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Chemical and aerosol processes in the transition from closed to open cells during VOCALS-REx

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Abstract

Chemical and aerosol processes in the transition from closed- to open-cell circulation in the remote, cloudy marine boundary layer are explored. It has previously been shown that precipitation can initiate a transition from the closed- to the open-cellular state, but that the boundary layer cannot maintain this open-cell state without a resupply of cloud condensation nuclei (CCN). Potential sources include wind-driven production of sea salt particles from the ocean, nucleation from the gas phase, and entrainment from the free troposphere. In order to investigate aerosol sources in the marine boundary layer and their role in supplying new particles, we have coupled in detail chemical, aerosol, and cloud processes in the WRF/Chem model, and added state-of-the-art representations of sea salt emissions and aerosol nucleation. We introduce the new features of the model and conduct simulations of the marine boundary layer in the transition from a closed- to an open-cell state. Results are compared with observations in the Southeast Pacific boundary layer during the VAMOS Ocean-Cloud-Atmosphere-Land Study Regional Experiment (VOCALS-REx). The transition from the closed- to the open-cell state generates conditions that are conducive to nucleation by forming a cloud-scavenged, ultra-clean layer below the inversion base. Open cell wall updrafts loft dimethyl sulfide from the ocean surface into the ultra-clean layer, where it is oxidized during daytime to SO_2 and subsequently to H_2SO_4 . Low H_2SO_4 condensation sink values in the ultra-clean layer allow H_2SO_4 to rise to concentrations at which aerosol nucleation proceeds efficiently. The existence of the ultra-clean layer is confirmed by observations. We find that the observed DMS flux from the ocean in the VOCALS-REx region can support a nucleation source of aerosol in open cells that exceeds sea salt emissions in terms of the number of particles produced. The freshly nucleated, nanometer-sized aerosol particles need, however, time grow to sizes large enough to act as CCN. In contrast, mechanical production of particles from the ocean surface by near-surface winds provides a steady source of larger particles that are effective CCN at a rate exceeding a threshold for maintenance of open-cell circulation. Entrainment

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of aerosol from the free troposphere contributes significantly to boundary layer aerosol for the considered VOCALS-REx case, but less than sea salt aerosol emissions.

1 Motivation

The cloudy marine boundary layer (MBL) is of much interest from a climate system perspective. Bright, reflective clouds overlaying a dark ocean surface exert significant shortwave cooling with no appreciable compensation in the longwave. Moreover, atmospheric aerosol is known to modify the brightness of these shallow, warm-phase clouds; increases in the aerosol result in more reflective clouds, *ceteris paribus* (Twomey, 1977). The aerosol also modifies the ability of clouds to precipitate, with implications for cloud cover and lifetime (Warner, 1968; Albrecht, 1989).

Early satellite imagery and aerial photography yielded dramatic evidence of mesoscale organization of cloud systems in the form of rolls and hexagonal patterns, with clear analogy to Rayleigh-Bénard convection (Agee, 1984). The cloud systems tend to organize into mesoscale cellular convective states that exhibit closed or open cellular structures (Stevens et al., 2005a; Wang and Feingold, 2009). Closed-cell circulation is characterized by high cloud fraction and relatively low drizzle amounts. The circulation is driven by cloud-top radiative cooling resulting in narrow, stronger downdrafts that flank broader regions of weaker updrafts. In contrast, over warm water with strong surface forcing, an open-cell state with broad, cloud-free regions surrounded by narrow, strong updraft regions is the preferred state. Within closed-cell regions, pockets of open cells (POCs) may form. POCs are characterized by vigorous updrafts and optically thick, strongly precipitating clouds in the open cell walls, and optically thin clouds in the cell interiors. Precipitation is thought to be a necessary (Stevens et al., 2005b) but not sufficient (Wood et al., 2010) condition for the transition from closed to open cells, which introduces the possibility that MBL aerosol, via its influence on precipitation, can play a role in determining the dynamical state and self-organization of the system. If precipitation is strong enough, and the MBL is sufficiently depleted in

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cloud condensation nuclei (CCN, aerosol particles which activate to cloud droplets) the MBL is no longer able to sustain itself; convective circulation weakens and clouds disappear (Ackerman et al., 1993). Wang et al. (2010) showed that for a Southeast Pacific case-study, an aerosol replenishment rate on the order of $1 \text{ cm}^{-3} \text{ h}^{-1}$ was sufficient to maintain an open-cell circulation. The aerosol sources can be emissions of particles from the ocean, entrainment of aerosol from the free troposphere, and nucleation from the gas phase.

Aerosol nucleation has been found to occur infrequently in the marine boundary layer (Heintzenberg et al., 2004), as sulfuric acid (H_2SO_4), an efficient nucleation agent, is quickly removed from the gas phase by sea salt particles and water drops. Hence oceanic emissions and entrainment from the free troposphere are thought to account for commonly observed aerosol concentrations in the MBL (Katoshevski et al., 1999; Clarke et al., 2006). On the other hand, strong relationships between concentrations of ocean-emitted dimethyl sulfide (DMS) and marine aerosol concentrations have been observed (Ayers and Gras, 1991; Andreae et al., 1995; Clarke et al., 1998), supporting nucleation as a source of aerosol in the marine environment. More recently, Petters et al. (2006) and Tomlinson et al. (2007) observed enhanced concentrations of small Aitken mode particles under conditions of reduced aerosol surface area in open cells, and explained these with nucleation in the MBL: Strong precipitation in open cells removes pre-existing aerosol particles, aerosol surface area, and the associated sulfuric acid condensation sink to values that are sufficiently small to allow accumulation of gas phase H_2SO_4 to concentrations at which nucleation becomes efficient.

Hence in open cells, oceanic emissions of DMS, which is oxidized in the gas phase by the hydroxyl (OH) and nitrate (NO_3) radicals to SO_2 (Ravishankara et al., 1997), and the latter subsequently to H_2SO_4 , could result in the formation of new aerosol by nucleation, and provide CCN for the maintenance of the open-cell circulation, in addition to CCN emitted from the ocean and entraining from the free troposphere. Open-cell regions are therefore potential candidates for the CLAW hypothesis (Charlson et al., 1987), which proposes that in broken cloud situations ocean phytoplankton respond

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to the increased surface (solar) radiation and temperature by producing more DMS, which in turn, results in stronger aerosol nucleation in the MBL, an increased number of aerosol particles, and a higher cloud albedo/fraction. This constitutes a negative feedback loop, since it was the lower cloud fraction and higher surface radiation that helped generate the particles in the first place.

The contributions of aerosol nucleation and primary oceanic emissions to MBL aerosol and CCN concentrations will depend on the DMS flux from the ocean, which provides the chemical precursors for nucleation and growth of aerosol particles, and on near-surface wind speeds, which drive oceanic sea salt emissions. Photo-, gas phase, and aqueous phase chemistry, cloud processes, and transport within the MBL will play important roles. To study the role of aerosol sources in supplying new particles in this complex, interactive system, we have coupled in detail chemical, aerosol, and cloud processes in the WRF/Chem model (Grell et al., 2005), and added representations of primary oceanic emissions (Clarke et al., 2006) and of aerosol nucleation from the gas phase (Kazil et al., 2010). In this work we introduce the new features of the model, investigate chemical and aerosol processes in the transition from closed to open cells, and evaluate the ability of the model to reproduce chemical and aerosol measurements in open cells during the VAMOS Ocean-Cloud-Atmosphere-Land Study Regional Experiment (VOCALS-REx) (Wood et al., 2011). The role of boundary layer dynamics for chemical processing of DMS and as a driver of aerosol nucleation is discussed in detail, and nucleation, ocean emissions, and entrainment as sources of new aerosol for the specific case of open cells during VOCALS-REx are compared.

2 Model

We use the Advanced Research Weather Research and Forecasting (ARW; v3.1.1) model (Skamarock et al., 2008), building on the work of Wang and Feingold (2009), who incorporated an improved two-moment warm-rain microphysical scheme originally developed by Feingold et al. (1998) in the ARW, as well as a high-order monotonic

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advection scheme (Wang et al., 2009) to better represent aerosol-cloud-precipitation interactions. We operate the Advanced Research WRF model with interactive chemistry and aerosol microphysics (WRF/Chem, Grell et al., 2005), which has been coupled with the two-moment cloud microphysics scheme. In addition, we have added emissions of sea salt particles as parameterized by Clarke et al. (2006), and neutral and charged nucleation of sulfuric acid and water in the implementation of Kazil et al. (2010). In recent years the organic contribution to primary oceanic aerosol has been increasingly investigated (Leck and Bigg, 2005; Russell et al., 2010), but uncertainty exists on the amount of organic matter in these particles (Bigg and Leck, 2008; Modini et al., 2010), and a separate study (Shank et al., 2011) has found a negligible oceanic source of organic aerosol during VOCALS-REx. In the clean MBL, aerosol composition has little bearing on cloud droplet activation (Feingold et al., 2003; Ervens et al., 2005), and the Clarke et al. (2006) parameterization of the oceanic sea salt aerosol source is used in this work as a proxy for all ocean-emitted particles.

2.1 Coupling of chemical, aerosol, and cloud processes

We have extended the two-moment cloud microphysics scheme (Feingold et al., 1998) to treat the number and mass of aerosol particles contained in cloud and rain drops, as well as the mass of chemical species dissolved in cloud and rain water, and coupled it to the WRF/Chem two-moment aerosol module MADE (Modal Aerosol Dynamics Model for Europe, Ackermann et al., 1998), and to the WRF/Chem aqueous chemistry scheme (Fahey and Pandis, 2001), described in Sects. 2.3 and 2.4. The cloud microphysics scheme calculates the number of newly formed cloud droplets from the tri-modal MADE aerosol size distribution, and integrates the equations for water condensation and evaporation from cloud and rain drops, as well as those for drop collision-coalescence and sedimentation. In the course of these processes, the number and mass of aerosol particles residing in cloud and rain drops, and the mass of chemical species dissolved in cloud and rain water are treated as well-mixed, passive species; changes in their concentrations resulting from microphysics are calculated based on

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the relative changes calculated for the host cloud and rain drops. For example, mass transfer of gas and aerosol species between cloud and rain species due to collision-coalescence or condensation/evaporation is scaled by the mass transfer of cloud and rain water. Evaporation of cloud water leads to the regeneration of interstitial aerosol once the mixing ratio of cloud water falls below a given threshold. Based on observations, each evaporating drop can be assumed to produce a single aerosol particle (Mitra et al., 1992; Feingold et al., 1996) which means that at any given moment the drop concentration is equivalent to the potential number of particles that can be regenerated as aerosol. Thus, if the microphysics scheme determines a reduction in cloud (rain) drop number concentration based on collision-coalescence, the number of aerosol particles residing in cloud (rain) water is reduced by the same amount. This treatment implies that aerosol particles inside coalescing drops merge.

Dissolved matter is released to the gas phase both from cloud and rain drops in proportion to the evaporated water mass. Particulate and dissolved matter in cloud and rain water are resolved in the cloud microphysics scheme by chemical species, and in the case of particulate matter also by the three aerosol modes (Aitken, accumulation, coarse) of the MADE aerosol scheme. On completion of the cloud microphysics calculations, activated Aitken mode particles are placed in the accumulation mode. This treatment of mode transfer due to cloud processing is based on the notion that it is activation in the first place which is responsible for the emergence of the accumulation mode, hence being activated is a sensible criterion that a particle should belong to the accumulation mode. Sensitivity to this assumption was examined by Feingold et al. (1996). Activated accumulation and coarse mode particles remain in their respective modes upon completion of the cloud microphysics calculations.

The treatment of the aerosol processing by clouds described here follows that by Feingold et al. (1996) and Feingold and Kreidenweis (2002), and reproduces the same essential features as the more detailed calculations of Flossmann et al. (1985). Further details on the coupling between chemical, aerosol, and cloud processes used in this work are given in Appendix A.

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2.2 Gas phase chemistry and radiation

Our simulations apply the gas phase chemical mechanism originally developed by Stockwell et al. (1990) for the Regional Acid Deposition Model version 2 (RADM2, Chang et al., 1989), which is implemented in WRF/Chem with the Kinetic Pre-Processor (Damian et al., 2002). To reduce computation time, reactions for organic species other than methane (CH₄), the methyl peroxy radical (CH₃O₂), and formaldehyde (HCHO) were removed from the RADM2 chemical scheme in this work. To account for the effect of oceanic sulfur emissions on MBL processes, the oxidation of DMS by OH and NO₃, which produces sulfur dioxide (SO₂), was added. The list of gas phase species and their reactions are given in Appendices B and C, respectively. Long- and shortwave radiative transfer is treated with the CAM (Community Atmosphere Model, Collins et al., 2004) scheme. Molecular photolysis frequencies are calculated with the Tropospheric Ultraviolet and Visible (TUV) Radiation Model (Madronich and Flocke, 1999); the photochemical reactions used in this work are given in Appendix D.

2.3 Aerosol microphysics

We use the WRF/Chem two-moment aerosol module MADE (Modal Aerosol Dynamics Model for Europe, Ackermann et al., 1998) to treat aerosol microphysical processes. MADE describes the aerosol size distribution with three log-normal modes (Aitken, accumulation and coarse) with fixed geometric standard deviations (1.4, 1.5, and 2.0, in our simulations, respectively). The number of particles and the mass of the chemical compounds (SO₄²⁻, NH₄⁺, NO₃⁻, Na⁺, and Cl⁻ in this work) in each aerosol mode, for both interstitial aerosol and aerosol residing in liquid water (sum of cloud and rain water) are tracked in WRF/Chem as prognostic variables. Throughout this work we refer to interstitial aerosol particles when these are neither enclosed in cloud nor rain water.

Uptake of gas phase ammonia (NH₃), nitric acid (HNO₃) and water by interstitial aerosol particles is calculated in equilibrium as described by Grell et al. (2005), for all three aerosol modes. The effect of aerosol Na⁺ and Cl⁻ on the partitioning of other

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species between the gas and particulate phase is not considered in the calculation, which may result in an underestimation of aerosol water content and sulfuric acid condensation sink of the aerosol modes. These condensation sinks determine removal of sulfuric acid from the gas phase at cloud-free locations; we use the numerical scheme described in Kokkola et al. (2009) to integrate the resulting prognostic equation. At cloudy locations, all gas phase H_2SO_4 is removed instantaneously and converted to aerosol sulfate residing in liquid water.

2.4 Aqueous chemistry

Partitioning of the gas phase species into cloud and rain water and their conversion to aerosol species has been implemented in WRF/Chem by Chapman et al. (2009) using the bulk aqueous chemistry scheme of Fahey and Pandis (2001), which solves the prognostic equations for the vapor pressures and liquid phase concentrations of the involved species. Aqueous chemistry proceeds for the Aitken and the accumulation mode contained in cloud and rain water, but not for the coarse mode. This simplification can be motivated by the consideration that conversion of gas phase to aerosol mass via aqueous chemistry depends on the volume of liquid water associated with each aerosol mode, which is proportional to the number of activated particles from a given aerosol mode. In typical conditions, the Aitken and accumulation modes supply the majority of activated particles. Transfer of particles from the Aitken to the accumulation mode in the liquid phase via growth due to aqueous chemistry is in our implementation not treated by the aqueous chemistry scheme as in Chapman et al. (2009), but by the cloud microphysical scheme (see Sect. 2.1).

2.5 Aerosol nucleation

The formation of aerosol particles from the gas phase is implemented with the scheme described in Kazil et al. (2010): The scheme accounts for neutral and charged $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ nucleation based on thermochemical parameters (entropy and enthalpy

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change) for the uptake and loss of H_2SO_4 and H_2O molecules by small neutral and negatively charged $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ clusters, measured in the laboratory (Curtius et al., 2001; Froyd and Lovejoy, 2003; Hanson and Lovejoy, 2006). These thermochemical data were used in the method of Kazil and Lovejoy (2007) to generate a table of steady-state formation rates of neutral and charged $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ particles with 15 H_2SO_4 molecules, as a function of temperature, relative humidity, gas phase sulfuric acid concentration, H_2SO_4 condensation sink onto pre-existing aerosol, and ionization rate. The table is interpolated in WRF/Chem to obtain the particle formation rate at given ambient conditions. The formation rate of atmospheric ions, which drive charged nucleation, is calculated as a function of atmospheric mass column density, vertical cutoff rigidity, and solar cycle phase as described in Kazil et al. (2010).

The number and mass of particles formed by nucleation are committed to the MADE Aitken mode at cloud-free locations. At cloudy locations, all sulfuric acid is removed from the gas phase and apportioned as sulfate to the aerosol particles residing in cloud and rain water. The number and mass of the nucleating particles and of the pre-existing Aitken mode particles are conserved as aerosol from nucleation is placed in the Aitken mode, but no discrete nucleation mode can form alongside the Aitken mode in the course of nucleation in this approach. This simplification is viable in the conditions considered in this work: In the pristine MBL, sulfur dioxide and sulfuric acid gas phase concentrations are low, and pre-existing aerosol needs to be strongly depleted for nucleation to occur. This is e.g. the case when cloud processes have scavenged particles down to the critical diameter for activation, leaving a depleted Aitken mode with a smaller geometric mean diameter, which is used to accommodate aerosol from nucleation. This simplification is supported by observations of Petters et al. (2006) in the Northeast Pacific MBL and by Tomlinson et al. (2007) in the Southeast Pacific MBL, which show a pronounced, single dominant mode of small aerosol particles, which likely formed by nucleation as a result of depletion of larger particles. In polluted conditions, where nucleation may occur in the presence of non-negligible concentrations of pre-existing aerosol in the Aitken, accumulation, or coarse mode, this simplification

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would not be able to capture the features of the aerosol size distribution during and after a nucleation event, when distinct nucleation and Aitken modes may be present concurrently. The addition of a dedicated nucleation mode in the WRF/Chem MADE aerosol scheme is planned for the future.

2.6 Ocean sources and sinks

The sea salt aerosol flux from the ocean is described with the size-resolved parameterization by Clarke et al. (2006), which covers particles in the (dry) diameter range from 10 nm to 8 μm . We prescribe sea salt as a mixture of Na^+ , and Cl^- , and SO_4^{2-} , with the respective mass fractions 0.330, 0.593, and 0.077 (DOE, 1994), with a total mass density of 2.2 g cm^{-3} (Lewis and Schwartz, 2004). The number and mass of sea salt particles entering the three modes of the WRF/Chem aerosol module MADE is calculated by splitting the size-resolved sea salt particle flux at 100 nm and 1 μm into Aitken, accumulation, and coarse mode particles. As described above, organic particles are not treated explicitly, and the Clarke et al. (2006) parameterization is used as a proxy for all ocean-emitted particles. The aerosol particles emitted from the sea surface are placed into the lowermost model layer. The whitecap fraction is parameterized with the expression of Monahan et al. (1986) as a function of wind speed at 10 m above the ocean surface. Ocean emissions of DMS are based on measurements during VOCALS-REx (Yang et al., 2009).

Dry deposition of gas phase species onto the ocean surface is represented in WRF/Chem with the method of Wesely (2007), but has been disabled here, as WRF/Chem otherwise severely overestimates removal of various compounds, such as CO_2 . Dry deposition of aerosol particles is disabled as well, as it is treated in WRF/Chem in conjunction with parameterized sub-grid turbulent mixing and aerosol activation, which we describe explicitly in our simulations.

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3 Simulations

The simulations in this work build on those of Wang et al. (2010). The simulation domain is centered around 80° W, and 20° S, extending 60 × 60 km² horizontally and 2 km vertically. The horizontal (vertical) grid spacing is 300 (30) m, and the time step 3 s. The domain size and resolution were chosen to accommodate a boundary layer dynamic structure with several open cells, under consideration of the high numerical burden arising from the treatment of chemical and aerosol processes in addition to cloud processes. The horizontal resolution is coarser than in typical boundary layer large eddy simulations, and its appropriateness has been discussed in Wang and Feingold (2009). Cyclic boundary conditions are used in both horizontal dimensions.

The simulations are initialized with meteorological profiles based on VOCALS-REX RF06 soundings (Wang et al., 2010; Wood et al., 2010). Figure 1 shows the initial profiles of potential temperature and total water, present as water vapor at the start of the simulations. These initial conditions correspond to the “dry” initial profiles used in Wang et al. (2010). A large scale wind field with velocities of −6 m s^{−1} in the west-east and 7 m s^{−1} in the south-north directions are used throughout the domain. A sensible heat flux of 15 W m^{−2} and a latent surface heat flux of 122 W m^{−2} are used, together with a large scale surface divergence of 1.67 × 10^{−6} s^{−1}, based on VOCALS-REx RF06 observations inside a POC region (Wang et al., 2010; Wood et al., 2010).

Initial aerosol mode concentrations and sizes in the marine boundary layer and free troposphere were derived from measurements during VOCALS-REx RF06 (Wood et al., 2010) and are given in Table 1. The initial composition of Aitken mode particles is pure sulfuric acid, initial accumulation and coarse mode particles are composed of sea salt (Sect. 2.6). Initial values of trace gas species are given in Table 2. Ozone and carbon monoxide are initialized based on VOCALS-REx RF06 measurements. Initial H₂O₂ is estimated based on observations in the mid-latitude eastern Pacific reported in O’Sullivan et al. (2004). CO₂ and CH₄ are typical background values for the current epoch (NOAA AGGI, 2010), while SO₂ and DMS are initialized with ad hoc estimates

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of these compounds 24 h prior to RF06. Trace gas species not listed in Table 2 are initialized with zero mixing ratios.

Two simulations (S_0 , S_1) are conducted which differ in the DMS flux from the ocean: In S_0 , the DMS flux is set to $4.8 \mu\text{mol m}^{-2} \text{d}^{-1}$ based on the average flux from shipboard measurements during VOCALS-REx at 80°W , 20°S (Yang et al., 2009). In simulation S_1 , the DMS flux is reduced by a factor of 0.5, which will produce DMS profiles that are more consistent with the RF06 aircraft measurements than the original shipboard flux. The simulations commence at 12:00 UT on 27 October 2008, approximately 24 h before VOCALS-REx RF06 (Wood et al., 2010), and continue for another 24 h thereafter. Sunset and sunrise occur at 00:00 UT and 10:40 UT, respectively. The conversion between universal time (UT) and local solar time (LST) for the location of the simulations is $\text{LST} = \text{UT} - 5 \text{ h } 20 \text{ min}$. During the first 1 h of the simulations, chemical and aerosol processes, ocean emissions, as well as sedimentation and collision-coalescence of cloud and rain drops are disabled in order to allow for the formation of a cloud with the associated cloud top cooling that drives the MBL circulation.

4 Results

4.1 Transition from closed to open cells and aerosol nucleation

Trajectory calculations and satellite imagery indicate that the MBL sampled in VOCALS-REx RF06 has undergone a transition from closed- to open-cell circulation in the 12 h preceding the RF06 measurements (Wood et al., 2010). The transition is characterized by a progression from an overcast cloud deck with a comparably homogeneous optical depth to a broken cloud with optically thick clouds along the open cell boundaries, and optically thin clouds in the cell centers. The transition and the associated change in cloud structure in the simulations is illustrated in Fig. 2: in the afternoon of the first day, simulation S_0 exhibits a cloud field with high optical depths in cell centers and reduced optical depths along the cell peripheries (Fig. 2a), characteristic of closed

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cells. Approximately 12 h later, in the second half of the night, the cloud structure has developed an open-cell pattern with high optical depths along the cell peripheries, and reduced optical depths in the cell centers (Fig. 2b). Peak optical depths have increased in the course of the transition from about 60 to 120, owing to the stronger but more localized convection in open cells walls.

Figure 3a shows the evolution of liquid water path (LWP), cloud fraction, and precipitation in simulation S_0 . The liquid water path exhibits daytime minima due to solar heating and evaporation, but in the afternoon, as solar irradiation wanes, it recovers and grows until the next morning. This accumulation of liquid water accelerates conversion of cloud to rain drops by collision coalescence, which initiates precipitation during the first night. The onset of precipitation breaks up cloud cover, and the cloud fraction decreases into the following day, initially due to significant forenoon precipitation, and later, as precipitation levels off, due to heating by solar radiation. In the late afternoon, with lessened solar heating, it recuperates and reaches unity early in the following night.

Figure 3b shows time series of gas phase H_2SO_4 , aerosol particles > 3 nm in (dry) diameter, and aerosol H_2SO_4 condensation sink in the MBL during simulation S_0 . Sea salt emissions outweigh aerosol loss by cloud processes until the first evening, and the aerosol H_2SO_4 condensation sink increases during that time. In the course of the following night, due to the growing LWP, depletion of aerosol by collision coalescence and the onset of drizzle intensifies, resulting in an extended decline in the aerosol H_2SO_4 condensation sink, which reaches a minimum shortly before noon of the second day. At this point, the MBL circulation is in open-cell mode, and the broken cloud cover and the low aerosol H_2SO_4 condensation sink allow H_2SO_4 to rise to concentrations at which aerosol nucleation proceeds efficiently, leading to a rapid formation of particles larger than 3 nm.

The mechanisms driving nucleation in open cells are illustrated in Figs. 4 and 5, which show vertical slices through the domain of simulation S_0 at 28 October 2008 16:20 UT. Liquid water mixing ratio (sum of cloud and rain water), associated with

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convective updrafts along open cell walls, is denoted by contour lines. For reference in the following discussion, the liquid water mixing ratio is shown with the residual wind velocity, which excludes the large scale wind field, in Fig. 6.

The slices in Figs. 4 and 5, and 6 cut through three cloudy regions of open cell boundaries: A decaying convective zone in the west, a region of broad convection in the center, and a localized convective updraft in the east. Scavenging by collision coalescence and drizzle in the updrafts depletes aerosol particles. As a result, air detraining from the updrafts into the upper MBL exhibits very low aerosol concentrations and aerosol H_2SO_4 condensation sink values (Fig. 4a), leading to the formation of an ultra-clean layer, as observed during VOCALS-REx RF06 (Wood et al., 2010). Concurrently, the updrafts loft DMS emitted from the ocean (Fig. 4b). DMS does not readily dissolve in water (De Bruyn et al., 1995), and reaches the MBL top region without being depleted by aqueous chemistry. Here, solar radiation is scattered efficiently by broken clouds, and the enhanced actinic flux results in elevated OH concentrations (Fig. 4c). The OH oxidizes DMS to SO_2 , which accumulates in the MBL top region (Fig. 5a). SO_2 also occurs in patches with mildly enhanced mixing ratios near the surface, likely due to local conversion of DMS. However, unlike DMS, SO_2 dissolves in cloud and rain water and is depleted by aqueous phase chemistry. Its concentrations are therefore depressed inside the cloudy updrafts, and transport from the surface appears to play a secondary role for MBL top concentrations in this case. In the gas phase, SO_2 is oxidized by OH to sulfuric acid. In the ultra-clean layer, where H_2SO_4 aerosol condensation sink values are low (Fig. 4a), H_2SO_4 accumulates to higher concentrations compared to other levels (Fig. 5b). The elevated H_2SO_4 concentrations initiate aerosol nucleation in a thin layer below the inversion and above or between cloud tops (Fig. 5c).

4.2 Comparison of aerosol sources

Figure 7 compares the formation rate of particles containing 15 H_2SO_4 molecules from aerosol nucleation with the source of sea salt particles, averaged over the boundary layer. Aerosol nucleation is negligible during the first 24 h of the simulation: In the first

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daytime period, the MBL is in a closed-cell state with an overcast cloud deck and elevated aerosol H_2SO_4 condensation sink values (Fig. 3), which remove H_2SO_4 from the gas phase, thus suppressing nucleation. Open cells form during the night, but no H_2SO_4 that could drive nucleation is available due to the absence of photochemistry.

5 On the next day, however, nucleation sets in in the late morning due to the processes discussed in Sect. 4.1, peaks shortly after local noon, and levels off after a few hours. Sea salt emissions on the other hand, which are driven by surface winds, proceed continuously throughout the simulation. Integrated over the 24 h sunrise-to-sunrise period of the second day, and averaged over the boundary layer, formation of particles containing 15 H_2SO_4 molecules from nucleation amounts to 915 cm^{-3} , and formation of particles in the diameter range 10 nm–8 μm from sea salt emissions to 45 cm^{-3} . Both values exceed the aerosol replenishment rate of $\sim 1 \text{ cm}^{-3} \text{ h}^{-1}$ that was found sufficient to maintain an open-cell circulation in a Southeast Pacific case study (Wang et al., 2010). However, the relative strength of the two particle formation processes does not quantify their relative effect on CCN and cloud drop number concentrations: The nanometer-sized, freshly nucleated particles need to grow to sizes of tens of nanometers before they can participate as CCN. Depending on the availability of condensable gas phase species, this process may take place on time scales of hours to days. The number of particles from nucleation will be reduced during this time due to coagulation among themselves and onto larger aerosol, and due to loss onto cloud and rain drops. The larger sea salt aerosol particles on the other hand can be activated to cloud droplets soon after emission, and maintain open-cell circulation.

15 In order to assess the role of entrainment from the free troposphere as a source of aerosol particles in the boundary layer, we have added an inert gas-phase tracer species to the simulations, which is arbitrarily initialized with 1 ppt above the inversion ($1.95 \times 10^7 \text{ cm}^{-3}$ on average), and with 0 below. The tracer enters the boundary layer due to changes in inversion height and due to mixing at the inversion. At the end of simulation S_0 (after 48 h), the mean tracer mixing ratio in the boundary layer is 0.13 ppt ($3.12 \times 10^6 \text{ cm}^{-3}$ on average). Therefore, as an estimate, of the $\sim 300 \text{ cm}^{-3}$

particles > 10 nm in diameter observed in the free troposphere over the POC during VOCALS-REx RF06 (Wood et al., 2010), which is the initial value in our simulations, about 48 cm^{-3} will have entered the boundary layer over the course of the 48 h simulation period. This translates to about 24 cm^{-3} particles over a 24 h period, roughly half as many as produced by sea salt emissions in the > 10 nm size range over the same time period.

4.3 Uncertainties

The results of the simulations are subject to various uncertainties, e.g. in the WRF/Chem algorithms that describe cloud cover, liquid water content, aerosol concentrations, and concentrations of gas phase species such as DMS and SO_2 . Estimated and observed initial and boundary conditions used in the simulations introduce uncertainties as well. However, the results presented in this work depend perhaps most strongly on the applicability and accuracy of the sea salt emissions and aerosol nucleation schemes.

Laboratory and field studies indicate that a variety of nucleation mechanisms proceed in the troposphere, and no single mechanism has been found to date which explains all available observations: in addition to sulfuric acid and water (Lovejoy et al., 2004; Hanson and Lovejoy, 2006), elevated concentrations of organic species (Kulmala et al., 2006), iodine (O'Dowd et al., 2002; Burkholder et al., 2004), and ammonia (Coffman and Hegg, 1995; Ball et al., 1999) have been associated with nucleation, and other, yet little understood mechanisms involving amines (Mäkelä et al., 2001; Murphy et al., 2007; Kurtén et al., 2008) or organic nitrates (Fry et al., 2009) may contribute as well. However, in the absence of organic compounds, iodine, ammonia etc. in sufficient amounts, such as in the pristine MBL, sulfuric acid and water appear to be the most likely species involved in nucleation. This consideration is backed by the predominantly volatile nature of ultrafine aerosol particles during VOCALS-REx RF06 (see Sect. 5.1), which supports the use of the sulfuric acid/water nucleation scheme (Kazil et al., 2010). A contribution of other nucleation mechanisms can, however, not be excluded.

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The sulfuric acid/water nucleation scheme of Kazil et al. (2010) presumes that the concentrations of ions and small neutral and charged $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ clusters assume instantaneous steady state values in response to changes in environmental parameters, such as surface area or gas phase H_2SO_4 concentration. This is an imperfect approximation for highly resolved models with short time steps, such as used here: In clouds, the gas phase ion concentration is reduced compared to cloud-free locations due to loss of ions onto cloud water. When an air parcel exits a cloud, the ion concentration will not immediately assume a steady state value, but build up and approach a steady state value as time progresses. A similar consideration applies to the concentration of small $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ clusters. Hence the model likely overestimates atmospheric ion concentrations and nucleation rates in air parcels that have recently exited a cloud. The issue is mitigated by the fact that gas phase H_2SO_4 , which is required for the formation of small $\text{H}_2\text{SO}_4/\text{H}_2\text{O}$ clusters and for nucleation, is approximately zero inside clouds, and will build up to concentrations that support significant nucleation rates only over time in air parcels that have left the cloud. Therefore, the overestimation of the ion concentration in these air parcels occurs when nucleation is limited due to low gas phase concentrations of sulfuric acid.

The ratio of the oceanic and free tropospheric contribution to MBL aerosol, discussed in Sect. 4.2, is likely not representative for entrainment of aerosol from the free troposphere into the MBL in general, but other limitations need to be considered. These are, apart from the question how well the model represents small scale mixing at the inversion and variations of the inversion height, which are responsible for entrainment, as well as near-surface wind speeds, which drive sea salt emissions, the following: The sea salt emissions scheme used in this work (Clarke et al., 2006), which reduces the uncertainty in sea salt emissions compared to previous schemes, may not be equally applicable to all oceanic regions, e.g. due to variations in the film of organic matter covering the ocean surface. At the same time, the concentration of free tropospheric aerosol used in our simulations represents a mean value during VOCALS-REx RF06 (Wood et al., 2010), but in the course of the flight, this concentration shows

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a substantial variability. And finally, entrainment from the free troposphere into the MBL may be patchy in character, depending on turbulence, and result in fortuitous entrainment from regions with high or low free tropospheric aerosol concentrations.

5 Comparison with VOCALS-REx

5.1 Measurements

VOCALS-REx measurements conducted on board the NCAR C-130 aircraft during RF06 (Wood et al., 2010) and on board the NOAA research vessel Ronald H. Brown are used for evaluation of the simulations. RF06 took place in the late night/early morning hours of 28 October 2008, between 08:00 and 13:30 UT, with extended horizontal legs and shorter ascents/descents across a POC (Fig. 8). The simulations are compared against vertical profiles taken at 09:05 UT and 11:36 UT. These profiles originate from different parts of the POC: The earlier profile (09:05 UT) was taken near the center of the POC, an area with comparably low infrared emissions (Fig. 8a). The later profile (11:36 UT) on the other hand stems from an area with high visible reflection and farther away from the center of the POC (Fig. 8b). The denser cloud at the location of the later profile indicates that it represents an area where the transition from closed to open cells has not progressed as far as at the location of the earlier profile, as will be discussed in Sect. 5.2.

Several instruments on board the NCAR C-130 aircraft determined cloud and rain water: The PMS Two Dimensional Cloud Probe (2D-C) measured the mass of hydrometeors in the 25–800 μm diameter range. Mass of smaller liquid particles was determined with the PMS King probe (King et al., 1978), which samples efficiently in the diameter range 5–40 μm . Both instruments report a liquid water content with a low bias according to their preferred detection range. In drizzling stratocumulus clouds, liquid water mass is dominated by larger particles, and at the locations of RF06 considered here, the 2D-C probe shows higher liquid water contents than the King probe.

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This indicates a smaller low bias in the 2D-C data, which are used in the following.

Atmospheric DMS and SO₂ concentrations were measured on board the NCAR C-130 by isotope dilution atmospheric pressure ionization mass spectrometry (Bandy et al., 2002; Thornton et al., 2002). SO₂ was sampled at 25 Hz, with a lower limit of detection of ~ 1 ppt at an integration time of 1 s. DMS was sampled at 0.1 Hz, with a lower limit of detection of ~ 1 ppt at this frequency. Identical instruments (with different sampling frequencies) were used on board the NOAA Ronald H. Brown to determine atmospheric DMS and SO₂ concentrations near the ocean surface, as well as the oceanic DMS flux (Yang et al., 2009).

Concentrations of atmospheric aerosol particles with (dry) diameters in the range 120 nm–3.12 μm were measured with the wing-mounted PMS Passive Cavity Aerosol Spectrometer Probe (PCASP). Aerosol particles down to a cutoff (dry) diameter of 3 nm and 10 nm (where the detection efficiency falls to 50%) were measured using cabin-based TSI 3025 and TSI 3010 Condensation Particle Counters (CPC), respectively. The CPCs sample from a manifold serviced by a forward-facing inlet, and may pick up particles that form due to shattering of hydrometeors on the front of the inlet. CPC data taken inside clouds and in the presence of rain are therefore discarded. The PCASP instrument is subject to similar artifacts from shattering hydrometeors, and its data are discarded at corresponding locations as well. Analyses of aerosol plumes documented by both the CPCs and the PCASP revealed a 3 s (TSI 3025) and a 5 s (TSI 3010) lag between the PCASP and the cabin-based CPCs, which is corrected for in the data analysis.

The concentration of aerosol particles in the diameter interval 3–10 nm (ultrafine aerosol) was obtained by differencing the particle concentrations from the two CPCs subsequent to correction for the lag and exclusion of data from cloud and precipitation intervals. An enhancement in the ultrafine aerosol concentration indicates nucleation from the gas phase. A criterion for unambiguous detection of ultrafine particles is a significantly higher concentration measured by the TSI 3025 compared to the TSI 3010. We require that the relative difference of the CPC concentrations exceeds 20% for at

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least 10 s. Based on this criterion, no ultrafine nuclei were detected in the MBL during the profiles taken at 09:05 UT and 11:36 UT. This indicates absence of nucleation in the MBL during and prior to RF06. Unambiguous detection of ultrafine particles took place above the MBL in layers at altitudes near 5.5 km, 3.5 km, and in a pollution layer above 1.6 km.

The absence of nucleation in the MBL detected during RF06 can be explained with an overcast cloud deck on the previous day, which suppresses boundary layer nucleation by scavenging gas phase sulfuric acid: RF06 took place in the late night/early morning hours, and photochemically driven aerosol nucleation, if present, would have taken place during one of the preceding daytime periods. Trajectory calculations and satellite imagery (Wood et al., 2010) indicate an overcast stratocumulus deck on the day before RF06. Nonetheless, preliminary analysis of aerosol size distributions taken with a Differential Mobility Particle Sizer (DMPS) during RF06 shows the presence of a mode of small particles with ~ 20 nm in diameter in the MBL and free troposphere (at 160 m and 1600 m, respectively). This indicates that entrainment from the free troposphere can be a source of very small MBL aerosol. This aerosol mode was also frequently observed in other clean VOCALS MBL regions where nucleation was not directly evident. Heating of these particles to 300°C revealed that they consist of volatile compounds at this temperature, suggesting a composition of predominantly sulfuric acid and water. More diverse and detailed observations of nucleation and entrainment during VOCALS and their relationship to CCN will be the subject of a separate paper.

5.2 Cloud condensation nuclei and liquid water

A layer with extremely low concentrations ($\geq 0.1\text{ cm}^{-3}$) of particles > 120 nm in diameter was found during VOCALS-REx RF06 at cloud level in the sampled POC, approximately 200 m below the inversion base (Wood et al., 2010). In the sub-cloud layer, concentrations of aerosol particles in this size range were considerably higher at $20\text{--}60\text{ cm}^{-3}$. This contrast suggests that very efficient scavenging of CCN by collision-coalescence and precipitation has taken place in updrafts of the open-cell circulation,

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with subsequent detrainment of the purged air below the inversion, which created the ultra-clean layer.

Figure 9 compares concentrations of aerosol particles > 120 nm in diameter and liquid water (sum of cloud and rain water) from simulation S_0 with POC profiles obtained during RF06 at 09:05 UT and 11:36 UT. The observed profiles were taken in different parts of the POC, as discussed in Sect. 5.1, and do not represent a continuous temporal evolution of the MBL from the closed- to the open-cell state. The 09:05 UT profile originates from an airmass with low infrared emissions near the center of the sampled POC (Fig. 8a), indicative of more mature open cells with low cloud fraction, while the 11:36 UT profile stems from an area with a high visible reflection farther from the core of the POC (Fig. 8b) with higher cloud fraction.

At 09:00 UT, simulation S_0 features an ultra-clean layer with minimum aerosol concentrations at about 200 m below mean cloud top height, in excellent agreement with the > 120 nm aerosol profile observed at 09:05 UT (Fig. 9a). Simulated liquid water exhibits a peak near mean cloud top height and a drizzle tail extending to the surface (Fig. 9b) from the contribution of optically thick, precipitating clouds located along open cell wall peripheries (Fig. 2b). The NCAR C-130 aircraft was crossing a nearly cloud-free area at 09:05 UT, and liquid water was detected only in a narrow altitude band around 500 m, possibly remnants of a decaying cell wall.

By 11:40 UT, the ultra-clean layer has deepened in the simulation, and below-cloud > 120 nm aerosol concentrations have been further reduced (Fig. 9c). The simulated liquid water profile shows a more pronounced drizzle tail (Fig. 9d). These changes are consistent with a progression from less to more mature open cells between 09:00 UT (Fig. 9a and b) and 11:40 UT (Fig. 9c and d) in the simulation, with intensifying precipitation and stronger aerosol wet deposition. The aerosol profile observed at 11:36 UT (Fig. 9c), however, shows comparably high below-cloud concentrations, higher than those observed earlier at 09:05 UT (Fig. 9a). At the same time, the observed liquid water profile does not exhibit a drizzle tail (Fig. 9d). This supports the contention that the later measurements represent an earlier stage of the closed-to-open cell transition.

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Indeed, the simulation is in much better agreement at 03:20 UT with the later observations (Fig. 9c and d), when cloud fraction is still near unity and before the onset of precipitation (see Fig. 3a and b), hence at an early stage of the closed-to-open cell transition. The lack of a drizzle tail in the observed liquid water profile at 11:36 UT, as well as the disagreement in the simulated and observed liquid water peak height (Fig. 9d) may be, however, due to an undersampling bias.

5.3 DMS

Figure 10a,c compares DMS from simulations S_0 and S_1 at 09:00 UT and 11:40 UT with concurrent surface measurements on board the NOAA research vessel Ronald H. Brown, and with the available aircraft DMS POC profile at 11:36 UT. The two simulations differ in the DMS flux from the ocean: S_0 uses the mean VOCALS-REx flux at 80° W, 20° S of $4.8 \mu\text{mol m}^{-2} \text{d}^{-1}$, derived from the shipboard DMS data. In simulation S_1 , the DMS flux is reduced by a factor of 0.5. The shipboard measurements of DMS and of the oceanic DMS flux during VOCALS-REx are discussed by Yang et al. (2009).

The simulated DMS profiles are shaped by surface emissions from the ocean and convective lifting into the upper MBL, with high values near the surface, low values in the mid-MBL, and elevated values below the inversion height (Fig. 10a and c). S_0 is in excellent agreement with the shipboard DMS data at 09:00 UT, while S_1 underestimates them by approximately a factor of 0.5 (Fig. 10a). At 11:40 UT, S_0 reproduces the shipboard DMS measurements well, while S_1 is in very good agreement with the aircraft data.

How does one reconcile these differences? The surface DMS measurements were taken over the course of one hour centered around 11:40 UT on 28 October 2008 on board the NOAA Ronald H. Brown, at 82.4° W 19.65° S. The aircraft DMS profile was acquired on board the NCAR C-130 over a 5 min period (a flight path of ~ 30 km) around 11:36 UT on 28 October 2008 at 80.7° W, 19° S, hence at a distance of about 200 km east-northeast. Yang et al. (2009) found that in the diurnal mean, the gradient in surface DMS during VOCALS-REx was perpendicular to the mean wind direction,

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south-southeast. The ship and aircraft locations at the time of the measurements are aligned with this mean horizontal DMS gradient, which Yang et al. determined as $-2 \text{ ppt}^\circ \text{ Lon}^{-1}$ and $-1 \text{ ppt}^\circ \text{ Lat}^{-1}$. The mean horizontal gradient would therefore account for a difference of at most a few ppt between the shipboard and the aircraft DMS data.

5 A variation in DMS that would explain the observed difference between the ship and aircraft data would hence need to play out on timescales of less than a day, on a spatial scale of $\lesssim 200 \text{ km}$, and amount to a factor of at least two. However, no such variation is seen in the shipboard DMS time series (Yang et al., 2009); the largest variation is the diurnal cycle with a factor of about two. We therefore conclude that the observed spatial
10 variability in surface DMS is unlikely to explain the difference between the shipboard data and the aircraft measurements.

It is worthwhile considering that the observed DMS flux of $4.8 \mu\text{mol m}^{-2} \text{ d}^{-1}$ would bring about an increase of DMS by 39 ppt in the MBL over the duration of the night (10 h 40 min). A mean inversion base height of 1375 m during RF06 (Wood et al.,
15 2010), a mean MBL temperature of 287.5 K, and a mean MBL pressure of 945 hPa based on our simulations were assumed here. Accounting for a mean loss of DMS by $-0.6 \mu\text{mol m}^{-2} \text{ d}^{-1}$ from the MBL due to entrainment, determined by Yang et al., the overall overnight increase would amount to 37 ppt. However, the mean aircraft DMS mixing ratio is $\sim 42 \text{ ppt}$ in the early morning MBL (Fig. 10c), hence with the observed
20 flux, and provided no nighttime chemical loss has occurred, DMS levels would have to be around 5 ppt at sunset. This is an implausible proposition, as revealed by the shipboard measurements of DMS that show typical evening values of 60 ppt, and no values below 20 ppt (Yang et al., 2009). Hence, in the absence of nighttime chemical loss, the aircraft DMS profile in Fig. 10c suggests a lower oceanic DMS flux than the
25 shipboard measurements.

An alternative explanation of the low aircraft DMS values in the MBL (Fig. 10c) is oxidation by NO_3 , the only known nighttime chemical loss process of DMS. Based on test simulations with the observed DMS flux of $4.8 \mu\text{mol m}^{-2} \text{ d}^{-1}$, we find that NO_x around 90 ppt would be required to reproduce the aircraft DMS profile. However, CO

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(~ 64 ppb) and SO_2 ($\lesssim 50$ ppt) values observed in the MBL during RF06 indicate that the study area was unaffected by long range transport of pollution, and hence would exhibit NO_x at typical levels for the clean marine environment. No measurements of NO_x were conducted during VOCALS-REx, but Olson et al. (2001) found 5–10 ppt NO_x in the Pacific between 10°S – 30°S during PEM-Tropics A and B in the lowest 2 km of the atmosphere, and Sommariva et al. (2004) report NO_2 levels not exceeding 15 ppt in pristine air of the South Pacific at Cape Grim. Concurrently, Yang et al. (2009) have found that oxidation by NO_3 was an insignificant loss process of DMS during VOCALS-REx. We therefore conclude that the low DMS values seen in the aircraft profile (Fig. 10c) are unlikely due to nighttime oxidation by NO_3 .

To summarize, the model reproduces the VOCALS-REx shipboard DMS measurements when the observed oceanic DMS flux is used, and the VOCALS-REx RF06 DMS measurements when the observed oceanic DMS flux is reduced by a factor of 0.5. The good agreement between the observed and simulated shape of the vertical profile indicates a correct treatment of transport and chemical loss of DMS in the model. The aircraft DMS profile and the shipboard measurements of DMS mixing ratio and oceanic flux cannot be easily reconciled: Spatial and temporal variability in surface DMS levels and oceanic DMS flux is insufficient to explain the aircraft DMS measurements. Nighttime oxidation of DMS by NO_3 could harmonize the observed oceanic DMS flux and the aircraft DMS profile, but would require NO_x levels significantly above those typically found in the unpolluted marine boundary layer.

5.4 SO_2

Figure 10b,d compares SO_2 from simulation S_0 and S_1 at 09:00 UT and 11:40 UT with VOCALS-REx RF06 POC profiles at 09:05 UT and 11:36 UT. Surface SO_2 measurements were conducted on board the NOAA Ronald H. Brown, but are not available at the time of writing. The simulated SO_2 profiles are shaped by chemical production (oxidation of DMS by OH) and loss (oxidation of SO_2 by OH) during daytime, loss due to aqueous chemistry in cloud and rain water, and turbulent mixing in the MBL. SO_2

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mixing ratios in simulation S_0 are comparable to observed values in the below-cloud layer, but clearly too low at cloud level, where the measurements show an SO_2 peak. Simulation S_1 produces systematically low SO_2 compared to the observations owing to a reduced oceanic DMS flux.

5 The observed SO_2 peaks at cloud level (Fig. 10b and d) are counterintuitive, in particular in the case of the 11:36 UT (Fig. 10d) profile, where the peak is located inside a cloud layer (see Fig. 9d). Inside clouds, an efficient SO_2 removal by aqueous chemistry is common, except in H_2O_2 limited conditions. The simulations are not H_2O_2 limited, with ~ 900 ppt of this compound at cloud level exceeding SO_2 by more than
10 an order of magnitude. The simulated H_2O_2 is consistent with observations in the Southeast Pacific (O'Sullivan et al., 2004).

In a pollution-influenced marine environment, NO_x levels can lead to nighttime oxidation of DMS by NO_3 at rates that are comparable to those of daytime oxidation by OH (Yvon et al., 1996). The associated formation of SO_2 could therefore produce elevated
15 SO_2 concentrations at night and in the early morning compared to unpolluted conditions. However, as discussed in Sect. 5.3 and by Yang et al. (2009), no indications of DMS oxidation by NO_3 during VOCALS-REx at rates above those typical for the clean marine boundary layer exist. We therefore conclude that the observed nighttime/early morning peaks in SO_2 at cloud level are unlikely due to nighttime oxidation of DMS by
20 NO_3 .

6 Conclusions

Marine stratiform clouds organize into two boundary layer dynamic states that exhibit closed- or open-cell convective circulation: Closed-cell circulation features a high cloud fraction and relatively low drizzle rates, while open-cell circulation displays vigorous
25 updrafts, and optically thick, strongly precipitating clouds in the open cell walls, and optically thin clouds in the cell interiors. Wet scavenging in open cell walls efficiently removes aerosol particles, which, unless compensated, would ultimately lead to a halt

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of cloud formation and cloud top cooling, and to a decoupling or cessation of boundary layer circulation. Hence, for the open-cell circulation to be maintained, aerosol sources such as emissions of sea salt particles from the ocean, nucleation from the gas phase, and entrainment from the free troposphere are needed to replenish the aerosol population. In order to investigate the processes supplying aerosol particles in the marine boundary layer, we have coupled in detail chemical, aerosol, and cloud processes in the WRF/Chem model and added state-of-the-art representations of sea salt emissions and of aerosol nucleation from the gas phase.

Simulations of a cloudy boundary layer in transition from closed to open cells were conducted for a region in the Southeast Pacific sampled during VOCALS-REx, and the results compared with observations. The model reproduces observed concentrations of cloud condensation nuclei and of DMS in open cells during VOCALS-REx Research Flight 6 (RF06), although the observed DMS aircraft and shipboard data exhibit a discrepancy. The model does not reproduce observed SO₂ peaks at cloud level and inside clouds.

The simulations show that the transition from closed to open cells generates conditions that are conducive to nucleation from the gas phase, which produces new aerosol particles in the MBL: Cloud-processed air from open cell wall updrafts forms an ultra-clean layer beneath the inversion height with extremely low aerosol concentrations. Concurrently, open cell wall updrafts transport DMS from the ocean surface into this layer, where it is oxidized in the presence of elevated OH concentrations to SO₂ and ultimately to H₂SO₄. Due to the very low concentrations of pre-existing aerosol in the ultra-clean layer, H₂SO₄ is removed only slowly from the gas phase and accumulates to concentrations at which aerosol nucleation proceeds efficiently. Since the nucleation process is driven by the photochemically produced OH radical, it peaks shortly after midday, with highest nucleation rates in the vicinity of cloud tops, where scattering on cloud and rain drops enhances the actinic flux and OH formation.

We find that the observed DMS flux from the ocean in the VOCALS-REx region can support a nucleation source of aerosol in open cells that exceeds sea salt emissions

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in terms of the number of particles produced. This result is consistent with one of the underpinnings of the CLAW hypothesis, which proposes that in broken cloud situations ocean phytoplankton respond to the increased surface radiation and temperature by producing more DMS, which in turn, results in stronger aerosol nucleation in the MBL, an increased number of aerosol particles, and a higher cloud albedo/fraction. However, the freshly nucleated aerosol particles are much smaller than sea salt aerosol emitted from the ocean surface, and need to grow to larger sizes before they can affect the CCN and cloud drop number. Sea salt emissions on the other hand exceed an aerosol replenishment rate in our simulations that has been found sufficient to maintain an open-cell circulation in the cloudy Southeast Pacific boundary layer. Finally, we find that entrainment of aerosol from the free troposphere contributes significantly to boundary layer aerosol for the considered VOCALS-REx case, but less than sea salt aerosol emissions.

The results presented here form the groundwork for future research on the behavior of aerosol sources and their determining factors in the marine boundary layer, and on their role for cloud properties.

Appendix A

Coupling of chemical, aerosol, and cloud processes

A1 Aerosol and cloud microphysics

The aerosol quantities provided by the WRF/Chem MADE module to the cloud microphysics scheme are particle number concentration (kg^{-1}) and mass mixing ratio ($\mu\text{g kg}^{-1}$) of interstitial aerosol and aerosol residing in liquid water. Both are resolved by aerosol mode, and the latter is also resolved by chemical composition. The WRF/Chem MADE module does not distinguish aerosol in cloud and rain water. On the other hand, the cloud microphysics scheme tracks interstitial aerosol, aerosol in cloud water, and

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aerosol in rain water, but does not resolve aerosol number by aerosol mode. The coupling must therefore take into consideration, and preserve the basic information for each scheme.

We are aided by two main factors: (i) the fact that the drop concentration (cloud plus rain) represents the potential number concentration of aerosol particles that can be regenerated to the atmosphere at any time. Thus aerosol particle concentration inside of drops is assumed at any time to be equal to the cloud plus rain drop concentration, and partitioned based on the ratio of cloud to rain drop number concentrations; and (ii) that the aerosol can be considered to be a passive tracer within the drops, which facilitates mass transfer between cloud and rain.

The cloud microphysics scheme calculates the number of newly activated particles from each of the three MADE aerosol modes from the dry geometric mean diameter and the number of interstitial particles, and based on the calculated ambient water vapor supersaturation (Feingold et al., 1998). The total number of newly activated particles is added to the number of particles residing in cloud drops, and the cloud drop number concentration is updated accordingly. The mass of the newly activated particles is added to the mass of particles residing in cloud drops, resolved by aerosol mode and chemical composition.

As water condenses onto cloud drops and converts a given number (mass) fraction thereof into rain drops, the cloud microphysics scheme transfers the same fraction of aerosol number (mass) from the cloud drop to the rain drop population. Aerosol mass transfer between cloud water and rain is resolved by the aerosol mode from which it was derived and the chemical composition. Transfer of aerosol number and mass in the opposite direction takes place as water evaporates from rain drops, converting them into cloud drops. Evaporation of water from cloud drops that reduces cloud water below a given threshold ($10^{-7} \text{ kg kg}^{-1}$) results in a transfer of all aerosol number and aerosol mass from cloud drops to interstitial aerosol.

Collision-coalescence transfers aerosol mass from cloud drops to rain drops at the same relative rate as the water mass transfer. This results in the aerosol mass scaling

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with the water mass as in Flossmann et al. (1985). By reducing drop number concentration, collision-coalescence also reduces the number concentration of aerosol particles that can be potentially returned to the atmosphere upon complete evaporation. This is equivalent to the assumption that the particles in coalescing cloud/rain drops merge. This treatment accounts for the effect of collision-coalescence on aerosol number and mass within the cloud and rain drop populations, as well as for the effect of collision-coalescence between the cloud and rain drop populations.

Sedimentation of cloud and rain drops vertically re-distributes aerosol number and mass: In the process, the aerosol number in cloud and rain drops is transported at the same rate as the cloud and rain drop number, respectively, while the aerosol mass, resolved by aerosol mode and chemical composition, is transported vertically at the same relative rate as the cloud and rain drop water mass. Sedimentation of cloud and rain water to the surface results in wet deposition of the particles contained therein, and their removal from the system.

After completion of the cloud microphysics scheme, its aerosol quantities are converted to those used by the WRF/Chem MADE aerosol module: The number of particles residing in cloud and rain water is summed to give the number of particles in liquid water. Aerosol mass residing in cloud and rain water is summed to give the aerosol mass residing in liquid water, with the information on aerosol mode and chemical composition intact. The number of particles in liquid water and the number of interstitial particles that were re-generated by evaporation of cloud droplets are partitioned onto the three MADE aerosol modes: The partitioning is calculated from the number of particles in the three modes in liquid water before the call to the cloud microphysics scheme, and from the number of particles activated from each of the modes in the cloud microphysics scheme. In the present implementation, the partitioning is modified to place Aitken mode particles residing in liquid water into the accumulation mode in liquid water, and interstitial Aitken mode particles that were re-generated due to cloud drop evaporation into the interstitial accumulation mode. This treatment of mode transfer due to cloud processing is based on the notion that it is activation in the first place

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which is responsible for the emergence of the accumulation mode, hence being activated is a sensible criterion that a particle should belong to the accumulation mode.

Removal of interstitial Aitken mode particles by collisions with cloud droplets is described with the Fuchs expression for Brownian coagulation in Seinfeld and Pandis (1998), assuming an accommodation coefficient of unity. The calculation is simplified by using a single rate coefficient for the process, computed from the Aitken mode geometric mean diameter and the mean cloud droplet volume diameter. The mass of the interstitial Aitken mode particles that collide with cloud droplets is added to the mass of accumulation mode particles that reside in liquid water. Removal of interstitial accumulation and coarse mode particles by cloud droplets, and collection scavenging of interstitial aerosol by rain drops are not accounted for in the present implementation.

A2 Gas phase chemistry, aqueous phase chemistry, and cloud microphysics

The cloud microphysics scheme (Feingold et al., 1998; Wang and Feingold, 2009) tracks the mass of gas phase species dissolved in liquid water, resolved by chemical composition, in analogy to the description of aerosol tracking in cloud and rain water mass described above: The WRF/Chem aqueous chemistry scheme (Fahey and Pandis, 2001) provides the masses of the gas phase species dissolved in liquid water, which the cloud microphysics scheme partitions into cloud and rain water components in proportion to the ratio of cloud to rain water mass. It then applies drop evaporation, collision-coalescence, and sedimentation on the dissolved species as follows: Evaporation of water from cloud and rain drops entails the evaporation of the dissolved gas phase species at the same relative rate. When water evaporation converts a given fraction of rain water mass into cloud water mass, the same fraction of dissolved mass is transferred from the rain drop to the cloud drop population. Collision-coalescence transfers dissolved mass from cloud drops to rain drops at the same relative rate as water mass. Sedimentation of cloud and rain drops vertically re-distributes the dissolved gas phase species: In the process, the mass of the dissolved gas is transported at the same relative rate as the cloud and rain water mass, respectively. Sedimentation

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of cloud and rain water to the surface results in wet deposition of the dissolved gas phase species. After completion of the cloud microphysics scheme, the mass of gas phase species dissolved in cloud and rain water, resolved by chemical composition, is summed to give the mass of gas phase species dissolved in liquid water.

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Appendix B

Chemical species

Table B1. Gas phase chemical species used in this work.

Formula	Name
H ₂	Molecular hydrogen
O(³ P)	Atomic oxygen (ground state)
O(¹ D)	Atomic oxygen (excited state)
CH ₄	Methane
OH	Hydroxyl radical
NH ₃	Ammonia
H ₂ O	Water
CO	Carbon monoxide
N ₂	Molecular nitrogen
NO	Nitric oxide
HCHO	Formaldehyde
O ₂	Molecular oxygen
HO ₂	Hydroperoxyl radical
H ₂ O ₂	Hydrogen peroxide
CO ₂	Carbon dioxide
NO ₂	Nitrogen dioxide
CH ₃ O ₂	Methyl peroxy radical
O ₃	Ozone
NO ₃	Nitrate radical
CH ₃ SCH ₃	Dimethyl sulfide (DMS)
HNO ₃	Nitric acid
SO ₂	Sulfur dioxide
HNO ₄	Hydroxy nitrate
H ₂ SO ₄	Sulfuric acid
N ₂ O ₅	Dinitrogen pentoxide

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Gas phase reactions and rate coefficients

Table C1. Gas phase reactions (from the RADM2 chemical mechanism, Stockwell et al., 1990) used in this work with a rate coefficient $k(T) = Ae^{-B/T}$. T is the temperature in Kelvin, the units of A are $\text{cm}^3 \text{s}^{-1}$ for second order and $\text{cm}^6 \text{s}^{-1}$ for third order reactions, the units of B are Kelvin.

Reaction	A	B	Reference
$\text{O}(^3\text{P}) + \text{NO}_2 \rightarrow \text{NO} + \text{O}_2$	6.5×10^{-12}	-120	DeMore et al. (1988)
$\text{O}(^1\text{D}) + \text{N}_2 \rightarrow \text{O}(^3\text{P}) + \text{N}_2$	1.8×10^{-11}	-110	DeMore et al. (1988)
$\text{O}(^1\text{D}) + \text{O}_2 \rightarrow \text{O}(^3\text{P}) + \text{O}_2$	3.2×10^{-11}	-70	DeMore et al. (1988)
$\text{O}(^1\text{D}) + \text{H}_2\text{O} \rightarrow 2\text{OH}$	2.2×10^{-10}	0	DeMore et al. (1988)
$\text{O}_3 + \text{NO} \rightarrow \text{NO}_2 + \text{O}_2$	2.0×10^{-12}	1400	DeMore et al. (1988)
$\text{O}_3 + \text{OH} \rightarrow \text{HO}_2 + \text{O}_2$	1.6×10^{-12}	940	DeMore et al. (1988)
$\text{O}_3 + \text{HO}_2 \rightarrow \text{OH} + 2\text{O}_2$	1.1×10^{-14}	500	DeMore et al. (1988)
$\text{HO}_2 + \text{NO} \rightarrow \text{NO}_2 + \text{OH}$	3.7×10^{-12}	-240	DeMore et al. (1988)
$\text{H}_2\text{O}_2 + \text{OH} \rightarrow \text{HO}_2 + \text{H}_2\text{O}$	3.3×10^{-12}	200	DeMore et al. (1988)
$\text{NO} + \text{NO} + \text{O}_2 \rightarrow 2\text{NO}_2$	3.3×10^{-39}	-530	Atkinson and Lloyd (1984)
$\text{O}_3 + \text{NO}_2 \rightarrow \text{NO}_3 + \text{O}_2$	1.4×10^{-13}	2500	DeMore et al. (1988)
$\text{NO}_3 + \text{NO} \rightarrow 2\text{NO}_2$	1.7×10^{-11}	-150	DeMore et al. (1988)
$\text{NO}_3 + \text{NO}_2 \rightarrow \text{NO} + \text{NO}_2 + \text{O}_2$	2.5×10^{-14}	1230	Atkinson and Lloyd (1984)
$\text{NO}_3 + \text{HO}_2 \rightarrow \text{HNO}_3 + \text{O}_2$	2.5×10^{-12}		Cantrell et al. (1985)
$\text{N}_2\text{O}_5 + \text{H}_2\text{O} \rightarrow 2\text{HNO}_3$	2.0×10^{-21}		DeMore et al. (1988)
$\text{OH} + \text{HNO}_4 \rightarrow \text{NO}_2 + \text{H}_2\text{O} + \text{O}_2$	1.3×10^{-12}	-380	DeMore et al. (1988); Uselman et al. (1979)
$\text{OH} + \text{HO}_2 \rightarrow \text{H}_2\text{O} + \text{O}_2$	4.6×10^{-11}	-230	DeMore et al. (1988)
$\text{HCHO} + \text{OH} (+\text{O}_2) \rightarrow \text{HO}_2 + \text{CO} + \text{H}_2\text{O}$	9.0×10^{-12}		Atkinson (1986)
$\text{CH}_3\text{O}_2 + \text{NO} (+\text{O}_2) \rightarrow \text{HCHO} + \text{HO}_2 + \text{NO}_2$	4.2×10^{-12}	-180	DeMore et al. (1988)
$\text{HCHO} + \text{NO}_3 (+\text{O}_2) \rightarrow \text{HO}_2 + \text{HNO}_3 + \text{CO}$	6.0×10^{-13}	2058	Cantrell et al. (1985)
$\text{CH}_3\text{O}_2 + \text{CH}_3\text{O}_2 \rightarrow 1.5\text{HCHO} + \text{HO}_2 + \dots$	1.9×10^{-13}	-220	DeMore et al. (1988); Carter et al. (1986)

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Table C2. Gas phase reactions (from the RADM2 chemical mechanism, Stockwell et al., 1990) used in this work with a rate coefficient $k(T, [M]) = k_0(T)[M]/(1 + k_0(T)[M]/k_\infty(T))0.6^{\{1 + [\log_{10}(k_0(T)[M]/k_\infty(T))]\}^{-1}}$, where $k_0(T) = k_0^{300}(T/300)^{-n}$ and $k_\infty(T) = k_\infty^{300}(T/300)^{-m}$ (DeMore et al., 1988). T is the temperature in Kelvin and $[M]$ the concentration of air molecules in cm^{-3} . The units of k_0^{300} are $\text{cm}^6 \text{s}^{-1}$, and of k_∞^{300} $\text{cm}^3 \text{s}^{-1}$.

Reaction	k_0^{300}	n	k_∞^{300}	m
$\text{HO}_2 + \text{NO}_2 \rightarrow \text{HNO}_4$	1.8×10^{-31}	3.2	4.7×10^{-12}	1.4
$\text{NO}_3 + \text{NO}_2 \rightarrow \text{N}_2\text{O}_5$	2.2×10^{-30}	4.3	1.5×10^{-12}	0.5
$\text{OH} + \text{NO}_2 \rightarrow \text{HNO}_3$	2.6×10^{-30}	3.2	2.4×10^{-11}	1.3
$\text{OH} + \text{SO}_2(+\text{H}_2\text{O} + \text{O}_2) \rightarrow \text{H}_2\text{SO}_4 + \text{HO}_2$	3.0×10^{-31}	3.3	1.5×10^{-12}	0.0

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Table C3. Unimolecular gas phase reactions and rate coefficients (from the RADM2 chemical mechanism, Stockwell et al., 1990) used in this work, that are calculated from equilibria. The rate coefficient is calculated as $k(T) = k_r(T)Ae^{-B/T}$ (DeMore et al., 1988), where $k_r(T)$ is the rate coefficient of the corresponding formation reaction (Table C2), and T the temperature in Kelvin. The units of A are cm^{-3} , the units of B are Kelvin.

Reaction	A	B
$\text{HNO}_4 \rightarrow \text{HO}_2 + \text{NO}_2$	4.76×10^{26}	10 900
$\text{N}_2\text{O}_5 \rightarrow \text{NO}_2 + \text{NO}_3$	9.09×10^{26}	11 200

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Table C4. Gas phase reactions and rate coefficients (from the RADM2 chemical mechanism, Stockwell et al., 1990) used in this work with special rate expressions. T is the temperature in Kelvin and $[M]$ the concentration of air molecules in cm^{-3} .

Reaction	Rate coefficient ($\text{cm}^3 \text{s}^{-1}$)	Reference
$\text{O}(^3\text{P}) + \text{O}_2 \rightarrow \text{O}_3$	$6 \times 10^{-34} (T/300)^{-2.3} [\text{M}]$	DeMore et al. (1988)
$\text{HO}_2 + \text{HO}_2 \rightarrow \text{H}_2\text{O}_2 + \text{O}_2$	$2.2 \times 10^{-13} e^{620/T} + 1.9 \times 10^{-33} e^{980/T} [\text{M}]$	Sander et al. (1982) Kirtcher and Sander (1984)
$\text{HO}_2 + \text{HO}_2 + \text{H}_2\text{O} \rightarrow \text{H}_2\text{O}_2 + \dots$	$3.08 \times 10^{-34} e^{2820/T} + 2.66 \times 10^{-54} e^{3180/T} [\text{M}]$	Sander et al. (1982)
$\text{OH} + \text{HNO}_3 \rightarrow \text{NO}_3 + \text{H}_2\text{O}$	$k = k_1 + k_3 / (1 + k_3/k_2)$ $k_1 = 7.2 \times 10^{-15} e^{785/T}$ $k_2 = 4.1 \times 10^{-16} e^{1440/T}$ $k_3 = 1.9 \times 10^{-33} e^{725/T} [\text{M}]$	DeMore et al. (1988)
$\text{CO} + \text{OH}(+\text{O}_2) \rightarrow \text{HO}_2 + \text{CO}_2$	$1.5 \times 10^{-13} (1 + 2.439 \times 10^{-20} [\text{M}])$	DeMore et al. (1988)
$\text{CH}_4 + \text{OH}(+\text{O}_2) \rightarrow \text{CH}_3\text{O}_2 + \text{H}_2\text{O}$	$6.95 \times 10^{-18} T^2 e^{(-1280/T)}$	Atkinson (1986)

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Table C5. DMS oxidation reactions. T is the temperature in Kelvin.

Reaction	Rate coefficient ($\text{cm}^3 \text{s}^{-1}$)	Reference
$\text{CH}_3\text{SCH}_3 + \text{OH} \rightarrow \text{SO}_2 + \dots$	$(T \times e^{-234/T} + 8.46 \times 10^{-10} e^{7230/T} + 2.68 \times 10^{-10} e^{7810/T}) / (1.04 \times 10^{11} T + 88.1 e^{7460/T})$	Hynes et al. (1986)
$\text{CH}_3\text{SCH}_3 + \text{NO}_3 \rightarrow \text{SO}_2 + \text{HNO}_3 + \dots$	$1.9 \times 10^{-13} e^{520/T}$	Atkinson et al. (1992)

Photodissociation reactions, cross sections, and quantum yields

Table D1. Photodissociation reactions, cross sections, and quantum yields (from the RADM2 chemical mechanism, Stockwell et al., 1990) used in this work.

Reaction	Cross section	Quantum yield
$\text{NO}_2 + h\nu \rightarrow \text{O}(^3\text{P}) + \text{NO}$	Bass et al. (1976); Davenport (1978)	Gardner et al. (1987)
$\text{O}_3 + h\nu \rightarrow \text{O}(^1\text{D}) + \text{O}_2$	DeMore et al. (1988)	Moortgat and Kudzus (1978), scaled by 0.9
$\text{O}_3 + h\nu \rightarrow \text{O}(^3\text{P}) + \text{O}_2$	DeMore et al. (1988)	Total yield for $\text{O}(^1\text{D})$ and $\text{O}(^3\text{P})$ assumed unity
$\text{HNO}_3 + h\nu \rightarrow \text{OH} + \text{NO}_2$	Molina and Molina (1981)	Assumed = 1 over UV absorption range
$\text{HNO}_4 + h\nu \rightarrow \text{HO}_2 + \text{NO}_2$	Molina and Molina (1981)	Assumed = 1 over UV absorption range
$\text{NO}_3 + h\nu \rightarrow \text{NO} + \text{O}_2$	$\lambda < 570$ nm Graham and Johnston (1978), $\lambda > 570$ nm average of Graham and Johnston (1978) and Ravishankara and Wine (1983)	Graham and Johnston (1978); Magnotta and Johnston (1980), scaled to a total yield of unity
$\text{NO}_3 + h\nu \rightarrow \text{NO}_2 + \text{O}(^3\text{P})$	$\lambda < 570$ nm Graham and Johnston (1978), $\lambda > 570$ nm average of Graham and Johnston (1978) and Ravishankara and Wine (1983)	Graham and Johnston (1978); Magnotta and Johnston (1980), scaled to a total yield of unity
$\text{H}_2\text{O}_2 + h\nu \rightarrow 2\text{OH} + \dots$	Average of Lin et al. (1978) and Molina and Molina (1981)	Assumed = 1 over UV absorption range
$\text{HCHO} + h\nu \rightarrow \text{CO} + \text{H}_2$	Average of Moortgat et al. (1978, 1983) and Bass et al. (1980)	Horowitz and Calvert (1978); Moortgat et al. (1983) see Stockwell et al. (1990) for pressure dependence
$\text{HCHO} + h\nu (+2\text{O}_2) \rightarrow 2\text{HO}_2 + \text{CO}$	Average of Moortgat et al. (1978, 1983) and Bass et al. (1980)	Horowitz and Calvert (1978); Moortgat et al. (1983), see Stockwell et al. (1990) for pressure dependence

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Table 1. Initial aerosol properties in the marine boundary layer (MBL) and free troposphere (FT).

	MBL	FT
Aitken mode concentration	0	150 mg ⁻¹
Aitken mode geom. mean dry diameter	–	30 nm
Accumulation mode concentration	95 mg ⁻¹	135 mg ⁻¹
Accumulation mode geom. mean dry diameter	200 nm	200 nm
Coarse mode concentration	0	0
Coarse mode geom. mean dry diameter	–	–

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Table 2. Initial trace gas composition. Trace gas species not listed here are initialized with zero values.

	Boundary layer	Free troposphere
CO ₂	380 ppm	380 ppm
CH ₄	1.7 ppm	1.7 ppm
CO	64 ppb	70 ppb
O ₃	30 ppb	55 ppb
HO ₂	0 ppt	0.1 ppt
H ₂ O ₂	500 ppt	500 ppt
DMS	60 ppt	0 ppt
SO ₂	40 ppt	10 ppt

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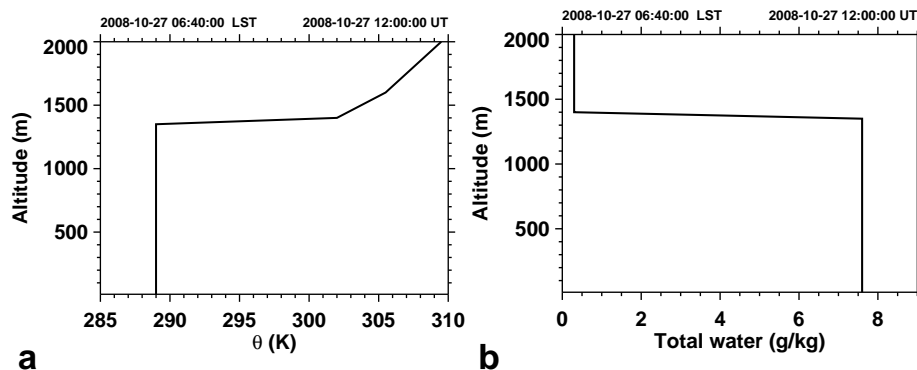


Fig. 1. Initial vertical profiles of **(a)** potential temperature and **(b)** total water mixing ratio, present as water vapor at the start of the simulations.

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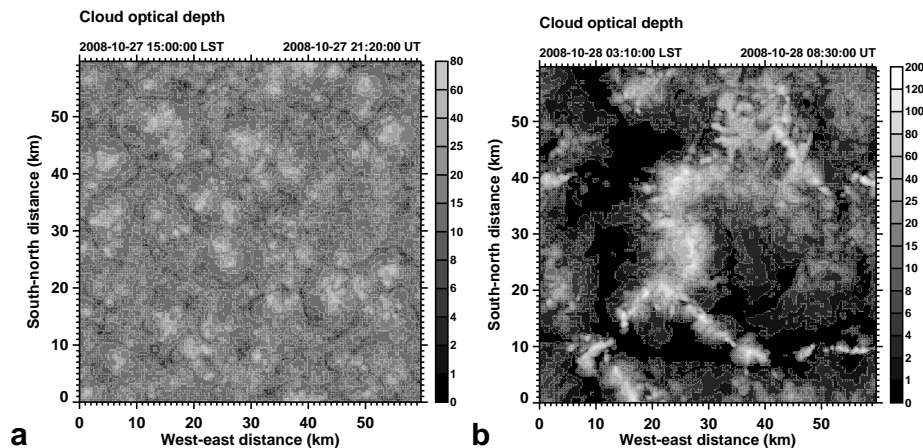


Fig. 2. Cloud optical depth in simulation S_0 . Initially, the cloud field exhibits high optical depths in cell centers and reduced optical depths along the cell peripheries, characteristic of closed-cell circulation (a). Approximately 12 h later, the cloud field has developed open-cell circulation with high optical depths along the cell peripheries, and reduced optical depths in the cell centers (b).

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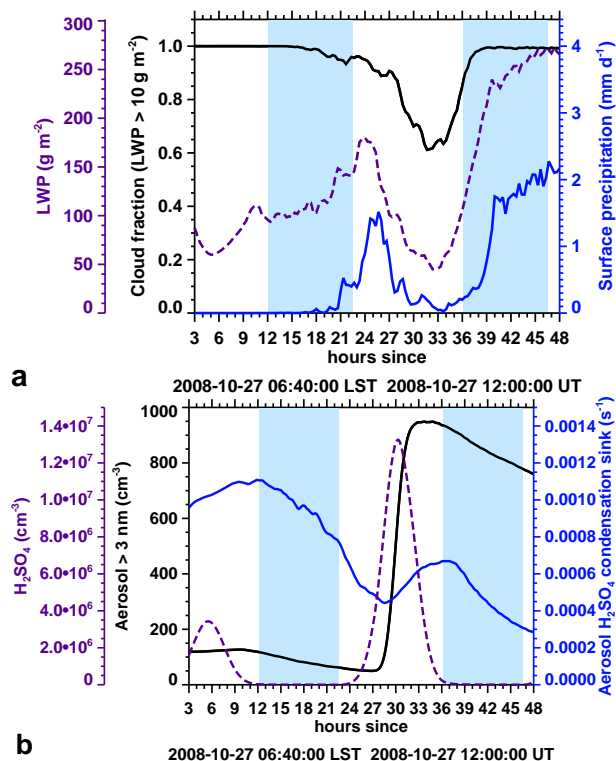


Fig. 3. Time series from simulation S_0 of (a) domain-averaged liquid water path, cloud fraction, and precipitation, and of (b) gas phase sulfuric acid concentration, concentration of aerosol particles larger than 3 nm in dry diameter, and aerosol sulfuric acid condensation sink, averaged over cloud-free locations in the boundary layer. Light blue shading indicates nighttime.

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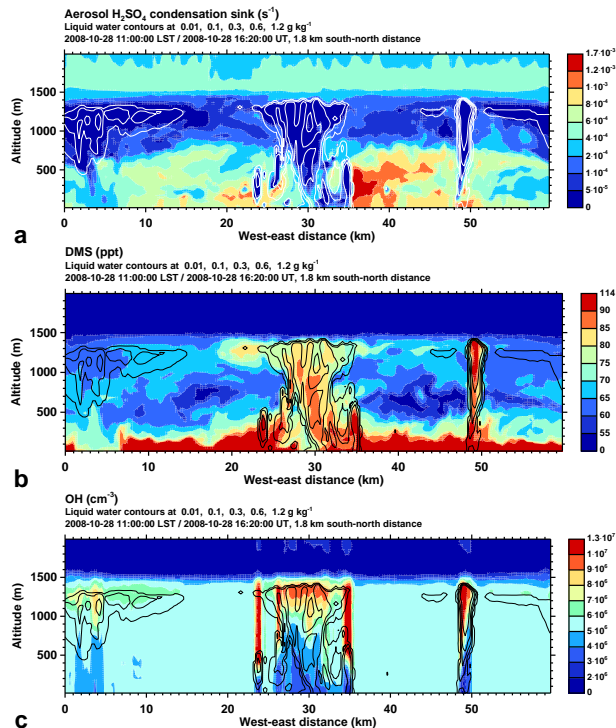


Fig. 4. Vertical slices through the domain of simulation \mathcal{S}_0 at 28 October 2008 16:20 UT. Contour lines denote liquid water (sum of cloud and rain water). **(a)** Cloud processes in open cell updrafts deplete aerosol particles and reduce the aerosol H_2SO_4 condensation sink, which is lowest inside clouds. The clean, cloud-processed air detrains in the upper boundary layer and forms an ultra-clean layer with low aerosol H_2SO_4 condensation sink values. **(b)** Updrafts lift DMS emitted from the ocean into the upper boundary layer. **(c)** Scattering of solar radiation around cloud tops results in elevated OH concentrations, which oxidize DMS to SO_2 .

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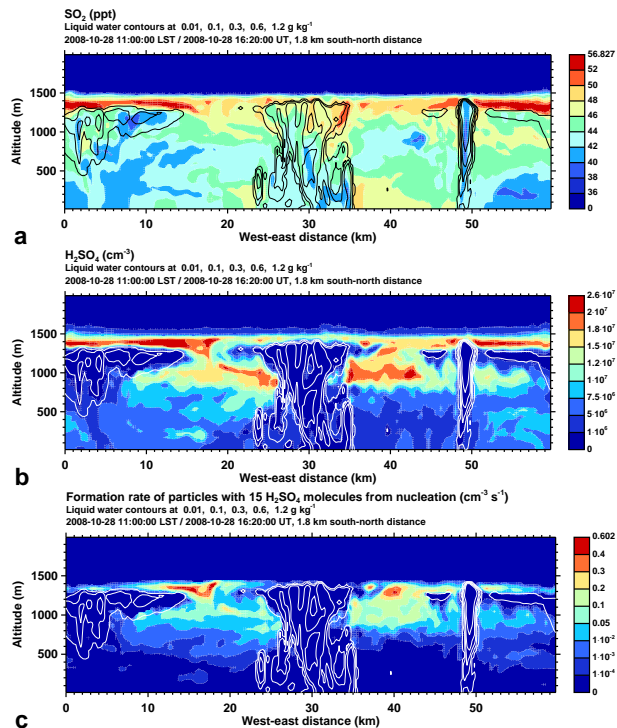


Fig. 5. Vertical slices through the domain of simulation S_0 at 28 October 2008 16:20 UT. Contour lines denote liquid water (sum of cloud and rain water). **(a)** SO_2 forms from oxidation of DMS by OH in the upper boundary layer. Near the ocean surface SO_2 occurs in patches with mildly elevated levels, while its mixing ratios are depressed inside the cloudy updrafts due to uptake by liquid water and aqueous chemistry. **(b)** H_2SO_4 forms from oxidation of SO_2 by OH and accumulates in the ultra-clean layer. **(c)** The elevated H_2SO_4 concentrations initiate aerosol nucleation in a thin layer below the inversion and above or between cloud tops.

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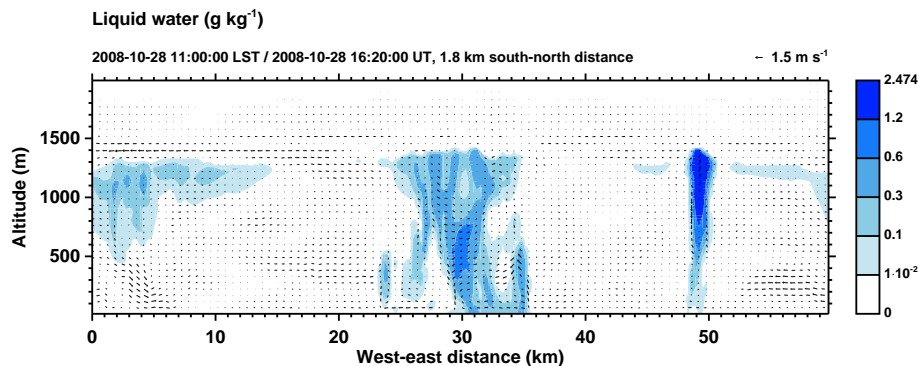


Fig. 6. Liquid water (sum of cloud and rain water), and residual wind velocity in simulation S_0 at 28 October 2008 16:20 UT. The maximum updraft velocity is 1.4 m s^{-1} , the maximum downdraft velocity -1.2 m s^{-1} . The top color contour value represents the maximum liquid water content.

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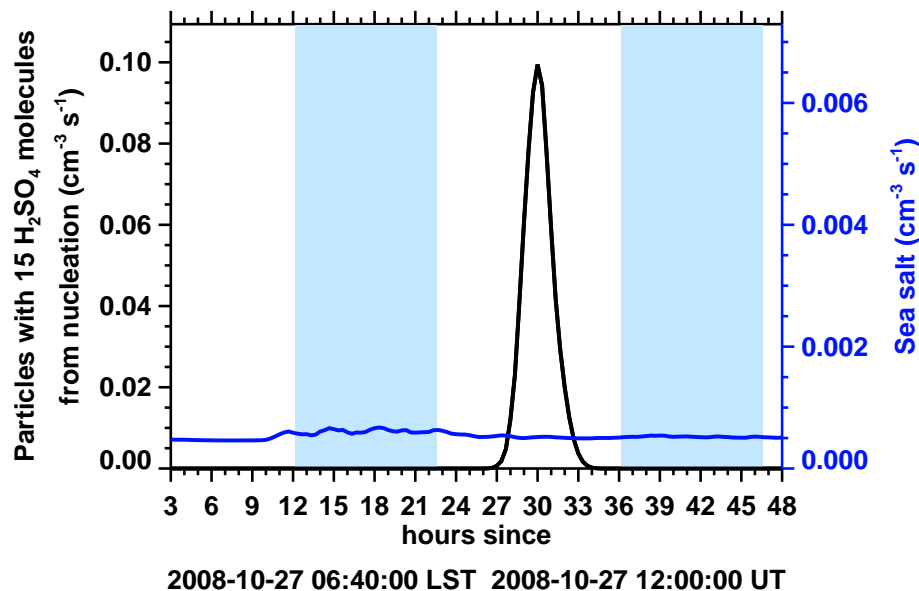


Fig. 7. Formation rate of particles containing 15 H₂SO₄ molecules from aerosol nucleation (black), and emissions of sea salt particles (blue) from simulation S_0 , averaged over the boundary layer. Light blue shading indicates nighttime. Nucleation takes place only during the second day of the simulation, after the transition from closed to open cells.

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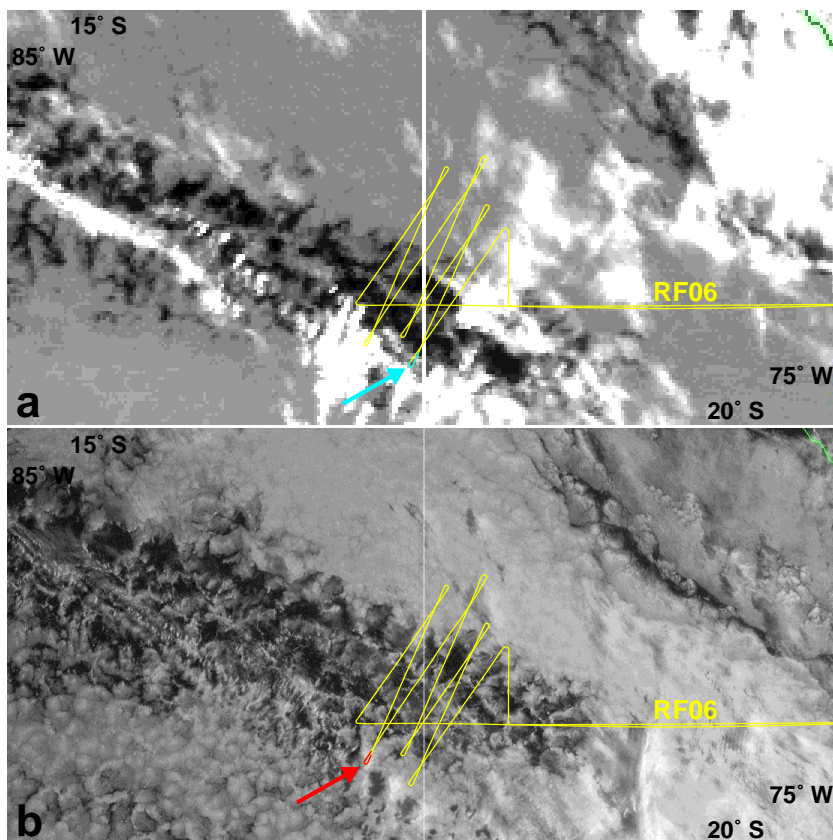


Fig. 8. GOES-10 imagery on 28 October 2008 in thermal infrared at 08:45 UT **(a)** with 4 km resolution, and in visible at 11:45 UT **(b)** with 1 km resolution, and the VOCALS-REx RF06 flight route (yellow). Locations of POC profiles taken at 09:05 UT and 11:36 UT are marked in cyan and red, respectively. The satellite images cover the Southeast Pacific from 75–85° W, and from 15–20° S.

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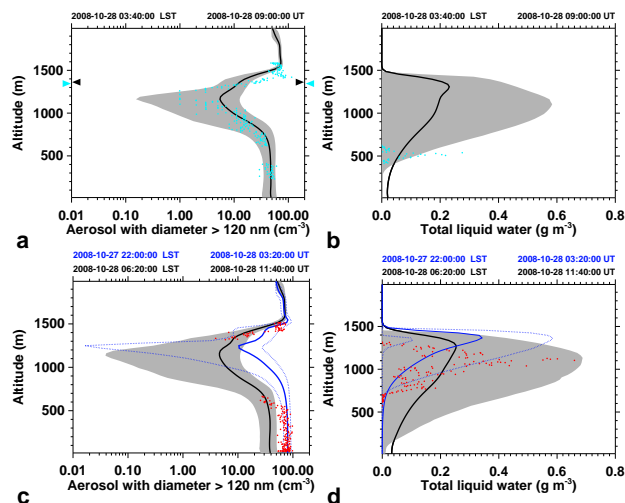


Fig. 9. Comparison of results from simulation S_0 (black and blue) with VOCALS-REx RF06 POC observations at 09:05 UT (cyan dots) and at 11:36 UT (red dots). Solid curves denote the model mean, gray shading and dotted blue curves model values between the 10th and 90th percentile. Cyan and black triangles mark the observed and simulated mean cloud top height, respectively. Panel (a) and (c) show average concentrations of aerosol particles > 120 nm dry diameter at cloud-free locations. The observed aerosol concentrations, measured by the PCASP instrument on board the NCAR C-130 aircraft, are plotted only at locations with a liquid water content < 0.03 g m^{-3} and a rain drop number < 0.001 cm^{-3} in order to exclude artifacts from shattering of cloud and rain drops. The model results represent aerosol concentrations at locations with a cloud water content < 0.01 g kg^{-1} . Panel (b) and (d) show liquid water (sum of cloud and rain water) mixing ratios averaged over cloudy and cloud-free locations; the observed values were measured by the 2D-C probe on board the NCAR C-130 aircraft.

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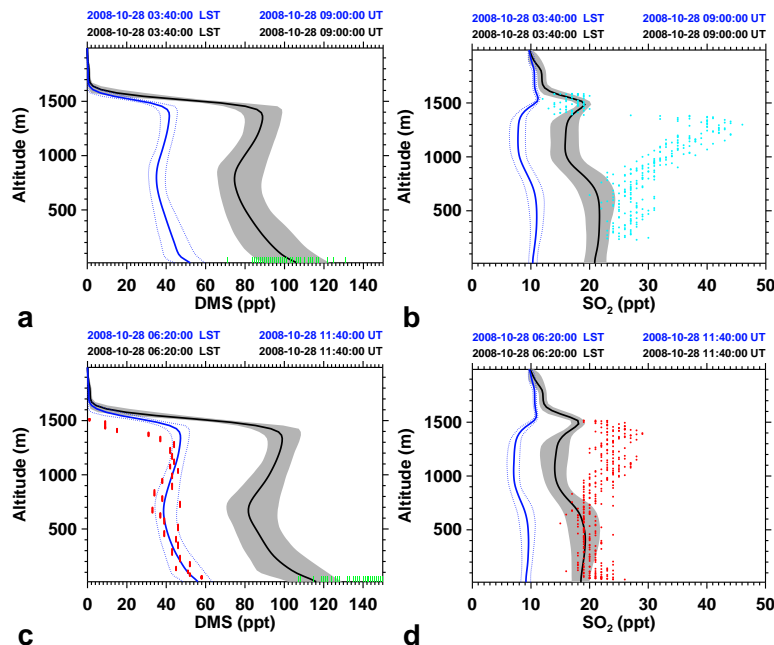


Fig. 10. Comparison of DMS and SO_2 from simulation S_0 (black) and S_1 (blue) at 09:00 UT (**a,b**) and 11:40 UT (**c,d**) with VOCALS-REx RF06 POC observations at 09:05 UT (cyan dots) and at 11:36 UT (red dots) on board the NCAR C-130 aircraft. Solid curves denote the model mean, gray shading and dotted blue curves model values between the 10th and 90th percentile. Green bars denote surface measurements during a 1 h period centered around the indicated times on board the NOAA research vessel Ronald H. Brown.

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