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Analysis of accurate ^{13}C and ^{18}O isotope measurements of CO_2 in CARIBIC aircraft air samples from the tropical troposphere, and the upper troposphere/lowermost stratosphere

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Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Abstract

The project CARIBIC (<http://caribic-atmospheric.com>) aims to study atmospheric chemistry and transport by regularly measuring many compounds in the free troposphere (FT) and the upper troposphere/lowermost stratosphere (UT/LMS) by using passenger aircraft. Here CO₂ concentrations and highly accurate isotope results are presented in detail together with supporting trace gas data. 509 CARIBIC-2 samples (highest precision and accuracy $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ data) from June 2007 until March 2009, together with CARIBIC-1 samples (flights between November 1999 and April 2002, 350 samples in total, 270 for NH, mostly $\delta^{13}\text{C}(\text{CO}_2)$ data) give a fairly extensive, unique data set for the NH free troposphere and the UT/LMS region. To compare data from different years a de-trending is applied. In the UT/LMS region $\delta^{13}\text{C}(\text{CO}_2)$, $\delta^{18}\text{O}(\text{CO}_2)$ and CO₂ are found to correlate well with stratospheric tracers, in particular N₂O. These correlations are in good agreement with current understanding of stratospheric circulation. $\delta^{18}\text{O}(\text{CO}_2)$ appears to be a useful, hitherto unused, tracer of atmospheric transport in the UT/LMS region. By filtering out the LMS data (based on N₂O distribution), the isotope variations for the free and upper troposphere are obtained. These show however little latitudinal gradient, if any, and are in good agreement with the data of selected NOAA stations in NH tropics. Correlations between $\delta^{13}\text{C}(\text{CO}_2)$ and CO₂ are observed both within single flight(s) covering long distances and for certain seasons. The overall variability in de-trended $\delta^{13}\text{C}(\text{CO}_2)$ and CO₂ for CARIBIC-1 and CARIBIC-2 are similar and basically agree with each other, which also underscores the high quality of measurement. Based on all correlations, we discuss that CO₂ distribution in the NH FT and UT (at CARIBIC flight routes) is regulated by uplift and pole-wards transport of tropical air up to approximately 50° N. The main reasons for variability of signals in FT and UT (which is larger for the high spatial resolution sampling during CARIBIC-2) is mixing of different tropospheric air masses affected by CO₂ sources and sinks. The effect of stratospheric flux appears to be limited. All in all it is demonstrated that CARIBIC produced new important and reliable data sets for little

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10, 5999–6057, 2010

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

explored regions of the atmosphere. A logical next step will be global scale modeling of ^{13}C and especially ^{18}O , which is linked to the hydrological cycle.

1 Introduction

The global cycle of CO_2 is of extraordinary complexity and importance. The atmospheric CO_2 reservoir is coupled to those of the oceans, soils, and vegetation and is increasingly burdened by emissions from the combustion of fossil fuel, while at the same time global temperatures increase concomitant with profound changes in vegetation, soils and oceans. Since the extensive paper by Callendar (1938) that already dealt with several aspects of the CO_2 cycle including climate effects, many more publications treat nearly all aspects of understanding all the complexities of the CO_2 cycle in even greater detail.

Large numbers of observations of CO_2 concentrations at land based stations and by using ship, aircraft and satellites are made with the goal to tackle the problem of sufficiently thoroughly understanding and quantifying the large fluxes between the atmosphere and the other reservoirs. The need for large numbers of measurements of atmospheric CO_2 is exemplified by for instance the Carbon America Program (<http://www.nacarbon.org/nacp/>) that involves numerous projects with a vast increase in the number of in situ measurements also by using towers and aircraft to take vertical profiles. Besides concentration measurements, flux measurements are carried out, other trace gases are used as proxies of fossil fuel derived CO_2 (Levin et al., 2008), the O_2/N_2 ratio is measured (Bender et al., 2005), and isotope measurements are conducted. These comprise $^{14}\text{CO}_2$ measurements (Levin and Karstens, 2007; Turnbull et al., 2006) and stable isotope measurements.

Source and sink processes are accompanied by stable isotope fractionation which induces isotope variations in atmospheric CO_2 . Isotope measurement thus may give information about source and sink processes. The first measurements of atmospheric CO_2 and $^{13}\text{C}(\text{CO}_2)$ date from before 1960 (Keeling, 1961). The dominant signals con-

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



tained in the major isotope ratios (i.e. disregarding ^{17}O and clumped isotope signals) are that the $^{13}\text{C}/^{12}\text{C}$ ratio is dominated by photosynthesis, respiration and burning whereas the $^{18}\text{O}/^{16}\text{O}$ ratio is strongly affected by isotope exchange with water, predominantly leaf and soil water. For instance, the large carbon sink of the terrestrial biosphere in the Northern Hemisphere (NH) was confirmed by analyses of gradients in $^{13}\text{C}(\text{CO}_2)$ (Ciais et al., 1995). For $^{13}\text{C}(\text{CO}_2)$ even an “Isolandscape” is available (Bowen et al., 2009) constructed by smoothening and interpolation many such data (Dlugokencky et al., 1994; Masarie and Tans, 1995). A recent paper by Rayner et al. (2008) reports on inverse modeling using $^{13}\text{C}(\text{CO}_2)$ and CO_2 mixing ratios, and communicates that model improvements and large ^{13}C data sets hold promise here. However, ^{18}O data have not been generated and used to the same degree by far, and contain different, perhaps more convoluted information that, however, ought to be fully understood and may hold useful applications (Still et al, 2009).

When endeavoring to estimate CO_2 fluxes and transport mixing with confidence, the number of atmospheric observations available worldwide appears still to be limited for crucial regions. Based on data of CO_2 observational networks Patra and co-authors (Patra and Maksyutov, 2002; Patra et al., 2003) concluded on the need to extend the network by optimally located stations in continental South America, Africa and Asia. One of the contributions to help to close the gap is to use aircraft observation platforms (13th_WMO/IAEA_Meeting, Expert Group Recommendations). In fact, Bischof and Bolin already in 1966 reported (Bischof and Bolin, 1966) on aircraft based CO_2 measurements.

CARIBIC (Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container, www.caribic-atmospheric.com) uses a specially modified passenger aircraft that on a monthly basis conducts long distance measurement flights using an automated laboratory for real time measurements of trace gases and aerosol and even their sampling. Although CARIBIC basically is an atmospheric chemistry project, CO_2 measurements are part of its large measurement package. CO_2 is used as a tracer that helps to identify air masses, but in view of its great importance these

Analyses of CO_2 isotope data for tropical troposphere and UT/LMSS. S. Assonov et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

measurements have their own weight to help to tackle the “CO₂ problem”.

CO₂ measurements in CARIBIC were and still are to a large degree based on the analysis of air samples, although an in situ analyzer for CO₂ is tested in CARIBIC 2. Having air samples available, it is a logical step to conduct stable isotope measurements. Why? First, the overall project represents a significant technological, logistical and scientific effort. As such, the optimization of the scientific payload and its use is essential. Second, to our knowledge there are no other large scale, high accuracy systematic CO₂ isotope measurements in the free troposphere and UT/LS. Conversely, it is also evident that air samples collected at large distances from sources and sinks mostly in background air require high quality analyses.

Therefore we measured, and here present and discuss in detail the results of accurate and precise CARIBIC CO₂ isotope analyses of a wide range of representative air masses in chiefly the Northern Hemisphere. To generalize this information and to organize the CARIBIC data set, a method is sought that groups the data according to air mass chemical composition and origin (meteorological regime). Because the use of isotopic information is rather sporadic and the type of platform not used on a large scale either, our account is more extensive than for more common topics. In the following we first give basic information about the CARIBIC platform, followed by details about the mixing and isotope ratio measurements and their quality. Second, we show how the time series spanning 1997–2008 can be de-trended after which we explain which air masses are sampled and, third, apply tracer-tracer correlations to demonstrate the effect of UT/LMS mixing by optimal usage of chemical stratospheric tracers. Fourth, we discuss the data of the free tropical troposphere and UT region followed by a comparison of the CARIBIC time series with the extensive data from NOAA-ESRL.

Finally, we briefly discuss the future data use in models and address aspects of sampling and model resolution as well as the quality of analytical data. CARIBIC-1 and CARIBIC-2 give a good example of different resolution approaches. High resolution sampling helps to study in details various atmospheric effects involved, while lower resolution may be more compatible with the resolution of models. Though the

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

present data set is self-consistent, comparing and merging data produced in different laboratories is still a very critical issue.

2 Experimental

2.1 The CARIBIC observatory

5 CARIBIC (<http://caribic-atmospheric.com>) investigates atmospheric chemistry, transport and composition by measuring many compounds (long and shorter lived trace gases and aerosol) from regions on the globe reached from Europe using an automated laboratory aboard a passenger aircraft. The monthly repeated flights (presently 4 sequential long distance flights) over periods of years, covering large regions while
10 measuring many species simultaneously provide data sets that are not otherwise available.

The flight tracks, dates and sampling locations are shown in Fig. 1. Nearly all information obtained is for cruise altitudes, i.e. between 9 and 12 km. (The lowest sampling altitude was ~ 7 km.) From such altitudes little regular detailed isotope information is available. Each long distance flight covers a wide range of meteorological
15 conditions, opposite to land-based stations. Cruising in the mid-latitude upper troposphere/lowermost stratosphere and the tropical troposphere means that sampling is remote from CO₂ sources and sinks. However, convection and the larger scale uplift of air masses in weather systems can at times deliver air with a certain surface air affected component at cruising altitude. To give a number, $\sim 35\%$ of samples analyzed for 2007–2008 were from the UT-LMS at extra-tropical mid-latitudes. An inventory of air masses probed by CARIBIC-2, based on cluster analyses is given by Köpfe
20 et al. (2009). Schuck et al. (2009) present all CO₂ and other greenhouse gas data, whereas an overview for CARIBIC-1 is given by Zahn et al. (2002).

25 CARIBIC-1 operated from late 1997 until April 2002, using a Boeing 767 sampling on routes to and from the Maldives, Sri Lanka, Southern Africa, and the Caribbean.

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Here each month 12 large air samples of nominally ~340 L STP were taken during one flight leg (Brenninkmeijer et al., 1999). Each air sample represented a flight distance of ~250 km. An initial overview of CARIBIC-1 isotope data was given at 14th CO₂ experts meeting in Helsinki, 2007 (Assonov et al., 2007), whereas details of isotope measurements and calibration are published in Assonov et al. (2009b).

CARIBIC-2 started its operation early 2005 based on an Airbus A340-600 from Lufthansa Airlines equipped with a sophisticated air inlet system carrying a totally new container (1.5 ton) with a multitude of analyzers (Brenninkmeijer et al., 2007). Here 28 samples are taken during 2 of the 4 monthly flight legs using glass flasks. In this case of normal sample size, resolution is higher with each sample representing only ~15 km distance. Details of the sampling system and procedure are given by Schuck et al. (2009). Like in CARIBIC-1 both sampling and all (online) measurements during the flights are fully automated with air sampling being done at fixed time intervals fairly evenly spread along the flight track.

The sampling system, the number of samples, and the mass spectrometry for CARIBIC-2 are superior to that of CARIBIC-1, although even these data prove to be of high quality. Details of isotope measurements and calibration are published in Assonov et al. (2009a). CARIBIC-2, for April 2007 – March 2009 (which is the subset used for CO₂ isotope analyses), represents the latitudes 14 to 55° N covered by some flights to North America, but mostly the Philippines and India.

2.2 Concentration and isotope measurements

The CO₂ mixing ratios were obtained using gas chromatography with simultaneous measurement of CH₄, N₂O and SF₆. The analytical procedure and performance for CARIBIC-2 are given in detail by Schuck et al. (2009). The precision and total combined uncertainty for CO₂ are about 0.15 and 0.20 ppm respectively. For CARIBIC-1 no account has been published. Based on the assessment of many years performance of the analytical instruments, we assign precision and total combined uncertainty of 0.20 and 0.25 ppm to the CARIBIC-1 CO₂ concentration measurements. CO₂ concentration

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



measurements are traced back to the WMO X2007 scale for CO₂-in-air by using NOAA ESRL standards.

All isotope data are on the VPDB-CO₂ scale (linked to NBS-19-CO₂) by using the ¹⁷O correction after Assonov and Brenninkmeijer (2003) which is currently being officially recommended (13th_WMO/IAEA_Meeting; Brand et al., 2009). The NOAA-ESRL data produced using the ¹⁷O correction by Alison et al. (1995), are corrected by us for the differences between the algorithms [Assonov – Allison] which can be approximated by

$$\Delta^{13}\text{C}(\text{CO}_2) = -[0.0031 \cdot \delta^{18}\text{O}(\text{CO}_2) + 0.030].$$

The CO₂ isotope analyses for CARIBIC-1 and CARIBIC-2 differ in many aspects, namely the aircraft sampling system, sample size, mass spectrometry and correction procedures; details are given by Assonov et al. (2009a, 2009b). CARIBIC-1 samples were analyzed at MPI-C, Mainz; CARIBIC-2 samples at JRC-IRMM, Belgium. In both cases proper calibration was confirmed by excellent agreement with NIST RMs CO₂ and Narcis-CO₂. For CARIBIC-2, an inter-comparison with MPI-BGC demonstrated a small, consistent scale discrepancy in $\delta^{13}\text{C}(\text{CO}_2)$ of -0.04% (data obtained at IRMM and MPI-BGC use the Assonov' ¹⁷O correction algorithm) and $\delta^{18}\text{O}(\text{CO}_2)$ of -0.06% (details are given by Assonov et al., 2009a). This is much less than the variability discussed in the present paper, and less than inter-laboratory scale discrepancies recently published (e.g. Levin et al., 2007). 28 samples from a flight in March 2009 we also analyzed at MPI-BGC by using the same method as we used at JRC-IRMM; the data obtained at MPI-BGC are corrected for the inter-laboratory scale discrepancy observed.

Typical total uncertainties of the CARIBIC-1 and CARIBIC-2 CO₂ data are given in Table 1. Though the uncertainty for our isotope data (propagated to the primary scale) exceeds the inter-laboratory comparability targets set at 0.01‰ for $\delta^{13}\text{C}(\text{CO}_2)$ and 0.05‰ for $\delta^{18}\text{O}(\text{CO}_2)$ (13th_WMO/IAEA_Meeting, Expert Group Recommendations), the values appear to be to one of the best achieved values to date.

Isotope exchange between CO₂ and traces of H₂O is a known problem that leads

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



to artifacts of more negative $\delta^{18}\text{O}(\text{CO}_2)$ values. In CARIBIC-1 where stainless steel canisters of 20 liter at ~ 17 bar were used the data showed the problem of exchange. This improved in time, but persisted for some cylinders. The CARIBIC-1 data used are filtered according to negative $\delta^{18}\text{O}(\text{CO}_2)$ deviations (Assonov et al., 2009b). As the remaining $\delta^{18}\text{O}(\text{CO}_2)$ results still might have been affected to a small but undefined degree, the CARIBIC-1 $\delta^{18}\text{O}(\text{CO}_2)$ are used qualitatively only.

In CARIBIC-2 2.7 liter glass flasks at ~ 4 bar are used and no effects of ^{18}O exchange between CO_2 and H_2O were detected. Only two samples were suspect and their data rejected. Independent proof of absence of isotope exchange in glass flasks under aircraft conditions would require tests for which the means were not available. The frequency distribution of the values obtained, and the literature on the use of glass flasks given the fact that air at cruise altitude is very dry, render credibility to the assumption that no exchange in excess of measurement error has occurred. Moreover, several longer term storage tests showed only small $\delta^{18}\text{O}(\text{CO}_2)$ shifts.

3 Observational data

3.1 Overview of meteorology and trace gas measurements: Aspects specific for civil aircraft sampling with an overview of GHG data

CARIBIC data are gathered at different latitudes and longitudes within a narrow range of altitudes. One single flight covers a wide range of meteorological regimes such as mid-tropospheric air in the tropics or upper tropospheric air and lower stratospheric air in mid- and high-latitudes. The flight tracks are depicted in Fig. 1. The cruise altitude during a single flight usually increases with fuel burnt, and typically ranges from 9 to 12 km. The mean/typical pressure altitude for CARIBIC 1 (Boeing 767) was 266 ± 25 hPa (Median and 1StDev). For CARIBIC -2 (Airbus A340-600) this was 237 ± 33 hPa for the period considered. Most CARIBIC instruments operate only at pressure altitudes of 500 hPa or less (higher altitude) to avoid contamination of the

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



sensitive analytical systems and thus little vertical profile information is available on this basis.

At mid-latitudes, flights always cross the tropopause and lowermost stratospheric air is frequently sampled. In such instances older air is probed implying that CO₂ mixing ratios are shifted backwards in time. At lower latitudes where the tropopause is higher, free sub-tropical and tropical air is encountered. We note that the return flights start initially at lower altitudes and reach over Europe deeper into the LMS. During the return flights meteorological conditions are usually similar to those of the outward bound flights, so that GHG and other profiles of forward and return flights nearly mimic each other. Relevant meteorological parameters (5 day back trajectories, potential vorticity cross sections along the flights etc.) for all CARIBIC flights are available at the KMNI web-site (http://www.knmi.nl/samenw/campaign_support/CARIBIC/). An example of meteorological parameters, and chemical tracers by CARIBIC-1 is given in Zahn et al. (2002).

Many other trace gases are measured on the air samples, but here we discuss, next to CO₂, $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$, where applicable, only other main greenhouse gases namely CH₄, N₂O and SF₆. The 2 upper panels in Fig. 2 give the 4 scatter plots for CARIBIC-2 against O₃ for which the latter data from continuous recording were integrated over the sampling intervals. For the other greenhouse gases namely CO₂, CH₄, N₂O and SF₆ one can discern mixing trends towards LMS air (high O₃) with a large variability for UT and FT air masses (O₃ values typically below 100 ppb). For N₂O tropospheric variability is lowest. For SF₆ the relative uncertainty is lowest, but the scatter for tropospheric samples is mostly due to the effect of its localized sources. CH₄ for that reason also shows tropospheric scatter, but otherwise shows a compact mixing line. CO₂ concentrations demonstrate the largest variability for UT and FT air masses mainly due to its seasonal cycle and its increasing trend. The variability propagates into the lowermost stratosphere while being continuously attenuated by ongoing mixing with LMS air.

Below we aim to demonstrate how CO₂ isotope data reflect the two dimensions of

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

variability, namely in UT and FT air masses and in the mixing trend towards LMS air. We also show in Fig. 2 by using sampling interval integrated continuous CO values, the O₃ excess versus the chemical tropopause as defined by Zahn et al. (2004b) and N₂O versus CO. For discriminating tropospheric and stratospheric samples one can use in principle physical tracers (e.g. PV values) or one of the chemical tracers N₂O, SF₆, CH₄ and O₃ (lower panels in Fig. 2). Later in the manuscript we will select the most suitable tracer for this purpose, namely N₂O.

3.2 Data de-trending

For comparing the CARIBIC-1 and CARIBIC-2 CO₂ data which together cover 10 years, with a break of about 5 years, a de-trending is necessary in view of the trends in CO₂, δ¹³C(CO₂) and N₂O. We base the de-trending factors (Table 2) on the NOAA flask data obtained for remote stations in the NH tropics. First, monthly means were used to obtain annual averages, upon which for CO₂ and N₂O the average slopes of the linear fits are established. In this way all CARIBIC data are de-trended to 01/01/2007.

The de-trending procedure introduces errors which are manifest as deviations from the fit lines (Fig. 3). However, the linear fit for CO₂ captures nearly all of the variance over the years of the interest with deviations between -1 to 0.5 ppm. Therefore higher order fits (Masarie and Tans, 1995) are not applied.

If trends in CO₂ and δ¹³C(CO₂) are caused by common processes, the δ¹³C(CO₂) decrease must be consistent with the inferred CO₂ increase. Combination of the δ¹³C(CO₂) trend (Fig. 3) and the CO₂ increase rate (Table 2) results in an annual δ¹³C(CO₂) decrease rate. The trends for these remote stations agree well (for MLO and KUM the intercepts of -14.2 and -14.0‰; the trend for MID shows the same slope but some offset). Plotting δ¹³C(CO₂) vs. year (not shown) gives basically the same slope but this cannot demonstrate a consistency between δ¹³C(CO₂) and CO₂ increase rates.

Errors for the δ¹³C(CO₂) de-trending are not easily quantified. First, the annual

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

$\delta^{13}\text{C}(\text{CO}_2)$ decrease is nearly of the same magnitude as the long term analytical accuracy. Second, also variability of seasonal circulation patterns (e.g. discussed for CO_2 at Mauna Loa by Lintner et al., 2006) causes variability in air mass composition and CO_2 signals at stations. The first estimate of errors may be given by $\delta^{13}\text{C}(\text{CO}_2)$ deviations relative to the linear fit which are mostly within 0.025‰, with one value deviating by about 0.05‰ (Fig. 3).

The linear fit for N_2O (Fig. 3) captures the change over the years of interest, with 2001 and 2002 showing higher increases, and 1999 a lesser increase. The mean slope of 0.76 ppb/y (Table 2, years 1998 to 2008) appears to agree with values obtained over 1994 to 2006 for several NOAA stations (a range from 0.76 to 0.85), with the global cosine-weighted average of 0.80 (Jiang et al., 2007). Deviations from the linear fit to the NOAA data do not exceed 0.5 ppb (Fig. 3). De-trended monthly means for the stations MLO, KUM, IZO, AZR show nearly identical distributions, with medians of 320.7 ppb and 1 St. Dev. of 0.3 ppb (Fig. 4, upper panel). The distributions are slightly skewed, possibly due to the anomalous increase of N_2O in 2000 and 2001 (Fig. 3, see also Jiang et al., 2007). Here, variability in air mass composition (e.g. Mauna Loa, Lintner et al., 2006) may also play a role. The distribution widths include both seasonal variations and errors introduced by data de-trending. The fact that several stations in the NH tropics have narrow and nearly identical distributions of N_2O , with maximal deviations from the median within 0.9 ppb (3- σ), implies that the N_2O distribution can be used to construct the filter applied to separate FT and UT air from the LMS air discussed in the following.

3.3 Use of tracers to distinguish LMS air masses from FT plus UT air masses

In order to analyse the results, the CARIBIC-1 and CARIBIC-2 data are separated in two pools representing (i) tropospheric air, i.e. FT plus UT air masses, and (ii) LMS air and air masses resulting from UT/LMS mixing processes. Because CARIBIC covers different latitudes, altitudes and seasons, and high resolution samples are taken,

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

choosing an appropriate separation criterion is not trivial. Particularly the fact that CO_2 is a long lived tracer means that each sample typically contains a mixture of air masses of different history. Back-trajectories of 5 or 8 days as commonly used in CARIBIC chemical studies are not sufficiently informative, whereas prolonged back-tracking of air masses makes little sense in view of uncertainties in transport and mixing. Using modeled potential vorticity (PV) values demonstrates a large scatter (not plotted), with occasionally values of up to 5 PV for air masses which are considered to be tropospheric based on O_3 being below the chemical tropopause and high N_2O . (Tropospheric air masses are typically considered to have PV from 0 to 2.5, whereas stratospheric air masses have higher values).

A common criterion for separating air masses is based on the aforementioned chemical tropopause. Whereas pure meteorological tropopause definitions (like PV) may not have the required resolution, the chemical tropopause is an operational concept based on gradients of contrasting tracers measured in situ. Using the stratospheric tracer O_3 and the contrasting tropospheric tracer CO (typically giving the characteristic L-shape mixing plots, cf. Fig. 2), Zahn et al. (2004b) introduced an ozone based chemical tropopause with a seasonally varying boundary level of O_3 . Different groups (Pan et al., 2004; Hoor et al., 2004, 2005) also demonstrated that use of chemical tracers and the chemical tropopause is truly informative to describe mixing of air masses of different origin in the UT/LMS region. The applicability of chemical tracers was even proven in case of double thermal tropopause occurrences in the extra-tropics (Pan et al., 2007).

For discrete UT/LMS events of CARIBIC-1 it was shown that $\delta^{13}\text{C}(\text{CO}_2)$ correlated well with the O_3 excess vs. the chemical tropopause (Assonov et al., 2007). However, due to a limited data set, low sampling resolution and problems with flasks, the same was not shown for different seasons and $\delta^{18}\text{O}(\text{CO}_2)$. In particular, O_3 variability and seasonality in LMS may play a role. When aiming to construct a robust filter to separate LMS air masses for the whole data set, the use of O_3 needs to be compared with another suitable candidate, namely N_2O . An obvious reason to consider N_2O is that its

**Analyses of CO_2
isotope data for
tropical troposphere
and UT/LMS**S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

lifetime better matches that of CO_2 than that of O_3 . At the outset there are some disadvantages associated with the use of O_3 . i) Stratospheric O_3 has a seasonal variability due to production changes (e.g. Hegglin and Shepherd, 2007) whereas the long lifetime of N_2O results in a gradual destruction. Indeed, N_2O plotted vs. O_3 for CARIBIC-2 (Fig. 2, upper panel) shows a clear variability of slopes at higher values; ii) Photochemical production and destruction of O_3 in the troposphere (Zahn et al., 2002) leads to variability as well. This is visible in Fig. 2 (lower panel, O_3 vs. CO and histogram) – the peak at O_3 below the ozone tropopause level is not compact. Some samples having low O_3 (accordingly classified as UT air) have also low N_2O (not plotted) and must actually be considered to be LMS air. Conversely, some samples with O_3 in excess of the chemical tropopause level, also have high N_2O , and thus are definitely tropospheric.

In contrast to O_3 , N_2O demonstrates a compact and narrow peak at high N_2O (Fig. 2, lower panel) with tailing towards lower values corresponding to the LMS contribution. This as well as the compact distribution of N_2O for stations (Fig. 4) implies that a certain N_2O level may be used as a cut-off providing a robust filter for LMS and LMS-affected air masses. There are compelling reasons to use N_2O . i) N_2O has been successfully used to describe the UT/LMS mixing (Hoor et al., 2004), we cite: "... tropospheric N_2O is well mixed giving a rather constant tropospheric mean value... Its major sink is in the tropical stratosphere where it is destroyed via photolysis and reaction with $\text{O}(^1\text{D})$. The long local photochemical lifetime . . . leads to much weaker N_2O -gradients at the tropopause than for O_3 , but makes it ideal to trace stratospheric transport since N_2O is not affected by local photochemistry. . . ."; ii) CO_2 oxygen isotope changes in the stratosphere correlate better with N_2O than with O_3 (Boering et al., 2004). These authors consider N_2O as tracer giving an effective reaction time for CO_2 oxygen isotope changes; iii) N_2O data are obtained on the same air samples as used for CO_2 isotope analysis which excludes any uncertainty related to integration of continuous ozone data over the sampling interval.

Despite N_2O offering advantages, its application has been limited for chiefly two reasons: (i) High precision N_2O measurements, being lab based using flask samples,

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

are rare, whereas O₃ data are obtained routinely at high resolution; (ii) the ratio of O₃ variability to precision is about 500 (range 500 ppb, precision 1 ppb), whereas the ratio for N₂O is only about 80 (range 25 ppb, precision 0.3 ppb).

When using N₂O one has to decide on the appropriate cut-off level. The distribution for CARIBIC-2 (de-trended to 01/01/2007) has a distinct peak with the median at 321.3 ppb (Fig. 4, lower panel), CARIBIC-1 data show the same features. Although a cut-off of 320.0 ppb is selected arbitrarily, this is a safe approach - the distance [the peak median minus the cut-off level] being 1.3 ppb slightly exceeds both the half-width of the CARIBIC peak (Fig. 4, lower panel) and also the maximal seasonal variability of N₂O in the NH tropics (3-σ of 0.9 ppb, Fig. 4, upper panel).

Finally we note that we had to use for CARIBIC-1 a slightly higher N₂O increase rate, actually 0.90 ppb/y instead of 0.76 ppb/y, to have the median in agreement with CARIBIC-2. Furthermore, the N₂O peak median (FT and UT air) for CARIBIC-2 de-trended data (Fig. 4, lower panel) is higher than the medians (~320.7 ppb) obtained for background NOAA stations in the NH tropics. This disagreement as well as the higher de-trending factor needed for CARIBIC-1 most likely relates to a small N₂O scale inconsistency between NOAA and MPI-C Mainz. Addressing this problem is beyond the scope of our paper. (We note that the summer monsoon samples from June-September 2008 might have shifted the distribution to higher N₂O, by 0.2 to 0.3 ppb.)

4 Discussion

4.1 CO₂ isotope data for LMS air masses

4.1.1 Examples of flights that sampled LMS air masses

CARIBIC-2 flights (high resolution sampling) crossing LMS air masses have recorded detailed correlations for a range of trace gases (Fig. 5). Such events are well recorded by concomitant CO and O₃ values reflecting UT/LMS mixing (L-shape mixing plots), but

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

also by lower N_2O , CH_4 and SF_6 values. At times detailed and clear L-shape mixing plots (similar to the L-shaped plot of O_3 vs. CO plots) are obtained for CO_2 , $\delta^{13}\text{C}(\text{CO}_2)$ and for $\delta^{18}\text{O}(\text{CO}_2)$, (the right column in Fig. 5, data given vs. N_2O reversed scale) implying that UT/LMS mixing can be reflected by CO_2 isotopes. Such correlations due to UT/LMS mixing have been observed for 14 out of 21 flights.

As pointed out, outward bound and corresponding return flights usually cross nearly the same stratospheric structures (folds, intrusions and filaments), resulting in nearly symmetrical PV and GHG profiles. In such cases the UT/LMS mixing lines for CO_2 , $\delta^{13}\text{C}(\text{CO}_2)$ and for $\delta^{18}\text{O}(\text{CO}_2)$ (or some of the signals) start from the same stratospheric end-member. A large variability in the composition of UT plus FT air masses over a single flight is often observed, implying a variety of air masses taking part in the LMS ventilation and/or the UT air masses mixed-in/transported directly to this region (being close to the stratospheric folds, intrusions and filaments).

By binning CARIBIC-2 flights that probed the UT/LMS in 4 seasons (Fig. 6, only latitudes above 35°N are plotted), one can observe a similarity of the stratosphere-enriched end-member's composition, with somewhat variable degree of this enrichment (lowest N_2O in different seasons is different), while the variability of the slopes of the mixing trend lines (the L-shape plots) is large. The latter strongly depends on the variability of UT component(s), while also a variable regime of LMS ventilation (e.g. Zahn et al., 2004a) plays a role. We also note that different stratospheric enrichment recorded in different seasons might also be due to variable thickness of the LMS mixing reservoir and variable altitude of the tropopause. (Flight corridors cover a limited range of altitudes.) The CO_2 and $\delta^{13}\text{C}(\text{CO}_2)$ plots appear to be nearly perfect mirror images, which is expected because $\delta^{13}\text{C}(\text{CO}_2)$ vs. $1/\text{CO}_2$ in the troposphere share source and sink processes.

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

4.1.2 General picture of UT/LMS mixing

As CARIBIC-2 flight routes cover different regions (Fig. 1), we can conclude that CARIBIC has recorded rather general features of UT/LMS mixing in large parts of the NH. To demonstrate a more general picture of CO₂ isotope distribution in the UT/LMS region and similarity of the stratospheric component over different seasons (note, data coverage for some seasons is limited), we plot the data of all CARIBIC 1 + 2 flights crossing the UT/LMS (Fig. 7). On this plot we separate data for latitudes >35° N affected by UT/LMS mixing and latitudes <35° N which are not affected directly.

Triangle-shaped mixing areas are observed for CO₂, δ¹³C(CO₂) and δ¹⁸O(CO₂), with CARIBIC-1 and CARIBIC-2 showing the same features. The triangles are formed by seasonally variable signals of UT air masses (Fig. 6). The largest variability of CO₂ and isotope signals is within a narrow range of (high) N₂O values (Figs. 6, 7) which corresponds to FT and UT air masses. This is both due to seasonal variations and different degrees of mixing of air masses affected by sources and sinks and background air masses as discussed below. The variability in CO₂ and δ¹³C(CO₂) demonstrated by CARIBIC-2 (high-resolution sampling) is larger than that of CARIBIC-1 whereas the general relationships are very similar, except for δ¹⁸O(CO₂) (negative shifts due to exchange in canisters). This agreement exists despite different flight routes (Fig. 1) and different years of observation.

Towards the stratospheric end member (low N₂O), the variability in all signals diminishes, apparently indicating a single end member mixing ratio (Fig. 7). (All data are de-trended to 01/01/2007). This reflects CO₂ signatures of ascending tropical air (examples are KUM, MLO and MID, Fig. 9, see below) that enters the stratosphere and returns at NH mid-latitudes with some δ¹⁸O(CO₂) modifications. In fact, the back-flux of stratospheric air into the UT does not constitute a single component because it consists of a mixture of air masses having had different stratospheric residence times (age distribution) (Hall et al., 1999; Andrews et al., 2001; Engel et al., 2009) with a tailing towards older stratospheric air. The stratospheric circulation also attenuates the

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

CO₂ seasonal cycle of ascending air by mixing during transport (Andrews et al., 2001; Strahan et al., 1998). The mean of the age distribution can be approximated by an apparent age, which is defined by decreases of tracers (such as N₂O, CO₂ and SF₆) vs. the signals measured in tropospheric air. The highest apparent SF₆ age for stratospheric samples of CARIBIC-1 is up to 2 years (not plotted). Given that the lowest N₂O values for CARIBIC-1 are about the same as for CARIBIC-2 (Fig. 7), the apparent ages are to be the same.

The stratospheric flux at mid latitudes is first transported to an intermediate reservoir, namely the LMS as recognized by using CO and O₃ correlations (e.g. Zahn et al., 2004b; Hoor et al., 2004; Pan et al., 2004). This mixing reservoir has a variable ventilation regime, with contributions of stratospheric air, UT air and also tropical air masses and exhibits seasonality. There are numerous studies concerning the LMS ventilation (e.g. (Zahn et al., 2004a; Hoor et al., 2005; Pan et al., 2000; Stohl et al., 2003). The range of N₂O between 295 and 320 ppb (Fig. 7) clearly reflects this complex mixing; the variability is indeed visible for different seasons (Fig. 6).

Next we consider the $\delta^{18}\text{O}(\text{CO}_2)$ data. Whereas CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$ are inert tracers in the lower stratosphere, $\delta^{17}\text{O}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ are not. CO₂ does exchange oxygen isotopes with O₃ due to photochemical interaction via CO₃, which results from excited O(¹D) radicals reacting with CO₂. The isotopic changes are more clearly correlated with N₂O compared to O₃ (Boering et al., 2004), this is valid for N₂O down to 150 ppb. Although the $^{17}\text{O}(\text{CO}_2)$ increase is closely coupled with $^{18}\text{O}(\text{CO}_2)$ (Lämmerzahl et al., 2002), $\Delta^{17}\text{O}(\text{CO}_2)$ is more robust to analytical artifacts and thus better documented. Therefore, to estimate the stratospheric trend (Fig. 7, lower plots), we first use the $\Delta^{17}\text{O}(\text{CO}_2)$ -N₂O trend (Boering et al., 2004), and subsequently the $\Delta^{17}\text{O}(\text{CO}_2)/\Delta^{18}\text{O}(\text{CO}_2)$ -ratio of 1.7 (Lämmerzahl et al., 2002). The $\delta^{18}\text{O}$ -N₂O ratio thus constructed characterizes the slope towards low N₂O, taken as 150 ppb.

For fitting CARIBIC-2 observations, the starting point of the stratospheric $\delta^{18}\text{O}$ -trend was selected to be $\sim +0.5\%$. Overall the CARIBIC-2 data fit the estimated $\delta^{18}\text{O}(\text{CO}_2)$ trend well (Fig. 7), with negative deviations being expected due to mixing of air masses

having been in contact with surface. Given that our calibration agrees with NOAA (agreement with NIST RMs and NARCIS CO₂ was demonstrated by Assonov et al., 2009a, b), the value of +0.5‰ corresponds to a significant contribution of equatorial/tropical air transported to the LMS mixing reservoir (the annual mean in NH tropics, e.g. at MLO over 2001 to 2007 gives +0.49‰). The tropical air contributes to the LMS region by air entering the stratosphere where it resides and certain traces age (also leading to ¹⁸O modifications). The (direct) contribution of tropical air to the LMS mixing reservoir via complicated transport is discussed by START group members (e.g. Pan et al., 2009) and would correspond to data points being close to ¹⁸O-trend.

Contribution of SH tropical air (which bears positive $\delta^{18}\text{O}(\text{CO}_2)$ values, see Fig. 13) to the UT-LMS region in the NH can be excluded on meteorological grounds, and indeed there are only a few positive deviations above the $\delta^{18}\text{O}(\text{CO}_2)$ trend given by the line on Fig. 7 (right panel, lower plot). The same is demonstrated by CARIBIC-1 showing more positive deviations vs the trend for SH-affected samples (note that positive values of CARIBIC-1 are reliable). Besides high $\delta^{18}\text{O}(\text{CO}_2)$, the FT air masses at low latitudes being affected by SH air have lower CO₂ and higher $\delta^{13}\text{C}(\text{CO}_2)$. These samples do not contribute to the mixing triangle area (Fig. 7) supporting the $\delta^{18}\text{O}(\text{CO}_2)$ picture.

CARIBIC-2 demonstrates numerous deviations below the estimated ¹⁸O-trend, thus causing the observed mixing triangle. There is a third component (or components), characterized by high N₂O combined with $\delta^{18}\text{O}(\text{CO}_2)$ values below 0.5‰, with variable, mostly low CO₂. The largest number of samples (highest density of all the triangle areas) appears to have $\delta^{18}\text{O}(\text{CO}_2) = 0.25\text{‰}$ and low CO₂ with $\delta^{13}\text{C}(\text{CO}_2)$ values close to its highest values, around -8.2‰ on the de-trended scale (Fig. 7). At a first glance this may be interpreted as air masses taking part in the UT/LMS mixing, affected by the photosynthetic sink and having being in contact with continental surfaces, which results in lower $\delta^{18}\text{O}(\text{CO}_2)$. As candidates of transport we can list fast uplifted (up to 15 km altitude) plumes even crossing the tropopause (e.g. Rhee et al., 2005) as well as isentropic mixing/transport of UT air masses into the LMS (Zahn et al., 2004a).

Analyses of CO₂ isotope data for tropical troposphere and UT/LMSS. S. Assonov et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Clearly, the new $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ data appear to reflect air mixing in the free troposphere and UT/LMS region in a similar way as other tracers such as CO_2 , N_2O and SF_6 do. The CO_2 isotope signals in the UT/LMS mixing region resemble signals of tropical air that entered the stratosphere about 2 year prior, accompanied with systematic modifications of $\delta^{18}\text{O}(\text{CO}_2)$. $\delta^{18}\text{O}(\text{CO}_2)$ appears to be principally a (new) tracer different from other long lived chemical tracers (such as CO_2 , N_2O , SF_6) and also different from $\delta^{13}\text{C}(\text{CO}_2)$. In the boundary layer $\delta^{18}\text{O}(\text{CO}_2)$ has a seasonality and a clear latitudinal gradient. Moreover it has a gradient with lower values over the continent's interior (see below). Absent seems to be however a trend in time. Therefore the distribution of $\delta^{18}\text{O}(\text{CO}_2)$ in the UT/LMS region can be used as independent information to validate descriptions of global transport and UT/LMS mixing in models. Presently the $^{18}\text{O}(\text{CO}_2)$ "back flux" from the stratosphere is cited as one important unknown in models (Ciais et al., 2005), although proper isotope photochemistry in global circulation models certainly should be able to address this issue. The new data set provides a mean to validate future models. Finally we note that these systematic features illustrate the successful use of N_2O as a linear tracer of UT/LMS mixing for CO_2 , $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$. Similar plots using the O_3 excess relative to the chemical tropopause level (not shown) show more scatter.

4.2 Variability in CO_2 and $\delta^{13}\text{C}(\text{CO}_2)$ for FT and UT air masses

4.2.1 Latitudinal distribution

For the analysis of tropospheric CO_2 we use the CARIBIC-2 data set (latitudes between 14 and 55° N) because of 3 reasons, being (i) high-resolution sampling; (ii) the data are less affected by de-trending errors; (iii) high quality $\delta^{18}\text{O}(\text{CO}_2)$ are available for all samples. LMS air masses have been filtered out using the N_2O data.

The de-trended CO_2 data demonstrate a surprisingly small gradient over the latitude range 14 to 55° N (Fig. 8). Such was also noticed by analyses of gradients of CO_2

seasonality over NH latitudes (10 to 50 ° N, binned in 10° width Schuck et al., 2009). At the surface gradients are caused by especially the large vegetation cover at mid to high latitudes in NH, also resulting in pole-wards increased seasonality (see also surface plots by NOAA (Bowen et al., 2009), also the NOAA web-site). At altitude
5 however, the outflow of the summer monsoon can play a role, be it with a restricted geographical footprint.

UT and FT $\delta^{13}\text{C}(\text{CO}_2)$ does not demonstrate a latitudinal gradient, which is anticipated because of the lack of a clear gradient in CO_2 . This is again in contrast to gradients for surface stations in the NH (plots by NOAA (Bowen et al., 2009), also the
10 NOAA web-site). However the limited number of isotope data we have, precludes us making a detailed analysis as done for the CO_2 latitudinal gradient (Schuck et al., 2009) and monsoonal outflow (Schuck et al., 2010). $\delta^{18}\text{O}(\text{CO}_2)$ does not show a gradient either meaning that influx of the photochemically driven modification of CO_2 is diluted and too small to have an effect for UT air masses. (Note that LMS air may penetrate
15 deeply in the troposphere, here we do not aim to evaluate total UT/LMS budget). Some lower $\delta^{18}\text{O}(\text{CO}_2)$ values at 20 to 30° N and, and to a lesser degree at ~50° N indicate fresh plumes that had undergone surface contact in summer (discussed below). A few elevated values for both $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ in the SH and close to the equator can be understood as the CO_2 cycle in the SH differs from that in the NH with high
20 $\delta^{18}\text{O}(\text{CO}_2)$ being typical for SH air. The small CARIBIC-1 data set for the SH is also given in Fig. 8 to exemplify typically high SH $\delta^{18}\text{O}(\text{CO}_2)$ values.

Concerning N_2O the situation is different as it has distinctly lower mixing ratios at high NH latitudes, which is due to the LMS influx of air with lower N_2O mixing ratios (discussed in Sect. 4.2.2). In contrast to CO_2 , N_2O seasonality is small and observed variations are mostly due to different air masses crossed, implying this small gradient to be real. Some positive deviations above the main N_2O cluster are due to fresh plumes whereas negative ones may indicate the presence of LMS-affected samples not effectively filtered with $\text{N}_2\text{O} = 320$ ppb. As stratospheric back flux does take place
25 at these latitudes, such air masses do play a role in a total balance.

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Methane (not shown) has a more or less uniform distribution, with high values at about 20 to 30° N due to monsoon plumes (Schuck et al., 2010). The SF₆ data (not shown) show some scatter due to inhomogeneous distributing of sources located in the NH.

Recapitulating, pertinent features of the latitudinal distributions are: (i) the absence of gradients in CO₂, δ¹³C(CO₂) and δ¹⁸O(CO₂) over latitudes of 14 and 55° N. This is in contrast to the clear latitudinal gradient demonstrated by the NOAA NH surface stations accompanied by increased seasonality (see Fig. 13, see also surface plots by NOAA (Bowen et al., 2009), also the NOAA web-site); (ii) the gradient for N₂O, with high latitude values lower by ~0.5 ppb.

4.2.2 Effect of LMS CO₂ on the isotopic composition in the FT and UT

The next issue to address is the effect of the stratosphere-troposphere flux on UT air masses. It has been shown (Nevison et al., 2004, 2007) that the stratospheric influence in the NH significantly contributes to seasonal and inter-annual variations of N₂O and CFC gases with long life times having a strong stratospheric sink. These conclusions are based on surface data, thus an effect at flight altitudes is certainly expected. Although we do not intend to evaluate the total N₂O balance (the LMS-enriched samples are filtered out), one can give some estimation for the dilution brought about by the LMS influx. The N₂O latitudinal gradient (Fig. 8), namely lower N₂O at high NH latitudes implies a contribution of diluted stratospheric air to UT air. We estimate this contribution to be ~2% (latitude gradient of 0.5 ppb and N₂O range in LMS of 25 ppb).

By using the range of CO₂ signals observed over the UT/LMS mixing regime (Fig. 7), the above estimate may be translated for the CO₂ isotope budget. The 2% influence means up to 0.01‰ of unresolved shifts in δ¹⁸O(CO₂), which is well below analytical uncertainty. The effect on δ¹³C(CO₂) is even lower, within 0.005‰, and cannot be resolved. Because we have no ¹⁷O determination for CO₂, the unavoidable δ¹³C(CO₂) analytical artifact/bias arising from stratospheric ¹⁷O(CO₂) enrichment is about 0.04‰.

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

for the most enriched samples (N_2O about 295 ppb) and is diluted to merely 0.001% for the 2% influenced UT-samples. Though these estimations are crude, they show that the stratosphere back flux at high latitudes has negligible effects on ^{13}C and ^{18}O isotope signals in the FT and UT.

4.2.3 Comparison of UT and FT CO_2 isotope data with those from tropical NOAA stations and aircraft

Figure 9 gives the seasonal cycles for free and upper tropospheric CO_2 , $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ as time series with the CARIBIC data binned in three latitude bands. (The 0 to 20° N band of CARIBIC-2 covers 14 to 20° N.) CARIBIC CO_2 and $\delta^{13}\text{C}(\text{CO}_2)$ data agree well with those from the selected representative stations the NH tropics (MLO, KUM and IZO) and capture the amplitudes and the seasonality. NOAA stations located at higher latitudes and/or on continents show much larger seasonal variability (MHD is shown as example). Though a certain phase shift between CO_2 data of (marine) boundary layer (selected stations) and signals in FT and UT at the flight altitude can be expected, these aspects are not addressed in this manuscript.

CARIBIC-1 and specifically CARIBIC-2 demonstrate a larger spread of values in each latitude band than results from the aircraft system CONTRAIL (CONTRAIL, 2009) and tropical stations. There may be two separate reasons. For the stations, besides being representative for more homogeneous air masses over the Atlantic, monthly mean values are given. Though CONTRAIL data are based on spot sampling, this project sampled air masses over the Pacific that may have been more homogeneous. CARIBIC sampled air over oceans and continents. Clearly CARIBIC does not demonstrate the strong seasonal amplitudes typical for the NH stations directly affected by continental air masses. Even Mace Head (Fig. 9) which has a limited direct impact of continental air masses compared to truly continental NH stations, demonstrates a much larger seasonal amplitude than CARIBIC.

Regardless the fact that $\delta^{18}\text{O}(\text{CO}_2)$ is governed by additional processes compared

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

to CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$, the similarity between CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$ of CARIBIC and tropical stations implies the same to be expected for $\delta^{18}\text{O}(\text{CO}_2)$. We repeat that $\delta^{18}\text{O}(\text{CO}_2)$ data of CARIBIC-1 demonstrating a large scatter, with variable negative shifts due to CO₂-water exchange in canisters (Assonov et al., 2009b), are used qualitative only. A few CARIBIC-1 samples exhibit $\delta^{18}\text{O}(\text{CO}_2)$ values above the trend of the tropical stations. These samples apparently contain SH air where $\delta^{18}\text{O}(\text{CO}_2)$ values are typically higher than in the NH (Fig. 13, also see below).

The CARIBIC-2 $\delta^{18}\text{O}(\text{CO}_2)$ values mostly agree with those from tropical stations, although some systematic, mostly negative, deviations occur (Fig. 9). They occur chiefly at latitudes of 14 to 30° N (Fig. 8) (some negative deviations occur also at 40 to 50° N). The deviations in autumn-2008 mainly correspond to monsoon outflow crossed during flights to India. Negative deviations arise from air masses that had been in contact with the surface of continents where intensive ¹⁸O exchange with soil and leaf water takes place (Ciais et al., 1997). Such CARIBIC-2 signals are similar to (but more positive than) the data of Mace Head, a station affected both by marine and continental air. With Mace Head being at mid latitudes, $\delta^{18}\text{O}$ values of precipitation and soil water are lower than in the tropics. Positive $\delta^{18}\text{O}(\text{CO}_2)$ values of CARIBIC-2 (years 2008 and 2009) of up to 1.0‰ correspond to samples containing SH air which bears more positive $\delta^{18}\text{O}(\text{CO}_2)$ values (see also Fig. 13) due to the much smaller land cover. Positive values were also observed by CARIBIC-1 close to the equator and in the SH (Fig. 8).

4.2.4 Carbon cycle and isotope signals

To better understand signals observed by CARIBIC, we briefly summarize the carbon cycle. In the troposphere CO₂ concentration and $\delta^{13}\text{C}(\text{CO}_2)$ reflect the variability, both in time and space, of CO₂ sources and sinks (respiration, photosynthesis, ocean uptake, fossil fuel and biomass burning). As source and sink processes cause isotope fractionation, variations in CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$ are closely linked and largely in anti-phase. Photosynthesis produces biomass depleted in ¹³C with remaining air CO₂

becoming enriched (e.g. Bowling et al., 2008).

One can presume that respiration, and combustion of fossil fuel and biomass accumulated by photosynthesis will tend to bring air $\delta^{13}\text{C}(\text{CO}_2)$ back to initial values. This is only partly true because of a disequilibrium effect – recently formed biomass is linked (by overall photosynthesis fractionation) to modern air $\delta^{13}\text{C}(\text{CO}_2)$ (around -8.3‰), whereas part of respiration and all combustion releases carbon accumulated in the past when $\delta^{13}\text{C}(\text{CO}_2)$ was higher by up to about 2‰ (Francey et al., 1999).

Anthropogenic effects on the CO_2 cycle include fossil fuel burning, increased biomass burning, change of land use, deforestation, erosion, cement production etc. Fossil fuel carbon is characterized by negative $\delta^{13}\text{C}(\text{CO}_2)$, e.g. around -24‰ for coal, oil from -30 to -26‰, combustion of natural gas mix (biogenic and thermogenic methane) of \sim -44‰ (e.g. Ciais et al., 1995 and references therein). On the average fossil fuel has a $\delta^{13}\text{C}(\text{CO}_2)$ value of about -28‰, being close to an overall old biomass signature.

The gross ocean fluxes exceed the net uptake many times. Ocean uptake has a disequilibrium effect of the same nature as outlined above for photosynthesis – the dissolved CO_2 pool has been in equilibrium with the atmosphere for a long time, when atmospheric $\delta^{13}\text{C}(\text{CO}_2)$ was higher. Thus the exchange back-flux from the ocean returns CO_2 with $\delta^{13}\text{C}(\text{CO}_2)$ values higher than the value of the modern CO_2 uptake (e.g. Quay et al., 2003).

The important CO_2 sources and sinks of different $\delta^{13}\text{C}(\text{CO}_2)$ signatures can be traced by using Keeling plots, which are based on the assumption of the admixture of air with CO_2 affected by source and sink processes to background air e.g. (Pataki et al., 2003; Miller et al., 2003). As sources and sinks are unequally distributed over the globe and have different seasonality the intercept values on Keeling plot are variable.

**Analyses of CO_2
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

4.2.5 Variability in CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$ of FT and UT air masses

Despite the long distances covered during a single CARIBIC flight (up to 8000 km), good, at times excellent, correlations between $\delta^{13}\text{C}(\text{CO}_2)$ and CO₂ are evident for many flights (Fig. 10, upper panel). As best example we give the flight Frankfurt-Toronto-Frankfurt on 17–18 September 2007 with sampling latitudes from 43 to 53° N and 8 day back trajectories reaching from 20 to 70° N. After filtering out the LMS contribution using N₂O as elucidated before, GHG and other tracers confirm that we are dealing with pure FT and UT air masses. These tropospheric air masses were nevertheless of variable origin and history and for instance CH₄ showed considerable variability. However, $\delta^{13}\text{C}(\text{CO}_2)$ correlates extremely well with CO₂ over the 8000 km flight route. The isotope data reveal this behavior for ¹³C within a total range of merely ~0.1‰ thanks to their high precision. This snapshot of a single flight demonstrates large scale similarities of source and sink processes.

We emphasize that the compact correlations during single flights reflect behavior for air masses during specific seasons. It is clear that the relationship changes during the seasons (Fig. 10, lower panel). Winter and summer correlations demonstrate statistically different intercept values (from –29 to –24‰, Fig. 10, lower panel) due to the variable balance of CO₂ sources and sinks for different seasons.

Although a similarity between CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$ for the CARIBIC time series and data for NH tropical stations (Fig. 9, see above) is observed, the variability of CO₂ and $\delta^{13}\text{C}(\text{CO}_2)$, even during a single flight, is large. The reasons for this can be explored by using Keeling plots. When $\delta^{13}\text{C}(\text{CO}_2)$ data of CARIBIC-1 (only lat >14° N) and CARIBIC-2 data are plotted vs. the inverse of the CO₂ mixing ratio (Fig. 11), nearly all CARIBIC samples are within the amplitude range demonstrated by tropical stations (plotted are data for KUM). The shift between CARIBIC-1 and CARIBIC-2 data clusters agrees with the global trend demonstrated by background/remote stations MLO and KUM. The intercept of the stations' trend (the value of ~–14‰, see Sect. 3.2), mainly characterizes the global CO₂ trend.

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Only few CARIBIC data points reach beyond the range of MLO and KUM (Fig. 11). Low values indicate more pronounced effects from respiration, fossil fuel and biomass burning. High $\delta^{13}\text{C}(\text{CO}_2)$ values are indicative for a large impact of photosynthesis (contributions of SH air cannot be excluded either). The amplitude of variations for CARIBIC is, as expected, much less than that for the stations affected by continental air masses, e.g. MHD.

The CARIBIC clusters appear to demonstrate a fine structure with the variability in $\delta^{13}\text{C}(\text{CO}_2)$ and CO_2 being closely correlated. The slope is similar to that of the annual cycle at any NH station with pronounced seasonality, e.g. at MHD (Fig. 11). Trends observed over particular flights and also over seasons (Fig. 10) are already within this structure; on this plot (data not de-trended) the variability of seasonal slopes is practically indistinguishable. Thus, along the seasonal variability demonstrated by stationary stations in NH tropics, another important reason for correlated variability in $\delta^{13}\text{C}(\text{CO}_2)$ and CO_2 detected by the aircraft platform is the variability in FT and UT air masses crossed. The fact that variability for high resolution sampling is much larger (see Fig. 9) confirms this. One reason for pronounced variability is that at times fresh plumes are intersected. For instance flights 186 to 189 crossed biomass burning plumes over China (Lai et al., 2010). The data demonstrate some correlations between increases in CO and CO_2 and negative shifts in $\delta^{13}\text{C}(\text{CO}_2)$. Monsoon plumes were also crossed which were identified by increases in methane and some (negative) $\delta^{18}\text{O}(\text{CO}_2)$ shifts, without clear effects for $\delta^{13}\text{C}(\text{CO}_2)$.

We interpret the correlated variability in $\delta^{13}\text{C}(\text{CO}_2)$ and CO_2 (Fig. 12) as result of mixing of masses affected to different degrees by seasonally varying sources and sinks with well-mixed background air masses. In first approximation, the overall effect of all major (global) sources and sinks result in the same slope of isotope fractionation. Thus correlations in $\delta^{13}\text{C}(\text{CO}_2)$ and CO_2 in FT and UT air over a hemisphere are rather a rule than exception and reflect the global CO_2 cycle in the NH. The figure shows compact correlations for the de-trended CARIBIC-1 and CARIBIC-2 data sets for all seasons. The two data sets cover basically the same range of values, with nearly

identical slopes and intercepts. Most obvious deviations due to SH air admixture (as inferred by positive deviations in $\delta^{18}\text{O}(\text{CO}_2)$) have been filtered out. As we have no robust chemical tracer for SH air, some outliers due to SH air cannot be excluded. Obviously, the deviations from the fit lines exceed deviations observed for particular seasonal trends as the year-around variability includes several seasonal effects which give variable trends (intercepts from -29 to -24% , Fig. 10).

All in all, the variability of signals is both due to mixing of different air masses affected by sources and sinks (the matter of atmospheric transport) and the seasonal variability of sources and sinks in the NH. On top of this there is the variability due to UT/LMS mixing; the latter is well recognized by using stratospheric tracers. We note that the use of isotopes directly reveals the causes for CO_2 variability. Without isotopes, one may imply rather a similarity of air masses in the FT and UT or data scatter (Schuck et al., 2009). However explaining/describing CO_2 and isotope signals of the FT and UT region in detail is not trivial and will require advanced coupled biosphere-ocean-atmosphere models.

4.2.6 Variability in tropospheric $\delta^{18}\text{O}(\text{CO}_2)$

Of overriding importance for $\delta^{18}\text{O}(\text{CO}_2)$ and its variations is ^{18}O exchange with water (leaf, soil, and ocean water) via bicarbonate formation. The isotope change depends directly on the $\delta^{18}\text{O}(\text{H}_2\text{O})$ value while the fractionation constant possesses a strong temperature dependence (Brenninkmeijer et al., 1983). The variations in $\delta^{18}\text{O}(\text{CO}_2)$ cannot be detected by the Keeling plot technique, which is based on the assumption of mixing. Only combustion acts as a source in that sense. However fossil fuel and biomass burning combustion constitute a small flux compared to the gross CO_2 fluxes whereby CO_2 undergoes ^{18}O exchange. Consequently, $\delta^{18}\text{O}(\text{CO}_2)$ is a specific tracer of gross CO_2 fluxes. Accurate measurements of $\delta^{18}\text{O}(\text{CO}_2)$ on a large scale started only years ago and not much data interpretation is available; understanding ecosystem-atmosphere exchange processes is underway, e.g. (Still et al., 2009). We also reiterate

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

that $\delta^{18}\text{O}(\text{CO}_2)$ is a tracer of air transport and mixing as we demonstrated for the UT/LMS region.

The similarity between $\delta^{13}\text{C}(\text{CO}_2)$ and CO_2 of CARIBIC and the data of representative stations in the NH tropical boundary layer implies the same similarity to be expected for $\delta^{18}\text{O}(\text{CO}_2)$. At a first glance (Fig. 9), $\delta^{18}\text{O}(\text{CO}_2)$ of CARIBIC-1 and CARIBIC-2 demonstrates a scatter, with many negative deviations versus the tropical stations' trend. When plotting $\delta^{18}\text{O}(\text{CO}_2)$ versus CO_2 , no structure is discernable (plot not given). The year 2008 demonstrates a larger variability than 2007, due to different routes and the Indian summer monsoon.

The NOAA data help understanding characteristics of the $\delta^{18}\text{O}(\text{CO}_2)$ patterns. First, the amplitude of the $\delta^{18}\text{O}(\text{CO}_2)$ seasonal cycle systematically increases from the SH to high NH latitudes whereas the annual means decrease (Fig. 13, upper panel). Besides this SH-NH gradient due to the NH land masses, there also are significant gradients across continents. The actual $\delta^{18}\text{O}(\text{CO}_2)$ values depend on $\delta^{18}\text{O}$ of precipitation, temperature, evaporation and evapotranspiration (see e.g. plots in Ciais et al., 1997). The largest $\delta^{18}\text{O}(\text{CO}_2)$ seasonal cycle in the NH can be best illustrated by the gradient between MLO and Barrow data (Fig. 13, upper panel).

As the exchange with water vapor in air is negligible and $\delta^{18}\text{O}(\text{CO}_2)$ is only affected by dilution with background air, the largest $\delta^{18}\text{O}(\text{CO}_2)$ variability can be expected in fresh plumes. Indeed, the most prominent negative $\delta^{18}\text{O}(\text{CO}_2)$ shifts are in autumn 2008 (Fig. 9) for the flights to India and China in 2008 which crossed large plumes of surface air (August to December).

Besides negative deviations from the trend of NH tropic stations, there are some positive deviations. The highest $\delta^{18}\text{O}(\text{CO}_2)$ values are around +0.9‰ in November–December 2008 at about 20° N (Fig. 9) which are expected to have been due to significant contributions of SH air with high $\delta^{18}\text{O}(\text{CO}_2)$ values (Fig. 13). In fact high values (around +1.0‰) were also recorded by samples taken close to equator and in SH (African flights) by CARIBIC-1 (3 March 2000) and CARIBIC-2 (10 to 12 March 2009) (Fig. 8).

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

4.3 Signals recorded by CARIBIC in the FT and UT and global atmospheric circulation

Next we revisit the latitudinal distribution of CO₂ (Fig. 8). To understand the latitudinal distribution of the CONTRAIL aircraft CO₂ data (Matsueda et al., 2002, 2008) obtained at similar flight altitudes, Miyazaki et al. (2008, 2009) applied a global atmospheric transport model. They concluded, we cite (Miyazaki et al., 2009): “In the NH subtropical upper troposphere, the formation of the latitudinal CO₂ gradient is mostly attributable to the upward and poleward (adiabatic) transports of CO₂. . .” Based on their model results, the CO₂ concentration gradient at flight altitudes of 300 to 200 hPa is very limited or, in some months, negligible. That is basically in agreement with the similarity of the range of CO₂ and isotope signals over the corresponding NH latitudes observed by CARIBIC (Fig. 8).

The strongest gradient in the CO₂ latitudinal distribution at flight altitudes appears in summer, at latitudes 45 to 75° N (Fig. 5 in (Miyazaki et al., 2008) and also Fig. 3 in (Miyazaki et al., 2009). These latitudes are expected to have lower UT CO₂ due to uplift of strongly depleted continental air in summer. Though the model of (Miyazaki et al., 2008, 2009) does not include the stratospheric flux at mid-latitudes, the effect on concentrations (not directly in UT/LMS structures) cannot be large, about 2% of the maximal LMS/UT variability (Sect. 4.2.2) which is translated to up to some 0.2 ppm. The model results by (Shia et al., 2006) show that cross-tropopause exchange is pronounced in certain seasons, but the results do not allow to evaluate this contribution correctly. A recent study by (Boenisch et al., 2008) aimed to model CO₂ and SF₆ in the extratropical UT/LS, including STE flux, has demonstrated little gradient at the CARIBIC flight altitudes in the NH latitudes (14 to 55° N), also with an increased gradient in summer.

At last, based on de-trended data, we evaluate the seasonal cycle of $\delta^{13}\text{C}(\text{CO}_2)$ and CO₂ in the FT and UT. CARIBIC-1 and CARIBIC-2 data demonstrate basically the same seasonality and amplitude (Fig. 14, our final figure, left panel). This agreement

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

shows the data to be representative and to be of high quality. Noting that CARIBIC-1 and CARIBIC-2 have different flight routes, that measurements were performed by different sampling and extraction systems, in two different labs, with a time lag of 5 years give confidence that isotope data of required precision and accuracy can be obtained.

After filtering out data of fresh plumes (by total H₂O above 2000 ppb and CO above 125 ppb, only a few data points), the FT plus UT data are in line with the phase and the range of values at the NOAA stations in the NH tropics (Fig. 14, right panel). As CARIBIC is a moving platform, it demonstrates higher variability than selected tropical stations sampling only representative air masses. The variability of FT +UT signals at flight altitudes is due to mixing of air masses affected by sources and sinks with background air; the effect of UT/LMS mixing is limited. Averaged values observed by CARIBIC-2 and presented by using the box plot (Fig. 14, right panel) can in first instance be considered as monthly values of background air in FT and UT. The agreement with the data of NOAA stations in NH tropics supports the hypothesis of uplift and transport of tropical air.

Overall we conclude that general features demonstrated by CO₂ and its isotope signals of CARIBIC appear to agree with expectations based on general atmospheric circulation. However at the moment there are few models which implement all details of global circulation, and adequately describe CO₂ sources and sinks, etc.

4.4 Variability of CO₂ signals and analytical errors – where is the optimal resolution?

At cruise altitudes, the variability detected in FT and UT air masses (de-trended data) is about 10 ppm for CO₂ and 0.4‰ for δ¹³C(CO₂). Given that total combined uncertainty we have reached are respectively 0.2 ppm and 0.02‰ (1-σ values, that is, a 20 ppm variation in the ~1.1% ¹³C in carbon), this translates into 2% and 5% relative variability. This is a rather reasonable balance between signals and errors. The correlations observed between CO₂ and δ¹³C(CO₂) (e.g. Fig. 10) imply that at the moment actual

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



analytical errors in CO_2 and $\delta^{13}\text{C}(\text{CO}_2)$ (at the level of our laboratory performance) are rather well balanced.

We stress that for meaningful use of such data in models, data merging from different laboratories is critical. The only inter-laboratory comparison performed was with MPI-BGC, Jena, during which the discrepancies of 0.04 and 0.07‰ for $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ were documented (Assonov et al., 2009a). Although this exceeds the 1-sigma errors evaluated by the two labs and exceeds the $\delta^{13}\text{C}(\text{CO}_2)$ data comparability target of merging data from different laboratories (13th_WMO/IAEA_Meeting, Expert Group Recommendations), inter-laboratory scale discrepancies observed by round robins among other labs are unfortunately much larger (Levin et al., 2007). Importantly, the offset between MPI-BGC and NOAA being documented is limited and stable in time, permitting to reconstruct a (possible) offset between the NOAA scale realization and that of CARIBIC.

4.5 Future use of data, optimal sampling resolution and other aspects

When one intends to obtain representative information on the global CO_2 cycle by using limited capabilities, optimizing sampling strategy is needed. In our case, optimal flight frequency, the distance covered by a single sample, and the sampling frequency need consideration. On the one hand, sampling at low resolution, similar to that of most models is logical. High-resolution sampling gives a more detailed picture of mixing effects and structures involved, but the information, given a certain sample capacity is reduced.

Comparing CARIBIC-1 and CARIBIC-2 data in this context gives useful information. Obviously the high sampling resolution by CARIBIC-2 (15 km of flight distance per sample) with 28 samples per outward and return flights gives a different picture than obtained by the low resolution of CARIBIC-1 (~250 km per sample, 12 samples per flight). This is well illustrated by the UT/LMS mixing trends. CARIBIC-2 clearly demonstrates the L-shape mixing in the UT/LMS region being visible both for

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

$\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$. Occasionally $\delta^{18}\text{O}(\text{CO}_2)$ shows even more regular patterns than $\delta^{13}\text{C}(\text{CO}_2)$. In contrast, UT/LMS data by CARIBIC-1 show only linear trends for $\delta^{13}\text{C}(\text{CO}_2)$ without details or systematic pattern for $\delta^{18}\text{O}(\text{CO}_2)$. (Some CARIBIC-1 trends are given in Assonov et al., 2007). This is partly because of the inferior $\delta^{18}\text{O}(\text{CO}_2)$ data quality and resolution of CARIBIC-1. Low and high resolution sampling give different data sets. When frequent tropopause crossings occur at mid latitudes, a higher resolution may be preferable.

5 Summary and outlook

1. CARIBIC provided unique isotope data sets for the UT/LMS and free tropical troposphere, with about ~ 1 month resolution. CARIBIC-1 (1999 to April 2002), with low resolution sampling (250 km/per sample) provided high quality $\delta^{13}\text{C}(\text{CO}_2)$ data and lower quality $\delta^{18}\text{O}(\text{CO}_2)$. CARIBIC-2 (April 2007–March 2009) provided accurate and reliable $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$ data on high-resolution sampling (about 15 km/sample). For all samples extensive supporting GHG, O_3 , CO and meteorological data are available.
2. The manuscript presents the first analyses of the CARIBIC-2 data for tracer-tracer correlations, distribution and trends with detailed information for UT/LMS CO_2 , $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$. For the first time it is demonstrated that global-scale variability in air mass origin and GHG signals are reflected by the variability in $\delta^{13}\text{C}(\text{CO}_2)$ and $\delta^{18}\text{O}(\text{CO}_2)$. Besides the UT/LMS mixing, such correlations arise from different degrees of mixing of background air with air masses affected by sources and sinks, over the distance up to 8000 km and also over different seasons. The trends and features demonstrated by CARIBIC-2 are found to agree with CARIBIC-1 signals attenuated over long sampling distance, except of $\delta^{18}\text{O}(\text{CO}_2)$.

Analyses of CO_2 isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

3. Data de-trending and use of stratospheric tracers is considered. We demonstrate that N₂O is the most adequate tracer in describing CO₂ signals in the UT/LMS region. By filtering out LMS data (using N₂O as stratospheric tracer) and data of fresh plumes, data for FT and UT background air masses were obtained. The data and trends agree well with the data of NOAA stations in NH tropics. This is in agreement with global atmospheric transport with the uplift and transport of tropical air masses pole-wards.
- 5
4. The $\delta^{18}\text{O}(\text{CO}_2)$ data are discussed to reflect air mixing in the FT and UT/LMS region. $\delta^{18}\text{O}(\text{CO}_2)$ appears to be a principally different tracer than other long lived chemical tracers (such as CO₂, N₂O, SF₆) as it has both a seasonality and clear latitudinal gradient, in the absence of a trend in time. Therefore the distribution of $\delta^{18}\text{O}(\text{CO}_2)$ in the UT/LMS region can be used as independent tracer to validate description of global transport and UT/LMS mixing in models.
- 10
5. Climate change will most likely show up in $\delta^{18}\text{O}(\text{CO}_2)$. Although it is a highly complex signal, its link to temperature, humidity, soils and vegetation makes it desirable to monitor $\delta^{18}\text{O}(\text{CO}_2)$ not only at the surface but also at altitude. Thereafter obtained present-day record for the FT and UT/LMS region is an asset to future comparisons.
- 15
6. Considering future developments of observations by aircraft, sampling resolution and optimal analytical uncertainty are critical. While high-resolution sampling is extremely valuable to study effects in detail UT/LMS mixing and inter-hemispheric transport, it may be less applicable when one aims obtaining representative signals for remote FT and UT on the global scale for use in models.
- 20
7. The data shown are based on a major research effort (made possible by IRMM in Geel, Belgium for CARIBIC-2), that could not be sustained at present but should be taken up again in the not too distant future. An 88 sampling system
- 25

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

in CARIBIC-2, to operate in addition to the 28 sample system is close to completion and should be deployed early 2010.

8. Though the CARIBIC data set is self-consistent, inter-laboratory consistency for CO₂ isotope data aimed future data merging may be a limiting issue in the future. The calibration scale used for CARIBIC-1 and -2 was verified by agreement with NIST RMs and Narciss CO₂ gases. A scale discrepancy between IRMM and MPI-BGC was documented, which is small compared to scale discrepancies documented otherwise.

Appendix A

Abbreviations

CARIBIC – Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container, www.caribic-atmospheric.com

FT – free troposphere

LMS – lowermost stratosphere

LS – lower stratosphere

NH – Northern Hemisphere

STE – stratosphere-troposphere exchange

SH – Southern Hemisphere

UT – upper troposphere

UTLS – upper troposphere-lowermost stratosphere

NOAA stations are given by using the following abbreviations:

AZR – Terceira Island, Azores, Portugal, 38.77 N; 27.38 W; 40 m a.s.l.;

KUM – Cape Kumukahi, Hawaii, United States, 19.52 N; 154.82 W; 3 m a.s.l.;

ACPD

10, 5999–6057, 2010

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

IZO – Tenerife, Canary Islands, Spain, 28.3 N, 16.48 W; 2360 m a.s.l.;
MHD – Mace Head, Ireland, 53.33 N; 9.9 W; 25 m a.s.l.;
MID – Sand Island, Midway, United States, 28.21 N; 177.38 W; 7.7 m a.s.l.;
MLO – Mauna Loa, Hawaii, United States, 19.54 N, 155.58 W; 3397 m a.s.l..

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10 available from E. Dlugogencky. We appreciate the great effort by the NOAA and INSTAAR teams to obtain the data and making them available. W. Brand kindly measured 28 air samples of CARIBIC-2 in 2009. T. J. Schuck acknowledges the funding by the European Commission through the GEOMON (Global Earth Observation and Monitoring) Integrated Project under the 6th Framework Program (contract number FP6-2005-Global-4-036677). T. Röckmann played
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Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

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[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Analyses of CO₂
isotope data for
tropical troposphere
and UT/LMS**

S. S. Assonov et al.

Table 1. Typical 1- σ total uncertainty of the CARIBIC-1 and CARIBIC-2 CO₂ data.

	CO ₂ , ppm	$\delta^{13}\text{C}(\text{CO}_2)$, ‰	$\delta^{18}\text{O}(\text{CO}_2)$, ‰
CARIBIC-1	0.25	0.022	0.063
CARIBIC-2	0.20	0.020	0.030

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I◀

▶I

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

Table 2. Annual increase rates (linear fits) for the NOAA stations.

Variable	Stations	Data source	Years	Increase rate
CO ₂	MLO, KUM, MID	NOAA ftp server (GLOBALVIEW-CO ₂ .; 2009)	1999–2007	2.04 ppm/y
$\delta^{13}\text{C}(\text{CO}_2)$	MLO, KUM	NOAA ftp server (GLOBALVIEW-CO2C13)	1999–2007	−0.032‰/y
N ₂ O	MLO, KUM, IZO, AZR (flask samples)	NOAA (Dlugogencky, 2009)	1998–2008	0.76 ppb/y

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

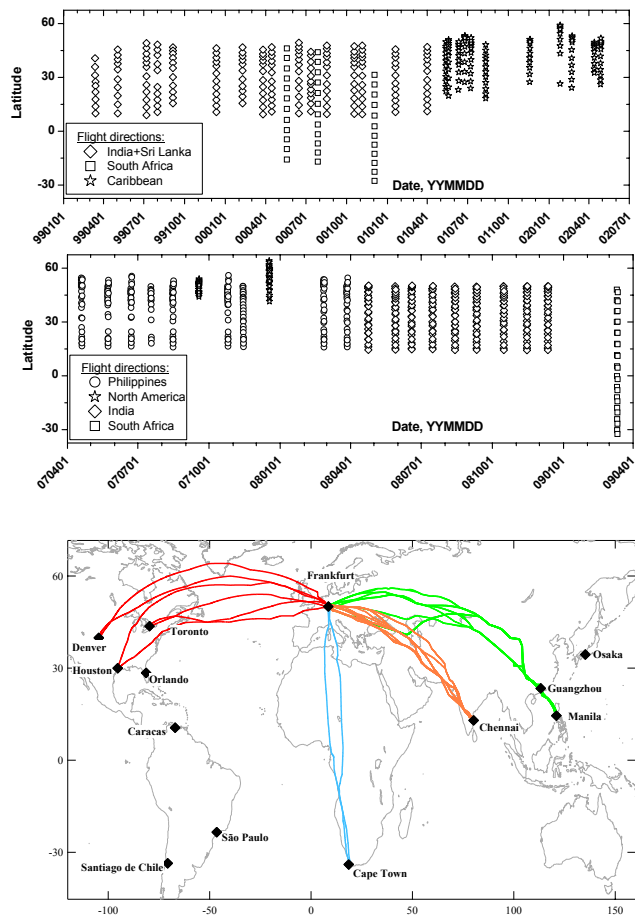


Fig. 1. CARIBIC-1 (upper panel) and CARIBIC-2 (middle panel) sample distribution used for CO₂ isotope analyses. Lower panel, CARIBIC-2 flight routes.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

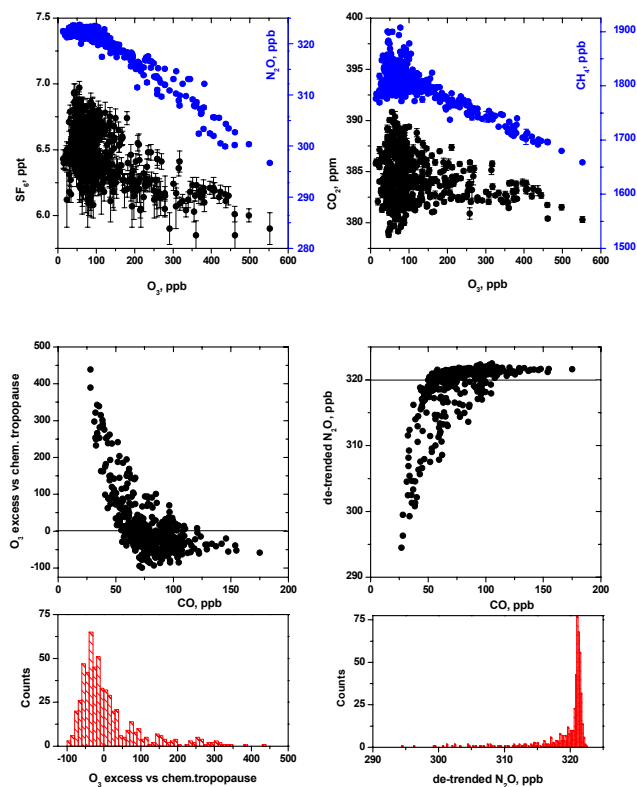


Fig. 2. Upper panel: GHG data (N₂O, SF₆, CH₄ and CO₂) for CARIBIC-2, flights of April 2007 to March 2009 (period covered by CO₂ isotope analyses) plotted against ozone. The data are not de-trended and UT, tropical FT, and LMS samples are all plotted together. Lower panel: Comparison of stratospheric tracers. De-trended N₂O data vs. CO demonstrate a more compact distribution than O₃ vs. CO. To enable a comparison histograms of O₃ and N₂O are given.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

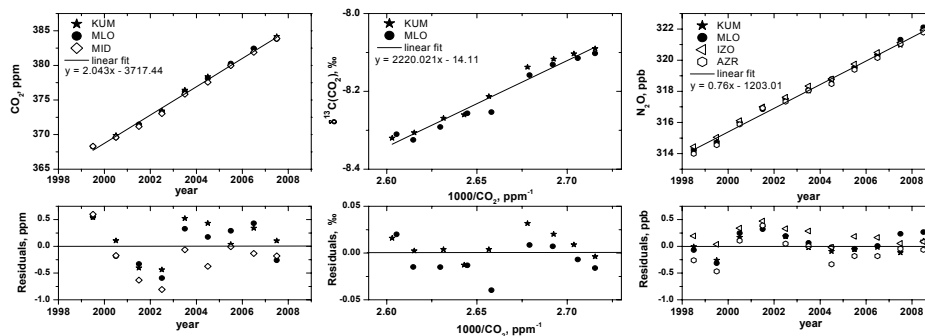


Fig. 3. Linear fits through annual means of CO₂, $\delta^{13}\text{C}(\text{CO}_2)$ and N₂O for selected/background NOAA stations, see Table 1. Residuals are shown in lowermost panels. To demonstrate the consistency between $\delta^{13}\text{C}(\text{CO}_2)$ increase rate with the CO₂ increase, $\delta^{13}\text{C}(\text{CO}_2)$ is plotted vs. $1/\text{CO}_2$, see text.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

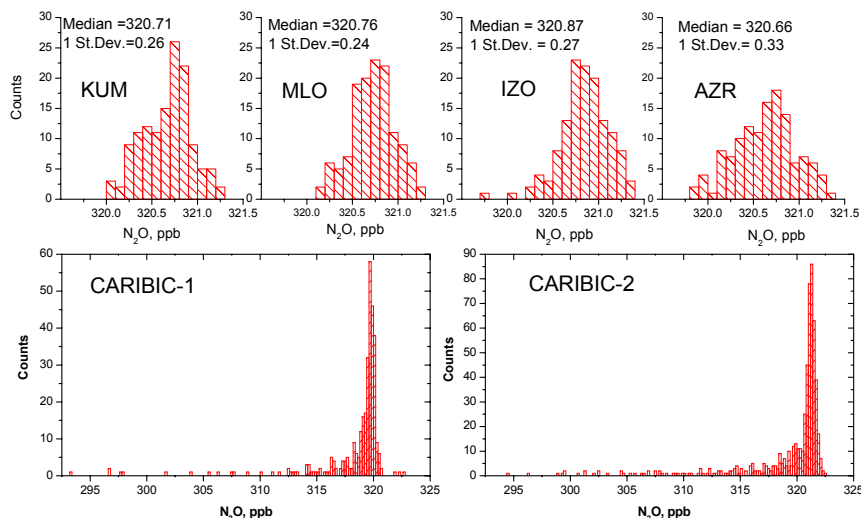


Fig. 4. Upper panel: Distribution of de-trended, monthly mean N₂O data for NOAA stations in the NH tropics, 1998 to 2008. The distributions are slightly skewed presumably due to deviations of N₂O increase in 1999 and 2001 from the linear trend (see Fig. 3). Lower panel: Distribution of de-trended N₂O data for CARIBIC-1 and CARIBIC-2. Majority of samples represent FT and UT air masses and have N₂O above 320.0 ppb; the tailing to lower N₂O values is due to the contribution of LMS air masses.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

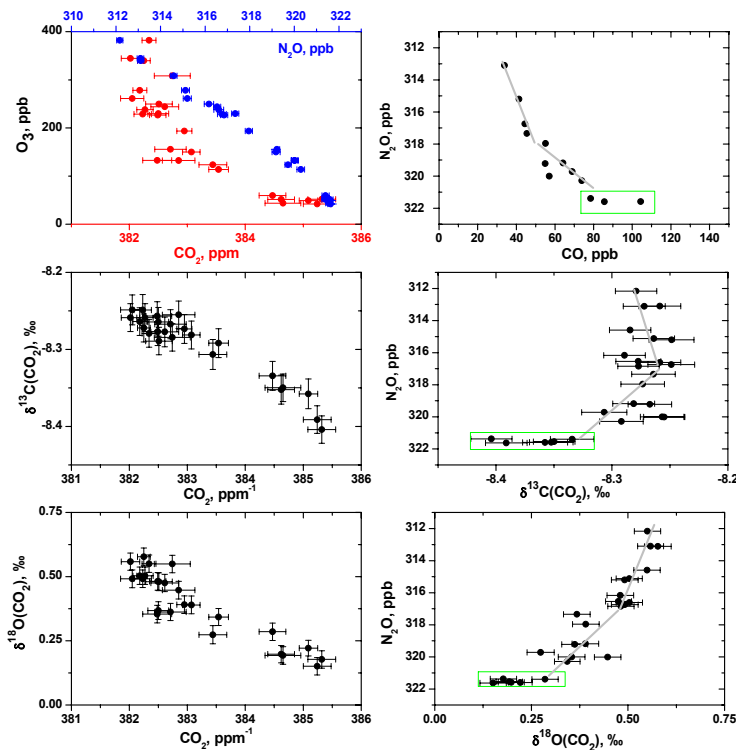


Fig. 5. Example flight Frankfurt-Denver-Frankfurt (17–18 December 2007, latitudes 41 to 63° N) crossing the tropopause and demonstrating anti-correlations between O₃, CO and GHG data. The CO₂ isotope data vs. N₂O demonstrate the same L-shape mixing plot (due to UT/LMS mixing) as typically recorded by O₃ and CO. The CO₂ isotope data plotted vs. CO₂ give linear mixing trends. The correlation between isotope data and stratospheric tracers (N₂O and O₃) is observed for majority of flights that crossed the UT/LMS mixing. Data in the green boxes show UT samples.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

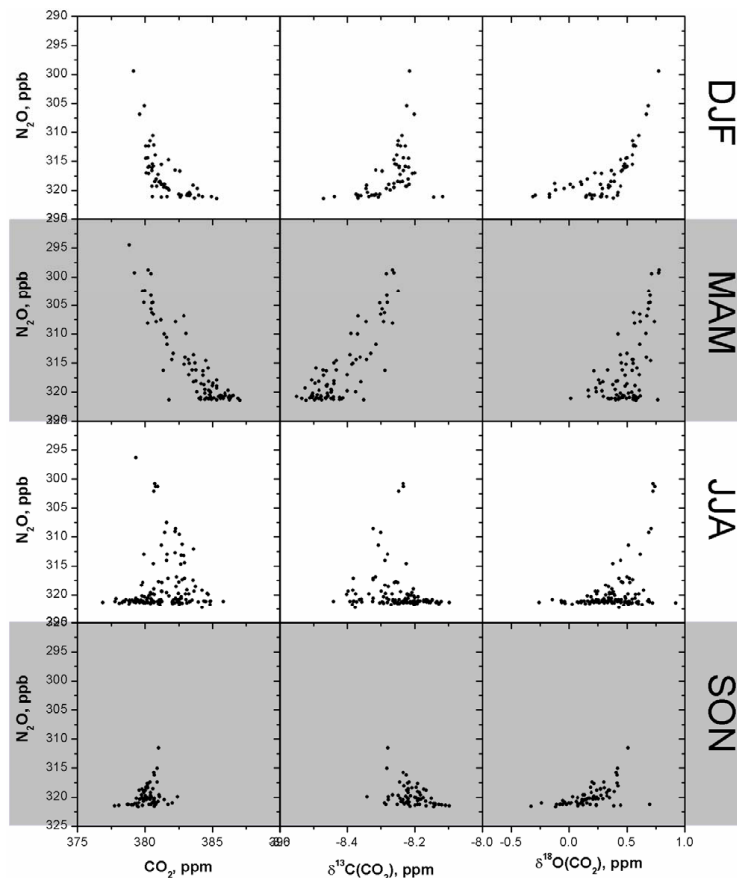


Fig. 6. CARIBIC-2 CO₂ isotope data separated in 4 different seasons and plotted versus the stratospheric tracer N₂O. Plotted are latitudes above 35° N where UT/LMS mixing takes place. Seasonal variability of UT/LMS trends observed is governed mostly by variability in the UT air.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

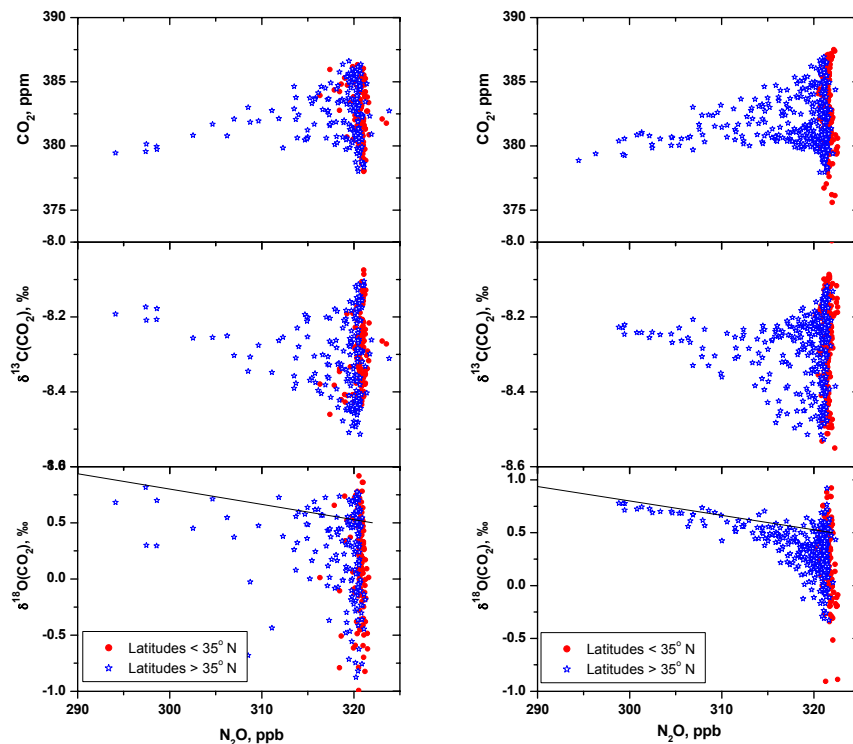


Fig. 7. The UT/LMS mixing trends for CARIBIC-1 (left) and CARIBIC-2 (right). For CARIBIC-2 the trends are visible both in CO₂, $\delta^{13}\text{C}(\text{CO}_2)$ and in $\delta^{18}\text{O}(\text{CO}_2)$. The CARIBIC-1 $\delta^{18}\text{O}(\text{CO}_2)$ data are qualitative only. UT/LMS mixing trends form triangle-shaped areas; this mixing affects latitudes above 35° N only. The trend line for $\delta^{18}\text{O}(\text{CO}_2)$ is evaluated based on the data for stratospheric CO₂, see text.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

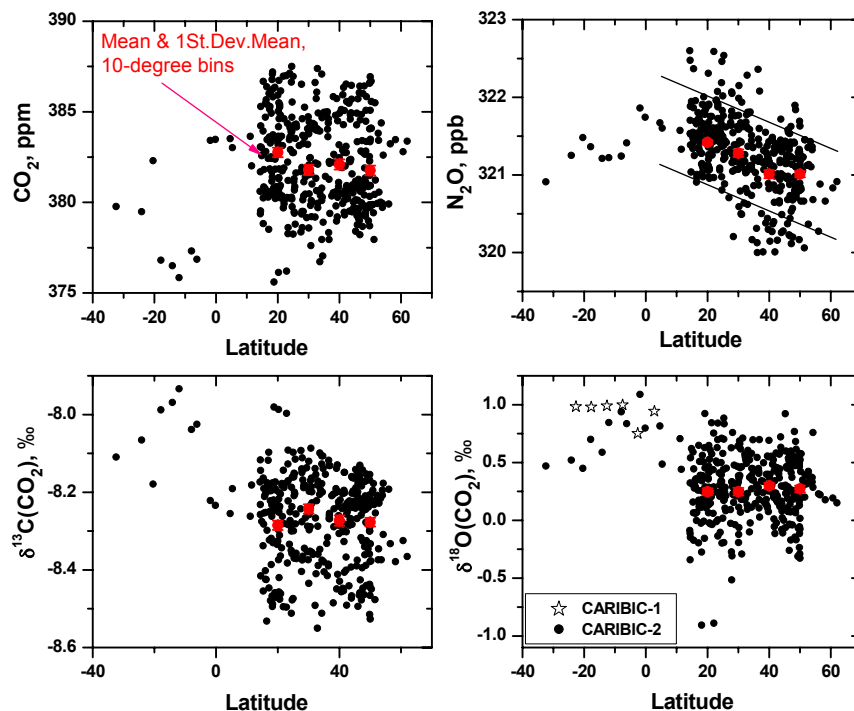


Fig. 8. Latitudinal distribution of CO₂, N₂O and isotope data for CARIBIC-2, flights of April 2007 to March 2009 (de-trended data). Only UT and FT samples of CARIBIC-2 (separated by N₂O > 320.0 ppb) and $\delta^{18}\text{O}(\text{CO}_2)$ values of CARIBIC-1 in the SH are shown. Shown in red are mean values in 10-degree bands, the error bars (close to symbol size) correspond to 1 St. Error of the Mean.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

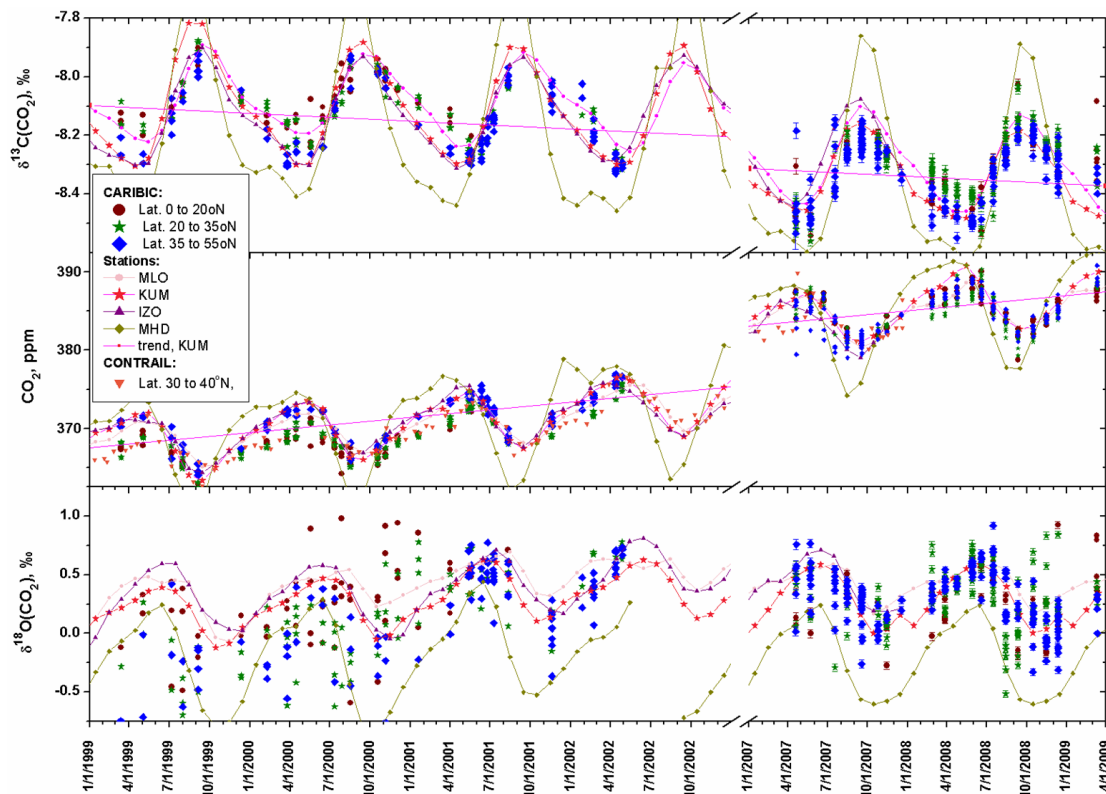


Fig. 9. CO₂, δ¹³C(CO₂) and δ¹⁸O(CO₂) data of CARIBIC-1 and CARIBIC-2 as time series together with data for the stations (MLO, KUM, IZO and MHD, GLOBALVIEW-CO₂, 2009; GLOBALVIEW-CO₂C13) and CONTRAIL (latitudes 30 to 40° N, CONTRAIL, 2009). The linear trend lines are given for KUM. (For allowing a comparison, station isotope data are extrapolated from 01/01/2008 to 04/01/2009 as based on the data for 2008 and the annual increase rates, Table 2).

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

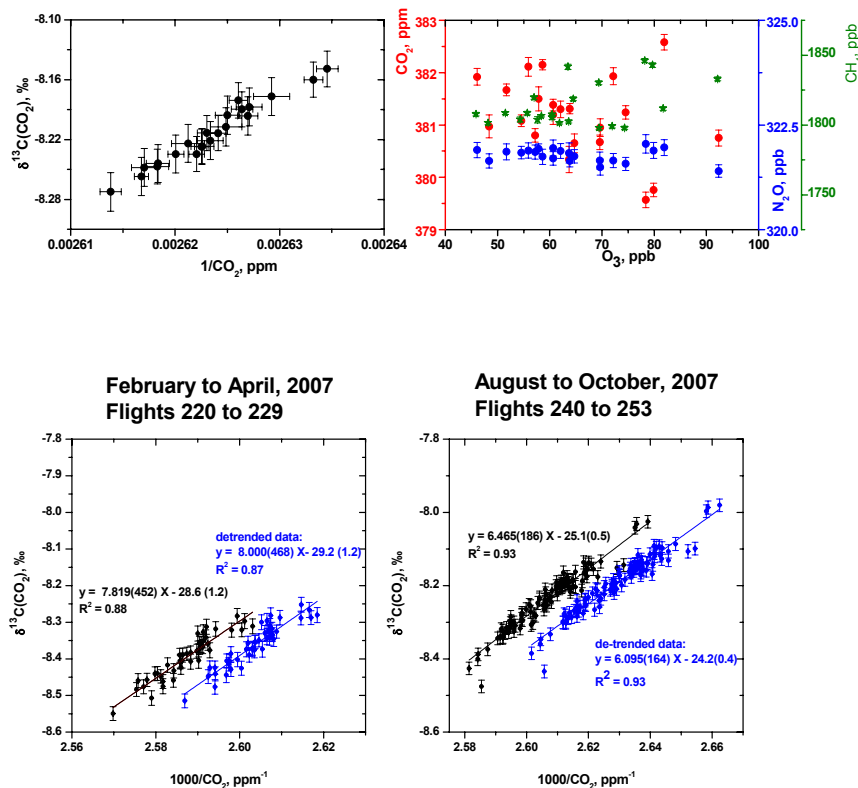


Fig. 10. Upper panel: For a single flight (Frankfurt-Toronto-Frankfurt, September 2007, latitudes 44 to 54° N) an excellent correlation with an extremely narrow range of $\delta^{13}\text{C}(\text{CO}_2)$ values was observed for UT air masses. The correlation exists despite of different air masses, as demonstrated by the variability in methane. Right, GHG data for the same flight plotted vs. O₃ demonstrate stable levels of N₂O and variable methane. Lower panel: CARIBIC-2 data, Keeling plots of $\delta^{13}\text{C}(\text{CO}_2)$ for different seasons. De-trended data (in blue) demonstrate a bit different slopes.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

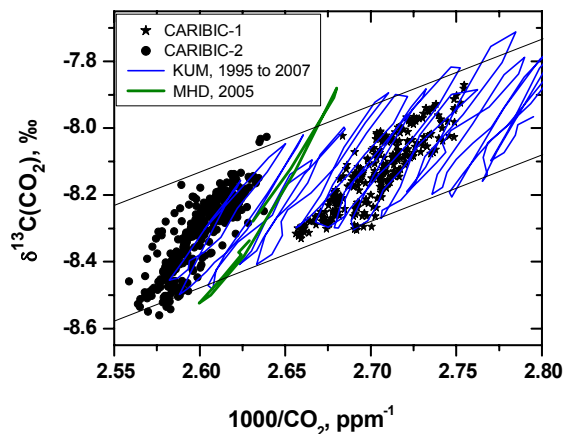


Fig. 11. Keeling plot for CARIBIC-1 and CARIBIC-2 (data not de-trended) including KUM (years 1993 to 2007) and MHD (year 2005). The two enveloping lines correspond to the slope of the KUM inter-annual trend, and give upper and lower limits of the variability. CARIBIC data agree well with the range demonstrated by the tropical station. Some CARIBIC-2 data points above the indicated range are probably affected by SH air (higher $\delta^{13}\text{C}(\text{CO}_2)$) and/or by photosynthesis uptake (also higher $\delta^{13}\text{C}(\text{CO}_2)$). The shift between CARIBIC-1 and CARIBIC-2 corresponds to the global CO₂ increase.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

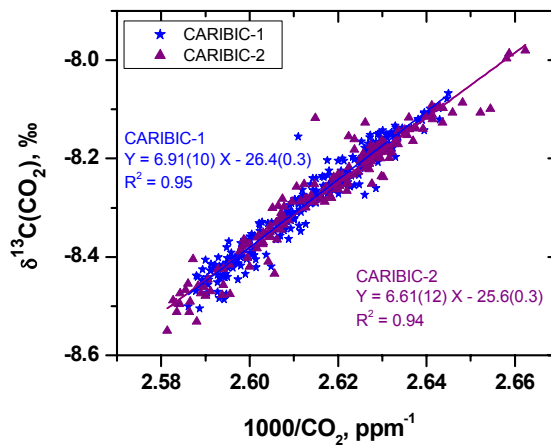


Fig. 12. Keeling plots for $\delta^{13}\text{C}(\text{CO}_2)$ for de-trended CARIBIC-1 and CARIBIC-2 data showing close agreement (all seasons, data de-trended to 1/01/2007).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

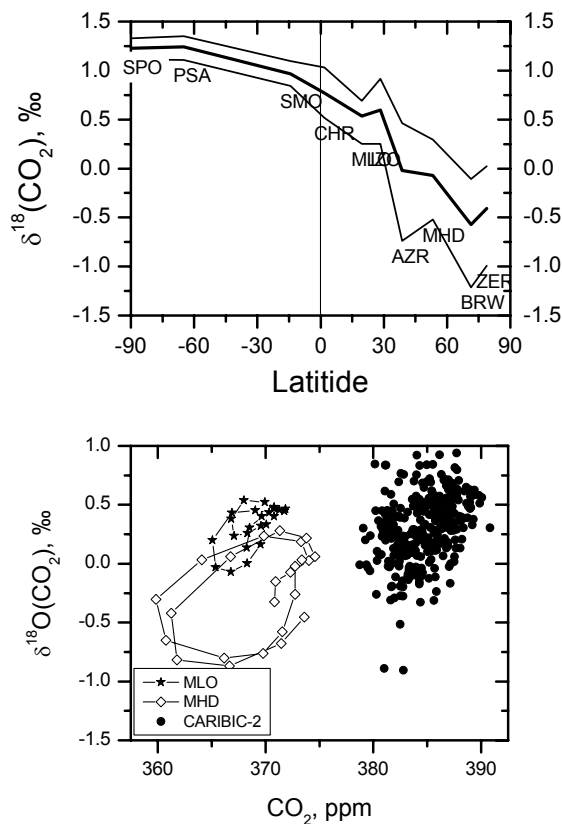


Fig. 13. Upper panel: Global latitudinal gradient of the $\delta^{18}\text{O}(\text{CO}_2)$ seasonal cycle, data for NOAA stations (GLOBALVIEW-CO2C13). Mean values and the amplitudes, averaged for years 2003 to 2005. Lower panel: The $\delta^{18}\text{O}(\text{CO}_2)$ seasonal cycle at Mauna Low and Mace Head, years 1999–2000. The cycle direction is anti-clock wise. CARIBIC-2 data: UT and FT air, latitudes $> 14^\circ \text{N}$.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Analyses of CO₂ isotope data for tropical troposphere and UT/LMS

S. S. Assonov et al.

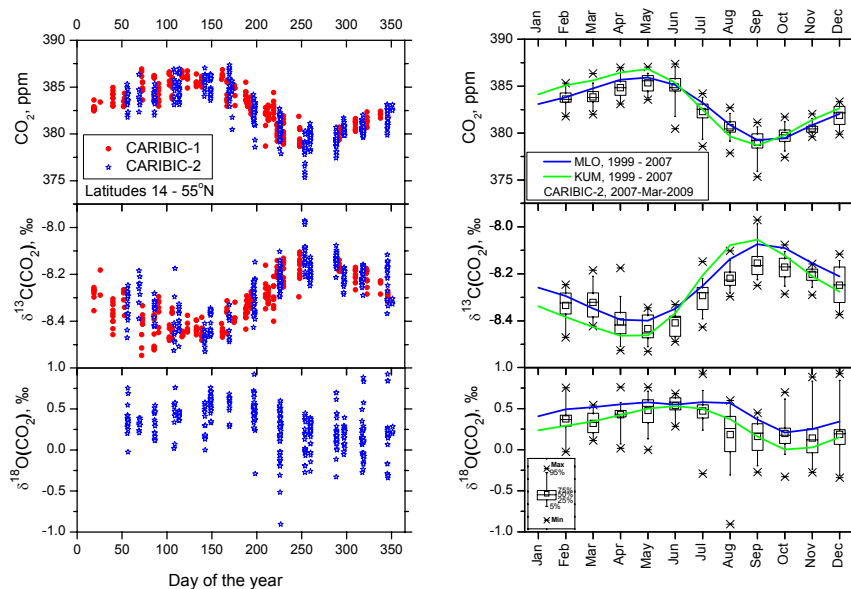


Fig. 14. Left: Seasonality for FT and UT air masses detected by CO₂ isotopes; all flights. Data de-trended to 1/1/2007. Right: FT and UT CARIBIC-2 data (box-plot) and the 1999 to 2007 averaged monthly mean data for KUM and MLO. Plumes evidenced by very high water and high CO are filtered out ($\text{H}_2\text{O} > 2000$ ppm and $\text{CO} > 125$ ppb).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion