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Predicting the relative humidities of liquid-liquid phase separation, efflorescence, and deliquescence of mixed particles of ammonium sulfate, organic material, and water using the organic-to-sulfate mass ratio of the particle and the oxygen-to-carbon elemental ratio of the organic component

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Abstract

Individual particles that on a mass basis consist dominantly of the components ammonium sulfate, organic material, and water are a common class of submicron particles found in today's atmosphere. Here we use (1) the organic-to-sulfate (org:sulf) mass ratio of the overall particle and (2) the oxygen-to-carbon (O:C) elemental ratio of the organic component as input variables in parameterisations that predict the critical relative humidity of several different types of particle phase transitions. These transitions include liquid-liquid phase separation (SRH), efflorescence (ERH), and deliquescence (DRH). Experiments were conducted by optical microscopy for 11 different oxygenated organic-ammonium sulfate systems covering the range $0.1 < \text{org:sulf} < 12.8$ and $0.29 < \text{O:C} < 1.33$. These new data, in conjunction with other data already available in the literature, were used to develop the parameterisations SRH(org:sulf, O:C), ERH(org:sulf, O:C), and DRH(org:sulf, O:C). The parameterisations correctly predicted SRH within 15 % RH for 86 % of the measurements, ERH within 5 % for 86 % of the measurements, and DRH within 5 % for 95 % of the measurements. The applicability of the derived parameterisations beyond the training data set was tested against observations for organic-sulfate particles produced in an environmental chamber. The organic component consisted of secondary organic material produced by the oxidation of isoprene, α -pinene, and β -caryophyllene. The predictions of the parameterisations were also tested against data from the Southern Great Plains, Oklahoma, USA. The observed ERH and DRH values for both the chamber and field data agreed within 5 % RH with the value predicted by the parameterisations using the measured org:sulf and O:C ratios as the input variables.

1 Introduction

Atmospheric aerosol particles have many important roles in the Earth system. Examples include their feedback mechanisms to climate change, their links to the biogeochemical cycles of many elements, and their effects on regional visibility and human health (Finlayson-Pitts and Pitts, 1997; Ravishankara, 1997; Martin et al., 2004;

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3 Laboratory results and discussion

Shown in Fig. 1b are examples of images recorded during a typical experiment in which liquid-liquid phase separation and efflorescence were observed (cf. Movie S1). The new data are plotted in Fig. 2 as a function of org:sulf and O:C, together with previous measurements of oxygenated organic-ammonium sulfate systems (Table 1 and references therein). Figure 2, as well as Figs. S1–S2, reveal that a dividing line emerges from the data, specifically for $O:C < 0.7$ compared to $O:C > 0.7$. For $O:C < 0.7$, the particles regularly undergo liquid-liquid phase separation, followed by efflorescence of the core with decreasing RH.

The spatial distribution of the organic and sulfate materials after liquid-liquid phase separation in a particle is shown in Fig. 3. The morphology is an organic coating surrounding an aqueous ammonium sulfate core, with small amounts of each in the other phase. This observation is consistent with the Raman spectra and optical images of poly(ethylene glycol)-ammonium sulfate particles after liquid-liquid phase separation (Ciobanu et al., 2009). In our studies, as well as the previous studies with poly(ethylene glycol)-ammonium sulfate particles, the hydrophobic glass slide may influence the morphology. Studies with levitated particles have observed other morphologies including an organic lens on a smaller aqueous droplet and several small aqueous ammonium sulfate inclusions suspended in an organic particle after liquid-liquid phase separation (Kwamena et al., 2010). Regardless of the morphology, the Raman spectra show that the nucleation-disrupting organic molecules are largely excluded from the aqueous salt after phase separation. This separation allows efflorescence to occur at an RH close to that of pure aqueous ammonium sulfate (Ciobanu et al., 2009; Smith et al., 2011).

The SRH value is independent of org:sulf in most cases, as illustrated in Fig. 2a and b, but correlates to first degree with $O:C$ ($R^2 = 0.87$ for $O:C \leq 0.8$; Fig. S2), at least for the parameter space explored here (i.e., $0.1 < \text{org:sulf} < 15$ and $0.2 < O:C < 1.4$). For $O:C < 0.7$, Fig. 2c demonstrates that as a first-order approximation ERH and DRH do not depend on org:sulf. Within experimental uncertainty, they are the same as those of

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pure ammonium sulfate. Conversely, for O:C > 0.7 Fig. 2d shows that ERH and DRH decrease with increasing values of org:sulf. ERH has greater sensitivity than DRH to increases in org:sulf.

The correlation between SRH and O:C shown in Fig. 2 and Fig. S2 can be rationalised by the salting out effect and the molar polarisation of the organic molecule. The decrease in solubility of an organic molecule in an aqueous solution due to the addition of a salt is known as the salting out effect. Salting out is described by Setchenov equation (Lee, 1997):

$$\ln \frac{S}{S_0} = k_s C_s \quad (1)$$

where S is the solubility of the organic molecule in the presence of the salt, S_0 is the solubility without the salt, C_s is the concentration of the salt, and k_s is the Setchenov constant. Increasingly negative values of k_s result in a greater tendency of an aqueous organic-inorganic particle to liquid-liquid phase separate. According to electrostatic theories, k_s is related to the molar polarization (P_m) of the organic molecule (Desnoyers and Ichhaporia, 1969). Since P_m is related to the polarisability and the dipole moment and, furthermore, since polarisability and dipole moment should be roughly related to O:C, one would expect a correlation between k_s and O:C and also a correlation between SRH and O:C. This line of thinking is also consistent with a recent modeling study using alcohols and salts that showed that hydrophilicity, which was reflected in O:C, is a key feature in defining the region of a miscibility gap (Zuend et al., 2010). The gap between 0.87 and unity for the correlation factor of SRH with O:C is plausibly explained by the combination of several different classes of organic molecules in our data set. A better correlation would be expected if a homologous series of organic molecules were studied.

In regard to the trends in DRH and ERH shown in Fig. 2, if a liquid-liquid phase separation occurs in a three-component system, the DRH and ERH values are expected to be closer to the binary system (inorganic and water) because in many cases after phase separation the organic molecules are expected to partition mostly to the organic

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DRH of three-component organic-ammonium sulfate particles can be predicted with the presented parameterisations. The SRH parameterisation is less accurate: this parameterisation correctly predicts SRH within 15 % RH for 86 % of the measurements (Fig. 5). Nevertheless, the parameterisation does predict with reasonable accuracy the org:sulf and O:C parameter space where liquid-liquid phase separation is expected to occur. For more accurate predictions of SRH, a need for additional information (i.e., in addition to O:C) is indicated. Useful information would include organic functional groups and the organic molecular weight. However, any additional accuracy would come at the expense of added complexity in the parameterisation and may require chemical information that is currently not routinely measured (i.e., unlike org:sulf and O:C).

Measurements of average org:sulf and O:C by advanced on-line particle mass spectrometers have become available in the past few years for the central Amazon Basin (Chen et al., 2009) and for many locations in the Northern Hemisphere (Jimenez et al., 2009; Ng et al., 2010). Factor analysis has been used to separate the organic mass spectrum into hydrocarbon-like organic (HOA) and oxygenated organic (OOA) statistical components (Zhang et al., 2005, 2007; Jimenez et al., 2009). Since our parameterisations are applicable to the oxygenated component, we filtered the measurements (Chen et al., 2009; Jimenez et al., 2009; Ng et al., 2010) to include only those regions and times that had high OOA statistical scores compared to HOA scores. The constraint applied was $\text{OOA}/(\text{OOA}+\text{HOA}) \geq 0.85$. The HOA component is believed to represent oily materials that have limited chemical interaction with oxygenated organic-sulfate-water components that are the focus of the present study. The sampling locations after filtering were: Riverside, Zurich (summer), Zurich (winter), off New England coast, Fukue, Okinawa, Cheju, Duke Forest, Pinnacle Park, Cheboque Point, Jungfraujoch, Hyttiala, and central Amazon Basin. For these locations, org:sulf and O:C campaign averages ranged from 0.36 to 5.39 and 0.43 to 0.85 respectively (Chen et al., 2009; Jimenez et al., 2009; Ng et al., 2010). Magenta boxes in Fig. 4 enclose the org:sulf and O:C range covered by these ambient measurements. Coincidence is

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apparent between (Chen et al., 2009; Jimenez et al., 2009; Ng et al., 2010) the locations of the magenta boxes representing ambient measurements and the org:sulf and O:C range covered by the introduced SRH, ERH, and DRH parameterisations.

4 Case studies

5 4.1 Environmental chamber studies

The applicability of the derived parameterisations for predicting the phase transitions of multi-component oxygenated organic-sulfate mixtures was tested using secondary organic material (SOM) that was mixed with sulfate and water in individual particles. The SOM was produced by the oxidation of volatile organic compounds (VOCs) in an environmental chamber in the presence of ammonium sulfate seed particles (Shilling et al., 2008; King et al., 2010; Li et al., 2011; Smith et al., 2011). Some of the oxidation products had low vapor pressures and consequently condensed onto the seed particles. Particle-phase SOM produced in an environmental chamber contains 10s to 100s of oxygenated compounds and as such represents a surrogate for atmospheric oxygenated organic material. Up to 90 % of the particle-phase submicron organic material in the atmosphere is SOM (Hallquist et al., 2009). The ERH and DRH of the mixed SOM organic-ammonium sulfate particles were measured using a tandem differential mobility analyzer (cf. Supplement and references Rosenoern et al., 2009; Smith et al., 2011). The org:sulf and O:C ratios were measured using on-line mass spectrometry (DeCarlo et al., 2006; Aiken et al., 2008; Shilling et al., 2009), including recent updates for SOM water peaks (Chen et al., 2011). Figure 6 shows both the ERH and DRH measurements (points) as well as the predictions (lines) obtained using the ERH and DRH parameterisations, with input parameters of the measured org:sulf and O:C. The derived parameterisations tested against the independent ERH and DRH measurements of the chamber particles were accurate within 3.4 % RH for all observations.

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4.2 Field studies

The parameterisations were also tested against measurements that took place during June 2007 in the Southern Great Plains (SGP), Oklahoma, USA (cf. Supplement and references (Sheridan et al., 2001; Martin et al., 2008)). For the current analysis, we considered measurements for which the cation mole fraction arising from NH_4^+ was greater than 0.75 and the anion mole fraction arising from SO_4^{2-} was greater than 0.90. The use of this subset ensured that the inorganic composition was close to ammonium sulfate and that any deviations in the ERH and DRH from incomplete neutralisation or the presence of the nitrate anion were relatively small. Both of these factors have been demonstrated in laboratory studies to influence ERH and DRH (Martin et al., 2003). The org:sulf and O:C ratios at which efflorescence and deliquescence occurred at SGP are plotted in Fig. 4b and c, alongside the RH contours of the parameterisations. The parameterisations predict that ERH and DRH should lie within the ranges of 30–35 % and 75–80 %, respectively, in agreement with the observations at SGP.

15 5 Conclusions and outlook

The relative humidity at which liquid-liquid phase separation, efflorescence, and deliquescence occurred in 11 different oxygenated organic-ammonium sulfate systems was studied with an optical microscope. The new laboratory data as well as data reported in the literature was used to develop parameterisations in terms of O:C and org:sulf. The parameterisations correctly predict ERH and DRH for three component laboratory particles within 5 % RH for 86 % and 95 % of the measurements, respectively. The parameterisations correctly predict SRH within 15 % RH for 86 % of the measurements. Improvements in the predictions of SRH will require additional chemical information which may not be routinely measured. The applicability of the derived parameterisations for predicting the phase transitions of multi-component organic-ammonium

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alongside existing capabilities for organic and sulfate burdens may make use of these types of parameterisations for SRH, ERH, and DRH.

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Supplementary material related to this article is available online at:

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5 acpd-11-17759-2011-supplement.zip.

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Table 1. List of the data sets used for the parameterisations of SRH, ERH, and DRH in three-component systems (i.e. one organic plus ammonium sulfate and water). The DRH values reported here corresponds to the total DRH, which indicates where ammonium sulfate completely dissolves. For DRH and SRH we only included results from either bulk measurements or optical microscopy measurements because other techniques typically used for exploring the hygroscopic properties of aerosol particles can miss total deliquescence. For ERH we focused exclusively on optical microscopy measurements to be consistent with the new results reported in the current manuscript. Optical microscopy has been shown to be in good agreement in most cases with other techniques used to measure ERH properties of particles (Parsons et al., 2004). We also excluded any ERH results where it could not be shown that ammonium sulfate was the first solid to crystallise in the three-component particles. The complete data set is plotted in Fig. S1 as colour maps to illustrate that the data covers a wide range of org:sulf and O:C values without any large gaps in this phase space.

Compound	Formula	Functional Groups	O:C	Data Available
diethyl decanedioate 1,2-hexanediol	C ₁₄ H ₂₆ O ₄ C ₆ H ₁₄ O ₂	esters alcohols	0.29 0.33	ERH*, DRH*, SRH* DRH (Marcolli and Krieger, 2006), SRH (Marcolli and Krieger, 2006)
monomethyl octane-1,8-dioate 1,4 butanediol	C ₉ H ₁₆ O ₄ C ₄ H ₁₀ O ₂	carboxylic acid-ester alcohols	0.44 0.50	ERH*, SRH*
1,2,6-hexane-triol 4-dihydroxy-3-methoxybenzeneacetic acid	C ₆ H ₁₄ O ₃ C ₉ H ₁₀ O ₅	alcohols carboxylic acid-alcohols-ether-aromatic	0.50 0.56	DRH (Marcolli and Krieger, 2006), SRH (Marcolli and Krieger, 2006) ERH*, DRH*, SRH* ERH*, DRH*, SRH*
polyethylene glycol-400	C _{2n} H _{4n+2} O _{n+1} , n = 8.2 to 9.1	alcohols-ethers	~0.56	ERH (Ciobanu et al., 2009), DRH (Marcolli and Krieger, 2006), SRH (Marcolli and Krieger, 2006; Ciobanu et al., 2009) ERH*, SRH*
2,5-dihydroxybenzoic acid	C ₇ H ₆ O ₄	carboxylic acid-alcohols	0.57	ERH*, SRH*
hexanedioic acid	C ₆ H ₁₀ O ₄	carboxylic acids	0.67	DRH (Brooks et al., 2002; Wise et al., 2003)
2,2-dimethylbutanedioic acid	C ₆ H ₁₀ O ₄	carboxylic acids	0.67	ERH*, SRH*

Table 1. Continued.

Compound	Formula	Functional Groups	O:C	Data Available
pentanedioic acid	C ₅ H ₈ O ₄	carboxylic acids	0.8	ERH (Pant et al., 2004), DRH (Brooks et al., 2002; Wise et al., 2003; Pant et al., 2004; Treuel et al., 2008, 2009), SRH*
6,8-dioxabicyclo[3.2.1]octane-2,3,4-triol	C ₆ H ₁₀ O ₅	ethers-alcohols	0.83	ERH (Parsons et al., 2004), DRH (Parsons et al., 2004), SRH*
propane-1,2,3-triol	C ₃ H ₈ O ₃	alcohols	1.00	ERH (Parsons et al., 2004), DRH (Parsons et al., 2004; Marcolli and Krieger, 2006), SRH*
L-hydroxybutanedioic acid	C ₄ H ₆ O ₅	carboxylic acids	1.00	DRH (Brooks et al., 2002; Wise et al., 2003)
butanedioic acid	C ₄ H ₆ O ₄	carboxylic acids	1.00	DRH (Brooks et al., 2002; Wise et al., 2003)
(Z)-butenedioic acid	C ₄ H ₄ O ₄	carboxylic acids	1.00	DRH (Brooks et al., 2002; Wise et al., 2003; Treuel et al., 2009)
2-hydroxypropane-1,2,3-tricarboxylic acid	C ₆ H ₈ O ₇	carboxylic acids-alcohol	1.17	ERH (Bodsworth et al., 2010), DRH (Bodsworth et al., 2010), SRH*
propanedioic acid	C ₃ H ₄ O ₄	carboxylic acids	1.33	ERH (Parsons et al., 2004), DRH (Brooks et al., 2002; Wise et al., 2003; Parsons et al., 2004; Salcedo, 2006; Treuel et al., 2008, 2009), SRH*

* This study's data.

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Table 2. Parameterisations of the SRH, ERH, and DRH data. In the parameterizations, terms include $x = \log_{10}(\text{org:sulf mass ratio})$ and $y = \text{O:C atomic ratio}$.

Predictions	Parameterization	Valid Range	Reduced χ^2
SRH(x, y)	= 0 = $35.5 + 339.9y - 471y^2$	$0.7 < (\text{O:C}) < 1.4$ and $0.1 < (\text{org:sulf}) < 15$ $0.2 < (\text{O:C}) < 0.7$ and $0.1 < (\text{org:sulf}) < 15$	44.55
ERH(x, y)*	= $144.6 + 207x - 217.1y + 138x^2 - 377.5xy + 84.87y^2 + 40.83x^3 - 220.8x^2y + 115xy^2 + 1.064x^4 - 58.79x^3y + 30.93x^2y^2 + 40.83x^3 - 220.8x^2y + 115xy^2 + 1.064x^4 - 58.79x^3y + 30.93x^2y^2$ $= 33.99 - 1.098x - 0.166y$	$0.7 < (\text{O:C}) < 1.4$ and $0.1 < (\text{org:sulf}) < 15$	2.62
DRH(x, y)	= $102.5 + 59.48x - 49.51y + 59.95x^2 - 110xy + 20.26y^2 + 28.83x^3 - 81.26x^2y + 32.68xy^2 + 3.077x^4 - 25.44x^3y + 10.44x^2y^2$ $= 79.03 - 1.646x - 1.217y$	$0.2 < (\text{O:C}) < 0.7$ and $0.1 < (\text{org:sulf}) < 15$ $0.7 < (\text{O:C}) < 1.4$ and $0.1 < (\text{org:sulf}) < 3$	1.09
		$0.2 < (\text{O:C}) < 0.7$ and $0.1 < (\text{org:sulf}) < 15$	

* Negative ERH values correspond to the absence of efflorescence (i.e., no efflorescence is predicted even at 0 % RH).

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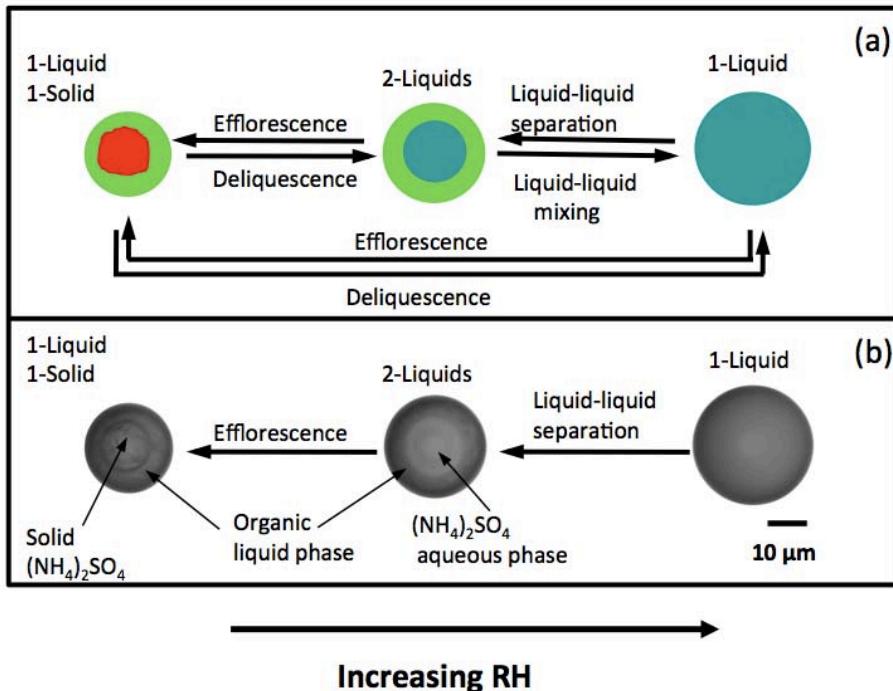


Fig. 1. **(a)** Some possible phase transitions of mixed organic-ammonium sulfate particles. These transitions can occur as atmospheric relative humidity fluctuates between high and low values. Aqua, green, and red respectively represent an aqueous phase, a liquid phase of organic material, and a solid phase of crystalline ammonium sulfate ($(\text{NH}_4)_2\text{SO}_4$). **(b)** Optical microscope images of a single particle consisting of 1,2,6-trihydroxyhexane and ammonium sulfate (org:sulf = 2.1) showing the sequence from right-to-left of an internally mixed liquid phase, two phase-separated liquids, and a core-shell arrangement of solid-liquid phase separation. Images were recorded using $500\times$ magnification during a typical experiment as the relative humidity was decreased.

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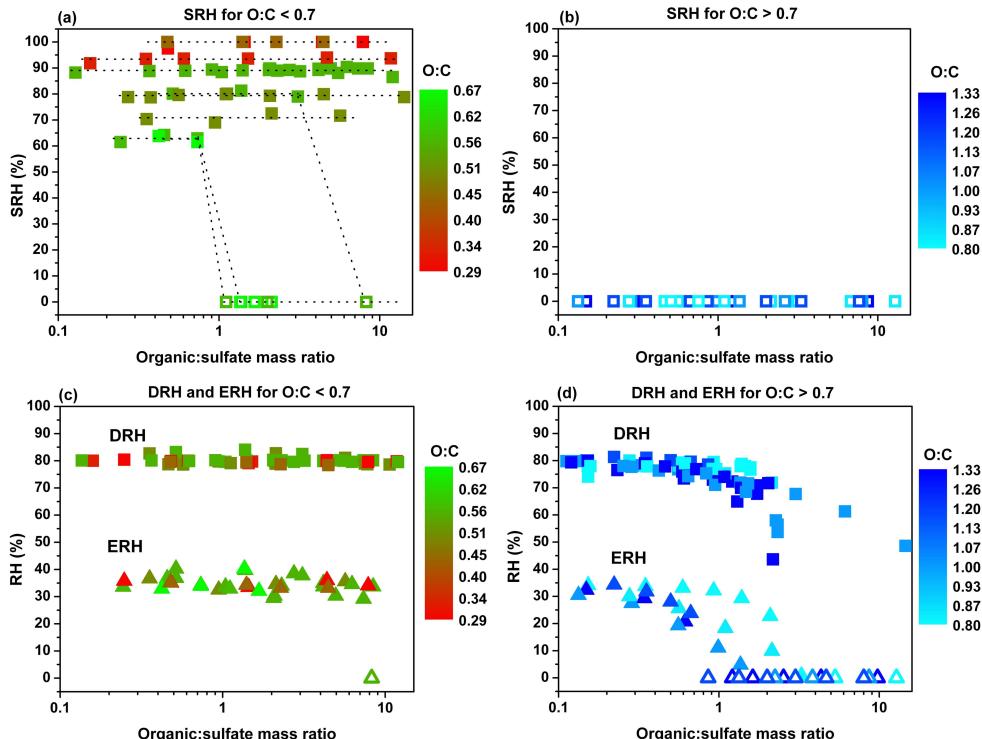


Fig. 2. Measurements of separation relative humidity (SRH), efflorescence relative humidity (ERH), and deliquescence relative humidity (DRH). Axes denote the observed relative humidity (RH) of a transition and the organic-to-sulfate mass ratio of a studied mixed system. Data are segregated between the left and right sets of panels for low (<0.7) and high (>0.7) oxygen-to-carbon (O:C) elemental ratios of the organic material. Open symbols indicate that no phase transition was observed. In some cases SRH was not probed below 35–40 % RH because ammonium sulfate or the organic material crystallised.

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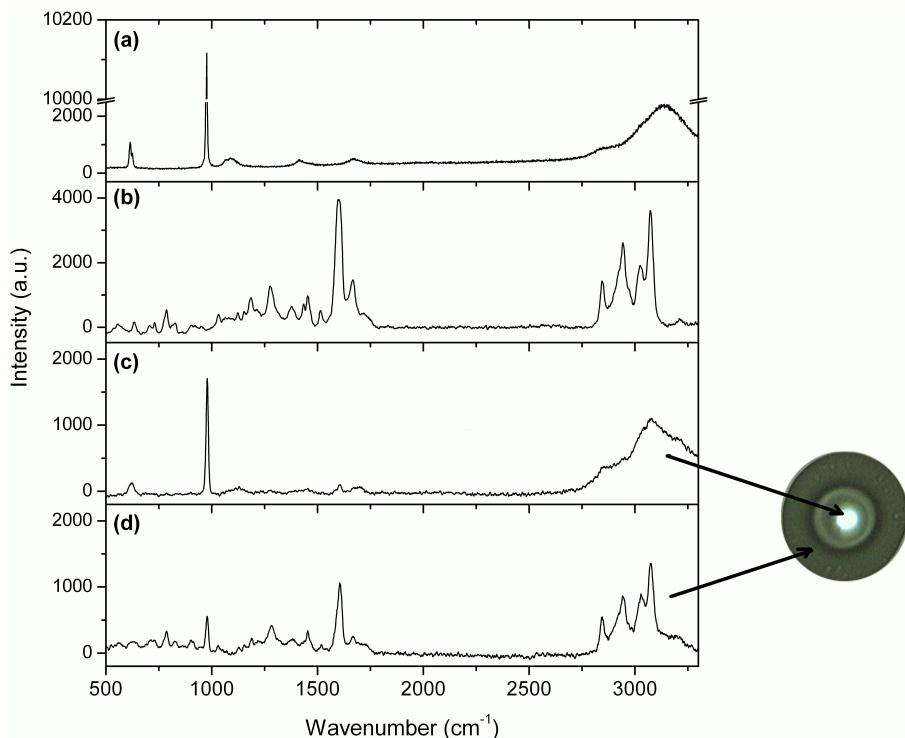


Fig. 3. Raman spectra of **(a)** a pure ammonium sulfate particle, **(b)** a pure 4-dihydroxy-3-methoxybenzeneacetic acid particle, **(c)** the core of a mixed 4-dihydroxy-3-methoxybenzeneacetic acid-ammonium sulfate particle after phase separation, and **(d)** the shell of a mixed 4-dihydroxy-3-methoxybenzeneacetic acid-ammonium sulfate particle after phase separation. The mixed particle used for Raman analysis is shown to the right.

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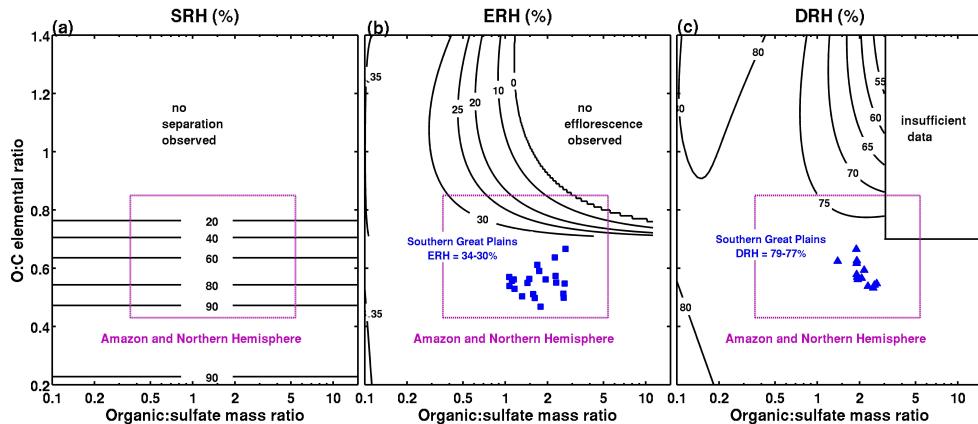


Fig. 4. Contour plots of SRH, ERH, and DRH based on the presented parameterisations of the experimental data. The magenta box encloses org:sulf and O:C values (campaign averages) measured in the pristine Amazon Basin (Chen et al., 2009) and many locations in the Northern Hemisphere (Jimenez et al., 2009; Ng et al., 2010). The blue symbols in (b) and (c) correspond to field measurements of ERH and DRH that were carried out at the Southern Great Plains (SGP), Oklahoma, USA (Martin et al., 2008). ERH and DRH measured at SGP are indicated in the figure. Since instruments commonly used to measure phase transitions in the field are not capable of directly measuring liquid-liquid phase separations, there are no field observations included in (a).

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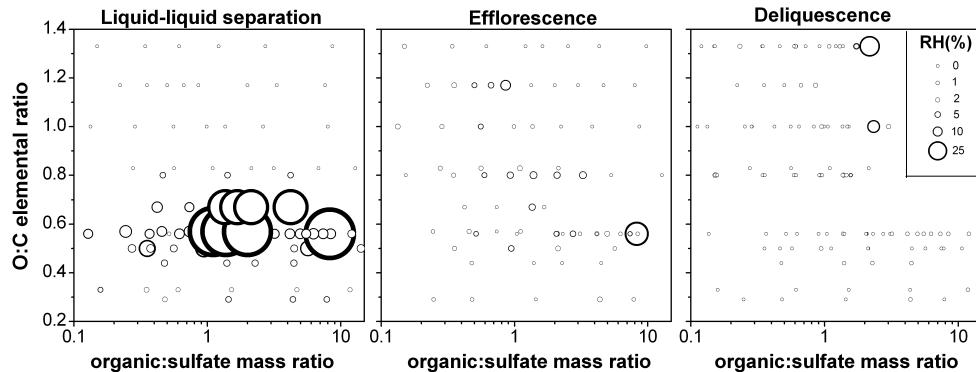


Fig. 5. The residuals (defined as the difference between parameterisations and measurements) for SRH, ERH, and DRH. The diameter of the circles correspond to the difference between the parameterisations and the measurements in % RH.

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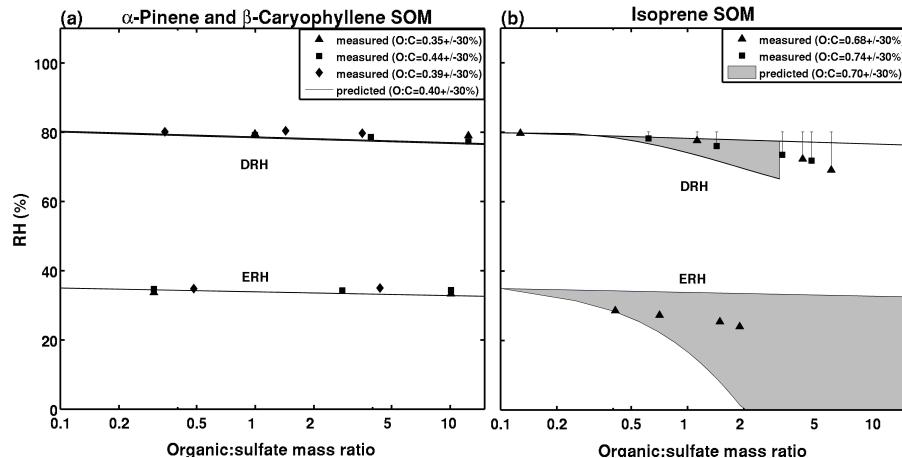


Fig. 6. Comparison between measured and predicted ERH and DRH for organic-sulfate particles. Panel **(a)** shows observations for organic material produced by the ozonolysis of α -pinene and β -caryophyllene. Panel **(b)** shows observations for organic material produced by isoprene photooxidation. The predictions shown in each panel represent the ERH and DRH parameterisations with input parameters of the measured org:sulf and O:C, including a maximum 30 % uncertainty in the O:C measurements (Aiken et al., 2007). This uncertainty is relatively less important for **(a)** (thus narrower bands) than **(b)** (wider bands) because of the different O:C values. The unsymmetrical error bars for the deliquescence measurements in **(b)** are discussed in the Supplement.

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