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**Inter-comparison of  
source  
apportionment  
models**

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# Inter-comparison of source apportionment models for the estimation of wood burning aerosols during wintertime in an Alpine city (Grenoble, France)

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## Abstract

The emission of organic aerosols (OA) in the ambient air by residential wood burning is nowadays a subject of great scientific concern and a growing number of studies aim at apportioning the influence of such emissions on urban air quality. In the present study, results obtained using two commonly-used source apportionment models, i.e., Chemical Mass Balance (CMB, performed with off-line filter measurements) and Positive Matrix Factorization (PMF, applied to aerosol mass spectrometer measurements), as well as using the recently-proposed aethalometer model (based on the measurement of the aerosol light absorption at different wavelengths) are inter-compared. This work is performed using field data obtained during the winter season (14 to 30 January 2009) at an urban background site of a French Alpine city (Grenoble). Converging results from the different models indicate a major contribution of wood burning organic aerosols ( $OM_{wb}$ ) to the organic fraction, with mean  $OM_{wb}$  contributions to total OA of about 67%, 60% and 38% for the CMB, the aethalometer and the AMS-PMF models, respectively. Quantitative discrepancies might notably be due to the overestimation of  $OM_{wb}$  calculated by the CMB due to the loss of semi-volatile compounds from sources to receptor site, as well as to the accounting of oxidized primary wood burning organic ( $OPOA_{wb}$ ) aerosols within the Oxygenated Organic Aerosol (OOA) PMF-factor. This OOA factor accounts on average for about 50% of total OM, while non-combustion sources contribute to about 25% and 28% of total OM according to the CMB and aethalometer models, respectively. Each model suggests a mean contribution of fossil fuel emissions to total OM of about 10%. A good agreement is also obtained for the source apportionment of elemental carbon (EC) by both the CMB and aethalometer models, with fossil fuel emissions representing on average more than 80% of total EC.

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## 1 Introduction

Biomass burning is known to emit high amounts of organic aerosols (OA) particularly rich in carcinogenetic compounds, such as polycyclic aromatic hydrocarbons (Lewtas et al., 2007). It also represents a significant source of light-absorbing carbonaceous aerosols, influencing the aerosol radiative forcing as well as the atmospheric photochemistry (Andreae and Gelencsér, 2006). Nevertheless, up to now, public policies dedicated to the reduction of air pollutant emissions mainly concern industrial activities, power plants and transportation, whereas residential wood burning has received little attention in terms of regulation. Furthermore, the use of wood burning for heating purpose is often considered by policy makers as an interesting source of renewable energy. Therefore, with a concomitant decrease of traffic and industrial emissions, an increased impact of residential wood burning emissions on air pollution can be expected, at least in wealthiest countries. For these reasons, a growing number of scientific studies have recently focused on the apportionment of residential wood burning aerosols in the ambient air of industrialized countries (e.g., Zheng et al., 2002; Kingham et al., 2008; Jeong et al., 2008). For Europe, Puxbaum et al. (2007) reported high contributions of biomass burning aerosols to the organic aerosol fraction during the winter season at various remote sites, which could be mainly attributed to residential wood burning. Significant wood burning emissions were moreover observed in Scandinavian rural sites, in Alpine valleys, as well as in Central Europe rural sites (Ricard et al., 2002; Aymoz et al., 2007; Sandradewi et al., 2008a; Caseiro et al., 2009; Lanz et al., 2009). For urban environments, Zdráhal et al. (2002) estimated that wood burning emissions accounted for ~35% of organic carbon (OC) in Ghent, Belgium, during a winter episode. Caseiro et al. (2009), Yttri et al. (2009) and Szidat et al. (2006) also reported high contributions of wood burning organics to OC in Vienna (Austria), Oslo (Norway) and Zürich (Switzerland), i.e., ~20%, ~30% and ~40%, respectively for winter measurements at urban background sites. Finally, Favez et al. (2009) suggested that residential wood burning emissions account for about  $20 \pm 10\%$  of total  $PM_{2.5}$  in

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such a large city as Paris, France, during wintertime. This non-exhaustive list of studies demonstrates the significant role played by wood burning emissions on air pollution in Europe.

However, most of these studies also pointed out the difficulty to precisely apportion wood burning aerosols in ambient air, as there is currently no standard method to achieve this goal. High amounts of soluble potassium, of organic markers and of humic-like substances (HULIS), as well as high organic carbon to elemental carbon ratio (OC/EC) and high water-soluble organic carbon to total organic carbon ratio (WSOC/OC), have been commonly used to evidence biomass burning emissions. In a more quantitative way, levoglucosan and radiocarbon measurements have often been used to estimate wood burning organic carbon (e.g., Puxbaum et al., 2007; Szidat et al., 2006, respectively). Source apportionment models at receptor sites are also more and more used in atmospheric science (Viana et al., 2008). Compared to monotracer methods, these models should exhibit higher confidence levels since the apportionment of a particular source is validated by the model ability to describe the total aerosol mass as a linear combination of several identified sources. These source apportionment models are mainly composed of two groups: (i) chemical mass balances (CMB), using molecular markers of a-priori-known sources to apportion the total mass (Schauer et al., 1996), and (ii) multiple factor analyses (FA), distributing the total mass among several sources, the number and the nature of which are determined a posteriori (Paatero and Tapper, 1994). While CMB models are widely used with off-line measurements, considerable efforts have been recently made to apply FA to on-line aerosol mass spectrometer (AMS) measurements of the organic fraction (Zhang et al., 2005; Lanz et al., 2007, 2008). In particular, a custom software tool has been developed to analyse AMS organic matrices by means of positive matrix factorizations (PMF) (Ulbrich et al., 2009). Considering the rapid enlargement of the AMS user community, this tool is undoubtedly going to be more and more used in coming years. Finally, an additional model based on the real-time measurement of the aerosol light absorption at several wavelengths has been recently proposed to apportion biomass

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burning carbonaceous aerosols in ambient air (Sandradewi et al., 2008b). This model, referred here as the aethalometer model (as it has been used only with this instrument yet), might also become very popular since it is globally less time-consuming and less expensive than CMB- and FA-based models (Sandradewi et al., 2008c).

5 In this study, we investigate the chemical composition of the fine aerosol fraction in an Alpine city (Grenoble, France) during the winter season and apply each of these three source apportionment models (CMB, PMF, and aethalometer model) to our dataset in order to evaluate the contributions of the different OA sources and to compare outputs of these models. Results of this inter-comparison exercise are presented and  
10 discussed below, with a specific emphasis on wood burning aerosols which revealed to be the predominant fraction of OA. Such an inter-comparison is particularly interesting in the current context, revisiting the traditional definitions of primary and secondary organic aerosol (Donahue et al. 2009 and references therein). It is indeed worth mentioning here that the AMS-PMF and Aethelometer models consider the whole chemical  
15 state of ambient OA, while the CMB approach is based only on a small fraction of the OA mass and implicitly considers the conservation of organic markers-to-OC ratios from sources to receptor site. In other words the CMB approach is blind to chemical transformations of (semi-volatile) primary organic aerosols (POA) and apportions the whole mass of carbon emitted by primary sources whatever the chemical state of POA  
20 (unreacted or reacted). Meanwhile, secondary organic aerosols (SOA) are treated as an increase of the carbon mass and thus correspond to the traditional definition of SOA. For AMS-PMF approach, chemical aging of POA is taken into consideration and source apportionment of primary sources refers very likely to the unreacted fraction of POA (Donahue et al., 2009).

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## 2 Experimental

### 2.1 Sampling site, sampling strategy and meteorological conditions

Results presented here were obtained from 14 to 29 January 2009 at an urban station (“Les Frênes”) of the local air quality monitoring network, considered as representative of Grenoble background air pollution (<http://www.atmo-rhonealpes.org>). Greater Grenoble, comprising more than half a million inhabitants, is by far the most densely populated urban area of the French Alps. Closely surrounded by three mountainous massifs, this urban area is lying at about 220 m a.s.l. (above sea level). Besides traffic and residential emissions, main industrial activities responsible for pollutant emissions include a cement and two power plants, notably making use of trash-wood.

PM<sub>2.5</sub> aerosols were collected on 150 mm diameter pre-heated quartz fiber filters (Whatman QMA) using high volume samplers (HiVol, Digital DA80 model) at a flow rate of 30 m<sup>3</sup> h<sup>-1</sup>. Aerosols were also collected on pre-heated 25 mm diameter quartz fiber filters (Whatman QMA) using a Dekati 13-stage low pressure cascade impactor (LPI) at a flow rate of 30 l min<sup>-1</sup>. These aerosol samples were collected on a 12 h timescale for HiVol filters (06:00 to 18:00 UT, and 18:00 to 06:00 UT, total number of 31 samples) and on a 24 h timescale (6:00 to 6:00 UT, total number of 13 samples) for LPI filters. The chemical composition of fine aerosols was also investigated every 3 min using an aerosol mass spectrometer (AMS, Aerodyne). This instrument allows real-time measurement of non-refractory components (NR) of the PM<sub>1</sub> aerosol fraction using flash vaporization under high vacuum, electron impact ionization, and mass spectrometry. Black carbon (BC) concentrations and aerosol absorption coefficients ( $b_{\text{abs}}$ ) were obtained every 5 min basis using a 7-wavelength aethalometer (Magee Scientific) equipped with a PM<sub>2.5</sub> cut-off inlet. The aerosol size distribution was furthermore investigated using a Scanning Mobility Particle Sizer system (SMPS, L-DMA and CPC5403, GRIMM). Finally, 15 min-averaged NO<sub>x</sub> and PM<sub>2.5</sub> concentrations were also measured with the standard equipment of the air quality monitoring network, including

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a Tapered Element Oscillating Microbalance equipped with a Filter Dynamic Measurement System (TEOM-FDMS) for PM<sub>2.5</sub>.

During the campaign, hourly mean temperature ranged from  $-7^{\circ}\text{C}$  to  $+14^{\circ}\text{C}$  (mean value of  $4\pm 4^{\circ}\text{C}$ ). Wind speeds were generally below  $2\text{ m s}^{-1}$ , except during some low pressure system episodes (with duration on the order of 24 h) with higher wind speeds and precipitations. These meteorological conditions are representative of those prevailing during the winter season in Grenoble, and more generally in Alpine valleys. Due to low wind speeds and to the local geography, aerosols investigated here were assumed to be mainly emitted and transformed on a local scale.

## 2.2 Off-line chemical analyses

The carbonaceous content of HiVol and LPI samples were analyzed for EC and OC using a Thermo-Optical Transmission (TOT) method on a Sunset Lab analyzer (Birch and Cary, 1996; Aymoz et al., 2007). We used the newly developed EUSAAR2 temperature program proposed by Cavalli et al. (2009). Briefly, it includes temperature from 200 to  $650^{\circ}\text{C}$  for the analysis of OC in 100% He, and from 500 to  $700^{\circ}\text{C}$  for the analysis of EC in 98% He+2% O<sub>2</sub>. The NIOSH protocol (NIOSH, 1996) has also been used for CMB modelling purpose since most of the source profiles available in the literature were acquired according to this protocol.

Organic markers were quantified using gas chromatography mass spectrometry (GC/MS), following the method used by El Haddad et al. (2009). Briefly, filter samples were extracted with a dichloromethane/acetone mix and reduced to a volume of 500  $\mu\text{l}$ . The remaining volumes were split into two fractions. The first fraction was directly injected for the quantification of linear alkanes, polyaromatic hydrocarbons (PAHs) and hopanes. The second fraction (50  $\mu\text{l}$ ) was derivatized for 2 h at  $70^{\circ}\text{C}$  before GC-MS analysis, allowing the silylation of hydroxyl groups and the quantification of levoglucosan and others polar organic markers (e.g. methoxyphenols, cholesterol, saturated and insaturated carboxylic acids). The two fractions were analysed under the same GC-MS conditions, using a Thermo Trace GC 2000 gas chromatograph coupled to

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a Polaris Q ion trap mass spectrometer operating in the electron impact mode. GC-MS response factors were determined using authentic standards or compounds with analogous chemical structures (see Table 1). GC-MS measurements are only available for samples collected from 18 January onwards, representing a total number of 24 data points.

Levoglucosan concentrations were also measured using liquid chromatography – electrospray ionisation – tandem mass spectrometry (LC-ESI-MS<sup>2</sup>), as described in Piot et al. (2010). Briefly, prior to analyses, filter samples were extracted into 15 ml of ultrapure water by 30 min short vortex agitation, and then filtered using Acrodisc filters with a porosity of 0.2 µm (Pall, Gelmann). Liquid chromatography was carried out using a Carpac PA–1 anion-exchange analytical column (250 mm×4 mm, Dionex) coupled with a Carpac PA–1 guard column (50 mm×4 mm, Dionex) with 0.5 mM sodium hydroxide eluant (prepared from a 50% (w/w) NaOH solution (J.T. Baker)). The analytical detector was an atmospheric pressure ionisation 3-D quadrupole ion trap mass spectrometer (LCQ Fleet MS, Thermo Fisher Scientific) in negative ion mode. The same extracts were also used for the analysis of major ionic species (including NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup> and NH<sub>4</sub><sup>+</sup>) using ion chromatography, and for the analysis of water-soluble organic carbon (WSOC) using a total organic carbon analyzer, as described in Jaffrezo et al. (1998) and in Jaffrezo et al. (2005), respectively. These measurements are available for all HiVol filter samples. The inter-comparison of levoglucosan concentrations obtained by the two different techniques (i.e., LC-ESI-MS<sup>2</sup> and GC-MS) shows a very good consistency (slope=0.97, *r*<sup>2</sup>=0.91).

### 2.3 Aethalometer measurements

Aerosol absorption coefficients (*b*<sub>abs</sub>) were obtained every 5 min at seven different wavelengths (370, 470, 520, 590, 660, 880 and 950 nm) using a Magee Scientific aethalometer (model AE-31) equipped with a PM<sub>2.5</sub> cut-off inlet. This instrument was

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operating at a flow rate of  $5 \text{ l min}^{-1}$  in an automated mode, under which the filter tape advances when the attenuation at 370 nm reaches 75.

Due to the methodology used within the aethalometer (filter-based measurement), absorption coefficients directly obtained from this instrument are affected by various sampling and analytical artefacts (mostly referred as multiple scattering and shadowing effects) which need to be carefully corrected (Weingartner et al., 2003; Arnott et al., 2005). In the present work, the correction procedure introduced by Weingartner et al. (2003) was applied to our dataset as follows:

$$b_{\text{abs},\lambda,t} = \frac{b_{\text{aeth},\lambda,t}}{2.14 \times R(\text{ATN})_{\lambda,t}} \quad (1)$$

where, at a given time ( $t$ ) and a given wavelength ( $\lambda$ ),  $b_{\text{abs},\lambda,t}$  and  $b_{\text{aeth},\lambda,t}$  correspond to the corrected absorption coefficient and the raw absorption coefficient, respectively. The constant 2.14 stands for multiple scattering of the light beam at the filter fibres in the unloaded filter. Finally,  $R(\text{ATN})_{\lambda,t}$  describes the decrease of the latter artefact with the gradual accumulation of particles on/in the filter (i.e., correction of the shadowing effect).  $R(\text{ATN})_{\lambda,t}$  was determined following the equation:

$$R(\text{ATN})_{\lambda,t} = \left( \frac{1}{f_\lambda} - 1 \right) \times \frac{\ln(\text{ATN}_{\lambda,t}) - \ln(10)}{\ln(50) - \ln(10)} + 1 \quad (2)$$

where  $\text{ATN}_{\lambda,t}$  corresponds to the light attenuation (i.e.,  $\{100 \times (\ln(I_0/I))\}$ ) measured by the aethalometer at a given time and a given wavelength, and  $f_\lambda$  allows for the correction of the instrumental error that occurs when the shadowing effect is disregarded.

Based on Yang et al. (2009), the latter parameter was determined by minimizing the difference between the ratio of absorption coefficients and the ratio of aerosol mass concentrations ( $\text{PM}_{2.5}$  obtained from TEOM-FDMS measurements) before and after filter tape advancements. The overall uncertainty of absorption coefficients calculated this way is on the order of 20%. Nevertheless, this uncertainty is expected to affect

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measurements at each wavelength in a relatively similar way, so that a highest confidence level is assumed for the spectral shape of light absorption.

In this study, BC mass loadings were not directly obtained from the aethalometer instrument. Alternatively,  $b_{\text{abs},950\text{ nm}}$  were computed as a function of EC loadings measured on HiVol filters, and a specific Mass Absorption Efficiency (MAE) of  $4.8 \pm 0.9 \text{ m}^2 \text{ g}^{-1}$  was obtained from this linear regression ( $r^2=0.94$ ). This value is slightly higher than that recommended by Bond and Bergstrom (2006) at this wavelength for fresh soot ( $\sim 4.3 \pm 0.6 \text{ m}^2 \text{ g}^{-1}$ ). This could be attributed to a possible encapsulation of soot particle by organic/inorganic compounds and to the presence of BC from wood burning emissions ( $\text{BC}_{\text{wb}}$ ), both leading to an increase of BC mass absorption efficiency (Liousse et al., 1993; Bond and Bergstrom, 2006; Lack et al., 2008). This MAE value was then used to estimate BC loadings every 5 min from the  $b_{\text{abs},950\text{ nm}}$  dataset. Finally, submicron BC concentrations ( $\text{BC}_{\text{PM}_1}$ ) were estimated based on results obtained from LPI measurements, and represent  $90 \pm 4\%$  of  $\text{BC}_{\text{PM}_{2.5}}$ .

## 2.4 AMS measurements

A time-of-light (c-TOF, Tofwerk) Aerodyne Aerosol Mass Spectrometer (AMS) was employed during the campaign. The methodology used within c-ToF AMS is fully described by Drewnick et al. (2005). Briefly, aerosol particles are sampled through a critical orifice (diameter  $100 \mu\text{m}$ ) that maintains a sample flow of about  $80 \text{ cm}^3 \text{ min}^{-1}$ . They are then focused by an aerodynamic lens assembly as a narrow beam into a vacuum chamber. A mechanical chopper successively allows all particles (beam open), no particle (beam closed) and a packet of particles (beam chopped) to pass this chamber, where aerosols are accelerated according to their vacuum aerodynamic diameter ( $D_{\text{va}}$ ). Just before the detection region, non-refractory (NR) components are flash-vaporized on a hot surface ( $\sim 600^\circ\text{C}$ ) and ionized by electron impact (70 eV). Resultant positively charged ions are then guided into the time-of-light mass spectrometer, allowing unit mass resolution on a 3 min timescale. Due to the geometry of the inlet, only submicron aerosols ( $\text{NR-PM}_1$ ) can be accurately analysed.

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Results presented here were primarily obtained using the mass spectrum (MS) mode. In this mode, the chopper alternates between beam open and closed positions while the mass spectrometer scans across  $m/z$  4 to 301. The time series of organic/inorganic species (i.e., organic matter, ammonium, sulphate, nitrate and chloride) were determined from total mass spectra by application of the “fragmentation table” introduced by Allan et al. (2004) and using the Squirrel analysis software (v1.43). This methodology allows evaluating the contribution of different species to  $m/z$  signal based on laboratory-derived fragmentation ratios of the pure species and knowledge of isotopic ratios of the various atoms.

The NR-PM<sub>1</sub> components were quantified using collection efficiencies (CE) of 0.5 typical for dry particles (Matthew et al., 2008), as the relative humidity in the inlet line was always below 40%. The ionization efficiency (IE) of nitrate was determined using pure ammonium nitrate particles, while for all other species relative ion efficiencies (RIE) proposed from previous laboratory studies (Allan et al., 2004 and references therein) were used (i.e., 1.1 for NO<sub>3</sub><sup>-</sup>, 1.2 for SO<sub>4</sub><sup>2-</sup>, 4.0 for NH<sub>4</sub><sup>+</sup>, 1.3 for Cl<sup>-</sup>, and 1.4 for OM).

The accuracy of these calculations is strengthened by the good correlation obtained for the scatterplot of {NR-PM<sub>1</sub>+BC<sub>PM<sub>1</sub></sub>} versus SMPS total volume measurements. For this inter-comparison exercise, total PM<sub>1</sub> concentrations are assumed to correspond to the sum of NR-PM<sub>1</sub> components measured by the AMS and of BC<sub>PM<sub>1</sub></sub> obtained from the aethalometer. These mass concentrations are then compared to volume concentrations obtained from SMPS measurements for the same size range. A satisfactory correlation is obtained between both datasets ( $r^2=0.91$ , for 15 min average data). Moreover, the slope of this linear regression (i.e., 1.43) is only slightly lower than the mean aerosol density estimated ( $\rho_{\text{est}}=1.50\pm 0.05\text{ g cm}^{-3}$ ) for this campaign using AMS/aethalometer measurements and specific densities of  $\sim 1.2$  for organic species,  $\sim 1.7$  for ammonium nitrate, and  $\sim 1.8$  for ammonium sulphate and BC (based on Turpin and Lim, 2001; Park et al., 2004).

### 3 Aerosol chemical composition

Figure 1 represents time series of main submicron aerosol components, i.e., OM, nitrate, sulphate, ammonium (obtained from AMS measurements) and  $BC_{PM_1}$ . Hourly-mean  $PM_1$  loadings, estimated as the sum of these compounds, vary from  $\sim 1 \mu\text{g m}^{-3}$ , during low pressure systems associated with rain, to  $\sim 40 \mu\text{g m}^{-3}$  during typical winter-time thermal inversions. Independently on these meteorological conditions, submicron particles are mainly composed of carbonaceous aerosols, with OM and BC representing on average 47% and 16%, respectively of the sum  $\{BC+OM+NO_3^-+SO_4^{2-}+NH_4^+\}$ . Among inorganic aerosols, ammonium nitrate largely dominates over ammonium sulphate, as indicated by the mean  $NO_3^-/SO_4^{2-}$  ratio of about 4. Similar results are obtained from the analyses of HiVol filters for the  $PM_{2.5}$  aerosol fraction (Fig. 1).

Results from LPI samplings indicate that about 75% of  $OC_{PM_{10}}$  – and about 82% of  $OC_{PM_{2.5}}$  – are comprised in the  $PM_1$  fraction (Fig. 2). It should be noted that this OC size distribution was strikingly constant all along the campaign (standard deviation of  $\pm 3\%$ , for 24 h samplings). Furthermore, a mean OC-to-OM conversion factor of  $1.78 \pm 0.17$  is obtained from the comparison between  $PM_1$  AMS and LPI measurements ( $r^2=0.90$ ). This value suggests relatively high contributions of highly oxidized aerosols, as previous studies reported OC-to-OM conversion factors ranging from 1.2–1.4 for hydrogenated organic aerosols to 2.0–2.2 for oxygenated organic aerosols (e.g., Turpin and Lim, 2001; Aiken et al., 2008). An OC-to-OM conversion factor of  $\sim 1.8$  is furthermore in good agreement with the mean WSOC/OC ratio of  $62 \pm 9\%$  obtained from the analysis of HiVol filters (data not shown). The importance of submicron (water-soluble) oxidized organic aerosols observed here might be mainly attributed to secondary processes and/or biomass burning emissions.

A significant contribution of the latter emissions can be directly evidenced by large levoglucosan concentrations ( $\sim 0.8 \mu\text{g m}^{-3}$  on average) as well as high levoglucosan/OC ratios (median value of 0.09). For biomass burning emissions, levoglucosan-to-OC conversion factors typically range from 5 to 12 (Puxbaum et al., 2007 and

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references therein). Even using a low value of 5, wood burning OC ( $OC_{wb}$ ) accounts for 55% of  $OC_{total}$  on average for the period of study (standard deviation of 12%), strongly suggesting a major contribution of residential wood burning to organic aerosols during this period. Results obtained from the different source apportionment models (i.e., CMB, PMF and the aethalometer model) are presented in the following sections.

## 4 Chemical Mass Balance (CMB)

### 4.1 Methodology

The Chemical Mass Balance (CMB) air quality model is one of the historical receptor based models that have often been applied for air resources management purpose. It uses the chemical characteristics of the aerosol measured at both source and receptor to quantify source contributions to aerosol concentrations encountered at a receptor site. In this approach, the concentration of selected chemical marker  $i$  at receptor site  $k$ ,  $C_{ik}$ , can be expressed as the following linear equation:

$$C_{ik} = \sum_{j=1}^m f_{ijk} a_{ij} S_{jk} \quad (3)$$

where  $m$  is the total number of emission sources,  $a_{ij}$  is the relative concentration of chemical species  $i$  in fine OC emitted from source  $j$ ,  $S_{jk}$  is the increment to total OC concentration at receptor site  $k$  originating from source  $j$  and  $f_{ijk}$  is the coefficient of fractionation that represents the modification of  $a_{ij}$  during transport from source  $j$  to receptor  $k$ .

The fractionation coefficient  $f_{ijk}$  accounts for both selective loss of constituent  $i$  and modification of primary OC concentrations originated from source  $j$  due to atmospheric processes such as chemical aging or gas-particle partitioning related to the dilution of the emissions. In order to minimize the influence of such processes, key tracers

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considered as non volatile and stable in the atmosphere were used and their fractionation coefficients were set to 1 (Sheesley et al., 2007, Ke et al., 2007). These chemical markers are reported in Table 1. They include levoglucosan as a specific marker for biomass burning, elemental carbon (EC) and three hopanes (i.e.,  $17\alpha(\text{H})$ ,  $21\beta(\text{H})$ -norhopane,  $17\alpha(\text{H})$ ,  $21\beta(\text{H})$ -hopane and 22S,  $17\alpha(\text{H})$ ,  $21\beta(\text{H})$ -homohopane) as key markers for vehicular emissions (Table 1). In addition, a series of C27–C31 *n*-alkanes were selected since this range demonstrates high odd-carbon preference that is specific to biogenic sources. Three PAH (benzo[e]pyrene, indeno[1,2,3-cd]pyrene and benzo[ghi]perylene) were also included in order to delineate the different combustion sources. Although cholesterol and unsaturated acids are generally considered as good markers for meat cooking, high concentrations of these compounds derived from natural and from biomass burning emissions have recently been reported (Nolte et al., 2002; Lee et al., 2005). This is consistent with the fair correlation between cholesterol and levoglucosan observed in this study ( $R^2=0.66$ ). The potential contribution of biogenic sources and biomass burning to these compounds is not well defined, and the use of these compounds as markers for meat cooking would lead to an overestimation of the contribution of this specific source. Consequently, meat cooking was not considered in this particular study. The set of linear combinations generated by Eq. (3) was resolved by means of the US-EPA-CMB8.2 software. In order to provide consistency to these results, statistical performance measures usually used in CMB modelling (i.e., *R*-square (target 0.8–1.0), Chi-square (target 0–4.0), *t*-test (target >2) and the absence of cluster sources) were investigated. Another quality control check was also established with a target value between 0.8 and 1.2 for the calculated-to-measured ratios of two key tracers, i.e., levoglucosan and EC (Table 2).

The source profiles considered in this study are drawn from the most recent and comprehensive reference studies. They include vegetative detritus (Rogge et al., 1993a), natural gas combustion (Rogge et al., 1993a), and French vehicular emissions (El Haddad et al., 2009). Biomass burning emissions have received considerable attention in the last decade and many emission profiles have been established for a lot of

wood species and combustion conditions (e.g., Puxbaum et al., 2007 and references therein). This variability makes the selection of the biomass burning profile a challenging issue. As no quantitative data regarding wood types used in the area under study are currently available, several wood combustion profiles were tested. These profiles are listed in Table 2. The BBAHW profile is based on Fine et al. (2002) and corresponds to an average calculated for hardwood combustion emissions. The BBAR4 and BBAR5 profiles are representative of biomass smoke aerosol in the South eastern US (EPA region 4) and the Midwestern US (EPA region 5), respectively (Sheesley et al., 2007). Finally, BBACO and BBECO correspond to composite profiles calculated for the two most prevalent wood species in the French Alpine valleys (i.e., spruce and beech). These profiles are basically drawn from Fine et al. (2004) and Schmidl et al. (2008), respectively, and have been calculated according to the levoglucosan-to-mannosan ratios encountered during the field campaign. This ratio allows differentiating the two wood types as beech smoke are characterized by rather high levoglucosan-to-mannosan ratios (~15) whereas spruce smoke aerosol exhibits low levoglucosan-to-mannosan ratios (~4) (Fine et al., 2004; Schmidl et al., 2008). A mean levoglucosan-to-mannosan ratio of 10.6 is obtained in this study, suggesting a contribution from beech smoke of 89% in the case of BBACO and of 94% in the case of BBECO.

Sensitivity tests were performed by running the model with each biomass burning profile in combination with the other source profiles.  $OC_{wb}$  obtained considering the five different profiles is then plotted vs. levoglucosan concentrations (Fig. 3). Good correlations are obtained for the BBAHW, BBAR4, BBAR5 and BBACO profiles, suggesting that the levoglucosan-to-OC ratio dominates the biomass burning apportionment. Contrarily, the use of the BBECO profile does not show a clear linear dependence between the measured levoglucosan and the modelled  $OC_{wb}$ , and only 27% of the measured levoglucosan is explained by the model in this case. Moreover, the model greatly overestimates EC (Table 2). For these reasons, the BBECO profile can be excluded. It should be noted that such high discrepancies between the measurements and the outputs of the model primarily arise from the high EC-to-OC ratio in BBECO (~0.4),

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which was not originally obtained using the EC-OC NIOSH thermo-optical method. For BBAHW, BBAR4, BBAR5 and BBACO, levoglucosan and EC amounts are quantitatively explained by the model (see Table 2). Differences in the levoglucosan-to-OC<sub>wb</sub> ratios (Fig. 3) underlie the different slopes observed for the different profiles, and thereby the systematic biases between the different CMB solutions. On the one hand, the use of both the BBAR4 and BBACO profiles lead to physically unrealistic results with OC<sub>wb</sub> often exceeding the total OC. These two profiles can then be excluded. On the other hand, BBAHW and BBAR5 lead to physically realistic and very similar results (Fig. 3), both profiles attributing ~68% of the organic carbon to biomass burning. These two latter profiles can be used almost indifferently in this study. This also seems to confirm the accuracy of the BBAHW profile for modelling wood burning emissions in European regions, as previously suggested by Puxbaum et al. (2007). Results presented below were obtained with this source profile.

## 4.2 Results

In order to compare the CMB results with those obtained using the AMS-PMF and aethalometer models, OM mass related to the different sources was calculated applying an OC-to-OM conversion factor specific for each source, i.e., 1.7 for OC<sub>wb</sub> (based on Puxbaum et al., 2007 and references therein), 1.2 for vehicular emissions and natural gas combustion (based on Aiken et al., 2008; Mohr et al., 2009), and 2.0 for vegetative detritus (based on Kunit and Puxbaum, 1996; Matthias-Maser, 1998). The difference between the total OM, determined by applying an OC-to-OM conversion factor of 1.78 to total OC (see Sect. 3), and the apportioned OM attributed to primary sources represents the “other OM”, often considered as a surrogate for SOA (Sheesley et al., 2007). According to these calculations, an OM-to-OC factor of 2.2 can be inferred for “other OM”, which is consistent with an overwhelmingly secondary origin of this “other OM” fraction (Aiken et al., 2008).

Time series of the ambient OM apportioned by CMB are presented in Fig. 4. Biomass burning appears as the dominant source of OM during the whole period of

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study, accounting on average for 67% of the total mass. Vehicular emissions contribute on average for 6% to total OM. The contributions of vegetative detritus and natural gas combustion emissions are negligible during the field campaign (less than 2%). The “other OM” fraction is of 26% on average. A diurnal trend is observed with higher contributions of biomass burning during the night (~79%) than during the day (~58%). This result can be partly explained by an increase of residential heating during the night. In contrast, vehicular emissions and “other sources” daytime contributions are of 8% and 31%, respectively, whereas their nocturnal contributions are of 6% and 15%, respectively. These diurnal patterns are consistent with higher vehicular traffic and photochemical activity during the day.

Therefore, CMB analysis clearly suggests the predominance of POA with ~75% of the total OM arising from wood burning, traffic and natural gas combustion aerosol. It is important to note that such source apportionment models do often overestimate primary emissions, due to experimental conditions of the source profile measurements (e.g., Donahue et al., 2009 and references therein). Indeed, organic markers-to-OC ratios are generally measured at higher concentrations (e.g. in laboratory studies or in tunnels) than that existing in the real atmosphere. Dilution of primary emissions in the atmosphere modifies the partitioning of primary organics between the gaseous and particulate phases. Dilution process leads to a loss of carbon from the POA and then to an overestimation of POA by CMB modelling. However this loss of carbon can not be consider as a net loss since a fraction of the volatilized primary carbon reacts in the atmosphere and re-condenses in the particulate phase. Thus the question of POA overestimation by CMB mostly depends of the net carbon balance between losses by dilution and efficiency of the oxidation/condensation processes of the volatilized carbonaceous materials.

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## 5 Positive matrix factorization (PMF)

### 5.1 Methodology

Factor analysis has recently been shown to allow extracting information on OA sources from a linear decomposition of AMS organic mass spectra (Zhang et al., 2005) and considerable work has been performed on this issue over the last few years. In particular, a custom software tool, i.e., the PMF evaluation tool (PET) working in Igor Pro (Wavemetrics Inc.), has been developed to run and evaluate PMF2 outputs and related statistics. Results presented here were obtained using this methodology. A complete description of PMF2 and of the latter evaluation tool can be found in Paatero and Tapper (1994), Lanz et al. (2007) and Ulbrich et al. (2009). Briefly, time series of organic mass spectra, arranged as a matrix ( $\mathbf{X}$ ), are factorized into a linear combination of smaller matrices following:

$$x_{i,j} = \sum_p g_{i,p} f_{p,j} + e_{i,j} \quad (4)$$

where  $x_{i,j}$  correspond to the elements of matrix  $\mathbf{X}$ ,  $p$  represents the number of factors in the solution,  $g_{i,p}$  and  $f_{p,j}$  correspond to the element of matrices  $\mathbf{G}$  and  $\mathbf{F}$  representing, respectively time series and mass spectra of each factor, and  $e_{i,j}$  correspond to the elements of matrix  $\mathbf{E}$  of residuals not fitted by the model for each data point. Within PMF2, no a priori information is required about the values in  $\mathbf{G}$  and  $\mathbf{F}$ , which are estimated based on an uncertainty-weighted least-square algorithm. This model makes use of a data matrix (of organic fragments) and an instrumental error matrix, both being usually obtained from the AMS-data-analysis Squirrel software. In this study, the data matrix is composed of 7698 data points (time series) of 246  $m/z$ . These 246  $m/z$  correspond to the different  $m/z$ 's comprised in the range 12–278 and with plausible significant organic fragments. The error matrix calculated in the Squirrel software was modified following the recommendations of Ulbrich et al. (2009) and references therein.

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The first step of the PMF analysis is to determine the number of factors. An example of mass spectra obtained considering 2, 3 and 4 factors are presented in Fig. 5. For the 2-factor solutions, only one factor could be related to a meaningful OA component, i.e., Oxygenated Organic Aerosols (OOA). This interpretation is based on comparisons with representative OOA spectra reported in previous studies and in the AMS Mass Spectral Database (<http://cires.colorado.edu/jimenez-group/AMSsd>). The other factor is assessed to correspond to the mixing of the two factors that appeared in the 3-factor solutions, i.e., Hydrogenated Organic Aerosols (HOA) and primary Biomass Burning Organic Aerosols (pBBOA). This 3-factor-solution, which allows accounting for ~99% of the total OA mass, is assumed to correspond to the “best number” of meaningful factors. Indeed, adding a fourth factor led to the splitting of the pBBOA factor (Fig. 5) and is thus assumed to be useless in this study. This splitting of the pBBOA factor within 4-factor solutions is hypothesized based on the co-variation of time series of the 2 “undefined” factors.

In order to explore the different linear transformations of the factor time series and mass spectra (usually referred as rotations), “FPEAK” parameters ranging from  $-3.0$  to  $3.0$  (with steps of  $0.1$ ) were then investigated. These calculations indicate two main groups of solutions, the first one corresponding to “FPEAK” values below  $-0.1$  and the other one corresponding to “FPEAK” above  $-0.1$ . The first group is preferred as it allows a much better separation of HOA and pBBOA time series than solutions obtained for “FPEAK” above  $-0.1$ . Mass spectra and time series of the different solutions were then compared (i) to reference mass spectra found in the AMS Mass Spectral Database (e.g. to those reported by Lanz et al. (2008) for wintertime conditions in Zurich, Switzerland), and (ii) to independent “external” tracer datasets of our study (i.e., concentrations of  $\text{NO}_x$  for fossil fuel emissions, levoglucosan for wood burning emissions, and the sum  $\{\text{NO}_3^- + \text{NH}_4^+ + \text{SO}_4^{2-}\}$  for secondary processes possibly leading to OOA). Results of these inter-comparisons suggest that the “best solution” is obtained for “FPEAK” =  $-0.2$ , for which  $m/z$ 's and time series correlation coefficients are presented in Table 3. This solution also corresponds to minimum  $Q/Q_{\text{exp}}$  ratios, i.e.,

the total sum of the squares of the scaled residuals divided by the degrees of freedom of the fitted data, calculated for the different 3-factor solutions. Finally, in order to check that the retained solution does not correspond to a local minimum of the solution's space, different "seed" parameters (corresponding to pseudorandom starting-points of the PMF2 algorithm) ranging from 0 to 60 (with steps of 2) were tested. Based on these analyses, the 3-factor solution corresponding to "FPEAK" = -0.2 and "seed" = 0 is chosen for the dataset investigated here.

## 5.2 Results

The "best solution" determined following this methodology indicates mean contributions of about 10%, 38% and 50%, respectively for HOA, pBBOA and OOA during the period of study. If considering the whole variety of the different 3-factor solutions (varying "FPEAK" between -3.0 and +3.0), these contributions were found to be comprised in the ranges 5–25%, 25–50% and 40–55%, respectively. Therefore, as it has been observed worldwide (Zhang et al., 2007), OOA was found here to be the dominant fraction of OA, suggesting high contributions of secondary organic aerosols. The latter hypothesis is supported by the good correlation obtained between OOA and secondary inorganic aerosols (Table 3 and Fig. 6). However, it should be mentioned that such a satisfactory correlation may also be explained by the role of meteorological conditions in pollution level control over the two-week period investigated here. Moreover, as discussed below, OOA may actually comprise a non-negligible fraction of wood burning aerosols.

Among combustion sources, primary organics appeared to be largely dominated by wood burning emissions (pBBOA/HOA ratio of ~5). The separation between HOA and pBBOA is probably one the most important issue when applying PMF analyses to AMS organic datasets (Lanz et al., 2008). In this study, high correlation coefficients are obtained between pBBOA and levoglucosan measurements, as well as between HOA and NO<sub>x</sub> (and BC) loadings (Table 3 and Fig. 6). The pBBOA mass spectrum obtained in this study correlates well with mass spectra previously reported for this factor (e.g.

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$r^2=0.93$  when compared to that in Lanz et al., 2008). Interestingly, it also correlates well with the average of total organic mass spectra obtained in laboratory by Weimer et al. (2008) for 5 different types of wood burned under flaming conditions ( $r^2=0.92$ ). The latter study reported significant spectral discrepancies for OA emitted during the two main burning phases, i.e., flaming and smoldering. Typically, high amounts of mass fragments usually considered as wood burning markers (e.g.  $m/z$  29, 60 and 73) were observed during the flaming phase, whereas mass spectra obtained during the smoldering phase were dominated by “oxygenated fragments” (e.g.  $m/z$  18 and 44). In the present field study, pBBOA displays significant spectral similarities with levoglucosan (including  $m/z$  29, 60 and 73) and correlates much better with flaming-related organic spectra than with smoldering-related ones. It might thus be hypothesized that the OOA fraction may actually contain some mass fragments related to primary (oxygenated) wood burning OA.

Mean diurnal variations of each OA components calculated using PMF2 are presented in Fig. 7a. Well-marked diurnal patterns can be observed for HOA and pBBOA. Maximum HOA loadings are obtained during traffic rush-hours, when they represent on average  $\sim 12\%$  of  $OM_{PM_1}$  (against  $\sim 5\%$  during nighttimes). pBBOA loadings are found to be maximum between 21:00 UT and 02:00 UT ( $\sim 55\%$  of  $OM_{PM_1}$ ), with a second maximum during the morning. Compared to HOA and pBBOA, a relatively flat diurnal cycle is obtained for OOA. However, concentrations of the latter component increase on average by  $\sim 20\%$  during the afternoon, when OOA accounts for about 2/3 of the total organic matter. This afternoon maximum is likely to be related to photochemical processes.

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## 6 The aethalometer model

### 6.1 Methodology

The aethalometer instrument was originally developed in order to quantify light absorption by black carbon (BC), which is considered as the predominant light absorbing aerosol species at visible wavelengths (Hansen et al., 1984). However, several studies recently pointed out that brown carbon (contained in some part of the particulate organic matter), could significantly absorb light around 400–500 nm (e.g., Kirchstetter et al., 2004). Light absorption by aerosols is usually parameterized as proportional to  $\lambda^{-\alpha}$ , where  $\lambda$  is the light wavelength and  $\alpha$  represents the Ångström absorption exponent. While the spectral dependence of BC light absorption is low ( $\alpha \sim 1$ , Bond and Bergstrom, 2006), brown carbon exhibits a much higher Ångström absorption exponent (Hoffer et al., 2006). Based on these differences in optical properties, a few studies recently used multi-wavelength aethalometers to detect the presence of biomass burning aerosols in ambient air (e.g., Jeong et al., 2004; Sandradewi et al., 2008a; Yang et al., 2009). In a more quantitative way, using a multi-wavelength aethalometer and carbon analyses, Sandradewi et al. (2008b,c) proposed a source apportionment model allowing the quantification of wood burning carbonaceous aerosols in environments where the aerosol light absorption is only due to fossil fuel emissions and wood smoke.

Within this source apportionment model, total carbonaceous material ( $CM_{\text{total}}$ ) could be primarily considered as the sum of brown-carbon-containing carbonaceous material (i.e.,  $CM_{\text{wb}}$  here), non-brown-carbon-containing carbonaceous material originating from fossil fuel combustion ( $CM_{\text{ff}}$ ), and non-combustion OA ( $CM_{\text{other}}$ ), as follows:

$$CM_{\text{total}} = CM_{\text{ff}} + CM_{\text{wb}} + CM_{\text{other}} = C_1 \times b_{\text{abs,ff,950 nm}} + C_2 \times b_{\text{abs,wb,470 nm}} + C_3 \quad (5)$$

where  $b_{\text{abs,ff,950 nm}}$  represents the absorption coefficient of  $CM_{\text{ff}}$  at 950 nm,  $b_{\text{abs,wb,470 nm}}$  represents the absorption coefficient of  $CM_{\text{wb}}$  at 470 nm,  $C_1$  and  $C_2$  relate the light absorption to the particulate mass of both sources, and  $C_3$  corresponds to the amount of non-combustion OA (i.e., primary biogenic aerosols and secondary organic

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aerosols). It should be noted that  $CM_{ff}$  is assumed here to comprise traffic emissions as well as carbonaceous aerosols originating from fuel oil and natural gas combustion. Equation (5) can be solved when combined with the following ones:

$$CM_{total} = BC + OM \quad (6)$$

$$b_{abs,\lambda} = b_{abs,ff,\lambda} + b_{abs,wb,\lambda} \quad (7)$$

$$\frac{b_{abs,ff,470\text{ nm}}}{b_{abs,ff,950\text{ nm}}} = \left(\frac{470}{950}\right)^{-\alpha_{ff}} \quad (8)$$

$$\frac{b_{abs,wb,470\text{ nm}}}{b_{abs,wb,950\text{ nm}}} = \left(\frac{470}{950}\right)^{-\alpha_{wb}} \quad (9)$$

where  $\alpha_{ff}$  and  $\alpha_{wb}$  represent the Ångström absorption exponents of both combustion aerosol sources. In this study,  $\alpha_{ff}$  is set to  $1.0 \pm 0.1$ , corresponding to the mean  $\alpha$  value obtained for the 10% lowest OM/BC ratios (15 min-averaged data obtained from AMS and aethalometer measurements). The absorption exponent of  $CM_{wb}$  ( $\alpha_{wb}$ ) is set to 2.0, based on values previously reported for wood burning aerosols (e.g., Lewis et al., 2008). Finally,  $C_1$  is set to 260 000, based on the work of Sandradewi et al. (2008b) and of Favez et al. (2009), both studies showing a very good agreement for this parameter.

Assuming these hypotheses, only  $C_2$  and  $C_3$  are unknown in Eq. (5), and a linear regression can be used to determine the mean values of these parameters during the period of study.  $C_2$ , corresponding to the slope of this linear regression, is then applied to the whole dataset to evaluate  $CM_{wb}$ . Finally,  $CM_{other}$  is calculated for each data point as the difference between  $CM_{total}$  and the sum  $\{CM_{ff} + CM_{wb}\}$ . Sensitivity tests, assumed to also account for measurement uncertainties of each instrument, are performed by varying  $\alpha_{ff}$  from 0.9 to 1.1,  $\alpha_{wb}$  from 1.5 to 3.0, and  $C_1$  from 200 000 to 320 000.

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Finally, in order to apportion the contribution of each component to elemental carbon ( $BC_{ff}$  and  $BC_{wb}$ ) and to organic carbon ( $OM_{ff}$ ,  $OM_{wb}$  and  $OM_{other}$ ),  $BC_{ff}$  and  $BC_{wb}$  are assumed to have equivalent mass absorption efficiencies, following:

$$BC_{ff} = BC_{total} \times \frac{b_{abs,ff,950\text{ nm}}}{b_{abs,total,950\text{ nm}}} \quad (10)$$

The latter hypothesis could lead to a 50% overestimate of  $BC_{wb}$  and a 10% underestimate of  $OC_{wb}$ .

With the use of accurate absorption exponents, one of the trickiest issues of the aethalometer model is probably the neglecting of brown-carbon-containing OA with other origins than primary biomass burning emissions. In particular, the presence of light absorbing SOA may not be excluded (Shapiro et al., 2009), possibly leading to an overestimation of primary wood burning OA using the aethalometer model. Moreover, semi-volatile primary wood burning OA may rapidly evaporate after emissions, and later re-condense in the particulate phase after being (photo-)chemically processed (Grieshop et al., 2009a). Whether these compounds should be referred as SOA or as oxidized-POA is nowadays a subject of debate (Donahue et al., 2009). At this point, it is not possible to evaluate how much this phenomenon could affect the aethalometer model.

## 6.2 Results

As aethalometer measurements were performed within the  $PM_{2.5}$  fraction, these calculations have been computed using EC and OC concentrations obtained from HiVol filter measurements (12 h sampling periods), assuming an OC-to-OM conversion factor of 1.8 (see Sect. 3) and replacing BC by EC in Eqs. (6) and (10). Based on these calculations, fossil fuel, wood burning and non-combustion sources are found to account, respectively for 13%, 60% and 28% of total OM on average for the period of study. Due to the number of approximations, these mean values are found to be associated with large confidence ranges (6–18%, 43–74% and 20–39%, respectively, see Table 4).

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These uncertainties are mostly related to the correction of absorption coefficients and to the choice of absorption exponents. Due to the hypothesis made in Eq. (10), even higher uncertainties are calculated for fossil fuel and wood burning contributions to EC (50–96% and 4–50% for fossil fuel and wood burning, respectively). Despite these high uncertainties, results obtained using the aethalometer model clearly suggest that wood burning is the major OA contributor and, nevertheless, poorly contributes to total EC. The accuracy of these results can be checked via comparisons with specific tracers of both sources (Fig. 8). Nitrogen oxide and dioxide ( $\text{NO}_x$ ), which are mainly related to fossil fuel emissions, are found to correlate well with  $\text{OM}_{\text{ff}}$  ( $r^2=0.93$ ) and  $\text{EC}_{\text{ff}}$  ( $r^2=0.94$ ). For wood burning aerosols, good correlations are obtained for  $\text{OM}_{\text{wb}}$  versus levoglucosan and for  $\text{EC}_{\text{wb}}$  versus levoglucosan ( $r^2=0.82$  in both cases). Moreover,  $\text{OM}_{\text{wb}}$  and  $\text{EC}_{\text{wb}}$  are also found to correlate well with potassium concentrations measured on filter samples ( $r^2=0.85$  and  $0.79$ , respectively), as well as with WSOC contents also measured on HiVol filters ( $r^2=0.94$  and  $0.88$ , respectively). It should also be noted that mean OM/EC ratios calculated this way for both sources (about 0.8 and 17 for fossil fuel and wood burning emissions, respectively) are in good agreement with those generally reported in source profile studies (e.g., Fine et al., 2002; El-Haddad et al., 2009). Finally, the mean ratio obtained for  $\text{OM}_{\text{wb}}$ /levoglucosan (i.e., about 11, see Fig. 8) is also in good agreement with ratios reported by these studies. All of these results suggest that the aethalometer model is able to accurately estimate fossil fuel and wood burning OA in this study.

In an attempt to investigate OA sources at short-time scale (15 min averages here) and to compare the aethalometer model to PMF analyses, calculations presented in Sect. 6.1 have also been computed using BC and OM datasets obtained from real-time instruments, i.e.,  $\text{BC}_{\text{PM}_{2.5}}$  obtained from aethalometer measurements (see Sect. 2.3) and  $\text{OM}_{\text{PM}_{2.5}}$  calculated as  $\text{OM}_{\text{PM}_1}$  concentrations measured by the AMS multiplied by 1.2 (corresponding to the mean  $\text{OC}_{\text{PM}_{2.5}}/\text{OC}_{\text{PM}_1}$  ratio, see Sect. 3). Mean contributions of each source obtained from these calculations are very similar to those presented above (Table 4). Moreover, as shown in Fig. 9,  $\text{OM}_{\text{wb}}$  obtained this way correlates well

with the AMS  $m/z$  60 mass fraction, which is widely used as a signature of biomass burning aerosols (e.g., Schneider et al., 2006). Such satisfactory correlation between  $OM_{wb}$  and this biomass burning tracer, also observed by Sandradewi et al. (2008c), seems to validate the calculations presented here. The temporal variability of the contributions of each aerosol sources is mainly related to diurnal variations, rather than to day-to-day variations. As presented in Fig. 7b, well-marked diurnal cycles are observed for each component of the organic fraction. Fossil fuel emissions are found to peak during traffic rush-hours, with mean OM contributions of about 23% at 08:00 and 20% at 19:00 LT (local time) (against  $\sim 8\%$  during nighttimes). Maximum contributions of wood burning aerosols are obtained between 20:00 LT and 02:00 LT, when  $OM_{wb}$  represent on average  $2/3$  of the total organic matter, and a second maximum is obtained after 07:00 LT. This could be explained by an increase of residential wood burning during the evening and early morning. The mean contribution of other OA sources, i.e., non-combustion sources, ranges from  $\sim 20\%$  during traffic rush-hours to  $\sim 50\%$  in the middle of the day. This afternoon maximum may be related to the formation of SOA.

## 7 Inter-comparison of the different source apportionment models

This inter-comparison exercise is not straightforward, notably because the different models are not apportioning the same sources/factors and are related to different size fractions (i.e.,  $PM_{2.5}$  for the CMB and aethalometer models,  $PM_1$  for the AMS-PMF model). On a more conceptual view, AMS-PMF and Aethalometer models are linked to the whole chemical state of ambient OA while CMB approach is based on a small fraction of the OA mass and apportions the total carbon mass whatever its chemical state (reacted or unreacted).

The only source that is apportioned in the three models is wood burning. Concentrations of wood burning organic matter ( $OM_{wb}$ ) obtained from the CMB and the aethalometer models ( $PM_{2.5}$  fraction) are compared in Fig. 10a. A very good consistency is obtained for both datasets ( $r^2=0.84$ ), with the CMB model globally indicating

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higher (of about 10% on average) concentrations than the aethalometer model. It is worth mentioning here that results of the aethalometer model are pretty much the same (discrepancies below 5%) whether the  $CM_{total}$  concentrations used in Eq. (6) are obtained using the EUSAAR2 or the NIOSH thermo-optical protocols. In order to compare now the PMF and aethalometer models,  $OM_{wb}$  modelled by the PMF for submicron aerosols were multiplied by a factor of 1.2, corresponding to the mean  $PM_{2.5}/PM_1$  ratio measured for organic aerosols (see Sect. 3). As presented in Fig. 10b, similar time series are obtained with both short-time-scale models ( $r^2=0.85$ ), reinforcing the accuracy of our results. However, concentrations obtained using PMF are on average 30% lower than that obtained using the aethalometer model.

Based on these inter-comparisons, a good consistency could thus be observed between the temporal variations of  $OM_{wb}$  datasets obtained from the different source apportionment models, but PMF indicated significantly lower concentrations than the CMB and the aethalometer models. As presented in Fig. 11, during the period when the three modelling studies overlapped, mean contributions of wood burning organics to OM of 68%, 61% and 37% are obtained using the CMB, the aethalometer model and PMF, respectively.

Lower  $OM_{wb}$  concentrations (and contributions) obtained using the AMS-PMF model may be due to approximations made. In particular, it could be hypothesized that wood smoke contribute less importantly to  $PM_1$  than to  $PM_{2.5}$ , so that the 1.2 value used to convert  $PM_1-OM_{wb}$  to  $PM_{2.5}-OM_{wb}$  may not be accurate. However, very similar volume size distributions are obtained for the 21:00–2:00 LT period (highly influenced by wood burning aerosols) and the 6:00–9:00 LT traffic rush-hours. Moreover, some previous studies reported that wood burning aerosols, and especially those generated from the combustion of beech (the dominant tree species used for residential heating here, see Sect. 4), display aerodynamic properties similar to those of diesel soot (Schneider et al., 2006), and display an accumulation mode centred roughly at the same diameter than traffic emissions (e.g., Weimer et al., 2009). It is also to note that, based on LPI measurement and assuming that the entire  $PM_{2.5}$  organic fraction not accurately

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measured by the AMS (0.8–2.5  $\mu\text{m}$ ) is composed of wood burning OA (which is quite unrealistic), the mean  $\text{OM}_{\text{wb}}$  contribution obtained using PMF would not be above 55%.

Besides elevated uncertainties related to each source apportionment model, discrepancies between quantitative results of these models may also be related to (i) the overestimation of primary OA using the CMB model, due to modifications of the marker-to-OC ratios from sources to receptor site (see Sect. 4), (ii) the overestimation of primary OA using the aethalometer model, due to the possible contribution of anthropogenic SOA within  $\text{OM}_{\text{wb}}$  (see Sect. 6), and (iii) the underestimation of primary OA using the PMF model, due to the probable accounting of (oxygenated) mass fragments from primary wood burning OA within the OOA factor (see Sect. 5). It may also be hypothesized that the aging of primary wood burning OA could lead to mass fragments accounted in this OOA fraction. The latter hypothesis could partly explain the presence of higher  $m/z$  60 mass fraction in OOA mass spectra reported for winter experiments (e.g., Lanz et al., 2008 and this study) than in mass spectra reported for summer experiments (e.g., Zhang et al., 2005; Lanz et al., 2007).

Further, it is very likely that lower  $\text{OM}_{\text{wb}}$  contributions obtained from the AMS-PMF model are partly related to the presence of Oxidized Primary Organic Aerosols (OPOA), resulting from the rapid oxidation in the gas phase of low-volatile and/or semi-volatile organics that were present in the particulate phase during emission (Donahue et al., 2009; Grieshop et al., 2009a). Such wood-smoke-related OPOA display mass spectra very similar to that of the OOA factor (Grieshop et al., 2009b), and can thus lead to mass fragments accounted in this OOA fraction. Conversely, light-absorbing OPOA, if any, could be accounted as  $\text{OM}_{\text{wb}}$  within the aethalometer model; and the presence of OPOA in the particulate phase could partly compensate the above-described POA overestimation by CMB.

Another interesting result arising from this inter-comparison exercise is that the aethalometer model is likely to underestimate the contribution of biomass burning OA in relatively clean atmospheres. Indeed, compared to other models, lower  $\text{OM}_{\text{wb}}$  contributions are obtained with the aethalometer model when OM loadings are relatively

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low (i.e., below  $\sim 5 \mu\text{g m}^{-3}$ ), as shown in Fig. 12. This has to be related to the loss of semi-volatile light absorbing organics from the aethalometer filter tape during long-time sampling/measurement on a given “spot”. Such an artefact has already been observed in laboratory at Magee Scientific, investigating the loss from the filter tape, and under clean air conditions, of previously sampled wood burning OA (T. Hansen, personal communication).

Finally, it is to note that a relatively good consistency was observed for the apportionment of fossil fuel OA using the three different models, as well as for the source apportionment of EC concentrations (Fig. 11). Discrepancies between the contributions of other organic sources (than fossil fuel and biomass burning emissions) obtained by the three models may be mainly related to considerations discussed above.

## 8 Conclusions

In this study, we investigate the chemical composition of the fine aerosol fractions ( $\text{PM}_{10}$  and  $\text{PM}_{2.5}$ ) in an Alpine city (Grenoble, France) during the winter season. Carbonaceous aerosols (BC+OM) are found to account for about 2/3 of these fine aerosol fractions. Three different source apportionment models (i.e., the CMB, AMS-PMF and aethalometer models) are used to determine the influence of wood burning emissions on high organic aerosol loadings. A very good consistency is observed between  $\text{OM}_{\text{wb}}$  temporal variations obtained from each model. However,  $\text{OM}_{\text{wb}}$  concentrations (and contributions) estimated using PMF are on average 30% lower than that calculated using the aethalometer model, and more than 40% lower than that calculated using CMB. Besides uncertainties related to each source apportionment model, these discrepancies are assessed to be mainly due to differences in the conceptual hypotheses made for each model. In other words, these discrepancies raise the issue whether source apportionment studies should estimate the remaining unreacted constituents of primary emissions or the amount of particulate matter that are related to unreacted and to processed primary emissions. In the first case, CMB and aethalometer modelling studies

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certainly overestimate POA contributions, due to the loss of SVOM during transport and to the probable accounting by the aethalometer model of anthropogenic SOA within  $OM_{wb}$ . In the second case, AMS-PMF models probably underestimate the impacts of wood burning primary emissions, notably due to the accounting of wood-smoke related OPOA within the OOA factor.

It is also worth mentioning here that the recently-developed aethalometer model seems to be able to provide satisfactory estimates of the contribution of wood burning emissions to carbonaceous aerosols, even in environments where secondary aerosols can not be neglected. However, it also appears that this model certainly underestimate  $OM_{wb}$  concentrations in relatively clean atmospheres. Further works are moreover still needed in order to investigate the ability of this model to account for wood-smoke-related OPOA and/or SOA.

Finally, based on converging results of this inter-comparison exercise, wood burning OA could reasonably be considered as representing at least 50% of  $OM_{PM_{2.5}}$  in Grenoble during the period of study. These results are globally higher than contributions previously reported for other large European urban centres (see introduction). Moreover, preliminary CMB modelling studies performed on the whole  $PM_{2.5}$  fraction (including EC, sulfate, nitrate and ammonium) indicate that biomass burning remains the major source of  $PM_{2.5}$  (data not shown). Such high biomass burning contributions have to be related to the importance of residential wood burning emissions in France, which is the first wood consumer country in Europe (with about 7.5 tonnes of oil equivalent per year). Furthermore, the topography of the Grenoble area prevents any efficient wind-driven dispersion of atmospheric pollutants, and important pollution episodes are often observed there. Public policies dedicated to the reduction of residential wood burning emissions might thus allow a considerable improvement of the air quality during the winter season in this urban centre, as well as in other French/European cities.

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**Table 1.** Organic marker concentrations ( $\text{ng m}^{-3}$ ) in  $\text{PM}_{2.5}$  (average (min–max)).

<i>n</i> -alkanes			
<i>n</i> -pentacosane <sup>−a</sup>	2.26 (0.241–4.91)	<i>n</i> -nonacosane <sup>*,b</sup>	1.77 (0.287–3.82)
<i>n</i> -hexacosane <sup>−b</sup>	1.57 (0.148–3.23)	<i>n</i> -triacontane <sup>*,a</sup>	0.582 (0.144–1.39)
<i>n</i> -heptacosane <sup>*,b</sup>	1.89 (0.279–3.74)	<i>n</i> -hentriacontane <sup>*,a</sup>	1.25 (0.169–3.27)
<i>n</i> -octacosane <sup>*,a</sup>	1.62 (0.219–2.94)	<i>n</i> -dotriacontane <sup>−a</sup>	0.227 (0.128–0.762)
polycyclic aromatic hydrocarbons			
Retene <sup>−c</sup>	0.242 (0.057–0.629)	Indeno[1,2,3-cd]fluoranthene <sup>−d</sup>	0.278 (0.016–0.750)
benzo[b,k]fluoranthene <sup>−a</sup>	1.89 (0.153–4.89)	Indeno[1,2,3-cd]pyrene <sup>*,a</sup>	0.696 (0.049–2.08)
benzo[j]fluoranthene <sup>−a</sup>	0.201 (0.024–0.661)	dibenzoanthracene <sup>−a</sup>	0.322 (0.034–1.29)
benzo[e]pyrene <sup>*,a</sup>	0.859 (0.072–2.16)	Benzo-ghi-perylene <sup>*,a</sup>	0.513 (0.042–1.39)
Hopanes			
trisnorhopane <sup>−e</sup>	0.058 (0.012–0.443)	17 $\alpha$ (H)-21 $\beta$ (H)-hopane <sup>*,a</sup>	0.151 (0.027–0.319)
17 $\alpha$ (H)-trisinorhopane <sup>−e</sup>	0.051 (0.011–0.196)	17 $\alpha$ (H)-21 $\beta$ (H)-22S-homohopane <sup>*,e</sup>	0.062 (0.018–0.204)
17 $\alpha$ (H)-21 $\beta$ (H)-norhopane <sup>*,e</sup>	0.227 (0.029–0.448)	17 $\alpha$ (H)-21 $\beta$ (H)-22R-homohopane <sup>−e</sup>	0.035 (0.013–0.142)
Anhydrous sugars			
mannosan <sup>−a</sup>	70.6 (10.3–261)	levoglucosan <sup>*,a</sup>	815 (108–2550)
Sterols			
cholesterol <sup>−a</sup>	0.243 (0.044–0.603)	$\beta$ -sitosterol <sup>−a</sup>	18.3 (2.43–47.3)

<sup>−</sup> compounds not included in the CMB modelling; <sup>\*</sup> compounds included in the CMB modelling.

The quantification of the organic compounds is based on the response factors of <sup>a</sup> authentic standards, <sup>b</sup> average of alkanes with the closer carbon number, <sup>c</sup> phenanthrene, <sup>d</sup> Indeno[1,2,3-cd]pyrene, <sup>e</sup> - 17 $\alpha$ (H)-21 $\beta$ (H)-hopane.

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**Table 2.** Selected CMB results obtained using the five selected biomass burning (BB) profiles.

Profiles	$\frac{\text{calculated Levoglucosan}^a}{\text{measured Levoglucosan}}$	$\frac{\text{calculated EC}^a}{\text{measured EC}}$	$\frac{\text{BB OC}^a}{\text{total OC}}$	$\frac{\text{vehicular OC}^a}{\text{total OC}}$	Chi square	references
BBAHW	0.97±0.08	1.15±0.31	0.69±0.21	0.09±0.03	0.97	Fine et al. (2002)
BBAR4	0.97±0.10	1.27±0.50	1.02±0.34	0.07±0.03	1.74	Sheesley et al. (2007)
BBAR5	1.01±0.08	0.95±0.35	0.68±0.22	0.08±0.03	1.15	Sheesley et al. (2007)
BBACO	0.92±0.17	1.14±0.30	1.03±0.34	0.10±0.03	1.01	Fine et al. (2004)
BBECO	0.27±0.15	1.51±0.37	0.27±0.13	0.09±0.03	4.87	Schmidl et al. (2008)

<sup>a</sup> average±standard deviation

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**Table 3.** Mean contributions of each PMF factor during the period of study, and correlation coefficients obtained between their mass spectra and reference mass spectra as well as between their time series and tracer time series.

Mean contributions to OA	HOA 10%	pBBOA 38%	OOA 50%
Correlations with reference mass spectra ( $r^2$ )			
HOA (Lanz et al., 2008)	<b>0.80</b>	0.17	0.07
Diesel bus exhaust (Canagartna et al., 2004)	<b>0.84</b>	0.34	0.44
pBBOA (Lanz et al., 2008)	0.43	<b>0.93</b>	0.57
Levogluconan (Schneider et al., 2006)	0.25	<b>0.83</b>	0.43
Wood smoke (Weimer et al., 2008), flaming	0.60	<b>0.92</b>	0.69
Wood smoke (Weimer et al., 2008), smoldering	0.20	0.52	<b>0.95</b>
OOA (Lanz et al., 2008)	0.30	0.71	<b>0.99</b>
Fulvic acid (Alfarra et al., 2004)	0.15	0.41	<b>0.91</b>
Correlations with “external” datasets ( $r^2$ )			
NO <sub>x</sub> (15 min averages)	<b>0.69</b>	0.46	0.21
BC (15 min averages)	<b>0.73</b>	0.48	0.27
Levogluconan (12 h averages)	0.45	<b>0.89</b>	0.19
{NO <sub>3</sub> <sup>-</sup> +NH <sub>4</sub> <sup>+</sup> +SO <sub>4</sub> <sup>2-</sup> } (15 min averages)	0.06	0.09	<b>0.84</b>

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**Table 4.** Mean contributions ( $\pm$ one standard deviation) of fossil fuel combustion, wood burning and non-combustion OA sources to carbonaceous aerosols obtained with the aethalometer model and using the two different {EC/BC+OM} datasets (see Sect. 6.2). Lower and higher limits correspond to mean contributions obtained when ranging  $\alpha_{\text{ff}}$  from 0.9 to 1.1,  $\alpha_{\text{wb}}$  from 1.5 to 3.0, and  $C_1$  from  $2.0 \times 10^5$  to  $3.6 \times 10^5$  (see Sect. 6.1).

	Fossil fuel			Wood burning			Non-comb. OA sources		
	campaign average	lower limit	higher limit	campaign average	lower limit	higher limit	campaign average	lower limit	higher limit
HiVol filter+aethalometer datasets									
EC	<b>83<math>\pm</math>8%</b>	50%	96%	<b>17<math>\pm</math>8%</b>	4%	50%			
OM	<b>13<math>\pm</math>5%</b>	6%	18%	<b>60<math>\pm</math>21%</b>	43%	74%	<b>28<math>\pm</math>19%</b>	20%	39%
AMS+aethalometer datasets									
BC	<b>82<math>\pm</math>11%</b>	51%	96%	<b>18<math>\pm</math>11%</b>	4%	49%			
OM	<b>14<math>\pm</math>27%</b>	3%	25%	<b>56<math>\pm</math>40%</b>	38%	68%	<b>30<math>\pm</math>34%</b>	26%	37%

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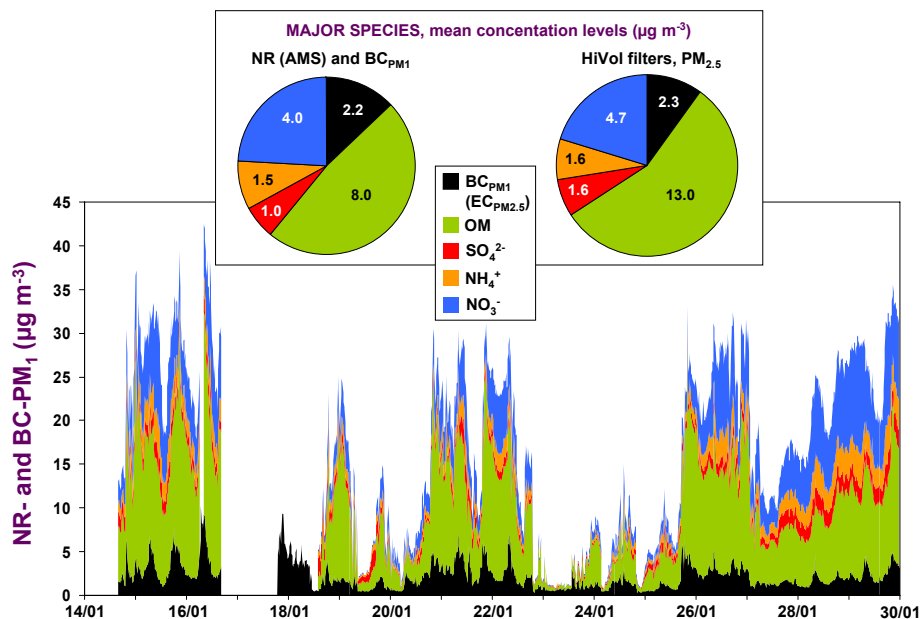
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**Fig. 1.** Time series and mean concentration levels of the main components of the fine aerosol fraction during the period of study. Due to technical issues, AMS measurements had to be stopped from 16 January to 18 January. Mean  $\text{PM}_{2.5}$  concentrations and  $\text{BC}_{\text{PM}_1}$  were calculated during the same periods than AMS measurements (upper panel).

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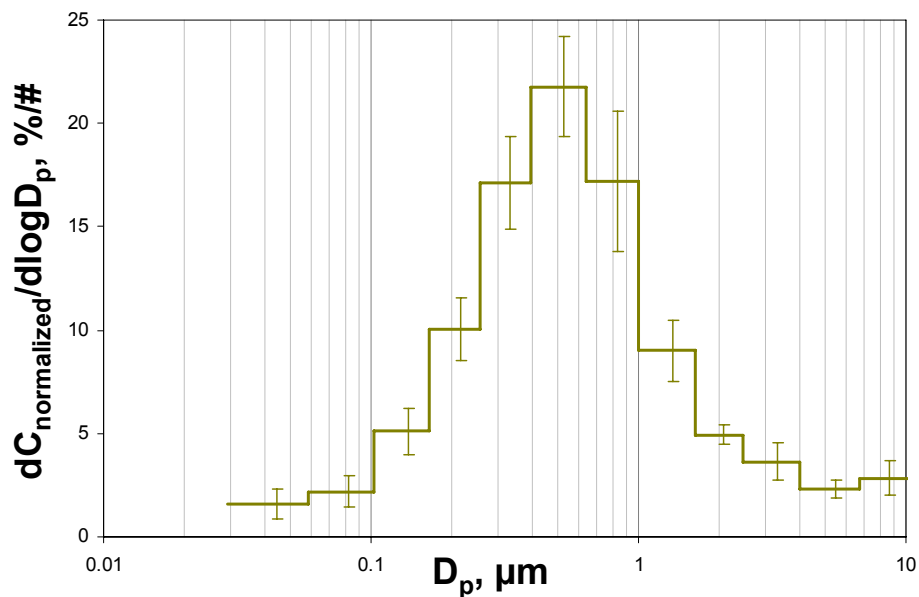
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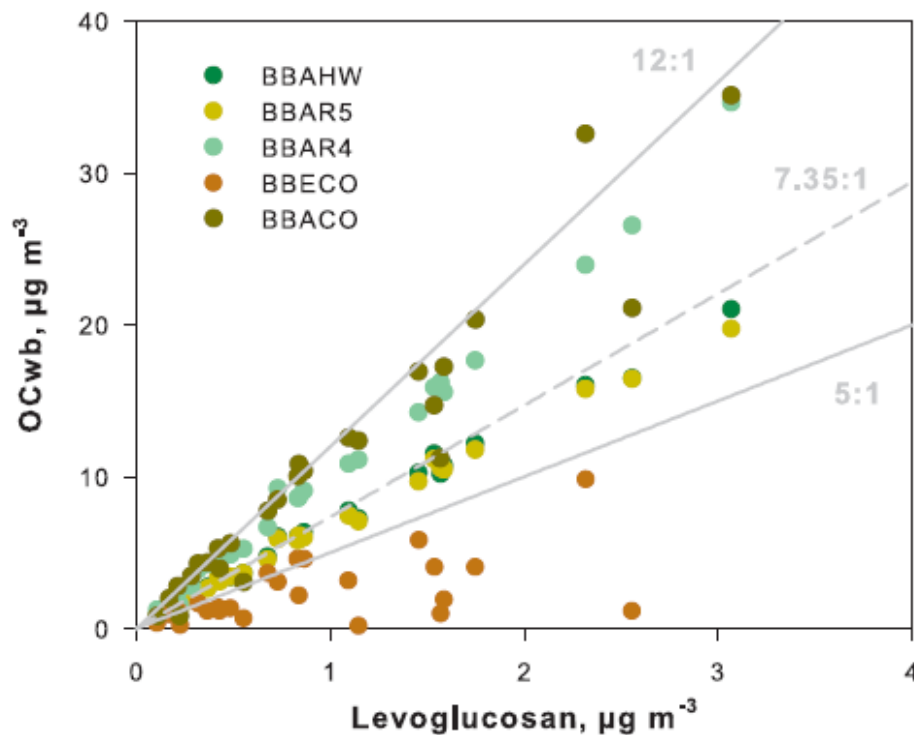


**Fig. 2.** Averaged OC-size-distribution (obtained from the 24 h LPI measurements, error bars correspond to one standard deviation).

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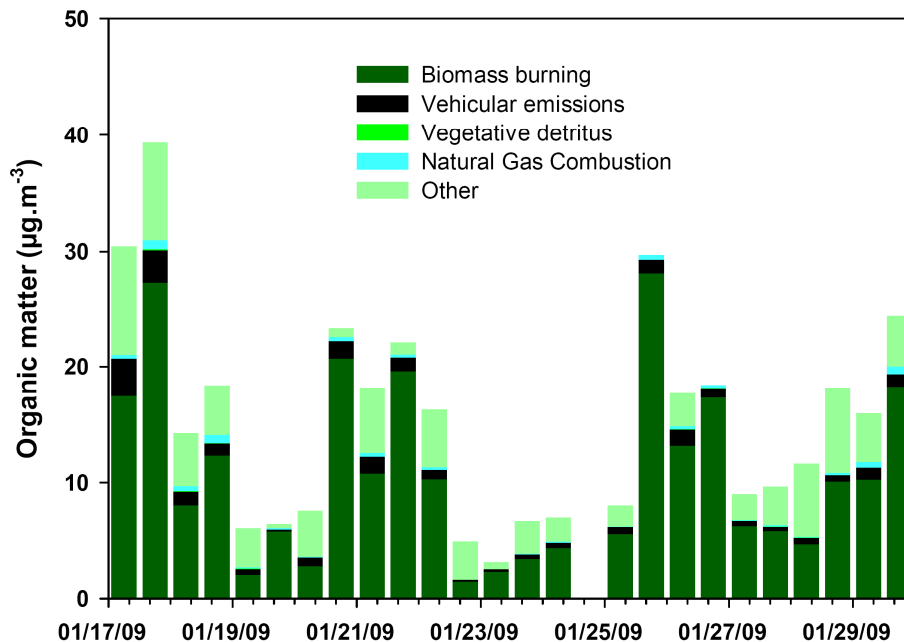


**Fig. 3.** Biomass burning organic carbon ( $\text{OC}_{\text{wb}}$ ) estimated using CMB modeling with the five selected biomass burning profiles as a function of levoglucosan. The dotted grey line represent the OC-to-Levoglucosan ratio recommended by Puxbaum et al. (2007) for European background environments, while grey lines as used here as indicators.

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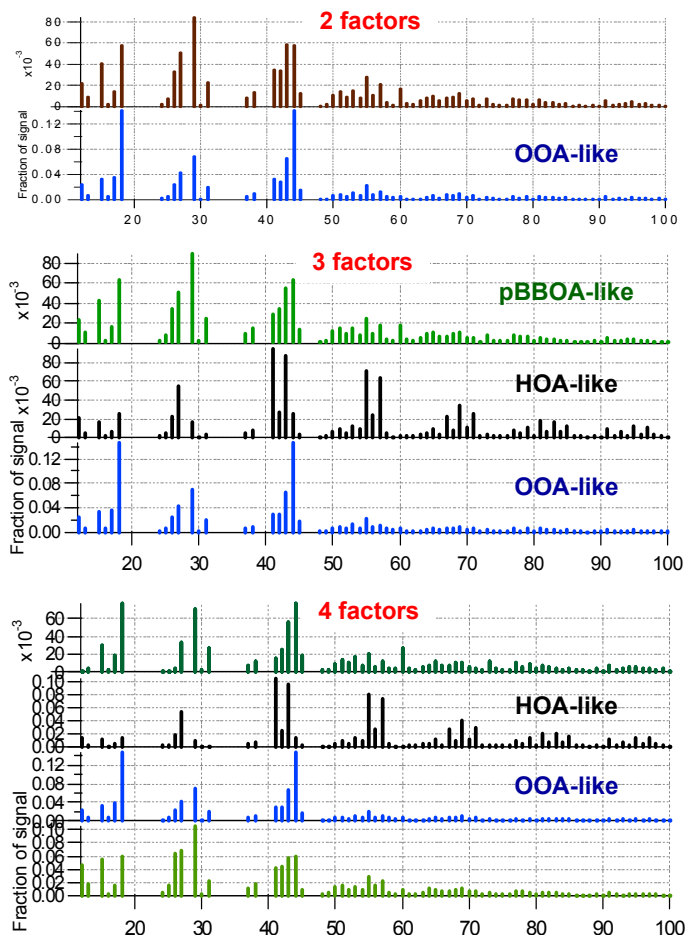


**Fig. 4.** Source contributions to ambient organic matter (OM) determined by the CMB modelling study.

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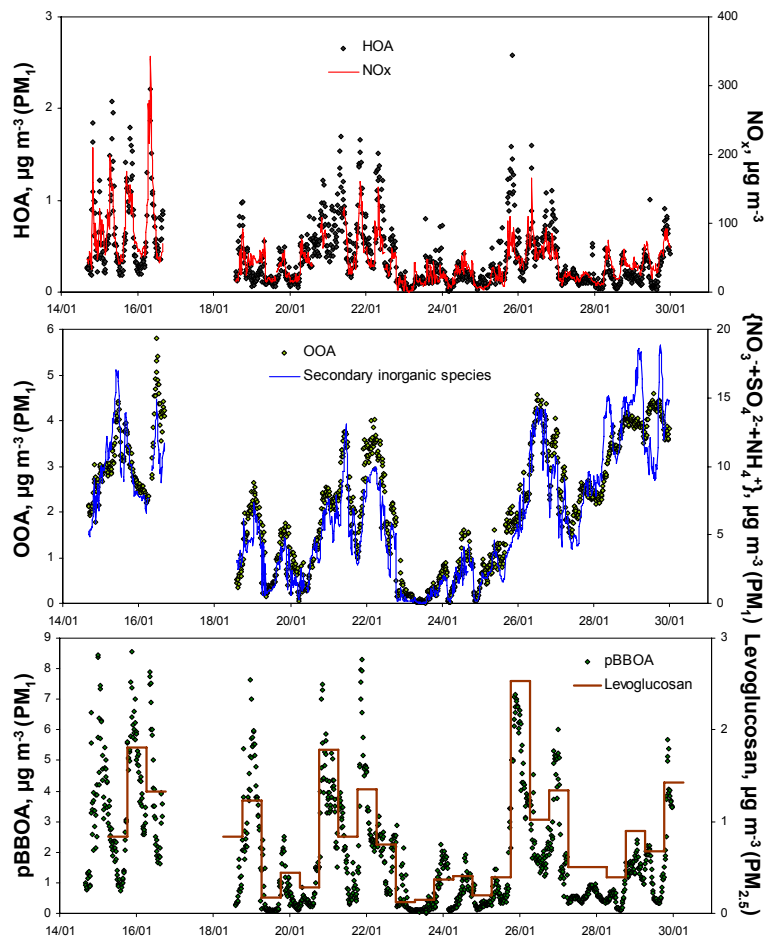
**Fig. 5.** Spectra of all PMF factors calculated by 2-, 3- and 4-factorial PMF (PMF analysis for the 18–29 January period, with “FPEAK”=−0.2 and “seed”=0).

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**Fig. 6.** Time series of each PMF factor (3-factorial PMF, “FPEAK”=−0.2 and “seed”=0) along with some of their corresponding tracers ( $\text{NO}_x$ , secondary inorganic species and levoglucosan, respectively for HOA, OOA and pBBOA).

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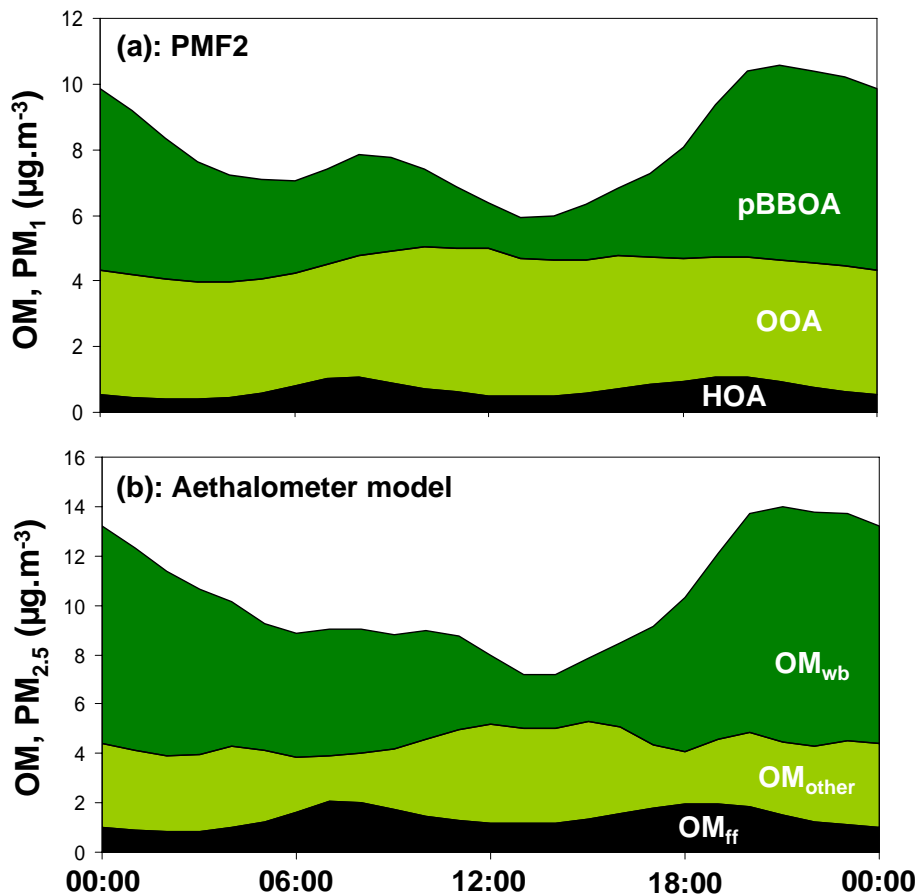
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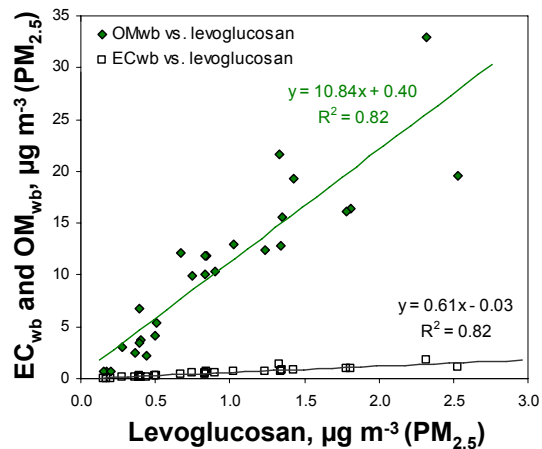
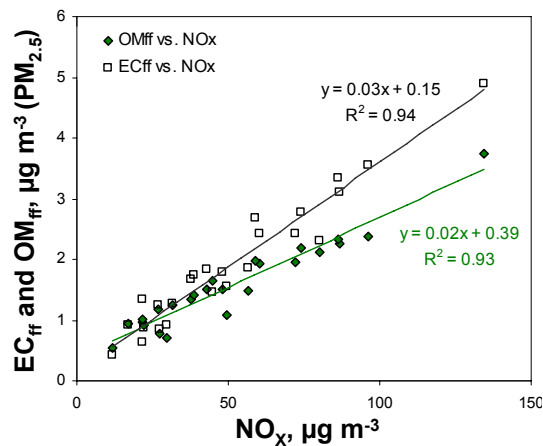


**Fig. 7.** Mean diurnal variations of each OA components obtained (a) using PMF2 as detailed in Sect. 5, and (b) using the aethalometer model as detailed in Sect. 6.

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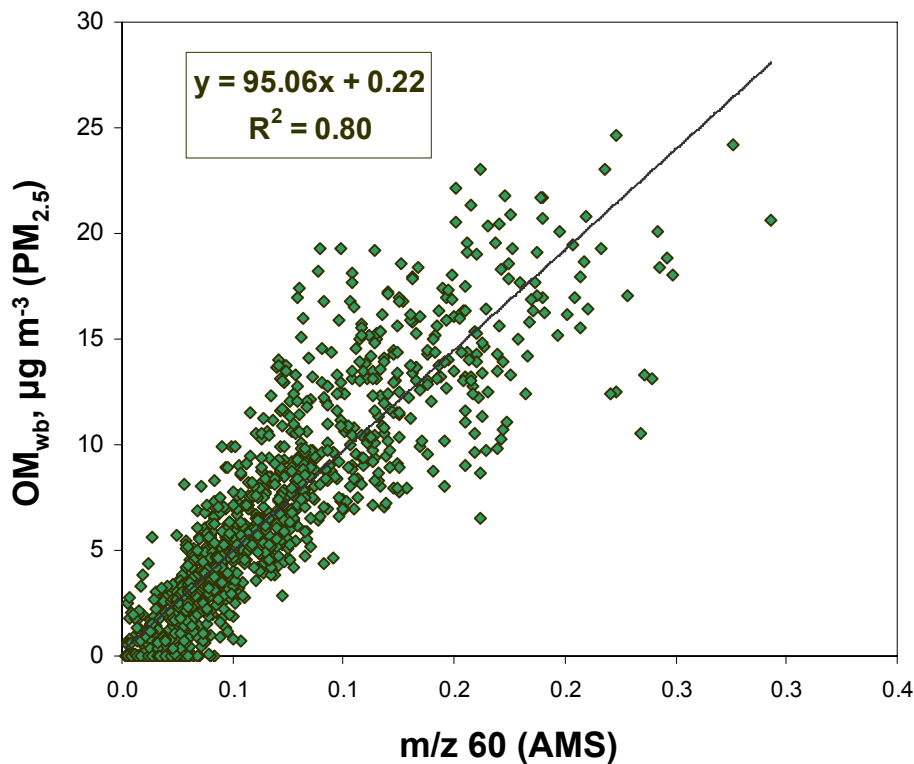


**Fig. 8.** Scatterplots of  $\text{EC}_{\text{ff}}$  and  $\text{OM}_{\text{ff}}$  as well as of  $\text{EC}_{\text{wb}}$  and  $\text{OM}_{\text{wb}}$ , as calculated by the aethalometer model, versus  $\text{NO}_x$  and levoglucosan concentrations, respectively.

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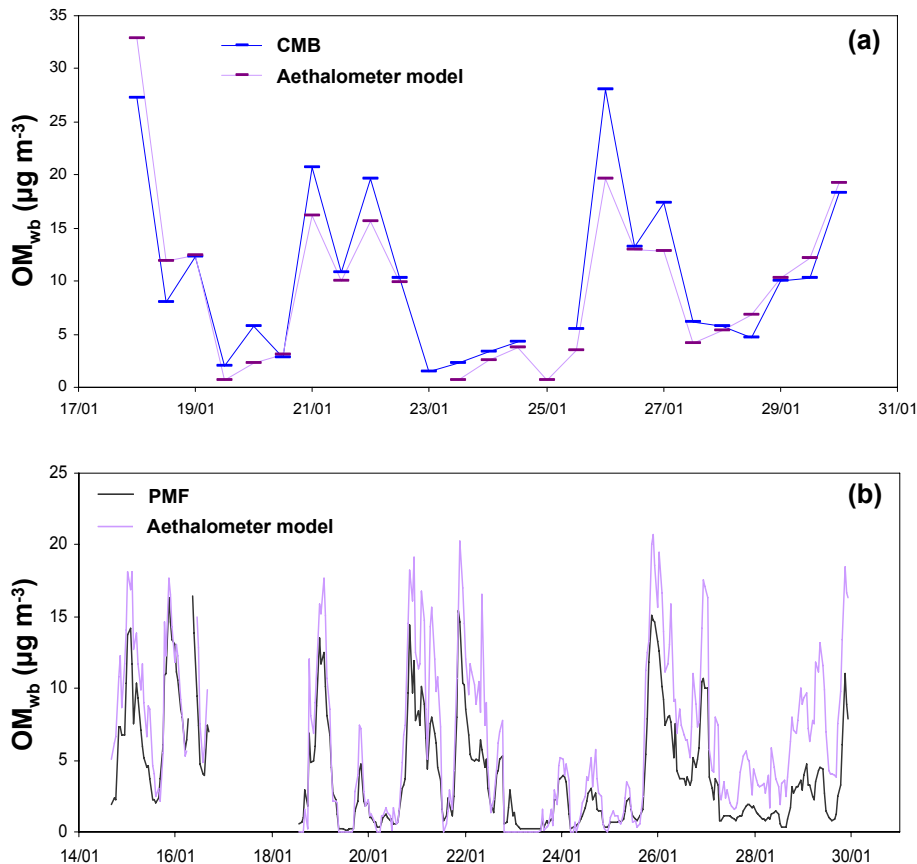


**Fig. 9.** Scatterplot of  $OM_{wb}$ , as calculated by the aethalometer model, versus the  $m/z$  60 mass fraction measured by the AMS (15 min averaged data).

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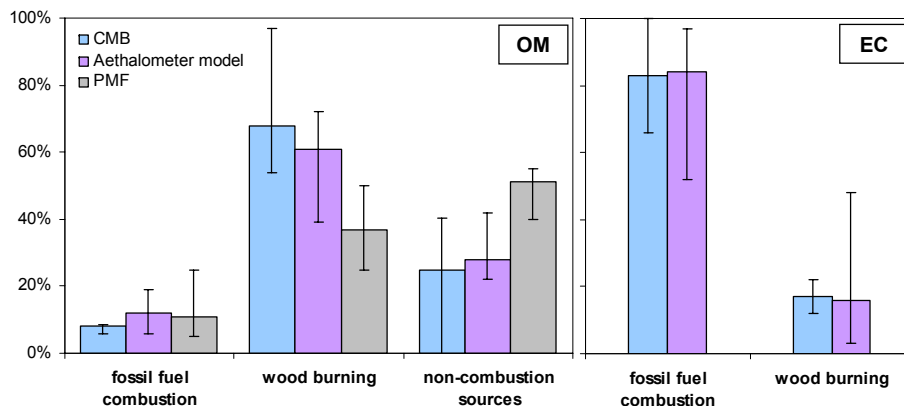


**Fig. 10.** Inter-comparisons of the time series of  $OM_{wb}$  concentrations calculated by the different source apportionment models. **(a)** Outputs of the CMB and aethalometer models (12 h-averaged data, from 17 January 2009 18:00 LT to 30 January 2009 6:00 LT). **(b)** Outputs of the AMS-PMF and aethalometer models (15 min-averaged data, from 14 January 2009 16:00 LT to 29 January 2009 23:30 LT).

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**Fig. 11.** Contributions of the three major sources (i.e., fossil fuel combustion, wood burning and non-combustion sources) to total fine organic matter (OM) and elemental carbon (EC) obtained from the different source apportionment models. The CMB and aethalometer models were computed for  $PM_{2.5}$  carbonaceous aerosols, while PMF analyses were achieved on the organic data matrix obtained by the AMS for submicron aerosols. For PMF analyses, HOA, pBBOA and OOA were assumed here to correspond to fossil fuel combustion, wood burning and non-combustion sources, respectively. Mean contribution presented were obtained for periods when CMB, PMF and aethalometer modelling studies overlapped, i.e., from 17 January 2009 18:00 LT to 29 January 2009 18:00 LT (with the exceptions of 22 January 2009 18:00 LT to 23 January 2009 6:00 LT and of 24 January 2009 18:00 LT to 25 January 2009 6:00 LT).

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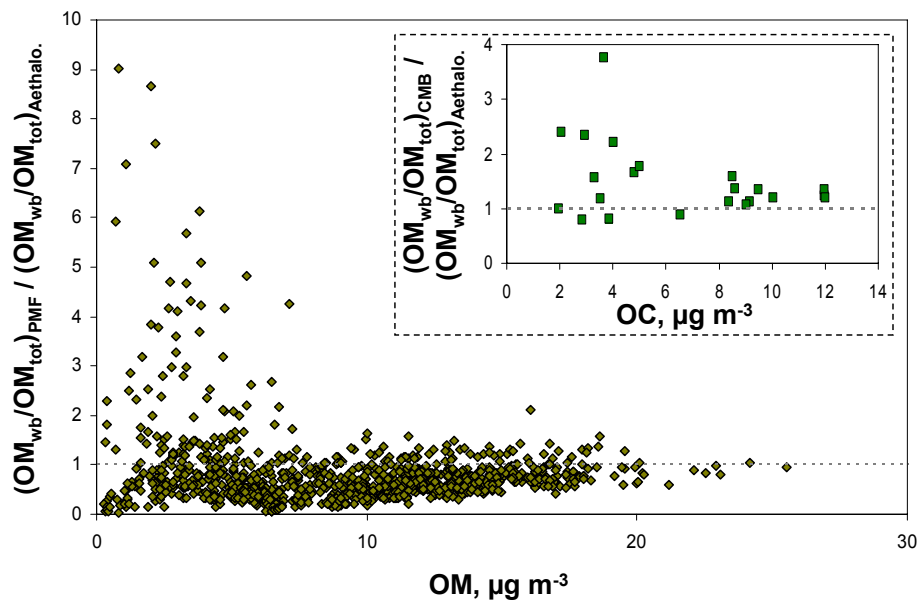
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**Fig. 12.** Ratios of  $OM_{wb}$  contributions obtained using the aethalometer model and PMF (and using the aethalometer and CMB models) as a function of  $OM$  ( $OC$ ) mass loadings.

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