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The chemical and  
microphysical  
properties of SOA

N. Lang-Yona et al.

# The chemical and microphysical properties of secondary organic aerosols from Holm Oak emissions

N. Lang-Yona<sup>1</sup>, Y. Rudich<sup>1</sup>, Th. F. Mentel<sup>2</sup>, A. Buchholz<sup>2</sup>, A. Kiendler-Scharr<sup>2</sup>,  
E. Kleist<sup>2</sup>, C. Spindler<sup>2</sup>, R. Tillmann<sup>2</sup>, and J. Wildt<sup>2</sup>

<sup>1</sup>Department of Environmental Sciences, Weizmann Institute, Rehovot, 76100, Israel

<sup>2</sup>Institut für Chemie und Dynamik der Geosphäre (ICG), Forschungszentrum Jülich GmbH, Jülich, Germany

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Correspondence to: Th. F. Mentel (t.mentel@fz-juelich.de)

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[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



## Abstract

The Mediterranean region is expected to experience substantial climatic change in the next 50 years. But, possible effects of climate change on biogenic volatile organic compound (VOC) emissions as well as on the formation of secondary organic aerosols (SOA) produced from these VOC are yet unexplored. To address such issues, the effects of temperature and light intensity on the VOC emissions of Mediterranean Holm Oak have been studied in the Jülich plant aerosol atmosphere chamber, as well as the optical and microphysical properties of the resulting SOA.

Monoterpenes dominated the VOC emissions from Holm Oak (97.5%) and temperature increase enhanced the emission strength under variation of the emission pattern. The amount of SOA increased linearly with the emission strength with a fractional mass yield of  $5.7 \pm 1\%$ , independent of the detailed emission pattern. The particles were highly scattering with no absorption abilities. Their average hygroscopic growth factor was  $1.13 \pm 0.03$  at 90% RH with a critical diameter of droplet activation of  $100 \pm 4$  nm at a supersaturation of 0.4%. All microphysical properties did not depend on the detailed emission pattern, in accordance with an invariant O/C ratio ( $0.57(+0.03/-0.1)$ ) of the SOA observed by high resolution aerosol mass spectrometry.

The increase of Holm oak emissions with temperature ( $\approx 20\%$  per degree) was stronger than e.g. for Boreal tree species ( $\approx 10\%$  per degree). Increasing mean temperature in Mediterranean areas therefore may have a stronger impact on VOC emissions and SOA formation than in areas with Boreal forests.

## 1 Introduction

Earth's climate is affected by aerosols both directly and indirectly. The direct effect of aerosols on climate is due to scattering and/or absorbing incoming solar and outgoing terrestrial radiation (Pilinis et al., 1995; Chen et al., 2009; Kaufman et al., 2002; Moosmuller et al., 2009; Menon et al., 2002). Aerosols serving as cloud condensation nuclei

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



(CCN) indirectly affect climate and precipitation by modifying the microphysical properties of clouds and cloud coverage (Albrecht, 1989; Petters et al., 2009; Twomey, 1977; Kaufman and Koren, 2006; Kaufman et al., 2002, 2005; Lohmann and Feichter, 2001, 2005). Although very important, there are significant uncertainties regarding aerosols' contribution to Earth's radiation balance and climatic changes (Solomon et al., 2007).

Secondary organic aerosols (SOA) are formed in the atmosphere via the (multiple) oxidation of volatile organic compounds (VOC) by reactive species such as ozone, hydroxyl radical and the  $\text{NO}_3$  radical (Hallquist et al., 2009; Monks et al., 2009). Globally, emissions from vegetation are the dominant source of VOC (Guenther et al., 1995). Hence biogenic SOA formation contributes to number (Kulmala et al., 2004a) and mass load of atmospheric aerosols and modifies climate relevant properties like water-solubility, droplet activation, and indices of refraction. Although vegetation has a significant influence on SOA composition in the atmosphere, there is an ambiguity regarding possible influences on climate and vice versa. For example, plants exposed to high pollution and  $\text{CO}_2$  levels will close their stomata leading to reduced ozone and  $\text{CO}_2$  uptake and hence higher ozone levels at the surface (Sitch et al., 2007). Heat and drought will have similar effects (Mercado et al., 2009), while also affecting the amount and composition of emitted VOC. Due to slow adaptation rates of trees and other long lived vegetation, climatic changes accompanied by droughts, heat waves, and high pollution may put vegetation under stress conditions which may consequently alter VOC emissions and possibly change the formed SOA mass and properties in the atmosphere. In turn, SOA formed from emitted VOC may influence climate through the direct and the indirect effects and by changing diffuse versus direct radiation (Kulmala et al., 2004b; Barth et al., 2005).

The uncertain coupling between climate change and vegetation requires a thorough investigation in order to estimate its contribution to Earth's energy balance. With the Jülich plant aerosol atmosphere chamber (JPAC) we set up a tool to study photochemical processes of SOA formation from real plant emissions and to characterize the climate-relevant properties. In previous studies species from Boreal and temper-

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



ate Midlatitude forests were investigated (Mentel et al., 2009; Kiendler-Scharr et al., 2009a, b; Hao et al. 2009), here we will focus on Holm Oak (*Quercus ilex* L.), an evergreen species of another sensitive eco-system, the Mediterranean forest. This species is a strong emitter of monoterpenes (Kesselmeier et al., 1996; Staudt et al., 1993) and ozonolysis of Holm Oak emissions leads to SOA formation (Van Reken et al., 2005).

5 The Mediterranean forest is characterized by dry summers and mild, rainy winters. It is common in five Mediterranean climate zones, on the west coast of continents in the mid-latitudes: the Mediterranean Basin, California, Central Chile, Southwest Australia, and the Western Cape in South Africa. Recent model studies suggest that 10 future climate will be characterized by high temperatures, extended drought periods, as well as heat and heavy photochemical smog episodes (Solomon et al., 2007). In that case Mediterranean species will be exposed to stress conditions, which will change their VOC emission strengths and pattern (Staudt and Bertin, 1998).

In our experiment we investigated the effects of high temperature and light intensity 15 on the Holm Oak's VOC emissions, on the SOA formed from their oxidation by OH and ozone, as well as on the SOA chemical composition and microphysical properties. Holm Oak (*Quercus ilex* L.) was chosen as a model plant for the Mediterranean area. It is a common Mediterranean species and its VOC emissions have been extensively 20 studied (Bertin et al., 1997; Staudt and Bertin, 1998; Kesselmeier et al., 1996; Seufert et al., 1997; Staudt et al., 2002). Holm Oak monterpene emissions depend on temperature and on photosynthetic photon flux density (PPFD) (Staudt and Seufert, 1995, Staudt and Bertin, 1998). Ocimene emissions from this species have a very high temperature dependence compared to other monterpene emissions (Staudt and Bertin, 1998). We varied the temperature in the plant chamber from experiment to experiment 25 while holding constant the conditions in the reaction chamber. This allowed for changing the emission strengths and the emission pattern of the VOC introduced into the reaction chamber and to determine the dependence of SOA formation on these changes.

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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

---

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



## 2 Methods

Experiments were carried out in the plant aerosol atmosphere chamber facility (JPAC) at Forschungszentrum Jülich, Germany, which was previously described in details by Mentel et al. (2009). A simplified illustration of the setup is shown in Fig. 1. In short, it consists of three Borosilicate glass chambers with Teflon floors. Each chamber is mounted in a separate climate-controlled housing and operated as continuously stirred tank reactor with Teflon fans providing homogeneous mixing. During the experiments described here the smallest chamber (164 L) was used as plant chamber (see Fig. 1a). This chamber contained a Holm Oak (*Quercus ilex* L.) originating from Italy, and grown in Jülich, Germany. As a low reference case we kept a small stand of Mediterranean trees composed of 3 *Pistacia palaestina* (*Pistacia palaestina* L.) 3 Aleppo Pine (*Pinus halepensis* L.) and one Palestine Oak (*Quercus calliprinos* L.) in the second plant chamber (1150 L). The trees were brought from the Judean Mountains, Israel.

The residence times of the purified and humidified air in the plant chambers were 5 to 20 min. At the inlet of the plant chamber VOC impurities were reduced to <1 part per trillion (ppt) by a palladium catalyst at 450 °C. NO<sub>x</sub> concentrations were below 100 ppt and O<sub>3</sub> concentrations were below 1 parts per billion (ppb). The air from the plant chambers containing the plant emitted VOC was transferred to the 1450 L reaction chamber (see Fig. 1b). There the residence time was 65 min.

Constant conditions in the plant chamber included CO<sub>2</sub> concentration of 350 parts per million (ppm) and a maximum dew point of 12 °C during periods of illumination. The solar light spectrum was simulated by discharge lamps (HQI 400 W/D; Osram, Munich, Germany), reaching PPFD of up to 800  $\mu\text{mol m}^{-2} \text{s}^{-1}$  at full illumination and at typical mid-canopy heights. This intensity is equivalent to about a third of the high intensities often found in Mediterranean areas (e.g. Manes et al., 1997). Infrared radiation (between 750 and 1050 nm) was blocked by filters (type IR3; Prinz Optics, Stromberg, Germany). The shortest wavelength was about 350 nm due to the absorption of UV light by the glass walls.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



VOC flow exiting the plant chamber was directed into the reaction chamber, and a small portion was directed into a GC-MS system for VOC speciation and quantification (Heiden et al., 1999). A second GC-MS at the outlet of the reaction chamber was used for measuring deuterated cyclohexane and less reactive monoterpenes as tracers for calculating the OH concentrations (Kiendler-Scharr et al., 2009a).

In addition to the VOC from the plant chamber, ozone and water vapor containing air was introduced to the reaction chamber. By regulating the water vapor concentration, the PPFD-dependent transpiration of the plants was compensated and the relative humidity (RH) was kept constant at around 65%. OH radicals in the reaction chamber were generated by ozone photolysis (Philips, TUV 40W,  $\lambda_{\text{max}}=254$  nm) followed by the reaction of  $\text{O}^1\text{D}$  with water vapor.

To optimize the particle growth to diameters of  $>150$  nm for the optical measurements, two types of experiments were performed with the Holm Oak. In one, the lights in the plant chamber were turned on in the morning but there was no UV light in the reaction chamber. The VOC reacted with ozone forming only a few hundreds of particles. Five hours later UV lights were switched on, when the reaction chamber was in steady state regarding ozonolysis products and VOC that have not reacted with ozone. Then between  $10^4$  and  $10^5$  particles per  $\text{cm}^3$  were formed by OH reactions of un-reacted VOC and of ozonolysis products (compare Mentel et al., 2009). SOA formation was induced by *increasing the oxidant levels* and this type of experiments will be called *Ox.-induced*. In the second type of experiments, the reaction chamber UV lights were initially turned on while the plant chamber was kept dark. Thus, when the lights in the plant chamber were switched on, OH radicals were already present in the reaction chamber and SOA formation was induced by OH and ozone reactions after reaching the *threshold concentration of VOC* necessary for SOA formation. We will call this type of experiments *VOC-induced*. (The *VOC-induced* experiments allowed for large VOC inputs in the reaction chamber without uncontrolled nucleation of particles by pure ozonolysis.) In addition we used the Mediterranean stand as low emission reference in an *Ox.-induced* experiment.

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



A Condensation Particle Counter (CPC, TSI3022A) with a nominal activation diameter of 7 nm was directly connected to the reaction chamber, counting the total number of particles formed in the chamber. A stainless steel tube directed the SOA to a line of analytical equipment used to characterize the chemical and microphysical properties of the aerosols: aerosol mass spectrometry, hygroscopicity, activation diameter and optical properties (see Fig. 1c–f). This line was pumped with a total flow of 12–13 L min<sup>-1</sup>. A scanning mobility particle sizer (SMPS, TSI3071+TSI3025A) measured the number size distribution between 10 and 500 nm. The obtained size distributions were used to determine particle growth rates and were converted into volume distributions to determine particle volume yields.

A High-Resolution Time-of-Flight Aerosol Mass Spectrometer (HR-ToF-AMS, Aerodyne Research Inc., DeCarlo et al., 2006) was used to measure the chemical composition of the SOA (see Fig. 1c). The particles enter the instrument through an aerodynamic lens that enhances the particle concentration with respect to gas phase by about 10<sup>7</sup> so that only particle composition is detected, except for the main components of the air, N<sub>2</sub>, O<sub>2</sub>, CO<sub>2</sub>, and H<sub>2</sub>O vapor. A tungsten oven at 600 °C flash-vaporizes the particles under vacuum. No refractory materials are produced in the reaction chamber and by comparison to size distribution measurements collection efficiencies of 100% were found (Kiendler-Scharr et al., 2009b). The vapors are ionized by 70 eV electron impact (EI), and the resulting ions are detected by means of a time-of-flight mass spectrometer applying either a high-sensitivity mode (V-mode) or a high-resolution mode (W-mode). In the MS mode, ion signals are integrated over all particle sizes, thus the overall composition of the SOA is determined. In addition, in the pToF-mode a size resolved chemical composition is measured in V-mode configuration by means of a chopper-triggered particle-time-of-flight distance (DeCarlo et al., 2006).

The EI technique causes substantial fragmentation of the molecules. Here we applied elemental analysis to the high-resolution mass spectra to calculate the oxygen to carbon ratio (O/C) and to infer changes in the oxidation state of the particles (Aiken et al., 2007, 2008). Shortly, the ion signals are fitted with a peak shape function consid-

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



ering deviations from an ideal Gaussian peak shape, which is empirically determined from an average of ion signals (DeCarlo et al., 2006). The calculation of O/C is performed by counting the signals of all ions in the mass spectrum and summing up the number of oxygen and carbon atoms in the respective ions. The number of oxygen and carbon atoms in each ion signal is inferred from the heavy atom mass defect, which is resolved for  $C_xH_yO_z$ -fragments in the W-mode.

5 Corrections to remove the influence of gaseous components must precede the calculation of the O/C ratio. Plant chamber air contains  $CO_2$  and water vapor and both gas phase species contribute to the mass spectra. The contribution of gas-phase  $CO_2$  to  $m/z$  44 and water vapor at  $m/z$  18 was inferred from measurements when no particles were present and subtracted to obtain the particle signals which were used in the elemental analysis (Allan et al., 2004). A significant contribution to organic mass is located at  $m/z$  28 ( $CO^+$ ) and is thus important for the O/C ratio. The signal of  $m/z$  28 arising from particles was separated from the very large signal of gas phase  $N_2^+$  by 10 making use of the fact that in the pToF-mode gaseous components appear at much shorter time-of-flight than particle components (Liu et al., 1995). We determined the ratio  $m/z$  28 and  $m/z$  44 for the particulate phase in the pToF-data and obtained a fixed ratio of about  $1 \pm 0.2$  over the whole campaign (compare Kiendler-Scharr et al., 2009b). Therefore we assumed that also in the MS mode organic  $m/z$  28 equals organic  $m/z$  44.

20 There is also a possibility of residual liquid water in the particles besides water from the fragmentation of organic compounds, since the experiments were performed at 65% RH. Because of the low hygroscopicity of the particles, it was assumed that all  $m/z$  18 signals are arising from organics leading to a ratio of particulate water (at  $m/z$  18) to particulate organics (at  $m/z$  44) of 1.7. This is the upper limit for the organic 25 contribution at  $m/z$  18. Since increasing the  $H_2O^+$  signal in the mass spectra adds oxygen without adding carbon, the obtained O/C ratio is also an upper limit. Hygroscopic growth observed at RH=65% is below 5% in diameter (see below). Assuming this water volume fraction, the O/C ratio would be lowered by 15%.

For the microphysical measurements, the aerosol was dried with silica gel diffusion

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



driers achieving relative humidities of about 3% and then neutralized with Kr-85 neutralizers (TSI 3077).

The hygroscopic growth was measured by a home built hygroscopic tandem differential mobility analyzer (HTDMA, Buchholz, 2007, see Fig. 1d). The dried aerosol was size-selected with a DMA (TSI3071) and humidified in a controlled manner. The size of the humidified aerosol was determined with an SMPS (TSI3071+TSI3022A) operating at the same RH. The hygroscopic growth factor (GF) is defined as the ratio of wet particle diameter ( $D_{\text{wet}}$ ) and the original diameter of the particle ( $D_{\text{dry}}$ ). For two selected sizes (150 and 250 nm) GF were determined between 3 and 98% RH.

Cloud droplet activation was studied using a commercial cloud condensation nuclei (CCN) counter (CCN-100, Droplet Measurement Technologies) which measured the number concentration of activated particles at different supersaturations (SS) in a range 0.17–1.20% (SS=RH–100%). In parallel the number size distribution of the dried aerosol was measured with an SMPS system (TSI3071+TSI3785). The diameter at which the normalized cumulative size distribution equals the activated fraction is assumed to be the critical dry diameter ( $D_{\text{crit}}$ ), i.e. particles with a dry diameter bigger than this will be activated.

Light extinction by the SOA was studied using a continuous-wave cavity ring down (CW-CRD) aerosol spectrometer (Fig. 1f) which was described in details elsewhere (Lang-Yona et al., 2009). Briefly, an acousto-optic modulator (AOM) diffracts the 532 nm single mode laser beam and the first order diffraction is directed into a high finesse cavity. A piezoelectric ring, mounted at the light-entry side of the cavity modulates the cavity length until mode-matching between the cavity length and the laser mode is achieved. When the light intensity in the cavity reaches a certain level, the photomultiplier (PMT) placed at the other side of the cavity triggers off the AOM, and the exponential decay of the light intensity in the cavity is measured at about 400 Hz (Lang-Yona et al., 2009). Particles in the cavity reduce the decay time compared to that of the empty cavity. The reduction and the particle number concentration yield the extinction efficiency ( $Q_{\text{ext}}$ ) at a specific wavelength ( $\lambda$ ) and specific size parameter  $x$

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



( $x = \pi D/\lambda$ )), with  $D$  being the geometric particle diameter. Measuring  $Q_{\text{ext}}$  of spherical aerosols as a function of the particle size enables the retrieval of the complex reflective index (RI) by fitting the measured  $Q_{\text{ext}}$  values to a Mie theory (Mie, 1908; Bohren and Huffman, 1998). About 0.8 standard liter per minute (SLM) flow containing mono-disperse SOA were pumped into CRD system for extinction efficiency measurement. A CPC (TSI WCPC3786) was used for determining the particle concentration.

Extinction in the visible range (532 nm) becomes significant at particle diameters larger than  $\sim 150$  nm. To produce SOA particles in this size range within the residence time of the air in our reaction chamber, we operated the plant chamber with 300–860 ppb Carbon (ppbC). This is equivalent to 30–86 ppb monoterpenes (MT), which is relatively high compared to atmospheric concentrations (Greenberg et al., 1999; Kesselmeier et al., 2002).

### 3 Results and discussion

#### 3.1 Plant emission patterns of volatile organic compounds

The VOC emissions from Holm Oak were composed of monoterpenes (MT) ( $97.5 \pm 1.2\%$ ), sesquiterpenes (SQT) ( $0.4 \pm 0.8\%$ ), isoprene ( $1.1 \pm 0.4\%$ ) and non-isoprenoid biogenic VOC ( $1.1 \pm 0.4\%$ ) such as methyl salicylate. In the following the latter compounds are classified as other compounds. The finding that Holm Oak is mainly a monoterpene emitter is in good agreement with reported emissions in Europe (e.g. Staudt et al., 2002; Loreto, 2002, Loreto et al., 1998, 2000).

To test whether the Holm Oak emissions in our SOA formation experiment are typical for this species we performed additional experiments to determine the temperature and PPFD dependence of the monoterpene emissions (data not shown). The temperature and light dependencies are commonly described by phenomenological algorithms (Guenther et al., 1993; Staudt and Seufert, 1995; Schuh et al., 1997). For *Quercus ilex* L. emissions Bertin et al. (1997) described the light dependency using the algorithm of

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



Guenther et al. (1993). Therein the light dependency  $C_L$  is parameterized as a function of PPFD by the empirical parameters  $\alpha$  and  $C_{L1}$  as given in Eq. (1):

$$C_L = \frac{\alpha \cdot C_{L1} \cdot \text{PPFD}}{\sqrt{1 + \alpha^2 \cdot \text{PPFD}^2}} \quad (1)$$

Monoterpene emissions from Holm Oak were negligible in darkness and they increased nearly linearly with increasing PPFD (Bertin et al., 1997; Staudt and Seufert, 1995). We found no significant saturation effects up to  $\text{PPFD}=800 \mu\text{mol m}^{-2} \text{s}^{-1}$ . Caused by the absence of a distinct saturation the cross dependencies of the parameters  $\alpha$  and  $C_{L1}$  in Eq. (1) were high, leading to uncertainties in the fit results. However, within the error limits we obtained the same parameters ( $=0.0009 \pm 0.0007$ ,  $C_{L1}=1.4 \pm 0.8$ ) as those given by Bertin et al. (1997) ( $\alpha=0.00147$ ,  $C_{L1}=1.21$ ).

Below  $30^\circ\text{C}$  the temperature dependencies of most monoterpene emissions were higher than those given in the literature,  $\beta=0.2 \pm 0.03$  (i.e. 20% per degree) measured here compared to  $\beta=0.12$  (Folkers et al., 2008; Staudt and Seufert, 1995). Nevertheless, these temperature dependencies were not exceedingly high. Very strong temperature dependencies were observed for ocimene emissions for which  $\beta$  was found to be 0.3 (i.e. a 30% increase per degree). This observation confirms the findings of Staudt and Bertin (1998) who measured values between  $\beta=0.32$  and  $\beta=0.45$ .

The SOA experiments were carried out between  $30$  and  $35^\circ\text{C}$ , near the optimal enzyme activity, where the temperature dependence of cyclic MT emission is less than 10% per degree. However, ocimene emissions did still change with temperature at a high rate in accordance with previous observations (Staudt and Bertin, 1998). This strong increase of ocimene emissions with temperature led to a systematic change of the emission pattern. At  $25^\circ\text{C}$  the contribution of ocimenes to the total emissions was about 30% whereas at  $35^\circ\text{C}$  they contribute more than 53%. Detailed speciation of the terpenes emitted is given in Fig. 2.

Overall, the emission trend found in this experiment is consistent with the behavior described in the literature (Bertin et al., 1997). This gives us confidence that the emis-

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



sion behavior was typical for Holm Oak and furthermore, that data obtained for the SOA formation potential of Holm Oak emissions may be considered as typical for this species.

### 3.2 Size distribution of the aerosol particles

- 5 The chambers operate as continuously stirred tank reactors (Mentel et al., 2009). Hence flush out (lowering the particle concentration for all size equally), condensation and coagulation (shifting the size distribution to higher diameters) occurred throughout the experiment. During the microphysical measurements which lasted up to 3 h the aerosol size distribution evolved in size and composition.
- 10 Figure 3 shows a sharp nucleation event shortly after the UV light is switched on followed by a second broad continuous nucleation of fresh particles. The particle size distributions were used to determine the particle growth rates and were converted into volume distributions in order to determine particle mass yields. Under our experimental conditions it took about 80 min to reach the maximum particle volume ( $V_{\max}$ ). Using an aerosol dynamical model we calculated that at the time  $t_{\max}$  when  $V_{\max}$  is observed, 15 equivalent aerosol volume is already flushed out of the reaction chamber (Mentel et al., 2009). Therefore, in order to calculate the particle mass produced at  $t_{\max}$  we multiplied  $V_{\max}$  by a factor of 2 to correct for flush out. Furthermore we applied an effective density of  $1.3 \text{ g cm}^{-3}$  to convert from particle volume to particle mass. The effective density was 20 determined by comparing the modal diameters of the SMPS volume and AMS mass (Kiendler-Scharr et al., 2009b). Figure 4 shows the total derived particle mass as a function of the mass concentration of MT and SQT that entered the reaction chamber. As described in detail in Mentel et al. (2009), virtually all MT and all SQT are consumed when  $\sim 10^7 \text{ cm}^{-3}$  OH radicals are present in the reaction chamber. The data point at the 25 lowest mixing ratio is the result from experiments with the small Mediterranean stand. The generated particle mass depended linearly on the consumed mass of MT and SQT. This linearity holds up to  $575 \mu\text{g m}^{-3}$ , which is equivalent to about 860 ppbC. The slope of the linear correlation defines an incremental mass yield of  $5.7 \pm 1\%$ . The positive x-

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



intercept of  $5\pm55 \mu\text{g m}^{-3}$  (equivalent to 12 ppbC) which defines the nucleation threshold (Mentel et al., 2009) is not significant within the large errors. The fractional mass yield is similar to that found for Pine,  $5.3\pm0.5\%$  (Mentel et al., 2009). This implies that the SOA formation potential of Holm Oak emissions is similar to that of Pine, a Boreal tree species. Relating the maximum SOA mass to the amount of emitted VOC eliminates specific temperature or light intensity dependencies of VOC emissions or details of the specific emission patterns. We therefore suggest that the SOA formation potential of tree species just depends on the amount of emitted VOC as long as the emissions mainly consist of monoterpenes.

A linear condensational growth was observed over several hours and growth rates characteristic for each experiment were therefore derived. Figure 5 shows the observed growth rates of Holm Oak emissions (red dots) and the low concentration experiment with the small Mediterranean stand (red square). Due to the high VOC levels, condensational growth rates were  $30\text{--}50 \text{ nm h}^{-1}$ . The calculated growth efficiency of Holm Oak emissions was  $0.06\pm0.01 \text{ nm h}^{-1}$  per ppbC. The low concentration experiment with the small Mediterranean stand (54 ppbC) was consistent with Holm Oak experiments. It is therefore likely that the results of incremental mass yields and growth efficiency for Holm Oak can be extrapolated to lower mixing ratios.

### 3.3 Chemical composition of the aerosol particles

The O/C ratios of the SOA were derived in parallel to the measurements of the microphysical properties. The AMS particle mass spectra were dominated by organic compounds in the aerosols. Inorganic species, such as nitrate, sulfate, and ammonium, did not contribute to the particle mass. The variations in the precursor VOC pattern did not become manifest in marked differences in the mass spectral pattern. The same is true for the two types of SOA formation *Ox-induced* and *VOC-induced*. The AMS data were averaged over each of the 4 periods of the optical and microphysical measurements. Figure 6 shows an average mass spectrum for one of these periods. The total signal

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



is distributed into ionic fragments containing pure hydrocarbons ( $C_xH_y^+$ ), singly oxygenated hydrocarbons ( $C_xH_yO^+$ ), and multiply oxygenated hydrocarbons ( $C_xH_yO_{z>1}^+$ ). The figure displays only carbon-containing fragments.  $H_2O^+$  ions arising from the fragmentation of organics are not included. The organic spectrum is dominated by the oxygenated fragments  $C_2H_3O^+$  at  $m/z$  43 and  $CO_2^+$  at  $m/z$  44 as can be also seen from the highly mass resolved peaks in the inset in Fig. 6. The fractional contribution of the individual ions to the total signal at the integer  $m/z$  43 and 44 did not change during the CRD measurement intervals. The overall fractional amounts were 28% for  $C_xH_y^+$ , 52% for  $C_xH_yO^+$  and 20% for  $C_xH_yO_{z>1}^+$ -fragments. The mass spectral patterns compare well to the average Holm Oak mass spectrum presented in Kiendler-Scharr et al. (2009b).

The elemental analysis yields O/C ratios of 0.57(+0.03/−0.03) throughout all experiments. Because of the non-zero GF for the Holm Oak SOA at 65% RH, we may overestimate somewhat the  $H_2O$  in the particles arising from the fragmentation of the organics. Hence also the O/C ratio may be somewhat overestimated (see details in the Methods section). We calculated the maximum effect that would shift O/C by −0.07, assuming that all water taken up by the particles at RH 65% is detected by the AMS. However, the effect is smaller since water will evaporate on passing through the aerodynamic lens and expansion into the vacuum in the AMS. Consideration of the maximum systematic error leads to O/C of 0.57 (+0.03/−0.1).

### 3.4 Microphysical properties of the aerosol particles

#### 3.4.1 Hygroscopic growth and CCN measurements

Humidograms of the SOA in the hydration mode as measured in the different experiments are shown in Fig. 7. Hygroscopic growth factors at 90 and 95% RH are given in Table 1. For each experiment particles with diameters of 150 nm were selected out of the first nucleated mode. Due to the different condensational growth rates the mea-

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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

---

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



surements started between 50 min and 2.5 h after the onset of nucleation whenever sufficient 150 nm particles were available. The measurement time for each hydration curve was 60 min, during which the SOA continued to grow (compare Fig. 3).

Generally, SOA produced from oxidation of Holm Oak VOC emissions were slightly hygroscopic. The average GF for 150 nm particles was  $1.13 \pm 0.03$  at 90% RH and  $1.21 \pm 0.02$  at 95% RH. 250 nm particles selected out of the first nucleation mode were on average slightly more hygroscopic with  $GF(90\%) = 1.18 \pm 0.01$  and  $GF(95\%) = 1.28 \pm 0.01$ . There was no clear trend related to the different experimental conditions of *VOC-induced* or *Ox.-induced* SOA formation.

The accuracy of the CCN measurements was affected during the first few hours by the fast dynamics of the narrow size distribution, leading to a rather large scatter in the  $D_{crit}$  during the first nucleation event. After 4 to 5 h, particles in the second nucleation event (Fig. 3) had lower growth rate allowing for more reliable CCN measurements.  $D_{crit}$  remained constant in these experiments which is consistent with the constant O/C ratios obtained by the AMS.

$D_{crit}$  from each set of SS are compared in Fig. 8 (left axis, solid triangles). The data was recorded in the time period 6 to 7 h after the initiation of the first nucleation. For each  $D_{crit}$ , the hygroscopicity parameter  $\kappa$  was calculated, assuming the surface tension of water (Petters and Kreidenweis, 2007) and is also shown in Fig. 8 (right axis, open circles). The  $\kappa$  values were in the range of 0.04 to 0.1. In all experiments,  $\kappa$  was not constant but rather decreased with increasing SS.

The CCN measurements at low SS reflect the aged particles at the larger edge of the size distribution while in high SS measurements most of the particles including fresh ones were activated. This is in accordance with the observation that 250 nm particles have slightly higher GF. Considering the condensational growth rates shown in Fig. 5, the 250 nm particles were approximately 2–3 h hours older than the 150 nm particles. During their growth, higher oxidized compounds could condense onto the particles in addition to possible other aging processes that may take place. These processes conceivably led to a different composition for the larger (and “older” particles). By the

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

time particles from the first mode reached 250 nm, the second nucleation event began in the reaction chamber, adding fresh particles that probably had lower O/C-ratios. We note that in contrast to CCN and hygroscopicity measurements, the AMS data were reported as average over the entire size distribution (which has contributions of fresh and aged particles) and hence the average O/C ratio is almost constant. Similar to the atmosphere we generated external mixed aerosols of fresh and continuously aged SOA. Hence, the O/C ratios averaged over the whole size distribution cannot reflect detailed changes in the microphysical properties of parts of the size distribution.

### 3.4.2 CW-CRD aerosol spectrometer measurements

Since extinction at a wavelength of 532 nm becomes significant only at particle diameters larger than  $\sim 150$  nm we applied mixing ratios of 300–860 ppbC and waited 3–4 h until sufficient particles with diameters larger than 150 nm were available.

In Fig. 9, measurements of  $Q_{\text{ext}}$  of Holm Oak SOA are shown as a function of size parameter. The points represent the  $Q_{\text{ext}}$  measured with the CW-CRD and the solid lines represent the Mie curve generated by the retrieved RI,  $n=(1.53\pm 0.08)+i(0.00\pm 0.05)$  (Dinar et al., 2008; Lang-Yona et al., 2009; Riziq et al., 2007, 2008; Spindler et al., 2007). Figure 9a shows measurements for *VOC-induced* SOA formed by raising the VOC emissions of the Holm Oak above the nucleation threshold in the presence of OH and  $\text{O}_3$  in the reaction chamber. *Ox.-induced* particles showed similar RI (Fig. 9b,  $n=(1.53\pm 0.06)+i(0.00\pm 0.04)$ ). The retrieved complex refractive indices indicate a relatively high scattering values in the real part compared to hydrocarbon organics, which range between 1.41–1.54 (Lide, 1997). The complex part of the RI is zero, indicating that these fresh biogenic SOA do not absorb radiation at 532 nm. The slightly high value of the particles' real part might be attributed to their relatively small diameter, getting closer to the Rayleigh regime, leading to overestimation of the real part values (Bohren and Huffman, 1998; Bohren and Clothiaux, 2006). The negligible absorption is attributed to the relatively fresh particles which are mainly composed of low molecular weight oxidation products of MT with an O/C ratio of about 0.6. In the atmosphere

the absorption may increase at later stages, if polymers are formed (Dinar et al., 2008; Rudich et al., 2007). However, the lifetime of the aerosols in our experiments is not long enough to observe substantial ageing and polymer formation. These findings are consistent with previous measurements reported by Dinar et al. (2008) showing negligible absorption in fresh SOA in a rural environment.

5 The similar optical behavior calculated for the two type of experiment, *VOC-induced* and *Ox.-induced* is in line with the similar hygroscopicity and CCN behavior in both experiments, as described in Sect. 3.4.1.

10 The CRD measurements suffer from relatively large measurement errors, most likely due to low particle concentrations ( $150\text{--}200\text{ cm}^{-3}$ ), and the low size parameter which we could achieve in the present setup. Smaller errors should be expected for shorter wavelengths that lead to higher size parameters.

## 4 Summary and conclusions

15 This study focused on SOA formation from VOC emitted by Holm Oak (*Quercus ilex* L.), a common Mediterranean tree. More than 97% of the Holm Oak VOC emissions are composed of monoterpenes. The SOA had similar hygroscopic and optical properties when formed in different experimental procedures. The incremental mass yield was  $5.7\pm1\%$ , the calculated growth efficiencies were  $0.06\pm0.01\text{ nm h}^{-1}$  per ppbC, quite similar to the incremental mass yield and the growth efficiency of Pine tree, a Boreal species. Experiments with a simulated Mediterranean stand match the SOA mass yield and growth efficiencies observed at elevated VOC concentrations. Therefore, we suggest that these values are relevant to the atmosphere and can be applied to model calculations.

20 Assuming that there is no stress-induced change of VOC emissions, our measurements imply that the increase in SOA formation with increasing temperature is higher for Mediterranean trees than for Boreal trees. In particular a 2 degrees increase resulted in a 50% SOA mass increase for Holm Oak caused by the high temperature

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



dependence of ocimene emissions. For Boreal forest trees a 20% increase in SOA mass is projected for 2 degrees increase as the temperature dependence of the VOC emissions from such trees is in the range of 10% per degree. This conclusion is based on our finding that the incremental yield is neither dependent on the VOC patterns 5 nor on the detailed reaction conditions, however, it assumes the gas-phase mechanism does not vary too much with temperature. Moreover, it neglects possible effects of T-induced shifts of the ratio of isoprene emission to MT emissions (Kiendler-Scharr et al., 2009a). Nevertheless, volatility measurements show that the volume of SOA decreases by only 20% per 50 degree change in temperature (Jonsson et al., 2007), 10 suggesting that the SOA increase due to increased emission would be the dominant effect under the projected climate change. The linear relation between SOA mass and VOC emission strength, independent on emission patterns, should ease straightforward model-predictions of SOA formation also in a future warming climate.

The composition of the SOA from Holm Oak emissions as derived from aerosol mass 15 spectra resembled previously published data of biogenic SOA (Kiendler-Scharr et al., 2009b). The average O/C ratio is  $0.57(+0.03/-0.1)$  with an average GF of  $1.13\pm0.03$  at 90% RH and critical diameter of  $100\pm4$  nm at a supersaturation of 0.4%. The hygroscopic growth and the CCN measurements indicated that aged particles were somewhat more hygroscopic than fresh ones. The SOA formed by the oxidation of Holm Oak 20 VOC efficiently scatter visible radiation, with no absorption at 532 nm. Lack of absorption is attributed to the low molecular weight products of the monoterpene oxidation and negligible oligomerization. The invariance of the microphysical and optical properties of the SOA particles despite of changing emission patterns and reaction conditions (*VOC-induced/Ox.-induced*) suggests that these also will not be too different in a future 25 warmer climate compared to now. Note that we can only investigate fresh particles in our setup. Ageing on atmospheric time scale of days may lead to absorptive particles by oligomerization reactions and to more hygroscopic particles by oxidation reactions – today and in a future climate.

The scattering character of the measured SOA may lead to a regional reduction in

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



the direct solar radiation intensity reaching the Earth's surface, possibly contributing to the climatic cooling effect. However, the biogenic SOA can lead to increased diffuse radiation, which was previously shown to significantly increase yields of certain crops (Chameides et al., 1999). It was recently shown that variations in the fraction of diffuse radiation, associated with 'global dimming', enhanced the land carbon sink by approximately 25% between 1960 and 1999 (Mercado et al., 2009). Thus, enhanced biogenic SOA formation due to elevated temperatures may enhance the fraction of diffuse radiation reaching the Earth due to scattering of incoming solar radiation and interactions with clouds. This effect may partly counterbalance the reduction in diffuse radiation projected for the twenty-first century due to reductions in sulfate aerosols (Mercado et al., 2009), hence extending the period of "diffuse-radiation" fertilization effects, at least on regional scales. However, the quantitative impact of this effect is based on the quantitative changes of VOC emission strengths. Such changes are not only caused by increases in temperature but also by stress impacts on plants at elongated drought and heat episodes.

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<a href="#">Title Page</a>	
<a href="#">Abstract</a>	<a href="#">Introduction</a>
<a href="#">Conclusions</a>	<a href="#">References</a>
<a href="#">Tables</a>	<a href="#">Figures</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">Back</a>	<a href="#">Close</a>
<a href="#">Full Screen / Esc</a>	
<a href="#">Printer-friendly Version</a>	
<a href="#">Interactive Discussion</a>	



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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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The chemical and microphysical properties of SOA

N. Lang-Yona et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



- Kulmala, M., Vehkamaki, H., Petaja, T., Dal Maso, M., Lauri, A., Kerminen, V. M., Birmili, W., and McMurry, P. H.: Formation and growth rates of ultrafine atmospheric particles: a review of observations, *J. Aerosol Sci.*, 35, 143–176, 2004a.
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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



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## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

---

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



The chemical and  
microphysical  
properties of SOA

N. Lang-Yona et al.

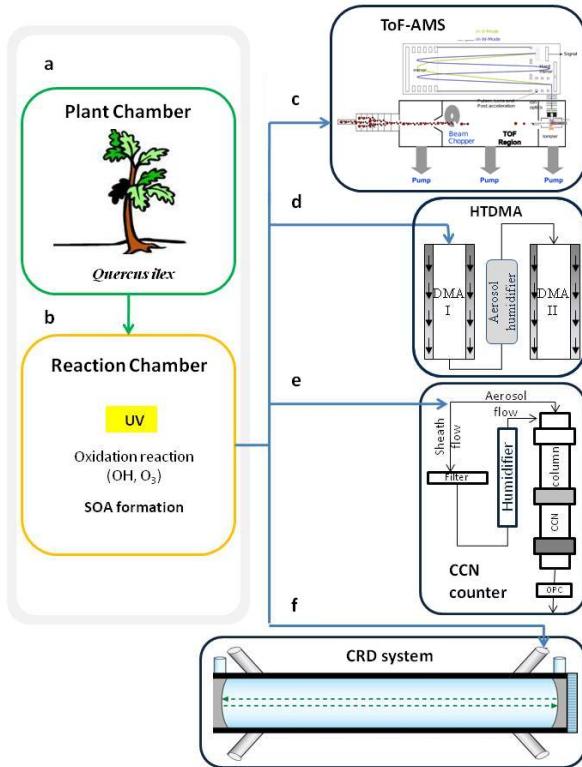
**Table 1.** Hygroscopic growth factor at 90% and 95% RH for all experiments.

Time since event start [hours]	$D_{\text{dry}}$ [nm]	GF(95%)	GF(90%)	Date/Comment
0.5	150	1.19	1.10	080912/ <i>Ox.-induced</i>
2.1	159	1.20	1.12	080915/ <i>VOC-induced</i>
4.0	250	1.28	1.18	080915/ <i>VOC-induced</i>
2.3	150	1.23	1.15	080916/ <i>VOC-induced</i>
2.1	150	1.23	1.17	080917/ <i>Ox.-induced</i>

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[|◀](#)[▶|](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

## The chemical and microphysical properties of SOA

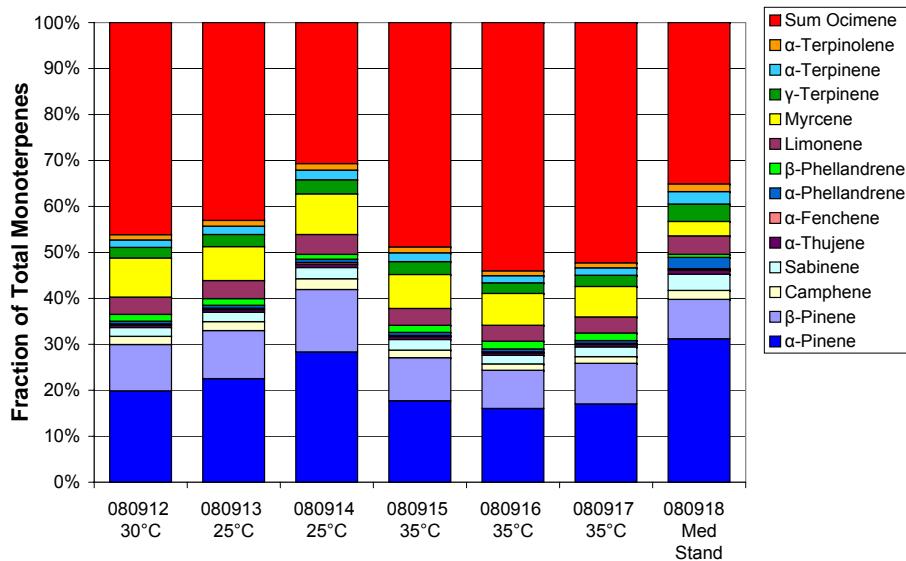
N. Lang-Yona et al.



**Fig. 1.** Illustration of the experimental setup including the plant chamber (a). The volatile organic compounds (VOC) flow is directed into the reaction chamber (b), in which the oxidation processes occur. The formed secondary organic aerosols (SOA) flow is directed into the different instruments: High Resolution Time-of-Flight Aerosol Mass Spectrometer (AMS, c); hygroscopic tandem differential mobility analyzer (HTDMA, d); cloud condensation nuclei (CCN) counter (e); and continuous wave cavity ring down (CW-CRD) system (f).

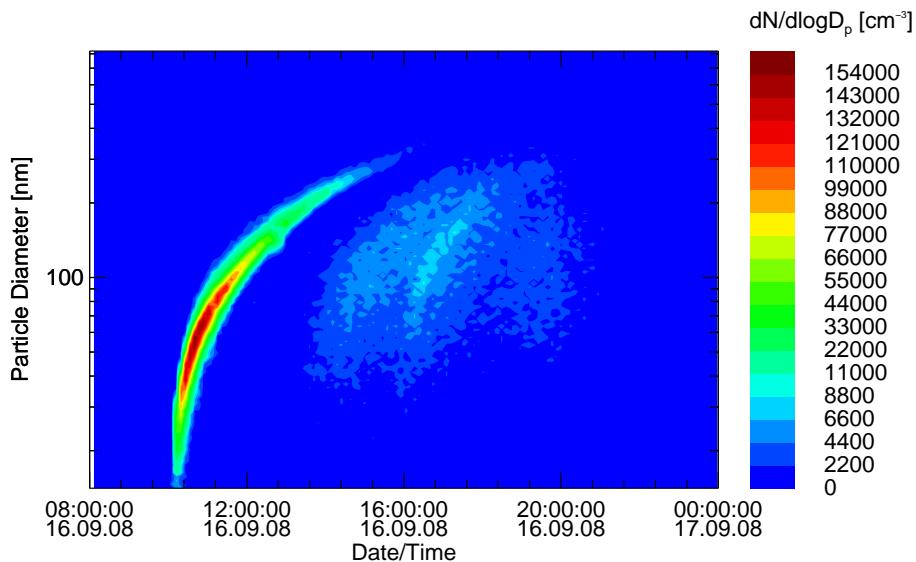
## The chemical and microphysical properties of SOA

N. Lang-Yona et al.



**Fig. 2.** Fractions of monoterpenes emitted from Holm Oak (*Quercus ilex*) determined by GC-MS. Experiment dates (yymmdd) are used to label the experiments. Temperatures in the plant chamber are denoted at the x-axis. The outermost right hand bar shows the monoterpenes pattern of the small Mediterranean stand at  $T=25\text{ }^{\circ}\text{C}$  for comparison.

- [Title Page](#)
- [Abstract](#) [Introduction](#)
- [Conclusions](#) [References](#)
- [Tables](#) [Figures](#)
- [◀](#) [▶](#)
- [◀](#) [▶](#)
- [Back](#) [Close](#)
- [Full Screen / Esc](#)
- [Printer-friendly Version](#)
- [Interactive Discussion](#)



**Fig. 3.** Particle formation started at about 10:00 h as soon as the VOC concentrations in reaction chamber exceeded the threshold level (*VOC-induced* experiment). When the condensational sink was reduced by flush out of the SOA, a consecutive set of smaller nucleation events (quasi-continuous nucleation) began at around 14:00 h. Hygroscopic growth was determined for particles with  $D_{dry}=150$  nm arising from the first narrow nucleation mode. CCN and optical measurements were carried out when the second mode was present.

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

◀

▶

◀

▶

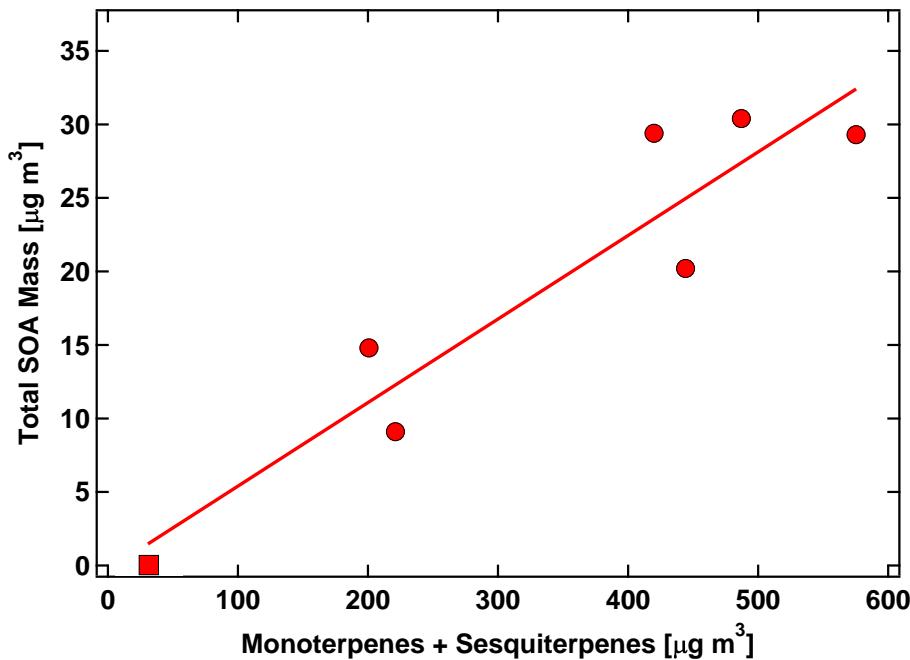
[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



**Fig. 4.** Generated SOA mass as function of the monoterpene and sesquiterpene concentration in the reaction chamber. The red circles are from the Holm Oak experiments, the red square from the small Mediterranean stand. The slope of the linear regression line ( $R=0.94$ ) gave the incremental mass yield of  $5.7\%\pm1$  (the positive x-intercept would define a nucleation threshold of  $5\pm55\text{ }\mu\text{g m}^{-3}$  equivalent to 12 ppbC, but was not significant within the errors).

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[◀](#)

[▶](#)

[◀](#)

[▶](#)

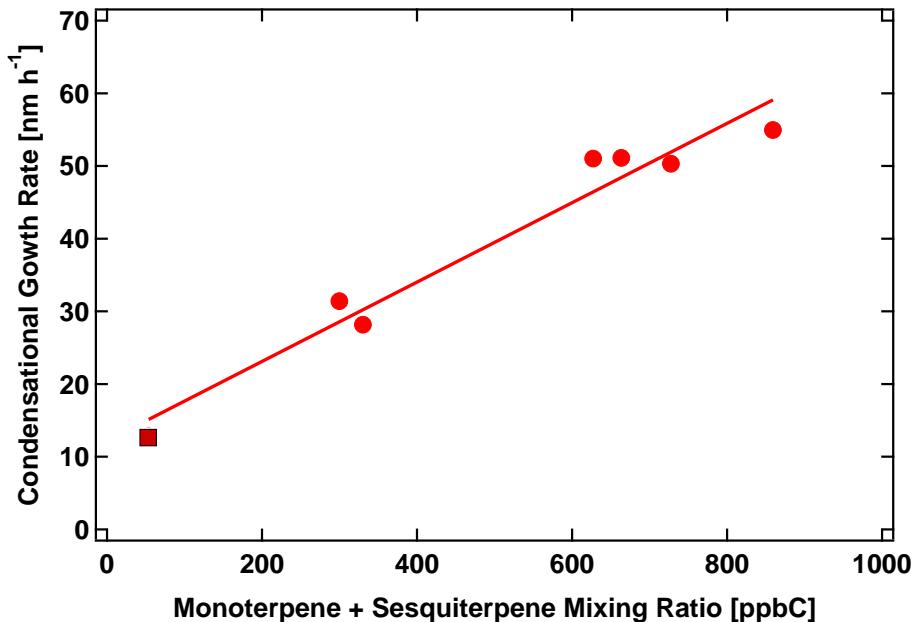
[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)



**Fig. 5.** The condensational growth rate of the first nucleation event is constant over several hours. The average condensational growth rate is plotted as a function of the monoterpene + sesquiterpene mixing ratio in the reaction chamber. The red circles are from the Holm Oak experiments, the red square is from the small Mediterranean stand. The slope of the linear regression line ( $R=0.98$ ) gives a growth efficiency of  $0.06\pm0.01\text{ nm h}^{-1}$  per ppbC (y-intercept= $12.1\pm3\text{ nm h}^{-1}$ ).

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[|◀](#)

[▶|](#)

[◀](#)

[▶](#)

[Back](#)

[Close](#)

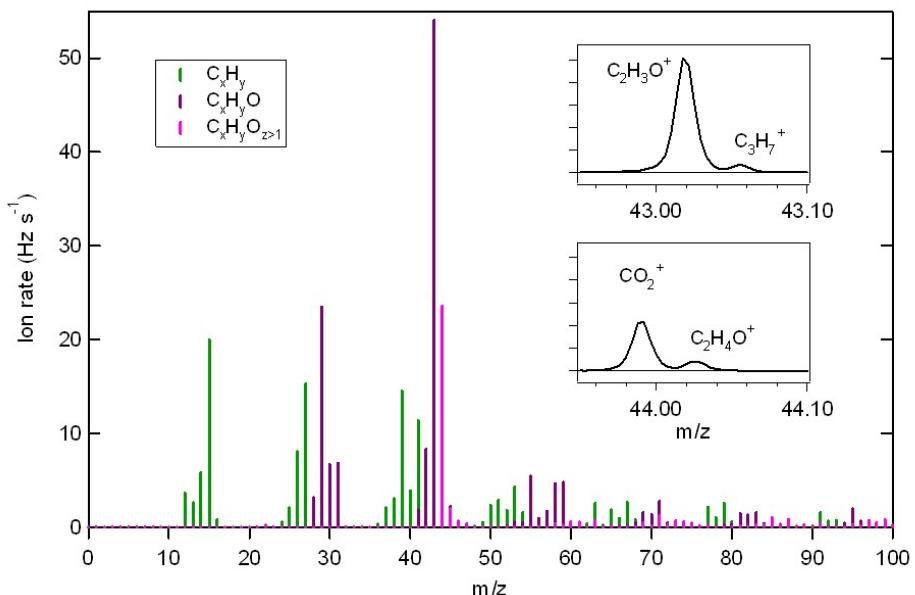
[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)

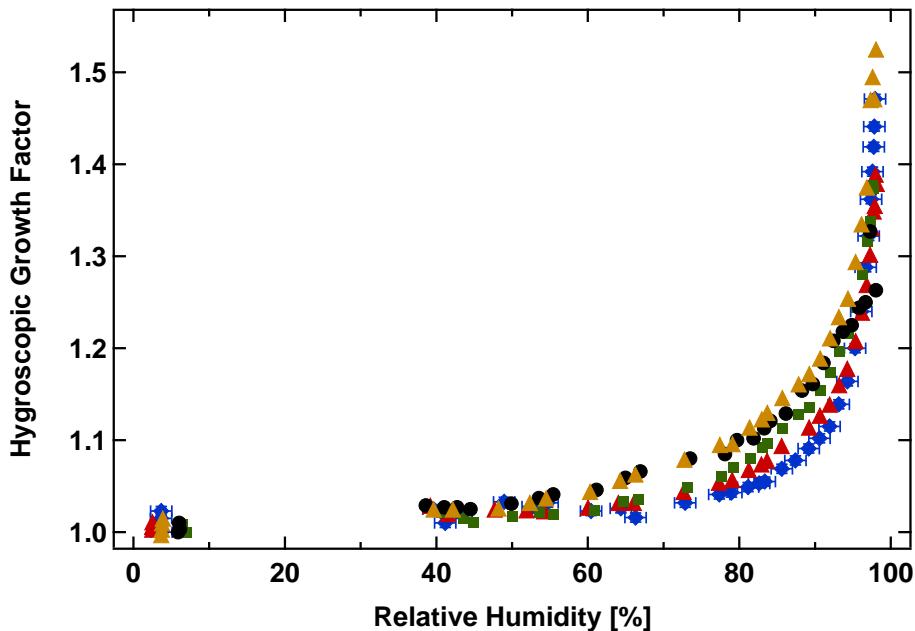
## The chemical and microphysical properties of SOA

N. Lang-Yona et al.



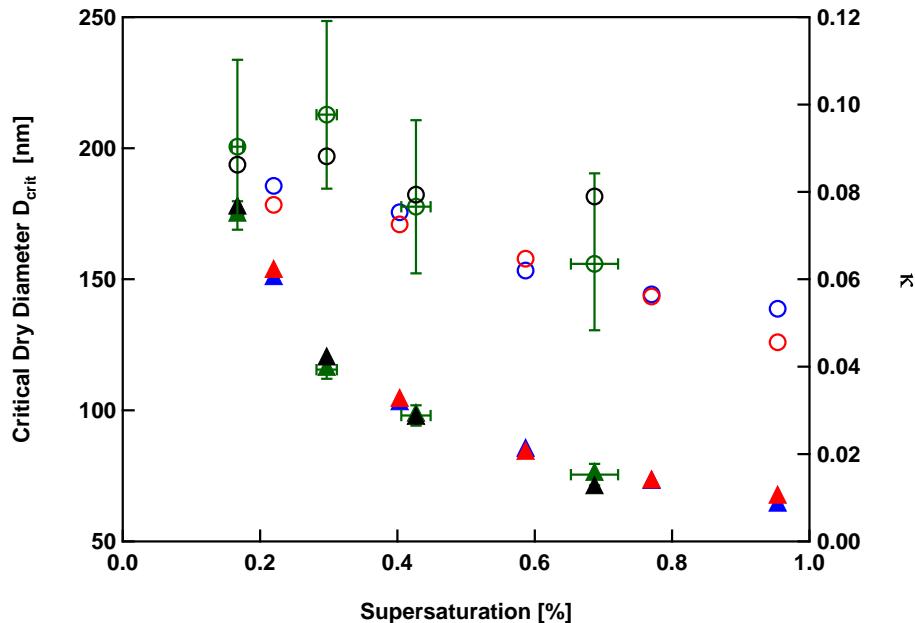
**Fig. 6.** AMS mass spectrum of SOA with unit mass resolution averaged over the period of an optical measurement. Only ion signals of carbon containing fragments are shown. The colors indicate the number of O-atoms in the fragments. The inserts show the ion signals, the fitted peaks, and the residuum as well as the representation at unit mass resolution in the mass spectrum. The ion signal at  $m/z$  43 is composed of a singly oxygenated fragment, whereas the signal at  $m/z$  44 is mainly composed of the  $CO_2^+$  with a small but significant contribution of a singly oxygenated fragment.

<a href="#">Title Page</a>	
<a href="#">Abstract</a>	<a href="#">Introduction</a>
<a href="#">Conclusions</a>	<a href="#">References</a>
<a href="#">Tables</a>	<a href="#">Figures</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">Back</a>	<a href="#">Close</a>
<a href="#">Full Screen / Esc</a>	
<a href="#">Printer-friendly Version</a>	
<a href="#">Interactive Discussion</a>	



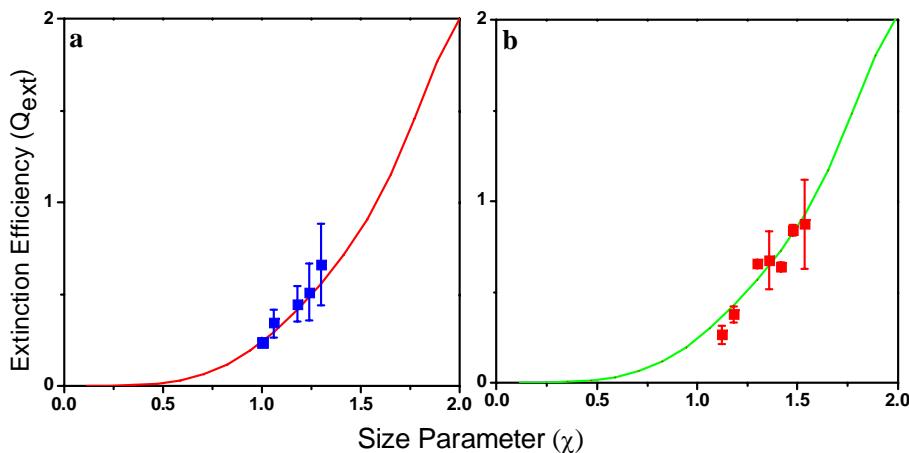
**Fig. 7.** Hygroscopic growth factor as a function of the relative humidity for particles of  $D_{\text{dry}}=150\text{ nm}$  selected from the first nucleation mode. The blue (080912) and black (080917) humidograms were measured in the *Ox.-induced* experiments, red (080915) and green (080916) humidograms stem from *VOC-induced* experiments. A humidogram for 250 nm particles (080915) is shown in yellow. (Experiment dates in parenthesis are the same as in Table 1.) Experimental errors are shown for the blue curve  $\sigma_{\text{RH}}=1.4$ ,  $\sigma_{\text{GF}}=0.005$ , error bar are representative for all measurements. The variation of the humidograms is not related to the experimental conditions in a systematic way. The 250 nm particles, which are also more aged, showed the largest hygroscopicity.

<a href="#">Title Page</a>	
<a href="#">Abstract</a>	<a href="#">Introduction</a>
<a href="#">Conclusions</a>	<a href="#">References</a>
<a href="#">Tables</a>	<a href="#">Figures</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">Back</a>	<a href="#">Close</a>
<a href="#">Full Screen / Esc</a>	
<a href="#">Printer-friendly Version</a>	
<a href="#">Interactive Discussion</a>	



**Fig. 8.** Critical dry diameter for activation ( $D_{\text{crit}}$ ) as a function of the supersaturation (solid triangles, left axis). Calculated  $\kappa$  from one parameters Köhler equation (open circles, right axis). The blue (080912) and black (080917) data was measured in the *Ox.-induced* experiments, red (080913) and green (080916) data stem from *VOC-induced* experiments. (Numbers in parenthesis refer to the experiment date). Typical errors are indicated for some cases. All data were taken 6 to 7 h after the first nucleation started and the second nucleation mode was present. The  $\kappa$  are larger at small supersaturations where particles with larger diameter (and more aged) are probed.

<a href="#">Title Page</a>	
<a href="#">Abstract</a>	<a href="#">Introduction</a>
<a href="#">Conclusions</a>	<a href="#">References</a>
<a href="#">Tables</a>	<a href="#">Figures</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">◀</a>	<a href="#">▶</a>
<a href="#">Back</a>	<a href="#">Close</a>
<a href="#">Full Screen / Esc</a>	
<a href="#">Printer-friendly Version</a>	
<a href="#">Interactive Discussion</a>	



**Fig. 9.** Extinction efficiency ( $Q_{\text{ext}}$ ) as a function of size parameter ( $\chi$ ) for SOA formed by VOC-induced **(a)** and Ox.-induced **(b)** particle formation. The derived complex refractive indices are  $n=(1.53\pm 0.08)+i(0.00\pm 0.05)$  for SOA from *VOC-induced* experiments and  $n=(1.53\pm 0.06)+i(0.00\pm 0.04)$  for SOA from *Ox.-induced* experiments. The squares represent the measurements and the lines are Mie curves calculated on basis of the derived refractive indices.

## The chemical and microphysical properties of SOA

N. Lang-Yona et al.

[Title Page](#)

[Abstract](#)

[Introduction](#)

[Conclusions](#)

[References](#)

[Tables](#)

[Figures](#)

[|◀](#)

[▶|](#)

[◀](#)

[▶](#)

[Back](#)

[Close](#)

[Full Screen / Esc](#)

[Printer-friendly Version](#)

[Interactive Discussion](#)