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# Xenon-133 and caesium-137 releases into the atmosphere from the Fukushima Dai-ichi nuclear power plant: determination of the source term, atmospheric dispersion, and deposition

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### Abstract

On 11 March 2011, an earthquake occurred about 130 km off the Pacific coast of Japan's main island Honshu, followed by a large tsunami. The resulting loss of electric power at the Fukushima Dai-ichi nuclear power plant (FD-NPP) developed into a disaster causing massive release of radioactivity into the atmosphere. In this study, we determine the emissions of two isotopes, the noble gas xenon-133 (<sup>133</sup>Xe) and the aerosol-bound caesium-137 (137Cs), which have very different release characteristics as well as behavior in the atmosphere. To determine radionuclide emissions as a function of height and time until 20 April, we made a first guess of release rates based on fuel inventories and documented accident events at the site. This first guess was 10 subsequently improved by inverse modeling, which combined the first guess with the results of an atmospheric transport model, FLEXPART, and measurement data from several dozen stations in Japan, North America and other regions. We used both atmospheric activity concentration measurements as well as, for <sup>137</sup>Cs, measurements of bulk deposition. Regarding <sup>133</sup>Xe, we find a total release of 16.7 (uncertainty range 15

- 13.4–20.0) EBq, which is the largest radioactive noble gas release in history not associated with nuclear bomb testing. There is strong evidence that the first strong <sup>133</sup>Xe release started very early, possibly immediately after the earthquake and the emergency shutdown on 11 March at 06:00 UTC. The entire noble gas inventory of reactor
- <sup>20</sup> units 1–3 was set free into the atmosphere between 11 and 15 March 2011. For <sup>137</sup>Cs, the inversion results give a total emission of 35.8 (23.3–50.1) PBq, or about 42 % of the estimated Chernobyl emission. Our results indicate that <sup>137</sup>Cs emissions peaked on 14–15 March but were generally high from 12 until 19 March, when they suddenly dropped by orders of magnitude exactly when spraying of water on the spent-fuel pool
- of unit 4 started. This indicates that emissions were not only coming from the damaged reactor cores, but also from the spent-fuel pool of unit 4 and confirms that the spraying was an effective countermeasure. We also explore the main dispersion and deposition patterns of the radioactive cloud, both regionally for Japan as well as for



the entire Northern Hemisphere. While at first sight it seemed fortunate that westerly winds prevailed most of the time during the accident, a different picture emerges from our detailed analysis. Exactly during and following the period of the strongest <sup>137</sup>Cs emissions on 14 and 15 March as well as after another period with strong emissions

- on 19 March, the radioactive plume was advected over Eastern Honshu Island, where precipitation deposited a large fraction of <sup>137</sup>Cs on land surfaces. The plume was also dispersed quickly over the entire Northern Hemisphere, first reaching North America on 15 March and Europe on 22 March. In general, simulated and observed concentrations of <sup>133</sup>Xe and <sup>137</sup>Cs both at Japanese as well as at remote sites were in good quantitative agreement with each other. Altogether, we estimate that 6.4 TBq of <sup>137</sup>Cs,
- or 19% of the total fallout until 20 April, were deposited over Japanese land areas, while most of the rest fell over the North Pacific Ocean. Only 0.7 TBq, or 2% of the total fallout were deposited on land areas other than Japan.

### 1 Introduction

- On 11 March 2011, an extraordinary magnitude 9.0 earthquake occurred about 130 km off the Pacific coast of Japan's main island Honshu, at 38.3° N, 142.4° E, followed by a large tsunami (USGS, 2011). These events caused the loss of many lives and extensive damage. One of the consequences was a station blackout (total loss of AC electric power) at the Fukushima Dai-ichi nuclear power plant (in the following, FD-NPP). The station blackout developed into a disaster leaving four of the six FD-NPP units heavily
- damaged, and causing a largely unknown but massive discharge of radionuclides into the air and into the ocean.

Measurement data published by the Ministry of Education, Culture, Sports, Science and Technology in Japan (MEXT, 2011) and others (Chino et al., 2011; Yasunari et al., 2011) show that the emissions from FD-NPP caused strongly elevated levels of

<sup>25</sup> al., 2011) show that the emissions from FD-NPP caused strongly elevated levels of radioactivity in Fukushima prefecture and other parts of Japan. Enhanced concentrations of airborne radionuclides were in fact measured at many locations all over the



Northern Hemisphere (e.g. Bowyer et al., 2011; Masson et al., 2011). Thus, an extensive body of observations document local, regional and global impacts of the FD-NPP accident. Nevertheless, point measurements alone are by far too sparse to determine the radionuclides' three-dimensional atmospheric distribution and surface deposition,

- and consequently their effects on the environment; especially because measured concentrations cover many orders of magnitude and cannot be spatially interpolated without making large errors. Given accurate emissions, dispersion models simulate the atmospheric distribution and deposition of radionuclides providing a more complete picture than the measurements alone. For instance, after the Chernobyl disaster in
- 10 1986, models have been used to study the distribution of radionuclides across Europe (e.g. Hass et al., 1990; Brandt et al., 2002), and Morino et al. (2011) have presented a model analysis of the FD-NPP accident. The simulations need to be validated carefully with measurement data since inaccuracies in the meteorological input data or in the model parameterizations (e.g. of wet and dry deposition, or turbulence) can lead to er-
- <sup>15</sup> roneous simulations. However, the single largest source of error for model predictions is the source term, i.e. the rate of emissions into the atmosphere from the accident site as a function of time and height. Therefore, efforts must be made to provide an adequate source term to models before they can produce reliable results. This is particularly true for nuclear accidents where release rates can vary by orders of magnitude on timescales of synoptic variability, which determines the areas affected by the plume.

20 on timescales of synoptic variability, which determines the areas affected by the plume. Bottom-up estimates of the source term based on understanding and modeling of processes at the accident site are typically of limited accuracy, especially with respect to the timing of the releases. For instance, the time variation of the emissions from Chernobyl is still uncertain (Devell et al., 1995; NEA, 2002). At the time of writing, the

<sup>25</sup> most comprehensive information source on the events in the FD-NPP and its environmental consequences is a report released by the Government of Japan in June 2011 (Nuclear Emergency Response Headquarters, 2011) (hereafter, referred to as the Report). Unless otherwise mentioned, technical information used in this paper is based on this document. Although this report contains estimates of the amounts of radioactivity



set free into the atmosphere for certain key nuclides, these data are not reliable. The releases did not occur through defined pathways and were not metered. Release estimates could and can only be obtained by either simulating the accident sequences with dedicated severe nuclear accident simulation codes like MELCOR (Gauntt et al.,

<sup>5</sup> 2001), or by some kind of inverse modeling based on atmospheric transport modeling and environmental monitoring data. Results of both approaches are presented in the Report.

A viable approach for determining the source term is to combine radionuclide measurement data and atmospheric dispersion models. By optimizing the agreement between the model calculations with the measurement data, an improved source term can

- tween the model calculations with the measurement data, an improved source term can be obtained. This top-down approach is called inverse modeling and was used early to make estimates of the Chernobyl source term (Gudiksen et al., 1989). More recently, Davoine and Bocquet (2007) used inverse modeling to derive the Chernobyl emissions both as a function of time and height. Advanced inverse modeling schemes also use
- <sup>15</sup> a priori information on emissions based on nuclear accident simulations and understanding of events at the accident site. Similar inverse model systems have been used for related problems. Considerable work has been done, for instance, to determine greenhouse gas emissions into the atmosphere (Hartley and Prinn, 1993; Mahowald et al., 1997; Stohl et al., 2009).
- The core author team of this article has previously developed an inverse modeling methodology for cases such as volcanic eruptions and greenhouse gas emissions. Our most recent application, reconstructing the time- and height-dependent ash emissions from the Eyjafjallajökull volcanic eruption in spring 2010 (Stohl et al., 2011), is closely related to the problem posed by the Fukushima nuclear accident. In both cases we
- have a continuous point source with unknown vertical and temporal distribution of the emissions. However, while for volcanic ash millions of satellite observations were available, observations of radionuclides are available only as point measurements at certain monitoring sites, and with a coarse temporal resolution of typically 24 h. Even though we have collected measurements from a large set of stations throughout Japan and



around the entire Northern Hemisphere, the total number of available observations is only of the order of one thousand. While this makes the problem much less well conditioned than for the volcanic ash scenario, still much can be learned about the FD-NPP source term by using the top-down inverse method, especially if the inversion can be guided by a bottom-up a priori (first guess) estimate based on carefully compiled infor-5 mation. In this paper, we determine the emissions of two important radionuclides, the noble gas xenon-133 (<sup>133</sup>Xe, lifetime of 5.25 days) and the aerosol-bound caesium-137 (<sup>137</sup>Cs, lifetime of 30 yr), which have very different release and transport characteristics, and for which measurement data are relatively abundant. We then use the model to simulate the atmospheric dispersion and, for <sup>137</sup>Cs, the deposition over Japan and throughout the Northern Hemisphere.

The paper is structured as follows: in Sect. 2, we give an overview of the accident events that led to the disaster and how knowledge of these events was used to determine a priori emissions. In Sect. 3 we present the measurement data and model used and describe the inversion method. In Sect. 4, we report our emission estimates, provide a comparison of measured and modeled concentrations and deposition amounts,

and present the simulated concentration and deposition fields and put them into meteorological context. In Sect. 5, we draw conclusions from our work.

#### Accident events and first-guess emissions 2

Fukushima is a prefecture in the East of the Japanese island Honshu. On its east-20 ern coast, two nuclear power plant complexes are located, called Fukushima-I or Fukushima Dai-ichi, and Fukushima-II or Fukushima Dai-ni<sup>1</sup>, operated by the company TEPCO. FD-NPP, where the severe accidents occurred, consists of six boiling water reactors lined up directly along the shore. The reactor blocks are built in pairs. Table 1 gives an overview of the units. When the earthquake occurred, units 4 to 6 had 25

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<sup>&</sup>lt;sup>1</sup>ichi means 1, ni means 2

been already shut down for several months for maintenance, while units 1 to 3 were under operation at their rated power.

Nuclear reactors also house pools for initial storage of spent fuel assemblies. In the boiling water reactor (BWR) design, this pool is located outside the containment near
the top of the reactor building. Table 1 indicates the amount of fuel in these ponds. Even considering that shorter lived nuclides have decayed, it is obvious that these ponds present a significant inventory of radioactivity. Furthermore, there is a larger common spent fuel pool at the site, on ground level. Spent fuel is transferred to this pool after at least 19 months, but the decay heat is large enough to still require active cooling. This pond contained 6375 fuel assemblies.

The earthquake triggered the automatic shutdown of the chain reaction in the units 1 to 3 at 05:46 UTC (that is 14:46 Japan Standard Time) on 11 March. Outside power supply was lost and the emergency diesel generators started up. However, when the tsunami arrived 50 minutes later, it inundated the sites of the reactors and their auxiliary

- <sup>15</sup> buildings and caused the total loss of AC power, except for one of the three diesel generators of unit 6. Although at different rates, cooling of the reactor cores was lost, water levels in the reactor pressure vessels could not be maintained, and in all three units that had been under operation, the cores degraded and, as has been reported, partially melted. The hydrogen produced in this process caused major explosions which
- <sup>20</sup> massively damaged the upper parts of the reactor buildings of units 1 and 3. Damage to the upper parts of the reactor building could be prevented in unit 2, however, a hydrogen explosion there presumably damaged the suppression chamber.

Cooling was lost as well for the spent fuel ponds, leading to heating up of the water and raising concerns about fuel rods also becoming uncovererd there. The information

<sup>25</sup> concerning these ponds and possible releases from them is much less clear. However, it is obvious that the most dangerous situation was in the pond of unit 4, into which the whole core had been unloaded for maintenance work in the reactor. The decay heat for this pool was about 2 MW. A massive hydrogen explosion occurred there which may have been caused by degraded fuel from this pond, or, as has been suggested by



TEPCO, hydrogen may have migrated from unit 3 through pipeworks connecting this pair of units.

In the appendix, we provide a more detailed overview of the events happening at each one of the units 1–4. Fortunately, due to the maintenance outage and the survival of one diesel generator, it seems that unit 5 reactor cores as well as spent fuel ponds have not suffered major fuel damage and did not produce large emissions. Therefore, they are not included in the further considerations.

# 2.1 First-guess emissions

In order to estimate the radionuclide emissions with a bottom-up approach, the respective nuclide inventories per reactor unit and for the spent-fuel ponds must be known. Based on the information in the Report on the number of fuel assemblies and assuming a four-year fuel cycle, burn-up calculations have been performed with the ORIGEN code (Oak Ridge National Laboratory, 2005). Results for the relevant nuclides are reported in Table 2. There are 9.4 × 10<sup>17</sup> Bq (940 PBq) of <sup>137</sup>Cs in the three reactor cores, while the spent-fuel pools of units 1–4 contain almost 2200 PBq. Thus, only 30 % of the <sup>137</sup>Cs in the affected units is in the reactor cores. However, due to the higher energy density especially shortly after the stop of the chain reaction, it is more easily set free into the environment from the cores. The pool of unit 4 contains about half of the total spent-fuel pool <sup>137</sup>Cs inventory. Because of the short half-life of <sup>133</sup>Xe, its inventory in

<sup>20</sup> the spent fuel can be neglected compared to the reactor cores.

In terms of releases, early estimates by the Austrian Central Institute for Meteorology and Geodynamics (2011) and the French Institut de Radioprotection et de Surete Nucleaire (2011) indicated significant emissions during the first phase of the accident. On 22 March, Central Institute for Meteorology and Geodynamics (2011) published a source estimate of about 66 PBq <sup>137</sup>Cs (see also Nature News Blog, 2011). The estimate was valid for the first four release days (12–15 March) and was based on forward transport modeling and comparison of results with measurements at stations of the Comprehensive Nuclear-Test-Ban Treaty Organization (CTBTO). On the same day,



Institut de Radioprotection et de Surete Nucleaire (2011) reported an estimated emission of 30 PBq caesium between 12 and 22 March, based on diagnostics of the state of the reactors 1–3 of FD-NPP, combined with dispersion model results. Later on, estimates made by the Japanese authorities suggest complete release of the entire noble gas inventory (about 12 EBg for <sup>133</sup>Xe) and around 1–2% of the caesium (averaged

- <sup>5</sup> gas inventory (about 12 EBq for <sup>100</sup>Xe) and around 1–2% of the caesium (averaged over reactor cores of units 1–3), about 10–15 PBq (Table 3). Given the massive fuel damage that has been reported for all three cores, there is little doubt that the noble gas release fraction should be practically 100%. The more interesting aspects here are the temporal and vertical distribution of the release, which determine atmospheric
- <sup>10</sup> transport patterns, as well as how much <sup>137</sup>Cs was set free into the atmosphere. Our first guess has been guided by the Japanese assessments and thus its total magnitude corresponds to: 100% of <sup>133</sup>Xe (13 EBq) and about 2% (17 PBq) of <sup>137</sup>Cs. However, we add another 9 PBq <sup>137</sup>Cs from the spent fuel pool of unit 4. Releases from the other spent fuel pools are assumed to be minor compared, on one hand, to the releases from
- the respective reactor cores, and on the other hand to the unit 4 pool, especially considering the uncertainties assumed which provide for potential emissions from pools 1 to 3. Note that the emission from pool 4 is assigned sufficient uncertainty as to allow its suppression by the inversion if it were not consistent with the observations.

In order to produce a set of first-guess (a priori) emissions as needed for the inver sion, the total amount of radionuclides emitted must be disaggregated into a temporal sequence. For this, we used all available information, such as observed radiation increases, pressure build-up and decay in various reactor compartments, information on relief valves opened or closed, and the hydrogen explosions, together with the temporal shape from the MELCOR (Gauntt et al., 2001) simulations provided in the Report.
 In addition, on-site gamma dose rate monitoring data published by TEPCO in their bulletins<sup>2</sup> have been used. This latter data source is only a very rough guidance for two

reasons. Firstly, the published data refer to different locations on the reactor site for

<sup>&</sup>lt;sup>2</sup>Kindly made available in a consistent spreadsheet by M. Takigawa from the Japan Agency for Marine-Earth Science and Technology.



different time periods (and published documents do not explain why monitoring posts were changed), and secondly, complex interaction between wind conditions, release locations and monitoring locations must be expected but cannot be resolved by us due to a lack of detailed data.

Figure 1 shows the time variation of the derived first-guess emissions and their assumed uncertainties separately for each reactor unit and relates them to certain events (see the appendix for detailed description). The first guess uncertainties are much higher than the emissions, giving the inversion enough freedom to change the a priori emissions substantially. Comparing <sup>133</sup>Xe and <sup>137</sup>Cs emissions, the <sup>133</sup>Xe emissions
 occur over much shorter periods of time, as most of the noble gas inventory is injected into the atmosphere by the first venting event at each unit. Emissions of <sup>137</sup>Cs are more influenced by the hydrogen explosions and generally occur over a more extended time

period, as only a small part of the inventory is released.

For the inversion, it is not possible to consider the emissions for each unit separately and, thus, all emissions were summed. Uncertainties are probably not strictly additive, but were also summed. The emissions from spent-fuel pools and reactor cores could in principle be disentangled using nuclide ratios or joint inversions of several nuclides, but this is out of the scope of this paper. However, in the interpretation of the results, we will try to partly relate changes of the emissions to certain events at individual reactor units. All in all, the resulting first quess is obviously a largely subjective product with

<sup>20</sup> units. All in all, the resulting first guess is obviously a largely subjective product with major uncertainty margins.

#### 2.2 Release heights

Atmospheric transport of emitted nuclides depends on the height of the source, due to vertical wind shear and also turbulence conditions. Considering that the present problem is rather weakly constrained, releases are divided into three layers only: 0– 50 m, 50–300 m, and 300–1000 m above ground level. The a priori source term needs to be divided between these three layers. The height of the reactor buildings of FD-NPP is 40 m, so any leakages through wall or roof openings would fall into the first emission



layer. Then, each pair of units has an exhaust stack which emits into the second layer. Some of the venting may have occurred through these stacks. Also, the effluents are hot and thus there can be thermal plume rise, contributing to emissions into the second layer. The third layer is thought to be involved only for the period of explosions. Thus,

- the initial releases were divided between first and second layer in a ratio 70:30, then in those units where explosions occurred, after the explosion the ratio was set to 50:50. During the explosion in unit 1, 20% were assumed to be emitted into the third layer. The unit 2 explosion did not produce building damage and is not considered to have increased the effective release height. The unit 3 explosion was much more powerful, and movies show that material is elected high up into the atmosphere, thus 70% of the
- and movies show that material is ejected high up into the atmosphere, thus 70% of the emissions were placed into the third layer for the corresponding 3 h interval. As for the unit 4 explosion, it was assumed that 10% went into the third layer.

#### 3 Methods

#### 3.1 Measurement data

- <sup>15</sup> We collected measurements of atmospheric activity concentrations from a variety of sources, as listed in Tables 4 and 5, which also report the total number of samples available at each station during the period of our study. Measurements of atmospheric activity concentrations of both <sup>133</sup>Xe and <sup>137</sup>Cs were available from CTBTO stations. The Comprehensive Nuclear-Test-Ban Treaty (CTBT) foresees a global ban of all nu-
- <sup>20</sup> clear explosions. To verify compliance with the CTBT, a global International Monitoring System (IMS) with four different measurement technologies is currently built up, namely for seismic (170 stations), hydroacoustic (11 stations), infrasound (60 stations) and radionuclide (80 stations) monitoring (Hoffmann et al., 2000). As far as the radionuclide monitoring subsystem is concerned, 60 particulate monitoring stations are currently delivering data to the International Data Centre of the Preparatory Commission for the
- <sup>25</sup> delivering data to the International Data Centre of the Preparatory Commission for the CTBTO in Vienna. The stations are all equipped with high-volume aerosol samplers.



About  $20\,000\,\text{m}^3$  of air is blown through a filter, collecting particulate radionuclides. Gases are not retained in the filters. The collection period is 24 h. The different radionuclides are measured with high-resolution germanium detectors (Schulze et al., 2000; Medici, 2001). The minimum detectable activity concentration of <sup>137</sup>Cs is 1 µBq m<sup>-3</sup>, which is about three orders of magnitude more accurate than the best measurements within typical national radiation monitoring networks.

As part of CTBT treaty monitoring, half of the radionuclide stations shall additionally be equipped with xenon detectors. During the International Noble Gas Experiment (INGE), noble gas measurement systems have been set up worldwide (Wernsberger and Schlosser, 2004; Saey and de Geer, 2005). Currently, about 25 stations are de-

 and Schlosser, 2004; Saey and de Geer, 2005). Currently, about 25 stations are delivering data to CTBTO. The radioxenon isotopes measured are <sup>131m</sup>Xe, <sup>133m</sup>Xe, <sup>133</sup>Xe and <sup>135</sup>Xe, with half-lives of 11.93 days, 2.19 days, 5.25 days and 9.14 h, respectively. The most prevalent and important isotope is <sup>133</sup>Xe, which is measured with an accuracy of about 0.1 mBq m<sup>-3</sup>. The typical global distribution of this isotope is described
 by Wotawa et al. (2010). The collection period of the xenon samples is typically 12 h.

Two stations of the CTBTO network, Okinawa and Takasaki, are located in Japan, but <sup>133</sup>Xe measurements are made only at Takasaki. However, the Takasaki noble gas detections were, for an extended period of time, reaching the dynamic range of the system, meaning that measurements were so high that they became unreliable. In addition to that, there were also considerable memory effects. While some researchers (K. Ungar, personal communication, 2011) have made attempts to extract quantitative information from these data, we decided to not use <sup>133</sup>Xe data from Takasaki for our inversions.

Regarding the <sup>137</sup>Cs measurements at Takasaki, there was another problem. During the first passage of the plume at this station, radioactivity entered the interior of the building. This resulted in a serious contamination, meaning that <sup>137</sup>Cs shows up continuously in the measurements since the initial event, even when it is completely absent in the ambient air. We applied a correction of the data (see http://www.cpdnp.jp/pdf/ 110818Takasaki\_report\_revise.pdf, downloaded on 16 August). Still, the contamination



is a potential problem for the inversion, which may attempt to attribute the erroneously measured activity to direct releases from FD-NPP. Similar features can be noticed also in the data from the other Japanese stations. This might partly also be caused by contamination problems; in addition, resuspension either from the surroundings or from heavily contaminated areas elsewhere, is possible as well.

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When using only the CTBTO data, we found that these data alone could not provide a good enough constraint on the emissions (see also Sect. 4.1). This is true especially for <sup>137</sup>Cs, for which the modeled concentrations far from Japan are highly sensitive to changes in the modeling of wet scavenging and thus the model uncertainties are large.

<sup>10</sup> We therefore added several non-CTBTO data sets. Measurements of <sup>137</sup>Cs at four Japanese stations were started only on 14–15 March when the accident at FD-NPP was already in full progress. For the first few weeks, the data collection followed irregular schedules, as attempts were made to take frequent measurements during plume passages. Some of the samples were collected over less than one hour, whereas some of the later samples were collected over several days.

We also added data from a few non-CTBTO stations outside Japan, two measuring <sup>133</sup>Xe and nine measuring <sup>137</sup>Cs (see Tables 4 and 5). These stations were selected because they documented plume passages very well and offered good data quality. In particular, measurement data from a sub-set of the European network "Ring of five" (Ro5) were used. Measurements of this network following the FD-NPP accident were described by Masson et al. (2011). <sup>133</sup>Xe measurements made at Richland were described by Bowyer et al. (2011) and were kindly made available (H. Miley, personal communication, 2011). <sup>133</sup>Xe measurements made at Sidney were kindly provided by K. Ungar and I. Hoffman (personal communication, 2011).

<sup>25</sup> Measurements of <sup>137</sup>Cs deposition ("fallout") were performed by MEXT at 46 sites in all of Japan's 47 prefectures but Miyagi. The coordinates of these sites are confidential but were made available to us. Daily measurements using bulk samplers started on 18 March and a total of 1518 24-h samples were available for the period of our study. These data were quality-checked and updated for an earlier publication (Yasunari et



al., 2011). Furthermore, 12 deposition measurements were available from Tokai-mura with an irregular time resolution following rain events. Different deposition samplers were used at the various sites and, for the inverse modeling, it was assumed that the measured deposition is a result of both dry and wet deposition, even though dry deposition onto these samplers may not be representative for dry deposition onto the surrounding landscape.

The inversion needs information on the uncertainties associated with each observation value. For most data sets (all CTBTO data, plus some others), measurement uncertainties were available and used. Where such information was not available,
 we assumed a relative uncertainty of 5 % for the concentration data and 10 % for the deposition data and added absolute uncertainties of 0.2 mBq m<sup>-3</sup> for <sup>133</sup>Xe concentration data, 1 μBq m<sup>-3</sup> for <sup>137</sup>Cs concentration data, and 2 Bq m<sup>-2</sup> for <sup>137</sup>Cs deposition data. Furthermore, to address the problem of <sup>137</sup>Cs contamination and resuspension at Japanese stations, we used 1 per mille of the highest previously measured <sup>137</sup>Cs containing, unless the measured concentration (deposition) was below that threshold.

# 3.2 Model simulations

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To simulate radionuclide dispersion, we used the Lagrangian particle dispersion model FLEXPART (Stohl et al., 1998, 2005; Stohl and Thomson, 1999). This model was
 originally developed for calculating the dispersion of radioactive material from nuclear emergencies but has since been used for many other applications as well. Nuclear applications include, for instance, simulations of the transport of radioactive material from NPPs and other facilities (Wotawa et al., 2010) or from nuclear bomb tests (Becker et al., 2010). FLEXPART is also the model operationally used at CTBTO for atmospheric
 backtracking and at the Austrian Central Institute for Meteorology and Geodynamics

for emergency response as well as CTBT verification purposes.

For this study, FLEXPART was driven with three-hourly operational meteorological data from two different sources, namely the European Centre for Medium-Range



Weather Forecasts (ECMWF) analyses, and the National Centers for Environmental Prediction (NCEP) Global Forecast System (GFS) analyses. The ECMWF data had 91 model levels and a resolution of 0.18° × 0.18° in the region 126°–180° E and 27°–63° N and 1° × 1° elsewhere, and the GFS data had 26 model levels and a resolution of 0.5° × 0.5° globally. Both data sets do not resolve the complex topography of Japan very well, but in the simulations air masses from FD-NPP were blocked by the mountain chains from directly reaching western Honshu Island, where radionuclide measurement data indeed showed no direct impact of FD-NPP emissions.

To improve the a priori emissions by the inversion algorithm, it was necessary to run the dispersion model forward in time for each one of the 972 (3 layers × 324 3-h intervals between 12:00 UTC on 10 March and 00:00 UTC on 20 April) emission array elements. Each one of these 972 simulations quantified the sensitivity of downwind atmospheric activity concentrations and depositions to the emissions in a single timeheight emission array element. The simulations extended from the time of emission

to 20 April 00:00 UTC and carried one million particles each. A total of about 1 billion particles was used. Per simulation, unit masses of two tracers were released: firstly, a passive noble gas tracer and, secondly, an aerosol tracer that was subject to wet and dry deposition. Radioactive decay was not included in the model simulations, since all radionuclide observations and also the a priori emission data were corrected to the
 time of the earthquake for the purpose of the inverse modeling.

For the aerosol tracer, the simulations accounted for wet and dry deposition, assuming a particle density of  $1900 \text{ kg m}^{-3}$  and a logarithmic size distribution with an aerodynamic mean diameter of  $0.4 \,\mu\text{m}$  and a logarithmic standard deviation of 0.3. The wet deposition scheme considers below-cloud and within-cloud scavenging separately,

assuming clouds are present where the relative humidity exceeds 80%. Within-cloud scavenging coefficients are calculated as described in Hertel et al. (1995) and below-cloud scavenging coefficients are based on McMahon and Denison (1979), allowing also for sub-grid variability of precipitation rate. The wet deposition scheme is documented in the FLEXPART user manual available from http://transport.nilu.no/flexpart.



Tests showed large sensitivity of simulated <sup>137</sup>Cs concentrations to the in-cloud scavenging coefficient. We explored this sensitivity by performing model simulations where all scavenging coefficients were scaled to 67 and 150% of their normal values. These sensitivity simulations, along with the reference simulation, were used as part of the ensemble for quantifying the model error needed by the inversion. Another ensemble member was based on alternative (ECMWF) meteorological data.

The agreement of model results (both using a priori and a posteriori emissions) with measurement data was better with GFS data than with ECMWF data. The fact that this was also found for <sup>133</sup>Xe which is not affected by wet scavenging, shows <sup>10</sup> that GFS-FLEXPART captured the general transport better than ECMWF-FLEXPART. Furthermore, the wet scavenging of <sup>137</sup>Cs was much stronger with ECMWF data than with the GFS data, causing a strong underestimation of <sup>137</sup>Cs concentrations at sites in North America and Europe (see Sect. 4.4.1). Therefore, all results presented in this paper were produced using the GFS data as the reference data set. The ECMWF-<sup>15</sup> based simulations are, however, used as an ensemble member in the inversion to

quantify the model uncertainties.

### 3.3 Inversion algorithm

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In previous studies, we developed an inversion algorithm to calculate the emissions of greenhouse gases (Stohl et al., 2009) or volcanic sulfur dioxide and ash emissions (Eckhardt et al., 2008; Kristiansen et al., 2010; Stohl et al., 2011) based on an original development of Seibert (2000). Depending on the application, the algorithm incorporates different types of observation data and is based on forward or backward calculations with FLEXPART. A full description of the algorithm was given previously (Eckhardt et al., 2008; Stohl et al., 2009; Seibert et al., 2011) and, therefore, we will fo-

<sup>25</sup> cus on aspects of the current application. Our inversion setup is almost identical to that described by Stohl et al. (2011), where volcanic ash emissions were derived as a function of time and altitude. The only further development is the use of ensemble model simulations to quantify the model uncertainty, described at the end of this section.



We determine radionuclide emissions as a function of time (324 3-hourly intervals between 10 March 12:00 UTC and 20 April 00:00 UTC) and altitude (three levels: 0– 50 m, 50–300 m, 300–1000 m), yielding a total of 972 emission values to be determined by the inversion algorithm. For each one of the 972 simulations, a unit amount of radionuclide was emitted in FLEXPART and the model results (surface concentrations or deposition values) for each simulation were matched (i.e. ensuring spatio-temporal co-location) with the respective radionuclide observations described in Sect. 3.1. The

- matched data set was then fed into the inversion algorithm which optimally merges the information from the observations, the a priori emissions as described in Sect. 2 and
- the model-simulated sensitivities (Sect. 3.2), considering uncertainties in each data set. The result of the inversion are vertically and temporally resolved a posteriori emissions on the original emission grid, obtained as a linear combination of all source terms, which optimize the agreement with both the a priori emissions and the observations when considering the uncertainties of both data sets. Except for the fact that radionuclide observations instead of satellite retrievals of volcanic ash loadings were used, this
- setup is identical to the one described in Stohl et al. (2011).

Two important changes to the algorithm were made, however. The first change was required because the current problem is data-sparse and some individual emission values are not well constrained by the measurement data. This ill-conditioning was

- <sup>20</sup> also encountered by Davoine and Bocquet (2007) in their inverse model study of the Chernobyl source term. For the volcanic ash problem, we used more than two million satellite observations (Stohl et al., 2011), whereas here only 814 <sup>133</sup>Xe and 2944 <sup>137</sup>Cs observations were available. Partly this was compensated by reducing the number of vertical levels for which emissions were determined from 19 to 3. Due to this poor
- vertical resolution, we removed the vertical smoothness condition used by Stohl et al. (2011) and instead imposed a variable temporal smoothing condition. The smoothing serves as an additional a priori constraint, which favors solutions of the inversion problem that do not vary strongly with time. This stabilizes the inversion and reduces the noise level in the solution. Since there were a number of known incidents at FD-NPP



when emission rates are suspected to have changed rapidly, we use a variable smoothness parameter. Weak smoothing was imposed when the a priori emissions changed rapidly, while stronger smoothing was imposed during periods with no reported events.

- A second change was made to improve the representation of model error in the inversion. As described in Sect. 3.2, an ensemble of FLEXPART calculations was made using two meteorological data sets and changing the magnitude of the wet scavenging coefficients for <sup>137</sup>Cs to quantify the two most important sources of model error related to the meteorological input data and the wet scavenging parameters. The sensitivities for all these model simulations were read into the inversion algorithm simultaneously
- and the standard error of the simulations was used as a proxy of the model error. The model error is then the product of the emission sensitivities and the emissions. Model and measurement error were combined into what is called the "observation" error in inverse theory as  $\sigma_o = \sqrt{\sigma_{meas}^2 + \sigma_{mod}^2}$ , where  $\sigma_{meas}$  is the measurement error and  $\sigma_{mod}$  the model error.
- While the inversion method formally propagates stochastic errors in the input data to the calculated a posteriori emissions, the overall uncertainty of the emissions is probably driven mainly not by stochastic errors but by partly systematic other errors. For instance, the inversion assumes normally distributed errors, which is not the case. The inversion also treats all emission values and all observations as independent from each other, which is also not the case. However, lacking detailed error statistics, this cannot be formally accounted for. These additional errors can to some extent be quantified

with sensitivity experiments (see Sect. 4.2.3).

For <sup>137</sup>Cs, we have used measurements of both atmospheric activity concentrations as well as deposition to constrain the source term. It was already mentioned by Gudik-

25 sen et al. (1989) that it is preferable to use concentration measurements for inverse modeling because of the additional uncertainties related to modeling the deposition process, including the correct capture of location and time of precipitation events. However, in a data-sparse situation all available data should be used, and there are 1496 deposition measurements available, versus 1448 concentration measurements, only



238 of which were made in Japan. By varying the wet scavenging parameters and the meteorological input data of our dispersion model, the uncertainties of the modeled deposition values are reasonably well quantified, so that the deposition data can provide valuable information. Furthermore, errors in modeling the deposition process

will affect atmospheric concentrations and deposition values in the opposite way. Thus, combining these two types of measurements will partly lead to error compensation in the inverse modeling.

### 4 Results

# 4.1 Sensitivity of the station network to emissions from FD-NPP

- <sup>10</sup> Determining the emissions from FD-NPP is a data-poor problem and it is important to first explore to what extent the measurement data actually provide constraints on the emissions. Figure 2 shows the total sensitivity of the measurement network to <sup>133</sup>Xe emissions, i.e. the sum of emission sensitivities for all samples taken. This provides important information on the minimum source strength detectable by the station network.
- <sup>15</sup> For the minimum detection threshold for a CTBTO station of  $1 \text{ mBq m}^{-3}$ , an emission sensitivity of  $1 \times 10^{-11} \text{ Bq m}^{-3}$  per Bq s<sup>-1</sup> means that a 3-h-long emission pulse larger than  $1 \times 10^8 \text{ Bq s}^{-1}$  is detectable. The largest expected emission rates are of the order of  $10^{14} \text{ Bq s}^{-1}$ , six orders of magnitude larger.

The modeled emission sensitivity for March 2011 varies by about one order of mag-<sup>20</sup> nitude and drops rapidly on 7 April 2011. The reason for the rapid decrease is that releases after 7 April had little chance to be sampled before 20 April by the <sup>133</sup>Xe measurement network consisting only of stations far from Japan (see Table 4). However, as we shall see later, this does not affect our capability to quantify the emissions from FD-NPP, since the entire inventory of <sup>133</sup>Xe was set free into the atmosphere before 16 March.



The accumulated emission sensitivities for the three emission layers are very similar most of the time (Fig. 2), suggesting similarity of transport. While differences are larger when considering individual measurement samples separately, the overall similarity indicates that the inversion may not always be able to clearly distinguish the emissions from the three height layers.

To determine <sup>137</sup>Cs emissions, we used both air concentration data as well as daily deposition data and we therefore consider the emission sensitivity for both data types (Fig. 3). In contrast to <sup>133</sup>Xe, the emission sensitivity for <sup>137</sup>Cs varies by several orders of magnitude, both for the concentration and deposition data. Periods for which air from FD-NPP was sampled directly by the Japanese stations are characterized by high sensitivity, in contrast to periods when air from FD-NPP was transported to the Pacific Ocean and could be sampled only by remote stations. Removal of <sup>137</sup>Cs by precipitation scavenging adds more variability. Considering a minimum detectable <sup>137</sup>Cs concentration of 1 μBq m<sup>-3</sup>, an emission sensitivity of 1 × 10<sup>-15</sup> Bq m<sup>-3</sup> per Bq s<sup>-1</sup> (i.e., the lowest sensitivity before 12 April) allows detection of an emission of 1 × 10<sup>9</sup> Bq s<sup>-1</sup>,

about two orders of magnitude less than the highest expected emission of  $1 \times 10^{-12}$  Bq m<sup>-2</sup> per Bq s<sup>-1</sup> and  $1 \times 10^{-6}$  Bq m<sup>-2</sup> per Bq s<sup>-1</sup>. With an optimistic detection threshold of 2 Bq m<sup>-2</sup>, emissions larger than  $5 \times 10^{6}$  Bq s<sup>-1</sup> to  $5 \times 10^{12}$  Bq s<sup>-1</sup> can be detected. This means that the deposition measurements alone constrain the source term only for certain periods when the FD-NPP plume passed over Japan.

Overall, we see that <sup>133</sup>Xe emissions of "expected" magnitude can be reliably detected by the observations throughout March, while this may not always be the case for <sup>137</sup>Cs emissions below "expected" peak values. Quantification of <sup>137</sup>Cs emissions is furthermore made more difficult by the relatively large model errors (see Sect. 4.3).

#### 4.2 Emissions

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Emission values reported in this section are corrected for radioactive decay to a reference time of 05:46 UTC on 11 March 2011, the time of the earthquake. Actual emissions are lower, especially for the short-lived <sup>133</sup>Xe.



#### 4.2.1 Xenon-133

Total a posteriori <sup>133</sup>Xe emissions are 16.7 EBq, one third more than the a priori value of 12.6 EBq (which is equal to the estimated inventory) and 2.5 times the estimated Chernobyl source term of 6.5 EBq (NEA, 2002). Thus, we can conclude that the inver-

- sion confirms the full release of the noble gas inventory of FD-NPP. Emissions cannot exceed 100% of the inventory, so this may indicate that our inversion overestimates the emissions. This could occur, for instance, by a broadening of emission peaks while retaining peak emission rates to match particularly high measured concentrations. Alternatively, a priori emissions may have really been too low. It is unlikely that the <sup>133</sup>Xe
- <sup>10</sup> inventories of the reactor units 1–3 were one third higher than estimated with ORIGEN. However, there is the possibility of additional releases from unit 5, depending on the time since when it was on cold shut-down when the earthquake occurred. Another possibility is that recriticality has occurred in one of the reactor units. Furthermore, noble gas releases may have occurred also at other Japanese NPPs shut down auto-
- <sup>15</sup> matically after the earthquake and which may have suffered some structural damage. It is likely that our inversion routine, which only used measurement data from outside Japan, would erroneously attribute them to FD-NPP.

The time variation of a priori and a posteriori emissions is generally quite consistent (Fig. 4), both suggesting that the entire <sup>133</sup>Xe inventory was released between 11 and 15 March 2011. However, the a posteriori emissions start 9 h earlier and end 12 h later than our first guess estimate. This is a robust feature of the inversion, which was obtained also with reduced smoothness, increased a priori uncertainty and for both meteorological data sets. The start of a posteriori emissions at 06:00 UTC on 11 March, coinciding with the time of the earthquake, could be due to a noble gas release as a

<sup>25</sup> consequence of the emergency shutdown of the reactors which had occurred at this time, possibly enhanced by structural damage from the earthquake. Also the injection of cold water through the emergency core cooling systems and associated thermal stress on fuel claddings may contribute to this release. Thus, some radioactivity may



have leaked out already before the pressure relieve valves were opened in reactor unit 1 at 00:15 on 12 March, according to the Report. The emission peaks on 12, 13, and 14 March are associated with venting events at units 1, 3 and 2, respectively. It is interesting to notice that in all three cases our a posteriori emissions start increasing

<sup>5</sup> earlier than our first guess emissions and drop more strongly at the end of the venting. This seems to indicate that contaminated air was leaking from the containment as pressure was building up, even before active venting started.

In our first guess, <sup>133</sup>Xe emissions end after a final peak presumably caused by a hydrogen explosion which damaged the wet well of unit 2 at 21:00 UTC on 14 March. Our

- a posteriori emissions, however, continue until 12:00 UTC on 15 March. The pressure vessel and dry well of unit 2 were reported to be at ambient pressure only at 21:00 UTC on 15 March, and various valve operations are reported for unit 3 until 20 March. This could explain ongoing emissions at least until 15 March, especially if we consider that the core degradation may still have been in progress. The inversion results show no
   emissions after 12:00 UTC on 15 March. Partly, this may be related to the decreasing
- emissions after 12:00 01C on 15 March. Parity, this may be related to the decreasing emission sensitivity at that time (see Fig. 2), which also leads to rather small reductions in the emission uncertainty after 15 March (lower panel of Fig. 4). Therefore, we cannot rule out the possibility that minor emissions have persisted even after 15 March, but they would only constitute a small fraction of the total emission.

Regarding the vertical emission distribution, the inversion attributes a larger fraction to layer 2 (50–300 m) than the first guess, probably indicating that thermal plume rise was often important (Fig. 4, lower panel). However, the vertical attribution is very noisy and emissions fluctuate between layers 1 and 2. A clear separation of the two layers is not possible at a 3 h time resolution. The inversion does not increase emissions from layer 3 (300–1000 m), with one notable exception around 00:00 UTC on 12 March when emissions were high. This occurred at the time of the venting at unit 1, which was followed by a hydrogen explosion at 06:36 UTC.

The emission uncertainty as calculated by the inversion scheme is reduced by up to three orders of magnitude (lower panel of Fig. 4). This is achieved also because of the



smoothness criterion, which provides a constraint on the a posteriori emissions and formally reduces uncertainty. However, it is dubious that it really leads to a reduction of uncertainty. Thus, the emission uncertainty plot mainly serves the purpose of identifying periods when the observations provide a strong (large uncertainty reduction) or weak constraint (small error reduction). Real uncertainties will always be larger than the calculated a posteriori uncertainties.

### 4.2.2 Caesium-137

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The upper panel of Fig. 5 shows the a priori and a posteriori emissions of <sup>137</sup>Cs. The total a posteriori <sup>137</sup>Cs emission is 35.8 PBq, 34 % more than the first guess emission
(Table 3) and about 42 % of the estimated Chernobyl emission of 85 PBq (NEA, 2002). Our total a posteriori emission is lower than the first estimate of 66 PBq published by the Central Institute for Meteorology and Geodynamics (2011) on 22 March, but considerably higher than the estimate of Chino et al. (2011) of 13 PBq. Both previous estimates were based on only few selected measurements. Our emission is in relatively good agreement with the Institut de Radioprotection et de Surete Nucleaire (2011) estimate of 30 PBq caesium (including isotopes other than <sup>137</sup>Cs) for the period 12–22 March.

The first emission peak on 12 March, which in our first guess is related to the hydrogen explosion in reactor unit 1 was corrected upward substantially by the inversion.

We notice in particular that the inversion strongly increases the fraction of the <sup>137</sup>Cs emissions into the third layer (300–1000 m) at that time (lower panel of Fig. 5). The emission peaks on 13 March and just past 00:00 UTC on 14 March are not changed much by the inversion, however an earlier onset is suggested for the second peak as was the case for <sup>133</sup>Xe. The inversion also suggests a large fraction of these emissions to be injected in the 300–1000 m layer.

The largest emissions of up to  $370 \,\text{GBq s}^{-1}$  occurred on 14 March after 12:00 UTC until 15 March at 03:00 UTC and are related to a hydrogen explosion in unit 4 and a suspected hydrogen explosion in unit 2, which occurred nearly at the same time



(around 21:00 UTC on 14 March). Chino et al. (2011) estimate a release rate of about  $280 \text{ GBg s}^{-1}$  from 00:00–06:00 UTC on 15 March, quite comparable to our own value for the same period, but their maximum persists only for six hours, whereas we find two separate maxima within a 15-h period. Notice that the inversion reduces the total emissions for this period compared to the first guess.

For the period from 16–19 March, the inversion increases the a priori emissions by more than an order of magnitude. Especially on 19 March, the emissions are comparable to the peaks on 12–15 March. It seems likely that these emissions are related to the spent-fuel pond in unit 4. Spraying of water on the pool started on 19 March at 23:21 UTC and at that time our a posteriori emissions decrease by orders of magni-

tude. Notice that the a posteriori emissions are higher than the first guess emissions before the start of the water spraying, but lower afterwards, so this decrease is not primarily related to the much smaller drop in first guess emissions. Notice also that the period is well constrained by measurement data, as shown by the large uncertainty reduction (lower panel of Fig. 5).

For the period between 21 March and 10 April, the inversion yields variable emissions, with total emissions higher than in our first guess. The emission fluxes are one to two orders of magnitude smaller than fluxes until 19 March and the timing of these emissions is not captured particularly well by the inversion, as sensitivity tests show time shifts of the emission peaks. However, all sensitivity experiments do show such peaks and total emissions higher than the first guess.

#### 4.2.3 Some sensitivity tests

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We performed many sensitivity tests, and Table 6 reports how total emissions changed in some of these tests. When scaling the a priori emissions by values between 20%
 and 500%, the a posteriori <sup>133</sup>Xe emissions change only between 91 and 120% of their reference value, whereas the <sup>137</sup>Cs emissions vary between 66 and 210%. Thus, the <sup>133</sup>Xe emissions are almost independent of the chosen a priori emissions, while the <sup>137</sup>Cs emissions are much less robust. For these tests, we have changed the



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a priori emissions aggressively, as a factor of five lower or higher emissions is quite unlikely, given bottom-up considerations. For a more realistic factor 2 change of the a priori emissions, the a posteriori <sup>137</sup>Cs emissions change only between 86 and 130 %. Replacing the GFS data with ECMWF data for driving the reference model simulation increases the total emissions by 5 % for <sup>133</sup>Xe and by 17 % for <sup>137</sup>Cs. In all these tests, the temporal variation of the emissions remains very similar.

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Another interesting test is to split the <sup>137</sup>Cs measurement data set into Japanese deposition data, Japanese air concentration data, and non-Japanese air concentration data. Inversions performed separately for these data sets show a relatively large degree of apprictance (Fig. 6). The weekeet constraint is provided by Japanese da

- degree of consistency (Fig. 6). The weakest constraint is provided by Japanese deposition data (Fig. 6, top panel) because of the sensitivity "gaps" (see Sect. 4.1) and large uncertainties in the modeled deposition. This is particularly true before 18 March because all deposition measurements (except for one sample taken at Tokai-mura) started later. Therefore, the inversion result is bound strongly towards the first guess
- <sup>15</sup> most of the time. However, in consistency with the reference inversion, the deposition data require higher than expected emissions on 19 March, a steep drop in emissions on 20 March and several emission maxima after 21 March. The total emission is 33 % smaller than in our reference inversion (Table 6), partly because the solution is bound strongly towards the lower first guess emissions.

The Japanese concentration data also provide a relatively weak constraint at the beginning (Fig. 6, middle panel), because of constant westerly winds, and the only available station before 15 March, Takasaki, failed for one day after the plume was first striking on 14 March, causing the detector contamination problem. From 15 March the constraint is strong for some important periods (e.g. 15–16 March, 18–20 March)

<sup>25</sup> when the FD-NPP plume was subsequently transported over Japan. During these periods, the Japanese concentration data drive the reference inversion. Nevertheless, the derived total emission is 29 % smaller (Table 6).

The inversion using data only from outside Japan yields the highest overall emissions (Fig. 6, bottom panel), 48 % above the reference value (Table 6). The magnitude

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of the emissions is sensitive to changes of the wet scavenging parameters. Enhanced scavenging may be compensated for in the inversion by higher emissions to improve agreement between model results and observations (although the effect of this is reduced by the higher model uncertainty for cases with strong scavenging, which gives

- them a lower weight in the inversion). The global data provides a continuous constraint on the emissions, without major sensitivity gaps. It is therefore encouraging that the inversion using the global data reproduces many of the deviations from the first guess seen when using the Japanese data sets. This yields confidence also for those periods not well sampled by the Japanese stations. However, the magnitude of the emission merchanism.
- <sup>10</sup> peak on 15 March is obviously quite uncertain, as the first guess is corrected upward with the global data, but downward using the Japanese data, and this change also explains the substantial difference in total emissions (Table 6). This has implications for the total deposition over Japan, since in our model simulations it is these emissions which led to the largest <sup>137</sup>Cs deposition in Japan.
- <sup>15</sup> The sensitivity tests reported here as well as other tests show that important features such as large emission peaks are relatively robust against changes of the inversion setup. Based on the sensitivity tests, we estimate that the total <sup>133</sup>Xe emissions are accurate within 20%, while the <sup>137</sup>Cs emissions should lie between about 65% and 140% of our reference a posteriori result. Individual 3-hourly emission fluxes are more uncertain.

# 4.3 Comparison between modeled and measured concentrations and depositions

#### 4.3.1 Xenon-133

Figure 7 shows a scatter plot of all available <sup>133</sup>Xe observations versus simulation results using the a priori and the a posteriori emissions. There exists a highly variable background of <sup>133</sup>Xe in the atmosphere due to emissions from nuclear facilities (Wotawa et al., 2010). Our model does not simulate that background and we have



therefore added a random, normally distributed value with a standard deviation of  $1 \times 10^{-4}$  Bg m<sup>-3</sup> to every simulated concentration. This was done also to allow plotting of otherwise zero concentration values on the logarithmic plot. Consequently, one cannot expect any correlation between measured and simulated values in the lower

- left part of Fig. 7, which is dominated by background variability outside the FD-NPP 5 plume. Some of the observed values, for which the corresponding simulated values are below  $2 \times 10^{-4}$  Bq m<sup>-3</sup>, are clearly elevated. As background values at some stations can reach several mBq m<sup>-3</sup>, many of these data points probably indicate an enhanced background rather than that the model did not capture the FD-NPP plume.
- Data points in the upper right part of the figure all reflect the emissions from FD-NPP 10 and for these data points, the modeled and observed values show a tight correlation. Most of the simulated values fall within a factor of five of the observed values. While the model results using our first guess emissions are already well correlated with the measurements, the inversion clearly improves the correspondence, with most of the data points falling closer to the 1:1 line. 15

Comparisons between simulated and observed time series of <sup>133</sup>Xe are shown at the example of Richland, Oahu and Stockholm (Fig. 8). The locations of these sites are shown in Fig. 13 (see also Table 4). At Richland (Fig. 8, top panel), the plume first arrived on 16 March. The arrival time is well simulated but the modeled values drop back to nearly background on 17 March before rising again, whereas the measured

- 20 concentrations increase continuously. At the station Sidney, which is relatively close to Richland, the measurements in fact show a similar behavior as our model results for Richland. At Richland, the model overestimates the measured peak concentrations on 19–20 March by a factor of about three, whereas at Sidney it underpredicted. Since
- the two stations sampled the same part of the radioactive cloud, the inversion could not 25 bring the model results in agreement with the measurements at both stations. Overall, however, the model captures the <sup>133</sup>Xe variability at both sites quite well. The a posteriori model results are somewhat closer to the measurements most of the time. The time series also shows the formation of a hemispheric cloud of <sup>133</sup>Xe. After arrival of



the FD-NPP plume at Richland, there is considerable concentration variability which decreases rapidly as <sup>133</sup>Xe becomes uniformly distributed throughout the Northern Hemisphere. The steady concentration decrease in April is mainly due to radioactive decay but also some further mixing into the tropical and polar atmospheres.

<sup>5</sup> At Oahu (Fig. 8, middle panel), which is closer to Japan than Richland, the first detection of the FD-NPP plume was made later than at Richland, on 19 March. This happened because this part of the radioactive cloud was first transported to the eastern North Pacific in the midlatitudes, before curving to the south and then to the west. Again, the timing of the plume arrival is well captured, although the model initially underestimates the measured concentrations but then overpredicts the first measured peak. The model successfully captures a 1-day concentration minimum on 22 March and also some subsequent concentration variability.

At Stockholm (Fig. 8, bottom panel), the plume arrived on 22 March and this was also very well captured by the model. Simulated concentrations are within a factor of two to the observations and the inversion brings the simulated values closer to the observations most of the time. Before the arrival of the FD-NPP plume, the model simulations underestimate the observations, a consequence of a too low background added to the model results.

#### 4.3.2 Caesium-137

Figure 9 compares measured and simulated <sup>137</sup>Cs concentrations. Again, a randomly distributed background concentration was added to every simulated concentration value. Typically measured background values in Europe are of the order of 0.5 µBq m<sup>-3</sup> (Vallés et al., 2009), which was used for the standard deviation of the random values. The agreement between the model and the observations is worse than for <sup>133</sup>Xe, a consequence of the added complexity of modeling wet and dry removal of the particles carrying <sup>137</sup>Cs. However, there is still a clear correlation between modeled and observed concentrations and the inversion further improves the agreement, especially for the highest concentration values.



Figure 10 shows time series of measured and modeled <sup>137</sup>Cs concentrations at Tokai-mura, Oahu and Stockholm (station locations are shown in Fig. 14, see also Table 5). At Tokai-mura (Fig. 10, top panel), the plume arrivals on 14, 21 and 30 March as well as several peaks in April are well captured by the model, although the differ-

ences between measured and modeled concentrations are often substantial. The simulated concentrations drop to background levels in between plume passages, whereas measured concentrations remain strongly elevated, especially in March after the most heavy contamination events. We suspect that this is on one hand caused by contamination effects within the station building, and on the other hand by the resuspension of
 widely dispersed deposited <sup>137</sup>Cs, which is not treated in the model. The same effects are also seen at the other Japanese stations.

At Oahu (Fig. 10, middle panel), the main plume events are again captured, but the agreement between model and measurements is much worse than for <sup>133</sup>Xe. As for <sup>133</sup>Xe, the model overpredicts the main concentration peaks for this site.

- At Stockholm (Fig. 10, bottom panel), the model generally overpredicts in March and underpredicts in April. The low measured concentrations between 22 and 27 March are surprising, given that the <sup>133</sup>Xe concentrations during that time period are almost as high as at the end of March. There must have been very strong wet scavenging of <sup>137</sup>Cs to explain the low <sup>137</sup>Cs/<sup>133</sup>Xe ratios. Indeed, the model simulations show that this part of the plume was lifted to the free troposphere just downwind of Japan and then descended back to the surface over the North Atlantic. The model must have underestimated the wet scavenging in this case. However, this is not typical as otherwise the inversion would have reduced the emissions to improve the agreement between the model and the measurements.
- Figure 11 shows a scatter plot of simulated versus observed <sup>137</sup>Cs deposition values. As for the concentration data, the data set is dominated by zero values and in this case there are also many zero observations. To show these data on the logarithmic plot, we added a normally distributed random value with a standard deviation of  $1 \text{ Bq m}^{-2} (\text{day})^{-1}$  to every zero observation and a random value with a standard



deviation of 2 Bq m<sup>-2</sup> (day)<sup>-1</sup> to every simulated deposition. Therefore, no correlation is expected for the data in the lower left part of the figure. There is not a particularly tight correlation between the observations and the model simulations near the high end of the data range. However, the model has little overall bias and the inversion clearly reduces the scatter. There are many cases where the model suggests background

- <sup>5</sup> reduces the scatter. There are many cases where the model suggests background values but observations are enhanced. Again, we suspect this to be due to contamination problems or resuspension of previously deposited <sup>137</sup>Cs. However, some cases may also be related to precipitation events not captured by the model, and there are also a few cases where the model gives deposition values of about 100 Bq m<sup>-2</sup>, which
- overestimate the observations, related to precipitation events that were simulated but did not occur in reality. Notice that almost all deposition observations were made after 18 March, which is after the most severe modeled deposition event (see Sect. 4.4).

Figure 12 shows a comparison of measured and modeled deposition for Tokyo. No measurement data are available for the first plume passage over Tokyo on 14–

15 March, but the deposition during 20–22 March is well simulated. After that, the model underestimates the measurements almost continuously, except for a relatively well captured event on 11 April. Again, we suspect contamination or resuspension is responsible for the continuously high measured values of more than 10 Bq m<sup>-2</sup> that persisted even when no rain occurred.

### 20 4.4 Dispersion of radionuclides from FD-NPP

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The purpose of this section is to describe the dispersion and deposition of radionuclides from FD-NPP during certain phases of the accident both over Japan and on a hemispheric scale. Morino et al. (2011) have already presented a short description of the main transport events in the vicinity of Japan in March, but their analysis was not based on a source term constrained by an extensive measurement data set. Here, transport is described by FLEXPART simulations based on the GFS meteorological analyses, but reference is also made to simulations using the ECMWF data.



The complex topography of Japan is not well represented in these data and so FLEX-PART simulations also do not resolve fine-scale flow features. Especially penetration of the FD-NPP plume to the west may be overestimated, although even in the FLEX-PART simulations it never reached western Japan directly, which is in agreement with radioactivity monitoring data from western Japan. Still, the results must be interpreted with caution.

For the interpretation of the meteorological situation over Japan, we used daily surface pressure and frontal analyses from the Japanese Meteorological Agency (http:// www.data.jma.go.jp/fcd/yoho/data/hibiten/2011/1103.pdf, downloaded on 19 September 2011) in addition to the GFS and ECMWF analysis data. Hourly precipitation radar data for Honshu Island were viewed at this website: http://agora.ex.nii.ac.jp/earthquake/201103-eastjapan/weather/data/radar-20110311/7.html, date of last access 20 September 2011).

#### 4.4.1 Japan

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10–13 March 2011. On 10 and 11 March 2011, the north of Honshu Island was located 15 in air mass outflow from the Asian continent south and behind of a cyclone centered east of Kamchatka. The first emissions from FD-NPP were therefore transported to the eastsoutheast and over the North Pacific Ocean. The top left panels in Fig. 13 and Fig. 14 show, respectively, the <sup>133</sup>Xe and <sup>137</sup>Cs total atmospheric columns on 12 March at 06:00 UTC, superimposed by 850 hPa geopotential. The comparison of the <sup>133</sup>Xe 20 and <sup>137</sup>Cs distributions shows that the leading part of the <sup>133</sup>Xe plume is much stronger compared to the <sup>137</sup>Cs plume, a result of both earlier start of <sup>133</sup>Xe emissions and <sup>137</sup>Cs scavenging. On 12 March, a high-pressure ridge had started to form behind the low to the northeast and as the ridge travelled eastward, the winds at FD-NPP changed from WNW to SW. For a few hours around 18:00 UTC on 12 March the coastal areas 25 north of FD-NPP were affected by the radioactive plume but deposition of radioactive material was limited because no precipitation fell at that time. Furthermore, <sup>137</sup>Cs emissions were still an order of magnitude lower than on 13 and 14 March and also



<sup>133</sup>Xe emissions were at a temporary minimum. Therefore, this brief episode had little effect on Japanese land areas and winds over northern Honshu were again westerly on 13 March, transporting the FD-NPP plume away from Japan.

- **14 March 2011.** On 14 March, a cyclone developed over southern Japan, which <sup>5</sup> was linked to a larger cyclone northeast of Hokkaido. The precipitation patterns and amounts associated with the frontal system of the larger cyclone are quite different in the ECMWF and GFS analyses. The ECMWF model has strong precipitation almost perfectly aligned with the radioactive plume emanating from FD-NPP at 12:00– 15:00 UTC on 14 March. The GFS frontal precipitation is located slightly further to the
- south and, thus, the northern edge of the plume experiences relatively little scavenging, and precipitation rates co-located with the plume are generally lower than with the ECMWF data. Plots of Japanese precipitation radar data suggest that the GFS view is more realistic and that in reality the front was probably placed even further to the south, but this is not fully conclusive because of the limited range of the radar data.
- <sup>15</sup> To examine the impact this has on <sup>137</sup>Cs concentrations and deposition during this important period with very high emissions, we made a model run using ECMWF data but applying the same source term as derived with the GFS reference data. Comparing the two simulations, we found that the deposition using the ECMWF data was 22 % larger on 13–14 March than when using the GFS data, with differences being particularly large in our nested domain where they were 34 % on average. This has large consequences for the intercontinental transport of <sup>137</sup>Cs, as the weaker scavenging with GFS
- data left more <sup>137</sup>Cs in the atmosphere and, consequently, the GFS-FLEXPART simulations produced higher <sup>137</sup>Cs concentrations over North America and Europe than the ECMWF-FLEXPART simulations. The measurement data in North America and <sup>25</sup> Europe are in better agreement with the GFS-FLEXPART results.

**15 March 2011.** The smaller cyclone over Honshu developed rapidly on 15 March, and the FD-NPP plume got caught in its circulation system. It was transported to the south at 18:00 UTC on 14 March, to the southwest six hours later, and back to the north and finally east from about 06:00 UTC on 15 March. The plume covered large



parts of central-eastern Honshu and crossed over Tokyo and other major population centers before it left Japan towards the northeast around 18:00 UTC on 15 March. Figure 15 (top panel) shows the <sup>137</sup>Cs surface concentrations at 06:00 UTC on 15 March when precipitation had just started, and Fig. 15 (bottom panel) shows the total <sup>137</sup>Cs deposition and precipitation amount on 15 March. The cyclone produced a few millimeters of rain in areas on Honshu Island engulfed by the FD-NPP plume, which led to <sup>137</sup>Cs washout. Precipitation was strongest (6 mm) near FD-NPP, which produced particularly large deposition amounts of up to nearly 1000 kBq m<sup>-2</sup> in the vicinity of FD-NPP.

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Our simulation suggests that this was the main deposition event over Japan for the entire duration of the disaster. It was due to an unfortunate combination of three factors: (1) the highest emissions of the entire duration of the accident occurred during 14–15 March, (2) the winds transported these emissions over Japan, and (3) precipitation occurred over eastern Japan. Luckily, it did not rain (also confirmed by radar data)
 exactly at the time when – according to our simulation – the highest concentrations were advected over Tokyo and other major Japanese cities. In such a disastrous scenario, much higher <sup>137</sup>Cs deposition in the major population centers would have been possible.

The actual severity of this episode is still uncertain, as the sensitivity tests in Sect. 4.2.3 have shown that the emissions on 14–15 March are sensitive to the choice of input data for the inversion. To be matched by the model, the global data require much higher emissions than the Japanese data in this case. In our reference inversion the a posteriori emissions are strongly reduced compared to the first guess, due to the use of the Japanese data, but the a posteriori model still overestimates the concentra-

tions at most Japanese stations. At Tokai-mura (top panel in Fig. 10), the mismatch is particularly strong as peak concentrations are nearly a factor of four too high and the duration of the episode is too long. At Wako, Tsukuba and Chiba sites, the overestimate is consistently a factor of 2.4, whereas at Takasaki the model underestimates by a factor of four. Unfortunately, almost no deposition measurements exist for this



event, which could be used for model validation. A single sample taken at Tokai-mura – the same site where air concentrations are strongly overestimated – from 15 March 03:00 UTC to 16 March 03:00 UTC shows a deposition of 830 Bq m<sup>-2</sup>, while the model gives 9660 Bq m<sup>-2</sup>. But given the spatial variability of precipitation and, even more so, <sup>137</sup>Cs deposition, this cannot be taken as a confirmation for general model overestimation. In fact, we will present evidence later that this episode indeed caused most of the <sup>137</sup>Cs deposition at least in the vicinity of FD-NPP.

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**16–26 March 2011.** Between 16 and 19 March, an anticyclone passed from west to east over southern Japan. Westerly winds between this anticyclone and a low pres-<sup>10</sup> sure center near Kamchatka channeled the plume from FD-NPP again towards the North Pacific. However, on 20–21 March, a low-pressure trough passed over Japan, which separated the anticyclone from the Siberian High to the west, as can be seen by isolines of geopotential at 925 hPa (Fig. 16, blue lines in left and middle panel). This trough cut off a cyclone at its southern tip over Honshu Island which had an extensive frantel automa and equade strang presidential at 925 hPa (Fig. 16, blue lines in left and middle panel).

- <sup>15</sup> frontal system and caused strong precipitation over southern Honshu (Fig. 16, red isolines in right panel). While all <sup>133</sup>Xe had leaked out from FD-NPP reactor units earlier, emissions of <sup>137</sup>Cs showed a major peak on 19 March, likely from the spent-fuel pool in unit 4. As winds veered from westerly to easterly direction late on 19 March, emissions from that day were brought back to Japan, in addition to ongoing emissions from
- FD-NPP. Consequently, the plume penetrated inland and, at 16:00 UTC on 20 March it covered large areas of eastern Honshu Island between 35 and 40° N (Fig. 16, left panel). On 21 March at 06:00 UTC, northeasterly winds prevailed at the edge of the extended Siberian High and the FD-NPP plume was transported directly across Tokyo and even further south to Osaka (Fig. 16, middle panel), while the cut-off cyclone was located just southwest of Tokyo. There, frontal precipitation led to particularly strong
- scavenging, and also Tokyo received an elevated <sup>137</sup>Cs deposition on 21 March (see Fig. 12; notice the good agreement between the simulated and observed <sup>137</sup>Cs deposition in Tokyo for this event). Transport of the FD-NPP plume to the southwest and precipitation persisted until 12:00 UTC on 22 March. Total <sup>137</sup>Cs deposition from 20–22



March was considerable for large parts of eastern Honshu Island (Fig. 16, right panel) but the maximum deposition values were about one order of magnitude smaller than those simulated during the 15 March event when emissions were higher. During the following days, until 26 March at 12:00 UTC, the plume veered around, with most of the emissions being transported towards the Pacific Ocean but occasionally overpassing coastal areas both to the south and north of FD-NPP.

# 4.4.2 Hemispheric transport

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During the accident events, <sup>133</sup>Xe and <sup>137</sup>Cs from FD-NPP were dispersed throughout the Northern Hemisphere and eventually also reached the Southern Hemisphere. A

- <sup>10</sup> first radionuclide cloud ahead of the main plume was transported quickly across the North Pacific at low altitudes in a steady westerly flow and arrived in western North America on 15 March (upper right panel in Fig. 13). This part contained only <sup>133</sup>Xe and cannot be seen in a corresponding map for <sup>137</sup>Cs (upper right panel in Fig. 13) because the <sup>137</sup>Cs emissions started later. This first radioactive cloud only skimmed along the
- <sup>15</sup> North American seaboard, because of a large cyclone over the Eastern Pacific Ocean that produced a southerly flow along the coastline. It was nevertheless detected at Richland (Fig. 8, top panel).

The main plume was at that time still far from the coast. A vertical cross section showing <sup>133</sup>Xe concentrations along 165° N at 12:00 UTC on 15 March (Fig. 17) shows
that south of 40° N, the plume was transported near the surface, while further north it had been lifted to the middle and upper troposphere. This is a typical feature of pollution transport across the North Pacific (Stohl et al., 2002). The lifting in warm conveyor belts associated to midlatitude cyclones produces large amounts of precipitation (Wernli and Davies, 1997; Eckhardt et al., 2004). The associated strong in-cloud scavenging makes the lifted parts of the radioactive cloud relatively poor in <sup>137</sup>Cs relative to <sup>133</sup>Xe (compare upper right panels in Fig. 13 and Fig. 14).

The main part of the radioactive plume entered western North America on 17–18 March. On 18 March at 12:00 UTC (lower left panels in Fig. 13 and Fig. 14), the head of the plume had already arrived over the North Atlantic, but the main part was located


over the eastern Pacific Ocean and western North America, where it could be detected at monitoring sites (see Fig. 8). This part of the plume was also rich in <sup>137</sup>Cs, as it was still close to the surface south of 50°. At the same time, the plume penetrated the subtropics and arrived at Hawaii on 19 March (see also Fig. 10).

- <sup>5</sup> Emissions from FD-NPP first arrived over Europe on 20 March, however only at high altitudes. Surface measurements at Stockholm revealed enhanced <sup>133</sup>Xe concentrations first on 22 March (bottom panel in Fig. 8). A map of the simulated surface concentrations of <sup>133</sup>Xe (Fig. 18) for 22 March shows that all of western North America was engulfed by the FD-NPP plume, as well as parts of eastern North America and eastern
- <sup>10</sup> Asia. At that time, Europe was still free of enhanced <sup>133</sup>Xe at the surface, except for an isolated <sup>133</sup>Xe cloud over Scandinavia. Transport movies show that the contaminated air had been transported in the middle and upper troposphere and descended south of Iceland, just 12 h before arriving over Scandinavia. A comparison of total columns of <sup>133</sup>Xe and <sup>137</sup>Cs shows that this air is predicted to be rich in <sup>133</sup>Xe but relatively poor
- <sup>137</sup>Cs, due to wet scavenging during uplift (lower right panels in Fig. 13 and Fig. 14). The measurements (see Fig. 10) show that the <sup>137</sup>Cs concentrations were even lower than predicted, suggesting that the model underestimated the wet scavenging in this case.

The total column plots (lower right panels in Fig. 13 and Fig. 14) show that on 22 <sup>20</sup> March, contaminated air from FD-NPP had circled the entire Northern Hemisphere and had reached both the tropics as well as the polar regions. Even though enhanced surface concentrations were still limited to smaller parts of the Northern Hemisphere (Fig. 18), this changed quickly. In April all measurement stations recorded an enhanced (but decreasing) background of <sup>133</sup>Xe caused by the FD-NPP emissions, indicating the <sup>25</sup> transition to complete dispersion in the Northern Hemisphere.

**Total deposition.** Figure 19 shows maps of total deposition of  $^{137}$ Cs in Japan and globally. The highest FD-NPP deposition values of about 1000 kBq m<sup>-2</sup> occur in a plume stretching from FD-NPP to the northwest. The orientation of this simulated plume is exactly as found by aerial surveys of  $^{137}$ Cs surface deposition inside a radius



of about 100–130 km around FD-NPP using several aircraft between 6 April and 26 May 2011 (MEXT, 2011). The airborne measurements show that along the main plume axis, <sup>137</sup>Cs deposition values greater than 1000 kBq m<sup>-2</sup> extend about 50 km from FD-NPP. This is consistent with our results both in terms of deposition magnitude and extension, but a more detailed comparison is difficult because the measured deposition is spatially highly variable on scales of a few kilometers, and this cannot be resolved by our model. Since the modeled <sup>137</sup>Cs total deposition is mainly due to the deposition event on 15 March, the agreement of deposition patterns with the airborne observations suggests that 15 March was correctly identified with our model as the strongest deposition episode. Given that almost no deposition measurements were made on 15

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March, this is an important confirmation.

Our maps of total <sup>137</sup>Cs deposition are quite different from those presented by Yasunari et al. (2011), which show smaller deposition amounts. This can be explained by the fact that Yasunari et al. (2011) only had sufficient deposition data available for their study starting from 20 March, and that does not include the most severe deposition event according to our study, on 15 March. In fact, their Fig. 2 is quite similar to our deposition map for 20–22 March (left panel in Fig. 16).

To put our findings into perspective, a comparison with the Chernobyl disaster is quite interesting. Following the Chernobyl accident, <sup>137</sup>Cs deposition values exceeding 1000 kBq m<sup>-2</sup> were observed only in two areas, namely in the exclusion zone around Chernobyl NPP/Prypjat and north of the city of Gomel in Belarus (see deposition maps in UNSCEAR, 2000b). The FD-NPP accident has caused <sup>137</sup>Cs contamination of land surfaces somewhat smaller than the Chernobyl disaster, since the areas receiving these high deposition values are smaller, but they are still extensive. Another comparison of interest is with the <sup>137</sup>Cs deposition in the extratropical Northern Hemisphere still resulting from past nuclear testing, about 1–2 kBq m<sup>-2</sup> (UNSCEAR, 2000a). That value is exceeded by deposition from FD-NPP over large parts of Honshu Island and the western Pacific Ocean. However, deposition of <sup>137</sup>Cs due to FD-NPP emissions over other parts of Asia, North America and Europe are much smaller.



To determine the deposition amounts in Japan and other regions, we combined our gridded accumulated deposition fields on 20 April at 00:00 UTC with a land-sea mask. This analysis could account for more than 90% of the <sup>137</sup>Cs emissions until 20 April, with the rest still residing in the atmosphere or destroyed by radioactive decay until 20

April. We find that Japanese land areas received 5.0 TBq, or 19% of the total <sup>137</sup>Cs deposition until 20 April. The relative fraction deposited in Japan is quite similar to the 22% reported by Morino et al. (2011), although their absolute values are smaller because of lower emissions used. Only 0.7 TBq, or 2.0% of the total <sup>137</sup>Cs deposition occurred over land areas other than Japan, while the remaining 78% were deposited in the oceans.

### 5 Conclusions

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In this study, we estimated the total releases of the radioactive isotopes <sup>133</sup>Xe and <sup>137</sup>Cs as well as their temporal emission patterns from the damaged Fukushima Daiichi nuclear power plant (FD-NPP) in March and April 2011. The estimate is based on an inverse modeling method, using the transport model FLEXPART and a large number of available concentration and deposition measurements in Japan, North America, Europe, and a few other locations. Despite the significant uncertainties in simulations as well as measurements, the inversion method was able to produce model results that are largely consistent with the measurement data.

- Regarding the noble gas <sup>133</sup>Xe, it is very likely that the accumulated inventory of the reactor units 1–3 was completely set free into the atmosphere between 11 and 15 March. The study indicates a total release of 16.7 (uncertainty range 13.4–20.0) EBq, which is the largest radioactive noble gas release in history not related to nuclear bomb testing. The release is a factor of 2.5 higher than the Chernobyl <sup>133</sup>Xe source term. There is also at the the start of the release accurate and a during the during.
- There is also strong evidence that the start of the release occurred early, already during or shortly after the automatic emergency shutdown of the reactors triggered by the big earthquake. This early onset of emissions is interesting and may indicate some structural damage to the reactor units during the earthquake.



Regarding <sup>137</sup>Cs, the inversion results indicate a total emission of 35.8 (23.3– 50.1) PBq, or about 42% of the estimated Chernobyl emission. This means that nearly 2% of the available inventory of the reactor cores in units 1–3 and the spent-fuel pool in unit 4 was discharged into the atmosphere. The inversion strongly increased the emissions early on 12 March, around the time when the first explosion occurred in unit 1. These early emissions were until now underestimated by the Japanese authorities, but are in accordance with the first estimates published by Central Institute for Meteorology and Geodynamics (2011). We also find unexpectedly high <sup>137</sup>Cs emissions from 16–19 March, which suddenly dropped by orders of magnitude when spraying of water on the spent-fuel pool of unit 4 started. Thus, we believe that these high emissions are related to the degraded fuel in the spent-fuel pool of unit 4, and this result would also confirm that the spraying was an effective countermeasure at least in this case. Between 19 March and 10 April, episodic but generally decreasing emissions

The winds transported the FD-NPP emissions towards the Pacific Ocean most of the

time, while Japan was affected only occasionally. While this seemed like a relatively

fortunate situation for Japan during the accident event, a different picture emerges from

our detailed analysis. Exactly during and following the period of the highest <sup>137</sup>Cs emission rates on 14 and 15 March, the FD-NPP plume was advected towards Japan and

affected large areas in the east of Honshu Island. The advection towards Japan was

triggered by a developing cyclone, which produced strong precipitation on 15 March, leading to the deposition of large fractions of the airborne <sup>137</sup>Cs over Japanese land.

However, the situation could have been even much worse, as fortunately no rain oc-

curred at the time when the densest part of the FD-NPP plume was transported over Tokyo. During a second episode from 20–22 March, even larger areas of Honshu were

covered by the FD-NPP radioactive cloud, from Osaka in the south to areas north

of FD-NPP. Strong frontal precipitation nearly completely cleansed the atmosphere of

<sup>137</sup>Cs and again produced strong deposition of this radionuclide over Honshu, including Tokyo. This episode again followed a period of high (though fortunately not as high

were found.

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as on 14–15 March) <sup>137</sup>Cs emission fluxes on 19 March, which were transported to Japan on 20 March. There were a few other periods when the FD-NPP was advected over land areas, but the areas affected were smaller and the emissions lower.

The FD-NPP was quickly dispersed in the entire Northern Hemisphere. Already on
15 March, a first isolated <sup>133</sup>Xe cloud reached western North America, followed by the arrival of high concentrations of both <sup>133</sup>Xe and <sup>137</sup>Cs on 19 March. Europe was first reached on 22 March by an air mass rich in <sup>133</sup>Xe but relatively poor in <sup>137</sup>Cs, which had been lifted in a frontal system over the North Pacific, was transported aloft and descended again over the North Atlantic shortly before reaching Northern Europe.
Precipitation associated with the frontal lifting had removed most of the <sup>137</sup>Cs, but the noble gas <sup>133</sup>Xe remained in the air mass. Higher <sup>137</sup>Cs concentrations reached Europe at the end of March. By middle of April, <sup>133</sup>Xe was fairly uniformly distributed in the middle latitudes of the entire Northern Hemisphere.

A quantitative analysis shows that 19% of the total <sup>137</sup>Cs deposition until 20 April occurred over Japanese land. Only 0.7 TBq, or 2.0% of the total deposition were received by land areas other than Japan, while the rest was deposited in the oceans.

## 6 Needs for open data policy and further research

While we have collected measurement data from a variety of sources, virtually none of these data sets is publicly available, and there are probably more useful data sets that were not accessible to us. Institutions having produced relevant measurement data should make them freely available. A central data repository should be created where these data are being stored, quality assured, reformatted to a common format and made available to the general public. This will allow considerable improvements of the source terms in the future.

<sup>25</sup> We have derived the source terms for two important radionuclides. However, this needs to be done also for other radionuclides, notably iodine-131.



We have used a global model for our study. Future studies should apply a nesting approach, where high resolution can be achieved over Japan. This would facilitate a more accurate simulation of radionuclide transport over Japan, which is important for assessing the impacts on human population. Importantly, this would also allow more accurate inverse modeling since better use of the Japanese measurement data could be made with reduced model errors.

There is a need for planned atmospheric tracer experiments to evaluate and further improve dispersion models used in emergency applications, as argued recently by Galmarini et al. (2011). There is also a need for careful checking and improvement of washout parameterizations in atmospheric dispersion models. The data set used in this paper could be useful in that respect.

#### **Appendix A**

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#### Accident events at the different units

15 A1 Unit 1

On 14:00 UTC, 11 March (less than 8 h after the station blackout) increased radiation was observed in the turbine hall, indicating that at least noble gases must have started to leak into the environment. At the same time, pressure built up inside the containment and it is reported that between 00:15 and 01:17 on 12 March relieve valves were
opened, probably giving rise to large releases. It is reported that at 05:30 on 12 March the venting was finished. We assume that a large part of the release has occured by then. At 06:36, the unit 1 hydrogen explosion (which was the first one) happened, obviously from hydrogen that had entered various reactor building compartments during the venting, or through leaks. The containment pressure had dropped by 09:00 so that a lower rate through various leaks and at some point in time possibly also from



the spent-fuel which after the explosion was directly exposed to the environment. On 14 March, a secondary pressure maximum in the containment was reported, possibly indicating another, minor, peak in the emissions.

## A2 Unit 2

- <sup>5</sup> Unit 2 is of a newer design than unit 1 and was able to withstand the station black-out somewhat longer. The first venting for this unit is reported at 02:00 UTC on 13 March, with unclear success, and the first time of confirmed open safety relief valves is 09:00 UTC on 14 March. Radiation measurements in the wet well and dry well (reactor compartments below the pressure vessel, inside the containment) jumped up about
   <sup>10</sup> an hour later, probably indicating core melt, and also MELCOR calculations give fuel melting for this time, as well as noble gas release. Thus we think that noble gases have been vented more or less completely at this time. At 15:00 UTC, another venting of the dry well was performed, and a hydrogen explosion is suspected to have damaged the wet well at 21:14. Large releases appear likely. On 15 March, 21:00 UTC, pressure
- vessel and dry well were at ambient pressure, indicating the lack of any efficient barrier. The bulk of the discharge should have occurred by that time, but similar to unit 1, weak releases may continue. Between 26 March and 19 April a secondary temperature increase in the RPV was reported, possibly associated with somewhat increased release.

### 20 A3 Unit 3

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For unit 3, the first venting operation, through the wet well, is reported for 23:41 UTC on 11 March. This should already have released noble gases. About 24 h later, after observed increase in the suppression chamber pressure, a second venting of about 20 min was reported. Finally, on 13 March at 20:20 the safety relief valves were reported open, and MELCOR indicates pressure vessel failure around this time. Six hours later, 02:00 UTC on 14 March, a very strong hydrogen explosion occurred,



severely damaging the upper part of the reactor building and scattering debris. The report indicates end of venting at 03:00 UTC. This should have ended the large release. However, until 20 March, various opening and closing operations of the valves are reported which may have given rise to intermittent emission peaks on top of the slower discharge which is expected similar to unit 1. Finally, between 1 and 24 April some secondary increase in pressure vessel temperatures was reported.

## A4 Unit 4

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Little information has been published on the spent fuel pond of unit 4 (which was the most critical one due to the high loading). Its water temperature was reported as 84 °C on 13 March, 19:00 UTC. At such temperatures some release of radionuclides is already likely. On 14 March, 21:00 UTC, a major hydrogen explosion occurred in unit 4. This may or may not have been caused by degraded fuel in the pond. It is reported that on 19 March, 23:21 UTC, spraying of water on the pool was started. Having no further information, we simply assumed a release fraction on the same order as for the reactor cores, less than 1 % of the caesium inventory, which is thought to have mainly been released between hydrogen explosion and the start of water spraying.

# Supplementary material related to this article is available online at: http://www.atmos-chem-phys-discuss.net/11/28319/2011/ acpd-11-28319-2011-supplement.zip.

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in a convenient format. The second author is grateful for discussions with staff of the Institute of Safety and Risk Sciences at BOKU. This work is partly a spin-off of the project SOGG-EA (Sources of Greenhouse Gases in East Asia) funded by the Norwegian Research Council. ECMWF and met.no granted access to ECMWF analysis data.

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**Table 1.** Overview of the reactor blocks (units) at the FD-NPP according to Table "Generation Facilities at the Fukushima Dai-ichi NPS" (not numbered, on p. 46) and Table IV-3-1 in Nuclear Emergency Response Headquarters (2011).

	Unit 1	Unit 2	Unit 3	Unit 4	Unit 5	Unit 6
Electric power output (MW)	460	784	784	784	784	1100
Begin commer- cial operation	1971	1974	1976	1978	1978	1979
Reactor model	BWR 3	BWR 4	BWR 4	BWR 4	BWR 5	BWR 5
Containment type	Mark-1	Mark-1	Mark-1	Mark-1	Mark-1	Mark-2
Operating/time of shut-down	operating	operating	operating	2010-11-29	2011-01-02	2010-08-13
Number of fuel assemblies in core	400	548	548	0	?	?
Number of fuel assemblies in pond	392	615	566	1535	994	940

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**Table 2.** Estimated inventories of the radionuclide sources (reactor cores and spent fuel pools [SFP]) in units 1 to 4. Activities refer to the time of the earthquake, when the chain reaction in the operating reactors was stopped. For the core inventories an average burnup of 30 000 MWd/tU and 68 and 98 tU for units 1 and 2 to 3 respectively was used. 40 000 MWd/tU and 68 and 94 tU was used for the SFP inventories.

Source	<sup>133</sup> Xe (Bq)	<sup>137</sup> Cs (Bq)
Core unit 1	2.72 × 10 <sup>18</sup>	$2.40 \times 10^{17}$
Core unit 2	4.92 × 10 <sup>18</sup>	3.49 × 10 <sup>17</sup>
Core unit 3	4.92 × 10 <sup>18</sup>	$3.49 \times 10^{17}$
Total cores	1.26 × 10 <sup>19</sup>	9.38 × 10 <sup>17</sup>
SFP unit 1	1.50 × 10 <sup>12</sup>	2.21 × 10 <sup>17</sup>
SFP unit 2	2.59 × 10 <sup>12</sup>	4.49 × 10 <sup>17</sup>
SFP unit 3	2.59 × 10 <sup>12</sup>	3.96 × 10 <sup>17</sup>
SFP unit 4	1.04 × 10 <sup>13</sup>	1.11 × 10 <sup>18</sup>
Total SFPs	1.71 × 10 <sup>13</sup>	2.18 × 10 <sup>18</sup>
Grand total	1.26 × 10 <sup>19</sup>	3.12 × 10 <sup>18</sup>
Total cores/grand total	1.0	0.299



**Table 3.** Release fractions and estimated released activities from various sources, including the first guess (FG) estimate used in this work and our best a posteriori estimate. "Report Att. IV-2" refers to MELCOR (Gauntt et al., 2001) simulation results as reported in Table 5 of Attachment IV-2 of the Report. ZAMG refers to the estimate by Central Institute for Meteorology and Geodynamics (2011) for the first four days of the event. ISRN lists the estimate of Institut de Radioprotection et de Surete Nucleaire (2011) for 12–22 March 2011.

Source	<sup>133</sup> Xe (%)	<sup>133</sup> Xe (EBq)	<sup>137</sup> Cs (%)	<sup>137</sup> Cs (PBq)
Report Att. IV-2	97.3	12.2	1.7	16.4
Report Att. VI	97.3	12.2	0.8	7.5
SPEEDI (Att. VI-1)	-	-	1.4	13.0
ZAMG	-	-	_	66.0
ISRN	-	—	-	30.0
FG core 1	100	2.7	0.3	0.7
FG core 2	100	4.9	4.0	14.0
FG core 3	100	4.9	0.6	2.1
FG cores 1–3	100	12.6	1.8	16.8
FG SFP 4	-	-	0.8	8.9
FG total	100	12.6	1.3	26.8
A posteriori	100	16.7	1.8	35.8

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Table 4.	List of stations u	used for the	<sup>133</sup> Xe inversions,	sorted by longitude.	Num gives the
number of	f valid observatior	ns used for th	e inversion.		

Station name	Longitude	Latitude	Num	Data source
Wake Island	166.6	19.3	40	СТВТО
Oahu	-158.0	21.5	79	СТВТО
Sidney	-123.4	48.7	38	I. Hoffman (personal communication, 2011)
Richland	-119.3	46.3	72	Bowyer et al. (2011)
Yellowknife	-114.5	62.5	33	СТВТО
Ashland	-99.8	37.2	79	СТВТО
Charlottesville	-78.4	38.0	76	СТВТО
Ottawa	-75.7	45.4	27	СТВТО
St. John's	-52.7	47.6	38	СТВТО
Schauinsland	7.9	47.9	39	СТВТО
Spitsbergen	15.4	78.2	79	СТВТО
Stockholm	17.6	59.2	79	СТВТО
Ulan-Bator	106.3	47.9	37	СТВТО
Guangzhou	113.3	23.0	39	СТВТО
Ussuriysk	132.0	44.2	59	СТВТО

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**Table 5.** List of stations used for the <sup>137</sup>Cs inversions, sorted by longitude. Num gives the number of valid observations used for the inversion. NIES is the National Institute for Environmental Studies, JCAC is the Japan Chemical Analysis Center, JAEA is the Japan Atomic Energy Agency with data points from Furuta et al. (2011).

Station name	Longitude	Latitude	Num	Data source
Okinawa	127.9	26.5	39	СТВТО
Takasaki	139.0	36.3	38	СТВТО
Wako	139.6	35.8	31	RIKEN
Tsukuba	140.1	36.0	24	NIES
Chiba	140.1	35.7	37	JCAC
Tokai-mura	140.6	36.4	69	JAEA S. Furuta (personal communication, 2011)
Guam	144.9	13.6	36	СТВТО
New Hanover	150.8	-2.6	36	СТВТО
Petropavlovsk	158.8	53.0	40	СТВТО
Wake Island	166.6	19.3	36	СТВТО
Midway Islan	-177.4	28.2	39	СТВТО
Sand Point	-160.5	55.3	37	CTBTO
Oahu	-158.0	21.5	39	CTBTO
Salchaket	-147.1	64.7	39	СТВТО
Vancouver	-123.2	49.2	39	СТВТО
Sacramento	-121.4	38.7	39	CTBTO
Yellowknife	-114.5	62.5	39	CTBTO
Ashland	-99.8	37.2	38	СТВТО
Resolute	-94.9	74.7	37	СТВТО
Melbourne	-80.6	28.2	39	CTBTO
Panama City	-79.5	9.0	39	CTBTO
Charlottesville	-78.4	38.0	39	СТВТО
Ottawa	-75.7	45.4	9	I. Hoffman (personal communication, 2011)
St.John's	-52.7	47.6	39	СТВТО
Iceland	-21.9	64.1	13	Ro5



#### Table 5. Continued.

Station name	Longitude	Latitude	Num	Data source
Reykjavik	-21.8	64.1	38	CTBTO
Caceres	-6.3	39.5	16	Ro5
Orsay	2.2	48.7	19	Ro5
Sola	5.7	58.9	23	Ro5
Schauinsland	7.9	47.9	27	CTBTO
Braunschweig	10.5	53.3	19	Ro5
Osteras	10.6	59.9	22	Ro5
Spitsbergen	15.4	78.2	31	CTBTO
Longyearbyen	15.6	78.2	15	Ro5
Stockholm	17.6	59.2	39	CTBTO
Svanhovd	30.0	69.4	20	Ro5
Dubna	37.3	56.7	39	CTBTO
Kuwait City	47.9	29.3	39	CTBTO
Kirov	49.4	58.6	36	CTBTO
Zalesovo	84.8	53.9	39	CTBTO
Ulan-Bator	106.3	47.9	39	CTBTO
Quezon City	121.4	14.6	39	CTBTO
Ussuriysk	132.0	44.2	38	CTBTO

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**Table 6.** Sensitivity of a posteriori total emissions to scaling the a priori emissions by factors ranging from 20% to 500%, and to replacing the GFS meteorological data with ECMWF data. For the <sup>137</sup>Cs inversions, total emissions are also reported when using only deposition data, only Japanese concentration data, and only non-Japanese data. All values are reported relative to the reference emission.

A priori	20 %	50%	200 %	500 %	ECMWF	only depo	only Japan	only non-Japan
<sup>133</sup> Xe, a posteriori	91 %	96%	107 %	120 %	105 %	-	-	_
<sup>137</sup> Cs, a posteriori	66 %	86 %	130 %	210%	117 %	67 %	71%	148 %









**Fig. 2.** Sensitivity of the station network to  $^{133}$ Xe emissions at FD-NPP. The sensitivities are calculated separately for emissions at the lowest layer (0–50 m, red), middle layer (50–300 m, black) and top layer (300–1000 m, blue).





**Fig. 3.** Sensitivity of the station network to  $^{137}$ Cs emissions at FD-NPP, for the atmospheric concentration measurements (upper panel) and for the deposition measurements (lower panel). The sensitivities are calculated separately for emissions at the lowest layer (0–50 m, red), middle layer (50–300 m, black) and top layer (300–1000 m, blue).





**Fig. 4.** Emissions of  $^{133}$ Xe used a priori (red line) and obtained a posteriori by the inversion (blue line) (upper panel), as well as associated uncertainties (lower panel). The vertical distribution of the emissions over the three layers, with scale on the right hand side, is shown by the background colors (0–50 m, light yellow; 50–300 m; light turquoise, 300–1000 m, light red) for the a priori emissions (upper panel) and the a posteriori emissions (lower panel). The data shown in this plot are available as Supplement.





**Fig. 5.** Emissions of <sup>137</sup>Cs used a priori (red line) and obtained a posteriori by the inversion (blue line) (upper panel), as well as associated uncertainties (lower panel). The vertical distribution of the emissions over the three layers, with scale on the right hand side, is shown by the background colors (0–50 m, light yellow; 50–300 m; light turquoise, 300–1000 m, light red) for the a priori emissions (upper panel) and the a posteriori emissions (lower panel). The data shown in this plot are available as Supplement.





**Fig. 6.** Sensitivity of <sup>137</sup>Cs a posteriori emissions to changes in the input measurement data: when using only Japanese deposition data (top left panel), when using only Japanese concentration data (top right panel), and when using only non-Japanese concentration data (bottom panel). Shown are a priori emissions (red line), a posteriori emissions (blue line) and a posteriori emissions based on the full data set (repeated from Fig. 5, green shading in background).





**Fig. 7.** Scatter plot of observed and simulated <sup>133</sup>Xe concentrations, based on a priori (red squares) and a posteriori (blue crosses) emissions. The gray line in the middle is the 1:1 line and upper and lower lines represent factor of 5 over- and underestimates.





**Fig. 8.** Time series of observed (black line) and simulated <sup>133</sup>Xe concentrations, based on a priori (red line) and a posteriori (blue line) emissions, for the stations Richland (top left panel), Oahu (top right panel) and Stockholm (bottom panel).





**Fig. 9.** Scatter plot of observed and simulated <sup>137</sup>Cs concentrations, based on a priori (red squares) and a posteriori (blue crosses) emissions. The gray line in the middle is the 1:1 line and upper and lower lines represent factor of 5 over- and underestimates.











**Fig. 11.** Scatter plot of observed and simulated <sup>137</sup>Cs deposition values, based on a priori (red squares) and a posteriori (blue crosses) emissions. The gray line in the middle is the 1:1 line and upper and lower lines represent factor of 5 over- and underestimates.





**Fig. 12.** Time series of observed (black line) and simulated daily <sup>137</sup>Cs deposition in Tokyo, based on a priori (red line) and a posteriori (blue line) emissions.





**Fig. 13.** Simulated total atmospheric columns of <sup>133</sup>Xe (color shading) and geopotential at 850 hPa from GFS (blue isolines) at 06:00 UTC on 12 March (upper left panel), 12:00 UTC on 15 March (upper right panel), 12:00 UTC on 18 March, and 18:00 UTC on 22 March. The location of FD-NPP is shown with a yellow circle, the station on Oahu (Hawaii) with a green triangle, Richland (USA) with a green square, and Stockholm (Sweden) with a green circle.





**Fig. 14.** Simulated total atmospheric columns of <sup>137</sup>Cs (color shading) and geopotential at 850 hPa from GFS (blue isolines) at 06:00 UTC on 12 March (upper left panel), 12:00 UTC on 15 March (upper right panel), 12:00 UTC on 18 March, and 18:00 UTC on 22 March. The location of FD-NPP is shown with a yellow circle, the air sampling site Tokai-mura with a green square, the deposition monitoring site in Tokyo with a green diamond, and the air sampling station on Oahu (Hawaii) with a green triangle, and Stockholm with a green circle.







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**Fig. 15.** Simulated surface concentrations of <sup>137</sup>Cs (color shading) and 925 hPa geopotential from GFS (blue isolines) at 06:00 UTC on 15 March (upper panel), as well as total <sup>137</sup>Cs deposition (color shading) and accumulated precipitation from 00:00–24:00 UTC on 15 March (lower panel). The location of FD-NPP is shown with a yellow circle, the air sampling site Tokai-mura with a green square, and the deposition monitoring site in Tokyo with a green diamond.


**Fig. 16.** Simulated surface concentrations of <sup>137</sup>Cs at 16:00 UTC on 20 March (color shading) and 925 hPa geopotential from GFS (blue isolines) at 15:00 UTC on 20 March (left panel) and at 06:00 UTC on 21 March (middle panel), as well as total <sup>137</sup>Cs deposition (color shading) and accumulated precipitation (in mm, red lines) from 00:00 UTC on 20 March to 12:00 UTC on 22 March (right panel). The location of FD-NPP is shown with a yellow circle, the air sampling site Tokai-mura with a green square, and the deposition monitoring site in Tokyo with a green diamond.

















**Fig. 19.** Maps of total deposition of <sup>137</sup>Cs until 20 April 00:00 UTC, for Japan (upper panel) and the global domain (lower panel). Superimposed on the upper panel is the total precipitation from GFS for the same time period. The location of FD-NPP is shown with a yellow circle, the air sampling site Tokai-mura with a green square, and the deposition monitoring site in Tokyo with a green diamond. In the lower panel, the stations Oahu (Hawaii) and Stockholm (Sweden) are marked with a green triangle and a green circle, respectively.

