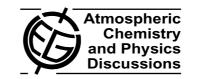
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New insights into nocturnal nucleation

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Abstract

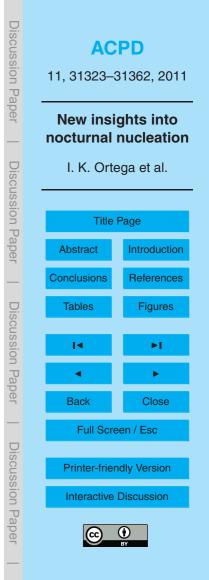
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Formation of new aerosol particles by nucleation and growth is a significant source of aerosols in the atmosphere. New particle formation events usually take place during daytime, but in some locations they have been observed also at night. In the present study we have combined chamber experiments, guantum chemical calcula-5 tions and aerosol dynamics models to study nocturnal new particle formation. All our approaches demonstrate, in a consistent manner, that the oxidation products of monoterpenes play an important role in nocturnal nucleation events. By varying the conditions in our chamber experiments, we were able to reproduce the very different types of nocturnal events observed earlier in the atmosphere. The exact strength, duration and shape of the events appears to be sensitive to the type and concentration of

reacting monoterpenes, as well as the extent to which the monoterpenes are exposed to ozone and potentially other atmospheric oxidants.

Introduction 1

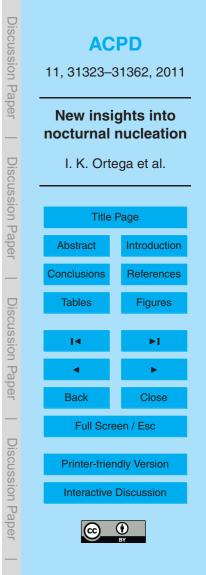
In 2007, the Intergovernmental Panel on Climate Change (IPCC) revised their predic-15 tion of the global average temperature increase during the next century from 1.4-5.8 to 1.1–6.4 °C. A major factor behind the large uncertainty range in this prediction is the general lack of understanding on the role of aerosol particles in the Earth's climate system (e.g., Myhre, 2009; Quaas et al., 2009). Since the lifetime of atmospheric aerosol particles is only a few days, the climatic and other effects by these particles depend 20 strongly on the magnitude and distribution of their sources. An important, yet poorlyquantified, source of atmospheric aerosol particles is their formation by nucleation from gaseous precursor vapors. This phenomenon has been observed to take place almost everywhere in the Earth's atmosphere and it has been the subject of intense experimental and modeling studies during the last few years (Kulmala et al., 2004; Spracklen 25 et al., 2006; Makkonen et al., 2009; Yu et al., 2010).



The exact molecular mechanism(s) and participating vapors causing atmospheric nucleation events have not yet been resolved. Nucleation tends to occur preferably during daytime, which refers to a photochemical origin of this phenomenon (e.g., Kulmala and Kerminen, 2008). In many locations, however, also nighttime nucleation have

- ⁵ been observed (e.g., Wiedensohler et al., 1997; Vehkamäki et al., 2004; Junninen et al., 2008; Lee et al., 2008; Suni et al., 2008; Svenningsson et al., 2008). Compared with daytime nucleation events, nocturnal events have been studied to a very limited extent, even though this phenomenon might provide us new insight into atmospheric nucleation mechanisms.
- Among the observations reported so far, the most intense and frequent nocturnal nucleation events were observed at Tumbarumba in Australia (Suni et al., 2008). During the Tumbarumba measurement campaign that took place between 2005 and 2007, 32 % of the nucleation events were observed during the night time (Suni et al., 2008). It was hypothesized that such intense nocturnal events were due to the unusually high
- ¹⁵ concentrations of radon and/or the presence of Eucalypt trees. In order to evaluate the possible role of radon and Eucalypt tree emissions in nucleation events, we carried out a series of chamber experiments (Ortega et al., 2009). These experiments ruled out the participation of radon and eucalyptol (one of the most abundant volatile organic compound (VOC) in Tumbarumba) in nocturnal nucleation events, leaving the question of what is behind such events open.

The objective of the present study was to estimate the role of VOCs other than eucalyptol in nocturnal nucleation events. The selected VOCs were limonene, α -pinene and Δ^3 -carene which are the most abundant VOCs in Tumbarumba. We performed a series of chamber experiments with an aim to reproduce the nocturnal events observed in Tumbarumba. In addition to this, we used quantum chemical calculations to study the molecular mechanism behind the nocturnal nucleation events. Finally, we used a aerosol dynamics model to find out whether the proposed mechanisms might explain the observed nucleation events.



2 Materials and methods

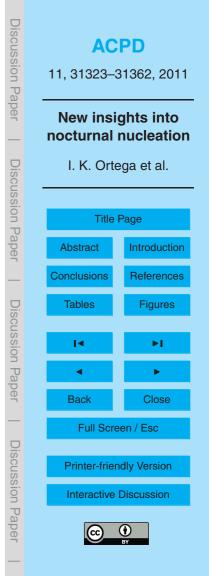
We carried out an intensive experimental campaign between 29 October and 17 November 2008 at the Finnish Radiation Protection Centre in Helsinki. During this campaign, we tested three different monoterpenes: α -pinene (>99%, Fluka), Δ^3 -carene (95%, Sigma-Aldrich) and limonene (98% Sigma-Aldrich). All the experiments were carried out in dark conditions and in the presence of ozone.

2.1 Experimental setup

We conducted the experiments in a 14 m³ isolated room that was ventilated with an air flow of 20 l min⁻¹. The temperature and relative humidity inside the room were monitored using a Delta OHM DO 9847 logger with capacitive Mk-33 and K-type thermocouple sensors. O₃ and SO₂ concentrations were measured with a Dasibi Model 1008-RS UV-absorption ozone photometer and a pulsed fluorescence SO₂ analyzer model 43C (Thermo Environmental Instruments, Inc.); NO_x, NO and NO₂ concentrations where measured with a Thermo Scientific, Model 42i-TL analyzer.

We used a Neutral cluster and Air Ion Spectrometer (NAIS) to measure the mobility distribution of both negative and positive air ions in the diameter range of 0.8–40 nm (Kulmala et al., 2007; Manninen et al., 2009). The instrument comprises two parallel units (Differential Mobility Analyzers, DMAs) with opposite polarities. In addition to the ion size distribution, the NAIS measures the mobility size distributions of neutral

- and charged aerosol particles and sub-3 nm clusters. The time resolution for a single measurement cycle, consisting of ion, total particle and background signal measurements, was 5 min in our experiments. In parallel with the NAIS, we used a Differential Mobility Particle Sizer (DMPS) to measure the particle number size distribution in the 10–1000 nm diameter range. The DMPS uses a Hauke-type DMA (28.0 cm), CPC
- (TSI 3010) as a particle detector, closed loop sheath flow arrangements (Jokinen and Mäkelä, 1996) and the same radioactive Krypton-85 beta neutralizer. The complete size distribution is obtained in a 10-min time resolution by changing the classifying



voltage of the DMA. An example of this DMPS system has been described in more detail by Aalto et al. (2001). The total aerosol number concentration was calculated from the measured particle number size distribution.

Concentrations of volatile organic compounds (α -pinene, Δ^3 -carene, and limonene) were determined using Tenax-TA/Carbopack-B adsorbent tubes. We pumped air from the room through the tubes for 10 min with a constant flow rate of 100 ml min⁻¹. The adsorbent tubes were analysed using a thermodesorption instrument (Perkin-Elmer TurboMatrix 650 ATD) connected to a gas chromatograph-mass spectrometer (Perkin-Elmer Clarus 600) with DB-5ms column (50 m, i.d. 0.25 mm).

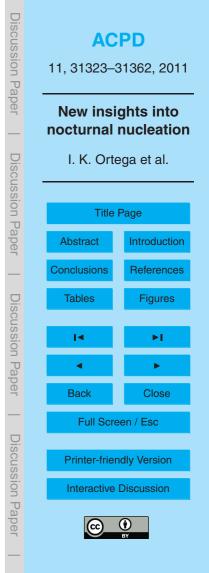
The chemical composition of the aerosol particles larger than ~ 50 nm diameter was measured with an Aerodyne aerosol mass spectrometer (C-TOF-AMS, Canagaratna et al., 2007). The AMS uses thermal vaporization and electron impact ionization for measuring the non-refractory constituents of the aerosol. The purpose of the AMS in this study was mainly to confirm the presence and amount of organic compounds in aerosol particles during the experiments with different precursors.

Monoterpenes were introduced into the chamber by two different methods. In the so-called direct method, we put an open container with liquid monoterpene into the chamber, and used a fan inside the chamber to make the gas distribution as homogeneous as possible. In this way, we were able to get a high monoterpene concentration

inside the chamber. The second method was based on putting liquid monoterpene in a flask outside the chamber and then flowing air through the flask. The flask was connected with the chamber by using a Teflon tube trough the wall. This method allowed us to control the flux of monoterpenes into the chamber via the control of the air flow rate trough the flask.

25 2.2 Growth rates and formation rates

We calculated the growth rates of nucleated particles with the method by Hirsikko et al. (2005). First, we determined the timing of the maximum particle number concentration in each size fractions measured by the NAIS. Then, a linear function was fitted into these points in three size ranges: < 3 nm, 3-7 nm and 7-20 nm.



The formation rate of negative and positive 2-nm particles, J_2^{\pm} , was calculated via the following formula (e.g., Kulmala et al., 2007):

$$J_{2}^{\pm} = \frac{dN_{2-3}^{\pm}}{dt} + \text{CoagS} \cdot N_{2-3}^{\pm} + \frac{\text{GR}_{<3}}{1 \text{ mm}} \cdot N_{2-3}^{\pm} + \alpha \cdot N_{2-3}^{\pm} \cdot N_{<3}^{\pm}, \qquad (1)$$

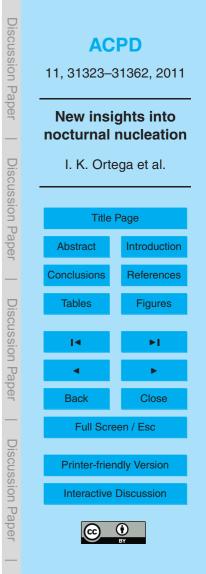
- Here, N_{2-3}^{\pm} is the number concentration of 2–3 nm ions measured by the NAIS. The first term on the right hand side of Eq. (1) represents the observed rate of change of the ion number concentration, the second term is the loss of ions into larger particles by coagulation, the third term is condensational growth out of the 2–3 nm size range, and the last term represent ion-ion recombination that produces neutral particles. We should also take into account the charging of neutral particles in the 2–3 nm size range, but since the total particle concentration measured by the NAIS was not reliable below
- ¹⁰ but since the total particle concentration measured by the NAIS was not reliable below about 5 nm, this term was neglected here. As a result, the calculated ion formation rates should be considered as upper limit estimates.

2.3 Theoretical calculations

In order to get insight in to molecular mechanisms behind the observed nucleation events, we made quantum mechanics calculations. The calculations were performed using a systematic multi-step method developed recently by our group. Since the method has been described elsewhere (Ortega et al., 2008), only the relevant details are given here.

The applied multi-step method allows us to investigate clusters containing a big organic molecule and up to four sulphuric acid molecules with a reasonable amount of computing time. In this study, the initial geometries of the cluster were chosen using chemical intuition. Then, these structures were pre-optimized at a low level of theory using the SPARTAN program (Wavefunction Inc., 2006). The more stable isomers (usually between 3 and 4 molecules) were optimized using the SIESTA program (Soler

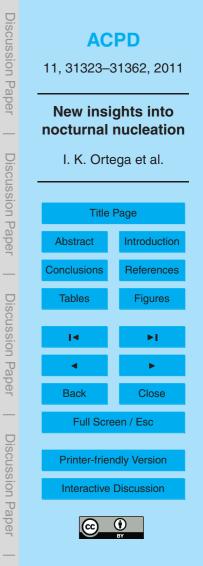
et al., 2002). The gradient-corrected BLYP functional (Miehlich et al., 1989) and the double- ζ polarized (DZP) functions were used. Vibrational harmonic frequencies were



also calculated using SIESTA, and they were used to estimate the entropy and thermal contributions to the enthalpy and Gibbs free energy of the clusters.

In order to obtain a reliable energy, the optimized structures obtained from the SIESTA program were used to perform high level single point energy calculations us-

- ⁵ ing the TURBOMOLE program (Ahlrichs et al., 1989), with the Resolution of Identity-Moller-plesset second order method (Feyereisen et al., 1993) and the aug-cc-pV(T+d)Z basis set (Dunning et al., 2001). The latter is identical to the aug-cc-pVTZ basis set for hydrogen, oxygen and nitrogen atoms, and it contains one extra set of *d*-orbitals for the sulfur atoms.
- ¹⁰ The total aerosol particle number concentrations and sulphuric acid concentration in our experiments were estimating using the MALTE model, a one- or zero-dimensional model which includes several modules for the simulation of chemical and aerosol dynamical processes. A detailed description of the model MALTE can be found in Boy et al. (2006, 2008). All the chemical reaction equations for the model runs were se-
- ¹⁵ lected from the Master Chemical Mechanism (http://mcm.leeds.ac.uk/MCM/). The Kinetic Pre-Processor (KPP; Sandu and Sanders, 2006) was used to translate the reaction equations into a Fortran 90 code that performs the time integration of the kinetic system. Of the several numerical solvers for systems of differential equations available in KPP, we used the LSODE solver (Radhakrishnan and Hindmarsh, 1993;
- Sandu et al., 1997). The KPP-produced FORTRAN code was then called from the main MALTE code. Some minimal changes to the KPP-produced code were preformed. For the OH-yield from the ozonolysis of the monoterpenes, we used the following values: 0.77 for alpha-pinene, 0.7 for limonene and 0.86 for carene. A comparison with the full MCM chemical mechanism for alpha-pinene gave a nearly perfect agreement for the
- simulated hydroxyl radical concentrations. In all other model runs, we only used the first reaction for the monoterpenes because the full reaction schemes for limonene and carene are not available on the MCM-website.



Aerosol dynamics inside MALTE was simulated with the size-segregated aerosol model UHMA (Korhonen et al., 2004). The formation of new aerosol particle in the UHMA code was calculated by a mechanism called activation type nucleation, which was first proposed by Kulmala et al. (2006). In this approach, nucleation is assumed to occur due to the activation of small molecular clusters containing one sulfuric acid molecule, which makes the nucleation rate directly proportional to the sulfuric acid concentration:

 $J = A[H_2SO_4].$

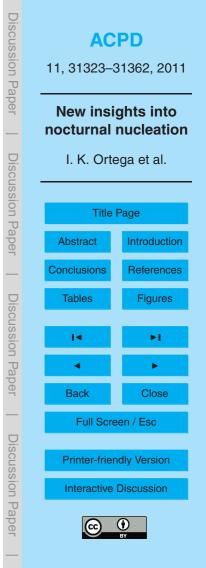
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In this study, the value of *A* (1/s) was varied for each organic compound over a range 10 10^{-5} to 10^{-6} . There is currently a considerable lack of knowledge concerning the atmospheric oxidation of the reaction products from complex organic molecules such as monoterpenes. The identities of the end-products, their reaction yields and their physical and chemical properties are not well-characterised. Therefore, in MALTE we varied the amount of condensable vapours from the monoterpene reaction products by a factor of 1–10 by using only the concentrations at each time step and set it to zero afterwards. Measured concentrations of the organic molecules, SO₂, NO, NO₂, O₃, temperature and humidity were read in continuously.

3 Results and discussion

3.1 Overview of the chamber experiments

The experiments were performed under dark conditions. In general, we varied three variables to cover different conditions: the initial ozone concentration in the chamber, the amount of a monoterpene introduced into the chamber, and the time the monoterpene was in the chamber. Two different types of experiments were made with regard of the ozone level. In the first of these, we introduced the monoterpene into the chamber when the ozone concentration was low and then increased it. The purpose of these



(2)

experiments was to find out the ozone level that triggers a nucleation event (set 1 experiments). In the second type of experiments, we introduced the monoterpene into the chamber only after the ozone concentration was already high (set 2 experiments). The ozone concentration was varied between these experiments, but it was always greater than what was needed to trigger nucleation based on set 1 experiments.

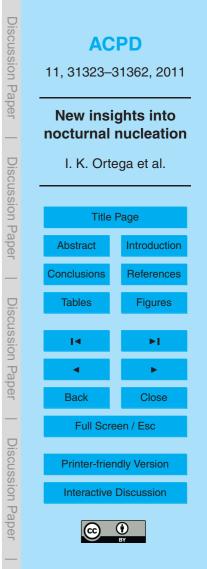
The monoterpenes were introduced into the chamber using the procedures described in Sect. 2.1. With the direct method we obtained a high monoterpene concentration in the chamber, whereas with the injection method the corresponding concentration was substantially lower compared the direct method. Independently of the method, the permanence of the monoterpene source in the chamber was also controlled. In some experiments the source was kept in the chamber for longer time periods, while in other experiments the source was removed when the event stopped, i.e.

when the formation of small particles ended.

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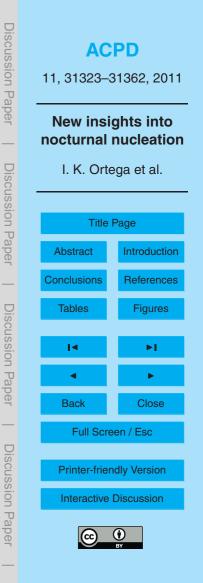
The most interesting experiments turned to be the ones starting with low ozone concentrations (set 1), so we will discuss those in more detail here. Table 1 summarizes the initial conditions for the set 1 experiments, and Fig. 1 shows the corresponding time evolution of total particle number, ozone and monoterpene concentrations. In all the cases, monoterpenes were introduced into the chamber using the direct method and when the ozone concentration was 6–8 ppb. Table 2 shows the conditions at the begin-

- ²⁰ ning of the nucleation events. As it can be seen, the events started after ozone concentration reached a certain level, which was different for each monoterpene (10 ppb for limonene, 15 ppb for alpha-pinene and 19 ppb for 3-carene). This ozone trigger level was directly related with oxidation rates (Calogirou et al., 1998): monoterpenes having a higher oxidation rate required a smaller ozone concentration for initiating an event and
- vice versa. This kind of pattern points to the oxidation products of the monoterpenes being responsible for the observed events. The predominance of negative ions in the observed events is an indication of the important role of acids (that will be charged negatively) in this process.



Figures 2–4 show the particle and ion number size distribution measured with the DMPS and NAIS in the set 1 experiments. The duration and shape of the events produced by different monoterpenes were different, as was also the maximum total particle number concentration produced via nucleation (Table 3). The growth rates (GR) and

- formation rate of 2-nm particles depends on the conditions of the experiment. If we compare the three chosen experiments, which have similar conditions, we can see how limonene caused the highest formation rate of 2-nm particles and total particle number concentration, and carene the lowest ones. The limonene experiment had a high particle growth rate (GR) in all the size classes from < 3 to 25 nm, whereas in</p>
- the alpha-pinene experiment the GR increased strongly with increasing particle diameter. The carene experiment had the lowest overall GR of these three experiments. In the case of alpha-pinene (Fig. 2), we kept the organic source in the chamber, which produced a continuous event after the strong initial event, and this latter event lasted as long as the alpha-pinene source was in the chamber. The time difference between
- the introduction of a monoterpene into the chamber and the beginning of the nucleation event (Table 3) was also different between the different monoterpenes. Like with the ozone trigger level, this time difference seemed to correlate with the monoterpene oxidation rate, with smaller time differences observed for monoterpenes having higher oxidation rates.
- ²⁰ We performed also several experiments using a high initial ozone concentration (set 2 experiments). Figure 5 presents particle number size distributions measured by the NAIS for different high level ozone events, in which the monoterpene was introduced into the chamber using the direct method. In general, the total number of particles obtained in this way was much larger than in set 1 experiments. Figure 6 presents
- ²⁵ a continuous event produced by limonene introduced by the injection method. In this experiment the limonene flow was kept on and the event continued until we switched off the flow. In this case the total particle number was lower although the initial ozone concentration was high, this is mainly because of the slow injection of limonene.



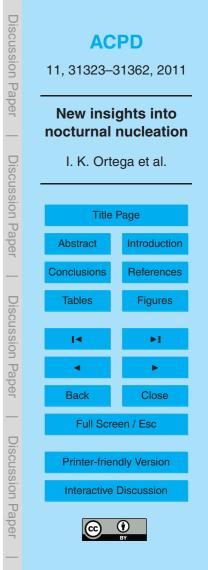
3.2 Event types

Five main types of events were observable during 2005–2007 in Tumbarumba, (Fig. 7): these include narrow, pointed *spikes* starting in the cluster mode and typically not reaching the Aitken mode (Fig. 7a), rounder, low *bumps* not growing much from the cluster mode (Fig. 7b), nocturnal *bananas*, more upright than daytime bananas (Fig. 7c), series of narrow, upright *sticks* extending from the cluster mode all the way up to the Aitken mode – the most common nocturnal event type (Fig. 7d), and massive, often hours long continuous *curtains* extending from the cluster mode to the Aitken mode and covering the whole size range in between (Fig. 7e). Some of the different nocturnal event types observed in the field could, indeed, seen in the chamber experiments. The shape of these events were dependent on (i) the initial concentrations of ozone and monoterpenes in the experiments, and (ii) whether the monoterpene source was continuous or not. We divided the events broadly into self-ending events and continuous events.

15 3.2.1 Self-ending events – bananas and sticks

Events can obviously end if they run out of source material, as took place on 29 October when a banana event occurred at an ozone level of 10 ppb and an initial limonene concentration of $\sim 8 \times 10^{11}$ cm⁻³ but with no further source (Fig. 5, top panel). Another clear example is the carene experiment on 12 November (Fig. 3), in which the carene source was removed from the chamber after the event stabilized. However, an event can also end by itself in the presence of a source, provides that the first burst of particles has been large enough so that a resulting large condensation sink effectively inhibits further nucleation. This was usual in the experiments where a monoterpene was introduced

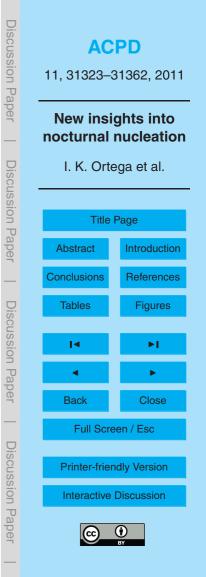
into the chamber using the direct method and the initial ozone concentration was high.
 An example of such behavior was the limonene experiment on 31 October, in which the particle number concentrations reached 12 000 cm⁻³ in just 10 min (Fig. 5, middle panel). In the limonene experiment on 14 November (Fig. 4), the nucleation event also



ended in just 60 min after producing a maximum concentration of 8400 cm⁻³. Carene produced a roughly banana-shaped event on 6 November at the ozone level of 14–16 ppb. The event lasted about one hour and ended when the total particle number concentration reached about 6600 cm⁻³ (Fig. 5, bottom panel) although the source was still inside the chamber. Alpha-pinene produced a very similar event lasting one hour on 6 November at the initial ozone concentration of 20 ppb (Fig. 5, bottom panel). The maximum total particle concentration reached about 7300 cm⁻³, after which the event ended.

The main difference between the banana and stick events was the extremely fast particle growth in the latter ones. Stick events were observed when we introduced a high concentration of limonene into the chamber with a high initial ozone concentration, producing a fast burst of particles which acted as a condensation sink and ended the event. The limonene experiment on 31 October is a clear example of the stick events (Fig5, middle panel). Carene and alpha-pinene produced only banana events, even when using a high initial ozone concentration and direct method for the monoterpene

- ¹⁵ When using a high initial ozone concentration and direct method for the monoterpene injection. The reason for this kind of behavior is the higher oxidation rate of limonene compared with alpha-pinene and carene, generating larger amounts of low-volatile organic compounds and thereby higher total particle number concentrations over shorter time scales.
- ²⁰ An interesting feature in some of the experiments was that nucleation was observed in neutral particles size distributions only. In these experiments charged particles appeared eventually into the Aitken-mode, like in the carene experiment in Fig. 3. Possible reasons for the absence of charged nucleation-mode particles was that the nucleation took place mostly via a neutral pathway, and the nucleated particles grew so rapidly
- that they did not get detectable amount of charge until reaching larger sizes (see, e.g. Kerminen et al., 2007; Leppä et al., 2009). This is very important from the point of view of field event classification: if based on sole ion size distribution measurements, events classified as Aitken events could, in fact, be typical bananas for neutral particles.



3.2.2 Continuous events - curtains

We observed three overnight curtain events when a continuous source of monoterpene (either direct or injection method used) was kept in the chamber and the initial ozone concentration was low. In this way, the total number of particles produced was low

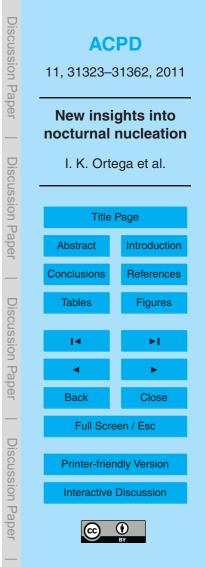
enough to avoid the end of the event due to the increase of the condensation sink. One such event was produced with alpha-pinene on 10–11 November (Fig. 2), and two with slowly-injected limonene, 4–5 November (not shown) and 7–10 November (Fig. 6). In case of the alpha-pinene experiment and the second limonene experiment, the total particle number concentration became stable at about 2000 cm⁻³. In the first limonene
 experiment the total particle number concentration stabilized at about 1200 cm⁻³.

Curtain events, the most surprising event type in the field, were always produced if two conditions were met: (1) slow enough nucleation rate that did not lead to too high condensational sink to stop formation of new particles, and (2) a continuous source of monoterpene(s). The first of these conditions could be attained in several ways. Even

high concentrations of weakly-reactive monoterpenes, such as alpha-pinene or carene, kept the total particle number concentration low when ozone was slowly increased from low levels to trigger nucleation (Figs. 2 and 3). On the other hand, for a highly reactive monoterpene, such as limonene, the only way to keep the event slow was to have a slow injection of 2 × 10¹² cm⁻³ limonene concentration and low ozone concentration
of around 10 ppb (Fig. 4). The total particle concentration during these curtain events stabilized at about 1000–2000 cm⁻³ which is equal to the average background particle concentration in forests such as Tumbarumba and the boreal zone (Suni et al., 2008; Dal Maso et al., 2007).

3.3 Organic aerosol chemical composition

We used an AMS to get an idea of the composition of the particles formed during the experiments. Once the particles had grown to ~ 50 nm, electron impact ionization mass spectra could be acquired. Due to the ionization method, the AMS causes considerable



fragmentation of the original molecules in the particles. However, the fragmentation patterns still provide information on the aerosol composition and different types can often be identified. The organic mass spectrum during the a-pinene events resembled those previously measured by e.g. Bahreini et al. (2005). The limonene SOA mass spectrum was similar to a-pinene, with the dominant ion being m/z 43 (C₂H₃O⁺). In the careen experiments, the dominant ion was found to be m/z 29 (CHO⁺).

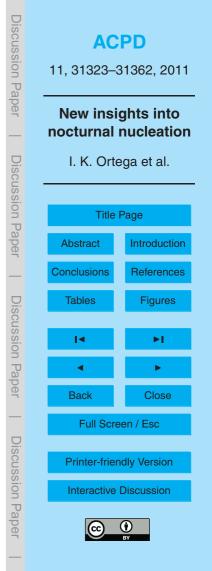
We did not identify sulphate-related peaks in any of the experiments, which means that the mass of sulphate in the particles was well below 1% of the total mass of the particles (typically a few μ g m⁻³ or less). This demonstrates that the particles contained mainly arguing that the mass of a 1 nm particles in 10⁶

- ¹⁰ mainly organic material. However, considering that the mass of a 1 nm particle is 10⁶ times smaller than the mass of a 100 nm particle, the AMS data mainly measures compounds accounting for the growth of nucleated particle, and these compounds are not necessarily the same as those participating in nucleation. The main conclusion from the AMS measurements is that organic compounds were responsible for the particle growth, and the specific organics varied depending on the precursors, as expected.
- Due to the minimum detectable size of about 50 nm, the AMS could provide no information on which compounds participated in nucleation.

3.4 Quantum chemical calculations

Experimental results showed that monoterpene oxidation products were most likely involved in nucleation and growth of the observed particles. In order to get some insight into the molecular mechanism behind the observed formation events, we decided to make quantum mechanical calculations. The large number of compounds produced by ozone oxidation of these monoterpenes makes it impossible to calculate all possible cluster combinations, so we had to choose the best candidates. We focused on some

of the major products of ozone oxidation of the selected monoterpenes (Glasius et al., 2000). Although this reduced the number of compounds, it was still out of our computational possibilities, so as a second approximation we focused on acids only. The high formation rate of negative ions in the observed nucleation events is suggestive of



the involvement of acids in the nucleation process. In addition, the driving force for the formation of clusters is the formation of hydrogen bonds, and carboxylic acid functional groups can form more hydrogen bonds than other functional groups (e.g. carbonyl or alcohol groups). As a result, the choice of organic acids for this study seems reasonable. The compounds chosen were limonic acid, 7-hydroxyl-limononic (OH-limononic)

5 acid, pinic acid, pinonic acid, 7-hydroxy-pinonic (OH-pinonic) acid, caric acid, caronic acid and 7-hydroxyl-caronic (OH-caronic) acid.

The molecular size of the organic acids makes the guantum mechanics calculations computational demanding, so we first calculated the formation energies of dimmers

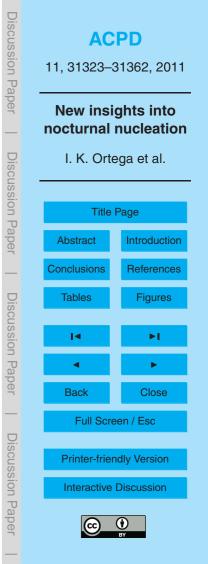
- containing one molecule of organic acid and one molecule of sulfuric acid (Table 4). 10 Based on these results, we then selected from each monoterpene the acid which forms the most stable cluster. Finally, we extended the calculations for those compounds to clusters containing up to four sulfuric acid molecules. In order to estimate how the presence of the organic molecule in the cluster affects the cluster growth, we calculated the addition energy of one sulfuric acid molecule to the cluster (Table 5):
- 15

Organic acid $(H_2SO_4)_n + H_2SO_4 \rightarrow Organic acid (H_2SO_4)_{n+1}$, n=1-3

By comparing this addition energy with the one for pure sulfuric acid cluster, we could get an estimate of the role of organic acids in the clusters growth.

In Fig. 8 we represent the formation free energy of clusters containing different organic acids versus the number of sulfuric acid molecules in the cluster after the sulfuric 20 acid addition. We can see how the presence of limonene practically does not affect the addition energy of sulfuric acid: the two lines almost overlap in all cluster sizes. In other words, the stability of clusters containing limononic acid will be practically the same as those consisting only pure sulfuric acid. For these clusters, the most unfavorable step

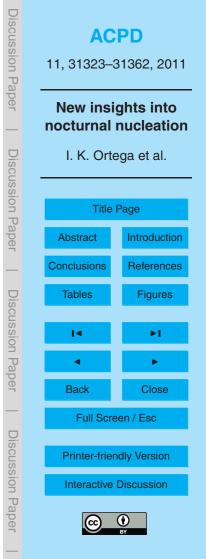
is the addition of the third sulfuric acid molecule $(-3.17 \text{ kcal mol}^{-1} \text{ for pure sulfuric acid})$ 25 and -2.97 for limononic acid clusters). In case of OH-pinonic acid, we can see how the addition of sulfuric acid is really favorable for the small clusters, with a local minimum in clusters containing two sulfuric acids. On the other hand, the addition of a third sulfuric acid molecule to this local minimum is quite unfavorable $(-1.40 \text{ kcal mol}^{-1})$. For



OH-caronic acid, we have a similar behavior, but this time the most stable cluster is the one formed by one sulfuric acid molecule and one organic acid, so the addition of a second sulfuric acid molecule is quite unfavorable $(-2.95 \text{ kcal mol}^{-1})$.

- These findings motivated a third stage in our calculations, the addition of a second
 molecule of organic acid to the clusters. We studied the addition of this second organic acid molecule to the most stable cluster for each monoterpene. These were two sulfuric acid and limononic acid clusters, two sulfuric acid and OH-pinonic acid clusters, and sulfuric acid and OH-caronic acids clusters. In order to evaluate the further growth of OH-caronic acid clusters, we also calculated the addition energy of a second sulfuric acid molecule to clusters containing 2 OH-caronic acids. The results are summarized
- in Table 6. The addition of a second limononic acid molecule to limononic acid and two sulfuric acid clusters is more favorable than the addition of a third sulfuric acid molecule by 8 kcal mol⁻¹. These clusters will prefer to grow by adding limononic acid rather than by adding sulfuric acid. For OH-pinonic acid clusters the situation is similar:
- the addition of a second organic acid molecule is more favorable by 17 kcal mol⁻¹, so again these cluster will prefer grow by the addition of an organic acid molecule. The situation with OH-caronic acid is also pretty similar: the addition of a second organic acid molecule is 14 kcal mol⁻¹ more favorable, but in this case, the resulting cluster has just one sulfuric acid molecule. We then calculated the addition energy of a second
- ²⁰ sulfuric acid molecule to this cluster. By this way, we reached the same composition as for the other clusters (two organic acids and two sulfuric acids). As can be seen from Table 6, the presence of a second organic acid molecule in the cluster does not make the addition energy of a second sulfuric acid molecule more favorable (around 1 kcal mol⁻¹ difference), so even with a second organic acid molecule present in the cluster, the further growth is still less favorable for OH-caronic acid as compared with
- the other organic acids studied.

The quantum mechanics calculations are, generally speaking, in a good agreement with observations. It is clear that the presence of OH-caronic acid in the cluster is the one that least favors the growth of clusters. However, according to our results, the



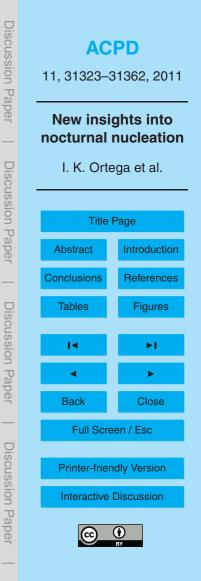
addition of a second molecule of OH-pinonic acid to the clusters is around 5 kcal mol⁻¹ more favorable than the addition of a second limononic acid molecule. The experiments showed that limonene produced particles much more efficiently than alphapinene. There are different explanations for these small discrepancies. For example,

- the number of oxidation products for limonene is quite high, and we needed to make a selection of those products, so maybe the oxidation product responsible for the nucleation events observed in the experiments is none of the ones chosen for the present calculations. This will leave the question of which specific molecule is behind of this events open, and will require a deeper study focusing only on limonene. On the other
- hand, the high oxidation rate of limonene will lead to a rapid formation of large amounts of low volatility vapors that can condense on the clusters. The strong events observed with limonene may not be produced only by the participation of a certainty compound which enhances the growth of clusters to the critical size, but also by the fast condensation of these low volatility vapors onto formed clusters.

15 3.5 MALTE model

MALTE simulations were made by varying the A-coefficient and the factor for the amount of organic reactions products available to grow the particles from cluster size up to the Aitken mode. The numbers with the best agreement between the measured and modeled total particle number concentrations (see Fig. 9) with diameters above

- 20 20 nm are presented in Table 7. Figure 9 also shows the calculated sulphuric acid concentrations for the three runs. Based on a recently published manuscripts (Metzger et al., 2010; Paasonen et al., 2010; Lauros et al., 2010) we assumed that both sulphuric acid and one organic compound are responsible for the formation of the small clusters. With this assumption, we would expect that the A-coefficients for the three dif-
- ferent monoterpenes are related with the enhancement of nucleation caused by their reaction products. Our results in varying both parameters simultaneously show that the contribution of limonene in the cluster formation process is 20% and 40% higher compared to alpha-pinene and carene, respectively. This is in good agreement with the



measurements showing an increase in the J_2 -values (Table 4) by 26 % and 39 % when comparing limonene to alpha-pinene and carene, respectively. However, we have to point out that a difference of 20 and 40 % for the predicted A-coefficient lies inside the uncertainties of the model setup and simulations. With these considerations we can make the statement that the model results support our theoretical conclusions but, on

the other hand, these results could not be taken as a proof for our hypothesis.

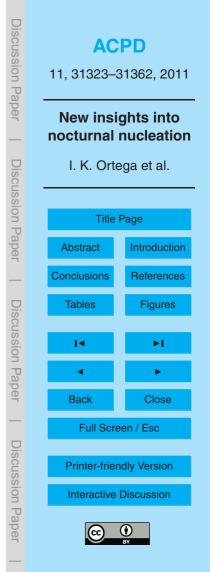
4 Conclusions

Our dark chamber experiments showed that in the presence of ozone, several monoterpenes are able to produce new-particle formation events in a similar way as has been observed in the Eucalypt forest in South-East Australia. However, the role of different monoterpenes seemed to vary. In our experiments, carene appeared to be preferably involved in nucleation, alpha-pinene in subsequent particle growth, and limonene in both. This indicates that each monoterpene should be included in the models individually, and not as a whole. The ozone concentrations needed for initiating a nucleation for event under dark conditions were comparable to those in the ambient atmosphere. This auggests that the comparable of the related to the pight time puels.

This suggests that the compounds studied could be related to the night-time nucleation events observed in the field.

We were able to reproduce the different shapes of nucleation events observed at Tumbarumba in Australia. The shape of the observed events depended not only on the used monoterpene, but also on the initial ozone and monoterpene concentration as well as the duration of the monoterpene emission. We were able to reproduce stick events only using a high concentration of limonene and ozone in the chamber, but we could not reproduce them by using carene or alpha-pinene, even when using high concentrations of them and ozone. We were able to reproduce bananas with all monoterpenes and curtains events with alpha-pinene and limonene, but only when the

²⁵ monoterpenes and curtains events with alpha-pinene and informere, but only when the monoterpene source was in the chamber, the injection of this monoterpene is slow and at low concentration. In this way, we did not form too many particles to suppress nucleation due to an increased condensation sink in the chamber.

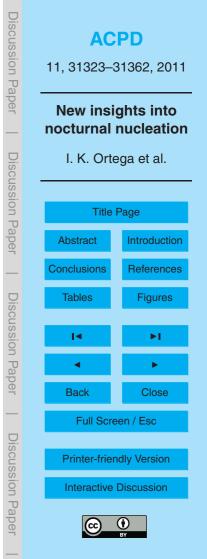


By using AMS measurements, we confirmed the dominant role of organics in the particle growth. On the other hand, the sizes of particles detectable by AMS were too large to get qualitative information about nucleating compounds. Our model simulations showed that the contribution of limonene in the cluster formation process is

- ⁵ higher than the contribution of alpha-pinene and carene, which is in good agreement with the intensity of the observed nucleation events. Quantum mechanical calculations showed that it is energetically favourable for limononic and OH-pinonic acids to add a second molecule of organic acid to clusters containing two sulphuric acids and one organic acid rather than to add a third sulphuric acid molecule. Unfortunately, the limonometry and the support of a substance of the support of the sup
- ¹⁰ limonene oxidation products responsible for the nucleation events are still unknown, so a deeper computational study focusing exclusively on this monoterpene will be necessary to shed new light on this issue.

By combining experiments, aerosol dynamics models and quantum mechanical calculations, we have been able to improve our knowledge about nocturnal new particle

- formation events. The three different approaches led to the same conclusion: the oxidation products of monoterpenes are behind the observed events. There seems to be a number of factors that dictate the strength, duration and shape of the observed events. By using quantum mechanical calculations, we found that clusters of a certain size will prefer to add an organic acid molecule instead of a sulphuric acid molecule.
- ²⁰ Although many questions were answered in this study, there is still one question that needs to be answered: which specific limonene oxidation products are participating in the strong events observed? In order to answer this question, we will need to make a deep and computationally-demanding study that covers many of the limonene oxidation products.
- Acknowledgements. This research was supported by the Academy of Finland Center of Excellence program (project number 1118615) and by the project,-FP7-ATMNUCLE project No 227463 (ERC Advanced Grant). The authors thank the Scientific Computing Center (CSC) in Espoo, Finland for the computing time.



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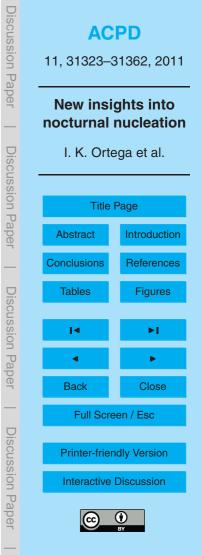
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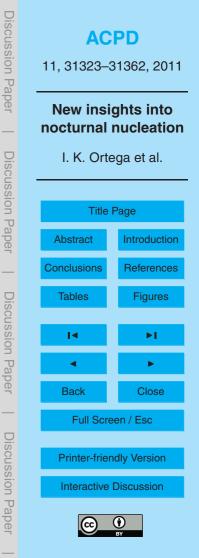
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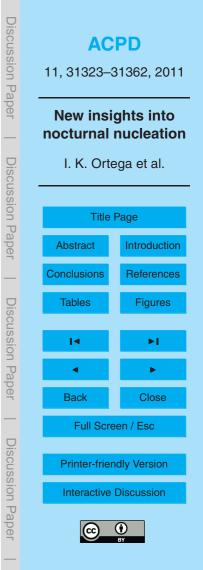
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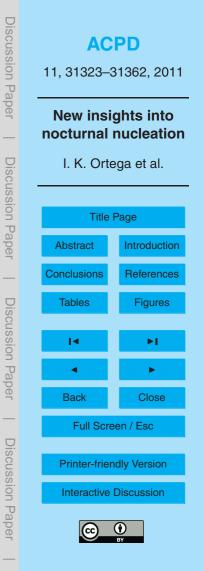
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 Table 1. Initial conditions for experiment set one.

	α -pinene	Carene	Limonene
Background particles (DMPS) (cm ⁻³)	66	104	38
Temperature (°C)	28.0	28.2	28.0
Relative humidity (%)	29.4	26.5	21.9
Ozone concentration (ppb)	6.0	6.0	8.0
SO ₂ concentration (ppb)	0.65	0.75	0.60
NO concentration (ppb)	2.2	16.9	1.0
NO ₂ concentration (ppb)	9.8	18.9	10.6
Initial monoterpene concentration (10^{12}cm^{-3})	2.26	1.17	1.14

	α -pinene	Carene	Limonene
Temperature (°C)	28.4	28.7	28.3
Relative humidity (%)	27.0	23.7	20.9
Ozone concentration (ppb)	15.0	19.0	10.0
SO ₂ concentration (ppb)	0.65	0.70	0.60
NO concentration (ppb)	b.d.	b.d.	b.d.
NO ₂ concentration (ppb)	10.9	18.9	11.7

 Table 2. Conditions at start of events for set one experiments.

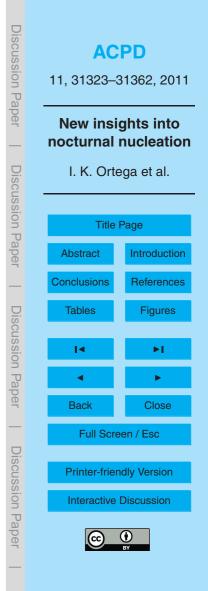


Table 3. Event characteristics and Growth rates for negative ions (GR) and from DMPS (GR-DMPS), ozone at start of event and formation rates for negative 2-nm particles (J_2) for all set one experiments.

	α -pinene	Carene	Limonene
Time from start of experiment (min)	43	80	30
Event duration (min)	73	262	60
Maximum particle concentration (cm^{-3})	4600	2300	8400
GR < 3 nm	8.9	16.6	20.8
GR 3–7 nm	21.2	22.4	58.3
GR 7–20 nm	88.9	13.5	72.4
GR-DMPS 10-25 nm	107	21.9	82.5
Ozone limit (ppb)	15	19	10
J ₂ neg	1.4	1.2	1.9

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	1 sulphuric acid
Limonic	-4.72
Pinic	-7.39
Caric	-10.76
Limononic	-10.83
Pinonic	-9.65
Caronic	-14.59
OH-limononic	-6.91
OH-pinonic	-11.37
OH-caronic	-19.57

 Table 4. Formation free energies calculated for different clusters.

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Table 5. Sulphuric acid additio	n energy_nSA-OBG+19	SA kcalmol ⁻¹
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п	1	2	3
SA	-6.90	-3.17	-3.88
Limononic	-6.75	-2.97	-4.28
OH-pinonic	-15.25	-1.40	-6.76
OH-caronic	-1.05	-2.95	-10.35

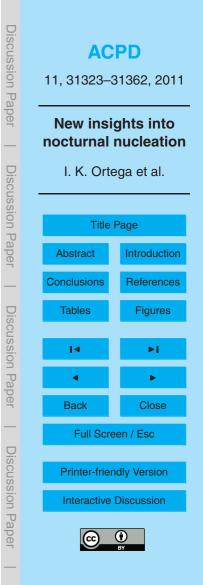


Table 6. Second	l organic acid	addition to	the clusters,	$kcal mol^{-1}$.
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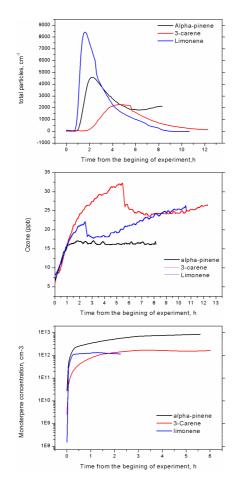
Reaction	ΔG (kcal mol ⁻¹)
$ \begin{array}{l} LA \cdot (H_2 SO_4)_2 + LA \rightarrow (LA)_2 \cdot (H_2 SO_4)_2 \\ OHpin \cdot (H_2 SO_4)_2 + OHpin \rightarrow (OHpin)_2 \cdot (H_2 SO_4)_2 \\ OHcar \cdot H_2 SO_4 + OHcar \rightarrow (OHcar)_2 \cdot H_2 SO_4 \\ \rightarrow (OHcar)_2 \cdot H_2 SO_4 + H_2 SO_4 \rightarrow \rightarrow (OHcar)_2 \cdot (H_2 SO_4)_2 \end{array} $	-10.02 -19.00 -15.37 -4.16

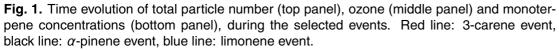
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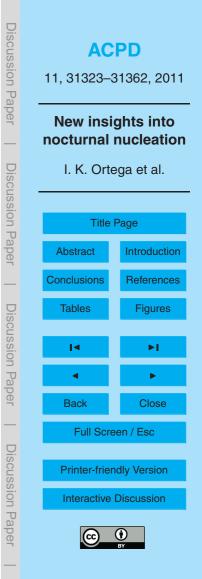
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Table 7. A-coefficients and factor for condensation for the simulations with the model MALTE.

	A-coefficient	Factor for condensation
	$2.5 \times 10^{-6} (s^{-1})$	2
	$2.0 \times 10^{-6} (s^{-1})$	4
D3-Carene	1.5×10 ⁻⁶ (s ⁻¹)	3







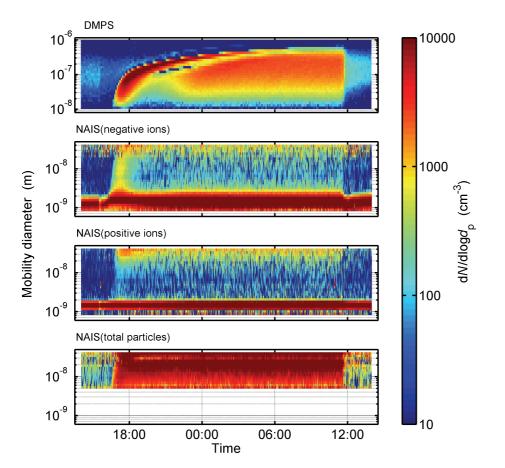
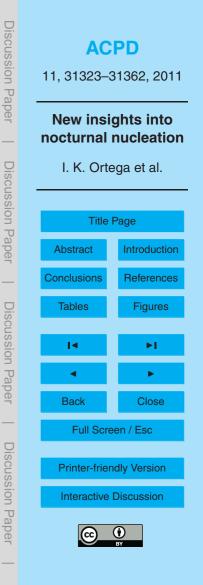


Fig. 2. α -pinene event 10–11 November 2008. (a) a DMPS plot showing all particles (neutrals + charged) from 10 to 1000 nm. (b) a NAIS plot showing particles from 0.8 to 40 nm. Top: negative particles; middle: positive particles; bottom: neutral + charged particles.



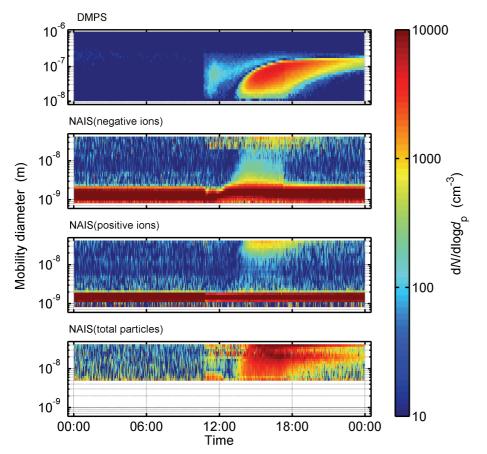
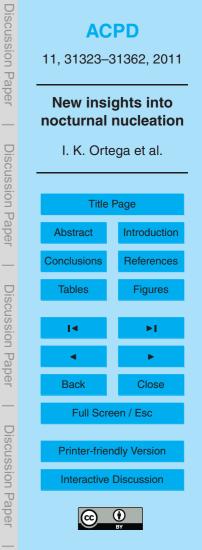


Fig. 3. Carene event on 12 November 2008. (**a**) a DMPS plot showing all particles (neutrals + charged) from 10 to 1000 nm. (**b**) a NAIS plot showing particles from 0.8 to 40 nm. Top: negative particles; middle: positive particles; bottom: neutral + charged particles.



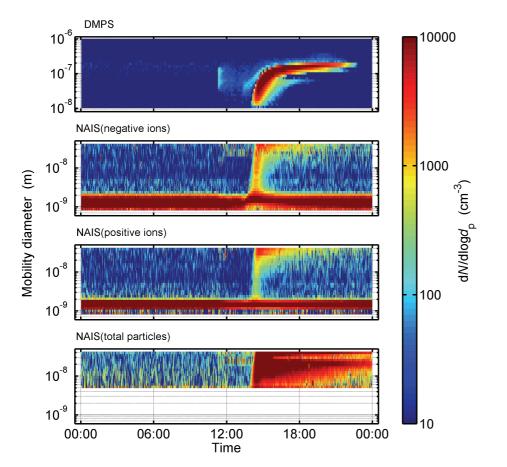
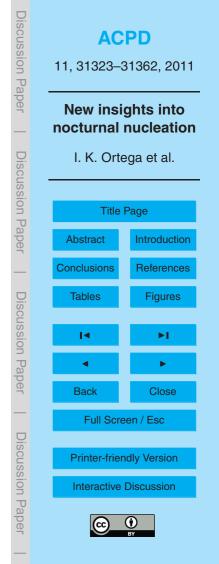
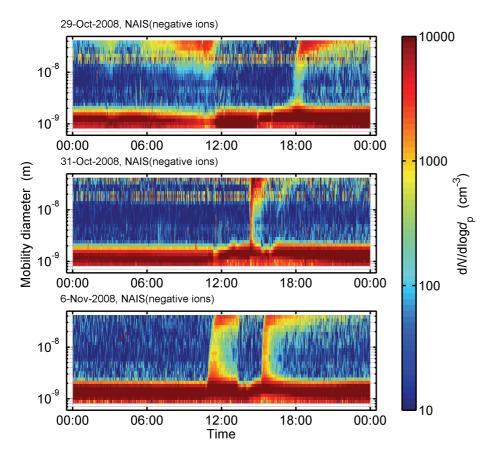


Fig. 4. Limonene event on 14 November 2008. (a) a DMPS plot showing all particles (neutrals + charged) from 10 to 1000 nm. (b) a NAIS plot showing particles from 0.8 to 40 nm. Top: negative particles; middle: positive particles; bottom: neutral + charged particles.





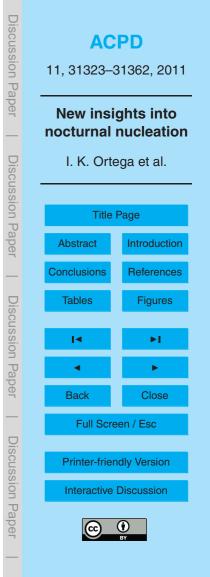
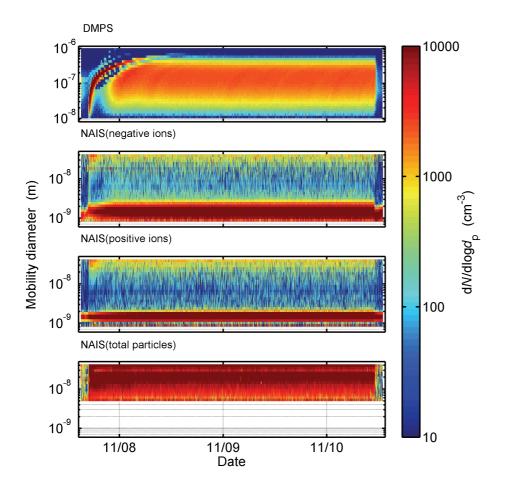


Fig. 5. Self-ending banana/stick events. Top: limonene event on 29 October 2008, produced in an empty chamber with initial ozone concentration of 10 ppb. Middle: limonene event on 31 October 2008, with initial ozone concentration of 16 ppb. Bottom: 3-carene and alpha-pinene events on 6 November 2008, produced at 14 and 20 ppb of ozone, respectively.



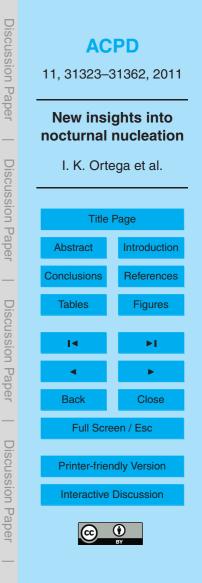


Fig. 6. limonene curtain event on 7–10 November 2008. (a) DMPS plot showing all particles (neutrals + charged) from 10 to 1000 nm. (b) a NAIS plot showing particles from 0.8 to 40 nm. Top: negative particles; middle: positive particles; bottom: neutral + charged particles.

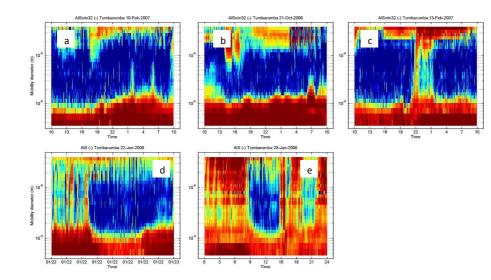
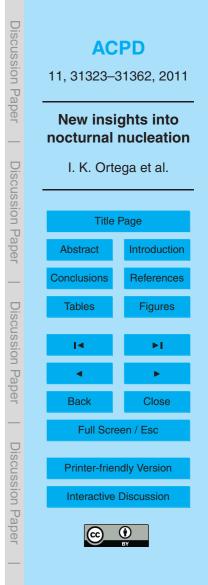


Fig. 7. Nocturnal event classification in Tumbarumba in 2005–2007. (a) spikes: two clear spikes in the early morning hours; (b) a bump at 7 a.m.; (c) a typical nocturnal banana at 10 p.m., more upright than a daytime banana; (d) a stick series in the early morning hours – the most common nocturnal event type; (e) a curtain in the early morning hours and in the late evening after 9 p.m. In between there's rain.



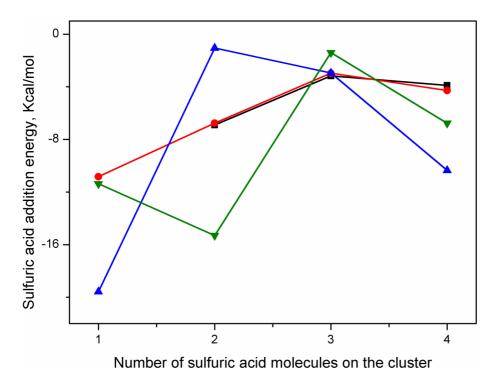


Fig. 8. Addition energy of sulphuric acid versus number of sulphuric acid molecule in the cluster. Black line: pure sulphuric acid clusters, red line: clusters containing one molecule of limononic acid, blue line: clusters containing one molecule of OH-caronic acid, green line: clusters containing one molecule of OH-pinonic acid.

