling. Future aircraft measurements in the Southern Hemisphere, in Asia, over the  
2 clean tropical and boreal forested regions, and in multiple seasons would be extremely useful  
3 to round out this characterization of global OA distributions.

4

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30

1 Table 1. Airborne measurements of Organic Aerosol (chronological)

CAMPAIGN (AIRCRAFT)	LOCATION/ DATES	TECHNIQUE & REFERENCE <sup>1</sup>	MEAN (STDEV) OF OBSERVED OA CONCENTRATIONS ( $\mu\text{g sm}^{-3}$ )	REGIONAL CLASS	
ACE-Asia (C-130)	NW Pacific, near Japan Mar 30-May 4, 2001	Teflon filters + FTIR (Maria et al., 2004)	8.2 (6.2)	Pollution (mid-latitude)	Field Code Changed
ITCT-2K4 (NOAA P3)	E North America Jul 5-Aug 15 2004	PILS WSOC (Sullivan et al., 2006)	4.3 (4.7)	Pollution/Fire (mid-latitude)	Field Code Changed
I TOP (BAE-146)	Azores July 12-Aug 3, 2004	Q-AMS (Lewis et al., 2007)	0.6 (2.0)	Remote (mid-latitude)	Field Code Changed
ADRIEX (BAE-146)	N Italy; Adriatic & Black Sea Aug 27-Sep 6 2004	Q-AMS (Crosier et al., 2007)	3.5 (2.8)	Pollution (mid-latitude)	Field Code Changed
DABEX (BAE-146)	W Africa Jan 13- Feb 1, 2006	Q-AMS (Capes et al., 2008)	5.8 (8.3)	Fire (tropics)	Field Code Changed
DODO (BAE-146)	W Africa Feb 3-16, 2006	Q-AMS (Capes et al., 2008)		Fire (tropics)	Field Code Changed
MILAGRO (C130)	Mexico City (Mar 4-31, 2006)	HR-ToF-AMS (DeCarlo et al., 2008)	5.9 (14.2)	Pollution / Fire (sub-tropics)	Field Code Changed
IMPEX (C130)	W North America & E Pacific (April 17-May 15, 2006)	HR-ToF-AMS (Dunlea et al., 2009)	0.7 (1.0)	Remote + aged (mid-latitude)	Field Code Changed
AMMA (BAE-146)	W Africa Jul 20-Aug 25, 2006	Q-AMS (Capes et al., 2009)	1.5 (3.4)	Fire (tropics)	Field Code Changed
TexAQS (NOAA P3)	Texas (Sep 11-Oct 13, 2006)	C-ToF-AMS (Bahreini et al., 2009)	4.3 (2.8)	Pollution (mid-latitudes)	Field Code Changed
ADIENT (BAE-146)	EU/Atlantic Dec 18, 2007-Sep 25, 2008	C-ToF-AMS (Morgan et al., 2010)	2.1 (1.8)	Pollution (mid-latitudes)	Field Code Changed
EUCAARI (BAE-146)	N EU May 6-22, 2008	C-ToF-AMS (Morgan et al., 2010)	2.8 (2.2)	Pollution (mid-latitudes)	Field Code Changed
ARCTAS (DC-8)	Arctic / N EU (April 1-20, 2008) (June 18-July 13, 2008)	HR-ToF-AMS (Cubison et al., 2011)	1.1 (3.2) 6.4 (19.6)	Fire (high latitudes)	Field Code Changed
OP3 (BAE-146)	Borneo (Jul 10-20, 2008)	C-ToF-AMS (Robinson et al., 2011)	0.7 (1.8)	Remote (tropical)	Field Code Changed
VOCALS-UK (BAE-146)	Eastern S Pacific (Oct 27-Nov 13, 2008)	C-ToF-AMS (Allen et al., 2011)	0.2 (0.2)	Remote (tropical)	Field Code Changed
TROMPEX BAE-146	Cape Verde (Sep 8-10, 2009)	C-ToF-AMS	0.4 (1.3)	Remote (tropical)	

2

3

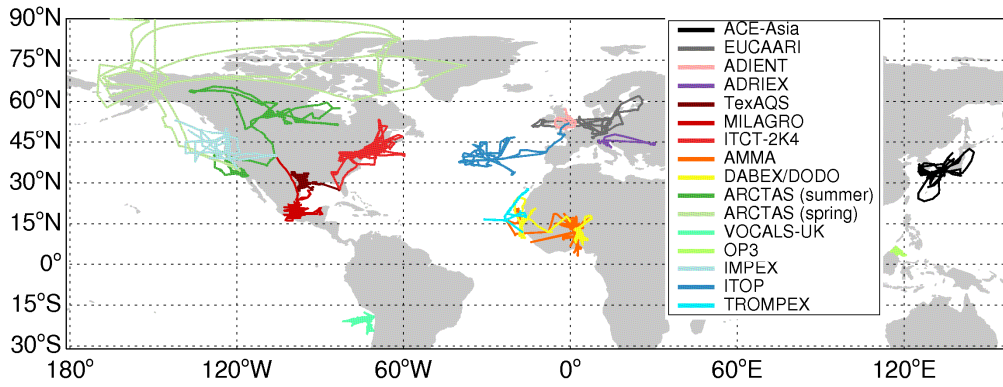
<sup>1</sup> Fourier Transform Infrared Spectrometer (FTIR), Particle Into Liquid Sampler (PILS), Water Soluble Organic Carbon (WSOC), Quadrupole Aerosol Mass Spectrometer (Q-AMS), High Resolution Time-of-Flight (HR-ToF), Compact Time-of-Flight (C-ToF),

1 Table 2: Annual global organic aerosol sources in GEOS-Chem simulation for year 2008

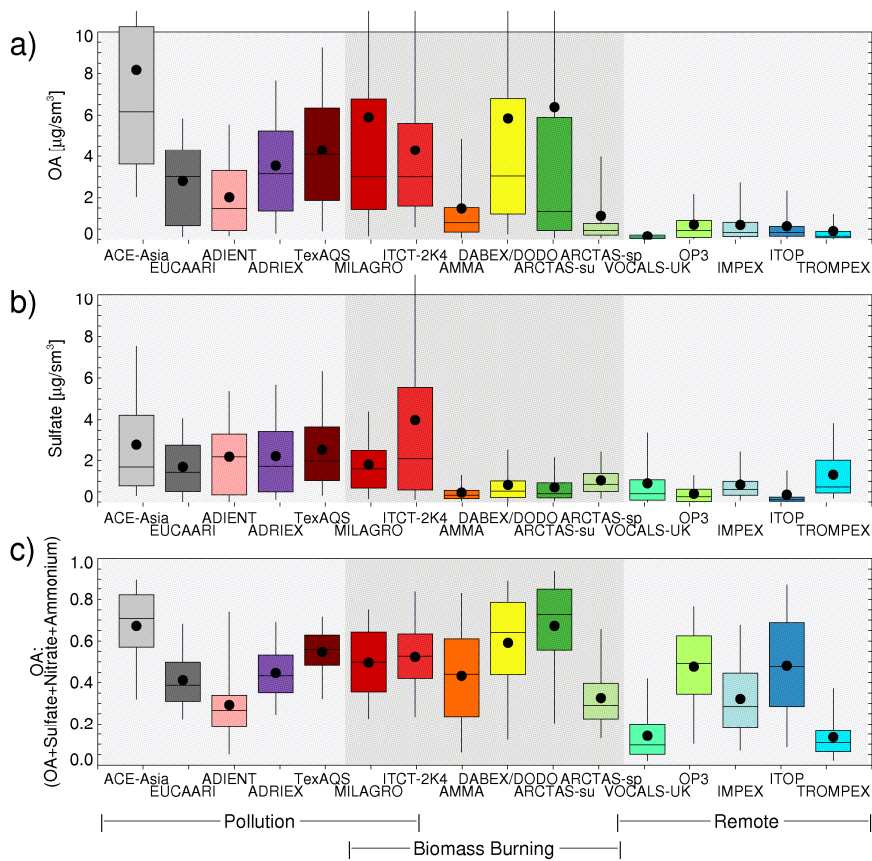
TYPE	SOURCE (Tg yr <sup>-1</sup> )
Fossil Fuel POA	6.1
Biofuel POA	12.6
Biomass Burning POA	36.6
Biogenic SOA (BSOA)	23.4
Anthropogenic SOA (ASOA)	3.1
<i>TOTAL</i>	<i>81.8</i>

2

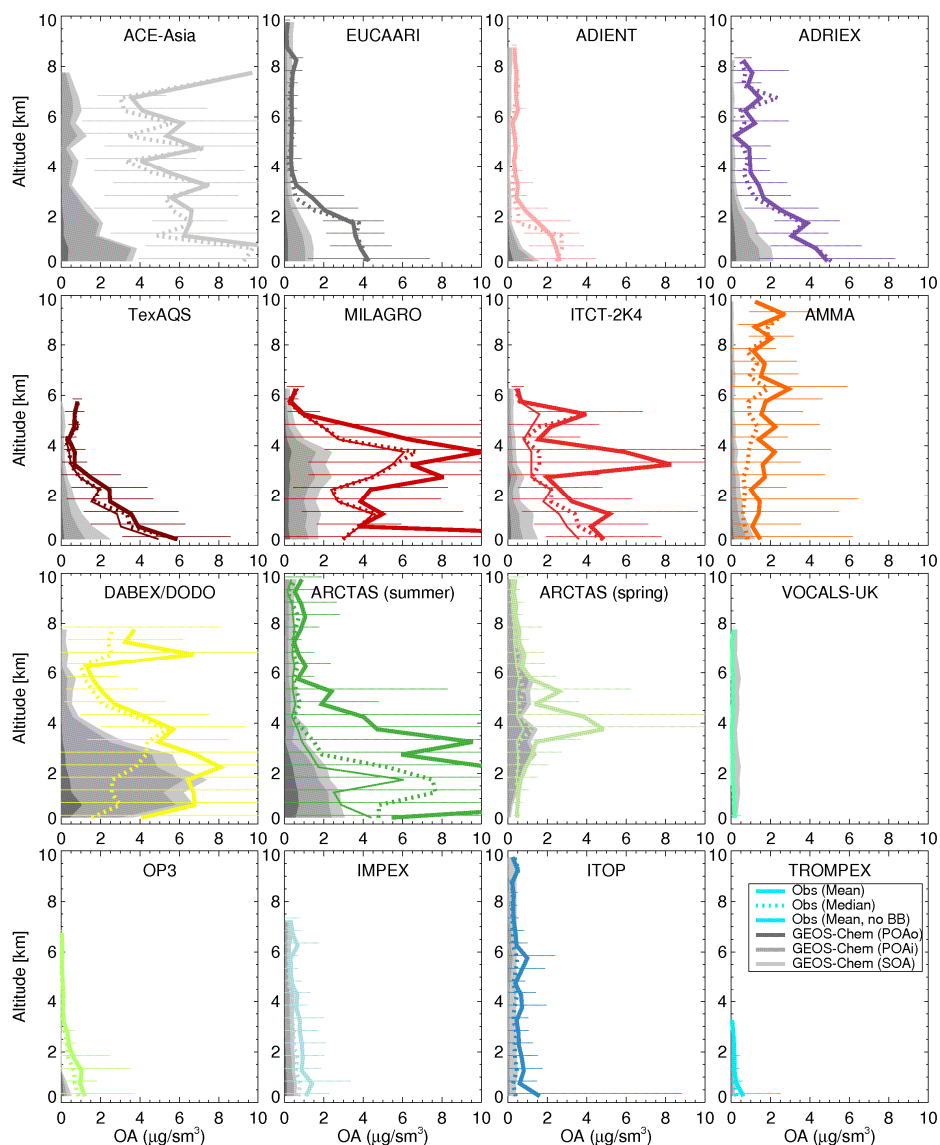




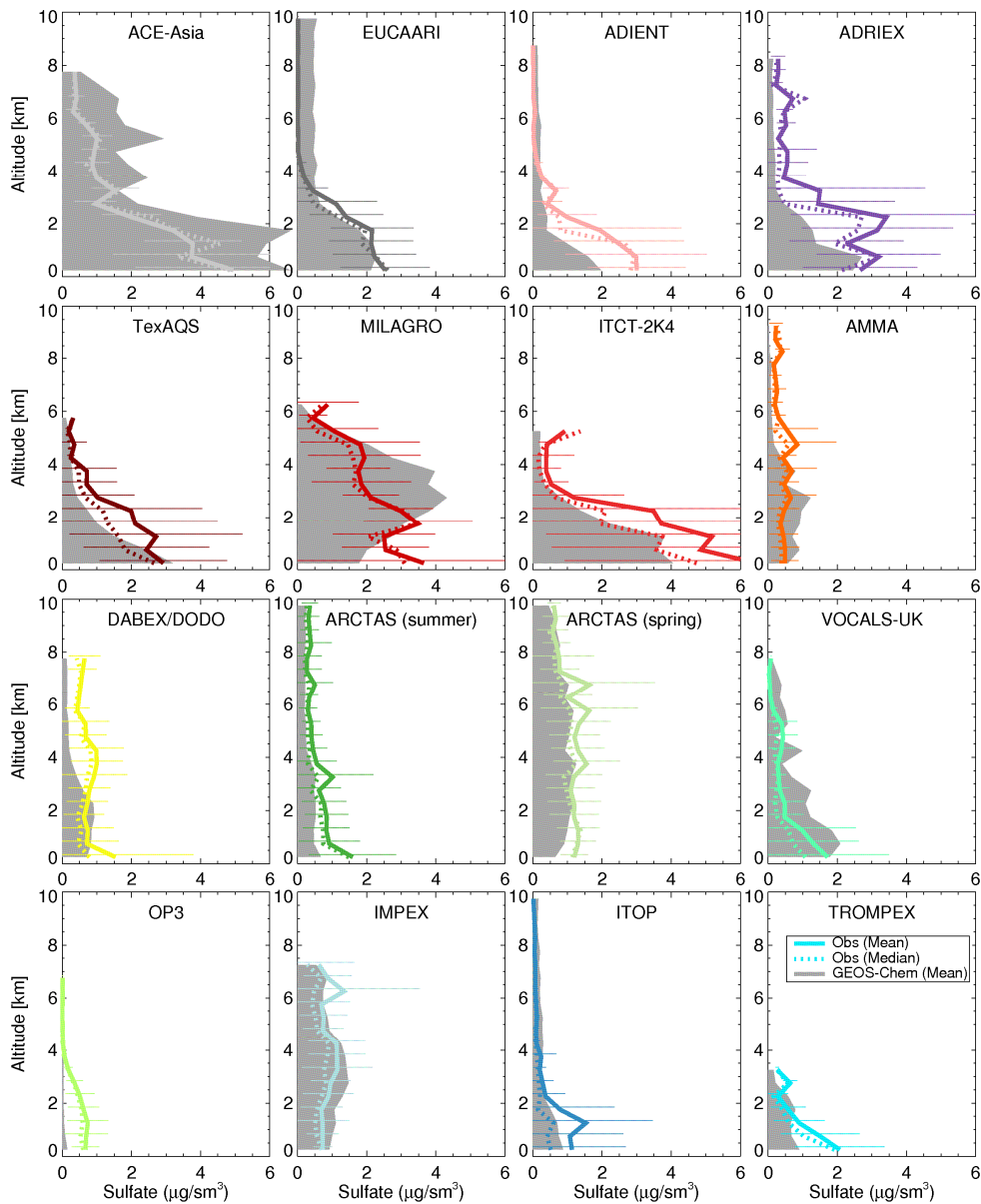
1  
 2 Figure 1: Flight tracks for the 17 aircraft field campaigns examined here. See Table 1 for  
 3 dates and measurement details.  
 4



1  
 2 Figure 2: Box and whisker plots of a) the observed OA concentrations, b) the observed sulfate  
 3 concentrations and c) the observed fraction of non-refractory fine aerosol  
 4 (OA+sulfate+nitrate+ammonium) that is made up of OA for each field campaign. Campaigns  
 5 are grouped in 3 types, labeled across the bottom. Means of the 1-minute averaged data are  
 6 shown as a solid dot, median as a horizontal line. The boxes denote 25<sup>th</sup> and 75<sup>th</sup> percentile,  
 7 whiskers denote 5<sup>th</sup> and 95<sup>th</sup> percentile.

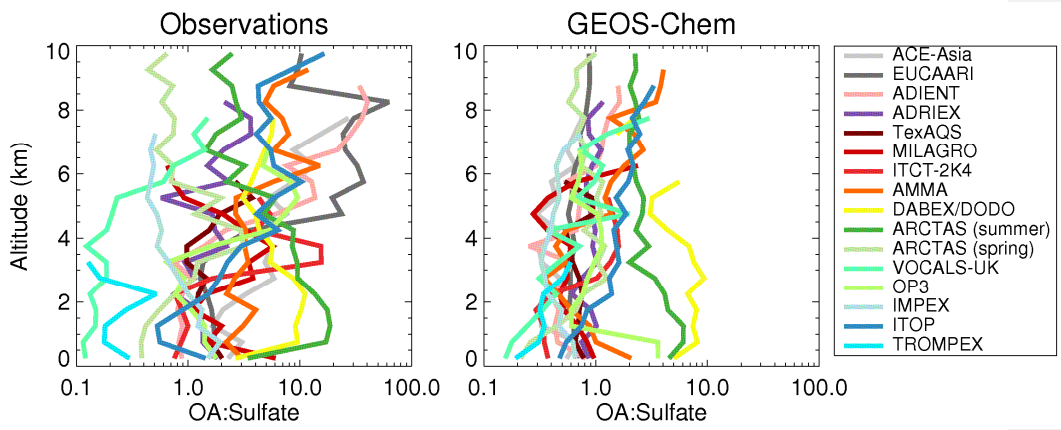


1  
 2 Figure 3: Mean vertical profile of organic aerosol for 17 field campaigns (color, solid) with  
 3 the mean GEOS-Chem simulation shown in grey. Model contributions from hydrophobic  
 4 POA (dark grey), hydrophilic POA (medium grey) and SOA (light grey) are shown. Median  
 5 observed values at each altitude are shown as dotted lines. Mean observed values with  
 6 biomass burning plumes removed (see text) are shown as thin colored lines. The standard  
 7 deviation of the binned observations at each 0.5 km interval are also shown. The model  
 8 simulation is sampled for the dates and locations of each aircraft campaign.



1  
 2 Figure 4: Mean vertical profile of sulfate for 17 field campaigns (color, solid) with the mean  
 3 GEOS-Chem simulation shown in grey. The standard deviation of the binned observations at  
 4 each 0.5 km interval are also shown. Median observed values at each altitude are shown as  
 5 dotted lines. The model simulation is sampled for the dates and locations of each aircraft  
 6 campaign.

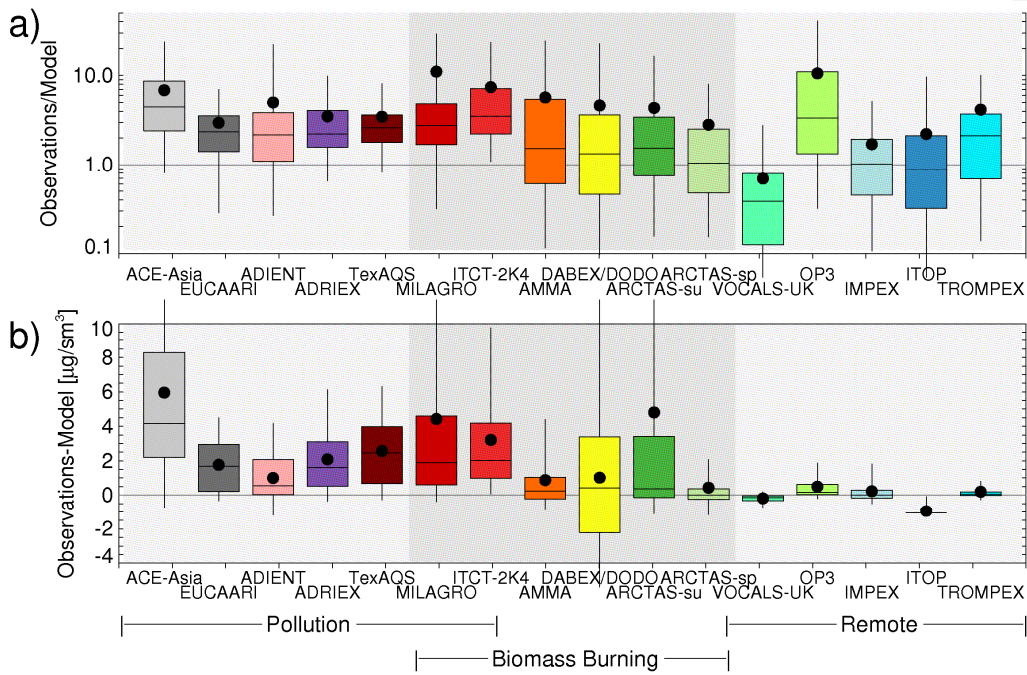
1



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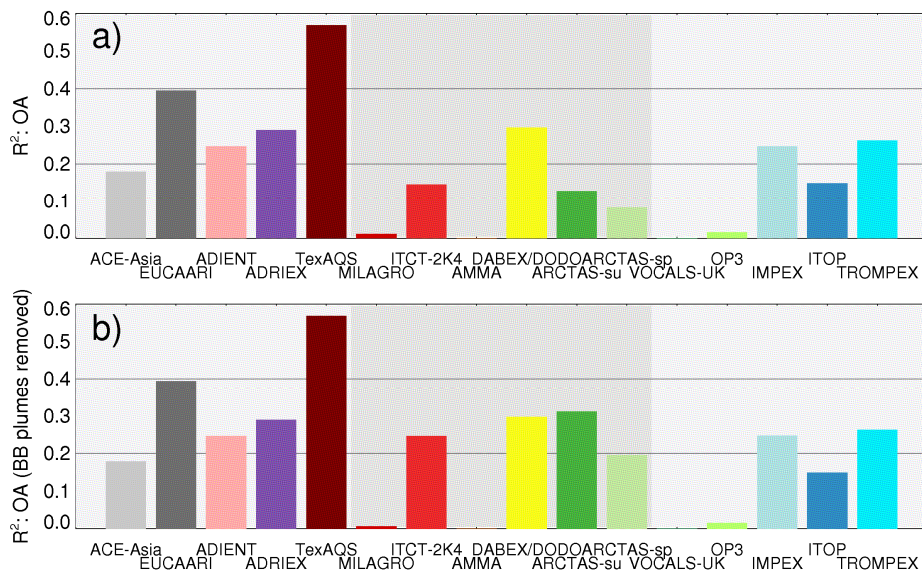
3 Figure 5: The vertical profile of observed (left) and simulated (right) mean OA to mean  
4 sulfate ratio for 17 field campaigns. Altitudes where sulfate concentrations are reported as  
5 zero are not shown.

6



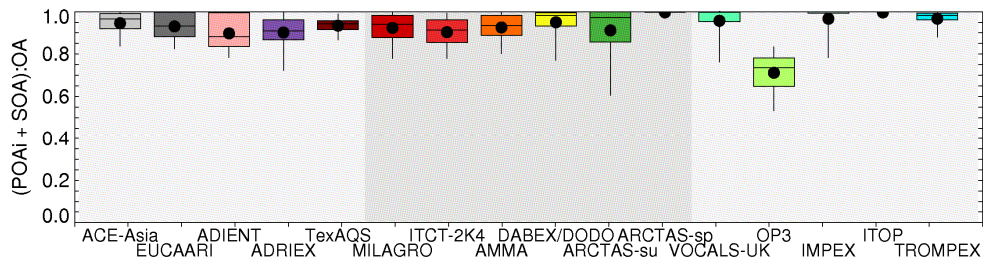
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Figure 6: Distribution of a) ratio and b) difference in observed and simulated (GEOS-Chem) OA concentrations for 17 field campaigns. Means of the gridded comparisons are shown as a solid dot, median as a horizontal line. The boxes denote 25<sup>th</sup> and 75<sup>th</sup> percentile, whiskers denote 5<sup>th</sup> and 95<sup>th</sup> percentile.



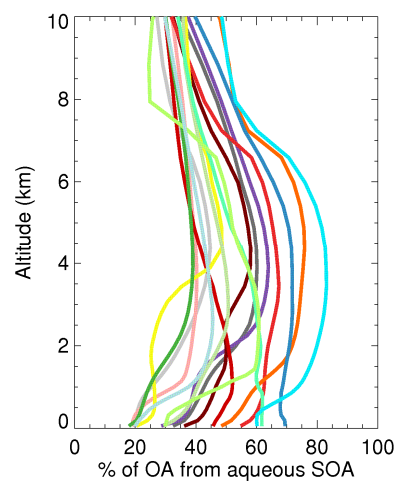
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 2 Figure 7: Fraction of the observed variability reproduced by GEOS-Chem for each field  
 3 campaign for a) OA, b) OA (with biomass burning plumes removed, see text)

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 2 Figure 8: OOA:OA simulated with GEOS-Chem for 17 aircraft field campaigns, where OOA  
 3 is defined as the sum of SOA and hydrophilic POA. Means of the gridded model  
 4 concentrations sampled along the aircraft profiles are shown as a solid dot, median as a  
 5 horizontal line. The boxes denote 25<sup>th</sup> and 75<sup>th</sup> percentile, whiskers denote 5<sup>th</sup> and 95<sup>th</sup>  
 6 percentile.  
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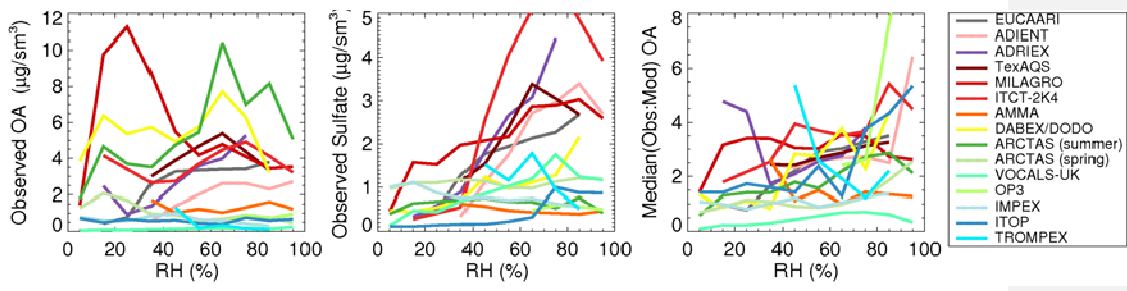




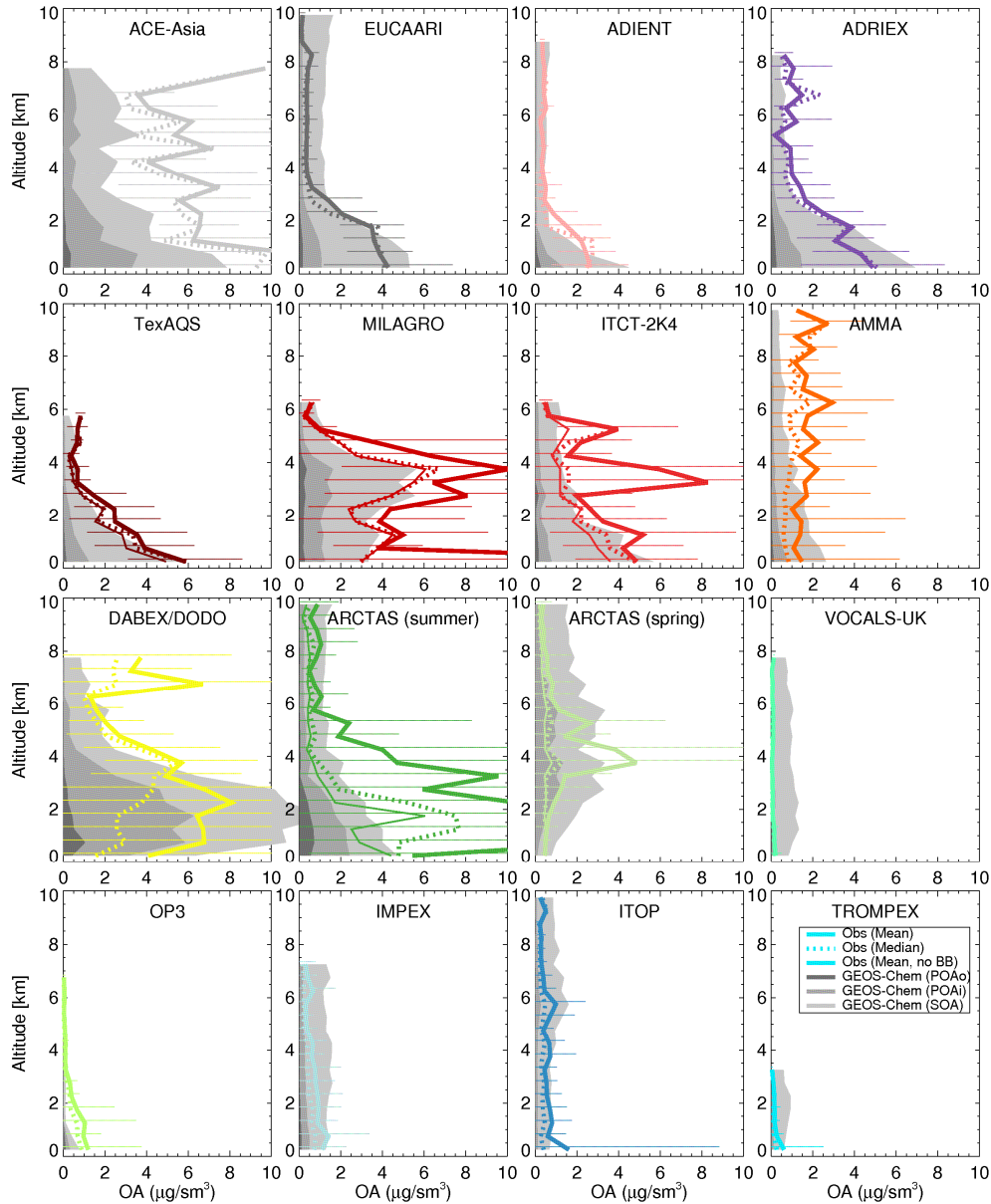
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Figure 9: Fraction of simulated OA produced from the aqueous-phase SOA mechanism of Fu et al. (2008). Monthly mean concentrations from a 2006 GEOS-Chem simulation have been sampled for the region/month of the 17 aircraft campaigns examined here (colors as in previous figures).

Field Code Changed

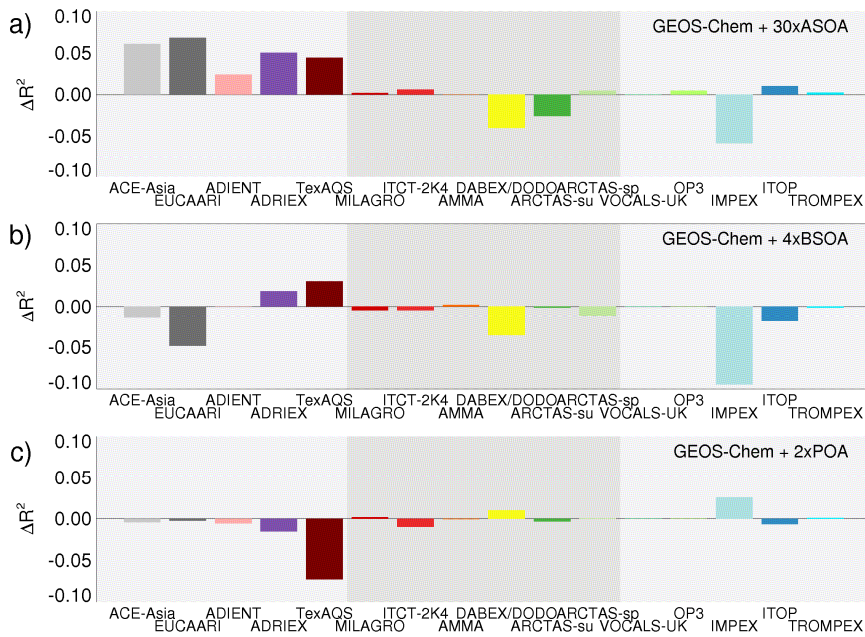


1  
 2 Figure 10: Mean observed organic aerosol (left), sulfate (middle) concentrations as a function  
 3 of relative humidity for 16 aircraft field campaigns. Final panel (right) shows median  
 4 observed to simulated ratio of OA concentrations as a function of measured relative humidity  
 5 for each campaign. Data is averaged in 10% RH bins. Data is only shown when the number of  
 6 points per bin exceeds 2% of the total observations (to eliminate undersampling).



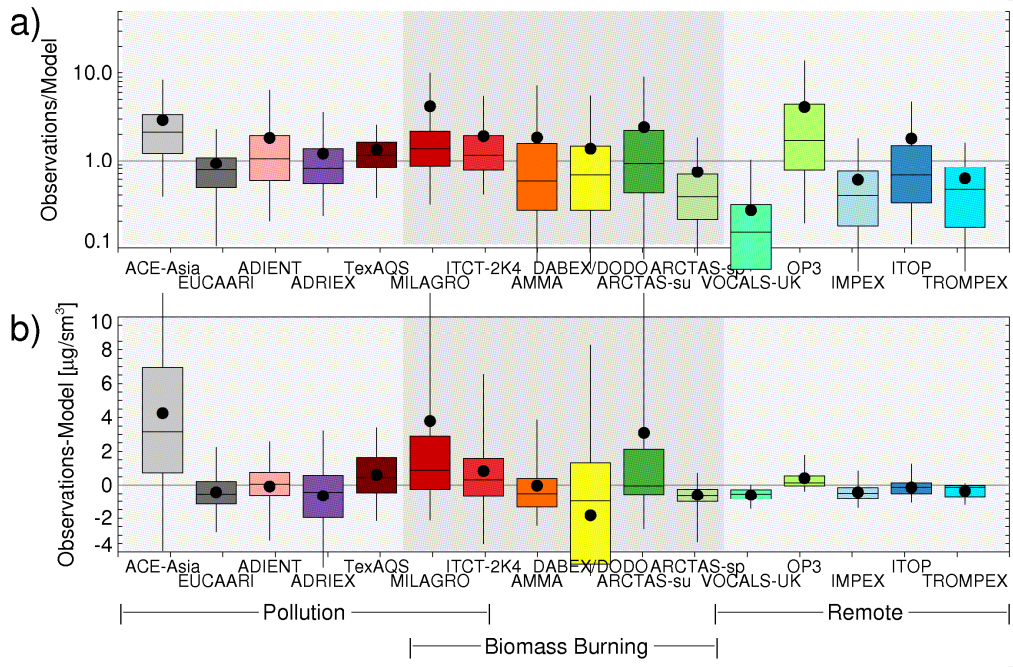
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 2 Figure 11: As in Figure 4, mean simulated OA (grey) is compared to observed (color)  
 3 concentrations for 17 field campaigns, however the model simulation here includes an  
 4 additional source of anthropogenic SOA (ASOA) of  $\sim 100 \text{ Tgyr}^{-1}$  estimated by scaling  
 5 simulated ASOA by a factor of 30.

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 2 Figure 12: The change in  $R^2$  between simulated (GEOS-Chem) and observed OA for each  
 3 campaign for various sensitivity simulations (labeled in inset). Each addition represents an  
 4 additional source of  $\sim 100 \text{ Tgyr}^{-1}$  of OA. Compare to baseline in Figure 7a.

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 2 Figure 13: As in Figure 6, but with the 30-fold of increase in ASOA in the model and the  
 3 reduction of the enthalpy of vaporization of SOA to  $25 \text{ kJmol}^{-1}$ .  
 4