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# Variability and budget of $CO_2$ in Europe: analysis of the CAATER airborne campaigns – Part 2: Comparison of $CO_2$ vertical variability and fluxes from observations and a modeling framework

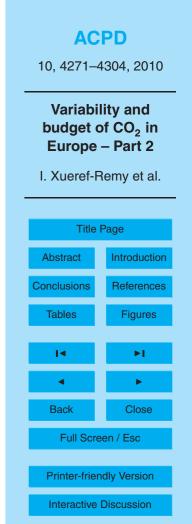
I. Xueref-Remy<sup>1</sup>, P. Bousquet<sup>1</sup>, C. Carouge<sup>2</sup>, L. Rivier<sup>1</sup>, N. Viovy<sup>1</sup>, and P. Ciais<sup>1</sup>

<sup>1</sup>Laboratoire des Sciences du Climat et de l'Environnement, UMR1572, Commissariat à l'Energie Atomique, LSCE-Orme, Orme des Merisiers, 91191 Gif-sur-Yvette CEDEX, France <sup>2</sup>School of Engineering and Applied, Pierce Hall G3H, Harvard University, Cambridge MA 02138, USA

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Correspondence to: I. Xueref-Remy (irene.xueref@lsce.ipsl.fr)

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## Abstract

Our ability to predict future climate change relies on our understanding of current and future CO<sub>2</sub> fluxes, particularly at the scale of regions (100–1000 km). Nowadays, CO<sub>2</sub> regional sources and sinks are still poorly known. Inverse transport modeling, a method often used to quantify these fluxes, relies on atmospheric CO<sub>2</sub> measurements. One of the main challenge for the transport models used in the inversions is to reproduce properly CO<sub>2</sub> vertical gradients between the boundary layer and the free troposphere, as these gradients impact on the partitioning of the calculated fluxes between the different model regions. Vertical CO<sub>2</sub> profiles are very well suited to assess the performances of the models. In this paper, we conduct a comparison between observed and modeled CO<sub>2</sub> profiles recorded during two CAATER campaigns that occurred in May 2001 and October 2002 over western Europe, and that we have described in a companion

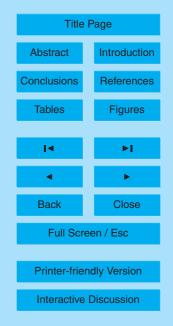
- paper. We test different combinations between a global transport model (LMDZt), a mesoscale transport model (CHIMERE), and different sets of biospheric fluxes, those latter all chosen to have a diurnal cycle (CASA, SiB2 and ORCHIDEE). The vertical
- profile comparison shows that: (1) in most cases the influence of the biospheric flux is small but sometimes not negligeable, ORCHIDEE giving the best results in the present study; (2) LMDZt is most of the time too diffusive, as it simulates a too high boundary layer height; (3) CHIMERE reproduces better the observed gradients between the
- <sup>20</sup> boundary layer and the free troposphere, but is sometimes too variable and gives rise to incoherent structures. We conclude there is a need for more vertical profiles to conduct further studies that will help to improve the parameterization of vertical transport in the models used for CO<sub>2</sub> flux inversions.

Furthermore, we use a modeling method to quantify CO<sub>2</sub> fluxes at the regional scale from any observing point, coupling influence functions from the transport model LMDZt (that works quite well at the synoptic scale) with information on the space-time distribution of fluxes. This modeling method is compared to a dual tracer method (the so-called Radon method) for a case study on 25 May 2001 during which simultaneous

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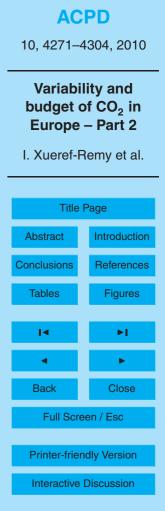


well-correlated in-situ CO<sub>2</sub> and Radon 222 measurements have been collected. Both methods give a similar flux within the Radon 222 method uncertainty (35%), that is an atmospheric CO<sub>2</sub> sink of -4.2 to -4.4 gC m<sup>-2</sup> day<sup>-1</sup>. We have estimated the uncertainty of the modeling method to be at least 33% when considering averages, even much more on individual events. This method allows the determination of the area that

- contributed to the  $CO_2$  observed concentration. In our case, the observation point located at 1700 m a.s.l. in the North of France, is influenced by an area of  $1500 \times 700 \text{ km}^2$ that covers the Benelux region, part of Germany and western Poland. Furthermore, this method allows deconvolution between the different contributing fluxes. In this case
- <sup>10</sup> study, the biospheric sink contributes for 73% of the total flux, fossil fuel emissions for 27%, the oceanic flux being negligeable. However, the uncertainties of the influence function method must be better assessed. This could be possible by applying it to other cases where the calculated fluxes can be checked independently, for example at tall towers where simultaneous  $CO_2$  and Radon 222 measurements can be conducted.
- <sup>15</sup> The use of optimized fluxes (from atmospheric inversions) and of mesoscale models for atmospheric transport may also significantly reduce the uncertainties.

#### 1 Introduction

Predictions of future climate change rely on our ability to understand the present and future distribution of CO<sub>2</sub> fluxes (e.g. Geels et al., 2007). However, the value of CO<sub>2</sub>
fluxes is still uncertain, especially at the regional scale (e.g. Patra et al., 2008; Law et al., 2007; Gurney et al., 2004, 2002). Several methods to quantify CO<sub>2</sub> fluxes exist, mainly inverse modeling (e.g. Rödenbeck et al., 2003; Gloor et al., 2001; Bousquet et al., 1999), the Radon method (e.g. Schmidt et al., 2003, 2001), the boundary layer budget method (e.g. Gibert et al., 2007), and tower flux measurements (e.g. Haszpra et al., 2005). Inverse modeling is the most used approach to quantify regional fluxes, and relies on measurements of atmospheric CO<sub>2</sub> concentrations. Because of the large space they can span in a reduce time, airborne facilities are a well suited for mea-





suring  $CO_2$  concentrations at the regional scale. In a recent paper, (Stephens et al., 2007) have put in light the need of recording more vertical profiles for cross-validation of atmospheric transport models. We rely here on airborne in-situ  $CO_2$  measurements recorded during two CAATER airborne campaigns that occured on 23–26 May 2001

- <sup>5</sup> and 2–3 October 2002 over Western Europe, and during which in-situ CO<sub>2</sub> (for both campaigns), CO (CAATER 2 only) and semi-continuous Radon 222 (CAATER 1 only) measurements have been collected. In a companion paper (Xueref-Remy et al., 2010), we described the observed atmospheric CO<sub>2</sub> variability. Here, we compare models with observations for the CAATER campaigns. We first assess how a global and a
- <sup>10</sup> mesoscale model reproduce  $CO_2$  vertical variability, and second, we use modeled influence functions to quantify  $CO_2$  fluxes during a case study, and assess these results using <sup>222</sup>Rn-CO<sub>2</sub> observations in the framework of the so-called "Radon method".

A major source of uncertainty (bias) of atmospheric transport models used in global inversions is how well they represent the variability of  $CO_2$  with altitude (Stephens et

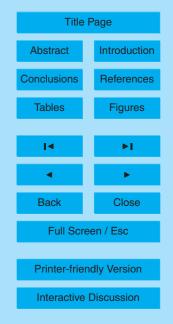
- <sup>15</sup> al., 2007). Among vertical transport processes (deep convection, boundary layer thermic and dynamical mixing, frontal uplift...) the transport between the atmospheric boundary layer (ABL) and the free troposphere (FT) is fairly uncertain. This process can be constrained using CO<sub>2</sub> as a transport tracer, and looking at the vertical gradient between the ABL and FT (Sarrat et al., 2007; Yi et al., 2004; Gerbig et al., 2003a, b;
- Ramonet et al., 2002). Indeed, the gradient between ABL and FT impacts the determination of CO<sub>2</sub> fluxes. As pointed out in (Stephens et al., 2007), not only averaged profiles on large regions must be compared, but also profiles at individual sites. We here test the influence of the model scale (global and mesoscale) on the reproduction of the observed vertical variability, but also different land flux models, all chosen with a metal.
- diurnal cycle as they give better results than models using only monthly means or daily average fluxes (Patra et al., 2008).

Our motivation for the second focus of this paper is that using tools such as influence functions (IF) (e.g. Lauvaux et al., 2009),  $CO_2$  fluxes at the regional scale can be constrained. We use here a method to constrain regional to continental fluxes (500–

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1000 km) directly from observations, that couples influence functions from a transport model and a distribution of fluxes. The model used here is LMDZt, which reproduces quite well synoptic transport (Patra et al., 2008). The results of the method are assessed with the independent use of Radon-222, a tracer of known surface fluxes which <sup>5</sup> scale with unknown CO<sub>2</sub> fluxes. We conducted our work on a case study flight of 25 May 2001, during which both atmospheric CO<sub>2</sub> and Radon 222 were simultaneously recorded with no data gap.

In Sect. 2, we provide a comparison between observed and modeled vertical CO<sub>2</sub> profiles for both campaigns. The comparison is done on the CO<sub>2</sub> profile averaged 10 of each campaign, but also for individual profiles. Two transport model (LMDZt, CHIMERE) and three biospheric flux model (CASA, SiB2 and ORCHIDEE) are tested within different combinations. In Sect. 3, we apply the Radon method for inferring CO<sub>2</sub> fluxes, and compare its results with the ones from the modeling method based on influence functions and flux maps. Both methods are compared for the CAATER 1 campaign, during a flight on 25 May 2001.

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#### 2 Comparison between observed and modeled CO<sub>2</sub> vertical profiles

We evaluate here vertical transport of CO<sub>2</sub> between ABL and the free troposphere in the LMDZt and the CHIMERE tracer transport models with vertical profile information from both CAATER campaigns, using land fluxes from CASA, SiB2 and ORCHIDEE for the global model LMDZt and ORCHIDEE for the mesoscale model CHIMERE. All these models are described here below.

#### 2.1 Model-data comparison set-up

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The LMDZt model (Hourdin et al., 2006) is an offline transport model derived from the atmosphere general circulation model of the Laboratoire de Météorologie Dynamique LMDZ (Hourdin and Armengaud, 1999). In this version, LMDZt has a global grid, which



is zoomed over Europe at horizontal resolution of 1° by 1°. It is parametrized with a diffusive and thermal turbulence convective boundary-layer scheme, and contains 38 vertical levels up to 3 hPa (between 0 and 4000 m). The transport simulation time step is 1 h; horizontal winds are nudged on the ECMWF analyzed fields (Filiberti et al., 2006; Uppala et al., 2005) with a time constant of 3 h, ensuring realistic synoptic CO<sub>2</sub> transport during each campaign (see Peylin et al., 2005; Geels et al., 2007; Patra et al., 2008). For optimal comparison with the CAATER aircraft data, the modeled CO<sub>2</sub>

The Eulerian mesoscale chemical transport model MM5-CHIMERE (Schmidt and al.,

profiles are compared with observation exactly at the same time  $(\pm 1 h)$  and location.

- 2001) is a three dimensional atmospheric transport model primarily designed to make long-term simulations for emission control scenarios on air quality. The model domain used here covers Western Europe at a horizontal resolution of 50 km by 50 km. We use 20 layers in the vertical on terrain following sigma-coordinates, with seven layers in the lowest 300 m and the highest one around mid-troposphere. CHIMERE is an off-line
- <sup>15</sup> model which requires mass-fluxes for transport calculations. These fluxes are provided by a run of the regional meteorological model MM5 (Grell et al., 1994) with output saved every six hours. MM5 is nudged towards the analyses of the European Centre for Medium Range Weather Forecasting (ECMWF) every six hours. The CHIMERE model is a regional model which consequently requires lateral and top boundary conditions,
   which are supplied by a run of the global transport model LMDZ (Law et al., 2008:
- <sup>20</sup> which are supplied by a run of the global transport model LMDZ (Law et al., 2008; Hauglustaine et al., 2004) at daily frequency. For further information, see the model server (http://euler.lmd.polytechnique.fr/chimere/).

Surface fluxes prescribed globally to LMDZt are annual fossil fuel emissions from (Andres et al., 1996), adjusted to the year of the campaigns, monthly air-sea climatologic fluxes from (Takahashi et al., 1999, 2002) and Net Ecosystem Exchange CO<sub>2</sub> flux calculated for each campaign interval, with 3 different flux models: ORCHIDEE, SiB2 and CASA. The ORCHIDEE model (Krinner et al., 2005) simulations were forced by 1/2 hourly meteorological fields interpolated from ECMWF 6-hourly analysis at a resolution of 0.35°×0.35° for 2001 and 2002. Two other alternative Net Ecosystem Ex-

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change (NEE) hourly flux maps have been prepared (although computed on year 2002 only) for the Transcom-continuous experiment (Law et al., 2008). These alternative NEE flux maps at resolution of 1°×1° each 3 h, are from SiB-2 (Sellers et al., 1996) and CASA (Randerson et al., 1997) models. It is interesting to use the SIB-2 and CASA data-oriented NEE as an alternative to the ORCHIDEE process-based model NEE, because the phenology of SIB-2 and CASA is driven by satellite greeness index observations during CAATER 2, whereas the one of ORCHIDEE is calculated from climate.

For each campaign, the mean profiles simulated by the chosen model combinations (LMDTz-ORCHIDEE, LMDZt-CASA, LMDZt-SiB2 and CHIMERE-ORCHIDEE) have

- <sup>10</sup> (LMDTz-ORCHIDEE, LMDZt-CASA, LMDZt-SiB2 and CHIMERE-ORCHIDEE) have been computed and compared to the observed mean profile at 100 m vertical resolution (Figs. 1 and 4). Note that as in the companion paper, before any averaging step, for all of the observed and modeled profiles the altitude has been normalized to the corresponding ABL height. For each profile, the ABL height has been determined with
- <sup>15</sup> a precision of  $\pm$ 50 m as the altitude at which the vertical gradient of the potential temperature begins to decrease, and where CO<sub>2</sub> and H<sub>2</sub>O present step changes (Gerbig et al., 2003a). In addition, two typical profiles have been selected among the 14 sampled per campaign, to illustrate the performances of the transport model but also of the flux model on the simulations (Figs. 2 and 5). For each campaign a correlation plot between the characteristic and MDZt at CLIMAEDE circulations is provided (Figs. 2 and 6) to ca
- the observations and LMDZt or CHIMERE simulations is provided (Figs. 3 and 6) to assess the impact of the transport model scale (global/mesoscale) on the reproduction of the CO<sub>2</sub> gradient between the boundary layer and the free troposphere.

### 2.2 Results for CAATER-1

On Fig. 1, we can observe that there are only small differences in the mean CO<sub>2</sub> profile <sup>25</sup> between LMDZt coupled to any of the biospheric fluxes, and CHIMERE coupled to ORCHIDEE. We observe that the variability of CHIMERE-ORCHIDEE (2.5 ppm in the PBL, 2.2 in the FT) is higher than the one from any LMDZt simulation (about 2 ppm in the PBL, 1.2 ppm in the FT). It is lower than the observed variability in the PBL

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(4 ppm) but higher than the observed variability in the FT (0.5 ppm) (Xueref-Remy et al., 2010). In addition, the mean value of the observed ABL-FT gradient, J, equals 8.9 ppm. The modelled value of J is 2.2 ppm for LMDZt-ORCHIDEE, 1.5 ppm for LMDZt-SiB2, 1.1 ppm for LMDZt-CASA, and 2.3 ppm for CHIMERE-ORCHIDEE (Fig. 1). Thus, the

- <sup>5</sup> CHIMERE-ORCHIDEE and LMDZt-ORCHIDEE simulations are closer to observations than when using the two other flux models. However, the shape of the averaged profile is not well simulated. None of the model configurations can represent well the decrease of CO<sub>2</sub> observed in the mid-ABL, all being too diffusive.
- To illustrate better the role of the transport model scale and of the fluxes, we selected two typical profiles (Fig. 2). The profile on Fig. 2a has been recorded around 14:40 UTC on 26 May 2001 in east Germany north of Oberpfaffenhoffen (OBP). The wind was blowing from West.  $CO_2$  concentration is quite homogeneous in the boundary layer (~364 ppm), with a minimum higher than during the previous days meaning that likely the air has travelled above pollution sources and biospheric sinks which sig-
- <sup>15</sup> nals have been mixed by convection (see Fig. 4 in Xueref-Remy et al., 2010). The ABL height is at 2500 m a.s.l., and a marked CO<sub>2</sub> gradient betwen the boundary layer and the free troposphere is observed , J=9.5 ppm. The simulations show that: (1) independently of the fluxes, the simulations with LMDZt give a much too smooth profile with a low boundary layer height (around 700 m a.s.l) resulting into a jump J comprised
- <sup>20</sup> between 1.1 ppm (Sib2, CASA) and 2.1 ppm (ORCHIDEE); and (2) the simulation with CHIMERE-ORCHIDEE is better than LMDZt-ORCHIDEE in terms of shape, although not perfect as it produces a decrease of  $CO_2$  below the top of boundary layer as seen on observations, but also in terms of jump (~4 ppm). The influence of biospheric fluxes is rather small, indicating that profiles can evaluate transport properties for this profile.
- <sup>25</sup> In addition, the mesoscale model CHIMERE captures the large CO<sub>2</sub> increase across the top of the boundary-layer better than the global model LMDZt.

The profile on Fig. 2b has been recorded over OBP around 15:30 UTC on 26 May 2001, with wind blowing from the North. Here as well, the minimum (~364 ppm) is not as high as during the previous days (see Fig. 4 in Xueref-Remy et al., 2010). The

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ABL height is located at 2400 m a.s.l. and the cross ABL vertical CO<sub>2</sub> gradient quite well marked (J=8.2 ppm). Figure 2b shows that: (1) the LMDZt simulations underestimate the top of boundary layer (~900 m a.s.l.) compared to the observations one but are quite sensitive this time to the surface fluxes, with J=1.1 ppm, 3.5 ppm and 6.2 ppm

- for CASA, Sib2 and ORCHIDEE, respectively; and (2) the shape of the profile sim-5 ulated by CHIMERE-ORCHIDEE is more realistic than with LMDZt-ORCHIDEE, with a boundary layer height located at ~2100 m a.s.l. close to the observed value. The simulated gradient J=4.1 ppm with CHIMERE-ORCHIDEE is lower than the observed one and lower than with LMDZt-ORCHIDEE. The LMDZt-ORCHIDEE combination has
- best performances among the three set of biospheric fluxes to simulate the observed 10 gradient. The bias of this simulation seems to come from the fact that the boundary layer height is simulated at a too low level. The CHIMERE-ORCHIDEE simulation is the closest to the observations, as the mesoscale model manages to reproduce (even if not strongly enough) the structure of the profile. Whatever the transport model, OR-<sup>15</sup> CHIDEE gives the best results among the three biospheric fluxes tested.
- Figure 3 provides a model vs. observed scatter plot of J for the 14 profiles of CAATER-1 for the LMDZt-ORCHIDEE and CHIMERE-ORCHIDEE couples (OR-CHIDEE being identified as the best NEE model for CAATER-1). The modeled J value of LMDZt-ORCHIDEE is weakly correlated with the observed value (R2=0.07,
- slope=0.13) and also less variable across profiles. Although the sign of the J is cor-20 rectly modelled for all the profiles, its magnitude is guite underestimated. This indicates that modeled vertical transport during CAATER-1 is too vigorous in LMDZt. In particular, the ABL height is not marked at all in LMDZt, opposite to the sharp CO<sub>2</sub> discontinuity observed in the aircraft profiles. The CHIMERE-ORCHIDEE simulation
- of J is not better correlated with the observations (R2=0.07, slope=0.16). Analysis of 25 individual profiles (not shown) reveals that CHIMERE tends to do slightly better than LMDZt while understimating J.

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### 2.3 Results for CAATER-2

Figure 4 shows a comparison between observations and model simulations for CAATER-2. In opposite to CAATER-1 (see Fig. 1), we can here observe that the average LMDZt profile is sensitive to the biospheric fluxes, especially near the surface
where there is a depletion of CO<sub>2</sub> due to net plant uptake. CHIMERE-ORCHIDEE does not simulate the mean CO<sub>2</sub> vertical profile better than LMDZt. Variability in CHIMERE-ORCHIDEE is higher than both in LMDZt and in the observations, as seen by the high standard deviation of the 100 m resolution profile (reaching 9 ppm in the lowest levels, compared to an observed value of 4.3 ppm (see Fig. 11 in Xueref-Remy et al., 2010). Furthermore, let us recall that the observed ABL-FT gradient, J=+0.8 ppm; compared to J=-0.3 ppm in LMDZt-ORCHIDEE, -1.8 ppm in LMDZt-SiB2, -1.1 ppm

- in LMDZt-CASA, and 3.2 ppm in CHIMERE-ORCHIDEE (Fig. 4). Thus, all LMDZt simulations give negative values of J, unlike in the observation. By contrast, the CHIMERE-ORCHIDEE simulated J value is positive as the observed one. However,
- LMDZt-ORCHIDEE and LMDZt-CASA combinations do best to reproduce the variability observed in the PBL. Even if opposite, the gradient J is small in both cases, such as in the observations. The LMDZt-ORCHIDEE can be selected as the best simulation in terms of jump and profile structure, closely followed by the LMDZt-CASA couple.

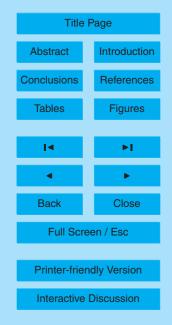
Figure 5 shows two typical profiles to evaluate the effect of transport model scale and of NEE. Figure 5a profile has been recorded above the ORL site, at 11:15 UTC on 2 October 2002. The wind was blowing from South (see Fig. 5 in Xueref-Remy et al., 2010). The ABL top was observed at 750 m a.s.l., and the gradient J=1.5 ppm. In the ABL, CO<sub>2</sub> varied between 368 ppm and 377 ppm (likely a mixture of vegetation uptake, respiration transport and anthropogenic sources), while it was 372.5 ppm in the free troposphere. All the LMDZt simulations, show an accumulation of CO<sub>2</sub> near the ground

25 troposphere. All the LMDZt simulations, show an accumulation of CO<sub>2</sub> hear the ground that is not observed. The model-data misfit is independent of the underpinning NEE flux model, giving a negative J≈3.5 ppm. Also, the ABL height is simulated too high (around 1450 m a.s.l). By contrast, the CHIMERE-ORCHIDEE simulation represents

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quite well the homogeneous vertical profile in the free troposphere. However, the  $CO_2$  profile in the ABL has an opposite shape to the observed one, the model giving an accumulation of  $CO_2$  near the ground, followed by an inversion of the  $CO_2$  gradient near the observed boundary layer height.

- <sup>5</sup> The second case study profile in Fig. 5b has been recorded over Thüringen, eastern Germany at 10:00 UTC on 3 October 2002. The wind was blowing from West/South-West (see Fig. 5 in Xueref-Remy et al., 2010). The ABL height is found at 550 m a.s.l., with a large negative gradient J=-9.1 ppm. The data seem to contain an influence of local pollution in the lowest levels, with a maximum of CO<sub>2</sub> reaching 385 ppm.
- LMDZt prescribed by ORCHIDEE, SIB2 and CASA NEE give distinct profiles. The three NEE flux models always produce a negative ABL-FT gradient (J=-4.5 ppm in SiB2, J=-5.1 ppm in CASA, J=-10.9 ppm in ORCHIDEE) such as the observed one. The ABL height is simulated too high as in the previous case (around 1300 m a.s.l. for SiB2 and CASA, and 1500 m a.s.l. for ORCHIDEE). But, indeed, if the ABL height was
   simulated properly in LMDZt-CASA and LMDZt-ORCHIDEE runs, the CO<sub>2</sub> maximum
- in the ABL would be about 2.3 higher and would match the observed profile quite well (see inset in Fig. 5b). The CHIMERE-ORCHIDEE simulation leads to a  $CO_2$  profile oscillating around the observations, somehow too variable and with a very small J value making the ABL height hard to define from  $CO_2$ . In this case, the parametrization of the model is not diffusive enough.

Figure 6 provides a model vs. observed scatter plot of J for LMDZt-ORCHIDEE that we have identified to be the best combination for LMDZt and NEE model in CAATER-2 as for CAATER-1, and for CHIMERE-ORCHIDEE. A similar bias of LMDZt towards too small and not enough variable J is observed, as for CAATER-1. However, the model low bias is smaller than for CAATER-1, and the modelled vs. observed linear regression slope of J is better defined (R2=0.3, slope=0.6) indicating that the model captures better the between profile J differences. The CHIMERE-ORCHIDEE tends to overestimate the J values with a too large sensitivity (R2=0.3, slope=1.3).

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### 2.4 Discussion

One finding of this comparison is that the NEE flux magnitude may occasionally play a role in determining the magnitude and the sign of the ABL-FT gradient J, and the shape of the  $CO_2$  vertical profiles (see for instance the profile on Fig. 2b). Among the

- three NEE models tested, ORCHIDEE (a climate driven model) gives the best results compared to Sib2 and CASA (that are process-based models); but let us recall that ORCHIDEE has been prepared for both 2001 and 2002, while CASA and SiB2 only for 2002. However, errors in model transport seem to be the most occurrent cause of mismatch with observations. This demonstrates that vertical profiles can be used
- efficiently as a constraint to *falsify* model transport. This was shown for instance by (Stephens et al., 2007) for monthly profiles at various sites around the globe, and we here confirm the results of this global study using the two CAATER intensive campaigns. The fact that the value of J differs strongly among the profiles during the same campaign is important to outline, because it suggests that the CAATER airplane trajec-
- tory sampled a diversity of flux-transport situations that can be used to cross-validate LMDZt and CHIMERE.

When the LMDZt model global results are confronted to CAATER-1 data, in the lowermost atmosphere between 0 and 4000 m, the model shows *consistently* the bias of simulating too stiff vertical profiles. This points out to an overestimation of the mixing rate between the ABL and the FT. Possibly, the entrainment zone, and the no-mixing zone at the ABL top when convection is established (Gibert et al., 2007) is not well resolved by the model parameterization (Hourdin et al., 2002). An overestimation of ABL-FT mixing by transport models was already shown by (Yi et al., 2004) using CO<sub>2</sub> vertical profiles along the WLEF tall tower in Wisconsin, and by (Ramonet et al., 2002)

<sup>25</sup> using ABL aircraft vertical profile data during an intensive campaign over a forest in Russia. In CAATER-2, the LMDZt model results show that the boundary layer high is always too high, and that the model is not able to reproduce a shallow boundary layer, being as well too diffusive. At large scale, the global transport models cross-validation

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analysis of (Stephens et al., 2007) also pointed out to an over estimation of simulated vertical transport in summer, just as we found for the LMDZt model in CAATER-1 and CAATER-2. But the Stephens et al. dataset was more related to evaluation of vertical mixing in the mid troposphere, driven by cloud transport and frontal activity, than the 5 CAATER dataset.

The CHIMERE model results with the underlying dynamical fields of MM5 are globally better than those of LMDZt, as the vertical tranport simulated by CHIMERE is less diffusive. Even if not perfect, CHIMERE often improves the representation of vertical structure of the profiles (see for example profiles from Fig. 2). However, the modeled CO<sub>2</sub> profile can be too variable, leading to unrealistic behavior such as seen Fig. 5b.

Although the mesoscale model CHIMERE appears to be better capable to reproduce CO<sub>2</sub> vertical variability than the global model LMDZt, this kind of study should be extended to more data, and more synoptic situations. It outlines the strong need for more aircraft campaigns to generate vertical profiles that will help to calibrate vertical transport parameterization in models and to better constrain CO<sub>2</sub> flux calculation by

inverse modeling (Stephens et al., 2007).

#### **Regional CO<sub>2</sub> flux calculation** 3

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We estimate here regional CO<sub>2</sub> fluxes using two independent methods. The first method, based on collocated CO<sub>2</sub> and Radon 222 observations is called "Radon method". The second method combines influence function of the measurement to surface fluxes calculated by LMDZt with an a priori distribution of surface fluxes. Both methods are applied to a case-study during the CAATER-1 campaign and their results compared to each other.

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## 3.1 Flux calculation with the <sup>222</sup>Rn tracer method

Simultaneous <sup>222</sup>Rn and CO<sub>2</sub> concentration observations allow the inference of unknown CO<sub>2</sub> surface fluxes, assuming known <sup>222</sup>Rn fluxes and given hypothesis on the <sup>222</sup>Rn flux distribution. This dual tracer method where the concentration change of tracer is scaled to the other in proportion of their surface fluxes has been applied to ground based observatories time series (Biraud et al., 2000, 2002; Levin, 1984, 1999; Schmidt et al., 1996, 2001; Wilson et al., 1997). Radon 222 is a radioactive noble gas with a half-time of 3.8 days, that is emitted at relatively constant rates by soils, while the flux from the ocean surfaces is negligible. <sup>222</sup>Rn emitted by soils is transported by winds and reduced by radioactive decay. We make the (reasonable) hypothesis that the surface flux of <sup>222</sup>Rn is uniform and constant in order to infer less well known continental emissions of other compounds. According to (Schmidt et al., 2001), CO<sub>2</sub> fluxes can be calculated using equation (1) below, under the condition that the correlation factor between Radon 222 and CO<sub>2</sub> data is better than 0.5 (Levin et al., 1999). The calculated CO<sub>2</sub> fluxes is thus expressed as :

$$j_{\rm CO_2} = j_{\rm Rn} \times \Delta C_{\rm CO_2} / \Delta C_{\rm Rn} x (1 + \lambda_{\rm Rn} C_{\rm Rn} / (\Delta C_{\rm Rn} \times \Delta t))^{-1}$$
(1)

In Eq. (1)  $\Delta C_{CO_2}$  and  $\Delta C_{Rn}$  are the species spatial gradients between the measurement location and the marine boundary layer (MBL) concentration, taken here as a baseline, at the day and latitude of the measurement;  $\lambda_{Rn}$  is the radioactive half-time of <sup>222</sup>Rn (3.814 days) and  $j_{Rn}$  is the surface <sup>222</sup>Rn flux influencing the aircraft measurement location.  $\Delta t$  defines a transit time of air parcel from emission to the observation site. Note that in other papers (e.g. Biraud et al., 2000, 2002; Schmidt et al., 2001, 2003)  $\Delta$ stands for temporal, not spatial, gradients.

The uncertainties associated to Eq. (1) are the following. First, we suppose a constant and uniform <sup>222</sup>Rn flux. In reality this flux depends on soil bedrock type, total pore space, tortuosity, soil moisture and precipitation. Its mean variability in Western Europe soils is of the order of 30% (Nazaroff, 1992; Jutzi, 2001; lelsch et al, 2002; Szegvary et

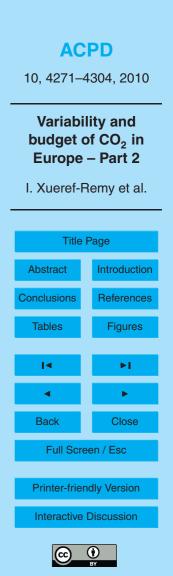


al., 2007). Secondly, the <sup>222</sup>Rn measurement precision itself is ~30% which translates into a relative error of same magnitude in the inferred  $CO_2$  surface flux. Third the error on the transit time is of the order of 6 h, that is an 5% error on the inferred  $CO_2$  flux. In total, we estimate the error on the  $CO_2$  flux of Eq. (1) to be 35%.

### **5 3.2 Flux calculation using influence functions and map fluxes**

Although backtrajectories are useful tools to trace the origin of air masses, they do not allow a quantitative determination of the influence of surface flux on the atmospheric CO<sub>2</sub> concentration. We use here influence functions (IF) calculated by backward transport in the LMDZt model (Hourdin et al., 2006) to link quantitatively surface fluxes and aircraft measured concentrations. Briefly, a mass of inert tracer is emitted at each aircraft measurement location and transported backward in time using the LMDZt 3-D dynamical fields, backward transport being an analog of the adjoint of the transport. This resulting influence function (IF) to surface fluxes quantifies the contribution of each surface grid point to a given measurement. The IF is the potentital sensitivity of the measured concentration to surface fluxes (e.g. Lauvaux et al., 2009; Krol et al., 2003; Stohl et al., 1998a, b, c). Indeed, even if the vertical transport parametrizations have shown some weaknesses in global transport models such as LMDZ, synoptic transport in LMDZt has been proved to be quite performant (Patra et al., 2008). We have combined IF calculated by LMDZt with surface flux maps, in order to estimate

- <sup>20</sup> CO<sub>2</sub> fluxes influencing the aircraft data, assuming that the flux model is already a realistic image of the flux. IF are computed for five days backwards (corresponding to the  $\Delta t$  from Sect. 3.1) starting at noon, which is the time when the depletion of CO<sub>2</sub> started to occur. Note that on Fig. 8b, IF are shown for only day 1–3 backwards, as for the day 4–5, the signals are less than 1% of the maximum sensitivity. The surface flux (Fig. 8a)
- is the sum of a priori fluxes described in section 2, air-sea flux from (Takahashi et al., 1999, 2002), fossil fuel emissions from (Andres et al., 1996) and NEE from ORCHIDEE (Krinner et al., 2005), the model that gave the best results among the 3 biospheric mod-



els tested in Sect. 2. By multiplying the IF by this a priori flux map, we infer the  $CO_2$  flux (Fig. 8c) which influences the aircraft observation to be  $-4.39 \text{ gC m}^{-2} \text{ day}^{-1}$ . NEE contributes dominantly for 73.2% of the total flux as a sink ( $-6.91 \text{ gC m}^{-2} \text{ day}^{-1}$ ), fossil fuel emissions for 26.8% as a source ( $+2.53 \text{ gC m}^{-2} \text{ day}^{-1}$ ). The ocean contribution is a sink less than 0.01% of the total flux.

Although LMDZt has been proven to model quite well transport at the synoptic scale, the dynamics are not perfect (Patra et al., 2008; Geels et al., 2007). Furthermore, the method here relies on a flux set that has not been optimized. Thus, there are two sources of errors in the method: transport uncertainties and flux veracity. Indeed,
the method allows an estimation of the flux distribution that influence the observations, rather than a real optimization of the flux. Assessing the differences between observed and modeled CO<sub>2</sub> concentrations, we can attempt to assess roughly an error on the method. Figure 9 represents a comparison between the timeseries of the observed CO<sub>2</sub> concentration along the aircraft path, and altitude/time cross sections of the LMDZt/flux set simulation. Three main points can be highlighted:

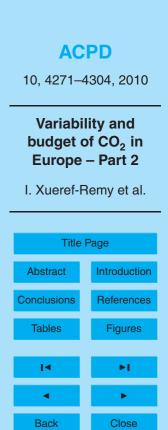
The simulation represents mainly two airmasses in the PBL: one during the first half of the flight, with concentrations in the range of 368–370 ppm typically representative of a mixture of oceanic and biospheric air, lower than the marine boundary layer (MBL) background value (374.5 ppm, see Xueref-Remy et al., 2010). And a second one during the second half of the flight, with higher concentrations of about 373 ppm close to the MBL background concentration thus typical from oceanic airmasses, with some peaks at 380 ppm indicating an enrichment of airmasses in CO<sub>2</sub> due to fossil fuel emissions from the Benelux and the Ruhr regions. This is in agreement with the backtrajectories analysis conducted in the companion paper (Xueref-Remy et al., 2010).

2. The match between the median amplitudes (and not the mean ones!) of the observed concentrations and the modeled ones is guite good, with an observed

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median amplitude of about 6 ppm versus a simulated median amplitude of about

4 ppm. This leads to an underestimation of about 33% by the model framework.

3. The model framework does not reproduce concentration extremes such as the observation depletion during the Radon episode D around 12:10 UTC, and the peak of  $CO_2$  of 380 ppm observed over the Ruhr area around 13:15 UTC. The amplitude between extremes is 3.5 ppm from the model versus 11.5 ppm from observations, therefore the model framework underestimates extremes by roughly a factor 3.

In conclusion, we assess that the error on this method can be large for individual events and is more than 33% when considering averages.

#### 10 3.3 Discussion

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Both methods give a CO<sub>2</sub> flux of the same order of magnitude and comparable within the Radon method uncertainty (35%), the error on the modeling method being presently at least 33%. This flux is the one seen at 1700 m, representative of the area above which the air masses have been travelling before reaching the observing point. The 5-days backward IF covers the North of France, the Benelux, the Netherlands, Germany, Western Poland and the Czech Republic. But most of the surface grid elements are concentrated over the North of France, Germany, the Benelux and Western Poland. The catchment area is thus of the order of 1500 km (longitudinally) per 700 km (latitudinally). This gives an assessment of the flux seen at this moment and for this region of Europe, with a net negative flux of -4.2 to -4.4 gC m<sup>-2</sup> day<sup>-1</sup>. The

- concordance between the <sup>222</sup>Rn method and the IF method relying on an explicit description of transport dynamics is encouraging. It suggests that even if not optimized, the fluxes prescribed to LMDZt are rather realistic. In fact, the IF method can be apply to any observation point on to assess the footprint of the air mass before it reaches
- the measurement location. The flux scale is function of the dynamical fields and of the time backwards, so to say of the observation point altitude because the catchment area globally increases with altitude, as it can be defined using the IF maps (for example, for

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the profile done in the flat region of Brest, we get a fetch of  $50 \times 50 \text{ km}^2$  at 70 m a.s.l.,  $500 \times 500 \text{ km}^2$  at 900 m of altitude and  $1200 \times 700 \text{ km}^2$  at 1500 m). Thus, the knowledge of IF should be useful to help filling the gap between the local and the continental scale for carbon flux calculations on the continents. Of course, this paper shows only

a case study and deeper studies must be conducted to better characterize the errors on the new method, here assessed to be at least 33%. The use of optimized fluxes (from atmospheric inversions) and of mesoscale models for atmospheric transport may significantly reduce the uncertainties.

## 4 Conclusions

- <sup>10</sup> In this paper we have conducted a comparison between observations and modeling atmospheric CO<sub>2</sub> studies for the airborne CAATER campaigns that occured over Europe in May 2001 and October 2002, as described in the companion paper (Xueref-Remy et al., 2010).
- We first have compared CO<sub>2</sub> modeled and observed vertical profiles using different combination of transport models (the global model LMDZt and the mesoscale model CHIMERE) and biospheric flux models chosen to have a CO<sub>2</sub> diurnal cycle (CASA, SiB2 and ORCHIDEE). For the CAATER-1 campaign, the observed mid-ABL gradient of CO<sub>2</sub> is not reproduced by any of the tested model combinations (LMDZt-CASA, LMDZt-SiB, LMDZt-ORCHIDEE and CHIMERE-ORCHIDEE), all being too diffusive.
- For the CAATER-2 campaign, CHIMERE-ORCHIDEE is closer to observations for the ABL-FT jump, but the profile structure is better reproduced by LMDZt-CASA. However LMDZt is still too diffusive and the ABL height not well placed. Globally, we can conclude that: (1) NEE fluxes sometimes play a role in the gradient magnitude and shape, the ORCHIDEE model (a climate driven model) giving the best results compared to
- SiB2 and Casa that are process-based models; (2) however, mismatches between observed and modeled profiles mainly come from errors in the transport models. In fact, LMDZt always simulates too stiff vertical profiles, overestimating the mixing rate

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of the ABL into the FT. This conclusion was also given by (Stephens et al., 2007) that reported a systematic overestimation of simulated vertical transport in Summer by 12 models from the TRANSCOM 3 Level 2 study (Gurney et al., 2004). And during CAATER 2, the ABL height is globally too high in the model. The CHIMERE mesoscale <sup>5</sup> model gives on averaged better results, the vertical transport being less diffusive and the jumps better reproduces. However CHIMERE is sometimes too variable, leading to incoherent structures. Globally on this case study, the mesoscale model seems thus better appropriate than the global one to reproduce vertical profiles. Finally, this work puts in light the fact that more intensive and regular vertical profiles are needed in the

<sup>10</sup> future to conduct further comparisons between observations and models, and thus to make important progresses in the parameterization of the models.

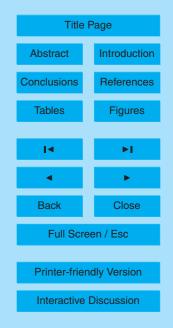
In a second point, we have coupled influence functions (IF) and  $CO_2$  map fluxes to compute the  $CO_2$  flux seen at a given observing point. We have compared the results of this modeling method to  $CO_2$  flux calculated with the Radon method from simultane-

- ous CO<sub>2</sub> and Radon 222 measurements. Both methods have been applied to a case study of the CAATER-1 campaign, on 25 May 2001, during which a good correlation between in-situ CO<sub>2</sub> measurements and semi-continuous Radon 222 observations has been observed. Using IF from LMDZt (for which synoptic transport is known to be quite reliable from Patra et al., 2008) we have assessed the catchment area of the observa-
- <sup>20</sup> tion point, located at 1700 m a.s.l., to be 1500 km (longitudinally) per 700 km (latitudinally) above North of France, Benelux, Germany and western Poland. Both methods give a CO<sub>2</sub> flux of the same order of magnitude (-4.2 to -4.4 gC m<sup>-2</sup> day), within the uncertainty of the Radon method (35%), the uncertainty of the modeling method being estimated higher than 33%. The agreement between the results of both methods is
- very promising for future application of the modeling method on any observation point. However, errors on this latter method have to be better assessed, for example at tall towers where simultaneous CO<sub>2</sub> and Radon 222 measurements can be conducted. Uncertainties may also significantly be reduced by the use of optimized fluxes (from atmospheric inversions) and of mesoscale models for atmospheric transport.

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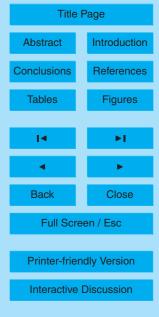
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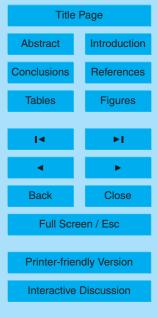
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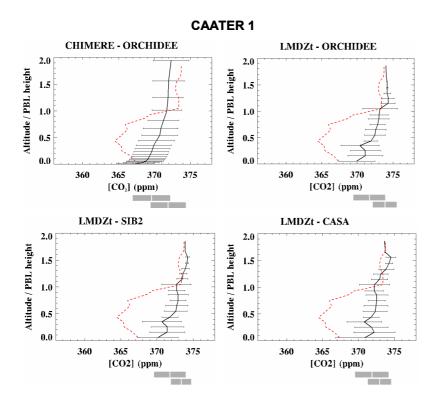
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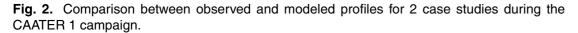


**Fig. 1.** Comparison of the mean modeled and observed profiles for CAATER 1. Simulations have been done using LMDZt-SiB2, LMDZt-CASA, LMDZt-ORCHIDEE and CHIMERE-ORCHIDEE. Horizontal bars represent 1- $\sigma$  variability of the mean, computed every 1/10th of the altitude/ABL height ratio. The global mean and variability (±1- $\sigma$  standard deviation) in the ABL (upper bar) and FT (lower bar) are shown according to the CO<sub>2</sub> concentration scale of the plot.





#### b) 26 May 2001 - 15h30 - Oberpfaffenhoffen a) 26 May 01 - 14h40 - North of Oberpfaffenhoffen 3500 3500 CHIMERE - ORCHIDEE 3000 3000 Altitude a.s.l. (m) Altitude a.s.l. (m) LMDZt - CASA 2500 ............ 2500 LMDZt - SIB2 OBSERVATIONS 2000 2000 1500 1500 1000 1000 500 500 0 0 365 370 375 370 360 360 365 375 CO2 concentration (ppm) CO2 concentration (ppm)



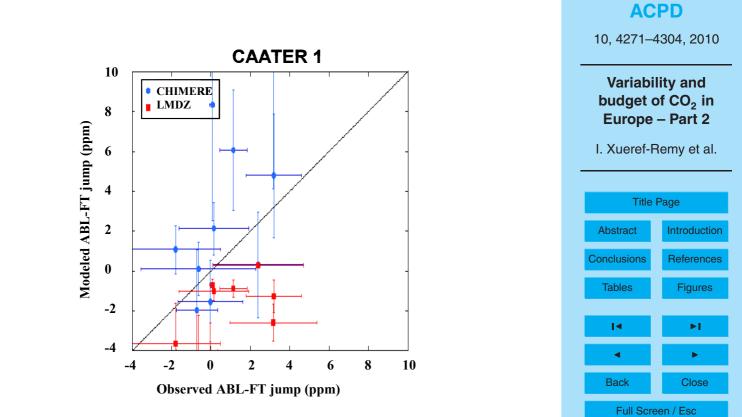
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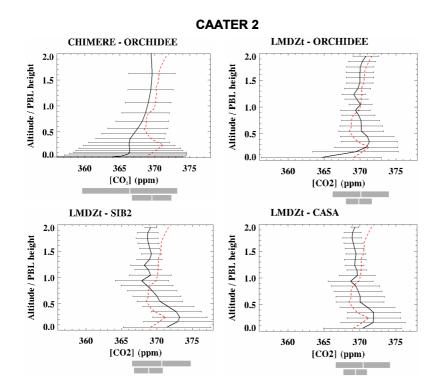


**Fig. 3.** Comparison of the modelled ABL-FT jumps from LMDZ-ORCHIDEE and CHIMERE-ORCHIDEE to the observed jumps for CAATER 1. Points represent the mean jump for each profile and bars represent the associated 1-standard deviation from observations and models.

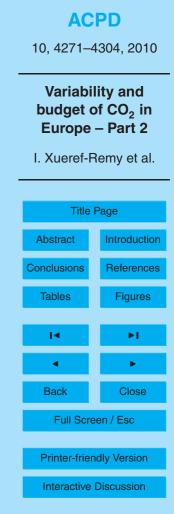


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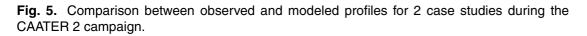


**Fig. 4.** Comparison of the mean modeled and observed profiles for CAATER 2. Simulations have been done using LMDZt-SiB2, LMDZt-CASA, LMDZt-ORCHIDEE and CHIMERE-ORCHIDEE. Horizontal bars represent 1- $\sigma$  variability of the mean, computed every 1/10th of the altitude/ABL height ratio. The global mean and variability (±1- $\sigma$  standard deviation) in the ABL (upper bar) and FT (lower bar) are shown according to the CO<sub>2</sub> concentration scale of the plot.





#### a) 2 October 02 - 11h15 - Orleans b) 3 October 02 - 10h - Thüringen **GHIMERE - ORCHIDEE** Altitude a.s.l. (m) Altitude a.s.l. (m) LMDZt - CASA LMDZt - SIB2 OBSERVATIONS CO2 concentration (ppm) CO2 concentration (ppm)

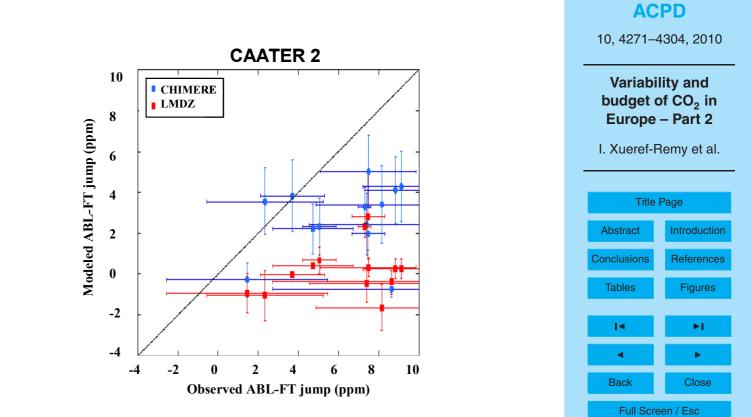


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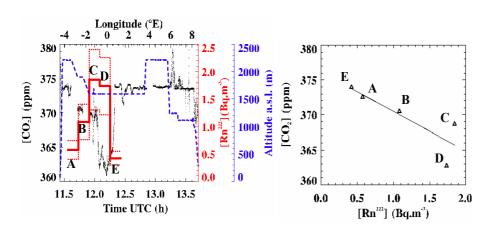




**Fig. 6.** Comparison of the modelled ABL-FT jumps from LMDZ-ORCHIDEE and CHIMERE-ORCHIDEE to the observed jumps for CAATER . Points represent the mean jump for each profile and bars represent the associated  $1-\sigma$  standard deviation from observations and models.

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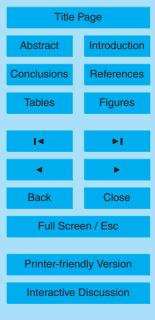


**Fig. 7.** Left panel: Radon concentration with measurement precision (horizontal bars, red),  $CO_2$  (black line) and altitude (dashed blue line) concentrations vs. time measured during CAATER 1 on 25 May 2001. The longitude is indicated on top. Right panel:  $CO_2$  vs. Radon 222 concentrations on 25 May 2001.

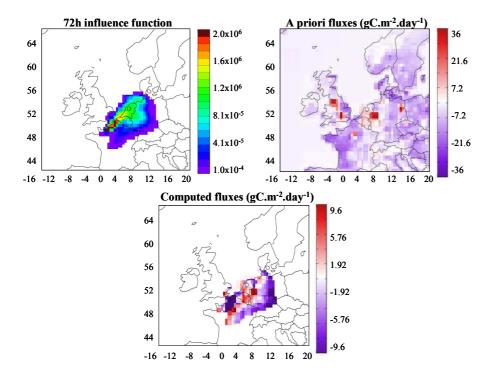
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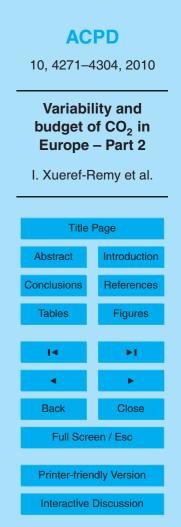
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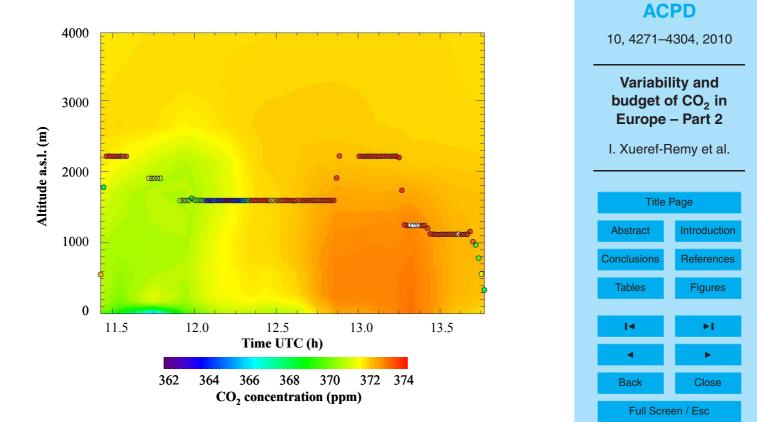


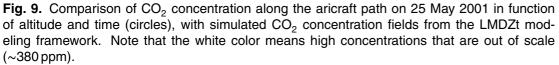


**Fig. 8.** Quantification of the CO<sub>2</sub> flux seen by an observing point by coupling map fluxes and influence functions. Top left panel: LMDZt influence function (IF) for the observation point D from Fig. 7 (for a better visualisation, only 3 days backwards are shown, as the plume is very much dispersed on the 4th and 5th days backwards). Top right panel: A priori fluxes (anthropogenic, biospheric and oceanic), summed and averaged for the period covering 21 May 2001, 12:00 UTC to 25 May 2001, 12:00 UTC. Low panel: A priori fluxes weighted by the IF. Fluxes units are in gC m<sup>-2</sup> day<sup>-1</sup>.











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