Atmos. Chem. Phys. Discuss., 11, 18249–18318, 2011 www.atmos-chem-phys-discuss.net/11/18249/2011/ doi:10.5194/acpd-11-18249-2011 © Author(s) 2011. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Chemistry and Physics (ACP). Please refer to the corresponding final paper in ACP if available.

Primary versus secondary contributions to particle number concentrations in the European boundary layer

C. L. Reddington¹, K. S. Carslaw¹, D. V. Spracklen¹, M. G. Frontoso², L. Collins¹, J. Merikanto^{1,3}, A. Minikin⁴, T. Hamburger⁴, H. Coe⁵, M. Kulmala³, P. Aalto³, H. Flentje⁶, C. Plass-Dülmer⁶, W. Birmili⁷, A. Wiedensohler⁷, B. Wehner⁷, T. Tuch⁷, A. Sonntag⁷, C. D. O'Dowd⁸, S. G. Jennings⁸, R. Dupuy⁸, U. Baltensperger⁹, E. Weingartner⁹, H.-C. Hansson¹⁰, P. Tunved¹⁰, P. Laj¹¹, K. Sellegri¹², J. Boulon¹², J.-P. Putaud¹³, C. Gruening¹³, E. Swietlicki¹⁴, P. Roldin¹⁴, J. S. Henzing¹⁵, M. Moerman¹⁵, N. Mihalopoulos¹⁶, G. Kouvarakis¹⁶, V. Ždímal¹⁷, N. Zíková¹⁷, A. Marinoni¹⁸, P. Bonasoni¹⁸, and R. Duchi¹⁸

¹Institute for Climate and Atmospheric Science, School of Earth and Environment, University of Leeds, Leeds, UK

²C2SM – ETH Zürich, Zürich, Switzerland

³Division of Atmospheric Sciences, Department of Physics, University of Helsinki, Helsinki, Finland

⁴Deutsches Zentrum für Luft- und Raumfahrt (DLR), Institut für Physik der Atmosphäre, Oberpfaffenhofen, Germany





⁵School of Earth, Atmospheric and Environmental Sciences, University of Manchester, Manchester, UK

⁶Deutscher Wetterdienst, Meteorologisches Observatorium Hohenpeißenberg, Germany ⁷Leibniz Institute for Tropospheric Research, Leipzig, Germany

⁸School of Physics & Centre for Climate and Air Pollution Studies, Ryan Institute,

National University of Ireland Galway, University Road, Galway, Ireland

⁹Paul Scherrer Institut, Laboratory for Atmospheric Chemistry, 5232 Villigen, Switzerland

¹⁰Institute for Applied Environmental Research, Stockholm University, Stockholm, Sweden

¹¹UJF-Grenoble 1 / CNRS, LGGE UMR 5183, Grenoble 38041, France

¹²Laboratoire de Météorologie Physique, CNRS, Université Blaise Pascal,

Aubière cedex, France

¹³European Commission, Joint Research Centre, Institute of Environment and Sustainability, Ispra, Italy

¹⁴Division of Nuclear Physics, Lund University, P.O. Box 118, 22100 Lund, Sweden

¹⁵Netherlands Organisation for Applied Scientific Research TNO, Utrecht, The Netherlands

¹⁶Environmental Chemical Processes Laboratory, Department of Chemistry,

University of Crete, Heraklion, Crete, Greece

¹⁷Institute of Chemical Process Fundamentals of the AS CR, Prague, Czech Republic

¹⁸CNR- Institute for Atmospheric Sciences and Climate, Bologna, Italy

Received: 31 May 2011 - Accepted: 8 June 2011 - Published: 28 June 2011

Correspondence to: C. L. Reddington (c.reddington@see.leeds.ac.uk)

Published by Copernicus Publications on behalf of the European Geosciences Union.

Discussion Pa	AC 11, 18249–	PD 18318, 2011							
per Discu	Prima seco contributi concen	ary vs. ndary ons to PN trations							
ssion Pap	C. L. Redd	ington et al.							
eŗ	Title Page								
—	Abstract	Introduction							
Discu	Conclusions	References							
Jssion	Tables	Figures							
Pape	14	►I							
-	•	•							
D	Back	Close							
Scuss	Full Scre	een / Esc							
ion Pa	Printer-frier	ndly Version							
aper	Interactive	Discussion							



Abstract

It is important to understand the relative contribution of primary and secondary particles to regional and global aerosol so that models can attribute aerosol radiative forcing to different sources. In large-scale models, there is considerable uncertainty associated with treatments of particle formation (nucleation) in the boundary layer (BL) and in the size distribution of emitted primary particles, leading to uncertainties in predicted cloud condensation nuclei (CCN) concentrations. Here we quantify how primary particle emissions and secondary particle formation influence size-resolved particle number concentrations in the BL using a global aerosol microphysics model and observations made during the May 2008 campaign of the European Integrated Project on Aerosol Cloud Climate Air Quality Interactions (EUCAARI). Observations are available from the DLR Falcon 20 aircraft and from 15 ground sites of the European Supersites for Atmospheric Aerosol Research (EUSAAR) and the German Ultrafine Aerosol Network (GUAN). Measurements include total and non-volatile particle number concentrations

- and the particle size distribution between ~3 nm and ~1 μm. We tested four different parameterisations for BL nucleation and two assumptions for the emission size distribution of anthropogenic and wildfire carbonaceous particles. When we emit small carbonaceous particles (recommended by the Aerosol Intercomparison project, AE-ROCOM), the spatial distributions of campaign-mean number concentrations >50 nm
- ²⁰ (N_{50}) and >100 nm (N_{100}) dry diameter were well captured by the model ($R^2 \sim 0.9$) and the normalised mean bias (NMB) was also small (-5% for N_{50} and 12% for N_{100}). Emission of larger particles, which we consider to be more realistic for global models, results in equally good correlation but larger bias ($R^2 \sim 0.8$, NMB = -51% and -21%), which could be partly but not entirely compensated by BL nucleation. The model also
- ²⁵ predicts the particle concentration frequency distribution fairly well, with an overlap of modelled and observed N_{50} hourly histograms of ~60% across all sites. However, the model-observation temporal correlation on an hourly time scale is poor ($R^2 \le 0.1$) for this period. These comparisons show that caution is required when drawing conclu-





sions about model realism from time or site-averaged data or frequency histograms when deterministic behaviour is not captured at individual sites. From this 1-month intensive European dataset it is not possible to determine a reliable estimate of the fraction of CCN-sized particles from primary and secondary sources, although the size of primary emitted particles is shown to be a major source of uncertainty.

1 Introduction

5

Atmospheric aerosol particles are generally classified as either primary or secondary depending on their source or origin. Increases in the number concentrations of primary and secondary aerosol from anthropogenic sources have been shown to increase the number concentrations of cloud condensation nuclei (CCN) and cloud drops (e.g. Ramanathan et al., 2001), potentially modifying the properties of clouds (e.g. Lohmann and Feichter, 2005). However, there are large uncertainties associated with the primary emission fluxes and secondary formation rates of atmospheric aerosol, leading to uncertainties in predicted global CCN concentrations (Pierce and Adams, 2009;
Merikanto et al., 2009) and ultimately cloud radiative forcing.

Primary particles are emitted directly into the atmosphere from natural sources such as volcanoes, forest fires, sea spray, and windborne dust, and anthropogenic sources such as fossil fuel burning in combustion engines and power plants. Primary particle emissions are estimated to contribute about 55 % of global CCN number concentra-

- tions (at 0.2% supersaturation) in the boundary layer (BL), and up to 70% in polluted continental regions (Merikanto et al., 2009). However, Merikanto et al. (2009) also showed that the estimated contribution of primary particles to CCN is uncertain due to uncertainties in the size distribution of the emitted particles. Aerosol modelling studies often use different parameterisations for the prescribed emission size distribution
- (e.g. Textor et al., 2006), leading to significant differences in modelled primary particle number and thus estimated CCN number concentrations (Spracklen et al., 2010). Spracklen et al. (2011) demonstrate that primary carbonaceous particles make an im-





portant contribution to the aerosol indirect effect, but estimates vary by a factor of \sim 3 depending on the prescribed emission size distribution.

Secondary aerosol particles are formed in the atmosphere through homogeneous nucleation (gas-to-particle conversion) of both natural and anthropogenic gaseous pre-

- ⁵ cursors. Once formed, a fraction of nucleated particles undergo subsequent growth through condensation of gas-phase species and self-coagulation, and have the potential to reach particle sizes relevant for CCN and cloud drop formation (Kerminen et al., 2005). Secondary aerosol formation has been observed to occur globally over many different regions both within the BL and in the upper free troposphere (FT) (see
- Kulmala et al., 2004, and references therein). Observations (Lihavainen et al., 2003; Laaksonen et al., 2005) and modelling studies (Spracklen et al., 2008; Merikanto et al., 2009; Yu and Luo, 2009) have shown that secondary particles make important contributions to regional and global CCN concentrations. Globally, 45% of CCN (0.2%) in the BL are estimated to derive from nucleation (Merikanto et al., 2009), although again
- this number is uncertain (range 31–49%) due to uncertainties in nucleation rates and the properties of the primary particles. The uncertainties estimated in Merikanto et al. (2009) may be too low since they did not take into account the multiple plausible nucleation mechanisms (e.g. Spracklen et al., 2010; Metzger et al., 2010; Paasonen et al., 2010; Yu et al., 2010).
- The process of binary homogeneous nucleation (BHN) of water and sulphuric acid (Kulmala and Laaksonen, 1990; Kulmala et al., 1998; Vehkamäki et al., 2002), with its strong temperature dependence, is able to reproduce high particle concentrations observed in the cold free and upper troposphere (Adams and Seinfeld, 2002; Spracklen et al., 2005a). But in the warmer lower troposphere production rates are low (Lucas and Akimoto, 2006). Additional mechanisms have been suggested to explain observed
- particle formation such as ternary nucleation of water, sulphuric acid and ammonia (Kulmala et al., 2000; Anttila et al., 2005; Merikanto et al., 2007); and ion-induced nucleation (Laakso et al., 2002; Modgil et al., 2005), but their contribution to secondary particle concentrations in the continental BL is thought to be fairly limited (Anttila et al.,





2005; Laakso et al., 2007; Kulmala et al., 2007; Boy et al., 2008; Elleman and Covert, 2009).

Observations of BL nucleation events at various European surface measurement sites have revealed a strong correlation between the measured particle formation rate

- and the gas-phase concentration of sulphuric acid to the power of one or two (e.g. Sihto et al., 2006; Riipinen et al., 2007; Paasonen et al., 2009, 2010). By measuring newly formed particles (~1.5 nm in diameter) in the laboratory, Sipilä et al. (2010) have recently confirmed the linear and squared relationships between nucleation rate and sulphuric acid concentration that are observed in the atmosphere. These observations
- ¹⁰ have been used to develop empirical nucleation rates, where the formation rate of sub-3 nm molecular clusters (J_{nuc}) is related to the gas-phase sulphuric acid concentration ([H₂SO₄]) with either a linear i.e. $J_{nuc} = A[H_2SO_4]$, or a squared i.e. $J_{nuc} = K[H_2SO_4]^2$ dependence (e.g. Weber et al., 1996; Kulmala et al., 2006; Sihto et al., 2006; Riipinen et al., 2007). The values of the nucleation rate coefficients *A* and *K*; derived from
- ¹⁵ surface observations of particle formation events, vary spatially and temporally in the European BL (e.g. Sihto et al., 2006; Riipinen et al., 2007). Riipinen et al. (2007) find that rate coefficients differ by more than a order of magnitude between different European measurement sites: $A = 3.3 \times 10^{-8} - 3.5 \times 10^{-4} \text{ s}^{-1}$ (for the activation mechanism) and $K = 2.4 \times 10^{-15} - 1.3 \times 10^{-10} \text{ cm}^3 \text{ s}^{-1}$ (for the kinetic mechanism). A model analy-²⁰ sis of global particle number concentrations using such empirical relations (Spracklen
- et al., 2010) shows reasonable agreement with observations at many worldwide sites, albeit with unexplained biases at some sites.

Other condensable vapours such as organic compounds may also influence the nucleation rate (e.g. Metzger et al., 2010; Paasonen et al., 2010; Kerminen et al., 2010).

Paasonen et al. (2010) present several nucleation mechanisms that are analogous to the kinetic- and activation-type nucleation theories, but consider the participation of low-volatility organic compounds in the cluster formation process both in addition to sulphuric acid and as the exclusive nucleating vapour. When evaluated against measurements from European ground sites, Paasonen et al. (2010) find the most promis-





ing mechanism involves homogeneous (kinetic-type) nucleation of sulphuric acid both homomolecularly and heteromolecularly with the low-volatility organic vapours ($J_{nuc} = k_1[H_2SO_4]^2 + k_2[H_2SO_4][organic]$). In a laboratory study, Metzger et al. (2010) find measured particle formation rates are proportional to the product concentrations of H_2SO_4 and a molecule of an organic condensable species ($J_{nuc} = k[H_2SO_4][organic]$). Parameterising this process in a global aerosol model showed improved agreement with ambient observations compared to control runs (Metzger et al., 2010).

5

In this study, we use the same aerosol microphysical model as Spracklen et al. (2010) and extensive observations of European aerosol to perform a more in depth study of

- primary and secondary aerosol focussing on the European BL. We aim to better understand the absolute and relative contributions of primary and secondary particles to particle concentrations over Europe, and how the contributions vary across the particle size distribution (nucleation, Aitken and accumulation mode sizes). We test different parameterisations for BL nucleation (including the recently proposed organic/sulphuric
- acid nucleation mechanisms in addition to the widely used activation and kinetic nucleation mechanisms), and different assumptions about the sizes and number concentrations of primary particle emissions that are typical for global aerosol and climate models. To evaluate the model, we use surface-based and airborne measurements of total particle number concentrations and size distribution from the Intensive Obser-
- vation Period (conducted in May 2008) of the European Integrated Project on Aerosol Cloud Climate Air Quality Interactions (EUCAARI; Kulmala et al., 2009). This study is a demanding test for a relatively low spatial resolution global model against intensive observations in a particular meteorological setting – in this case a highly polluted anti-cyclonic period with a transition to a more dynamic situation.





2 The EUCAARI intensive observation period

2.1 Aircraft and surface-based observations

A key phase of the EUCAARI Intensive Observation Period (IOP) was the Long Range Experiment (LONGREX), during which in-situ and remote sensing aerosol measure-⁵ ments were performed by the DLR Falcon 20 operating between 6 and 24 May 2008. Particle number concentrations >4 nm (N_4) and >10 nm (N_{10}) diameter (D_p) were measured onboard the Falcon aircraft using two condensation particle counters (CPC, TSI models 3760A and 3010). The number concentration of non-volatile particles (D_p >14 nm) were measured using an additional CPC with a thermodenuder inlet set to a temperature of 250 °C (Burtscher et al., 2001). The total particle and non-volatile residual size distributions were measured in the dry size range $D_p \sim 0.16-6 \,\mu\text{m}$ using a Passive Cavity Aerosol Spectrometer Probe-100X (PCASP; e.g. Liu et al., 1992) and Grimm Optical Particle Counter (OPC), respectively. CPC and PCASP measurements were used to calculate particle number concentrations in three size ranges 4–10 nm,

15 10–160 nm and 160–1040 nm that are roughly representative of the nucleation, Aitken and accumulation mode size classes, respectively. Measurements from 15 flights have been used in this study; the tracks of these flights are shown in Fig. 1 (flight sections where the altitude of the aircraft was at or below 2000 m a.s.l. are shown in bold).

The IOP also included spatially extensive surface-based measurements from the Eu-

- ²⁰ ropean Supersites for Atmospheric Aerosol Research (EUSAAR; www.eusaar.net) and from the German Ultrafine Aerosol Network (GUAN; Birmili et al., 2009). The 15 ground sites selected for this study (see Table 1 and Fig. 1) are spread across Europe and include coastal, boreal forest, mountain, and rural environments, and sample a range of air masses from polluted to remote continental and marine. A brief description of each site is given in Table 1. More detailed information on the location of each site and the
- particle number concentrations observed can be found in the overview article of Asmi et al. (2011).





Diurnal variation of BL height means that the high altitude mountain sites may not be located in the BL at all times. Therefore, without detailed screening, measurements at these sites will not be fully representative of aerosol in the European BL. Although this study focuses on the BL, it is important to include these measurements to ob-

- tain a detailed overview of aerosol number concentrations over Europe during the IOP. Variations in BL height are simulated in the model used here, but have not been evaluated specifically at the ground sites in this study. In addition to variations in BL height, the particle number concentrations measured at mountain sites are also influenced by thermal winds or forced convection (Weingartner et al., 1999; Venzac et al., 2009), resolutions in diamate avaluation of a study of the study.
- ¹⁰ sulting in diurnal cycles in aerosol, which a relatively coarse resolution global model, like the one used here, is unable to capture.

Measurements of the aerosol particle number size distribution were made using either a Scanning (SMPS) or Differential Mobility Particle Spectrometer (DMPS) (e.g. Wang and Flagan, 1990) with minimum detection limits in the diameter range 3–13 nm.

- ¹⁵ Most instruments were operated according to the EUSAAR recommendations for mobility spectrometers (Wiedensohler et al., 2010), which ensure a maximum comparability of the data collected at different measurement sites. A particular requirement is particle sizing at low relative humidities (<40%). A Europe-wide intercomparison of instruments by the same authors showed that under defined laboratory conditions,
- ²⁰ the number size distributions of such instruments were equivalent within ±10% for the diameter range 20–200 nm. Below 20 nm the uncertainty increases considerably. To reduce the uncertainty in the observations, we restrict our analysis to the measured number size distribution above 15 nm. At Cabauw, measurements below 30 nm were affected by noise, so for this location we restrict our analysis to D_p >30 nm. In addition,
- ²⁵ measurements at Cabauw have not been corrected for diffusional losses. Total particle number concentrations, D_p >15 nm (D_p >30 nm at Cabauw) were calculated from the observed size distribution.

To compare the model to the aircraft and surface observations, we linearly interpolate the simulated data along the flight path of the aircraft and to the horizontal location





of the ground site (using the model level corresponding to the altitude of the site). The same minimum cut-off size of the instruments (see above) is also applied to the model. Prior to analysis, simulated data corresponding to periods of missing measurement data were removed. All particle number concentrations are reported at ambient temperature and pressure.

To compare model and observations we use the normalised mean bias (NMB) statistic:

NMB(%) =
$$\frac{\sum_{i=1}^{n} (S_i - O_i)}{\sum_{i=1}^{n} O_i} \times 100$$

5

where S_i and O_i are the simulated and observed particle number concentrations, respectively. For comparison with the aircraft and surface observations over the IOP, the NMB, correlation coefficient (R^2), and slope of the linear regression (m) are calculated between the campaign-mean modelled and observed number concentration from each flight or each ground site, *i*. In addition, we calculate the NMB and R^2 between the hourly-mean observed and simulated number concentrations at each ground site (where *i* represents the hour), denoted by NMB_{hourly} and R^2_{hourly} .

2.2 Synoptic conditions

During the first half of the IOP (~1–15 May 2008, hereafter Period A) the meteorolog-ical conditions over Central Europe were dominated by a relatively static anticyclonic blocking event. Relatively dry and stable conditions led to an accumulation of European aerosol pollution inside the BL within the centre of the high pressure system (Hamburger et al., 2011). High particle number concentrations were observed at the surface during Period A (see Sect. 4.4). The synoptic conditions during the second half of the IOP (~16–31 May 2008, hereafter Period B) were dominated by passage of a number of frontal systems over Central Europe. These systems resulted in an increase in precipitation and a reduction in both the condensation sink and particle number concentrations, observed at the majority of the Central European ground sites.





Hamburger et al. (2011) provide a more detailed description of the synoptic and pollution situation over Europe during May 2008.

3 Model description

The Global Model of Aerosol Processes (GLOMAP) (Spracklen et al., 2005a,b) simulates the evolution of size and composition resolved aerosols, including their interaction with trace gases and clouds. The host model for GLOMAP is the TOMCAT global 3-D off-line Eulerian chemical transport model (Chipperfield, 2006). Large scale atmospheric transport and meteorology in TOMCAT is specified from European Centre for Medium-Range Weather Forecasts (ECMWF) analyses, updated every 6 h. Turbulent
¹⁰ mixing in the BL and BL height are calculated using the parameterisation of Holtslag and Boville (1993). All the results have a horizontal resolution of 2.8°×2.8° and 31 vertical levels between the surface and 10 hPa. The vertical resolution in the BL ranges from ~60 m near the surface to ~400 m at ~2 km a.s.l.

Here, we use GLOMAP-bin in which the aerosol size distribution is specified in terms
of a two-moment sectional (bin) scheme with 20 bins spanning 3 nm to 10 µm dry diameter. The aerosol particles undergo microphysical processes (coagulation, condensational growth and in-cloud processing) that alter the aerosol number size distribution in the model. The processes of dry deposition and in-cloud/below-cloud aerosol scavenging and deposition act to remove the aerosol particles. In the following sections, we
describe the features of the model that are relevant to this study. For a more detailed model description see Spracklen et al. (2005a,b).

3.1 Gas-phase emissions and chemistry

25

SO₂ emissions are from industrial, power-plant, domestic, shipping, road transport, and off-road sources following Cofala et al. (2005) and from volcanic sources from Andres and Kasgnoc (1998). Oceanic emissions of DMS are calculated using the





database of Kettle and Andreae (2000) and the sea-to-air transfer velocity according to Nightingale et al. (2000). Gas-phase sulphuric acid is calculated using a simplified sulphur cycle scheme based on 7 reactions involving SO₂, DMS, MSA and other minor species (Spracklen et al., 2005a). Concentrations of oxidants OH, O₃ and NO₃ and HO₂ are specified using 6-hourly monthly-mean 3-D gridded concentration fields from a TOMCAT simulation with detailed tropospheric chemistry (Arnold et al., 2005). The oxidants are read in at 6-h intervals and linearly interpolated onto the model timestep. Emissions of biogenic terpenes are specified by the GEIA inventory (Benkovitz et al., 1996) and are based on Guenther et al. (1995).

10 3.2 Primary particles

In the model we include emissions of primary carbonaceous aerosol from anthropogenic sources (fossil fuel (FF) and biofuel (BF) burning) following Bond et al. (2004); and biomass burning following van der Werf et al. (2003). There are some difficulties in defining the type of carbonaceous species in an aerosol model since the definition

is based upon the measurement technique e.g. light absorption. The carbonaceous aerosol fraction is defined by Bond et al. (2004) to consist of: black carbon (BC; the mass of combustion-generated, sp²-bonded carbon that absorbs the same amount of light as the emitted particles) and organic carbon (OC), simply the mass of carbon that is not defined as BC. It is important to note that Bond et al. (2004) treat all elemental
 carbon measurements as BC. Henceforth, we refer to the carbonaceous combustion aerosol as BC+QC.

Emission inventories of BC+OC particles used in large scale models are typically mass based (e.g. Cooke et al., 1999; Bond et al., 2004). To estimate the emitted particle number concentration, models typically use a fixed log-normal size distribution with a specified peak number concentration (number median diameter, D) and

²⁵ tion with a specified peak number concentration (number median diameter, *D*) and distribution width (standard deviation, σ). The size and number of particles are then allowed to evolve during atmospheric transport. The choice of the emission size distribution is crucial in models since it not only governs the emitted particle number con-





centrations, but also affects microphysical aerosol processes that are size-dependent. However, there is a large range in values assumed by modellers for D (mass median diameters range from ~25 to ~850 nm; Textor et al., 2006). This range has important implications for the simulated number concentrations of primary BC+OC parti-5 cles (e.g. Spracklen et al., 2010), and predicted climate-relevant quantities such as CCN and aerosol optical depth, therefore increasing the uncertainty in estimates of aerosol radiative forcing (Bauer et al., 2010). As far as the authors are aware, recommended values of D and σ for large-scale models have only been provided by Dentener et al. (2006) as part of the Aerosol Intercomparison project (AEROCOM; http://nansen.ipsl.jussieu.fr/AEROCOM/).

One aim of our study is to test the sensitivity of the modelled aerosol over Europe to the size distribution of the emitted anthropogenic BC+OC. Keeping the emission mass fixed, we test two sets of parameters for the log-normal size distribution that are widely used in global aerosol modelling (shown in Fig. 2): those recommended by AEROCOM (fossil fuel emissions: $D_{FF} = 30$ nm, $\sigma_{FF} = 1.8$; wildfire and biofuel emissions: $D_{BF} =$

10

- 15 80 nm, $\sigma_{\text{BE}} = 1.8$) (Dentener et al., 2006); and those used by Stier et al. (2005) ($D_{\text{EE}} =$ 60 nm, $\sigma_{\text{FF}} = 1.59$; $D_{\text{BF}} = 150$ nm, $\sigma_{\text{BF}} = 1.59$). The emission size distribution used by Stier et al. (2005) has been adapted from AEROCOM recommendations to fit the standard deviation of the size modes in their model. In GLOMAP-bin, we are free to
- specify any shape distribution within the resolution offered by the 20 size bins. These 20 two emissions schemes imply very different BC+OC number concentrations (for fixed mass); AEROCOM requiring emitted number concentrations to be a factor ~8 higher than Stier et al. (2005) for fossil fuels.

The size for primary FF emissions recommended by Dentener et al. (2006), $D_{FF} =$ 30 nm is based on kerbside and urban background measurements in several European 25 cities (Putaud et al., 2004; Van Dingenen et al., 2004), where traffic related number size distributions were dominated by a mode at $D_{\rm p} = 20-30$ nm. However, these measurements may not be relevant for a relatively low resolution model like GLOMAP.





Within one grid box of the model, the emitted mass is generally conserved during transport and dispersion, but the emitted number size distribution is altered significantly by nucleation, condensation and coagulation (e.g. Kittelson, 1998; Wehner et al., 2002; Roldin et al., 2010). This makes it difficult to constrain the emitted number concentra-

- ⁵ tion (and particle size) appropriate for a large model grid size (~200 km at European latitudes) from kerbside and urban background measurements. Wehner et al. (2002) observe an 85% increase in particle diameter from the street canyon ($D_p = 13 \text{ nm}$) to the urban background ($D_p = 24 \text{ nm}$), which suggests the size of the particles may increase from $D_{\text{FF}} = 30 \text{ nm}$ over the model grid box.
- ¹⁰ Indications of the particle number size distribution of primary particles can also be gathered from the non-volatile residues of the particle number size distribution. In the urban atmosphere of Augsburg, Birmili et al. (2010) identified a clear non-volatile particle mode having a geometric mean diameter between 60 and 90 nm in number representation and around 200 nm in volume representation. In the rural background
- atmosphere of Central Europe, however, no such clear mode could be identified (Engler et al., 2007). Apparently, atmospheric processes (such as dilution with the background aerosol and/or aerosol dynamical processes) remove the number distribution fingerprint of urban primary emissions so fast that it is not a dominant part in the rural background size distribution any more. Additional support comes from the statistical the statistical definition of the statistical definition.
- analysis of multiple-site observations: Costabile et al. (2009) revealed that the coupling of urban and rural number size distributions is very strong in the mass-dominating accumulation mode range, but only modest in the Aitken mode range.

We encounter further uncertainty associated with the AEROCOM emission size when we consider the composition of the emitted particles. Many aerosol models assume a homogeneous size distribution for emitted primary BC and OC (e.g. Stier et al., 2005; Textor et al., 2006, Table 4 and references therein), but the median sizes of the BC and OC components are likely to differ in reality. The traffic related ultrafine mode in the range $D_p \sim 3-30$ nm is thought to be mostly made up of semi-volatile organic compounds formed during dilution and rapid cooling of exhaust emission gases





(Kittelson, 1998; Baltensperger et al., 2002). These particles may also contain carbon compounds (Kittelson, 1998), and can be broadly classed as primary organic matter (or OC) in the model. However, emission of the primary soot (BC) component is likely to be at sizes larger than the ultrafine mode (e.g. Baltensperger et al., 2002). A second mode, with a maximum around $D_p \sim 40-120$ nm, is often observed in kerbside and

urban background number size distributions (e.g. Kittelson et al., 2000; Geller et al., 2005; Wehner et al., 2009) and is associated with direct emissions of soot (BC) particles (e.g. Harris and Maricq, 2001).

These measurement studies indicate that the emission size of at least the BC compo-

- ¹⁰ nent is likely to be larger than assumed by Dentener et al. (2006) for FF emissions. And because of significant changes to the particle number size distribution due to subgridscale aerosol processes, it is likely that the particle size is too small to be appropriate for the GLOMAP grid size. Yu and Luo (2009) come to a similar conclusion about both the FF and BF emission sizes recommended by AEROCOM and assume val-
- ¹⁵ ues of $D_{FF} = 60$ nm and $D_{BF} = 150$ nm ($\sigma = 1.8$). For these reasons the emission sizes used by Stier et al. (2005) may be more relevant for our grid size. The AEROCOMrecommended emission sizes for primary BC+OC particles are representative of how the global aerosol modelling community treats the emission of carbonaceous aerosol, so we use them here in our sensitivity study. We therefore have two scenarios for size
- ²⁰ of BC+OC particles at emission: large particles (BCOC_lg; Stier et al., 2005) and small particles (BCOC_sm; AEROCOM, Dentener et al., 2006).

To account for sub-grid production of sulphate particulates, we assume that 2.5% of SO₂ from anthropogenic and volcanic sources is emitted as sulphuric acid particles. We use the size distribution for primary sulphate modified by Stier et al. (2005) from AEROCOM recommendations for the year 2000 (Dentener et al., 2006) (road transport: D = 60 nm, $\sigma = 1.59$; shipping, industry and power-plant emissions: 50% at D = 150 nm, $\sigma = 1.59$ and 50% at $D = 1.5 \mu$ m, $\sigma = 2.0$; wildfire, biofuel and volcanic emissions: 50% at D = 60 nm and 50% at D = 150 nm, $\sigma = 1.59$). Primary sea spray emissions are also included and are based on Gong et al. (2003).





3.3 Formation of secondary particles

5

A simple scheme for the formation of oxidised biogenic organic compounds or secondary organic aerosol (SOA) is included in all model simulations in this study. We assume that 13 % of the first stage oxidation products of biogenic monoterpenes, formed through the gas-phase reactions with O_3 , OH and NO_3 , produce SOA with zero vapour pressure that can condense onto pre-existing particles (Spracklen et al., 2006). Anthropogenic volatile or intermediate-volatile organic compounds are also known to con-

tribute to SOA formation, but we do not consider their contribution in this study.

The role of ammonium nitrate aerosol is not simulated in GLOMAP. We recognise that the contribution of nitrate aerosol may be important for accumulation-mode particle number concentrations but only towards the top of the BL, where partitioning of semi-volatile gas phase species to the particle phase occurs at reduced temperature and enhanced relative humidity (Morgan et al., 2010). We therefore assume that the contribution is small at the majority of the ground sites.

¹⁵ Secondary sulphate particles are formed through two mechanisms: binary homogeneous nucleation (BHN) of H₂SO₄-H₂O (Kulmala et al., 1998) to simulate nucleation in the FT; and an empirical particle formation mechanism based on H₂SO₄ specifically to capture nucleation events observed in the BL (Kulmala et al., 2006; Sihto et al., 2006). Previous GLOMAP studies have shown good agreement with observations at marine, continental and FT mountain sites using a combination of BHN and an empirical activation or kinetic nucleation mechanism in the BL (Spracklen et al., 2006, 2008,

2010). In Metzger et al. (2010), we tested an empirical nucleation mechanism involving low-volatility organic vapour in addition to H_2SO_4 , which showed very good agreement for the whole vertical profile of observed particle number concentrations, without being restricted to the BL.

In this study, we test four nucleation mechanisms (summarised in Table 2) intended to capture nucleation events observed in the BL, while allowing BHN to occur throughout the atmosphere in all model simulations. The activation mechanism (ACT) is de-





scribed by:

 $J_{\text{nuc}} = A[H_2SO_4]$

The sulphuric acid kinetic mechanism (KIN) is described by:

 $J_{\rm nuc} = K [H_2 SO_4]^2$

⁵ The combined organic and sulphuric acid (kinetic-type) mechanism of Metzger et al. (2010), which we call here, ORG1, is described by:

 $J_{\text{nuc}} = k[H_2SO_4][\text{organic}]$

We assume that the concentration of organic vapour ([organic]) can be represented by the gas-phase concentration of all first stage oxidation products of monoterpenes. We also test a new empirical mechanism of Paasonen et al. (2010) involving kinetic-

10

25

We also test a new empirical mechanism of Paasonen et al. (2010) involving kinetictype nucleation of sulphuric acid both homomolecularly and heteromolecularly with low-volatility organic vapours, which we term ORG2:

 $J_{\text{nuc}} = k_1 [H_2 SO_4]^2 + k_2 [H_2 SO_4] [\text{organic}]$

For this study, we have restricted the ACT and KIN nucleation mechanisms to the model BL, but allow the ORG1 and ORG2 mechanisms to occur throughout the atmosphere.

 ¹⁵ BL, but allow the ORG1 and ORG2 mechanisms to occur throughout the atmosphere. The nucleation rate coefficients (see Table 2) for the ACT and KIN mechanisms have been constrained with worldwide observations (Spracklen et al., 2010) and lie within the range derived independently from measurements of particle formation events at European ground sites (Riipinen et al., 2007). The rate coefficients for the ORG1 and ORG2 mechanisms are consistent with the studies of Metzger et al. (2010) and Paasonen et al. (2010), respectively. The value of the rate coefficient is fixed globally for all simulations.

To take into account scavenging losses of freshly nucleated clusters and condensable gases during growth in the BL nucleation model simulations, the production rate of measureable particles (or "apparent" nucleation rate, J_{app}) is controlled in the model

ACPD 11, 18249-18318, 2011 Paper Primary vs. secondary contributions to PN **Discussion** Paper concentrations C. L. Reddington et al. **Title Page** Introduction Abstract Discussion Paper Conclusions References **Tables Figures** ∎◄ Close Back **Discussion Paper** Full Screen / Esc **Printer-friendly Version** Interactive Discussion

(1)

(2)

(3)

(4)



by the cluster formation rate (J_{nuc}) and the pre-existing particle surface area following the approximation of Kerminen and Kulmala (2002):

$$J_{\text{app}} = J_{\text{nuc}} \exp\left[0.23\left(\frac{1}{d_{\text{app}}} - \frac{1}{d_{\text{crit}}}\right)\frac{\text{CS}'}{\text{GR}}\right]$$

where d_{app} (nm) is the diameter of the measureable particles (here we assume $d_{app}=3 \text{ nm}$) and d_{crit} (nm) is the diameter of the critical cluster. We assume $d_{crit}=0.8 \text{ nm}$ for the ACT and KIN mechanisms and assume sizes of 1.5 nm and 2 nm for the ORG1 and ORG2 mechanisms as used by Metzger et al. (2010) and Paasonen et al. (2010), respectively. GR (nm h⁻¹) is the growth rate of the nucleated clusters (assumed to be constant between d_{crit} and d_{app}) and CS' (m⁻²) is calculated by integrating over the aerosol size distribution (Kulmala et al., 2001). In the model, CS' (the reduced condensation sink) is calculated by summing over the aerosol size bins *j* (Spracklen et al., 2006):

$$\mathsf{CS}' = \sum_{j} \beta_{j} r_{j} N_{j}$$

where β_j is the transitional correction for the condensational mass flux (Fuchs and Sutugin, 1971), r_j is the particle radius and N_j is the particle number concentration. The reduced condensation sink, CS' is used to calculate the condensation sink, CS (s⁻¹):

 $CS = 4\pi DCS'$

where *D* is the vapour diffusion coefficient. Once a cluster has formed, subsequent growth in the model arises from condensation of sulphuric acid vapour up to a particle size of 3 nm and then growth to larger sizes through the condensation of both sulphuric acid and SOA (Spracklen et al., 2006). Nucleated particles are added to the model at 3 nm diameter.



(5)

(6)

(7)

3.4 Set-up of aerosol distributions

The aerosol distribution set-up in this study has been modified from that used in e.g., Spracklen et al. (2006, 2008, 2010) so as to track the number concentration of nonvolatile (BC-containing) particle cores separately from the other species for comparison

with observations. We note that sea salt particles also contribute to the non-volatile aerosol fraction as observed at the coastal site, Mace Head (Jennings and O'Dowd, 1990; O'Dowd and Smith, 1993). But for this study, we assume the non-volatile particle number concentration (*D*_p>14 nm) measured by the DLR Falcon aircraft is dominated by primary BC (soot) particles (Rose et al., 2006; Engler et al., 2007; Birmili et al., 2009) and that the contribution of sea salt particles to the number concentration aloft over continental Europe is relatively small (e.g. Putaud et al., 2004).

The model was set up with two externally mixed particle distributions: distribution 1 contains BC, OC and sulphate; and distribution 2 contains sulphate, sea salt, BC, and OC. Primary BC+OC particles are emitted into distribution 1 and the particles can grow

- ¹⁵ by irreversible condensation of SOA and H₂SO₄, with the SOA being associated with the OC component in the particles. Nucleated particles are emitted into distribution 2, along with primary sulphate and sea spray, but BC+OC particles enter only through coagulation with distribution 1. The smaller particles in this distribution tend to be nucleated sulphate particles and the larger particles are a mixture of all components.
- In our previous studies, the BC+OC particles in distribution 1 were moved to the equivalent size section of distribution 2 if they accumulated a monolayer of H_2SO_4 in one model time step commonly referred to as a parameterisation of particle ageing. Here, sulphate is allowed to accumulate on particles in distribution 1, and their number concentration is depleted by coagulation with particles in both distributions. Both dis-
- ²⁵ tributions are treated as hydrophilic and all particles can act as CCN and undergo wet removal processes.





3.5 Description of model simulations

The model aerosol fields were generated from an initially aerosol-free atmosphere initialised on 1 February 2008 and spun-up for 90 days to produce a realistic aerosol distribution (Spracklen et al., 2005a). The model was set up to output 3-D fields every

⁵ hour over a European domain. A wide range of sensitivity runs were completed to understand the effect of uncertainties in the emission size of primary BC+OC particles (Sect. 3.2) and in the mechanism and rates of BL nucleation (Sect. 3.3). The model experiments used in this study are detailed in Table 2 and are split into those with and without BL nucleation.

10 4 Results and discussion

4.1 Analysis of ground site observations

In this section, we analyse surface-level aerosol measurements from 15 EUSAAR and GUAN ground sites over the EUCAARI May 2008 campaign. Summary statistics for total particle number concentrations (D_p >15 nm; N_{tot}) and number concentrations in three size ranges typical for CCN; D_p >50 nm (N_{50}), >100 nm (N_{100}), and >160 nm (N_{160}) are given in Table 3.

4.1.1 Analysis of the monthly-mean particle size distribution

Figure 3 compares the total modelled and observed campaign-mean number size distribution at each of the ground sites for all model simulations in Table 2. The mean size distribution predicted by the simulations without BL nucleation (1–2, Table 2) is unimodal despite the bimodal emission size distribution of BC+OC particles (BF and FF emissions). The primary BC+OC particles undergo condensation growth, coagulation, and dry/wet deposition after emission resulting in a modelled size distribution that looks very different from the emitted size distribution. We are therefore not only testing



CC D

the emitted size of primary carbonaceous aerosol, but the emitted size combined with other microphysical aerosol processes in the model. The size distribution predicted by these experiments will also be influenced by primary emissions of the other simulated aerosol species (sulphate and sea salt), and secondary sulphate particles from BHN.

- ⁵ The general shape of the observed size distribution in the range ~80–1000 nm is well reproduced by the primary aerosol experiments (Fig. 3), in particular the overlapping Aitken and accumulation modes typically observed at continental BL sites. At the majority of sites, relatively high particle concentrations were observed in the nucleation and lower-Aitken modes. Number concentrations in these size ranges are poorly captured in the experiment with large primary particle emissions (BCOC_lg),
- ¹⁰ poorly captured in the experiment with large primary particle emissions (BCOC_lg), resulting in a large negative bias between the modelled and observed multi-site campaign-mean N_{tot} (NMB = -71 %; m = 0.18). The overall spatial pattern of N_{tot} is captured fairly well with BCOC_lg ($R^2 = 0.53$). By reducing the emission size of the primary BC+OC particles (BCOC_sm), the negative bias of the model is decreased 15 considerably (NMB = -35 %; m = 0.57) and the predicted spatial pattern is improved ($R^2 = 0.73$).

Including a BL nucleation mechanism in the model (simulations 3–10, Table 2) increases particle concentrations in the nucleation and Aitken modes at the large majority of sites, leading to better agreement with the observed size distributions at small sizes.
In experiment BCOC_lg, the mean modelled N_{tot} over Europe increases by a factor of ~1.6–2.0, resulting in a smaller model bias of between -55% and -38% depending on the BL nucleation mechanism (ACT, KIN, ORG1 or ORG2). In the BCOC_sm experiment, the model bias becomes fairly small (range -26–-14%), particularly with the ORG1 mechanism. The increase in mean N_{tot} over Europe from BL nucleation is less pronounced when smaller primary particles are emitted (~20–30%) due to the higher number concentration of pre-existing primary particles.

The BCOC_sm experiment tends to agree better with observations of N_{tot} averaged over the IOP, suggesting higher simulated number concentrations are needed than achieved with the BCOC_lg experiment, despite the large emission size being more





appropriate for a global model. Including BL nucleation reduces the low bias of the model but does not fully explain the shortfall in *N*_{tot} in the BCOC_lg experiment. A decrease in the spatial correlation between the model with BL nucleation and observations (BCOC_lg, 0.12–0.52; BCOC_sm, 0.48–0.68) suggests possible errors in the modelling of nucleation events (discussed in Sect. 4.4) and may be a reason why BL nucleation is unable to explain the shortfall.

The dependence of modelled concentrations on the assumed size of the primary particles decreases with the size of particles being considered. For example, the mean modelled N_{50} increases by ~80 % in the European BL between the BCOC_lg and BCOC_sm experiments, while N_{100} and N_{160} increase by ~40 % and ~20 %, respectively. The model simulations without BL nucleation compare well with the observations of N_{50} , N_{100} and N_{160} (Table 3), confirming that the underpediction of N_{tot} is largely due to an underprediction of number concentrations in the range 15–50 nm ($N_{<50}$). Figure 4 shows the normalised mean bias between hourly-mean modelled and observed $N_{<50}$ and N_{50} (NMB_{hourly}) at each site for the IOP. The spatial pattern of N_{50} , N_{100} , and N_{160} over Europe is captured well by the model ($R^2 = 0.50-0.89$).

When we assume a small initial size for primary BC+OC particles (BCOC_sm), we find good agreement with surface observations of N_{50} (NMB = -5%, m = 0.94) and N_{100} (NMB = 12%, m = 1.11) averaged over the IOP. With the BCOC_Ig experiment the model is biased low for N_{50} (NMB = -51%, m = 0.46) and N_{100} (NMB = -21%, m = 0.84). For N_{160} , the model is biased slightly high in experiment BCOC_sm (NMB = 20%, m = 0.83) and, in contrast to comparisons with observed N_{100} , N_{50} and N_{tot} , the agreement is improved in experiment BCOC_Ig (NMB = 9%, m = 0.92).

Including BL nucleation increases simulated N_{50} and N_{100} over Europe by ~10–50 % and ~5–20 %, respectively, depending on the mechanism and on the emission size of BC+OC particles. In the BCOC_Ig experiment, the negative model bias in N_{50} and N_{100} is reduced. The smallest bias in this experiment for both N_{50} (–30 %) and N_{100} (–8 %) is with the ORG1 mechanism. In the BCOC_sm experiment, the impact of including BL nucleation depends on the mechanism and the particle size range; for N_{50} the bias is





smaller with the ACT and ORG2 mechanisms, but for N_{100} all nucleation mechanisms lead to a larger model bias. Since the model without BL nucleation slightly overpredicts the mean N_{160} , including BL nucleation only acts to increase the model bias in this size range.

⁵ The slope of the linear regression and correlation coefficient between simulated and observed multi-site campaign-mean N_{50} , N_{100} , and N_{160} are not improved with BL nucleation. Without further supporting evidence, these results would suggest that the model is able to explain the observed number concentrations of CCN-sized particles averaged over the IOP reasonably well, without the need for BL nucleation, if a small initial size is assumed for emitted BC+OC particles.

4.1.2 T-statistics at each ground site

15

The NMB between modelled and observed multi-site campaign-mean number concentrations can be misleading if there is cancellation of positive and negative biases at different ground sites or if day to day variability is poorly simulated. To overcome the possibility of a cancellation of biases, we have analysed the statistical significance of the difference between the model and the observations at each ground site using the hourly data. Here, we include an analysis of $N_{<50}$, since the underprediction of N_{tot} with the BCOC_lg and BCOC_sm experiments is largely due to an underprediction of number concentrations at the small end of the size distribution.

- For this analysis, we calculated a paired *t*-test of the hourly time series of particle concentrations in the different size windows and calculated the significance at the 99% confidence level. To take into account temporal correlation in the modelled and observed time series we adjusted the *t*-statistic by calculating an "effective sample size" for each site, using the method of Wilks (1997) for second order autoregressive (AR(2)) data. We found the hourly time series were best fit with an AR(2) process,
- using a Durbin-Watson test (Durbin and Watson, 1950) to examine the residuals of the series. The AR(2) process best accounted for the diurnal variability and random variations visible in the observed and modelled time-series. The results of the significance





tests are summarised in Fig. 5. Results are shown for all model experiments in Table 2 grouped into two figures; "primary aerosol" (simulations 1–2) and "BL nucleation" (simulations 3–10), rather than for individual experiments so to represent the uncertainty range in the emission size distribution and BL nucleation parameters, respectively.

⁵ For $N_{<50}$, we find that without BL nucleation, the model-observation difference is statistically significant at all of the ground sites. Figure 4a shows that at 12 of the 15 sites the NMB_{hourly} is fairly large and negative (BCOC_lg, range -99--84%; BCOC_sm, range -87--50%). The exceptions are at Cabauw and Finokalia where the modelled $N_{<50}$ spans the observations (concentrations are underpredicted with BCOC_lg and overpredicted with BCOC_sm), and at the high altitude site, Jungfraujoch, where the mean $N_{<50}$ is overpredicted by a factor of ~2.0. This overprediction at Jungfraujoch was also found in our global analysis of particle number concentrations (Spracklen et al., 2010). When some form of BL nucleation is included, the model-observation difference becomes insignificant at 7 sites, showing that, statistically, nucleation is an important process affecting $N_{<50}$ at at least half of the ground sites.

For N_{50} , the model-observation difference is statistically insignificant at 7 of the 15 sites without BL nucleation. It is mostly the BCOC_sm experiment that captures the observations at these sites, apart from at Jungfraujoch where the model-observation difference is only insignificant when we assume large primary BC+OC particles. At these sites, the NMB_{hourly} is very low (range -4 to 5%), but at the remaining 8 sites with a significant difference the model bias is still fairly small (Fig. 4b): for 7 of the 8 sites, the bias is smallest with the BCOC_sm experiment (between -36% and 15%), the exception being Finokalia where the bias is smallest with the BCOC_lg experiment (-23%).

²⁵ When some form of BL nucleation is included, the model-observation difference in N_{50} becomes insignificant at 3 out of the 8 remaining sites (Finokalia, Hyytiälä, and Mace Head). For these sites, BL nucleation makes an important contribution to N_{50} . For 6 sites where the difference was insignificant with experiments BCOC_sm and BCOC_lg, including BL nucleation increases the model bias, but at the 99% confidence





level the model-observation difference remains statistically insignificant. Overall, with BL nucleation, the difference between modelled and observed N_{50} is insignificant at two thirds of the ground sites. Thus, the model with BL nucleation is in better agreement with the observations than the model without BL nucleation. However, if we consider individual sites the agreement between model and observations deteriorates slightly at

some locations with BL nucleation and the model bias increases.

5

For N_{100} , we find that at 10 sites there is a statistically significant difference between the model and observations in experiments without BL nucleation. Although this is a higher proportion of sites than for N_{50} , at the sites where the difference is significant the NMB_{hourly} is generally smaller for N_{100} . For 5 sites the bias is smallest with the

- ¹⁰ the NMB_{hourly} is generally smaller for N_{100} . For 5 sites the bias is smallest with the BCOC_sm experiment (between –16% and 14%), and for 4 sites the bias is smallest with the BCOC_lg experiment (between –18% and 9%). At 1 site (Jungfraujoch), there is a large negative bias with both model experiments (BCOC_sm, –69%; BCOC_lg, –80%).
- ¹⁵ When BL nucleation is included, the model-observation difference in N_{100} is no longer significant at an additional 3 sites (Hyytiälä, Vavihill and Melpitz). However, at 3 of the 5 sites where the difference was insignificant with experiments BCOC_sm and BCOC_lg (Schauinsland, Aspvreten and Mace Head), adding BL nucleation results in overprediction of N_{100} and the model-observation difference becomes significant. In
- total, the model with BL nucleation is able to capture the observations at one third of the ground sites.

We conclude from these time series comparisons that for number concentrations at the small end of the size distribution, $N_{<50}$, we need to include BL particle formation for the difference between model and observations to be statistically insignificant at roughly half of the ground sites. It is possible that a larger contribution from BL nucleation is needed in the model to capture the observations at some of the remaining sites. The observed $N_{<50}$ may also be influenced by local sources, particularly at the more polluted sites (Ispra, Cabauw and Melpitz), or by diurnal cycles in aerosol at the mountain sites Jungfraujoch (Weingartner et al., 1999) and Puy de Dôme (Venzac





- et al., 2009), that the model is unable to capture due to its fairly coarse resolution. It is more difficult to draw conclusions about the contribution of BL nucleation to N_{50} and N_{100} because of the limited number of sites where nucleation is needed to explain significant model-observation differences (3 out of 15 sites). In addition, when we take
- ⁵ into account the ±10% uncertainty of the measurements (Wiedensohler et al., 2010), N_{100} can be explained at all 3 of these sites without the need for BL nucleation. In total, the difference between the model (without BL nucleation) and observations (±10%) is statistically insignificant at 10 sites for N_{50} and 11 sites for N_{100} . Including BL nucleation in the model, the observations can be captured within ±10% at an additional 2 sites for N_{50} (Finokalia and Hyytiälä) and an additional 3 sites for N_{100} (Hohenpeissenberg,
 - Ispra and Košetice).

overlap of 66%).

15

We recognise that BL nucleation may be important for $N_{<50}$, N_{50} and N_{100} at more than the number of sites discussed above, but that the observed nucleation events may not be adequately modelled for this period by the mechanisms applied in this study (Sect. 4.4). The sites at which BL nucleation is needed in the model to capture

the observations of $N_{<50}$, N_{50} , and N_{100} are summarised in Table 4.

4.1.3 Analysis of particle concentration frequency distributions

Normalised histograms of the frequency distribution of modelled and observed N₅₀ are shown for each site in Fig. 6. As in Gilardoni et al. (2011), we calculate the degree of overlap between the modelled and observed frequency distributions (shown in Fig. 6). The dependence of the best-fit assumption of BC+OC particle emission size on site location can be seen clearly. At Finokalia and Jungfraujoch, the range of observed concentrations is captured best with larger primary BC+OC particles, with distribution overlap values of 55% and 78%, respectively. But at all other sites, the BCOC_lg
 experiment not only underpredicts N₅₀, but also underpredicts the range of concentrations observed (average overlap of 43%). The range of observed N₅₀ is captured much better at most sites when smaller BC+OC particles are emitted in the model (average)





Including BL nucleation increases the range of simulated N_{50} in experiment BCOC_lg and improves the agreement between modelled and observed distributions (average overlap of 53–58%, versus a mean of 43%). At 6 sites the distribution overlap becomes equal to or greater than experiment BCOC_sm. The impact of BL nucleation $_{5}$ is fairly small on the range of N_{50} predicted by experiment BCOC_sm, and at roughly two-thirds of the sites the distribution overlap is decreased slightly (average 62–64%, depending on the mechanism). At 8 sites, the range of observed N_{50} is captured best with experiment BCOC_sm (with or without BL nucleation).

In general, we find that the assumption of smaller BC+OC particles with higher number concentration gives the best agreement with the observed frequency distribution of *N*₅₀. However, if we include BL nucleation in the model, a number of sites fit better when we assume larger emitted particles. The dependence of the best-fit model on location suggests either that the emitted primary particle size/number concentration is more variable across Europe than assumed by the constant emission size distribution prescribed in the model, or that atmospheric processes (including BL nucleation) might be influencing the shape of the size distribution in ways not represented in the model.

4.2 Supporting aircraft observations in the boundary layer

Figure 7 shows the mean vertical profile of particle number concentration measured by the DLR Falcon aircraft over the IOP in three size ranges; $4-10 \text{ nm} (N_{4-10})$, 10-160 nm (N_{10-160}) , and $160-1040 \text{ nm} (N_{160-1040})$. These size ranges can be roughly classed as nucleation, Aitken, and accumulation mode, respectively. Comparison with the primary aerosol model experiments (1–2, Table 2) in the BL (<2 km a.s.l.) is consistent with the analysis of the ground site data. There is good agreement at the larger sizes but an increasing model underprediction of particle concentrations at the small end of the size

²⁵ distribution. Summary statistics for N_{4-10} , N_{10-160} , and $N_{160-1040}$ are given in Table 5. It is important to note that N_{4-10} is a challenging quantity to compare the model

with because nucleation events often appear as distinct events. Where there are no nucleation mode particles observed, measurements of N_{4-10} can be negative i.e. from



when measurements of N_{10} are larger than N_4 , indicating some uncertainty in the observations. For 3 flights, the mean N_{4-10} in the BL is negative, resulting in low correlation between mean modelled and observed number concentrations in this size range ($R^2 = 0.01$ with all model simulations). For this reason, we focus mainly on the measurements of larger size ranges (N_{10-160} and $N_{160-1040}$) and only show the NMB for N_{4-10} in Table 5.

Between altitudes of ~2.5 and 5 km the mean simulated concentrations of nucleation, Aitken, and accumulation mode particles agree reasonably well with the aircraft observations, and generally remain within ~1 σ of the observations (Fig. 7). However, the model is unable to capture the peak in mean N_{4-10} and N_{10-160} observed in the BL.

Without BL nucleation the model predicts very few nucleation mode particles in the BL, resulting in substantial underprediction of N_{4-10} (NMB = -100%). Including BL nucleation in experiment BCOC_Ig results in a considerable decrease in the model bias with the KINI (NMB = 45%) and QBC2 (NMB = 22%) mechanisms. This is due to

- ¹⁵ with the KIN (NMB = -45%) and ORG2 (NMB = -32%) mechanisms. This is due to a large increase in the mean simulated N_{4-10} for 3 flights, causing the model to overpredict observed BL concentrations by up to a factor of 9 for these flights, although the mean N_{4-10} is still underpredicted substantially for the majority of flights with these two simulations. In the BCOC_sm experiment, the bias is only reduced slightly with BL nucleation (NMB = -98- -80%, depending on the mechanism). In the vertical profile of N_{4-10} , the experiments with BL nucleation due to biogenic precursors predict the highest concentrations between ~4 and 5 km since the ORG1 and ORG2 mechanisms are not restricted to the BL (unlike the KIN and ACT mechanisms) and can occur throughout the atmosphere providing the concentration of organic vapour is sufficiently
- ²⁵ high.

10

With experiment BCOC_lg the model bias is also large for N_{10-160} (NMB = -85%, m = 0.04), underpredicting mean concentrations for every flight by a factor of between 2.4 and 11.9. When smaller primary particles are emitted (BCOC_sm) the bias in N_{10-160} is reduced (NMB = -64%, m = 0.15), but mean concentrations are still un-





derpredicted for every flight by a factor of between 1.4 and 4.9. The spatial distribution of N_{10-160} is also improved by emitting smaller BC+OC particles (BCOC_lg, $R^2 = 0.05$; BCOC_sm, $R^2 = 0.16$). Including BL nucleation reduces the bias further to -60-43% (depending on the mechanism and on the BC+OC emission size). However, the smaller NMB is mainly due to a large increase in modelled concentrations and overprediction for 1 flight (NMB with this flight removed is also shown in Table 5). As a result, the spatial distribution of N_{10-160} is not as well captured with BL nucleation ($R^2 < 0.03$).

The whole vertical profile of $N_{160-1040}$ is captured fairly well by the model, with a peak in concentration in the BL that rapidly decreases above an altitude of ~2.5 km. The model without BL nucleation slightly underestimates the mean $N_{160-1040}$ observed in the BL (BCOC_sm, NMB = -19%, m = 0.32; BCOC_lg, NMB = -15%, m = 0.36). Although this particle size-range is generally dominated by secondary aerosol mass, the number concentrations may well be explained with primary emissions (and some con-

¹⁵ tribution from BHN) because the condensation of secondary aerosol species onto primary particle cores occurs without a change in number concentration. Particle growth via condensation of H_2SO_4 and SOA is included in all model experiments. There is also likely to be some contribution to growth from condensation of ammonium nitrate (not included in the model) towards the top of the BL (Morgan et al., 2010, see Sect. ²⁰ 3.3), which may explain some of the model underprediction of $N_{160-1040}$.

The impact of BL nucleation on number concentrations at the large end of the size distribution is relatively small, with an average increase of ~2% in the mean simulated $N_{160-1040}$ for each flight. But in general, the overall agreement between mean modelled and observed $N_{160-1040}$ is improved with BL nucleation, particularly in the BCOC_lg experiment. This can be interpreted as a decreasing influence of primary emissions aloft in the BL compared with observations at the surface.

25





4.3 Supporting observations of non-volatile particles

Further information on the number concentrations of carbonaceous particles can be obtained from measurements of non-volatile cores. Here, we use the measurements of non-volatile particle size and number concentration made onboard the DLR Falcon

- ⁵ aircraft. Previous studies using measurements of non-volatile particles have found that the submicron non-volatile fraction essentially consists of primary BC (soot) particles from combustion sources with some contribution from organic compounds (Rose et al., 2006; Engler et al., 2007; Birmili et al., 2009). Most of the volatile aerosol species such as sulphate, nitrate, ammonium and some volatile organic compounds are evaporated
- at temperatures below 250 °C. Other non-volatile aerosol species such as inorganic salts and crustal material are likely to contribute mainly to measured non-volatile number concentrations in the super-micron size range (Rose et al., 2006; Birmili et al., 2009). We therefore assume that the observed submicron non-volatile particles can be compared with the simulated BC+OC particle number concentration from the model.
- Figure 8 shows a mean campaign vertical profile of observed non-volatile particle number concentration (D_p >14 nm) measured using a thermodenuder and CPC, compared with the modelled number concentration of BC+OC particles (D_p >14 nm). The highest non-volatile particle concentrations were observed in the BL as a result of surface primary emissions. The model captures the general shape of the observed vertical profile with maximum number concentrations in the BL decreasing with increasing
- altitude.

Figure 8 shows how the size of emitted BC+OC particles affects the number concentration for fixed mass (simulations 1–2, Table 2). On average, there is a factor ~3.8 change in total simulated BC+OC particle number concentration (D_p >3 nm) in the Eu-

ropean BL between experiments BCOC_Ig and BCOC_sm. We note that this ratio is not the same as the ratio of emitted number concentrations (which is a factor of ~8 for FF emissions) due to non-linear effects of microphysical and removal processes on particle concentrations.





The model underpredicts the mean non-volatile particle concentrations in the BL for every flight by a factor 2.5–10.4 (NMB = –78%), when we assume emission of large BC+OC particles (BCOC_lg). Emitting smaller BC+OC particles (BCOC_sm) reduces the bias (NMB = –32%) and mean concentrations are predicted within a factor of 2.8. To achieve good agreement with the observations (NMB = –3%) we need to further reduce the assumed emission size of carbonaceous aerosol in the model by a factor of

~1.2, which increases the total simulated BC+OC number concentration over Europe by a factor of ~1.5 relative to BCOC_sm.

5

- The model underprediction of the BL non-volatile particle number concentration is largest in the BCOC_lg experiment in which the emitted BC+OC particles are more appropriate for a global model (Sect. 3.2). Only by increasing the emitted number concentration of carbonaceous aerosol in BCOC_lg by more than a factor of ~10 are we able to capture the observations, which suggests that (i) the removal of BC+OC particles is too efficient in the model, (ii) the non-volatile counter is not measuring the same particles as assumed in the model, or (iii) the model is missing a large contribution of
- non-volatile particles. Concerning (i): if we substantially reduce the in-cloud nucleation scavenging efficiency in the model (by decreasing the fraction of condensate that is converted to dynamic rain in 6 h by a factor of 10), the campaign-mean BC+OC number concentration (D_p >14 nm) is increased by only ~20% in the BL. Concerning (ii)
- and (iii) it is possible that we are neglecting some contribution from residuals of partly volatile species, detected as a non-volatile size mode <20 nm in an urban environment (Birmili et al., 2010); the composition of which is unknown. Birmili et al. (2010) suggest the non-volatile residuals originate from particles containing a high volume fraction of volatile species (~90%), such as organic compounds from both direct ve-</p>
- hicle emission and secondary formation processes. Secondary particles <20 nm have also been observed to contain non-volatile residuals at rural sites (Wehner et al., 2005; Ehn et al., 2007). In the model we treat all secondary (nucleated) particles as volatile. It is unclear whether these residuals make an important contribution to the non-volatile particle number concentrations observed aloft in the BL, but they could explain some of





the model discrepancy. It is also possible that pyrolysis of volatile OC in the thermodenuder might produce a residual core (a few nanometers in diameter) which appears as a non-volatile particle. If the residuals produced by this process are larger than 14 nm they would be counted by the CPC, resulting in an overestimation of the ambient 5 non-volatile particle number concentration.

We also compare the model with the non-volatile number size distribution in the dry diameter range ~ $0.265-2.25 \,\mu$ m measured by the OPC instrument. 7 h of measurements were selected (from 6 different flights), where the hourly-mean altitude of the aircraft was lower than 2 km a.s.l. Figure 9 shows the simulated number size distribution of BC+OC particles compared with the observed non-volatile particle number size distribution in the BL. We assume the evaporation of all volatile species occurs

- before measurement, so that the observed size distribution in Fig. 9 shows the size distribution of non-volatile particle cores. We try to replicate this in the model by calculating the size distribution of the BC particle "cores". Sulphate that has accumulated
- ¹⁵ on the BC+OC particles in distribution 1 during the ageing process acts to increase the particle size (Sect. 3.4). We remove this effect by calculating the size of the BC particles from the mass of BC and the BC+OC particle number concentration (assuming a density of 1.8 g cm^{-3}). Figure 9 also shows the modelled size distribution of all components in distribution 1 (SO₄/BC/OC). The modelled size distribution of BC par-²⁰ ticle cores is shifted to smaller sizes compared with the modelled distribution of aged
- BC+OC particles.

10

The mean submicron non-volatile particle number concentration measured by the OPC (between ~0.265 and ~1 µm) is underpredicted by the modelled BC-only size distribution and overpredicted by the modelled size distribution of aged BC+OC (SO₄/BC/OC). However, because of the large variation in the observed number concentrations in this size range, we find that the differences between the simulated and observed means are not statistically significant at the 99 % confidence level. The observations lie between the two size distributions predicted by the model suggesting the measured non-volatile particle size distribution does not only consist of BC, but





is likely to include contributions from non-volatile organic matter, in addition to contributions from sea salt particles (Jennings and O'Dowd, 1990; O'Dowd and Smith, 1993) and mineral dust. The latter two species have not been included in the modelled non-volatile particle number concentration, but are likely only to make substantial con-

5 tributions in the super-micron size range. At these large sizes the differences between model experiments BCOC_Ig and BCOC_sm are relatively small.

4.4 Time series of particle number concentrations

Conclusions regarding the best nucleation mechanism are hard to draw because of the limited number of ground sites where BL nucleation is needed to explain significant model-observation differences. In addition, the predicted time series of N_{tot} has a temporal pattern that is in poor agreement with the observations (Fig. 10). Without BL nucleation the correlation between hourly-mean modelled and observed N_{tot} is fairly low at most of the sites (average $R_{\text{hourly}}^2 = 0.10$), but is reduced further when BL nucleation is included (average $R_{\text{hourly}}^2 = 0.07$). The exception is at Cabauw where the correlation between model and observations is fairly good with all simulations (average $R_{\text{hourly}}^2 = 0.48$). The correlation between modelled and observed hourly-mean N_{tot} and N_{100} at each site are given in Table 6. The poor model skill is reflected in the R² values in Table 3: the correlation between modelled and observed campaign-mean N_{tot} is generally reduced when BL nucleation is included.

Periodic features visible in the simulated time series of N_{tot} at some of the sites (Fig. 10) result from the development of the model BL. These features are most prominent during Period A at the relatively low level, Central European sites Melpitz, K-pustza, Ispra, and Cabauw, where the influence of BL nucleation on modelled N_{tot} is relatively small. At night-time the model BL becomes shallower and stably-stratified increasing particle number concentrations at the surface, which decrease through the day-time (in the absence of BL nucleation) as the model BL height increases.



Analysis of modelled and observed condensation sink (CS; Eq. 7) at all sites suggests that the nucleation sink term is not the cause of the poor agreement (Fig. 11). The statistical values for CS with all model experiments (NMB = -18-12%, m = 0.66-0.90, $R^2 = 0.77-0.80$, average $R_{hourly}^2 = 0.25$) are considerably better than for N_{tot} . A more likely reason for the poor prediction of nucleation events is sulphuric acid. Figure 12 compares the simulated time series of gas-phase sulphuric acid concentrations with chemical ionization mass spectrometer (e.g. Berresheim et al., 2000) measurements at Melpitz.

All model simulations underpredict the high concentrations of sulphuric acid ob-¹⁰ served at Melpitz during Period A by a factor of 1.7–4.6. Lower concentrations observed between ~18–24 May are likely to have contributed to the decrease in N_{tot} during Period B (Fig. 10), despite the reduction in observed CS. In contrast, the modelled concentrations of sulphuric acid increase in Period B at Melpitz and at the majority of ground sites, driving the increase in nucleation predicted by the model. One explana-¹⁵ tion for the relatively poor agreement is that processes that drive day-to-day changes

and hourly variability in gas-phase sulphuric acid concentrations are unaccounted for in the model. In particular, we neglect the impact of cloud cover on incoming radiation and OH concentrations. If the dynamic of the diurnal cycles of sulphuric acid concentrations is wrong in the model then this can result in too small nucleation rates at the surface.

The simplified SOA formation scheme used in the model may also be responsible for the relatively poor correlation between modelled and measured hourly means. In particular, the scheme does not include contributions from anthropogenic volatile or intermediate-volatile organic compounds, which have large implications for the growth

rate and survival of the particles formed by BL nucleation. We recognise that more attention to modelling gas-phase H_2SO_4 and SOA formation is needed in future studies.





5 Summary and conclusions

We have evaluated the global aerosol microphysics model, GLOMAP against extensive measurements of total particle number concentrations and size distribution made during the EUCAARI May 2008 campaign. We have focused on aerosol concentrations

in the European boundary layer (BL), using surface-based measurements from 15 EU-SAAR and GUAN ground sites with airborne measurements from the DLR Falcon 20 aircraft.

The aim of this study was to better understand how primary particle emissions and secondary particle formation in the BL influence total particle number concentrations over Europe, and how the influence varies across the particle size distribution (nucleation, Aitken and accumulation mode sizes). We have extended the monthly-mean analysis of total particle concentrations in Spracklen et al. (2010) to include aerosol measurements from different platforms, higher temporal resolution, additional nucleation mechanisms, and additional aerosol measurements such as the size distribution

and non-volatile particles. This analysis was a demanding test for a global model, comparing with spatially and temporally intensive observations in a special meteorological situation over a relatively short period. During the campaign period, Central Europe was almost entirely influenced by easterly flow, which is not the most usual case.

We found that for the campaign period, the model was able to capture the mean particle number size distribution over Europe well for particle sizes relevant for CCN $(D_p>50 \text{ nm})$ without the need for BL nucleation. The spatial distributions of campaignmean number concentrations larger than 50 nm (N_{50}) and 100 nm (N_{100}) dry diameter were well captured at the ground sites $(R^2>0.80)$. In addition, the normalised mean bias (NMB) between mean modelled and observed N_{50} (5%) and N_{100} (12%) was very low if we assumed a small size for emissions of BC+OC particles, as used by AERO-COM (Deptement at al. 2006). At that aboved the difference between the medalled

COM (Dentener et al., 2006). A *t*-test showed the difference between the modelled and the observed N_{50} and N_{100} was statistically insignificant at the 99% confidence level at half and one third of the sites, respectively.





The mean number size distribution at sizes smaller than 50 nm diameter ($N_{<50}$) was underpredicted in model experiments without BL nucleation. The difference between modelled and observed $N_{<50}$ was found to be statistically significant at all ground sites. The average overlap of modelled and observed frequency distributions of N_{50} and N_{100} was over 65% (with small primary BC+OC particles), but less than 50% for $N_{<50}$ without BL nucleation.

Comparisons with particle number concentrations roughly in the nucleation, Aitken, and accumulation mode size ranges measured by the DLR Falcon aircraft in the BL were consistent with the analysis of the ground station data. We found good agreement at the larger sizes but an increasing model underprediction of particle concentrations at the small end of the size distribution.

We tested four empirical parameterisations for secondary particle formation in the BL: the activation (ACT) and kinetic (KIN) mechanisms where the cluster formation rate is proportional to the gas-phase sulphuric acid concentration to the power 1 or

2, respectively, and two newly developed mechanisms (ORG1 and ORG2) where the formation rate depends on the concentration low-volatility organic vapours in addition to sulphuric acid.

When BL nucleation was included in the model, the shape of the predicted campaignmean size distribution at sizes <50 nm was improved considerably, and the negative

- ²⁰ bias in $N_{<50}$ was reduced. The difference between modelled and observed $N_{<50}$ became statistically insignificant at roughly half of the ground sites with BL nucleation. The contribution of BL nucleation to N_{50} and N_{100} was difficult to detect within the uncertainty of the observations, but we found a small but significant difference was removed at 3 out of the 15 sites for both N_{50} and N_{100} by including BL nucleation.
- Despite the apparent model-observation agreement on a monthly-mean basis, our analysis showed that analysis of aggregated datasets (e.g. monthly-mean at individual sites or multi-site means) can be misleading. The model adequately captures the monthly-mean size distribution and the frequency distribution of particle concentrations, but on the hourly scale the model skill is poor. For example, the spatial cor-





relation between monthly-mean N_{tot} across all ground sites had an R^2 of 0.68 in the BCOC_sm experiment, yet the hourly time series R^2 values were very low (average 0.09) and became lower still when BL nucleation was included (average 0.06).

- The apparent model skill at capturing aggregated datasets, but poor performance at capturing temporal variability, needs to be taken into account in model evaluations. The poor temporal correlation between model and observations will be partly due to subgrid-scale stochastic processes such as changes in air mass, which the model is not able to capture due to its spatial resolution. However, there are deterministic processes important for particle number concentrations on an hourly scale that a global
- ¹⁰ model is capable of capturing. For example, Spracklen et al. (2006) show good temporal agreement between GLOMAP and observations at the Hyytiälä surface site, which were driven by nucleation events and BL variability. To determine why the model is not as successful for this period would require more detailed analysis of the temporal variability at individual sites.
- It is clear that for the conditions of May 2008 the model is unable to adequately capture the high variability observed in particle concentrations at the small end of the size distribution with all four BL nucleation mechanisms. Relatively good agreement between modelled and observed condensation sink at all sites suggested that the poor agreement was not due to the nucleation sink term, but more likely a result of an underprediction of sulphuric acid, which led to an underprediction of nucleation events during the first half of the IOP. The poor temporal agreement between modelled and observed *N*_{tot} precludes any attempt to identify the best nucleation mechanism from

The temporal correlation between model and observations increased with particle size, and the temporal pattern of N_{100} (average 0.24) was in better agreement with the observations than of N_{tot} . This suggests that concentrations of particles at sizes relevant for CCN are driven mainly by processes other than BL nucleation, which the model captures reasonably well. The relatively good agreement between modelled and observed hourly-mean and campaign-mean N_{100} suggests that the contribution of BL

such a short dataset.





nucleation needed to explain the observed N_{100} is fairly small. However, the fingerprint of nucleation is hard to detect given the uncertainties in modelling of nucleation and precursor fields and in primary carbonaceous emissions. Thus, conclusions about the role of BL nucleation still have to be treated carefully.

There is large uncertainty associated with the prescribed size distribution of anthropogenic carbonaceous (BC and OC) particle emissions in aerosol models. The widely used emission sizes recommended by AEROCOM (Dentener et al., 2006) assume particles that are probably too small to be appropriate for large model grid boxes, but the agreement with observed particle concentrations is generally much better than when we emit larger (more realistic) particles. Our analysis has not been able to resolve this issue.

The agreement between experiment BCOC_Ig and the observations is generally improved when BL nucleation is included in the model. It is likely that the BCOC_sm experiment is compensating for missing particles from BL nucleation by increasing the

- ¹⁵ primary particle number, and thus agrees better with observations. However, it is difficult to conclude whether or not BL nucleation would make up for the shortfall of experiment BCOC_lg since we do not adequately capture the observed nucleation events in this period. Even with high predicted nucleation-mode particle number concentrations with ORG1, BCOC_lg underpredicts N_{50} at 11 out of 15 sites. Therefore, it is possi-
- ²⁰ ble that the growth of nucleation mode particles may need to be increased to capture this part of the size distribution if we assume large BC+OC particles. The simplified SOA formation scheme used in the model, where a fixed fraction of the oxidised products of biogenic monoterpenes form SOA (neglecting contributions from anthropogenic volatile or intermediate-volatile organic compounds), may have large implications for
- the growth rate and survival of the particles formed by homogeneous nucleation. In addition, measurements of particles number concentrations at the surface and aloft may have been influenced by nitrate aerosol, particularly over NW Europe (Morgan et al., 2010), which the model does not account for. The role of nitrate and SOA from anthropogenic sources need to be evaluated in future modelling studies.





Comparisons with aircraft measurements of non-volatile particle number concentrations in the BL, suggest that the model is missing a fairly large fraction of non-volatile particles, particularly if a large emission size of primary BC+OC particles is more appropriate. Good agreement with the observations (NMB = -3%) was achieved only by

- ⁵ decreasing the carbonaceous particle emission sizes to unrealistically small values (increasing the emitted number concentration by ~70 % relative to BCOC_sm and by more than a factor of 10 relative to BCOC_lg). Non-volatile residuals e.g. from mineral dust, sea spray, or BL nucleation that have not been included in the simulated non-volatile particle number concentration may partly explain the underprediction. With the simple
- representation of emitted carbonaceous particle number concentrations in the model we may also be missing non-volatile residuals from anthropogenic sources. We aim to resolve this in a future study by implementing a new emission inventory from EUCAARI (Denier van der Gon et al., 2010) that is based on emitted particle number concentrations and size rather than mass. We will no longer need to assume a fixed lognormal size distribution for primary emissions, thus reducing the uncertainty associated with
- the initial size of BC+OC particles appropriate for a global model.

Acknowledgements. This research was supported by funding from the EU FP6 European Integrated Project on Aerosol Cloud Climate and Air Quality Interactions (EUCAARI) No. 036833-2 and the NERC ADIENT project. We acknowledge the European Super-sites for Atmospheric

- Aerosol Research (EUSAAR), German Ultrafine Aerosol Network (GUAN), and the Deutsches Zentrum für Luft- und Raumfahrt (DLR) for provision of data. The collection of number size distribution within GUAN was supported by the German Federal Ministry for the Environment, Nature Conservation and Nuclear (BMU) grant F&E 370343200 (Title: "Erfassung der Zahl feiner und ultrafeiner Partikel in der Außenluft"). Special thanks to Thomas Elste and George
- Stange (DWD, Hohenpeissenberg) for H₂SO₄ measurements in Melpitz. The authors would also like to thank Dr. Amy J. Braverman, Senior Statistician at the California Institute of Technology, for guidance on statistical time series analysis.





References

5

Adams, P. J. and Seinfeld, J. H.: Predicting global aerosol size distributions in general circulation models, J. Geophys. Res., 107, 4370, 2002. 18253

Andres, R. J. and Kasgnoc, A. D.: A time-averaged inventory of subaerial volcanic sulfur emissions, J. Geophys. Res., 103, 25251, 1998. 18259

Anttila, T., Vehkamäki, H., Napari, I., and Kulmala, M.: Effect of ammonium bisulphate formation on atmospheric water-sulphuric acid-ammonia nucleation, Boreal Environ. Res., 10, 511– 523, 2005. 18253

Arnold, S. R., Chipperfield, M. P., and Blitz, M. A.: A three-dimensional model study of the effect of new temperature-dependent quantum yields for acetone photolysis, J. Geophys. Res., 110, D22305, 2005. 18260

Asmi, A., Wiedensohler, A., Laj, P., Fjaeraa, A.-M., Sellegri, K., Birmili, W., Weingartner, E., Baltensperger, U., Zdimal, V., Zikova, N., Putaud, J.-P., Marinoni, A., Tunved, P., Hansson, H.-C., Fiebig, M., Kivekäs, N., Lihavainen, H., Asmi, E., Ulevicius, V., Aalto, P. P., Swietlicki, E.,

- Kristensson, A., Mihalopoulos, N., Kalivitis, N., Kalapov, I., Kiss, G., de Leeuw, G., Henzing, B., Harrison, R. M., Beddows, D., O'Dowd, C., Jennings, S. G., Flentje, H., Weinhold, K., Meinhardt, F., Ries, L., and Kulmala, M.: Number size distributions and seasonality of submicron particles in Europe 2008–2009, Atmos. Chem. Phys. Discuss., 11, 8893–8976, doi:10.5194/acpd-11-8893-2011, 2011. 18256
- Baltensperger, U., Streit, N., Weingartner, E., Nyeki, S., Prévôt, A. S. H., Van Dingenen, R., Virkkula, A., Putaud, J. P., Even, A., Ten Brink, H., Blatter, A., Neftel, A., and Gäggeler, H. W.: Urban and rural aerosol characterization of summer smog events during the PIPAPO field campaign in Milan, Italy, J. Geophys. Res., 107, 8193, 2002. 18263

Bauer, S. E., Menon, S., Koch, D., Bond, T. C., and Tsigaridis, K.: A global modeling study

- on carbonaceous aerosol microphysical characteristics and radiative effects, Atmos. Chem. Phys., 10, 7439–7456, doi:10.5194/acp-10-7439-2010, 2010. 18261
 - Benkovitz, C. M., Trevor Scholtz, M., Pacyna, J., Tarrasón, L., Dignon, J., Voldner, E. C., Spiro, P. A., Logan, J. A., and Graedel, T. E.: Global gridded inventories of anthropogenic emissions of sulfur and nitrogen, J. Geophys. Res., 101, 29239–29253, 1996. 18260
- Berresheim, H., Elste, T., Plass-Dülmer, C., Eiseleb, F. L., and Tannerb, D. J.: Chemical ionization mass spectrometer for long-term measurements of atmospheric OH and H₂SO₄, Int. J. Mass Spectrom., 202, 91–109, 2000. 18282





- Birmili, W., Weinhold, K., Nordmann, S., Weidensohler, A., Spindler, G., Müller, K., Herrmann, H., Gnauk, T., Pitz, M., Cyrya, J., Flentje, H., Nickel, C., Kuhlbusch, T. A. J., Loschau, G., Haase, D., Meinhardt, F., Schwerin, A., Ries, L., and Wirtz, K.: Atmospheric aerosol measurements in the German Ultrafine Aerosol Network (GUAN) part 1: soot and particle number size distributions, Gefahrst. Reinhalt. L., 69, 137–145, 2009. 18256, 18267,
- particle number size distributions, Getahrst. Reinhalt. L., 69, 137–145, 2009. 18256, 18267
 18278
 - Birmili, W., Heinke, K., Pitz, M., Matschullat, J., Wiedensohler, A., Cyrys, J., Wichmann, H.-E., and Peters, A.: Particle number size distributions in urban air before and after volatilisation, Atmos. Chem. Phys., 10, 4643–4660, doi:10.5194/acp-10-4643-2010, 2010. 18262, 18279
- Bond, T. C., Streets, D. G., Yarber, K. F., Nelson, S. M., Woo, J. H., and Klimont, Z.: A technology-based global inventory of black and organic carbon emissions from combustion, J. Geophys. Res., 109, D14203, 2004. 18260
 - Boy, M., Kazil, J., Lovejoy, E. R., Guenther, A., and Kulmala, M.: Relevance of ion-induced nucleation of sulfuric acid and water in the lower troposphere over the boreal forest at northern latitudes, Atmos. Res., 90, 151–158, 2008, 18254
- Iatitudes, Atmos. Res., 90, 151–158, 2008. 18254 Burtscher, H., Baltensperger, U., Bukowiecki, N., Cohn, P., Hüglin, C., Mohr, M., Matter, U., Nyeki, S., Schmatloch, V., Streit, N., and Weingartner, E.: Separation of volatile and nonvolatile aerosol fractions by thermodesorption: instrumental development and applications,

J. Aerosol Sci., 32, 427-442, 2001. 18256

- ²⁰ Chipperfield, M. P.: New version of the TOMCAT/SLIMCAT off-line chemical transport model: Intercomparison of stratospheric tracer experiments, Q. J. Roy. Meteor. Soc., 132, 1179– 1203, 2006. 18259
 - Cofala, J., Amann, M., Klimont, Z., and Schopp, W.: Scenarios of World Anthropogenic Emissions of SO₂, NO_x, and CO up to 2030, in: Internal report of the Transboundary Air Pollution
- Programme, p. 17, International Institute for Applied Systems Analysis, Laxenburg, Austria, 2005. 18259
 - Cooke, W. F., Liousse, C., Cachier, H., and Feichter, J.: Construction of a 1×1 fossil fuel emission data set for carbonaceous aerosol and implementation and radiative impact in the ECHAM4 model, J. Geophys. Res., 104, 22137–22162, 1999. 18260
- ³⁰ Costabile, F., Birmili, W., Klose, S., Tuch, T., Wehner, B., Wiedensohler, A., Franck, U., König, K., and Sonntag, A.: Spatio-temporal variability and principal components of the particle number size distribution in an urban atmosphere, Atmos. Chem. Phys., 9, 3163–3195, doi:10.5194/acp-9-3163-2009, 2009. 18262





Denier van der Gon, H., Visschedijk, A., Johansson, C., Ntziachristos, L., and Harrison, R.: Particle Number Inventory, Abstract 1E1, International Aerosol Conference 2010, Helsinki, Finland, 29 August–3 September, 2010. 18287

Dentener, F., Kinne, S., Bond, T., Boucher, O., Cofala, J., Generoso, S., Ginoux, P., Gong, S.,

- Hoelzemann, J. J., Ito, A., Marelli, L., Penner, J. E., Putaud, J.-P., Textor, C., Schulz, M., van der Werf, G. R., and Wilson, J.: Emissions of primary aerosol and precursor gases in the years 2000 and 1750 prescribed data-sets for AeroCom, Atmos. Chem. Phys., 6, 4321–4344, doi:10.5194/acp-6-4321-2006, 2006. 18261, 18263, 18283, 18286, 18301, 18302, 18308
- ¹⁰ Durbin, J. and Watson, G. S.: Testing for serial correlation in least squares regression: I, Biometrika, 37, 409–428, 1950. 18271
 - Ehn, M., Petäjä, T., Birmili, W., Junninen, H., Aalto, P., and Kulmala, M.: Non-volatile residuals of newly formed atmospheric particles in the boreal forest, Atmos. Chem. Phys., 7, 677–684, doi:10.5194/acp-7-677-2007, 2007. 18279
- Elleman, R. A. and Covert, D. S.: Aerosol size distribution modeling with the Community Multiscale Air Quality modeling system in the Pacific Northwest: 2. Parameterizations for ternary nucleation and nucleation mode processes, J. Geophys. Res., 114, D11207, 2009. 18254
 - Engler, C., Rose, D., Wehner, B., Wiedensohler, A., Brüggemann, E., Gnauk, T., Spindler, G., Tuch, T., and Birmili, W.: Size distributions of non-volatile particle residuals (*D*_p<800 nm) at
- ²⁰ a rural site in Germany and relation to air mass origin, Atmos. Chem. Phys., 7, 5785–5802, doi:10.5194/acp-7-5785-2007, 2007. 18262, 18267, 18278
 - Fuchs, N. A. and Sutugin, A. G.: High-dispersed aerosols, in: Topics in Current Aerosol Research, edited by: Hidy, G. M. and Brock, J. R., vol. 2, Pergamon Press, Oxford, 1–60, 1971. 18266
- Geller, M. D., Sardar, S. B., Phuleria, H., Philip, M., and Sioutas, C.: Measurements of particle number and mass concentrations and size distributions in a tunnel environment, Environ. Sci. Technol., 39, 8653–8663, 2005. 18263
 - Gilardoni, S., Vignati, E., and Wilson, J.: Using measurements for evaluation of black carbon modeling, Atmos. Chem. Phys., 11, 439–455, doi:10.5194/acp-11-439-2011, 2011. 18274
- ³⁰ Gong, S. L., Barrie, L. A., Blanchet, J. P., Von Salzen, K., Lohmann, U., Lesins, G., Spacek, L., Zhang, L. M., Girard, E., Lin, H., Leaitch, R., Leighton, H., Chylek, P., and Huang, P.: Canadian Aerosol Module: a size-segregated simulation of atmospheric aerosol processes for climate and air quality models 1. Module development, J. Geophys. Res., 108, 4007,





doi:10.1029/2001JD002002, 2003. 18263

- Guenther, A., Hewitt, C. N., Erickson, D., Fall, R., Geron, C., Graedel, T., Harley, P., Klinger, L., Lerdau, M., McKay, W. A., Pierce, T., Scholes, B., Steinbrecher, R., Tallamraju, R., Taylor, J., and Zimmerman, P.: A global model of natural volatile organic compound emissions, J. Geo-
- ⁵ phys. Res., 100, 8873–8892, 1995. 18260

25

- Hamburger, T., McMeeking, G., Minikin, A., Birmili, W., Dall'Osto, M., O'Dowd, C., Flentje, H., Henzing, B., Junninen, H., Kristensson, A., de Leeuw, G., Stohl, A., Coe, H., Krejci, R., and Petzold, A.: Overview of the synoptic and pollution situation over Europe during the EUCAARI-LONGREX field campaign, Atmos. Chem. Phys., 11, 1065–1082, doi:10.5194/acp-11-1065-2011, 2011. 18258, 18259
- Harris, S. J. and Maricq, M. M.: Signature size distributions for diesel and gasoline engine exhaust particulate matter, J. Aerosol Sci., 32, 749–764, 2001. 18263
 - Henne, S., Brunner, D., Folini, D., Solberg, S., Klausen, J., and Buchmann, B.: Assessment of parameters describing representativeness of air quality in-situ measurement sites, Atmos.

¹⁵ Chem. Phys., 10, 3561–3581, doi:10.5194/acp-10-3561-2010, 2010. 18299

- Holtslag, A. A. M. and Boville, B. A.: Local versus nonlocal boundary-layer diffusion in a global climate model, J. Climate, 6, 1825–1842, 1993. 18259
 - Jennings, S. G. and O'Dowd, C. D.: Volatility of aerosol at Mace Head, on the west coast of Ireland, J. Geophys. Res., 95, 13937, 1990. 18267, 18281
- Kerminen, V.-M. and Kulmala, M.: Analytical formulae connecting the "real" and the "apparent" nucleation rate and the nuclei number concentration for atmospheric nucleation events, J. Aerosol Sci., 33, 609–622, doi:10.1016/S0021-8502(01)00194-X, 2002. 18266
 - Kerminen, V.-M., Lihavainen, H., Komppula, M., Viisanen, Y., and Kulmala, M.: Direct observational evidence linking atmospheric aerosol formation and cloud droplet activation, Geophys. Res. Lett., 32, L14803, 2005. 18253
 - Kerminen, V.-M., Petäjä, T., Manninen, H. E., Paasonen, P., Nieminen, T., Sipilä, M., Junninen, H., Ehn, M., Gagné, S., Laakso, L., Riipinen, I., Vehkamäki, H., Kurten, T., Ortega, I. K., Dal Maso, M., Brus, D., Hyvärinen, A., Lihavainen, H., Leppä, J., Lehtinen, K. E. J., Mirme, A., Mirme, S., Hõrrak, U., Berndt, T., Stratmann, F., Birmili, W., Wiedensohler, A., Metzger, A.,
- Dommen, J., Baltensperger, U., Kiendler-Scharr, A., Mentel, T. F., Wildt, J., Winkler, P. M., Wagner, P. E., Petzold, A., Minikin, A., Plass-Dülmer, C., Pöschl, U., Laaksonen, A., and Kulmala, M.: Atmospheric nucleation: highlights of the EUCAARI project and future directions, Atmos. Chem. Phys., 10, 10829–10848, doi:10.5194/acp-10-10829-2010, 2010. 18254





- Kettle, A. J. and Andreae, M. O.: Flux of dimethylsulfide from the oceans: a comparison of updated data sets and flux models, J. Geophys. Res., 105, 26793–26808, 2000. 18260
- Kittelson, D. B.: Engines and nanoparticles: a review, J. Aerosol Sci., 29, 575–588, 1998. 18262, 18263
- Kittelson, D. B., Johnson, J., Watts, W., Wei, Q., Drayton, M., Paulsen, D., and Bukowiecki, N.: Diesel aerosol sampling in the atmosphere, Society of Automotive Engineers, Technical Paper Series, No. 2000-01-2212, doi:10.4271/2000-01-2212, 2000. 18263
 - Kulmala, M. and Laaksonen, A.: Binary nucleation of water–sulfuric acid system: comparison of classical theories with different H₂SO₄ saturation vapor pressures, J. Chem. Phys., 93, 696–701, 1990, 18253
- 10 696–701, 1990. 18253

25

- Kulmala, M., Laaksonen, A., and Pirjola, L.: Parameterizations for sulfuric acid/water nucleation rates, J. Geophys. Res., 103, 8301–8307, 1998. 18253, 18264, 18301
- Kulmala, M., Pirjola, L., and Mäkelä, J. M.: Stable sulphate clusters as a source of new atmospheric particles, Nature, 404, 66–69, 2000. 18253
- ¹⁵ Kulmala, M., Dal Maso, M., Mäkelä, J. M., Pirjola, L., Väkevä, M., Aalto, P., Miikkulainen, P., Hämeri, K., and O'Dowd, C. D.: On the formation, growth and composition of nucleation mode particles, Tellus B, 53, 479–490, doi:10.1034/j.1600-0889.2001.530411.x, 2001. 18266
 - Kulmala, M., Vehkamäki, H., Petäjä, T., Dal Maso, M., Lauri, A., Kerminen, V.-M., Birmili, W.,
- and McMurry, P. H.: Formation and growth rates of ultrafine atmospheric particles: a review of observations, J. Aerosol Sci., 35, 143–176, 2004. 18253
 - Kulmala, M., Lehtinen, K. E. J., and Laaksonen, A.: Cluster activation theory as an explanation of the linear dependence between formation rate of 3 nm particles and sulphuric acid concentration, Atmos. Chem. Phys., 6, 787–793, doi:10.5194/acp-6-787-2006, 2006. 18254, 18264
 - Kulmala, M., Riipinen, I., Sipilä, M., Manninen, H. E., Petäjä, T., Junninen, H., Maso, M. D., Mordas, G., Mirme, A., Vana, M., Hirsikko, A., Laakso, L., Harrison, R. M., Hanson, I., Leung, C., Lehtinen, K. E. J., and Kerminen, V.-M.: Toward direct measurement of atmospheric nucleation, Science, 318, 89–92, doi:10.1126/science.1144124, 2007. 18254
- ³⁰ Kulmala, M., Asmi, A., Lappalainen, H. K., Carslaw, K. S., Pöschl, U., Baltensperger, U., Hov, Ø., Brenquier, J.-L., Pandis, S. N., Facchini, M. C., Hansson, H.-C., Wiedensohler, A., and O'Dowd, C. D.: Introduction: European Integrated Project on Aerosol Cloud Climate and Air Quality interactions (EUCAARI) – integrating aerosol research from nano to global scales,





Atmos. Chem. Phys., 9, 2825–2841, doi:10.5194/acp-9-2825-2009, 2009. 18255

- Laakso, L., Mäkelä, J. M., Pirjola, L., and Kulmala, M.: Model studies on ion-induced nucleation in the atmosphere, J. Geophys. Res., 107, 4427, 2002. 18253
- Laakso, L., Gagné, S., Petäjä, T., Hirsikko, A., Aalto, P. P., Kulmala, M., and Kerminen, V. M.: Detecting charging state of ultra-fine particles: instrumental development and ambient measurements, Atmos. Chem. Phys., 7, 1333–1345, doi:10.5194/acp-7-1333-2007, 2007. 18254
 - Laaksonen, A., Hamed, A., Joutsensaari, J., Hiltunen, L., Cavalli, F., Junkermann, W., Asmi, A., Fuzzi, S., and Facchini, M. C.: Cloud condensation nucleus production from nucleation events at a highly polluted region, Geophys. Res. Lett., 32, L06812, 2005. 18253
- events at a highly polluted region, Geophys. Res. Lett., 32, L06812, 2005. 18253
 Lihavainen, H., Kerminen, V.-M., Komppula, M., Hatakka, J., Aaltonen, V., Kulmala, M., and Viisanen, Y.: Production of "potential" cloud condensation nuclei associated with atmospheric new-particle formation in northern Finland, J. Geophys. Res., 108, 4782, 2003. 18253

Liu, P. S. K., Leaitch, W. R., Strapp, J. W., and Wasey, M. A.: Response of particle measuring systems airborne ASASP and PCASP to NaCl and latex particles. Aerosol Sci. Tech., 16.

15

20

83–95, 1992. 18256 Lohmann, U. and Feichter, J.: Global indirect aerosol effects: a review, Atmos. Chem. Phys., 5, 715–737, doi:10.5194/acp-5-715-2005, 2005. 18252

Lucas, D. D. and Akimoto, H.: Evaluating aerosol nucleation parameterizations in a global atmospheric model, Geophys. Res. Lett., 33, L10808, 2006. 18253

Merikanto, J., Napari, I., Vehkamäki, H., Anttila, T., and Kulmala, M.: New parameterization of sulfuric acid-ammonia-water ternary nucleation rates at tropospheric conditions, J. Geophys. Res., 112, D15207, 2007. 18253

Merikanto, J., Spracklen, D. V., Mann, G. W., Pickering, S. J., and Carslaw, K. S.: Impact of

nucleation on global CCN, Atmos. Chem. Phys., 9, 8601–8616, doi:10.5194/acp-9-8601-2009, 2009. 18252, 18253

- Metzger, A., Verheggen, B., Dommen, J., Duplissy, J., Prevot, A. S. H., Weingartner, E., Riipinen, I., Kulmala, M., Spracklen, D. V., Carslaw, K. S., and Baltensperger, U.: Evidence for the role of organics in aerosol particle formation under atmospheric conditions, P. Natl. Acad. Sci.
- ³⁰ USA, 107, 6646–6651, doi:10.1073/pnas.0911330107, 2010. 18253, 18254, 18255, 18264, 18265, 18266
 - Modgil, M. S., Kumar, S., Tripathi, S. N., and Lovejoy, E. R.: A parameterization of ion-induced nucleation of sulphuric acid and water for atmospheric conditions, J. Geophys. Res., 110,





D19205, 2005. 18253

18277, 18286

5

25

- Morgan, W. T., Allan, J. D., Bower, K. N., Esselborn, M., Harris, B., Henzing, J. S., Highwood, E. J., Kiendler-Scharr, A., McMeeking, G. R., Mensah, A. A., Northway, M. J., Osborne, S., Williams, P. I., Krejci, R., and Coe, H.: Enhancement of the aerosol direct radiative effect by semi-volatile aerosol components: airborne measurements in North-Western Europe, Atmos. Chem. Phys., 10, 8151–8171, doi:10.5194/acp-10-8151-2010, 2010. 18264,
- Nightingale, P. D., Liss, P. S., and Schlosser, P.: Measurements of air-sea gas transfer during an open ocean algal bloom, Geophys. Res. Lett., 27, 2117–2120, 2000. 18260
- O'Dowd, C. D. and Smith, M. H.: Physicochemical properties of aerosols over the Northeast Atlantic: evidence for wind-speed-related submicron sea-salt aerosol production, J. Geophys. Res., 98, 1137–1149, 1993. 18267, 18281
 - Paasonen, P., Sihto, S.-L., Nieminen, T., Vuollekoski, H., Riipinen, I., Plaß-Dülmer, C., Berresheim, H., Birmili, W., and Kulmala, M.: Connection between new particle formation
- and sulphuric acid at Hohenpeissenberg (Germany) including the influence of organic compounds, Boreal Environ. Res., 14, 616–629, 2009. 18254
 - Paasonen, P., Nieminen, T., Asmi, E., Manninen, H. E., Petäjä, T., Plass-Dülmer, C., Flentje, H., Birmili, W., Wiedensohler, A., Hõrrak, U., Metzger, A., Hamed, A., Laaksonen, A., Facchini, M. C., Kerminen, V.-M., and Kulmala, M.: On the roles of sulphuric acid and low-
- volatility organic vapours in the initial steps of atmospheric new particle formation, Atmos.
 Chem. Phys., 10, 11223–11242, doi:10.5194/acp-10-11223-2010, 2010. 18253, 18254, 18265, 18266
 - Pierce, J. R. and Adams, P. J.: Uncertainty in global CCN concentrations from uncertain aerosol nucleation and primary emission rates, Atmos. Chem. Phys., 9, 1339–1356, doi:10.5194/acp-9-1339-2009, 2009. 18252
 - Putaud, J. P., Raes, F., Van Dingenen, R., Brüggemann, E., Facchini, M. C., Decesari, S., Fuzzi, S., Gehrig, R., Hüglin, C., Laj, P., Lorbeer, G., Maenhaut, W., Mihalopoulos, N., Muller, K., Querol, X., Rodriguez, S., Schneider, J., Spindler, G., tenBrink, H., Torseth, K., and Wiedensohler, A.: A European aerosol phenomenology – 2: chemical characteristics of
- particulate matter at kerbside, urban, rural and background sites in Europe, Atmos. Environ.,
 38, 2579–2595, 2004. 18261, 18267
 - Ramanathan, V., Crutzen, P. J., Kiehl, J. T., and Rosenfeld, D.: Aerosols, climate, and the hydrological cycle, Science, 294, 2119–2124, doi:10.1126/science.1064034, 2001. 18252





- Riipinen, I., Sihto, S.-L., Kulmala, M., Arnold, F., Dal Maso, M., Birmili, W., Saarnio, K., Teinilä, K., Kerminen, V.-M., Laaksonen, A., and Lehtinen, K. E. J.: Connections between atmospheric sulphuric acid and new particle formation during QUEST III–IV campaigns in Heidelberg and Hyytiälä, Atmos. Chem. Phys., 7, 1899–1914, doi:10.5194/acp-7-1899-2007, 2007, 18254, 18265
- ⁵ 2007. 18254, 18265

25

- Roldin, P., Swietlicki, E., Massling, A., Kristensson, A., Löndahl, J., Eriksson, A., Pagels, J., and Gustafsson, S.: Aerosol ageing in an urban plume implications for climate and health, Atmos. Chem. Phys. Discuss., 10, 18731–18780, doi:10.5194/acpd-10-18731-2010, 2010. 18262
- Rose, D., Wehner, B., Ketzel, M., Engler, C., Voigtländer, J., Tuch, T., and Wiedensohler, A.: Atmospheric number size distributions of soot particles and estimation of emission factors, Atmos. Chem. Phys., 6, 1021–1031, doi:10.5194/acp-6-1021-2006, 2006. 18267, 18278
 - Sihto, S.-L., Kulmala, M., Kerminen, V. M., Dal Maso, M., Petäjä, T., Riipinen, I., Korhonen, H., Arnold, F., Janson, R., Boy, M., Laaksonen, A., and Lehtinen, K. E. J.: Atmospheric sulphuric
- acid and aerosol formation: implications from atmospheric measurements for nucleation and early growth mechanisms, Atmos. Chem. Phys., 6, 4079–4091, doi:10.5194/acp-6-4079-2006, 2006. 18254, 18264
 - Sipilä, M., Berndt, T., Petäjä, T., Brus, D., Vanhanen, J., Stratmann, F., Patokoski, J., Mauldin, R. L., Hyvärinen, A.-P., Lihavainen, H., and Kulmala, M.: The role of sulfuric acid
- ²⁰ in atmospheric nucleation, Science, 327, 1243–1246, doi:10.1126/science.1180315, 2010. 18254
 - Spracklen, D. V., Pringle, K. J., Carslaw, K. S., Chipperfield, M. P., and Mann, G. W.: A global off-line model of size-resolved aerosol microphysics: I. Model development and prediction of aerosol properties, Atmos. Chem. Phys., 5, 2227–2252, doi:10.5194/acp-5-2227-2005, 2005a. 18253, 18259, 18260, 18268
 - Spracklen, D. V., Pringle, K. J., Carslaw, K. S., Chipperfield, M. P., and Mann, G. W.: A global off-line model of size-resolved aerosol microphysics: II. Identification of key uncertainties, Atmos. Chem. Phys., 5, 3233–3250, doi:10.5194/acp-5-3233-2005, 2005b. 18259
 Spracklen, D. V., Carslaw, K. S., Kulmala, M., Kerminen, V.-M., Mann, G. W., and Sihto, S.-
- L.: The contribution of boundary layer nucleation events to total particle concentrations on regional and global scales, Atmos. Chem. Phys., 6, 5631–5648, doi:10.5194/acp-6-5631-2006, 2006. 18264, 18266, 18267, 18285

Spracklen, D. V., Carslaw, K. S., Kulmala, M., Kerminen, V.-M., Sihto, S.-L., Riipinen, I.,





Merikanto, J., Mann, G. W., Chipperfield, M. P., Wiedensohler, A., Birmili, W., and Lihavainen, H.: Contribution of particle formation to global cloud condensation nuclei concentrations, Geophys. Res. Lett., 35, L06808, doi:10.1029/2007GL033038, 2008. 18253, 18264, 18267

- Spracklen, D. V., Carslaw, K. S., Merikanto, J., Mann, G. W., Reddington, C. L., Pickering, S., Ogren, J. A., Andrews, E., Baltensperger, U., Weingartner, E., Boy, M., Kulmala, M., Laakso, L., Lihavainen, H., Kivekäs, N., Komppula, M., Mihalopoulos, N., Kouvarakis, G., Jennings, S. G., O'Dowd, C., Birmili, W., Wiedensohler, A., Weller, R., Gras, J., Laj, P., Sellegri, K., Bonn, B., Krejci, R., Laaksonen, A., Hamed, A., Minikin, A., Harrison, R. M., Talbot, R., and Sun, J.: Explaining global surface aerosol number concentrations in terms of primary emissions and particle formation. Atmos. Chem. Phys. 10, 4775–4793. doi:10.5194/epre
 - emissions and particle formation, Atmos. Chem. Phys., 10, 4775–4793, doi:10.5194/acp-10-4775-2010, 2010. 18252, 18253, 18254, 18255, 18261, 18264, 18265, 18267, 18272, 18283

Spracklen, D. V., Carslaw, K. S., Pöschl, U., Rap, A., and Forster, P. M.: Global cloud condensa-

- tion nuclei influenced by carbonaceous combustion aerosol, Atmos. Chem. Phys. Discuss., 11, 6999–7044, doi:10.5194/acpd-11-6999-2011, 2011. 18252
 - Stier, P., Feichter, J., Kinne, S., Kloster, S., Vignati, E., Wilson, J., Ganzeveld, L., Tegen, I., Werner, M., Balkanski, Y., Schulz, M., Boucher, O., Minikin, A., and Petzold, A.: The aerosolclimate model ECHAM5-HAM, Atmos. Chem. Phys., 5, 1125–1156, doi:10.5194/acp-5-1125-2005, 2005. 18261, 18262, 18263, 18301, 18302, 18308
- Textor, C., Schulz, M., Guibert, S., Kinne, S., Balkanski, Y., Bauer, S., Berntsen, T., Berglen, T., Boucher, O., Chin, M., Dentener, F., Diehl, T., Easter, R., Feichter, H., Fillmore, D., Ghan, S., Ginoux, P., Gong, S., Grini, A., Hendricks, J., Horowitz, L., Huang, P., Isaksen, I., Iversen, I., Kloster, S., Koch, D., Kirkevåg, A., Kristjansson, J. E., Krol, M., Lauer, A., Lamarque, J. F., Liu, X., Montanara, V., Mutra, C., Banner, L., Bitari, C., Baddy, S., Saland, O., Stiar, B.,

20

Liu, X., Montanaro, V., Myhre, G., Penner, J., Pitari, G., Reddy, S., Seland, O., Stier, P., Takemura, T., and Tie, X.: Analysis and quantification of the diversities of aerosol life cycles within AeroCom, Atmos. Chem. Phys., 6, 1777–1813, doi:10.5194/acp-6-1777-2006, 2006. 18252, 18261, 18262

Van Dingenen, R., Raes, F., Putaud, J.-P., Baltensperger, U., Charron, A., Facchini, M. C.,

Decesari, S., Fuzzi, S., Gehrig, R., Hansson, H.-C., Harrison, R. M., Hüglin, C., Jones, A. M., Laj, P., Lorbeer, G., Maenhaut, W., Palmgren, F., Querol, X., Rodriguez, S., Schneider, J., ten Brink, H., Tunved, P., Tørseth, K., Wehner, B., Weingartner, E., Wiedensohler, A., and Wåhlin, P.: A European aerosol phenomenology – 1: physical characteristics of particulate





matter at kerbside, urban, rural and background sites in Europe, Atmos. Environ., 38, 2561–2577, 2004. 18261

- Vehkamäki, H., Kulmala, M., Napari, I., Lehtinen, K. E. J., Timmreck, C., Noppel, M., and Laaksonen, A.: An improved parameterization for sulfuric acid-water nucleation
- ⁵ rates for tropospheric and stratospheric conditions, J. Geophys. Res., 107, 4622, doi:10.1029/2002JD002184, 2002. 18253
 - Venzac, H., Sellegri, K., Villani, P., Picard, D., and Laj, P.: Seasonal variation of aerosol size distributions in the free troposphere and residual layer at the puy de Dôme station, France, Atmos. Chem. Phys., 9, 1465–1478, doi:10.5194/acp-9-1465-2009, 2009. 18257, 18273
- Wang, S. C. and Flagan, R. C.: Scanning electrical mobility spectrometer, Aerosol Sci. Tech., 13, 230–240, 1990. 18257
 - Weber, R. J., Marti, J. J., McMurry, P. H., Eisele, F. L., Tanner, D. J., and Jefferson, A.: Measured atmospheric new particle formation rates: implications for nucleation mechanisms, Chem. Eng. Commun., 151, 53–64, 1996. 18254
- ¹⁵ Wehner, B., Birmili, W., Gnauk, T., and Wiedensohler, A.: Particle number size distributions in a street canyon and their transformation into the urban-air background: measurements and a simple model study, Atmos. Environ., 36, 2215–2223, 2002. 18262
 - Wehner, B., Petäjä, T., Boy, M., Engler, C., Birmili, W., Tuch, T., Wiedensohler, A., and Kulmala, M.: The contribution of sulfuric acid and non-volatile compounds on the growth of freshly formed atmospheric aerosols, Geophys. Res. Lett., 32, L17810, 2005. 18279
- 20 freshly formed atmospheric aerosols, Geophys. Res. Lett., 32, L17810, 2005. 18279 Wehner, B., Uhrner, U., von Löwis, S., Zallinger, M., and Wiedensohler, A.: Aerosol number size distributions within the exhaust plume of a diesel and a gasoline passenger car under on-road conditions and determination of emission factors, Atmos. Environ., 43, 1235–1245, 2009. 18263
- Weingartner, E., Nyeki, S., and Baltensperger, U.: Seasonal and diurnal variation of aerosol size distributions (10<D<750 nm) at a high-alpine site (Jungfraujoch 3580 m a.s.l.), J. Geophys. Res., 104, 26809, 1999. 18257, 18273</p>
 - Wiedensohler, A., Birmili, W., Nowak, A., Sonntag, A., Weinhold, K., Merkel, M., Wehner, B., Tuch, T., Pfeifer, S., Fiebig, M., Fjäraa, A. M., Asmi, E., Sellegri, K., Depuy, R., Venzac, H.,
- Villani, P., Laj, P., Aalto, P., Ogren, J. A., Swietlicki, E., Roldin, P., Williams, P., Quincey, P., Hüglin, C., Fierz-Schmidhauser, R., Gysel, M., Weingartner, E., Riccobono, F., Santos, S., Grüning, C., Faloon, K., Beddows, D., Harrison, R. M., Monahan, C., Jennings, S. G., O'Dowd, C. D., Marinoni, A., Horn, H.-G., Keck, L., Jiang, J., Scheckman, J., McMurry, P. H.,





Deng, Z., Zhao, C. S., Moerman, M., Henzing, B., and de Leeuw, G.: Particle mobility size spectrometers: harmonization of technical standards and data structure to facilitate high quality long-term observations of atmospheric particle number size distributions, Atmos. Meas. Tech. Discuss., 3, 5521–5587, doi:10.5194/amtd-3-5521-2010, 2010. 18257, 18274

s van der Werf, G. R., Randerson, J. T., Collatz, G. J., and Giglio, L.: Carbon emissions from fires in tropical and subtropical ecosystems, Glob. Change Biol., 9, 547–562, 2003. 18260 Wilks, D. S.: Resampling hypothesis tests for autocorrelated fields, J. Climate, 10, 66–82, 1997. 18271

Yu, F. and Luo, G.: Simulation of particle size distribution with a global aerosol model: con-

- tribution of nucleation to aerosol and CCN number concentrations, Atmos. Chem. Phys., 9, 10 7691-7710, doi:10.5194/acp-9-7691-2009, 2009. 18253, 18263
 - Yu, F., Luo, G., Bates, T. S., Anderson, B., Clarke, A., Kapustin, V., Yantosca, R. M., Wang, Y., and Wu, S.: Spatial distributions of particle number concentrations in the global troposphere: simulations, observations, and implications for nucleation mechanisms, J. Geophys. Res.,
- 115. D17205. 2010. 18253 15

Discussion Pa	AC 11, 18249–1	PD 8318, 2011							
per Discussion	Prima secor contributi concent C. L. Reddi	Primary vs. secondary contributions to PN concentrations C. L. Reddington et al.							
Paper	Title	Page							
-	Abstract	Introduction							
Disc	Conclusions	References							
ussion	Tables	Figures							
Pape	14	►I							
Pr	•	•							
D	Back	Close							
iscussi	Full Scre	en / Esc							
on P	Printer-frien	dly Version							
aper	Interactive	Discussion							



Table 1. Summary of surface observation sites use in this study. Site descriptions are based on the information provided by EUSAAR (www.eusaar.net) and on the site-categorisation of Henne et al. (2010).

Ground site	Acronym	Altitude (m a.s.l.)	Aerosol instrument	Description
Aspvreten, Sweden	ASP	30	DMPS	Boreal forest environment. Representative of regional background in Mid-Sweden.
Cabauw, the Netherlands	CBW	60	SMPS	Rural polluted environment. Air masses range from clean maritime to continental polluted.
Finokalia, Greece	FKL	250	SMPS	Coastal environment. Air masses are representative of synoptic scale atmospheric composition.
Hohenpeissen- berg, Germany	HPB	980	SMPS	Rural environment. Representative of continental background air masses.
Hyytiälä, Finland	HTL	181	DMPS	Remote, boreal forest environment. Air masses are dominated by European pollution but at times very clean Arctic air.
Jungfraujoch, Switzerland	JFJ	3580	SMPS	Remote, high altitude site. Representative of background air masses above a continental area.
JRC-Ispra, Italy	JRC	209	DMPS	Semi-rural polluted environment. Representative of polluted continental background air masses.
K-puszta, Hungary	KPO	125	DMPS	Rural environment. Representative of regional background in Central-Eastern Europe.





Table 1. Continued.

Ground site	Acronym	Altitude (m a.s.l.)	Aerosol instrument	Description
Košetice, Czech Republic	KTC	534	SMPS	Rural environment. Representative of continental background air masses.
Mace Head, Ireland	MHD	5	SMPS	Remote, coastal environment. Representative of relatively clean background marine air masses.
Melpitz, Germany	MPZ	87	DMPS	Rural environment. Representative of rural polluted continental air masses.
Monte Cimone, Italy	MTC	2165	DMPS	High altitude site. Representative of free troposphere for South Europe/North Mediterranean area.
Puy de Dôme, France	PDD	1465	SMPS	High altitude site. Representative of regional (polluted) atmospheric background air masses.
Schauinsland, Germany	SLD	1205	SMPS	Mountain ridge site (night-time site is usually above BL, daytime site is mostly within BL), rural environment. Representative of continental background air masses.
Vavihill, Sweden	VHL	172	DMPS	Rural environment. Representative of continental background air masses.

ACPD 11, 18249–18318, 2011 Primary vs. secondary contributions to PN concentrations C. L. Reddington et al. Title Page Abstract Introduction Conclusions References **Tables** Figures .∎. ► ◀ Close Back Full Screen / Esc Printer-friendly Version Interactive Discussion

Discussion Paper

Discussion Paper

Discussion Paper



Table 2. Summary of the GLOMAP model simulations used in this study. All model simulations include primary aerosol emissions and binary homogeneous nucleation (BHN) of $H_2SO_4-H_2O$ (Kulmala et al., 1998) to simulate nucleation in the FT (see Sect. 3 for details). Modelled campaign (May 2008) mean particle number concentrations (D_p >3 nm) in the European BL (\leq 2000 m a.s.l.) are given for each simulation. The European domain is considered as the area between the longitudes ~65.6° N and ~32.1° N, and latitudes ~22.5° W and ~36.6° E.

#Simulation nameSize distribution of primary fossil fuel and biofuel emissionsBL nucleation mechanism and rateMean particle num concentration in fu European BL (cm1BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)None7602BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)None14833ACT-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)ACT13504ACT-BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 30 \text{ nm}$ (Stier et al., 2005)ACT18714ACT-BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ (Dentener et al., 2006)ACT18715KIN-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)KIN18685KIN-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)KIN1868					
1BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)None7602BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)None14833ACT-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)ACT13504ACT-BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ (Stier et al., 2005)ACT $A = 2 \times 10^{-6} \text{ s}^{-1}$ $D_{BF} = 150 \text{ nm}$ (Dentener et al., 2006)18715KIN-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Dentener et al., 2006)KIN $A = 2 \times 10^{-6} \text{ s}^{-1}$ 1868 $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	#	Simulation name	Size distribution of primary fossil fuel and biofuel emissions	BL nucleation mechanism and rate	Mean particle number concentration in the European BL (cm ⁻³)
2BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)None14833ACT-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)ACT13504ACT-BCOC_smSmall size: $D_{FF} = 30 \text{ nm}$ (Dentener et al., 2006)ACT18715KIN-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Dentener et al., 2006)KIN18685KIN-BCOC_lgLarge size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)KIN1868	1	BCOC_lg	Large size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	None	760
3 ACT-BCOC_lg Large size: ACT 1350 $D_{FF} = 60 \text{ nm}$ $A = 2 \times 10^{-6} \text{ s}^{-1}$ 1350 $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005) $A = 2 \times 10^{-6} \text{ s}^{-1}$ 1871 4 ACT-BCOC_sm Small size: ACT 1871 $D_{FF} = 30 \text{ nm}$ $A = 2 \times 10^{-6} \text{ s}^{-1}$ 1871 $D_{FF} = 80 \text{ nm}$ (Dentener et al., 2006) 1868 5 KIN-BCOC_lg Large size: KIN 1868 $D_{FF} = 60 \text{ nm}$ $K = 2 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ 1868 $D_{FF} = 150 \text{ nm}$ (Stier et al., 2005) 1868	2	BCOC₋sm	Small size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)	None	1483
4 ACT-BCOC_sm Small size: ACT 1871 $D_{FF} = 30 \text{ nm}$ $A = 2 \times 10^{-6} \text{ s}^{-1}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006) 5 KIN-BCOC_lg Large size: KIN 1868 $D_{FF} = 60 \text{ nm}$ $K = 2 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	3	ACT-BCOC₋lg	Large size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	ACT $A = 2 \times 10^{-6} \text{ s}^{-1}$	1350
5 KIN-BCOC_lg Large size: KIN 1868 $D_{FF} = 60 \text{ nm}$ $K = 2 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	4	ACT-BCOC₋sm	Small size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)	ACT $A = 2 \times 10^{-6} \text{ s}^{-1}$	1871
	5	KIN-BCOC₋lg	Large size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	KIN $K = 2 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$	1868

ACPD 11, 18249-18318, 2011 Primary vs. secondary contributions to PN concentrations C. L. Reddington et al. Title Page Abstract Introduction Conclusions References Tables **Figures** ► 4 Close Back Full Screen / Esc **Printer-friendly Version** Interactive Discussion

Discussion Paper

Discussion Paper

Discussion Paper



Table 2. Continued.

#	Simulation name	Size distribution of primary fossil fuel and biofuel emissions	BL nucleation mechanism and rate	Mean particle number concentration in the European BL (cm ⁻³)
6	KIN-BCOC₋sm	Small size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)	KIN $K = 2 \times 10^{-12} \mathrm{cm}^3 \mathrm{s}^{-1}$	2226
7	ORG1-BCOC_lg	Large size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	ORG1 $k = 5 \times 10^{-13} \mathrm{cm}^3 \mathrm{s}^{-1}$	1967
8	ORG1-BCOC₋sm	Small size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)	ORG1 $k = 5 \times 10^{-13} \mathrm{cm}^3 \mathrm{s}^{-1}$	2312
9	ORG2-BCOC_lg	Large size: $D_{FF} = 60 \text{ nm}$ $D_{BF} = 150 \text{ nm}$ (Stier et al., 2005)	ORG2 $k_1 = 8.2 \times 10^{-15} \text{ cm}^3 \text{ s}^{-1}$ $k_2 = 7.0 \times 10^{-14} \text{ cm}^3 \text{ s}^{-1}$	1670
10	ORG2-BCOC_sm	Small size: $D_{FF} = 30 \text{ nm}$ $D_{BF} = 80 \text{ nm}$ (Dentener et al., 2006)	ORG2 $k_1 = 8.2 \times 10^{-15} \text{ cm}^3 \text{ s}^{-1}$ $k_2 = 7.0 \times 10^{-14} \text{ cm}^3 \text{ s}^{-1}$	2076

ACPD 11, 18249–18318, 2011 Primary vs. secondary contributions to PN concentrations C. L. Reddington et al. Title Page Abstract Introduction Conclusions References **Tables** Figures .∎. ► ◀ Close Back Full Screen / Esc Printer-friendly Version Interactive Discussion

Discussion Paper

Discussion Paper

Discussion Paper



Table 3. Summary statistics for total particle number concentrations ($D_p > 15 \text{ nm}$; N_{tot}) and for concentrations of particles in three size-ranges typical for CCN; $D_p > 50 \text{ nm}$ (N_{50}), >100 nm (N_{100}) and >160 nm (N_{160}). The normalised mean bias (NMB), slope of the linear regression (m) and correlation coefficient (R^2) are calculated between the simulated and observed multi-site campaign-mean number concentrations.

Model NMB (%)				m					R^2			
Experiment	N _{tot}	N ₅₀	N ₁₀₀	N ₁₆₀	N _{tot}	N_{50}	N ₁₀₀	N ₁₆₀	$N_{\rm tot}$	N ₅₀	N ₁₀₀	N ₁₆₀
BCOC_lg	-71	-51	-21	9	0.18	0.46	0.84	0.92	0.53	0.84	0.82	0.69
BCOC_sm	-35	-5	12	20	0.57	0.94	1.11	0.83	0.68	0.89	0.85	0.56
ACT-BCOC₋lg	-55	-38	-12	13	0.19	0.45	0.85	0.94	0.52	0.86	0.81	0.67
ACT-BCOC_sm	-26	2	16	22	0.54	0.93	1.12	0.84	0.68	0.89	0.85	0.58
KIN-BCOC_lg	-43	-32	-9	11	0.22	0.46	0.85	0.93	0.40	0.86	0.81	0.69
KIN-BCOC_sm	-19	5	18	21	0.53	0.92	1.12	0.84	0.64	0.89	0.84	0.60
ORG1-BCOC_lg	-38	-30	-8	11	0.17	0.45	0.86	0.91	0.12	0.79	0.81	0.68
ORG1-BCOC_sm	-14	6	19	20	0.50	0.92	1.14	0.82	0.48	0.87	0.85	0.60
ORG2-BCOC₋lg	-45	-34	-11	11	0.24	0.45	0.84	0.92	0.42	0.83	0.81	0.67
ORG2-BCOC_sm	-21	4	18	21	0.53	0.92	1.13	0.83	0.63	0.88	0.85	0.59





Table 4. Summary of where a statistical improvement in the predicted number concentrations at the ground sites is achieved by including BL nucleation in the model. The results are given for particle number concentrations in three size ranges; $D_p = 15-50 \text{ nm} (N_{<50})$, $D_p > 50 \text{ nm} (N_{50})$, and $D_p > 100 \text{ nm} (N_{100})$. The "+" sign indicates where the difference between the model and observations changes from statistically significant to not significant when BL nucleation is included. The "-" sign indicates where the reverse occurs i.e. including BL nucleation leads to an over prediction of the observed mean $N_{<50}$, N_{50} , or N_{100} . The "0" indicates where there is no statistically significant change in the predicted particle number concentrations with BL nucleation.

Ground site	N _{<50}	N ₅₀	N ₁₀₀
Aspvreten	+	0	_
Cabauw	0	0	0
Finokalia	+	+	0
Hohenpeissenberg	+	0	0
Hyytiälä	+	+	+
Jungfraujoch	0	0	0
JRC-Ispra	0	0	0
K-puszta	0	0	0
Košetice	0	0	0
Mace Head	0	+	_
Melpitz	0	0	+
Monte Cimone	+	0	0
Puy de Dôme	0	0	0
Schauinsland	+	0	_
Vavihill	+	0	+



Table 5. Summary statistics for particle number concentrations in the diameter ranges; 4-10 nm (N_{4-10}), 10–160 nm (N_{10-160}), and 160–1040 nm ($N_{160-1040}$). The normalised mean bias (NMB), slope of the linear regression (m) and correlation coefficient (R^2) are calculated between the simulated and observed mean number concentrations in the BL (\leq 2000 m a.s.l.) for each flight performed by the DLR Falcon aircraft during LONGREX, May 2008. R^2 and m are not calculated for N_{4-10} for reasons explained in Sect. 4.2. Values in brackets are statistics calculated with 1 flight (the second flight on 22 May) removed.

Model	NMB (%)			m		R^2		
Experiment	N ₄₋₁₀	<i>N</i> ₁₀₋₁₆₀	<i>N</i> ₁₆₀₋₁₀₄₀	<i>N</i> ₁₀₋₁₆₀	<i>N</i> ₁₆₀₋₁₀₄₀	N ₁₀₋₁₆₀	<i>N</i> ₁₆₀₋₁₀₄₀	
BCOC_lg	-100	-85	-19	0.04	0.36	0.05	0.29	
BCOC_sm	-100	-64	-15	0.15	0.32	0.16	0.14	
ACT-BCOC_lg	-97	-59 (-83)	-16	-0.41 (0.03)	0.59	0.03	0.56	
ACT-BCOC_sm	-95	-60 (-62)	-12	0.05 (0.09)	0.24	0.02	0.20	
KIN-BCOC₋lg	-45	-43 (-81)	-16	-0.67 (0.03)	0.42	0.03	0.28	
KIN-BCOC_sm	-98	-57 (-61)	-13	0.03 (0.09)	0.40	0.01	0.18	
ORG1-BCOC_lg	-71	-48 (-80)	-15	-0.58 (0.01)	0.63	0.03	0.56	
ORG1-BCOC_sm	-80	-55 (-60)	-12	<0.01 (0.09)	0.39	<0.01	0.18	
ORG2-BCOC_lg	-32	-59 (-81)	-20	-0.35 (0.02)	0.51	0.03	0.52	
ORG2-BCOC_sm	-89	-59 (-62)	-10	0.01 (0.10)	0.34	<0.01	0.12	



Discussion Paper

Discussion Paper



Table 6. Correlation coefficient (R^2) between observed and simulated hourly mean particle number concentrations (a) $D_p > 15$ nm and (b) $D_p > 100$ nm at each ground site. Ground site acronyms are given in Table 1.

ASP	CBW	FKL	HPB	HTL	JFJ	JRC	KPO	KTC	MHD	MPZ	MTC	PDD	SLD	VHL
<0.01	0.51	0.05	0.13	0.01	0.16	0.09	0.09	0.01	0.04	<0.01	0.15	0.17	0.16	<0.01
<0.01	0.48	0.02	0.16	<0.01	0.20	0.02	0.09	<0.01	0.05	0.01	0.09	0.12	0.09	0.01
<0.01	0.52	0.02	0.09	<0.01	0.20	0.01	0.08	0.07	<0.01	0.04	0.02	<0.01	0.05	<0.01
0.01	0.48	0.02	0.02	<0.01	0.21	0.01	0.09	0.04	0.02	0.01	<0.01	0.05	<0.01	<0.01
<0.01	0.46	0.01	0.09	<0.01	0.17	0.01	0.01	0.03	<0.01	0.02	0.02	<0.01	0.06	0.01
<0.01	0.48	0.01	0.04	0.01	0.18	0.01	0.08	0.04	0.01	0.02	0.01	0.02	0.02	<0.01
<0.01	0.41	0.01	0.09	<0.01	0.06	<0.01	0.01	0.04	0.01	0.02	0.04	<0.01	0.07	0.06
<0.01	0.47	0.01	0.03	<0.01	0.10	<0.01	0.10	0.09	0.03	0.03	<0.01	0.01	0.01	0.02
<0.01	0.52	0.02	0.09	<0.01	0.19	0.01	<0.01	0.02	<0.01	0.01	0.02	<0.01	0.06	0.05
<0.01	0.48	0.02	0.02	0.01	0.22	0.01	0.09	0.05	0.03	0.02	<0.01	0.03	0.01	0.01
ASP	CBW	FKL	HPB	HTL	JFJ	JRC	KPO	KTC	MHD	MPZ	MTC	PDD	SLD	VHL
0.12	0.60	0.04	0.30	0.47	0.06	0.44	0.02	0.17	0.34	0.33	0.34	0.31	0.24	0.32
0.13	0.59	0.02	0.33	0.46	0.08	0.45	0.01	0.07	0.30	0.24	0.35	0.25	0.25	0.22
0.02	0.61	0.01	0.28	0.34	0.06	0.37	0.03	0.15	0.32	0.33	0.34	0.31	0.21	0.30
0.07	0.60	0.02	0.31	0.42	0.06	0.45	0.01	0.09	0.30	0.26	0.35	0.25	0.26	0.22
0.03	0.59	<0.01	0.28	0.39	0.05	0.33	0.02	0.15	0.31	0.29	0.32	0.28	0.20	0.26
0.07	0.60	0.01	0.30	0.44	0.09	0.43	0.01	0.09	0.29	0.29	0.35	0.24	0.26	0.21
0.01	0.59	0.01	0.27	0.38	0.05	0.32	0.05	0.15	0.28	0.27	0.33	0.28	0.22	0.21
0.07	0.60	0.01	0.31	0.46	0.07	0.40	0.02	0.07	0.29	0.27	0.34	0.27	0.27	0.20
<0.01	0.58	0.02	0.28	0.37	0.04	0.34	0.04	0.16	0.32	0.30	0.33	0.29	0.22	0.29
0.06	0.60	0.02	0.30	0.44	0.06	0.43	0.01	0.09	0.29	0.29	0.35	0.26	0.27	0.22
	ASP <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.01 <0.02 0.13 0.02 0.07 <0.01 0.03 0.07 <0.01 0.01 <0.01 <0.03 0.07 <0.01 0.01 <0.01 0.03 0.07 <0.01 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.01 0.05 0.07 0.05 0.5 0.	ASP CBW <0.01	ASP CBW FKL <0.01	ASP CBW FKL HPB <0.01	ASP CBW FKL HPB HTL <0.01	ASP CBW FKL HPB HTL JFJ <0.01	ASP CBW FKL HPB HTL JFJ JRC <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO KTC <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO KTC MHD <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO KTC MHD MPZ <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO KTC MHD MPZ MTC <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO KTC MHD MPZ MTC PDD <0.01	ASP CBW FKL HPB HTL JFJ JRC KPO KTC MHD MPZ MTC PDD SLD <0.01



Discussion Paper

Discussion Paper

Discussion Paper





Fig. 1. Map of flight tracks performed by the DLR Falcon 20 research aircraft during the EUCAARI-LONGREX field campaign in May 2008. Sections of the DLR Falcon flight tracks that are at or below 2 km are shown in bold. Orange dots mark the locations of the European Supersites for Atmospheric Aerosol Research (EUSAAR) and the German Ultrafine Aerosol Network (GUAN) ground sites with aerosol number size distribution measurements for May 2008 (site acronyms are listed in Table 1).























Fig. 4. Normalised mean bias (NMB) between hourly-mean modelled and observed particle number concentrations at each ground site. NMB is shown for model experiments 1–4, Table 2 for number concentrations in the size ranges; (a) $D_p = 15-50$ nm and (b) $D_p > 50$ nm.





Fig. 5. Statistical significance between hourly-mean modelled and observed particle number concentrations in three size ranges; $D_p = 15-50 \text{ nm} (N_{<50}), D_p > 50 \text{ nm} (N_{50})$, and $D_p > 100 \text{ nm}$ (N_{100}) . The red dots show site locations where the difference between the model and observations is statistically significant at the 99% confidence level; the black dots show the locations where the difference is insignificant. Results are shown for the primary aerosol model experiments (1–2, Table 2) and for the experiments including BL nucleation (3–10, Table 2).



Discussion Paper

ACPD



Discussion Paper **ACPD** 11, 18249-18318, 2011 Primary vs. secondary contributions to PN **Discussion** Paper concentrations C. L. Reddington et al. **Title Page** Introduction Abstract **Discussion** Paper Conclusions References **Figures** Tables 14 Back Close **Discussion** Paper Full Screen / Esc **Printer-friendly Version** Interactive Discussion



Fig. 6. Normalised histograms of the frequency distribution of hourly-mean simulated (colour) and observed (black) number concentrations of particles >50 nm (N_{50}) for May 2008 at each ground site. Bin size depends on the maximum N_{50} observed at each site, number concentrations are divided into 15 equally spaced bins. Model experiments are described in Table 2: BCOC_lg (blue), KIN-BCOC_lg (light blue), BCOC_sm (red), and KIN-BCOC_sm (orange). The percentage overlap of the modelled and observed frequency distributions is shown for each model simulation.



Fig. 7. Vertical profiles of observed (black) and modelled (colour) particle number concentrations in the diameter ranges: **(a)** 4–10 nm, **(b)** 10–160 nm, and **(c)** 160–1040 nm. Observations are from the DLR Falcon 20 aircraft. The average over all measurement flights performed during the LONGREX campaign (May 2008) is shown (sectioned into 600 m altitude bins). The error bars and shading represent the standard deviation of the model and observations, respectively. Model experiments are described in Table 2: BCOC_lg (blue/grey), BCOC_sm (purple), ACT-BCOC_lg (red), ACT-BCOC_sm (maroon), KIN-BCOC_lg (yellow), KIN-BCOC_sm (orange), ORG1-BCOC_lg (light green), ORG1-BCOC_sm (dark green), ORG2-BCOC_lg (cyan), and ORG2-BCOC_sm (blue).







Fig. 8. Vertical profile of measured non-volatile particle number concentration (D_p >14 nm) from the DLR Falcon 20 aircraft (black) compared with modelled number concentration of BC+OC particles (D_p >14 nm). The average over all measurement flights performed during the LON-GREX campaign (May 2008) is shown (sectioned into 600 m altitude bins). The error bars and shading represent the standard deviation of the model and observations, respectively. Model experiments are described in Table 2: BCOC_Ig (blue) and BCOC_sm (red). The dashed red line shows the BCOC_sm experiment with the assumed BC+OC emission sizes reduced by a factor of ~1.2.





Fig. 9. Mean number size distributions of measured non-volatile particles (black) and of modelled carbonaceous particles (colour) for all flight hours with a mean altitude less than 2 km a.s.l. The total modelled size distribution of BC particle cores is shown in bold and the modelled size distribution of aged BC+OC (with condensed SO_4) is shown for sizes larger than ~100 nm. The error bars and shading represent the standard deviation of the model and observations, respectively. Model experiments are described in Table 2: BCOC_lg (blue) and BCOC_sm (red).





Fig. 10. May 2008 time-series of hourly-mean modelled (colour) and observed (black) particle number concentrations (D_p >15 nm) at each ground site. Model experiments are described in Table 2: BCOC_lg (grey/blue), BCOC_sm (purple), ACT-BCOC_lg (red), ACT-BCOC_sm (maroon), KIN-BCOC_lg (yellow), KIN-BCOC_sm (orange), ORG1-BCOC_lg (light green), ORG1-BCOC_sm (dark green), ORG2-BCOC_lg (cyan), and ORG2-BCOC_sm (blue).







Fig. 11. May 2008 time-series of hourly-mean simulated (colour) and observed (black) condensation sink at each ground site. Model experiments are described in Table 2: BCOC_Ig (blue) and BCOC_sm (red).







Fig. 12. Time-series of simulated (colour) and measured (black) concentrations of gas-phase sulphuric acid at the Melpitz ground site for May 2008. Model experiments are described in Table 2: BCOC_lg (blue) and BCOC_sm (red).

