

1 **Air mass origins influencing TTL chemical composition**  
2 **over West Africa during 2006 summer monsoon**

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23

24 **Abstract**

25 Trace gas and aerosol data collected in the tropical tropopause layer (TTL) between 12-  
26 18.5km by the M55 Geophysica aircraft as part of the SCOUT-AMMA campaign over West

1 Africa during the summer monsoon in August 2006 have been analysed in terms of their air  
2 mass origins. Analysis of domain filling back trajectories arriving over West Africa, and in  
3 the specific region of the flights, showed that the M55 flights were generally representative of  
4 air masses arriving over West Africa during the first 2 weeks of August, 2006. Air originating  
5 from the mid-latitude lower stratosphere was under-sampled (in the mid-upper TTL) whilst  
6 air masses uplifted from central Africa (into the lower TTL) were over-sampled in the latter  
7 part of the campaign. Signatures of recent (previous 10 days) origins were superimposed on  
8 the large-scale westward flow over West Africa. In the lower TTL, air masses were impacted  
9 by recent local deep convection over Africa at the level of main convective outflow (350K,  
10 200hPa) and on certain days up to 370K (100hPa). Estimates of the fraction of air masses  
11 influenced by local convection vary from 10 to 50% depending on the method applied and  
12 from day to day during the campaign. The analysis shows that flights on 7, 8 and 11 August  
13 were more influenced by local convection than on 4 and 13 August allowing separation of  
14 trace gas and aerosol measurements into “convective” and “non-convective” flights. Strong  
15 signatures, particularly in species with short lifetimes (relative to CO<sub>2</sub>) like CO, NO and fine-  
16 mode aerosols were seen during flights most influenced by convection up to 350-365 K.  
17 Observed profiles were also constantly perturbed by uplift (as high as 39%) of air masses  
18 from the mid to lower troposphere over Asia, India, and oceanic regions resulting in import of  
19 clean oceanic (e.g. O<sub>3</sub>-poor) or polluted air masses from Asia (high O<sub>3</sub>, CO, CO<sub>2</sub>) into West  
20 Africa. Thus, recent uplift of CO<sub>2</sub> over Asia may contribute to the observed positive CO<sub>2</sub>  
21 gradients in the TTL over West Africa. This suggests a more significant fraction of younger  
22 air masses in the TTL and needs to be taken into consideration in derivations of mean age of air.  
23 Transport of air masses from the mid-latitude lower stratosphere had an impact from the mid-  
24 TTL upwards (20-40% above 370 K) during the campaign period importing air masses with  
25 high O<sub>3</sub> and NO<sub>y</sub>. Ozone profiles show a less pronounced lower TTL minimum than observed  
26 previously by regular ozonesondes at other tropical locations. Concentrations are less than  
27 100 ppbv in the lower TTL and vertical gradients less steep than in the upper TTL. The air  
28 mass origin analysis and simulations of in-situ net photochemical O<sub>3</sub> production, initialised  
29 with observations, suggest that the lower TTL is significantly impacted by uplift of O<sub>3</sub>  
30 precursors (over Africa and Asia) leading to positive production rates (up to 2 ppbv per day)  
31 in the lower and mid TTL even at moderate NO<sub>x</sub> levels. Photochemical O<sub>3</sub> production  
32 increases with higher NO<sub>x</sub> and H<sub>2</sub>O in air masses with O<sub>3</sub> less than 150 ppbv.

## 1 **1 Introduction**

2 Understanding the processes that govern the chemical composition, microphysics and  
3 transport in the tropical upper troposphere (UT) and lower stratosphere (LS) is important for  
4 the quantification of transport of trace gases and aerosols into the stratosphere, where they can  
5 impact stratospheric O<sub>3</sub> depletion and the stratospheric water budget. This region, designated  
6 the tropical tropopause layer (TTL), represents a transition zone between the troposphere and  
7 stratosphere and has been defined in a number of different ways. A comprehensive review of  
8 the TTL was given by Fueglistaler et al. (2009) who, for stratospheric purposes, prefer to  
9 define the TTL as the region characterised by slow ascending motion driven by radiative  
10 heating and the large-scale Brewer-Dobson circulation extending from the region above main  
11 convective outflow (neutral buoyancy) at 355 K (150 hPa, 14km) up to 425 K (70 hPa, 18.5  
12 km). This region is bounded laterally by the position the sub-tropical jets (STJs) which limits  
13 meridional transport in the lower part of the TTL but not in the upper TTL (e.g. see Volk et  
14 al., 2000). Other definitions (e.g. Gettelman et al., 2004) have fixed the lower boundary at the  
15 level of main deep convective outflow (350 K, 200 hPa, 12.5 km) although Fueglistaler et al.  
16 (2009) point out that air is largely subsiding at these levels. However, the actual quantification  
17 of the flux of trace gases into the stratosphere will depend on the composition of the air  
18 masses that enter the TTL, their residence time in the TTL before upward transport as well as  
19 processes that can change their concentrations (chemistry, microphysics, in-mixing from mid-  
20 latitudes). Quantification of processes influencing the chemical composition of the TTL is  
21 also important for climate since several trace gases, namely H<sub>2</sub>O, O<sub>3</sub> and CO<sub>2</sub>, make  
22 significant contributions to the Earth's radiative budget at these altitudes. Tropospheric O<sub>3</sub>,  
23 which can be produced downwind from convective regions from lightning NO<sub>x</sub> or following  
24 uplift of ozone precursors (e.g. Pickering et al., 1996), governs the global oxidizing capacity  
25 and the lifetime of several greenhouse gases such as CH<sub>4</sub>, through the production of the  
26 hydroxyl radical (OH). Also, the TTL constitutes a major source of new particle formation  
27 probably contributing to the maintenance of the global, stratospheric Junge aerosol layer  
28 (Brock et al., 1995; Borrmann et al., 2010).

29 In this paper, we present an analysis of air mass origins influencing chemical composition of  
30 the TTL over West Africa based on aircraft data collected during the summer monsoon in  
31 2006 as part of a joint AMMA (African Monsoon Multidisciplinary Analysis) and SCOUT-  
32 O3 (Stratospheric-Climate Links with Emphasis on the Upper Troposphere and Lower

1 Stratosphere) airborne campaign. We analyse flights made by the M55-Geophysica aircraft  
2 between 12 and 20 km during August 2006 as part of a multi-aircraft measurement  
3 deployment. The SCOUT-AMMA M55 aircraft campaign is one of the first campaigns to take  
4 place in the Northern Hemisphere summer in a region influenced by both the African and  
5 Asian monsoons. Recent tropical campaigns have taken place in locations downwind of  
6 Africa over central America as part of the Tropical Convective Systems and Processes  
7 (TCSP) in summer 2005 and Tropical Composition, Cloud and Climate Coupling (TC4)  
8 experiment in summer 2007 (Toon et al., 2010; Selkirk et al., 2010). Previous campaigns took  
9 place at other times of year and focused primarily on diagnosing contributions from local  
10 deep convection and advection of air from the mid-latitude lower stratosphere. These  
11 campaigns took place in Southern Hemisphere summer over Australia (Brunner et al., 2009)  
12 and Brazil (Pommereau et al., 2009; Huntrieser et al., 2008) and Northern Hemisphere winter  
13 over Costa Rica (e.g. Marcy et al., 2007). One of the main aims of the West African M55  
14 campaign was to quantify the contribution from different air mass origins on TTL chemical  
15 composition during the summer monsoon. At this time of year the TTL over West Africa can  
16 be impacted a) by advection of air masses from upwind regions, and b) by recent regional  
17 convective uplift. Air masses advected into the TTL over West Africa may have been  
18 influenced by uplift from the lower troposphere over upwind regions (e.g. Asia), intrusion of  
19 air from the mid-latitude lower stratosphere or cross-hemispheric transport of air masses from  
20 the Southern Hemisphere.

21 The meteorological situation during the summer monsoon over West Africa is discussed in  
22 section 2. A brief description of the M55 campaign is given in section 3. The fractions of air  
23 masses arriving from different upwind regions via large-scale transport are estimated based on  
24 back trajectory calculations to quantify the influence of different origins (section 4).  
25 Comparison of results calculated for a West African domain with those calculated in the  
26 region or along M55 flight tracks allows the flights to be put into a broader context during  
27 August 2006. The influence of recent convective uplift over central and western Africa,  
28 diagnosed using coincidences between back trajectories and convective cloud tops is  
29 discussed in section 5. Results are compared with results from mesoscale model simulations  
30 (Fierli et al., 2010). The results are used to divide the M55 flights into those more or less  
31 influenced by recent convective uplift over Africa. The influence of large-scale transport and

1 regional convective uplift on measured M55 trace gas and aerosol profiles is then discussed in  
2 section 6. Conclusions are presented in section 7.

## 4 **2 Meteorological situation**

5 A detailed discussion about the characteristics of the summer monsoon over West Africa and  
6 comparisons between 2006 and 1990-2005 can be found in Janicot et al. (2008). A description  
7 of the general meteorological situation during July and August 2006 in the upper troposphere  
8 and lower stratosphere (UTLS) including relation to the passage of convective systems is also  
9 given in Cairo et al. (2010). By way of a brief summary, the circulation in the lower  
10 troposphere is governed by dry north-easterly Harmattan winds circulating round the Saharan  
11 anticyclone, and moist south-westerly winds circulating round the southern Atlantic Santa  
12 Helena anticyclone which converge at the Inter-Tropical Convergence Zone (ITCZ) around  
13 10N. During August 2006, the surface Inter-Tropical Front reached as far north as 12N. This  
14 convergence zone, and the presence of elevated terrain to the east, leads to the formation of  
15 large organized mesoscale convective systems (MCSs) which are modulated by the  
16 propagation of African easterly waves tracking from east to west and the position and strength  
17 of the African easterly jet (AEJ): a mid-level thermal wind between 10-15N. In August 2006,  
18 convective activity and resulting precipitation were slightly enhanced compared to the 1990-  
19 2005 average (Janicot et al., 2008).

20 Trace gases and aerosols injected into the TTL are transported rapidly westward due to the  
21 existence of the Tropical Easterly Jet (TEJ) at 200 hPa induced by upper level anticyclones  
22 related to the African and Asian monsoons. This can also lead to transport of lower  
23 stratospheric air into the TTL over the Himalayas (Gettleman and Forster, 2002). Whilst the  
24 TEJ has maximum speeds over the Indian Ocean it extends into West Africa in July and  
25 August with a core evident around 10-30W. The TEJ over West Africa was stronger  
26 compared to the mean in August 2006 (Janicot et al., 2008). This westward flow dominates  
27 the large-scale circulation but chemical composition over West Africa can also be influenced  
28 by more recent uplift into the TTL. As noted in Fuglistaler et al. (2009) and references  
29 therein, the propagation or breaking of waves such as planetary scale, quasi-stationary Rossby  
30 waves (to the west) or Kelvin waves (to the east) can lead to transport of air from the  
31 stratosphere to the troposphere. Propagation of Kelvin waves can also modulate convection

1 although Janicot et al. (2008) note that this activity was low in August 2006 over West Africa.  
2 Transport of air masses between the extra-tropical stratosphere and the tropics is also  
3 influenced by the phase of the Quasi-Biennial Oscillation (QBO). As discussed in Cairo et al.  
4 (2010), the QBO was in the process of switching from westerly to easterly winds in the lower  
5 stratosphere and thus from a westerly phase more likely to favour extra-tropical transport into  
6 the tropical lower stratosphere to an easterly phase where this is less likely (e.g. O'Sullivan  
7 and Dunkerton, 1997). Analysis of N<sub>2</sub>O data collected during the M55 campaign over West  
8 Africa estimated a contribution from in-mixing of aged extra-tropical air into the West  
9 African TTL varying between 10% at 350 K to 30% at 390 K (Homan et al., 2010).

10 Transport of pollutants into the upper troposphere over Asia by deep convection followed by  
11 westward transport has been identified as a source of carbon monoxide over West Africa  
12 (Barret et al., 2008). Analysis of Microwave Limbs Sounder (MLS) data and global model  
13 results showed an influence from this source above 150 hPa over West Africa with maximum  
14 concentrations around 25N. Convective uplift of moist air masses over the Bay of Bengal and  
15 China Sea followed by dehydration during transport towards north-west India (around the  
16 upper level anticyclone associated with the Asian monsoon) has also been used to explain the  
17 water vapour maximum observed in MLS water vapour data at 100 hPa over the Himalayas  
18 (James et al., 2008). Analysis of water vapour data collected on the M55 during SCOUT-  
19 AMMA in August 2006 have been linked to this convective uplift followed by westward  
20 transport leading to enhanced water vapour concentrations over West Africa (Schiller et al.,  
21 2009).

22 At lower altitudes in the upper troposphere (200 hPa), CO maxima were observed further  
23 south in satellite and MOZAIC CO data during summer 2006, especially over central Africa  
24 with a maximum at the Equator. This has been explained by convective uplift of biomass  
25 burning pollutants (located south of the Equator at this time of year) and recirculation  
26 southwards by the large-scale Hadley circulation (e.g. Barret et al., 2008). Westward transport  
27 of these emissions by the TEJ over southern West Africa may also explain higher CO  
28 concentrations in the upper troposphere over this region. Mari et al. (2008) examined this  
29 cross-hemispheric transport in detail showing that it is episodic and dependant on the strength  
30 of lower and mid-tropospheric transport from central Africa into the Gulf of Guinea. During  
31 periods when this transport is less active (e.g. 3-9 August 2006), pollutants remain trapped  
32 over the continent before being either transported directly to West Africa in the mid-

1 troposphere or to the north-east where they can be convectively uplifted into the upper  
2 troposphere. During AMMA, polluted plumes originating from central African biomass  
3 burning were clearly observed in the mid-troposphere over the Gulf of Guinea (Reeves et al.,  
4 2010) as a result of direct transport in the lower/mid-troposphere. Real et al. (2010) also  
5 found evidence for transport of biomass burning plumes into the lower TTL over West Africa  
6 (M55 flight on 13 August, 2006).

7 Deep convection over western and central Africa also plays an important role in influencing  
8 trace gas concentrations in the TTL. During the monsoon season, large organized MCSs  
9 develop on a regular basis resulting in transport of air into the upper troposphere albeit with  
10 large-scale subsidence in surrounding regions at the level of convective outflow (around 200  
11 hPa). Their impact on trace gas concentrations in the TTL is complex and will depend on  
12 where air masses were uplifted, on mixing with air in the upper troposphere, as well as on  
13 possible liquid and ice phase cloud processing. Convective systems are also a significant  
14 source of lightning  $\text{NO}_x$  over West Africa (Höller et al., 2009) which can lead to  
15 photochemical  $\text{O}_3$  production downwind (Sauvage et al., 2007a; Barret et al., 2010).

16

17 In summary, the chemical composition of the TTL over West Africa is influenced by  
18 transport of air masses into the region primarily from the east. Chemical composition can also  
19 be influenced by additional inputs from the lower stratosphere, by cross-hemispheric transport  
20 of air masses from central Africa, or by local deep convection over Africa. The contribution  
21 of these different sources is examined in sections 4 and 5.

22

### 23 **3 The M55 SCOUT-AMMA airborne campaign**

24 The M55-Geophysica campaign, which took place from 1 to 16 August 2006, was part of a  
25 larger AMMA chemistry and aerosol field intensive designed to characterize the processes  
26 influencing chemical composition over West Africa. The British BAe-146 (B146), French  
27 Falcon F-20 (FF-20) and ATR-42 were based in Niamey, Niger (13.5N 2.2E) and the M55  
28 and DLR-Falcon (DF-20) were based in Ouagadougou, Burkina Faso (12.4N, -1.5E). Details  
29 about the coordinated aircraft deployment and main findings can be found in Reeves et al.  
30 (2010). In this paper, we focus on analysis of M55 data, which sampled the TTL and LS  
31 between 12 and 20 km. The M55 made 5 local flights on 4, 7, 8, 11 and 13 August with

1 transits to and from Ouagadougou on 1 and 16 August 2006. Measurements were made of a  
2 wide variety of trace gases including O<sub>3</sub>, CO, H<sub>2</sub>O, CO<sub>2</sub>, CH<sub>4</sub>, NO, NO<sub>y</sub>, N<sub>2</sub>O, as well as  
3 cloud and aerosol microphysics. A detailed description about the objectives of each flight, the  
4 aircraft payload, instrument accuracy as well as the main features observed along individual  
5 flights is given in Cairo et al. (2010). A brief summary is given here of the measurements  
6 used in this study. Measurements of O<sub>3</sub> were made using FOZAN (Fast OZone ANalyser)  
7 (Yushkov et al., 1999), H<sub>2</sub>O using FISH (Fast In situ Stratospheric Hygrometer) (Schiller et  
8 al., 2009), CO<sub>2</sub> using HAGAR (High Altitude Gas AnalyzeR) (Volk et al., 2000; Homan et  
9 al., 2010), NO and NO<sub>y</sub> using SIOUX (see Voigt et al., 2008 and references therein), CO  
10 using COLD (Cryogenically Operated Laser Diode) (Viciani et al., 2008), fine-mode aerosols  
11 using COPAS (CONdensation PArTicle counter Systems) (Borrmann et al., 2010), and back-  
12 scatter from aerosols by MAS (Multiwavelength Aerosol Scatterometer) (Adriani et al.,  
13 1999).

14 Figure 1 shows the M55 flight tracks over western Africa, colour-coded in terms of potential  
15 temperature along the flights. The flights on 4 and 13 August, to the south coast of West  
16 Africa, were aimed at characterizing the large-scale chemical composition. Possible transport  
17 of biomass burning emissions into the upper troposphere was also explored on 13 August (see  
18 Real et al. (2010) for detailed analysis). The flight on 7 August attempted to fly in the wake of  
19 an MSC over Mali whilst the flight on 11 August flew in the aged outflow of a large MCS. A  
20 CALIPSO validation flight on 8 August 2006 also encountered convective outflow. Fierli et  
21 al. (2010) discuss the impact of local deep convection in more detail (see section 5). Analysis  
22 of M55 data collected over West Africa is also reported in several recent papers and includes  
23 comparisons with data collected in other recent tropical campaigns over Australia and Brazil  
24 (Schiller et al., 2009; Homan et al., 2010; Borrmann et al., 2010). Results from this work are  
25 compared to results from these papers where appropriate.

26 Examination of average observed temperature and MAS aerosol backscatter profiles collected  
27 during the campaign (Figure 2) provides information about the general vertical structure of  
28 the TTL over West Africa. Figure 2 (left panel) shows the average temperature profile as a  
29 function of potential temperature acquired south of 17.5N. The average cold point tropopause  
30 can clearly be seen at around 375 K although it varied by +/- 5 K between different flights.  
31 Analysis of data collected over Costa Rica during TCSP/TC4 showed similar results (Selkirk  
32 et al., 2010) as did tropical radiosonde data (Fueglistaler et al., 2009). The total number of



1 observations at a given theta level (Figure 2 central panel) shows that the lower TTL was the  
2 most heavily sampled during the campaign. The right panel shows the fraction of observations  
3 in cloudy/hazy air masses derived from volume backscatter ratios greater than 1.2 measured  
4 with MAS (Adriani et al., 1999). There is a bimodal distribution with peaks at 320 K and 350  
5 K representing main convective outflow altitudes. Above this level there is a small percentage  
6 (around 0.01%) of cloud / haze observations up to about 410 K suggesting that tropospheric  
7 influence may not have extended much above this level during the campaign over West  
8 Africa although some evidence for convective overshooting has been found (Khaykin et al.,  
9 2009). A thin aerosol layer was also observed in the LS at 475 K related to the presence of  
10 volcanic aerosols (Borrmann et al., 2010).

11 For the purposes of this analysis we use the following definitions: top of TTL at 425 K (70  
12 hPa, 18.5 km) above which air is essentially stratospheric, the mid-TTL as the boundary  
13 between the upper and lower TTL at 370 K (100 hPa, 16.5 km) just below where the cold  
14 point tropopause is located, and the lower TTL between the mid-TTL and the lower boundary  
15 defined by the altitude of maximum of convective outflow at 350 K (200 hPa, 12.5 km). The  
16 layer above the altitude of maximum convective outflow (355 K, 150 hPa, 14 km) is also of  
17 interest.

18

#### 19 **4 Large-scale transport**

20 In order to identify the impact of recent air mass origins on measured chemical composition,  
21 10 day reverse domain filling trajectories from a 0.5 degree grid covering West Africa (10E to  
22 40W; 5 to 25N) were calculated at 4 pressure levels from 1 to 16 August, 2006. Results based  
23 on 20-day back trajectories give very similar results (not shown). These levels correspond to  
24 the main boundaries of interest listed in the previous section, i.e. 70, 100, 150 and 200 hPa.  
25 Trajectories were calculated using European centre for Medium Range Weather Forecast  
26 (ECMWF) analyses with 6-hour time resolution producing an ensemble of 120,000 air  
27 parcels. As an example, Figure 3 shows the points of back trajectories arriving at the 4  
28 pressure levels on 7 August, 2006 at 12 Universal Time (UT) coloured by pressure difference  
29 with respect to the arrival point along each trajectory (blue isobaric, yellow ascent from the  
30 troposphere). The primary flow in the TTL is zonal and westward but with important fractions  
31 of air coming from Asian mid-latitudes at 100 hPa and, to a lesser extent at 70 hPa. The Asian

1 Monsoon region impacts 150 hPa while 200 hPa is sensitive to African convection. The left  
2 panel shows where air masses were uplifted from below 400 hPa at the different levels.  
3 Similar results were found for the other days during the campaign.

4 The air parcel ensembles for each day of the campaign were used to define 4 main regions of  
5 recent (10 day) origin which might then superimpose particular chemical characteristics on  
6 the atmospheric composition in the large-scale westward flow (see dashed boxes in Figure 3  
7 and Table 1). The first two regions cover air masses arriving at all altitudes from Northern  
8 Hemisphere (NH) mid-latitudes ( $> 35\text{N}$ ) and Southern Hemisphere (SH) central Africa. The  
9 third and fourth regions identify air masses arriving from the lower and mid troposphere  
10 (1000-400 hPa) over Asia and Africa. The results were used to estimate the fraction of air  
11 parcels having spent at least 6 hours in a given region over the previous 10 days before  
12 reaching the West African domain at the 4 prescribed pressure altitudes for each day of the  
13 campaign and are shown in Figure 4. Results were also calculated for a sub-domain covering  
14 the region where the M55 flights took place (8E to 5W; 5 to 15N) (see Fig. 4b). The  
15 percentages expressed in Fig. 4 can be viewed as the fraction of air coming from regions other  
16 than zonally isobaric flow in the TTL. It is not expected that this analysis identifies all  
17 possible origins nor are they mutually exclusive.

18 First considering air mass origins over the whole West African domain during the campaign  
19 period (Figure 4a). Air masses originating from NH mid-latitudes have the most impact on  
20 altitudes in the mid and upper TTL over West Africa with a mean influence over 16 days of  
21 41% and 15% at 100 hPa and 70 hPa, respectively. These air masses have been transported  
22 around the Tibetan High, especially at 100 hPa. However, there is significant daily variability,  
23 ranging from 13 to 73% at 100 hPa, for example. The average values at 100 hPa are in  
24 reasonable agreement with Konopka et al. (2009) who estimated the fraction of air masses  
25 entering the TTL from the LS associated with the Asian summer monsoon upper level  
26 anticyclone. A significant fraction of air masses are also influenced by uplift of tropospheric  
27 air over Asia, especially at 150 hPa (39% on average) and 100 hPa (21% on average). Uplift  
28 of air masses over Africa (north of the Equator) has the most impact at 200 hPa (52% on  
29 average). In this analysis, based on trajectories calculated using ECMWF analyses, uplift  
30 from below 400 hPa is considered. Further analysis (not shown) shows that most trajectories  
31 originate from the mid-troposphere. Whilst such trajectories are able to capture large-scale  
32 uplift they are likely to underestimate uplift associated local deep convection. In this case they

1 may underestimate the number of convective events as well as vertical velocities and the  
2 altitude to which convection has an impact (e.g. see Fierli et al., 2010). Therefore, the results  
3 presented in Figure 4 may represent a lower limit. Air masses originating from SH central  
4 Africa (all altitudes) have their main influence in the latter half of the campaign period  
5 leading to a lower average influence (6%). Transport through this region is associated with  
6 southerly deviations in the position of the TEJ. Interestingly, at the end of the campaign  
7 period, these air masses also influence 150 hPa and 200 hPa (up to 23%) related to the  
8 development of large MCSs over Chad/Sudan (Real et al., 2010).

9 We now address whether, and to what extent, the sampling of the M55 was representative of  
10 the air masses arriving over West Africa during the campaign. The fraction of trajectories  
11 passing through the different regions before arriving in the sub-domain where the flights took  
12 place is shown in Figure 4b. In general, the M55 flight region experienced similar fractions of  
13 air masses originating from the free troposphere over Africa at 200 hPa (52% on average),  
14 Asia at 150 hPa (39%), and 100 hPa (21%). At 100 hPa, the M55 region saw less air arriving  
15 from NH mid-latitudes (21% compared to 41% at 100 hPa) and more from SH central Africa  
16 (13% compared to 6% at 200 hPa) compared to the larger West African domain. These biases  
17 need to be borne in mind when analysing M55 flight data.

18 We now focus on discussing the origins of air masses sampled by the M55 in more detail.  
19 Figure 5 shows the starting points of 10-day back trajectories initialised along the M55 flight  
20 tracks (on average 200 points per flight with 1 minute time interval). The results are colour-  
21 coded in terms of pressure variations along the back trajectory (left panel), and pressure at the  
22 ending point on the M55 flight path (right panel). As noted already, air in the upper TTL has  
23 mainly resided in the TTL before arriving over West Africa and shows only small theta  
24 deviations over the last 10 days. A noteworthy fraction of upper TTL (<100 hPa) air  
25 originates from further north and west over Japan and eastern China/Korea consistent with the  
26 NH mid-latitude origin shown in Figure 4. Figure 5 also shows that the origin of air in the mid  
27 TTL (around 150 hPa) is more widespread with larger pressure variations, and air masses  
28 uplifted from Asia, India and, to a lesser extent, the Middle East.

29 To explore this further, Figure 6 shows scatter plots of the difference in pressure versus the  
30 difference in latitude along the back trajectories coloured with O<sub>3</sub> (left panel) and H<sub>2</sub>O (right  
31 panel) observed along the M55 flights. Ozone concentrations in air masses originating from

1 the mid-lower troposphere are generally below 125 ppbv and sometimes lower than 50 ppbv.  
2 This variability can be due to uplift of O<sub>3</sub> poor (marine) or rich (polluted) air masses and  
3 mixing with air masses already residing in the TTL. Cross-hemispheric transport of air masses  
4 via the TEJ with high O<sub>3</sub> and low H<sub>2</sub>O are also evident (negative latitude changes and small  
5 pressure changes). The variability in observed O<sub>3</sub> concentrations is discussed further in  
6 section 6. Concerning H<sub>2</sub>O, Schiller et al. (2009) noted that the TTL over West Africa during  
7 summer 2006 was moister compared to other tropical campaigns. Their analysis pointed to  
8 convective uplift of moist air masses associated with the Asian monsoon followed by  
9 dehydration during westward transport around the upper level anticyclone leading to drying,  
10 but nevertheless moister air masses arriving over West Africa. This analysis is also in  
11 agreement with the study of James et al. (2008) who investigated the moist H<sub>2</sub>O anomaly  
12 associated with the summer Asian monsoon seen in MLS data. This is confirmed by Figure 6  
13 which shows that a significant number of air masses sampled by the M55 were uplifted. At  
14 the point of measurement, although mainly low H<sub>2</sub>O was observed, higher H<sub>2</sub>O  
15 concentrations were also observed. Figure 7 shows where 10 day back trajectories arriving  
16 along the M55 flights left the lower troposphere, also coded in terms of O<sub>3</sub> (left panel) and  
17 H<sub>2</sub>O (right panel). In this case a more strict criteria was used to define uplift as being from  
18 below 800 hPa. The points on the maps, although scattered, show a band extending from  
19 eastern Africa to south-east Asia. Interestingly, for H<sub>2</sub>O there appears to be an east—west  
20 gradient in concentrations with air masses associated with more recent uplift over the Arabic  
21 peninsula having higher H<sub>2</sub>O concentrations upon arrival over West Africa than those  
22 originating from further east over Asia. Recent convective influence could also explain higher  
23 H<sub>2</sub>O concentrations in the TTL over West Africa.

24 This analysis shows that, in general, air in the upper TTL over West Africa, and in the region  
25 of the M55 flights, was influenced by a variety of more recent (10 day) air mass origins  
26 superimposed on the large-scale westward flow. The mid and upper TTL was influenced by  
27 recent import of lower stratospheric air from NH mid-latitudes. The mid-TTL also shows a  
28 significant influence from air masses uplifted from the lower and mid troposphere over Asia,  
29 and India whereas the lower TTL was mainly impacted by air recently uplifted from the  
30 troposphere over Africa.

31

## 1 **5 Recent regional convection**

2 The influence of local convective uplift occurring over western and central Africa is examined  
3 further in this section. Coincidences were identified between ECMWF back trajectories over  
4 the whole West African domain (as described in the previous section) and convective cloud  
5 tops derived from Meteosat Second Generation (MSG) Infrared Channel (10.6 $\mu$ m)  
6 observations. A convective cloud was identified when cloud top radiance temperatures were  
7 below 200 K. The time resolution of the MSG images was 30 minutes and horizontal  
8 resolution ranged from 5 to 10 km. Since the images covered the longitudinal band 30W to  
9 40E, the convection was local and relatively recent, i.e. given the average westward speed of  
10 the MCS, not older than 3-4 days. Figure 8 shows daily maps of this diagnosed convective  
11 impact at 200 hPa from 1 to 16 August.

12 The results show that large regions over West Africa were constantly influenced by recent  
13 local convection during the timeframe of the M55 campaign, making it difficult to define an  
14 “unperturbed” state in the lower TTL with respect to convective influence at this altitude. The  
15 fraction of convectively processed air (%) is also shown for each day in Figure 8. This  
16 represents the fraction of trajectories that have passed over a convective system over Africa,  
17 as identified by MSG. At least 5% of trajectories need to have encountered convection in  
18 order to be included in the calculation of the average impact for each day. This provides an  
19 estimate about the regional influence of convection on different days during the campaign at  
20 the main convective outflow level (200 hPa). The flights on 8, 11 and 12 August were more  
21 influenced by convection compared to other days with fractions reaching 12-15%. These  
22 results can be compared with estimates of local convective impact along the flight tracks also  
23 based on coincidences between back trajectories and MSG clouds (Figure 9). The average  
24 percentage of trajectories arriving between 350-360 K are shown as a vertical bar since it is  
25 not possible to discriminate differences in altitude based on coincidences with MSG  
26 convective clouds. The percentages are larger than those calculated for the whole domain at  
27 200 hPa and can be explained by the location of particular flights and the fact that Figure 9  
28 also includes coincidences up to 360 K. In general, the along flight estimates show similar  
29 variations between the different days as seen for the whole region (Figure 8) except for 7  
30 August which shows more convective influence in the along flight analysis. The results in  
31 Fig. 9 confirm that the flights on 8 and 11 August were most impacted by local convection.  
32 The flight on 11 August flew in the outflow of a particularly large MCS system, which

1 developed over Niger and Nigeria. The flight on 8 August was planned as a CALIPSO  
2 validation flight but was nevertheless downwind of a large MCS which had developed further  
3 east. On 7 August, the aircraft flew in the outflow of a small MCS that tracked across Niger,  
4 Burkina Faso and Mali (Cairo et al., 2010). The fact that this was a rather small system  
5 explains the lower along flight fractions in Fig. 9 and the low average regional convective  
6 impact in Fig. 8. The flights on 4 and 13 August, when the M55 flew to south to the Gulf of  
7 Guinea, show less convective influence, particularly on 4 August. On 13 August the southern  
8 part of the flight at 200 hPa was influenced by downwind transport of convectively uplifted  
9 air masses further east over central Africa (Real et al., 2010). In the case of the flight on the 4  
10 August, it was possibly influenced by local sporadic convective near Ouagadougou, at the  
11 beginning and end of the flight.

12 These results can also be compared to a more detailed study described in a companion paper,  
13 Fierli et al. (2010), where a mesoscale model was used to calculate trajectories for M55  
14 flights on 7, 8 and 11 August 2006. The BOLAM simulations, covering the latitude band 15W  
15 to 45E, were nudged with MSG brightness temperatures leading to a more realistic  
16 representation of convective systems during the campaign period (see also Orlandi et al.,  
17 2010). Their estimates of convective fraction (derived using model calculated back  
18 trajectories originating below 800 hPa) varied a lot between flights and with altitude. In  
19 general, Fierli et al. (2010) estimated significant convective impact over West Africa  
20 extending to higher altitudes although the results for different days are broadly consistent with  
21 the analysis presented in this paper. On 7 August up to 20% of the sampled air masses were  
22 influenced by convection up to 360 K. The influence was higher on 8 August (70% up to 360  
23 K) and higher still on 11 August (over 80% up to 370 K). The flights on 7 and 8 August also  
24 had some convective influence at higher altitudes.

25 Figure 9 also compares local convective influence, based on coincidence with convective  
26 clouds, with the impact of large-scale uplift diagnosed from ECMWF trajectories along the  
27 flights. The large scale uplift is diagnosed as the percentage of 10-day back trajectories  
28 arriving in 4 K altitude bins between 340 K to 374 K along the M55 flights which have  
29 experienced irreversible uplift above 650 hPa (indicative of planetary boundary layer top in  
30 ECMWF analyses). To discriminate between uplift over Africa and upwind regions the  
31 longitude where uplift occurred is also shown. Note that about 200 trajectories were run back  
32 from each flight and, as such, 30 points in Fig. 9 represent about 30-40% of the observations.

1 The results show that as well as local convection, the flights on 7 and 8 August were also  
2 significantly influenced by large-scale uplift several days earlier over India (around 80E) and  
3 Asia (100-120E). Impacts varied from 20-40% on 7 August up to 360 K and reaching 60-80%  
4 up to 360 K and 30% at 370 K on 8 August. In contrast, the August 11 flight was almost  
5 entirely influenced by local African uplift (around 40W) up to 360 K. Interestingly, the 4  
6 August flight, which shows little local convective influence, was influenced by large-scale  
7 uplift up to about 365 K, again from Indian and Asian regions. The 13 August shows no  
8 impact from large-scale uplift in Fig. 9 although clear influences from the African and Asian  
9 troposphere are shown for this day in Fig. 4. This is due to the more strict criteria used to  
10 diagnose uplift (650 hPa compared to the range 1000-400 hPa in Figure 4) and the fact that  
11 results in Fig. 9 are based on along flight trajectories whereas Fig. 4 shows uplift from upwind  
12 regions into West Africa.

13 In summary, recent local convection appears to have had a rather significant influence on the  
14 West African region during the period of the M55 campaign in the lower TTL (based on  
15 matches with MSG convective clouds) up to an altitude of at least 365 K and sometimes 370  
16 K. In addition, the air masses sampled by the M55 were also significantly influenced by uplift  
17 of tropospheric air masses from India, Asia and oceanic regions.

18

## 19 **6 Relation to observed trace gas profiles**

20 In the following section, we examine whether distinct signatures of different air mass origins  
21 can be seen in trace gas and aerosol data that was observed by the M55 during the local flights  
22 over West Africa. The data was grouped into flights with more (7, 8, 11 August) or less (4, 13  
23 August) influence from recent local convection over Africa. Measurements from the  
24 “convective” and “non-convective” flights are plotted as a function of theta in Figures 10a  
25 and 10b. Data points are coloured by latitude and cross symbols identify measurements  
26 influenced by uplift, over Africa and upwind, from below 800 hPa over the previous 10 days.  
27 Following the analysis in the previous sections, local convection over Africa had a significant  
28 impact on air masses sampled by the M55 up to 350-365 K and in some instances at higher  
29 altitudes (370 K). Air uplifted over upwind regions was shown to have an influence up to 370  
30 K and air imported from the lower stratosphere impacted air masses between 370 and 410 K.

1 Signatures from these different origins are diluted to a lesser or greater degree depending on  
2 the time since transport into the TTL and the rate of mixing with surrounding air masses.

3 Clear signatures related to recent convection can be seen in constituents that have a short  
4 lifetime such as NO and aerosols. Observations of NO during the “convective” flights on 7  
5 and 8 August show enhanced concentrations as high as 800 pptv up to 355 K (Fig. 10a)  
6 compared to a “non-convective” flight on 13 August (Fig. 10b). Such enhancements strongly  
7 suggest an influence of recent convection resulting in either NO production by lightning  
8 (Höeller et al., 2009) or uplift of surface air masses impacted by soil NO<sub>x</sub> emissions. Saunio  
9 et al. (2009) and Stewart et al. (2008) report concentrations of surface NO<sub>x</sub> up to 1 ppbv north  
10 of 10N where emissions from bare soils become dominant over vegetation. Both M55 flights  
11 were made north of this latitude. Interestingly, measured NO in the “non-convective” flights  
12 shows concentrations between 200-400 pptv up to 370 K. The impact of NO<sub>x</sub> on  
13 photochemical O<sub>3</sub> production is discussed later in this section. Figure 10 also shows measured  
14 NO<sub>y</sub> concentrations. There is a large variability in the profiles, especially for the “convective”  
15 flights below about 365 K linked to the influence of local emissions. At these altitudes, NO<sub>y</sub> is  
16 mainly expected to be in the form of HNO<sub>3</sub> and to have a relatively long lifetime (in the  
17 absence of washout). Enhanced concentrations are also found on the “non-convective” flight  
18 days suggesting an influence from upwind sources (lightning or anthropogenic). NO<sub>y</sub>  
19 increases above 370 K due to the import of LS air masses.

20 When looking at fine particle measurements from COPAS, which represent the total number  
21 concentration of aerosol particles per mg of air with sizes between 14 nm and roughly 1 μm,  
22 denoted N<sub>14</sub>, it can be seen that during August 2006, more variable aerosol concentrations  
23 were observed at 350 K in the “convective” flights compared to the “non-convective” flights.  
24 There also appears to be a minimum in concentrations around 355 K and some air masses  
25 have low aerosol below 360 K possibly due to washout in convective systems. In both  
26 “convective” and “non-convective” flights, concentrations decrease towards the top of the  
27 TTL (420 K). In the lower TTL, the origin of the aerosols is unknown but may be related to  
28 uplift of precursor emissions or recent nucleation. Figure 11 shows the correlation between  
29 fine-mode aerosols and CO for the 7 and 8 August with air masses influenced by recent  
30 nucleation removed. In this case, N<sub>6</sub> (6 nm to <1 μm) is plotted and the difference between N<sub>6</sub>  
31 and N<sub>14</sub> was used to remove nucleation events associated with recent convection (see also  
32 Borrmann et al., 2010). Figure 11 shows a clear positive correlation suggesting a common



1 origin. This could either be from biomass burning over Asia or central Africa or from  
2 anthropogenic sources. However, Borrmann et al. (2010) show a minimum in the fraction of  
3 non-volatile particles between 340-370 K. This suggests the presence of aerosols, like  
4 sulphate, which could have been transported from anthropogenic sources in Asia, rather than  
5 a biomass burning source (see also CO discussion). Interestingly, in the upper TTL, where  
6 aerosol concentrations are lower, there is a maximum (up to 50%) in the fraction of non-  
7 volatile particles indicating the presence of non-sulphate aerosol. These air masses are more  
8 aged (see discussion about CO<sub>2</sub>) and may represent a residual layer of older aerosols that have  
9 not been removed by washout at lower altitudes.

10 CO was only measured during the “convective” flights on 7 and 8 August. The observations  
11 show considerable variability (45 to 100 ppbv) up to 370 K and generally decreasing  
12 concentrations above (see Fig. 10a). The range of observed CO, which has a lifetime of 2-3  
13 months, reflects a combination of recent inputs into the TTL and mixing with more aged air  
14 masses. Comparison with data collected in lower troposphere can be used as an indicator of  
15 convective uplift. Over West Africa during late July/August 2006, lower tropospheric CO  
16 varied from 145 ppbv over southern tropical forests to 80-90 ppbv north of 14N (Saunois et  
17 al., 2009; Reeves et al., 2010). An analysis of all aircraft vertical profile data collected during  
18 July-August 2006 showed a maximum in CO concentrations in the upper troposphere (200  
19 hPa) (Bouarar et al., 2010) and that flights influenced by local convection had more variable  
20 CO ranging from 80 to 200 ppbv compared to “non-convective” flights (100-150 ppbv). The  
21 M55 data is at the lower end of this range (80-100 ppbv at 200hPa). CO concentrations  
22 ranged between 70-90 ppbv in air masses where aerosol nucleation, likely to be associated  
23 with recent convection, was diagnosed to have taken place.

24 As already mentioned in section 3, analysis of MLS CO data over the Tibetan plateau shows  
25 the existence of a positive CO anomaly up to 100 hPa (Randel et al., 2007), with  
26 concentrations in the range 80-100 ppbv attributed to deep convective uplift of anthropogenic  
27 and biomass burning emissions, particularly over India and south-east Asia (Park et al., 2009).  
28 Surface CO concentrations measured over Asia are highly variable and depend on whether a  
29 site is influenced by marine or polluted air masses. For example, data collected in southern  
30 Thailand shows concentrations varying from 50 ppbv to several hundreds of ppbv (Pochanart  
31 et al., 2003). However, it does appear that uplift in the Asian summer monsoon, followed by  
32 slow ascending transport around the upper level Tibetan anticyclone leads to enhanced CO up

1 to 370 K at this time of year. Some of this pollution is transported westward by the TEJ and  
2 contributes to enhanced CO over southern West Africa as seen in satellite, MOZAIC and  
3 aircraft data (Barret et al., 2008; Reeves et al., 2010). As well as CO, Park et al. (2008) also  
4 showed enhancements up to 20 km in trace gases measured by the ACE satellite that are  
5 markers of surface pollution such as HCN (an indicator of biomass burning) and  
6 hydrocarbons over a region extending from 0-120E and 10-40N during June to August 2004-  
7 2006. However, MODIS fire count data show that biomass burning was not very prevalent  
8 during the latter half of July, or the beginning of August in 2006 (see  
9 <http://rapidfire.sci.gsfc.nasa.gov/firemaps/>) suggesting that anthropogenic sources were more  
10 important. This is also supported by the fine-mode aerosol analysis discussed previously.  
11 Therefore, since CO concentrations in air masses originating either from local African  
12 convection or upwind convection can have similar concentrations in the TTL, it is difficult to  
13 separate these two origins using CO alone. The measurements of aerosols, NO<sub>y</sub> and as  
14 discussed later, CO<sub>2</sub>, support the hypothesis that the lower-mid TTL was strongly influenced  
15 by uplift of lower tropospheric air masses.

16 One exception is an event with very low CO concentrations (< 50 ppbv) observed between  
17 350 and 360 K that was also subject to uplift from the lower troposphere. These air masses  
18 had low H<sub>2</sub>O suggesting dehydration during transport. However, surface measurements of  
19 lower tropospheric CO over Asia reported by the United States National Oceanic and  
20 Atmospheric Administration (NOAA) Carbon Cycle Greenhouse Gas (CCGG) monitoring  
21 network (<http://www.esrl.noaa.gov/gmd/ccgg/iadv/>) do not show values below 50 ppbv. This,  
22 and the fact that O<sub>3</sub> concentrations were not particularly low (50-60 ppbv), suggests that uplift  
23 from an oceanic region followed by mixing with air of lower stratospheric origin had taken  
24 place, even in the lower part of the TTL. The analysis presented in section 4 suggests a lower  
25 stratospheric influence of 10-20% at 350-360 K in the M55 flights. However, this  
26 contribution may have been underestimated in this case.

27 CO<sub>2</sub> was measured during “convective” flights influenced primarily by African convection on  
28 11 August and uplift over India on 8 August. Below 360 K, air masses that were uplifted  
29 show variable CO<sub>2</sub> concentrations between 374 to 377 ppmv. Flights of the DLR-Falcon (up  
30 to 200 hPa) found slightly enhanced CO<sub>2</sub> concentrations (379 to 380 ppmv) in convectively  
31 uplifted air masses compared to more typical upper tropospheric values (374 to 376 ppmv)  
32 (Andrés-Hernández et al., 2009). Low concentrations observed up to 368 K on 11 August

1 have been attributed to active local convective uplift on that day (Fierli et al., 2010; Homan et  
2 al., 2010) transporting boundary layer CO<sub>2</sub> with low CO<sub>2</sub> concentrations into the TTL. These  
3 air masses were associated with higher H<sub>2</sub>O, some enhancement in aerosols and O<sub>3</sub>  
4 concentrations around 60 ppbv. It is likely that daytime drawn down of CO<sub>2</sub> over tropical  
5 forests led to lower concentrations being uplifted (Williams et al., 2001). Apart from this low  
6 CO<sub>2</sub> event, a positive gradient in CO<sub>2</sub> is seen in the lower and mid-TTL in these “convective”  
7 flights. Above this altitude CO<sub>2</sub> starts to decline below the top of the TTL (425 K) reflecting  
8 an increasing contribution from lower stratospheric air masses: 20-30% based on Figure 4  
9 and N<sub>2</sub>O data analysis presented by Homan et al. (2010). The CO<sub>2</sub> profiles measured on 4 and  
10 13 August also show CO<sub>2</sub> increasing with altitude above 350 K except for one low CO<sub>2</sub> event  
11 between 360-368 K. An event with higher CO<sub>2</sub> (379-380 ppmv at 200 hPa) has been linked to  
12 convective uplift of central African biomass burning emissions on 13 August (black points in  
13 Figure 10b) (see Real et al. (2010)). This event, which is not diagnosed in the ECMWF  
14 trajectories, was accompanied by enhanced concentrations of O<sub>3</sub>, NO, NO<sub>y</sub> and aerosols. A  
15 similar event was observed on 4 August in the aerosol data.

16 The constant perturbation of the CO<sub>2</sub> profile by deep convection over Africa or by convective  
17 uplift to the east resulted in concentrations as high as 382 ppmv being observed in the TTL  
18 over West Africa. Note that concentrations observed during summer 2006 at NOAA CCGG  
19 surface sites in Asia varied from 373 to 382 ppmv (see  
20 <http://www.esrl.noaa.gov/gmd/ccgg/iadv/>). Measured TTL CO<sub>2</sub> during the 8 August flight  
21 that was particularly influenced by uplift over India (see Figure 9) was in this range. Given  
22 the long lifetime of CO<sub>2</sub>, these recent signatures are superimposed on the large-scale picture.  
23 The M55 profiles are partly in accordance with the notion of slow ascent of air masses  
24 injected several months earlier during Northern Hemisphere spring when surface CO<sub>2</sub>  
25 concentrations are higher (e.g. Boering et al., 1996, and supported by Homan et al., 2010).  
26 However, measured profiles of CO<sub>2</sub>, CO etc. up to 370 K also reflect more recent origins  
27 including local (last 3-4 days) and upwind (last 10-20 days) convection. This suggests that the  
28 age spectrum of air masses in the lower and mid-TTL may include a higher component of  
29 younger air masses and depend on local convection and advection of recently uplifted air  
30 masses into the region. Previous studies estimating the age of air based on CO<sub>2</sub> gradients in  
31 the TTL largely focused on the winter months (e.g. Park et al., 2007). However, age of air  
32 depends on seasonal variations in local convection in different regions as discussed recently

1 by Park et al. (2010) and Gettelman et al. (2009). Our results over West Africa during  
2 Northern Hemisphere summer show that, as well as local convection associated with the  
3 African monsoon being an effective mechanism for transporting air masses up to a maximum  
4 altitude of 360-365 K, the Asian monsoon is also effective at transporting trace gases rapidly  
5 up to 370 K followed by westward transport to the region.

6 The interpretation of the measured O<sub>3</sub> profiles over West Africa during the M55 campaign is  
7 complicated because O<sub>3</sub> has competing sources in the stratosphere and the lower troposphere.  
8 Analysis of tropical ozonesonde data from the SHADOZ network (e.g. Folkins et al., 2002;  
9 Thompson et al., 2007) shows the presence of an O<sub>3</sub> minimum, varying between 30 and 60  
10 ppbv, in the region of convective outflow (200 hPa, 350 K). This is often explained by the  
11 uplift of O<sub>3</sub>-poor air from clean marine regions or regions with low emissions of precursors  
12 (e.g. Selkirk et al., 2010). Concentrations increase rapidly with altitude to the top of the TTL.  
13 This is attributed to an increasing contribution from import of LS air masses particularly  
14 above the cold point tropopause (e.g. Konopka et al., 2010). Previous studies have also shown  
15 significant longitudinal variations in upper tropospheric O<sub>3</sub> related to the large scale  
16 circulation in the troposphere and lower stratosphere, leading to, for example, a maximum  
17 over the Tibetan Plateau linked to the formation of the summer Asian monsoon (Randel et al.,  
18 2007) and, the formation of a semi-permanent maximum in the UT over the southern Atlantic  
19 Ocean due to the recirculation of air masses rich in O<sub>3</sub> from central African biomass burning  
20 regions by the Hadley circulation (e.g. Sauvage et al., 2006, Sauvage et al., 2007b).  
21 Therefore, it is clear that O<sub>3</sub> concentrations in the TTL are variable and depend on location  
22 and time of year. In addition, O<sub>3</sub> and its precursors such as CO or NO<sub>x</sub> are highly variable in  
23 the troposphere. This makes it difficult to justify the use of seasonal or annual average vertical  
24 profiles to estimate the impact of deep convection and transport into the stratosphere, as was  
25 the case in recent studies (Konopka et al., 2010; Gettelman et al., 2009).

26 In the lower TTL, as part of AMMA, analysis of MOZAIC and MLS O<sub>3</sub> data at 200 hPa over  
27 western and central Africa during the summer 2006 monsoon, showed a maximum at 10S, a  
28 minimum at 5N, and increasing concentrations further north (Barret et al., 2010) giving rise to  
29 a pronounced latitudinal gradient varying from 45-50 ppbv over the southern coast of West  
30 Africa to more than 70-80 ppbv over the Sahara. The minimum over southern West Africa is  
31 related to convective uplift of O<sub>3</sub>-poor air masses from forested regions (high O<sub>3</sub> dry  
32 deposition, Saunois et al., 2009) or oceanic regions, and coincides with the observed CO

1 maximum. Reeves et al. (2010) also noted latitudinal differences in ozonesonde data collected  
2 in July and August 2006 at Cotonou, Benin (6N, 2E) and Niamey, Niger (13N, 2E), further  
3 north. Although minimum concentrations at 200 hPa were slightly lower over Cotonou, a  
4 pronounced upper tropospheric maximum is present over Niamey between 450-250 hPa most  
5 likely due to photochemical production of O<sub>3</sub> from lightning NO<sub>x</sub> emissions or from uplift of  
6 a mixture of soil NO<sub>x</sub> and biogenic hydrocarbons (advected northwards in the lower  
7 troposphere (Saunois et al., 2009)).

8 The measured M55 O<sub>3</sub> profiles reflect the different combination of air mass origins as  
9 discussed already for other trace species. Whilst it is difficult to generalise, the “convective  
10 profiles (Figure 10a), which were primarily collected north of 10N, generally have higher O<sub>3</sub>  
11 concentrations at 350-360 K than the “non-convective” profiles (Figure 10b) which include  
12 descents to 350 K (200 hPa) at 5N and have lower concentrations. The M55 data are within  
13 the range measured by the DLR Falcon during the same period (Andrés-Hernández et al.,  
14 2009) up to 150 hPa. They also found evidence for higher O<sub>3</sub> concentrations in regions of  
15 convective outflow coincident with elevated levels of O<sub>3</sub> precursors from lightning NO<sub>x</sub>  
16 emissions and surface uplift as mentioned previously.

17 Figure 12 shows the average O<sub>3</sub> profile as a function of potential temperature, using data from  
18 all local flights, together with the fraction of data points lying three standard deviations  
19 outside the mean. As discussed above, the lower part of the ozone profile can be understood  
20 in terms of local recent deep convection with a local maximum at 340 K and a weak  
21 minimum at 350 K. In the lower TTL, O<sub>3</sub> concentrations increase but at a slower rate than  
22 above 370 K (roughly 2 ppbv per K below 370 K and 6 ppbv per K above 370 K). This is due  
23 to the important contribution from uplift of tropospheric air masses as confirmed by the  
24 pressure variations along the back trajectories (rightmost panel in Figure 12) as well as  
25 enhanced variability in the O<sub>3</sub> data up to 370 K. Average concentrations in the lower TTL are  
26 also below 100 ppbv, again indicating the importance of uplift of tropospheric air masses.  
27 Above 370 K, O<sub>3</sub> concentrations are higher at this time of year compared to measurements  
28 made at other times of year at other tropical locations due to seasonal variations in lower  
29 stratospheric temperatures and stratospheric up-welling (Randel et al., 2007; Homan et al.,  
30 2010) and the important contribution from LS import via the Asian monsoon anticyclone as  
31 shown in this study and by others (e.g. Konopka et al., 2010).

1 The data presented here show that the M55 observed non-negligible concentrations of NO and  
2 CO up to 370 K suggesting that the lower TTL is potentially more photochemically active  
3 than previously assumed, and that the Asian and African monsoons provide an important  
4 mechanism for transporting pollutants into this region. Real et al. (2010) used a  
5 photochemical trajectory model initialised with AMMA data (for the biomass burning plume  
6 case on 13 August 2006) to calculate rates of in-situ net O<sub>3</sub> photochemical production, NPO<sub>3</sub>,  
7 downwind from West Africa in the upper troposphere. They found that slow but positive  
8 NPO<sub>3</sub> (in runs with and without mixing with surrounding air masses) can be maintained even  
9 in air masses with NO concentrations of 200 pptv. Here, we performed further runs of the  
10 CiTTyCAT photochemical model taking initial conditions of O<sub>3</sub> precursors such as VOCs and  
11 NO<sub>y</sub> species from the background conditions in the upper tropospheric case of Real et al.  
12 (2010). CO was initialised at 90 ppbv based on M55 data. The model was run for 4 days at  
13 150 hPa and average NPO<sub>3</sub> calculated over the last 24h. Mixing was not taken into account in  
14 the simulations. A series of sensitivity tests were carried out varying initial concentrations of  
15 O<sub>3</sub>, H<sub>2</sub>O and O<sub>3</sub> precursors (NO, CO and VOCs). As already discussed in Real et al. (2010),  
16 NPO<sub>3</sub> was quite insensitive to initial CO and VOC concentrations. The sensitivity of NPO<sub>3</sub> to  
17 initial NO, O<sub>3</sub> and H<sub>2</sub>O concentration is shown in Figure 13. NO concentrations were varied  
18 but concentrations of other NO<sub>y</sub> species were kept the same totalling around 300 pptv (not  
19 including NO).

20 At O<sub>3</sub> concentrations below 150 ppbv NPO<sub>3</sub> is always positive and varies from about 0.5 ppbv  
21 per day to more than 2 ppbv per day with higher NPO<sub>3</sub> at higher NO and higher H<sub>2</sub>O. H<sub>2</sub>O  
22 and O<sup>1</sup>D from O<sub>3</sub> photolysis react to produce OH, and therefore HO<sub>2</sub> which, in the presence  
23 of NO, leads to O<sub>3</sub> production. At higher O<sub>3</sub> concentrations of around 150 ppbv and low NO,  
24 O<sub>3</sub> destruction starts to dominate due to increased loss via H<sub>2</sub>O plus O<sup>1</sup>D. This leads to net O<sub>3</sub>  
25 destruction at higher O<sub>3</sub> and low NO but still net O<sub>3</sub> production at higher NO concentrations.  
26 In the lower TTL, air masses influenced by convection have higher NO than used here (800  
27 pptv) which will lead to higher NPO<sub>3</sub> (up to several ppbv per day). In the upper TTL, H<sub>2</sub>O is  
28 low but NO is also around 200 to 400 pptv (see Fig. 10b) and O<sub>3</sub> is increasing leading to small  
29 but positive NPO<sub>3</sub> of up to 0.5 ppbv per day. Thus, it is possible that much of the TTL over  
30 West Africa, and especially the lower TTL, is photochemically active and in a net O<sub>3</sub>  
31 production regime.

1 Therefore, in contrast to certain studies, such as Konopka et al. (2010), which assume that  
2 tropospheric photochemistry is not important in the TTL, the results presented here, and those  
3 previously reported (e.g. Folkins et al., 2002), show that photochemistry does play an  
4 important role in governing the O<sub>3</sub> budget, especially in the lower to mid TTL. The O<sub>3</sub>  
5 gradient seen the M55 observations (Fig. 12) below 370 K can be explained by uplift of  
6 precursors into this region, and maintenance of O<sub>3</sub> concentrations by in-situ photochemical O<sub>3</sub>  
7 production. Ideally, budget calculations need to be performed with global models including  
8 both tropospheric and stratospheric chemistry. Previous studies of the tropospheric O<sub>3</sub> budget  
9 have already shown that results are very sensitive to the amount of O<sub>3</sub> transported into the  
10 troposphere from the stratosphere (Wu et al., 2007), and often gradients of trace species are  
11 smeared out. If global models overestimate O<sub>3</sub> in the TTL, they are likely to underestimate  
12 NPO<sub>3</sub> in this region, especially if H<sub>2</sub>O is also overestimated (as shown by Figure 13).  
13 Lightning NO<sub>x</sub>, on the other hand, may be underestimated in global models as shown by  
14 Barret et al. (2010) over West Africa.

15 In summary, trace gas and aerosol profiles measured on the M55 during August 2006 show  
16 distinct signatures of recent local convection up to 350-365 K, particularly in species like NO,  
17 CO and aerosols which have shorter lifetimes relative to CO<sub>2</sub>. Signatures due to uplift over  
18 upwind regions are also evident up to 370 K and superimposed on features related to longer  
19 timescale variations. This is particularly true for longer-lived species like O<sub>3</sub>, NO<sub>y</sub> and CO<sub>2</sub>.

## 20 **7 Conclusions**

21 The SCOUT-AMMA M55 aircraft campaign was one of the first campaigns to take place in  
22 the Northern Hemisphere summer in a region influenced by both the African and Asian  
23 monsoons. In the analysis presented here, reverse domain-filling trajectories over West Africa  
24 and in the region of the flights, as well as back trajectories along the M55 flights, have been  
25 used to diagnose the origin of air masses influencing the TTL during first half of August  
26 2006. Most of the air arriving over West Africa was already residing in the tropical upper  
27 troposphere and lower stratosphere. However, superimposed upon this were important  
28 contributions from local recent convection over Africa, uplift of tropospheric air masses over  
29 Asia, India, and oceanic regions as well transport of air masses from the lower stratosphere  
30 during the 10 days before the measurements were made.

1 Local convective influence was found to be important in the lower TTL, and to have an  
2 influence on measured trace gas and aerosol profiles up to an altitude of 360-365 K, with the  
3 main influence at 350 K (200 hPa, 12.5km) at convective outflow. Estimates based on reverse  
4 domain filling back trajectories originating from 1000-400 hPa in the African lower and mid  
5 troposphere suggest an impact of around 52% from local convection during the campaign  
6 period. Convective fractions estimated using trajectories along flight tracks show significant  
7 variability between flights with the 8 and 11 August most influenced by convection. Estimates  
8 derived from coincidences with MSG cloud tops show similar variability but fractions are  
9 lower (10-15%) because they are averages over a region and reflecting the fact that certain  
10 flights targeted convective systems. The higher estimates, based on back trajectories are  
11 generally consistent with results from Fierli et al. (2010) who found contributions as high as  
12 60% below 355 K and, during certain flights, as high as 50% up to 370K (100 hPa, 16.5km).  
13 Whilst, these results highlight the need to use a variety of approaches to estimate convective  
14 impacts on the TTL, they do show that the M55 flights on 7, 8 and 11 August were more  
15 influenced by local African convection. This allowed separation of chemical and aerosol data  
16 into “convective” and “non-convective” flights. The “convective” flights exhibited more  
17 variability and, in the case of certain species, pronounced features in the lower TTL linked to  
18 local deep convection such as enhanced NO due to lightning emissions or uplift of soil NO<sub>x</sub>  
19 emissions.

20 Air masses in the mid-TTL over West Africa (150-100 hPa, 14-16.5 km or 355-370 K) were  
21 also significantly impacted by uplift of tropospheric air masses with differing trace gas  
22 signatures ranging from clean air (low O<sub>3</sub>, low CO, high H<sub>2</sub>O) to air masses likely to have  
23 been influenced by pollution over Asia or India containing higher O<sub>3</sub>, CO, CO<sub>2</sub> and NO<sub>y</sub>.  
24 Around 40% of air masses at 355 K and 20% of air masses at 370 K may have been affected  
25 by such injection from the mid and lower troposphere. At 370 K, there was also an important  
26 contribution from air masses advected from the mid-latitude lower stratosphere (45%)  
27 although the M55 appears to have under-sampled this source (21%) suggesting that the lower  
28 stratospheric fractions estimated by Homan et al. (2010) may be a lower limit. The estimates  
29 of Indian and Asian uplift in this study may also be a lower limit due the use of large-scale  
30 analyses to calculate back trajectories in regions influenced by deep convection associated  
31 with the monsoon. This may also lead to an underestimation of the altitude to which deep  
32 convection can have an impact.



1 Overall, the lower TTL over West Africa appears to have been significantly impacted by the  
2 upward transport of tropospheric air masses related to the Asian and African summer  
3 monsoons. In the case of Asian uplift this results in the transport of trace gases, and possibly  
4 aerosols, directly into the lower and mid-TTL. This suggests that air in the mid-TTL may  
5 have a larger component of younger air than previously estimated. The mean O<sub>3</sub> profile  
6 appears to be affected by these transport processes leading to a less steep gradient in the  
7 lower-mid TTL and higher concentrations than seen at tropical locations away from the  
8 influence of the Asian or African monsoons. Analysis of the observed profiles together with  
9 photochemical model calculations suggests that the lower TTL is photochemically active even  
10 at background levels of NO<sub>x</sub> leading to positive net photochemical O<sub>3</sub> production. In  
11 particular, in the lower TTL higher H<sub>2</sub>O and NO<sub>x</sub> related to convection can lead to enhanced  
12 O<sub>3</sub> production. It remains a challenge for global models to reproduce the vertical structure of  
13 trace gases and aerosols observed in the TTL.

14

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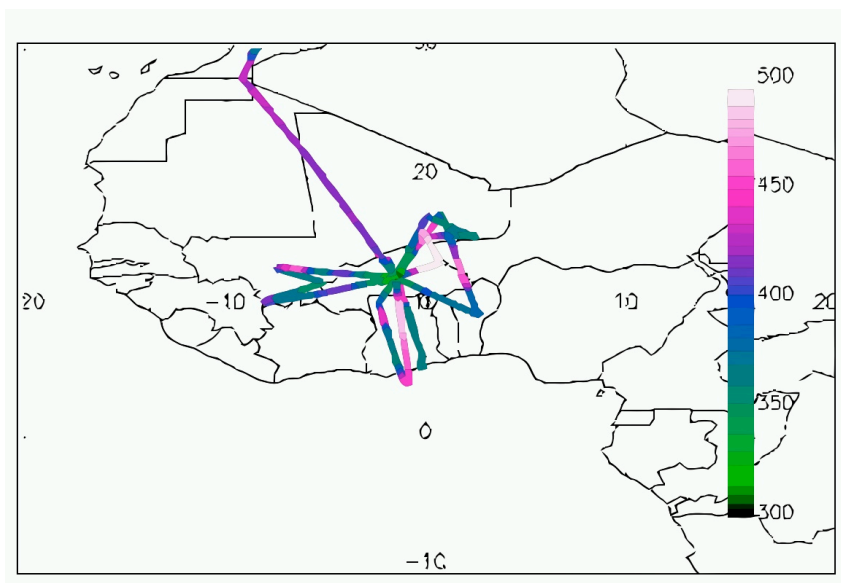


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2 Table 1. Latitudes, longitudes and pressures used to define regions of TTL air mass origins  
3 (see text for details).

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Region	Latitudes	Longitudes	Pressure range (hPa)
Northern Hemisphere mid-latitudes	35-50N	25W-150E	50-1000
Southern Hemisphere	0-15S	5E-50E	50-1000
Central Africa			
Asian troposphere	0-30N	60E-160E	400-1000
African troposphere	5-25N	10W-50E	400-1000

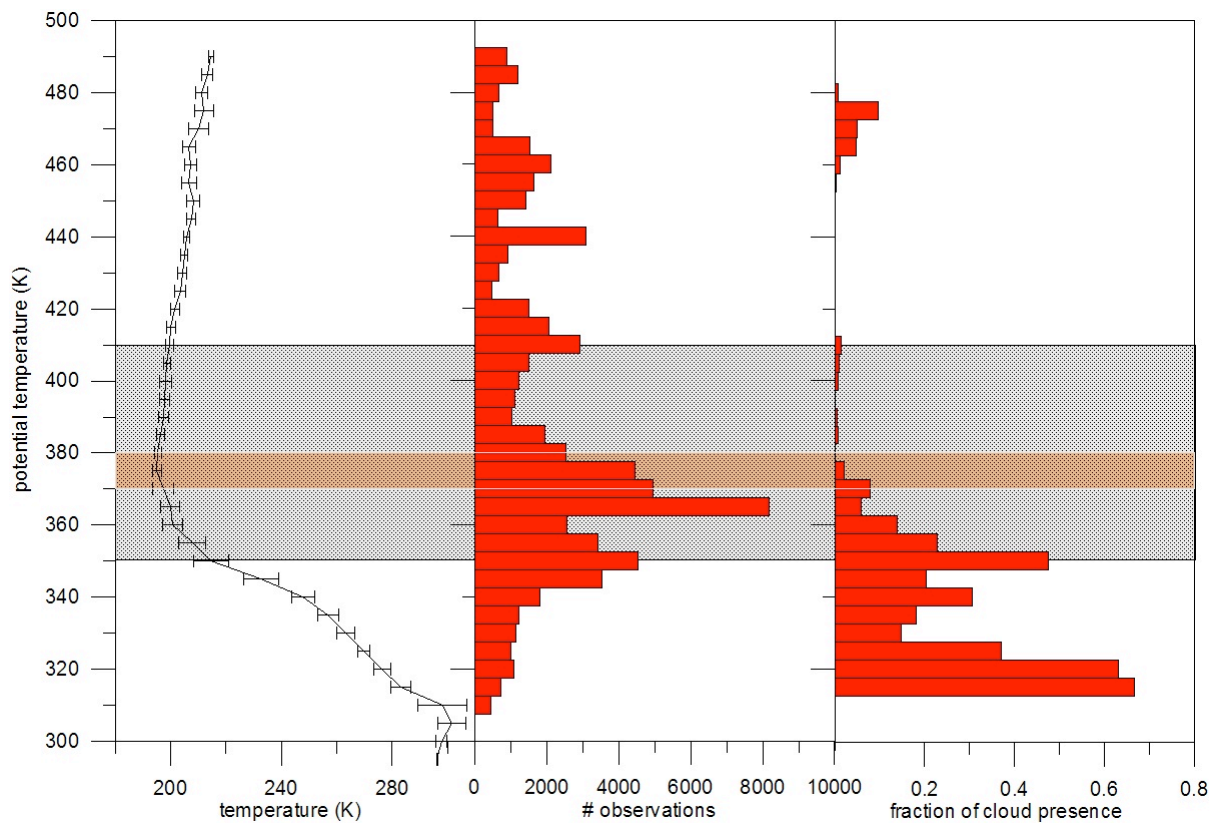
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2 Figure 1: M55 flight tracks over West Africa, colour coded in potential temperature (K) along  
3 the flights.

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3 Figure 2. Left panel: temperature versus potential temperature as measured along the M55  
 4 flights between 1-16 August 2006. Only data southward of 17.5N were used to calculate  
 5 averages. Bars denote one standard deviation. Middle panel: total number of observations in  
 6 seconds spent at a given theta level versus potential temperature. Right panel: fraction of  
 7 observation in cloudy (hazy) air, defined as air masses with Volume Backscatter Ratio > 1.2.  
 8 Grey shading denotes TTL region and brown shading the tropopause. See text for details.

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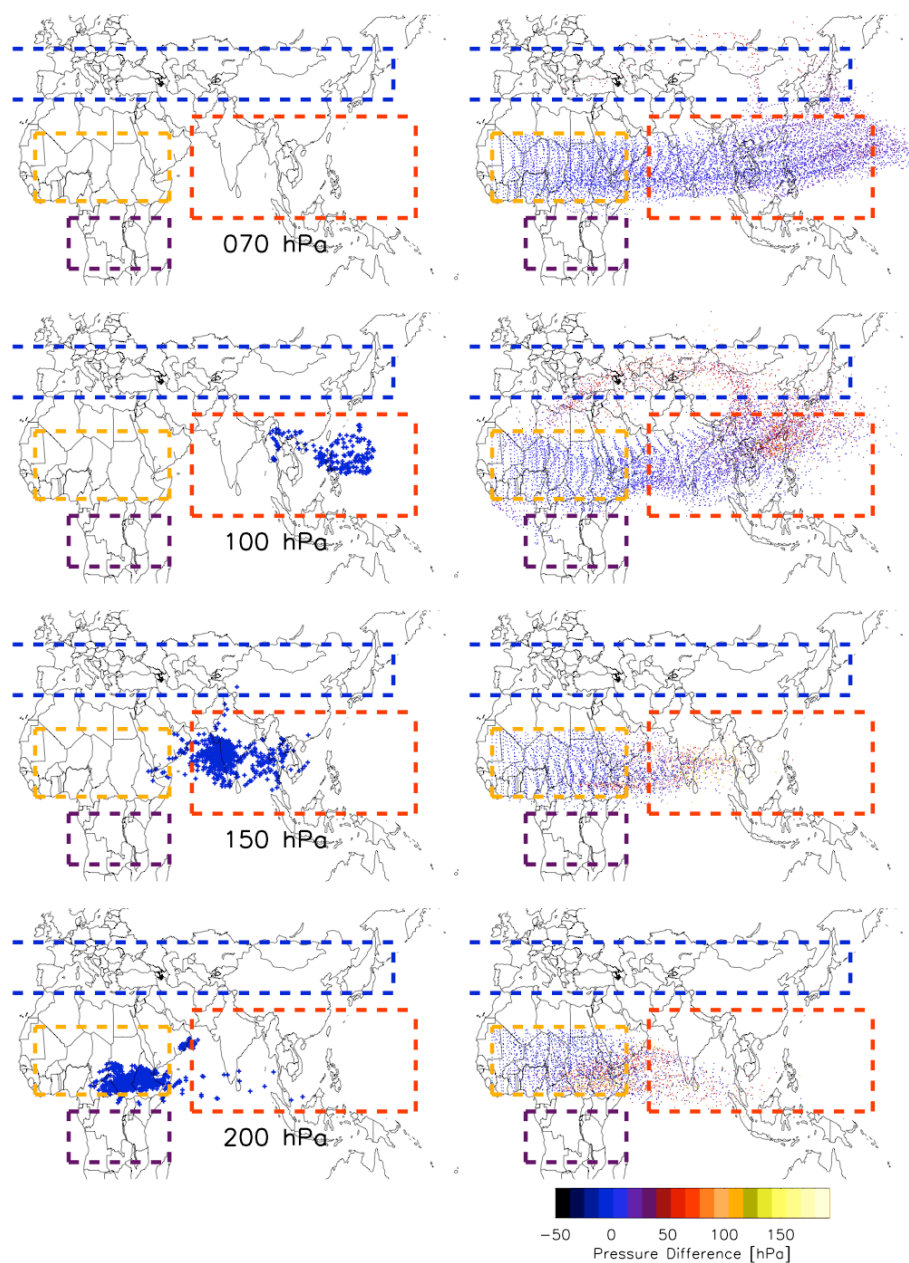
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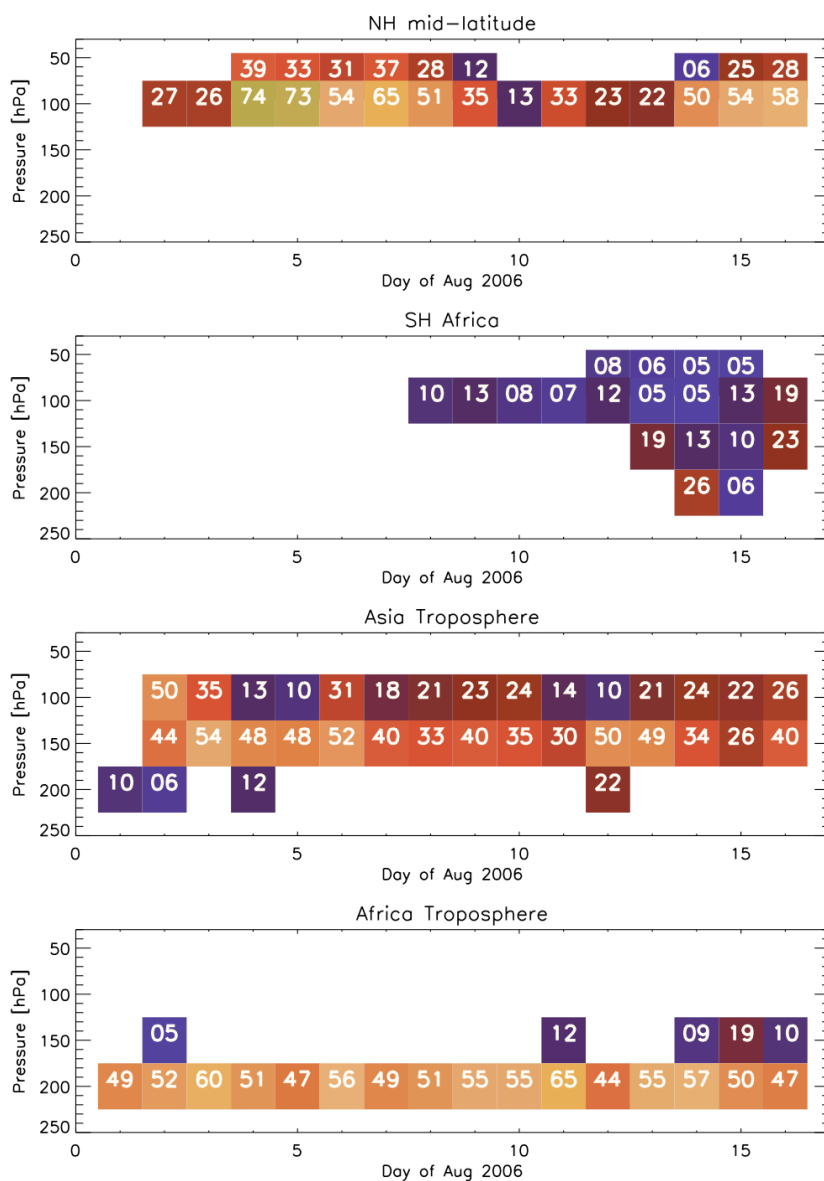
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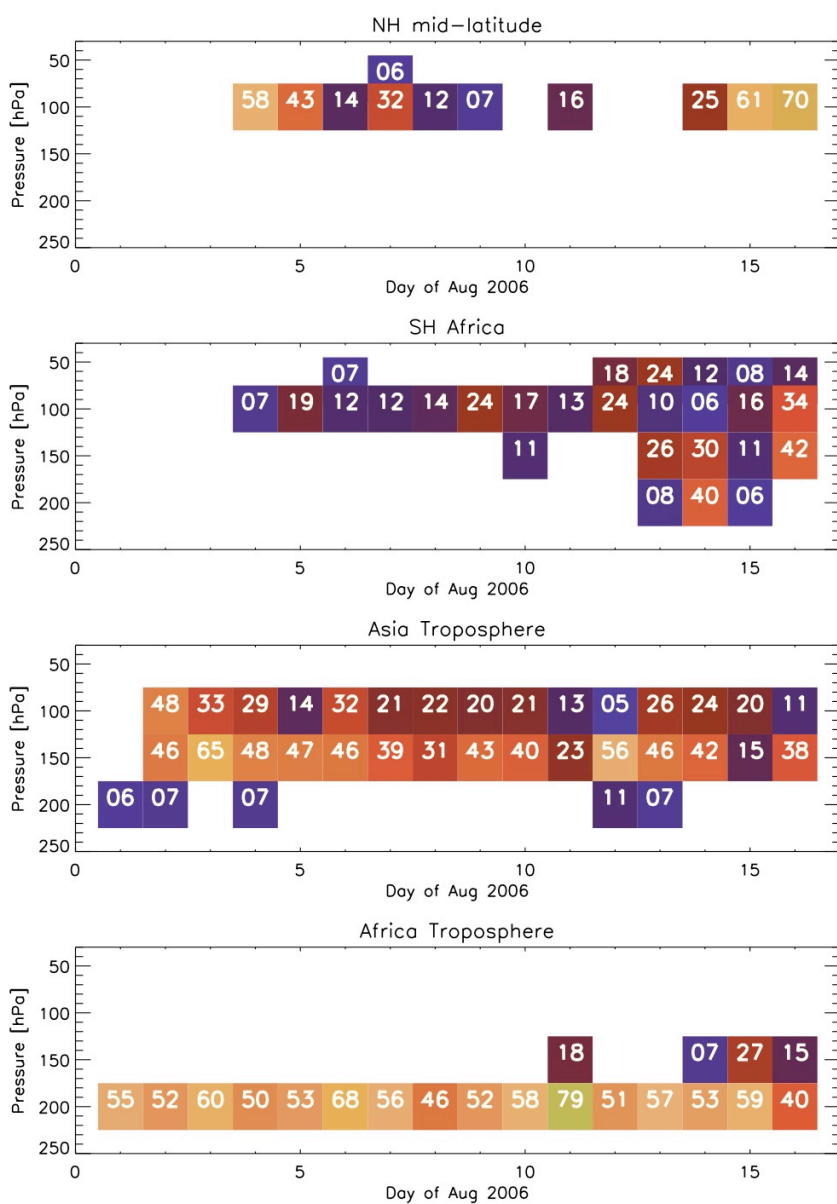
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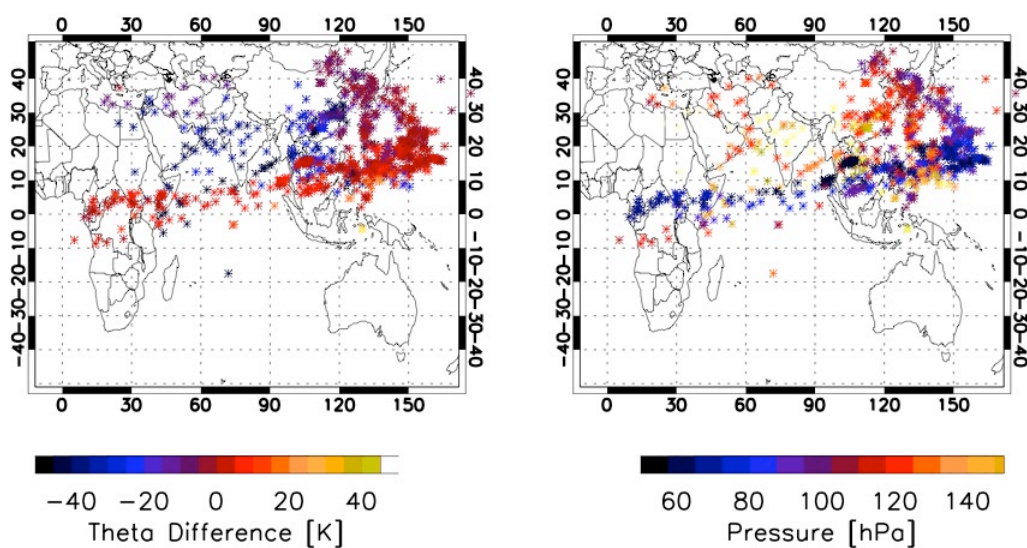
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 2 Figure 3: Right panels: endpoints of 10 day reverse domain filling trajectories over West  
 3 Africa, arriving at 200 hPa, 150 hPa, 100 hPa and 70h Pa on 7 August 2006. Dashed boxes  
 4 represent main regions of origin (see Table 1). Colours show the pressure difference along  
 5 each back trajectory with respect to the arrival point. Left panels: Location of trajectories  
 6 crossing 400 hPa during transport to West Africa.



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 2 Figure 4a: Relative contribution of different air mass origin regions (defined in Table 1) based  
 3 on 10 day back trajectories to air masses arriving over the West African domain (10 E to 40  
 4 W and 5 to 25N) between 1-16 August 2006. Colour codes the percentage of regional  
 5 influence in terms of fraction of trajectories passing through a particular region (purple=low,  
 6 yellow=medium, red=high). This value is also expressed numerically in each coloured cell.  
 7 Note that trajectories can pass through more than one region leading to total fractions of more  
 8 than 100%.



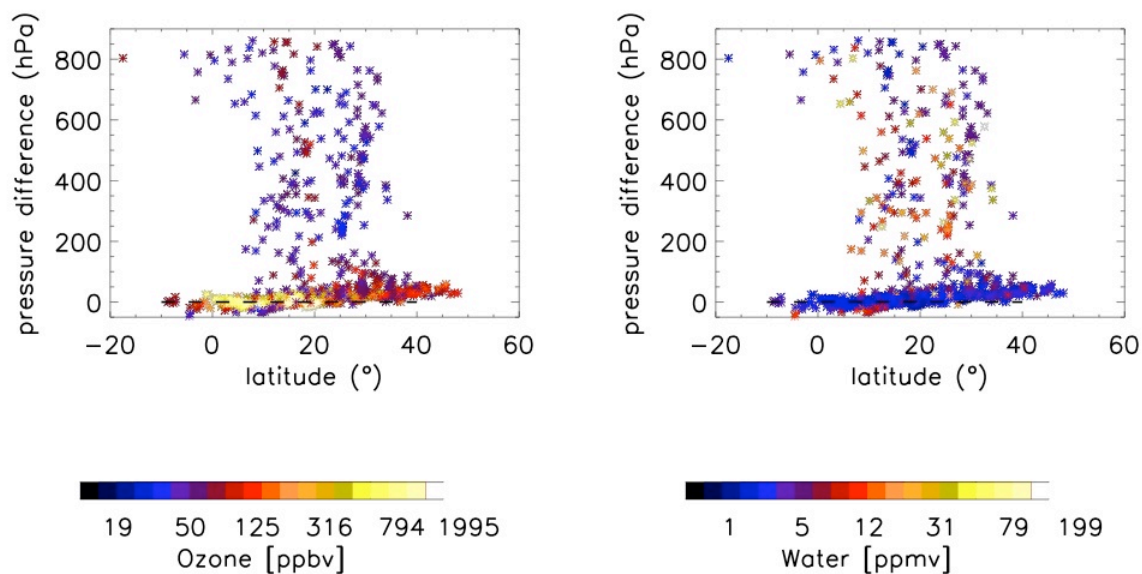
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 2 Figure 4b: Same as Figure 4a but based on 10 day back trajectories traced back from a sub-  
 3 domain including the M55 flight tracks (8E to 5W and 5 to 15N).  
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2 Figure 5: Starting points of 10-day back trajectories initialised along the M55 flight tracks  
3 south of 18N colour coded in terms of theta variations (K) along the back trajectories (left  
4 panel) and pressure at the starting point of the back trajectory, i.e. along the M55 flight path  
5 (right panel).

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3 Figure 6: Scatter plot of the pressure differential versus latitude differential along the back  
 4 trajectories from M55 flight tracks, colour coded in terms of ozone in ppbv (left panel) and  
 5 water vapour in ppmv (right panel) observed along the flights.

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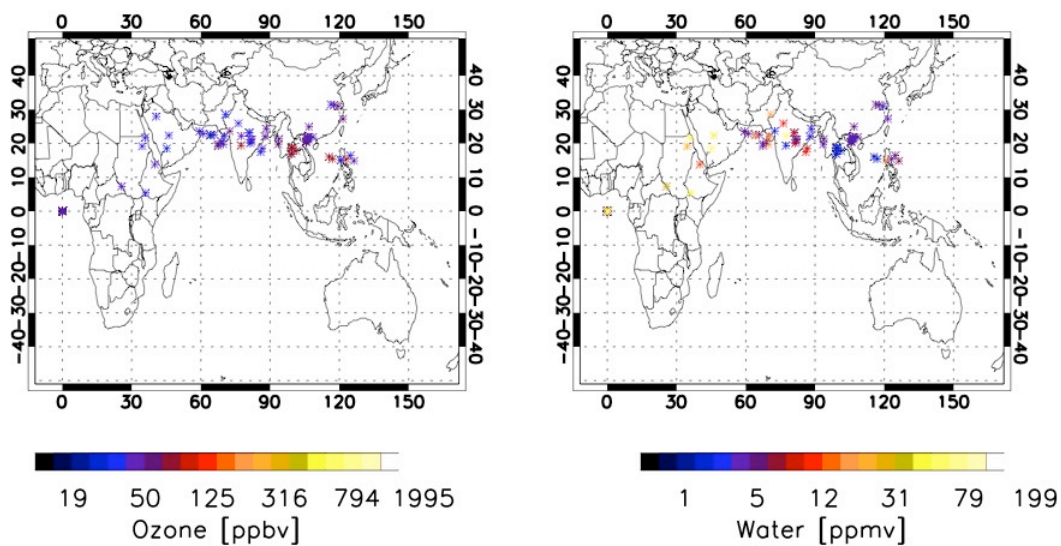
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Figure 7: Origins of M55 flight track back trajectories from below 800 hPa, colour coded in terms of ozone in ppbv (left panel) and water vapour in ppmv (right panel) observed along the flights.

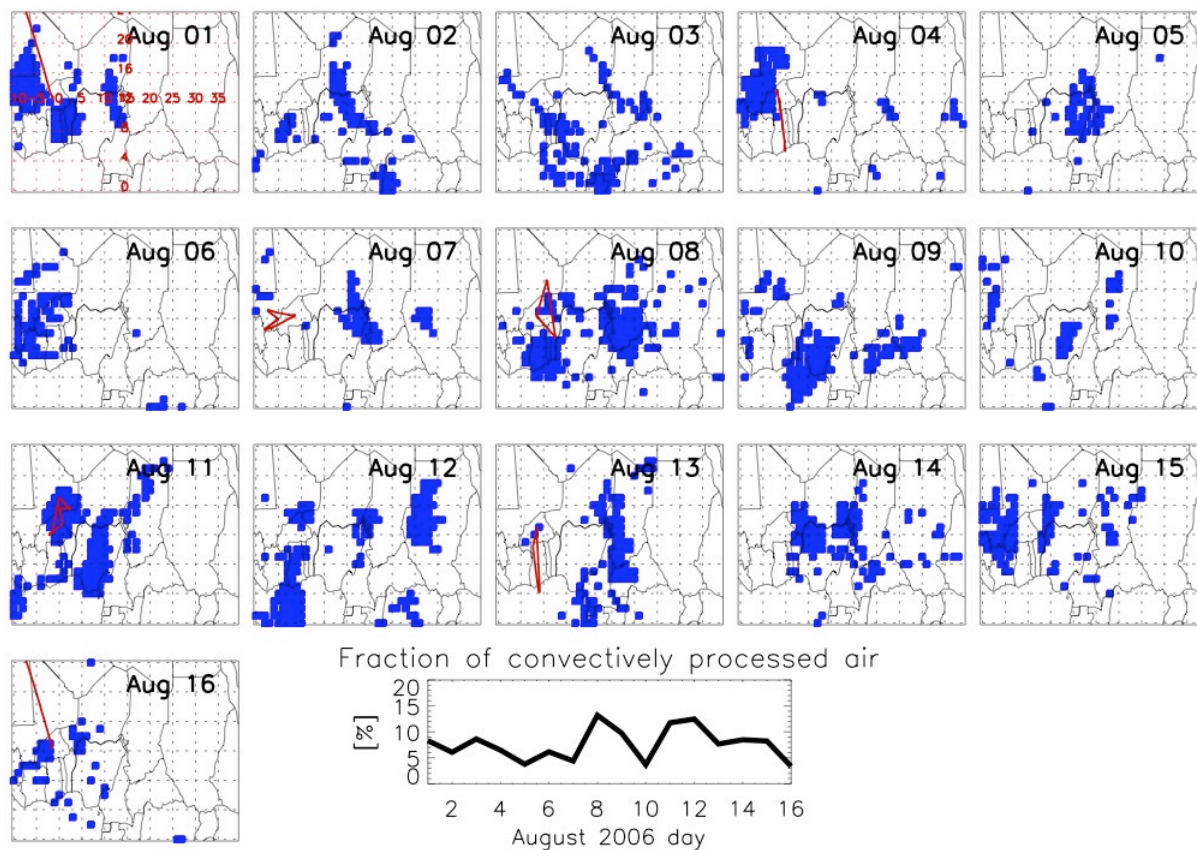
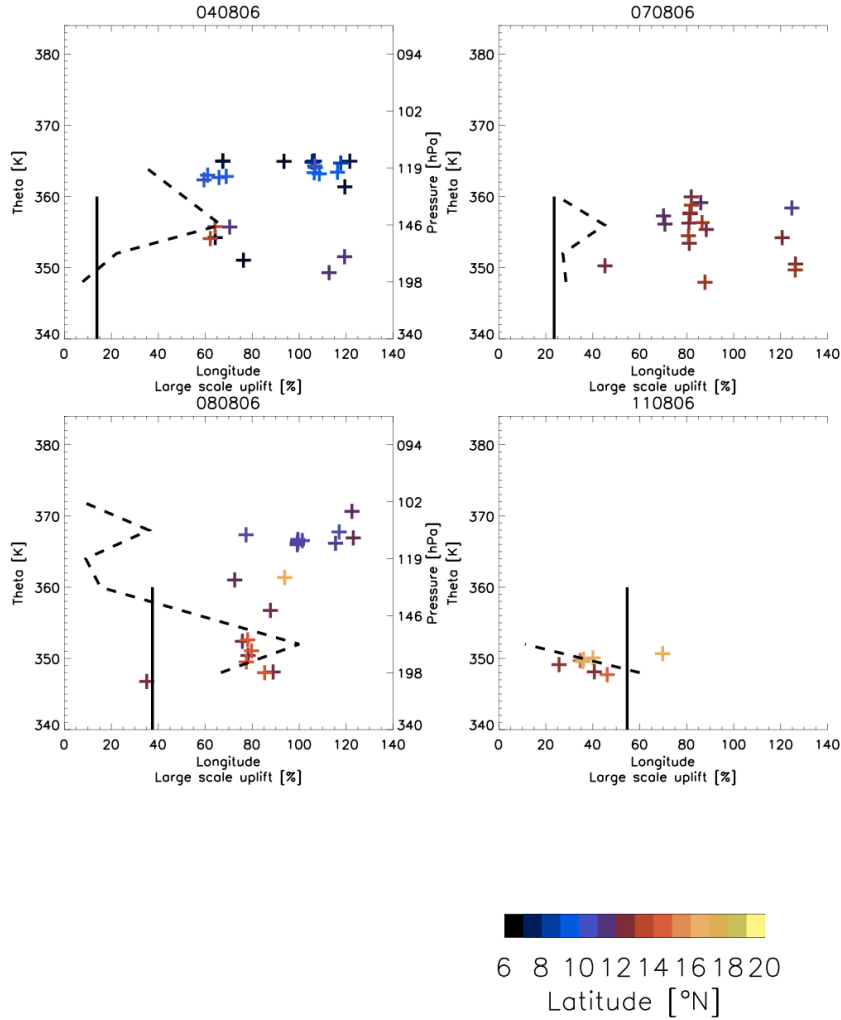
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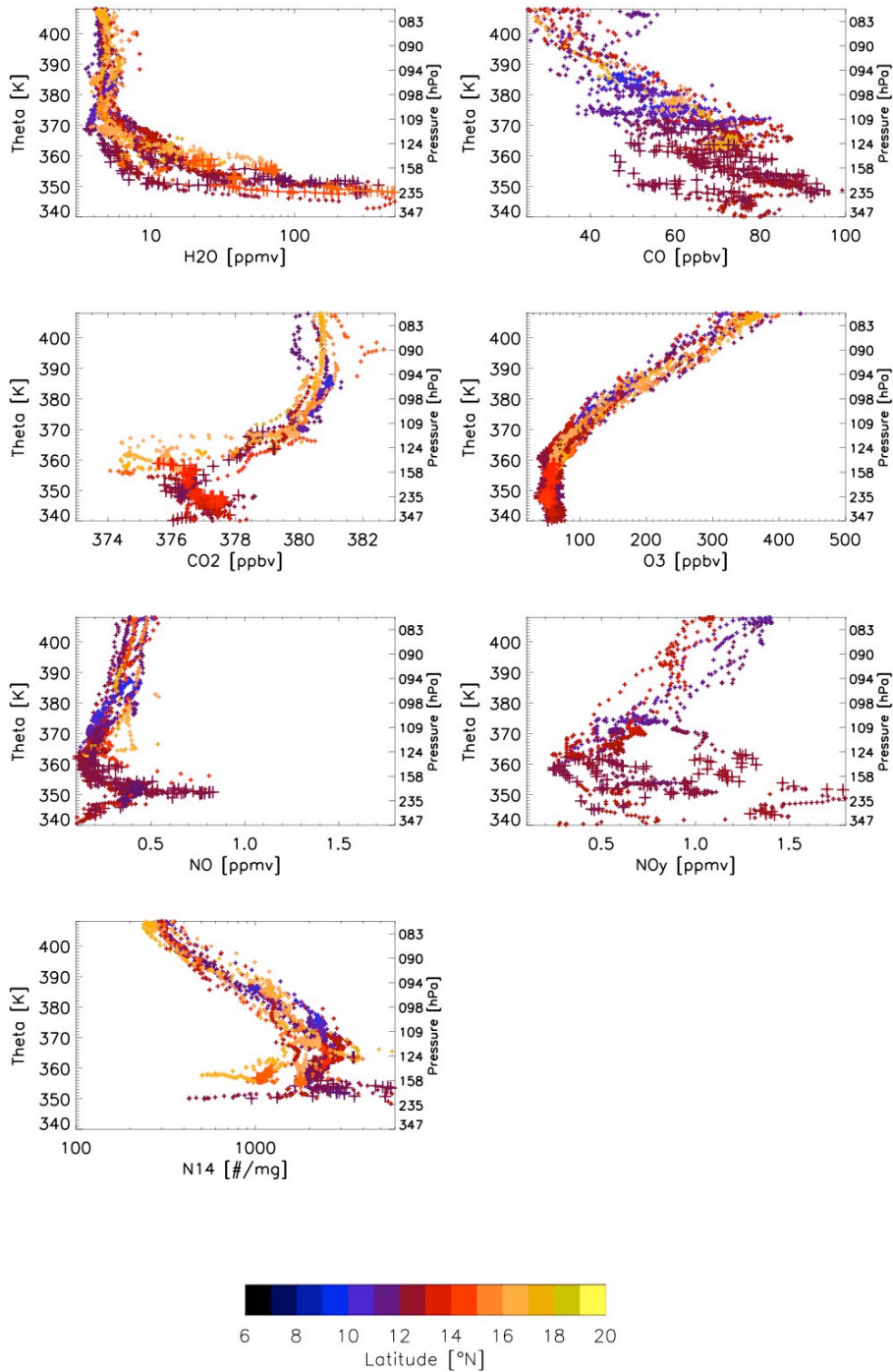
Figure 8: Daily maps of convective impact at 200 hPa level derived from the percentage of trajectories passing over convective systems diagnosed from MSG cloud tops (blue points, see text for details). M55 flight paths are displayed for the days flights took place. Also shown (inset, bottom right) is an estimate of the fraction of convectively processed air for the West African region. Only grid points where at least 5% of trajectories have been “processed” by convection were used in the calculation of the average.

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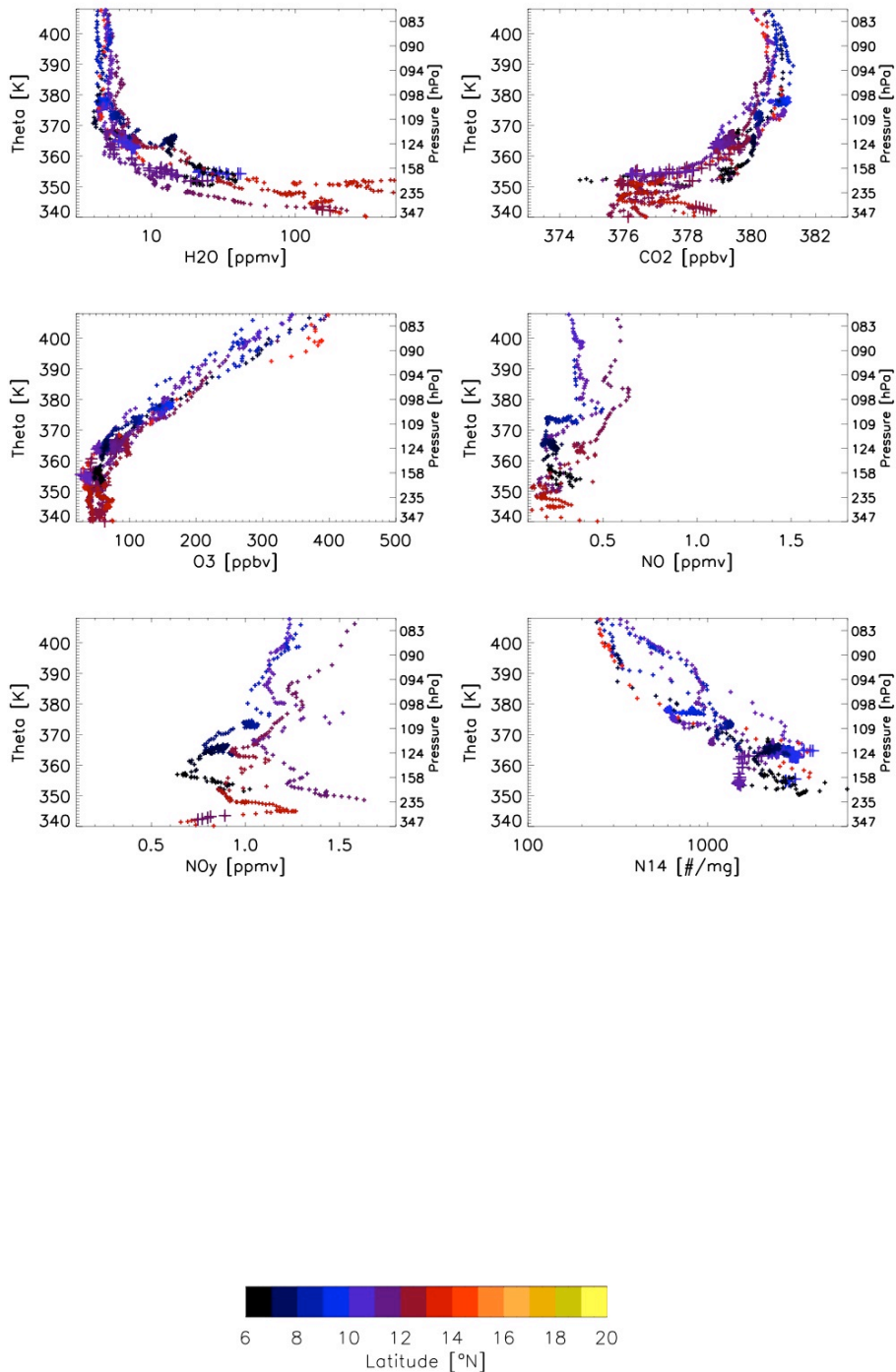
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5 Figure 9: Fraction of air masses influenced by large-scale convective uplift (%) calculated  
6 using 10 day back trajectories arriving along M55 flights in 4 K bins between 340 K and 375  
7 K over West Africa for each local M55 flight (dashed lines). Also shown are average  
8 longitudes where air masses arriving along local flights were uplifted irreversibly above 650  
9 hPa coloured by latitude where air masses were sampled by the M55. The average fraction of  
10 back trajectories from flight tracks between 350-360 K coinciding with MSG cloud tops (%)  
11 are shown as a vertical bar as an indicator of local convective impact (see text for details).



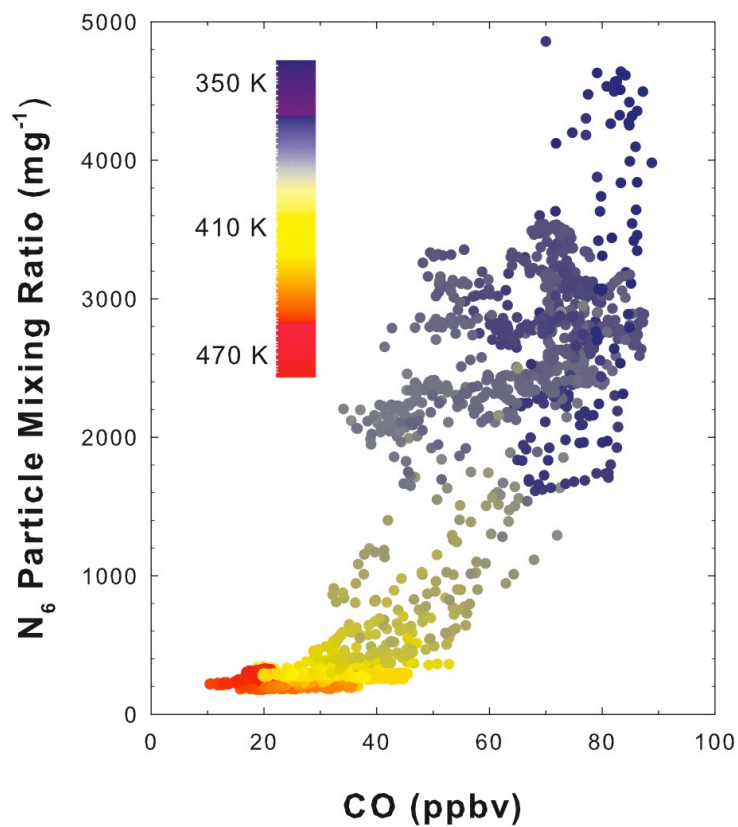
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2 Figure 10a. Vertical profiles of trace gas concentrations (volume mixing ratio in ppbv or  
 3 ppmv as appropriate) and aerosol mass mixing ratios (particles per mg air), N<sub>14</sub> (14 nm to <  
 4 1µm), for “convective” M55 flights on 7, 8 and 11 August 2006. Profiles are plotted as a  
 5 function of theta (K) and coloured by latitude where the measurements were made. Crosses  
 6 indicate points where back trajectories show uplift from below 800 hPa during 10 days before  
 7 the flight.

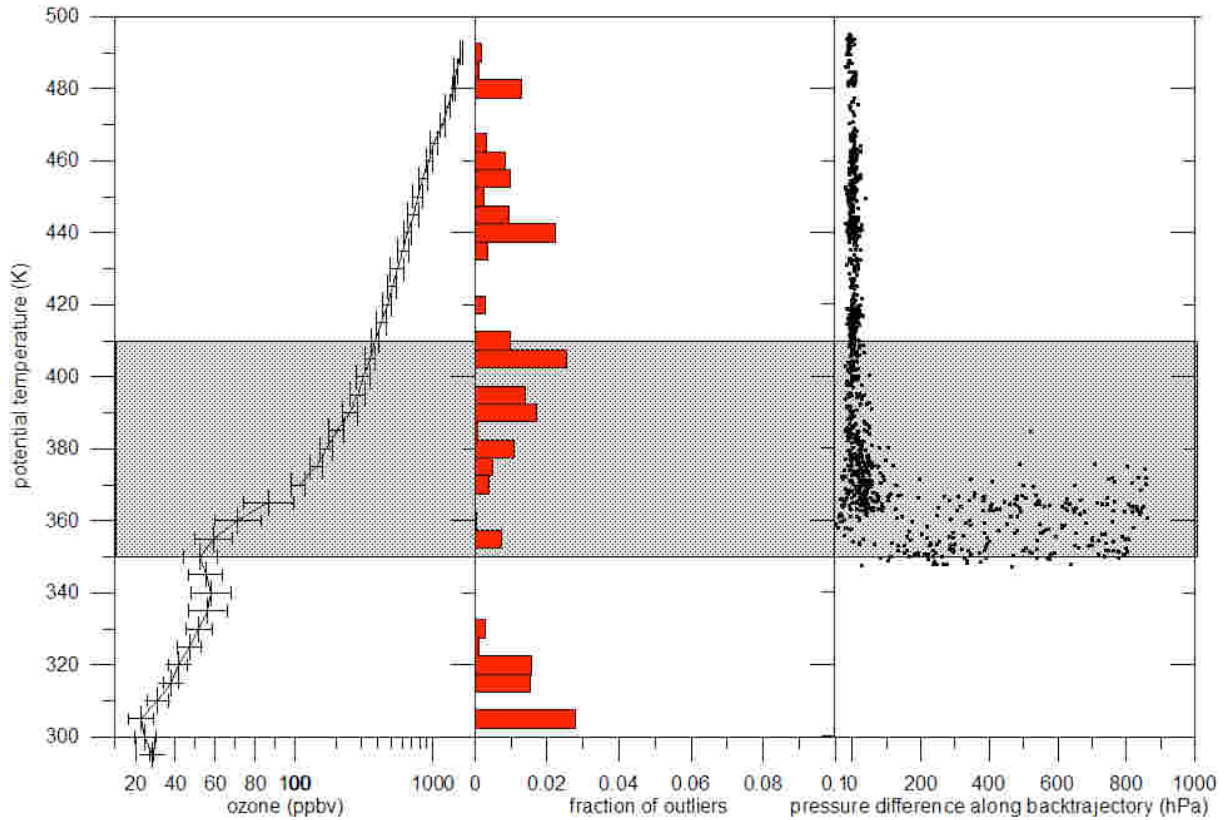


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2 Figure 10b. Vertical profiles of trace gas concentrations (volume mixing ratio in ppbv or  
 3 ppmv as appropriate) and aerosol mass mixing ratio (particles per mg air), N<sub>14</sub> (14 nm to <  
 4 1µm), for “non-convective” M55 flights on 4 and 13 August 2006. Profiles are plotted as a  
 5 function of theta (K) and coloured by latitude where the measurements were made. Crosses  
 6 indicate points where back trajectories show uplift from below 800 hPa during 10 days before  
 7 the flight.



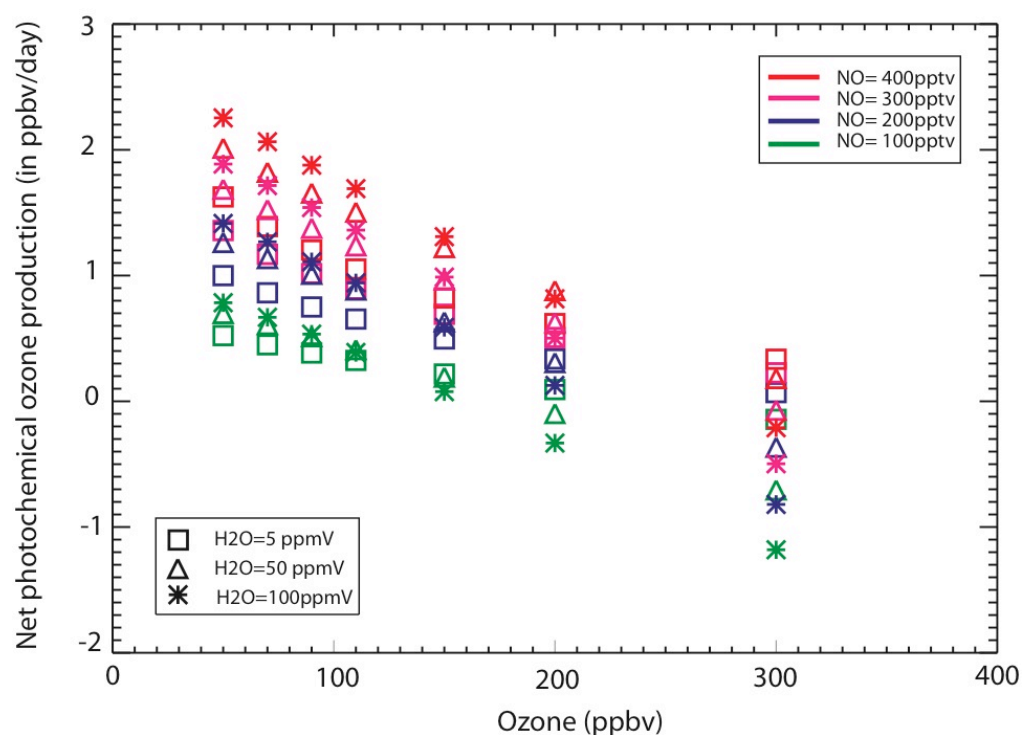
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2 Figure 11. Scatter plot of CO (ppbv) versus aerosol mass mixing ratio (greater than 6 nm, less  
3 than 1 $\mu$ m) (particles per milligram of air) for all the local M55 flights over West Africa  
4 coloured as a function of potential temperature (K). Aerosol nucleation events have been  
5 removed (see text for details).



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 2 Figure 12: Leftmost profile, average ozone concentrations (ppbv) using M55 data collected  
 3 south of 17.5N versus potential temperature (K), bars denote one standard deviation; note the  
 4 linear (< 100 ppbv)/ log (> 100 ppbv) horizontal axis for ozone. Middle bar chart, fraction of  
 5 ozone data points lying outside three standard deviations from the mean, versus potential  
 6 temperature; Rightmost panel, pressure difference (hPa) along 10-day back trajectories  
 7 arriving along M55 flights (south of 17.5N) versus potential temperature (K). Grey shading  
 8 denotes the TTL region.

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3 Figure 13: Net photochemical O<sub>3</sub> production rates (ppbv per day) versus initial ozone  
 4 concentrations (ppbv) as a function of initial H<sub>2</sub>O concentrations (ppmv, symbols – see inset)  
 5 and NO concentrations (pptv, colours as indicated). Results from photochemical model  
 6 simulations run for 4 days at 150 hPa and initialised with concentrations taken from aircraft  
 7 observations in the upper troposphere. Net photochemical O<sub>3</sub> production rates are averages  
 8 over the last 24h. See text for further details.