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Determination of land surface heat fluxes over heterogeneous landscape of the Tibetan Plateau by using the MODIS and in-situ data

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1 Introduction

The Tibetan Plateau (TP) contains the world's highest elevation (average elevation about 4000 m) relief features, some reaching into the mid-troposphere. It represents an extensive mass extending from subtropical to middle latitudes and is spanning over 25 degrees of longitude. Figure 1 shows the geographic location and topographic

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characteristics of the TP. Because of its topographic character, the plateau surface absorbs a large amount of solar radiation energy, and undergoes dramatic seasonal changes of surface heat and water fluxes (e.g. Ye and Gao, 1979; Ye, 1981; Yanai et al., 1992; Ye and Wu, 1998; Ma and Tsukamoto, 2002; Hsu and Liu, 2003; Yang et al., 2004; Ma et al., 2002a, 2006, 2008; Sato and Kimura, 2007; Cui et al., 2009; Zhong et al., 2010a). In order to understand the effect of the Tibetan Plateau on the climatic change over China, east Asia and even the global, one has to get the regional distribution of surface heat fluxes over whole Tibetan Plateau.

Remote sensing from satellites however offers the possibility to derive regional distribution of surface heat fluxes over heterogeneous land surface of the Tibetan Plateau in combination with sparse field experimental stations. The regional distributions of surface heat flux(net radiation flux, soil heat flux, sensible heat flux and latent heat flux) have already been gotten by some researchers over the Tibetan Plateau area, but the results were only in meso-scale area till now (e.g. Ma et al., 2003, 2006, 2009). The objective of this study is to explore the feasibility of up-scaling the point land surface variables (surface reflectance and surface temperature) and surface heat fluxes to yield whole Plateau spatial distributions with the aid of MODIS and in-situ data.

2 Theory and scheme

The general concept of the methodology is shown in a diagram (Fig. 2). The surface reflectance for short-wave radiation $r_0(x,y)$ is retrieved from MODIS data with the atmospheric correction, using land surface and aerological observation data (Zhong, 2007). The land surface temperature $T_{\rm sfc}(x,y)$ is also derived from MODIS data, land surface and aerological observation data (Zhong et al., 2010b). The radiative transfer model MODTRAN (Berk et al., 1989) compute the downward short-wave and long-wave radiation at the surface (Ma and Tsukamoto, 2002). With these results the regional surface net radiation flux $R_{\rm n}(x,y)$ is determined. The regional soil heat flux $G_0(x,y)$ is estimated from $R_{\rm n}(x,y)$ and field observations over the Tibetan Plateau. The regional sensible

2.1 Net radiation flux

The regional net radiation flux can be derived from

$$R_{\rm n}(x,y) = (1 - r_0(x,y)) \times K_{\downarrow}(x,y) + L_{\downarrow}(x,y) - \varepsilon_0(x,y)\sigma T_{\rm sfc}^4(x,y)$$
 (1)

where $r_0(x,y)$ and $T_{\rm sfc}(x,y)$ are surface reflectance and surface temperature respectively. They can be derived from MODIS data with the atmospheric correction, using land surface and aerological observation data (Zhong, 2007; Zhong et al., 2010). Surface emissivity of $\varepsilon_0(x,y)$ in Eq. (1) is a function of the vegetation coverage $P_{\nu}(x,y)$. It can be derived from the model of Valor and Caselles (1996), i.e.

$$\varepsilon_0(x,y) = \varepsilon_v(x,y)P_v(x,y) + \varepsilon_q(x,y)(1 - P_v(x,y)) + 4 < d\varepsilon > (1 - P_v(x,y))P_v(x,y)$$
 (2)

where $\varepsilon_{v}(x,y) = 0.985(\pm 0.007)$ and $\varepsilon_{g}(x,y) = 0.960(\pm 0.010)$ are surface emissivity for full vegetation and bare soil respectively, $\langle d\varepsilon \rangle = 0.015(\pm 0.008)$ is the error, and vegetation coverage (Carlson and Ripley, 1997)

$$P_{\nu}(x,y) = \left[\frac{\text{NDVI}(x,y)^{-}\text{NDVI}_{\min}}{\text{NDVI}_{\max} - \text{NDVI}_{\min}}\right]^{2},$$
(3)

where ${\rm NDVI}_{\rm min}$ and ${\rm NDVI}_{\rm max}$ are the NDVI values for bare soil and full vegetation respectively.

The incoming long-wave radiation flux $L_{\downarrow}(x,y)$ and incoming short-wave radiation flux $K_{\downarrow}(x,y)$ in Eq. (1) can be calculated from radiative transfer model MODTRAN directly (Ma and Tsukamoto,2002).

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The regional surface soil heat flux $G_0(x,y)$ can be determined by (Choudhury and Monteith, 1988)

$$G_0(x,y) = \rho_s c_s [(T_{sfc}(x,y) - T_s(x,y))]/r_{sh}(x,y)$$
(4)

where ρ_s is soil dry bulk density, c_s is soil specific heat, $T_s(x,y)$ stands for soil temperature at a determined depth, $r_{\rm sh}(x,y)$ represents soil heat transfer resistance.

 $G_0(x,y)$ can not be mapped directly from satellite measurements through Eq. (4). The difficulty is to derive $r_{\rm sh}(x,y)$ and $T_s(x,y)$ (Bastiaanssen, 1995; Wang et al., 1995; Ma and Tsukamoto, 2002; Ma et al., 2002b, 2003, 2006, 2007; Gao et al., 2010). To calculate the values of $G_0(x,y)$ solely from remote sensed data requires that it is to be made proportional to another term in the energy balance equation. A good candidate is $R_{\rm n}(x,y)$ (Jackson et al., 1985; Choudhury et al., 1987; Kustas and Daughtry,1990; Bastiaanssen, 1995; Ma and Tsukamoto, 2002; Ma et al., 2003, 2006; Gao et al., 2010). Based on the in-situ data observed in the TP area, Ma et al. (2002b) proposed an equation to derive regional soil heat flux $G_0(x,y)$ from regional net radiation flux $R_{\rm n}(x,y)$ based on the in-situ data over the Tibetan Plateau. It is

$$G_0(x,y) = 0.35462(\pm 0.00235)R_n(x,y) - 47.79008(\pm 0.70005)$$
 (5)

Equation (5) will be used to determine the regional distribution of soil heat flux in the TP area in this study.

2.3 Sensible heat flux

The sensible heat flux H can be estimated with a bulk transfer equation written in the form (Montheith, 1973)

$$H = \rho \, c_\rho \frac{T_0 - T_a}{r_{\text{ah}}} \tag{6}$$

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where $r_{\rm ah}$ is aerodynamic resistance for heat transfer between land surface and reference height, T_0 is the surface temperature, T_a is the air temperature at the reference height, ρ is the air density, and c_p is the air specific heat at constant pressure.

In order to determine the regional distribution of sensible heat flux H(x,y) over the Tibetan Plateau, the Tile approach(Ma et al., 2010) will be used here. In the Tile approach, the reference height z_{ref} is taken within Surface Layer (SL). Then, using the satellite measurements at the surface and the SL observations on a "tile" at and below the reference height (e.g. 20 m), the heat fluxes over a heterogeneous landscape can be estimated. Firstly, surface reflectance r_0 , surface temperature $T_{\rm sfc}$, vegetation coverage P_{ν} and surface emissivity ε_0 etc. at the surface are derived from satellite measurements. Secondly, SL observations on a *tile*: wind speed u, air temperature T_a and specific humidity q at the reference height are carried out. Zero-plane displacement d_0 , aerodynamic roughness length z_{0m} and thermodynamic roughness length z_{0h} , the excess resistance for heat transportation kB⁻¹ and the like in SL below the reference height over the i-tile are used to estimate the sensible heat flux H (Fig. 2).

Hence, in mathematical terms:

$$[H_1(x,y) = \rho c_p \frac{[T_0(x,y) - T_{a1}]}{r_{ah1}}]$$
 (7)

$$H_2(x,y) = \rho c_p \frac{[T_0(x,y) - T_{a2}]}{r_{ab2}},$$
(8)

$$[H_n(x,y) = \rho c_p \frac{[T_0(x,y) - T_{an}]}{r_{ahn}}]$$
 (9)

Therefore, *H* over whole Tibetan Plateau area can be derived from:

$$H(x,y) = \sum_{i=1}^{n} a(i)H_{i}(x,y),$$
(10)

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where a(i) is the fractional ratio of each "tile" for the Tibetan Plateau, and it can be determined form the satellite images. $H_1(x,y), H_2(x,y), \dots$, and $H_n(x,y)$ are sensible heat flux on each "tile", T_{a1}, T_{a2}, \dots , and T_{a2} are air temperature at the reference height on each "tile", r_{ah1}, r_{ah2}, \dots , and r_{ahn} are aerodynamic resistance for heat transfer between land surface and reference height on each "tile". r_{ah1}, r_{ah2}, \dots , and r_{ahn} can be determined from the eddy diffusion coefficients for heat transport between the land surface and the reference height (Ma et al., 2010).

2.4 Latent heat flux

The regional latent heat flux $\lambda E(x,y)$ can be derived as the residual of the energy budget theorem for land surface based on the condition of zero horizontal advection, i.e.,

$$\lambda E(x,y) = R_{\rm n}(x,y) - H(x,y) - G_0(x,y)$$
 (11)

3 Cases study and validation

Four images of MODIS data (30 January 2007, 15 April 2007, 1 August 2007 and 25 October 2007) will be used in this study for the comparison among winter, spring, summer and autumn.

Figure 3 shows the distribution maps of surface reflectance and surface temperature over the Tibetan Plateau area. Using Eqs. (1) and (9), the distributions of soil heat flux and latent heat flux are shown in Fig. 4. The distribution maps of surface reflectance, surface temperature, soil heat flux and latent heat flux are based on 2823×1441 pixels with a size of $1 \, \text{km} \times 1 \, \text{km}$. The derived surface reflectance, surface temperature, net radiation flux, soil heat flux, sensible heat flux and latent heat flux can be validated by the field measurements. In-situ data observed in nine stations of Haibei (37.62° N, 101.30° E; elevation: 3220 m; land-cover: grassy

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marshland), Maqu(33.89° N, 102.14° E; elevation: 3423 m; land-cover: grassy marshland), D105 (33.06° N, 91.94° E; elevation: 5039 m; land-cover: sparseness meadow), Amdo(32.14° N, 91.37° E; elevation: 4695 m; land-cover: grassy marshland), NPAM (31.93° N, 91.71° E; elevation: 4620 m; land-cover: grassy marshland), BJ (31.37° N, 5 91.90° E; elevation: 4509 m; land-cover: sparseness meadow), NAMOR(Nam Co Station for Multisphere Observation and Research, Chinese Academy of Sciences; 30.46° N, 90.59° E; elevation: 4730 m; land-cover: grassy marshland), QOMS(Qomolangma Station for Atmospheric and Environmental Observation and Research, Chinese Academy of Sciences; 28.21° N, 86.56° E; elevation: 4276 m; landcover: sparse grass-Gobi) and SETS(Southeast Tibet Station for Alpine Environment Observation and Research, Chinese Academy of Sciences; 29.77° N, 94.73° E; elevation: 3326 m; land-cover: grass land) in the Tibetan Observation and Research Platform (TORP, Ma et al., 2008) are used for the validation. In Tables 1, 2 and Fig. 5, the derived results are validated against the measured values in the stations. Since it is a little difficult to determine the locations of the four stations, the values of 5 x 5 pixel rectangle, surrounding the determined Universal Transfer Macerator (UTM) coordinate, are compared with the in-situ data. The absolute percent difference (APD) quantitatively measures the difference between the derived results $(H_{derived}(i))$ and measured value($H_{\text{measured}}(i)$) here, and

$$APD = \frac{|H_{\text{derived}}(i) - H_{\text{measured}}(i)|}{H_{\text{measured}}(i)}.$$
 (12)

The results show that: (1) The derived surface reflectance (r_0) , surface temperature $(T_{\rm sfc})$, net radiation heat flux $(R_{\rm n})$, soil heat flux $(G_{\rm n})$, sensible heat flux (H) and latent heat flux (λE) for the Tibetan Plateau area are in good accordance with the land surface status, and they show a wide range due to the strong contrast of surface features over there (Figs. 1, 3 and 4). Surface reflectance is from 0.00 to 0.50 in January (some of the surface reflectance with value large than 0.50 indicating cloud-covering), it is from 0.00 to 0.45 in April (some of the surface reflectance with value large than 0.45 indicating cloud-covering), it is from 0.00 to 0.45 in August (some of the surface reflectance 19625

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with value large than 0.45 indicating cloud-covering), it is from 0.00 to 0.50 in October (some of the surface reflectance with value large than 0.50 indicating cloud-covering) (Fig. 3); surface temperature ranges from -30°C to 20°C in January, it ranges from -40°C to 36°C in April, it is from -20°C to 55°C in August, and it is from -30°C to 30 °C in October (the blue parts in the distribution maps indicating cloud-covering) (Fig. 3); R_n change from 115 to 550 W m⁻² in January, it varies from 170 to 700 W m⁻² in April, it is from 200 to 920 W m⁻² in August, and varies from 100 to 700 W m⁻² in October; G_0 varies from 30 to 140 W m⁻² in January, it changes from 50 to 220 W m⁻² in April, it is from 80 to 275 W m⁻² in August, and varies from 80 to 260 W m⁻² in October (Fig. 4); H change from 0 to 280 W m⁻² in January, it varies from 0 to 300 W m⁻² in April, it is from 0 to 200 W m⁻² in August, and varies from 0 to 480 W m⁻² in October; λE is from 40 to 250 W m⁻² in January, it varies from 40 to 400 W m⁻² in April, it changes from 135 to 640 W m⁻² in August, and varies from 40 to 450 W m⁻² in October(Fig. 4); (2) the derived surface reflectance, surface temperature, net radiation flux, soil heat flux, sensible heat flux and latent heat flux over the Tibetan Plateau area are very close to the field measurements. The difference between the derived results and the field observation APD is less than 10% (Tables 1, 2 and Fig. 5); and (3) the mean net radiation flux over the TP area is increasing from January to April and August, then decreasing from October. They are 415 W m⁻², 487 W m⁻², 630 W m⁻² and 505 W m⁻²; The mean surface soil heat flux over the TP area is increasing from January to April and August, then decreasing from October. They are 99 W m⁻², 125 W m⁻², 175 W m⁻² and 131 W m⁻²; the mean sensible heat flux over the TP area is decreasing from January to April and August, then increasing from October. They are 190 W m⁻², 142 W m⁻², 114 W m⁻² and 192 W m⁻²; the mean latent heat flux over the TP area is increasing from January to April and August, then decreasing from October. They are 141 W m⁻², $254 \,\mathrm{W}\,\mathrm{m}^{-2}$, $350 \,\mathrm{W}\,\mathrm{m}^{-2}$ and $278 \,\mathrm{W}\,\mathrm{m}^{-2}$.

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In this study, the regional distributions of surface reflectance, surface temperature, net radiation flux, soil heat flux, sensible heat flux and latent heat flux over heterogeneous landscape of the Tibetan Plateau are derived with the aid of MODIS and the in-situ data. Compared with the field measurements, the proposed methodology has been proved to be a better approach to getting related land surface heat fluxes over heterogeneous landscape. It forms a sound basis to study the exchange processes of heat and water on heterogeneous land surface.

Regionalization the net radiation heat flux, soil heat flux, sensible heat flux and latent heat flux over heterogeneous landscape is not an easy issue. The parameterization methodology presented in this research is still in developing stage due to only a single set of values at a specific time of a certain day are used in this research. To reach more accurate regional land surface heat fluxes over the Tibetan Plateau area, more field observations, more accurate radiation transfer models to determine the surface reflectance and surface temperature, and some other satellites such as GMS (Geo-stationary Meteorological Satellite), ATSR (Along Track Scanning Radiometer) and AVHRR (Advanced Very High-Resolution Radiometer) have to be used. These research works will be done in the next step.

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Table 1. Comparison of the derived surface albedo, surface temperature, net radiation flux and soil heat flux(Cal.) versus the values measured (Meas.)at the Tibetan Plateau with absolute percent difference (APD).

January $r_{0(-)}$				<i>T</i> _s (°C)			R_n (Wm ⁻²)			$G_0(\text{Wm}^{-2})$			
Sites	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	
Haibei	0.21	0.22	4.5%	20.0	19.0	5.3 %	347	331	4.8 %	64	59	8.5%	
Maqu	0.22	0.23	4.3%	19.0	18.0	5.6%	383	353	8.5%	93	85	9.4%	
D105	0.26	0.25	4.0%	11.0	10.0	10.0%	351	321	9.3%	99	91	8.8%	
Amdo	0.21	0.23	8.7%	16.0	15.0	6.7%	382	354	7.9%	96	92	4.3%	
NPAM	0.18	0.19	5.3%	15.0	14.0	7.1 %	404	413	2.2%	68	62	9.7%	
BJ	0.25	0.27	7.4%	2.0	2.0	0.0%	311	285	9.1%	94	87	8.0%	
NAMOR	0.43	0.41	4.9%	-2.0	-2.0	0.0%	358	343	4.4%	72	66	9.1%	
QOMS	0.28	0.29	3.4%	6.0	6.0	0.0%	367	337	8.9v%	103	94	9.6%	
SETS	0.18	0.19	5.3%	18.0	19.0	5.3 %	436	457	4.6 %	54	50	8.0%	
April	r ₀₍₋₎				<i>T</i> _s (°C)			R_n (Wm ⁻²)			$G_0(\text{Wm}^{-2})$		
Sites	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	
Haibei	0.24	0.22	9.1%	14.0	15.0	6.7%	603	621	2.9%	86	79	8.9%	
Maqu	0.24	0.23	4.3%	21.0	23.0	8.7%	449	431	4.2 %	90	82	9.7%	
D105	0.25	0.24	4.2%	13.0	12.0	8.3%	352	323	9.0%	86	80	7.5%	
Amdo	0.23	0.21	9.5%	29.0	27.0	7.4%	410	388	5.7%	89	83	7.2%	
NPAM	0.19	0.18	5.6%	35.0	34.0	2.9%	606	644	5.9%	91	85	7.1%	
BJ	0.23	0.22	4.5%	29.0	27.0	7.4%	441	432	2.1%	108	101	6.9%	
NAMOR	0.22	0.21	4.8%	17.0	16.0	6.3%	522	515	1.4%	96	92	4.3%	
QOMS	0.27	0.26	3.8%	20.0	21.0	4.8%	475	466	1.9%	139	133	4.5%	

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Table 1. Continued.

August	r ₀₍₋₎				<i>T</i> _s (°C)			R_n (Wm ⁻²)			$G_0(\text{Wm}^{-2})$		
Sites	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	
Haibei	0.23	0.22	4.5%	21.0	22.0	4.5%	358	350	2.3%	88	82	7.3%	
Maqu	0.18	0.19	5.3%	24.0	25.0	4.0%	694	639	8.6%	138	126	9.5%	
D105	0.22	_	_	19.0	_	_	633	_	_	110	101	8.9%	
Amdo	0.19	_	_	20.0	_	_	686	_	_	126	_	_	
NPAM	0.14	0.13	7.7%	20.0	19.0	5.3%	737	780	5.5 %	115	108	6.5%	
BJ	0.15	0.16	6.3%	24.0	23.0	4.3%	702	665	5.6 %	142	135	5.1%	
NAMOR	0.18	0.19	5.3%	27.0	29.0	6.9%	715	656	9.0%	148	139	6.5%	
QOMS	0.18	0.17	5.9%	21.0	20.0	5.0%	700	678	3.2 %	180	169	6.5%	
SETS	0.18	0.17	5.9%	25.0	27.0	7.4%	751	724	3.7 %	92	87	5.7%	
October	r ₀₍₋₎				<i>T_s</i> (°C)			R_n (Wm ⁻²)			$G_0(\text{Wm}^{-2})$		
Sites	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	
Haibei	0.16	0.17	5.9%	3.0	3.0	0.0%	478	456	4.8 %	82	77	6.5 %	
Maqu	0.18	0.19	5.3%	24.0	26.0	7.7%	476	434	9.7%	93	86	8.1%	
D105	0.23	0.21	9.5%	15.0	16.0	6.3%	465	432	7.6 %	100	91	9.9%	
Amdo	0.21	_	_	19.0	21.0	9.5%	475	_	_	107	116	7.8%	
NPAM	0.17	0.16	6.3%	14.0	13.0	7.7%	516	527	2.1%	73	67	9.0%	
Б.	0.21	0.20	5.0%	20.0	21.0	4.8%	443	406	9.1%	126	117	7.7%	
BJ	0.21	00											
NAMOR	0.21	-	_	-1.0	-1.0	0.0%	479	_	_	93	85	9.4%	
-		0.25	8.0%	-1.0 17.0	-1.0 16.0	0.0 % 6.3 %	479 448	424	- 4.7 %	93 132	85 136		
NAMOR	0.33	_	8.0 % 5.9 %					424 -	4.7 % –			9.4 % 2.9 % 9.2 %	

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Table 2. Comparison of the derived sensible heat flux and latent heat flux(Cal.) versus the values measured (Meas.)at the Tibetan Plateau with absolute percent difference (APD).

	January: $H(Wm^{-2}) \lambda E(Wm^{-2})$							April: $H(Wm^{-2}) \lambda E (Wm^{-2})$						
Sites	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD		
Haibei	197	211	6.6%	138	146	5.5%	400	381	5.0%	264	268	1.5%		
Maqu	155	142	9.2%	36	33	9.1%	286	296	3.4%	156	143	9.1%		
D105	281	267	5.2%	20	19	5.3%	283	289	2.1%	153	148	3.4 %		
Amdo	267	275	2.9%	16	15	6.7%	298	307	3.0%	142	135	5.2 %		
NPAM	281	264	6.4%	28	30	6.7%	378	395	4.3%	124	114	8.8%		
BJ	176	192	8.3%	12	11	9.1%	342	355	3.7%	119	127	6.3 %		
NAMOR	267	270	1.1 %	17	16	6.3%	172	169	1.8%	176	181	2.8 %		
QOMS	225	244	7.8%	46	42	9.5%	142	148	4.1%	37	40	7.5%		
SETS	267	252	6.0%	19	18	5.6 %	139	144	3.5%	145	141	2.8 %		
	August: $H(Wm^{-2}) \lambda E(Wm^{-2})$						October: $H(Wm^{-2}) \lambda E (Wm^{-2})$							
Sites	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD	Cal.	Meas.	APD		
Haibei	120	111	8.1 %-	279	264	5.7%	235	253	7.1 %	97	93	4.3%		
Maqu	158	_	_	560	_	_	95	101	5.9%	101	92	9.8%		
D105	174	_	_	349	_	_	342	347	1.4%	82	78	5.1 %		
Amdo	162	_	_	398	_	_	137	131	4.6%	90	86	4.4%		
NPAM	160	176	9.1%	575	603	4.6%	398	420	5.5%	170	158	7.6%		
BJ	159	173	8.8%	411	398	3.3 %	275	294	6.5%	42	39	7.7%		
NAMOR	129	141	8.5%	468	506	7.5%	102	96	6.3%	57	54	5.6%		
QOMS	77	82	6.1 %	220	201	9.5%	137	140	2.1%	26	24	8.3%		
SETS	106	112	5.4%	469	482	2.7%	132	136	2.9%	151	141	7.1 %		

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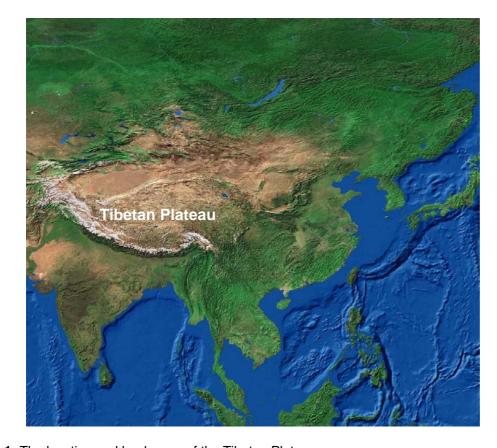


Fig. 1. The location and landscape of the Tibetan Plateau.

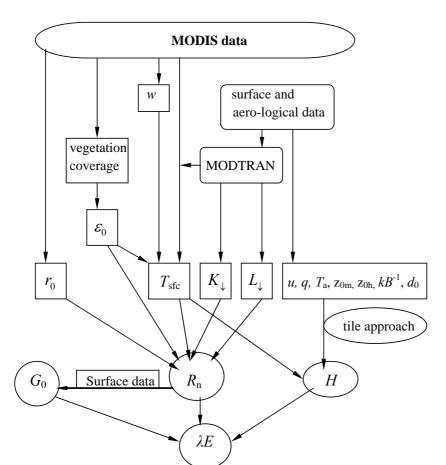


Fig. 2. The diagram of parameterization procedure to determine surface reflectance, surface temperature, net radiation flux, soil heat flux, sensible heat flux and latent heat flux by combining MODIS data with field observations.

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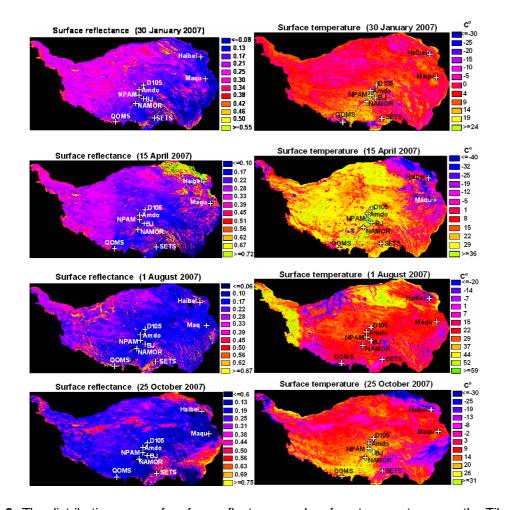


Fig. 3. The distribution maps of surface reflectance and surface temperature over the Tibetan Plateau area.



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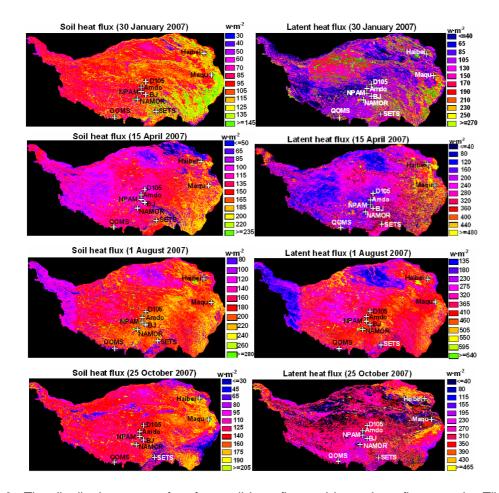


Fig. 4. The distribution maps of surface soil heat flux and latent heat flux over the Tibetan Plateau area.



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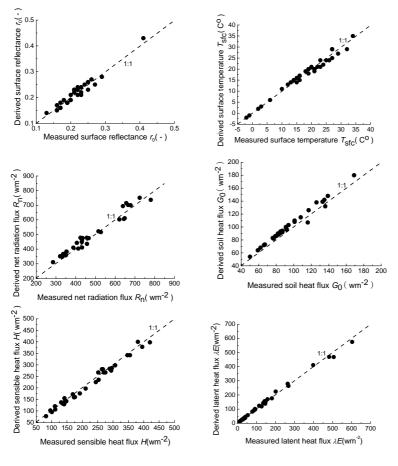


Fig. 5. Comparison of derived results with field measurements for the surface reflectance, surface temperature, net radiation flux, soil heat flux, sensible heat flux and latent heat flux over the Tibetan Plateau area, together with a 1:1 line.