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# Thermodynamic properties and cloud droplet activation of a series of oxo-acids

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#### Abstract

We have investigated the thermodynamic properties of four aliphatic oxo-dicarboyxlic acids identified or thought to be present in atmospheric particulate matter: oxosuccinic acid, 2-oxoglutaric acid, 3-oxoglutaric acid, and 4-oxopimelic acid. The compounds

- <sup>5</sup> were characterized in terms of their cloud condensation nuclei (CCN) activity, vapor pressure, density, and tendency to decarboxylate in aqueous solution. We deployed a variety of experimental techniques and instruments: a CCN counter, a Tandem Differential Mobilility Analyzer (TDMA) coupled with a laminar flow-tube, and liquid chromatography/mass spectrometry (LC/MS). The presence of the oxo functional group in
- the  $\alpha$ -position causes the vapor pressure of the compounds to diminish by an order of magnitude with respect to the parent dicarboxylic acid, while the CCN activity is similar or increased. Dicarboxylic acids with an oxo-group in the  $\beta$ -position were found to decarboxylate in aqueous solution.

#### 1 Introduction

- Submicron sized aerosol particles in the Earth's atmosphere influence visibility (Elias et al., 2009), human health (Laden et al., 2006), and global climate (IPCC, 2007). Their chemical composition is complex and includes organic as well as inorganic molecules. The organic fraction has been estimated to account for 20% to 90% of the total aerosol mass (Kanakidou et al., 2005) and includes polyfunctional organic compounds.
- Many polyfunctional organic compounds are both semivolatile and water soluble. Thus they can partition between the particle and the gas phase in the atmosphere. To correctly predict and control air-quality parameters, for example particulate matter loadings, a thorough understanding of this partitioning, and hence an understanding of basic thermodynamic properties such as vapor pressures, is needed. Moreover, due to
- their water solubility, polyfunctional organic molecules may play a role in the formation of cloud droplets and indirectly affect the global radiation budget. Here, knowledge of their ability to act as cloud condensation nuclei (CCN) is critical.

One simple class of polyfunctional organic molecules is the dicarboxylic acids. Their CCN properties (e.g., Hori et al., 2003; Bilde and Svenningsson, 2004; Henning et al., 2005; Hartz et al., 2006), solid state (Bilde et al., 2003; Chattopadhyay and Ziemann, 2005; Cappa et al., 2007; Booth et al., 2009; Zardini and Krieger, 2009) as well as

- <sup>5</sup> subcooled liquid state vapor pressures (Zardini et al., 2006; Koponen et al., 2007; Riipinen et al., 2007) have recently been studied quite extensively. Some of the more complex polyfunctional compounds in the atmosphere include the Humic Like Substances (HULIS) (Graber and Rudlich, 2006; Dinar et al., 2006; Sjogren et al., 2007) for which an understanding of their CCN activity is limited by a lack of information on basic physical properties such as molar weight and density.
- In this work we focus on a series of molecules, which have one more functional group than dicarboxylic acids, but which have simpler structures than HULIS and other polyfunctional macromolecules, namely water soluble oxo-dicarboxylic acids (aliphatic dicarboxylic acids which also have an oxo-group). Specifically, we have investigated
- thermodynamic properties of 4-oxopimelic, 2-oxoglutaric, 3-oxoglutaric and oxosuccinic acids.

4-oxopimelic acid has been detected in particles in the polar atmosphere (Kawamura et al., 1995, 1996), in marine environments (Sakaguchi and Kawamura, 1994; Kawamura and Sakaguchi, 1999; Wang et al., 2006), and suburban locations (Yokouchi and

- Ambe, 1986). 2-oxoglutaric acid and 4-oxopimelic acid have structural features similar to other compounds frequently detected in secondary organic aerosols and are thus considered as standards for organic dicarboxylic acids (Gao et al., 2004). Oxosuccinic acid has been studied previously and is also considered a standard for dicarboxylic acids (Rissman et al., 2007). It has been demonstrated that oxosuccinic acid particles
- can act as cloud condensation nuclei (Rissman et al., 2007), but so far the effects of enolization and decarboxylation on cloud droplet formation have not been considered. Such effects will be investigated in this work. Neither oxosuccinic acid nor 3-oxoglutaric acid have been detected in atmospheric aerosols. However, both compounds can react

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or decompose in the aqueous phase under ambient conditions to products that have been detected, e.g. pyruvic acid (Saxena and Hildemann, 1996).

We have studied CCN and evaporative properties of four oxo-acids. For completeness, we have also examined cloud droplet activation of particles composed of two of the parent dicarboxylic acids: glutaric acid and pimelic acid. Finally, we have deter-

# 2 Theory

## 2.1 Chemistry of oxo-acids

mined dissociation constants and densities.

- The chemical structures of the organic acids studied herein are shown in Fig. 1. Note that oxosuccinic acid and 3-oxoglutaric acid have the oxo-group in the  $\beta$ -position, and that 2-oxoglutaric acid and 4-oxopimelic acid have the oxo-group in the  $\alpha$ - or  $\gamma$ -position, respectively. In this section we discuss the aqueous phase chemistry of oxo-acids with the oxo-group in the  $\beta$ -position. Most notable is that  $\beta$ -oxo-acids can decarboxylate, i.e. loose CO<sub>2</sub> (e.g., McMurry, 2003). In this work we have studied two such acids, namely 3-oxoglutaric acid and oxosuccinic acid.
- $\beta$ -oxo-compounds will exist in three different forms when dissolved: keto-form, enolform (*Z* or *E*) and as a hydrated gem-diol, see Fig. 2. The position of the equilibrium between these forms depends on various factors such as temperature, pH, concentration, and the polarity of the solvent. In polar solvents such as water, at room tempera-
- ture, and pH near or slightly below 7, studies indicate that oxosuccinic acid is mainly in the keto-form, the abundance of which is 70–90% (Pogson and Wolfe 1972; Kokesh, 1976; Emly and Leussing, 1981). In less polar solvents such as methanol, a shift towards the enol-form is observed (Kumler et al., 1962; Kokesh, 1976). Conversion between the keto- and the enol-form is catalyzed by H<sub>3</sub>O<sup>+</sup>, OH<sup>-</sup> (McMurry, 2003), and certain enzymes (Loewus et al., 1955).
  - Of the three forms, only the keto-form will decarboxylate (Steinberger and Westheimer, 1951; Kosicki et al., 1964). Decarboxylation may be catalyzed by enzymes

(Kornberg and Ochoa, 1948), various metal ions (Krebs, 1942; Kornberg and Ochoa 1948) such as lithium (Kosicki et al., 1964), copper (Raghavan and Leussing, 1976), and magnesium (Kosicki and Lipvac, 1964), but it will also happen spontaneously in aqueous solutions (Krebs, 1942; Kornberg and Ochoa, 1948). Figure 3 shows the spontaneous decarboxylation of oxosuccinic acid and the equilibrium between the enol

and the keto-form of the resulting pyruvic acid (Kosicki and Lipvac, 1964).

Oxo-dicarboxylic acids are divalent, and, depending on pH, the acids will exist in their neutral form (HOOC–R–COOH), as a mono-anion (HOOC–R–COO<sup>-</sup>) or as a di-anion (<sup>-</sup>OOC–R–COO<sup>-</sup>). The mono-anion of oxosuccinic acid decarboxylates much faster

- than the di-anion (by a factor 4) and the neutral form (by a factor 44) (Buldain et al., 1985). At room temperature, the rate is therefore barely measurable in very acidic (pH<1) or very alkaline solutions (pH>13) where oxosuccininc acid is stable (Krebs, 1942). The maximum rate of decarboxylation is found within that range. For example, at pH 4 and a temperature of 20 °C, the decomposition of the keto-form was found to be between 6%/h and 18%/h (Krebs, 1942). The uncertainty of the rate may be ascribed
- to traces of impurities catalyzing the reaction (Krebs, 1942).  $\beta$ -oxo-acids can also undergo aldol-reactions to yield products such as citroylformic

acid (Buldain et al., 1985), shown in Fig. 4. Figure 5 shows the aldol-reaction of pyruvic acid (Buldain et al., 1985), which is a major decarboxyliation product of oxosuccinic

- <sup>20</sup> acid. As mentioned above, only the keto-form of  $\beta$ -oxo-acid will decarboxylate. Since the relative concentration of the keto-form is dependent on solvent, temperature and pH, the rate of decarboxylation and the concentrations of the decomposition and reaction products from Figs. 3–5 are also dependent on these factors.
- 3-oxoglutaric acid (acetonedicarboxylic acid) has also been observed to decarboxylate. The solid compound rapidly decomposes to CO<sub>2</sub> and acetone by heating (von Pechmann, 1884), but at lower temperature this reaction is slow, so the compound is stable for example at room temperature (Wiig, 1929). In aqueous solution, the decarboxylation of 3-oxoglutaric acid is catalyzed by e.g. aniline, hydrochloric acid, inorganic salts and metal ions (Wiig, 1928; Prue, 1952; Larson and Lister, 1968).

Like oxosuccinic acid, 3-oxoglutaric acid can exist in the keto-form, enol-from or as a gem-diol. The keto-form, which is the only one capable of decarboxylating, is dominant at room temperature in aqueous solution (Wiig, 1928). The products and kinetics of 3-oxoglutaric acid have not been thoroughly studied, but the decomposition to acetone occurs in two steps (Prue, 1952; Hay and Bond, 1967), shown in Fig. 6. The stability of 3-oxoglutaric acid in aqueous solution depends on pH, because the neutral molecule decarboxylates slower than the mono-anion, but much faster than the di-anion (Larson and Lister, 1968).

#### 2.2 Cloud droplet activation

<sup>10</sup> Critical supersaturations were calculated using Köhler theory. All molecules studied have a high solubility in water and limited solubility was not accounted for. The Köhler equation (Köhler, 1936) gives the ratio, *S*, of the equilibrium vapor pressure of water over an aqueous solution droplet surface compared to the equilibrium vapor pressure of pure water over a flat surface. Here we express it the in the following way (Seinfeld and Pandis, 1998):

$$S = a_{\rm w} \cdot \exp\left(\frac{4M_{\rm w}\sigma_{\rm l,air}}{RT\rho_{\rm l}D_{\rm p}}\right),\tag{1}$$

where  $a_w$  is the water activity,  $M_w$  is the molar weight of water,  $\sigma_{l,air}$  is the air–liquid surface tension, R is the ideal gas constant, T is the absolute temperature,  $\rho_l$  is the density of the solution, and  $D_p$  is the droplet diameter. In this work we approximate  $\sigma_{l,air}$  and

 $_{20}$   $\rho_{\rm I}$  by the surface tension (0.07275 N m<sup>-1</sup>) and density (997.96 kg m<sup>-3</sup>) of pure water, respectively. The temperature is assumed to be room temperature,  $T_{\rm room}$ =298.15 K. The maximum of *S* (as a function of  $D_{\rm p}$ ) defines the so-called critical supersaturation, SS<sub>c</sub>.

Although we know that oxosuccinic acid and 3-oxoglutaric acid can undergo chemical reactions in the aqueous phase, as a first approximation critical supersaturations were calculated assuming that no such reactions occurred. Following e.g. Bilde and Svenningsson (2004), water activities in this work were calculated as follows:

$$s \quad a_{\rm w} = \frac{n_{\rm w}}{n_{\rm w} + v_i n_{\rm i}} = \left(1 + v_j \frac{\rho_{\rm i} M_{\rm w}}{\rho_{\rm w} M_{\rm i}} \frac{D_{\rm p}^3 - d_0^3}{d_0^3}\right)^{-1}.$$
 (2)

The subscripts i and w denote the solute and water, respectively. n is the number of moles,  $\rho_i$  is the particle density,  $d_0$  is the initial dry size of the particle, and  $v_i$  is the van't Hoff factor. The van't Hoff factor accounts for non-ideality and the dissociation of species *i*. Neglecting non-ideality, the van't Hoff factor can be calculated from the degree of dissociation of the acid.

Dicarboxylic acids can dissociate in aqueous solution:

$$HCOO-R-COOH \rightarrow HCOO-R-COO^- + H^+$$
(R1)

$$HCOO-R-COO^{-} \rightarrow COO-R-COO^{-} + H^{+}$$
(R2)

Below we show that for the acids studied in this work it is reasonable to neglect the second dissociation step and consider the acids monovalent. For a monovalent acid the van't Hoff factor can be calculated as a function of acid concentration as follows:

$$v_{i} = 1 + \omega_{i} = 1 + \frac{-K_{a1} + \sqrt{K_{a1}^{2} + 4K_{a1} \cdot c_{i}}}{2c_{i}},$$
(3)

where  $\omega_i$  is the dissociated fraction and  $c_i$  is the total concentration of the dicarboxylic acid *i* (molarity scale). Values of  $pK_{a1}$  (where  $pK_{a1} \equiv -\log K_{a1}$ ) are given in Table 1, and Fig. 7 shows van't Hoff factors as a function of acid concentration for different values of

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Figure 8 shows the van't Hoff factor at activation for different values of  $pK_{a1}$  for a monovalent model acid with molar mass M=150 g/mol and density  $\rho=1.5$  g/cm<sup>3</sup>. The van't Hoff factor for such an acid with  $pK_{a1}$  larger than 4 is close to 1, which justifies ignoring dissociation for these acids. For acids with a smaller  $pK_{a1}$ , dissociation based on the acid constant should be taken into account.

To justify neglecting the second dissociation step, we consider an acid with the same properties as oxosuccinic acid, which is the strongest of the studied acids. We compare dissociation at activation of two dry particles with initial sizes of 40 nm and 90 nm, respectively (representative of the size range of dry particles studied herein). To esti-

- <sup>10</sup> mate the acid concentration in the droplet at activation, we assume that the van't Hoff factor at activation is 1.3 for both particles. This value is calculated from actual measurements of critical supersaturation, which will be presented in Sect. 4.4. The critical supersaturation of particles with diameters of 40 nm and 90 nm were determined, and Köhler theory was fitted to these experimental results by varying the van't Hoff factor.
- <sup>15</sup> Best fits were obtained with van't Hoff factors of 1.28 and 1.33, respectively. A van't Hoff factor of 1.3 also seems a reasonable estimate based on Fig. 8 using a  $pK_{a1}$  of 2.2. For the 40 nm particles, the total acid concentration (the sum of dissociated and undissociated acid) is 0.17 M, of which 0.040 M (24%) has dissociated once and exists as the mono-anion, and 0.00077 M (less than 0.5%) has dissociated twice and exists as
- <sup>20</sup> the di-anion (using  $pK_{a1}$ =1.9 and  $pK_{a2}$ =4.0). For the 90 nm particles, the total acid concentration is 0.053 M, of which 0.020 M or 38% has dissociated once and exists as the mono-anion, and 0.0012 M or 2.6% has dissociated twice and exists as the di-anion. In both cases it is seen that the first step of the acid dissociation is significant, whereas the second step is negligible when calculating van't Hoff factors. 2-oxosuccinc acid is
- the most acidic compound of the study. Therefore, the second step of dissociation will be even less significant for any of the other studied oxo-acids.

The relationship between dry particle diameter and critical supersaturation has also been described by the single hygroscopicity parameter,  $\kappa$ , introduced by Petters and Kreidenweis (2007):

$$\frac{1}{a_{\rm w}} = 1 + \kappa \frac{V_{\rm s}}{V_{\rm w}},\tag{4}$$

s where  $V_s$  is the solute volume (dry particle volume) and  $V_w$  is the water volume. A higher  $\kappa$  means a higher hygroscopicity.

#### 2.3 Evaporation

Solid state vapor pressures were inferred from measurements of evaporation rates using the approach of Bilde and Pandis (2001), Bilde et al. (2003), and Mønster et al. (2004), summarized below. We assume that the particles are spherical, their surface free energy is isotropic, and that the partial pressure of a species *i* far from the particle surface is negligible. Furthermore we neglect latent heat effects.

In brief, the vapor pressure  $p_i^0$  of compound *i* over a flat surface can be obtained from particle diameters before ( $D_i$ ) and after ( $D_f$ ) evarporation, evaporation time ( $\Delta t$ ), and surface free energy between the solid and the gas phase ( $\sigma_{s,air}^i$ ) as follows (Rader and McMurry 1987):

$$\rho_i^0 = -\frac{\rho_i RT}{4D_{i,\text{air}}\Delta t M_i} \cdot \int_{D_i}^{D_t} \frac{D_p}{F(Kn_i,\alpha_i)} \cdot \exp\left(\frac{-4\sigma_{s,\text{air}}^i M_i}{D_p \rho_i RT}\right) dD_p.$$
(5)

It is assumed that surface free energy,  $\sigma_{s,air}^{i}$ , is independent of particle size. *R* is the gas constant, *T* is the temperature,  $M_i$  is the molar mass of species *i*,  $\rho_i$  is the density of *i*, and  $D_{i,air}$  is the diffusivity of *i* in air. We calculate  $D_{i,air}$  as described in Bird et

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al. (1960) using the physical properties and Lennard-Jones parameters in Table 1:

$$D_{i,\text{air}} = 5.9542 \cdot 10^{-24} \frac{\sqrt{T^3 \left(\frac{1}{M_i} + \frac{1}{M_{\text{air}}}\right)}}{\rho \sigma_{i,\text{air}}^2 \Omega_{i,\text{air}}}$$
(6)

where  $M_{air}$ =0.0289 kg/mol is the molar weight of air, and p is total pressure (Pa). The collision integral  $\Omega_{i,air}$  is obtained from Bird et al. (1960), where it is tabulated as a function of  $k_{\rm B}T/\varepsilon_{i,air}$  (see Table 1).  $\sigma_{i,air}$  can be determined as described in Table 1.

We use the transition regime correction suggested by Fuchs and Sutugin (1971):

$$F(Kn_{i},\alpha_{i}) = \frac{1 + Kn_{i}}{1 + 0.3773Kn_{i} + 1.33Kn_{i}(1 + Kn_{i})/\alpha},$$
(7)

where  $Kn_i = 2\lambda_i/D_p$  is the Knudsen number. An alternative formulation suggested by Bademosi and Lui can be found in Zhang et al. (1993), and it was used for the sensitivity

analysis described below. Since we do not have information about the accommodation coefficient ( $\alpha$ ) we follow the recommendation by Kulmala and Wagner (2001) and assume  $\alpha$  to be unity.

#### 3 Experimental

Chemicals were obtained from commercial sources: oxosuccinic acid (Aldrich, 98%), glutaric acid (Aldrich, 99%), 2-oxoglutaric acid (Aldrich, 98%), 3-oxoglutaric acid (Alfa Aesar, 97%), pimelic acid (Fluka, >99%), 4-oxopimelic acid (Fluka, >99.0%) and used as received. Aqueous solutions were prepared by dissolving the chemicals in doubledeionized water purified using a MilliQ Plus Ultrapure water system. All acids were easily dissolved. Typically, the concentration of the aqueous solutions used for atomization

were ~0.2 g/L in the CCN experiments and ~0.1 g/L in the evaporation experiments. High pressure, dried and purified air was used in all experiments.

## 3.1 $pK_a$ values and densities

The acid strengths of the 2-oxoglutaric acid and 4-oxopimelic acid could not be found in literature and were estimated by titration with NaOH using a combination electrode purchased from Radiometer, calibrated using two buffers with pH 4

and 7, and connected to a PHM82 Standard pH-meter. Titration curves can be found in Supplemetary Material (http://www.atmos-chem-phys-discuss.net/10/3755/ 2010/acpd-10-3755-2010-supplement.zip).

Densities of the oxo-acids were estimated by mixing two liquids of different known densities and placing a few acid crystals in the mixture. The two liquids were chosen

- <sup>10</sup> based on the following criteria: the oxo-acids were virtually insoluble in both liquids, and one liquid had a higher density than the oxo-acid (i.e., when placed in this liquid, the oxo-acid crystals floated to the top), and the other had a lower density (i.e., the oxo-acid crystals sank to the bottom). The liquids were mixed in such proportions that the oxo-acid crystals remained suspended. The density of the mixture was then equal
- to the density of the crystals and could be determined by weighing a known volume of the liquid.

Table 2 summarizes the chosen liquids, their properties and the resulting estimates of densities for the oxo-acids. The measurement of densities was repeated 3-10 times for each acid and uncertainties on the density represent 1-2%.

#### 20 3.2 Evaporation rates

Evaporation rates were measured using a modified tandem differential mobility analyzer system (TDMA) which have previously been described in Bilde et al. (2003) and Koponen et al. (2007). A monodisperse aerosol distrubution (selected with a Hauketype differential mobility analyzer (DMA) with an average width of the distribution of

1.09) evaporates for a given recidence time in a 3.5 m long laminar flow-tube. The size distribution of the aerosol particles is measured immediately after the Hauke-type DMA, at the entrance to the flow-tube, at four different ports along the length of the flow-tube

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as well as at the end of the tube using a second DMA (TSI 3081) coupled with a particle counter (UFCPC, TSI 3776). The Hauke DMA was operated in non-recirculating mode with sheath and aerosol volumetric flow rates of 3 l/min and 0.3 l/min, respectively. The aerosol flow through the laminar flow-tube was 0.3 l/min and to maximize the residence

- time in the flow reactor no sheath air was added. The second DMA was operated in non-recirculating mode with a sheath air to aerosol flow ratio of 3:0.3 l/min. Here the TDMA system was used to measure the evaporation rates of submicrometer sized particles of oxo-acids under dry conditions. Aerosols were generated by
- atomization of aqueous solutions of the individual oxo-acids in a constant output atom izer (TSI 3076) operated in non-recirculating mode followed by drying in two silica gel diffusion dryers. The crystalline particles were neutralized by exposing them to a Kr-85 bipolar ion source (TSI, 3077A Aerosol Neutralizer). Experiments were performed at ambient temperature and pressure and relative humidities less than 6%. Number concentration in the flow-tube ranged from 2×10<sup>4</sup> to 6×10<sup>4</sup> cm<sup>-3</sup> on average, depending on the experiment.
- For calculation of vapor pressures we use Eq. (5), where the initial and final diameters are measured at port 1 and port 4. Since information about surface free energies of organic molecules is limited, we rely on experience and data analysis from previous studies (Bilde and Pandis, 2001; Bilde et al., 2003) to infer a range of surface free energies and corresponding vapor pressures.

## 3.3 CCN measurements

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The experimental system used for measuring CCN properties has been described in detail previously (Bilde and Svenningsson, 2004; Svenningsson et al., 2006) and will only be discussed briefly here. Critical supersaturations were determined using a static thermal-gradient diffusion type Cloud Condensation Nucleus Counter (CCNC, University of Wyoming, CCNC-100B). Supersaturation in the CCNC was calibrated

with ammonium sulphate particles as described for example by Bilde and Svenningsson (2004). Water activity of ammonium sulfate was calculated using data from Young and Warren (1992) for molalities less than 1 mol/kg. For more concentrated solutions, the water activity was determined using data from Low (1969). The experiments described herein were carried out over a number of months and several calibrations were performed. For each experiment the most recent calibration was used.

- Particles were generated using a constant output atomizer (TSI, 3076). After drying in diffusion dryers and dilution with dry, purified air to relative humidities in the range 3.8–12.6%, the particles entered a neutralizer (TSI, 3012) and DMA (TSI, 3080 Electrostatic Classifier) with a ratio of aerosol air to sheath air in the range of 0.037:1 to 0.11:1. The exiting dry quasi-monodisperse aerosol flow was diluted with dry, purified
- air by a factor of approximately 0.34:3.5 to 1.1:3.5 and split between the CCNC and a condensation particle counter (TSI, 3010), which measured the total concentration of condensation nuclei, [CN]. Supersaturations inside the CCNC were scanned over the range 0.2–2%. In each supersaturation step, the number concentration of activated particles, [CCN], was measured. Figure 9 shows an example of the activated
- <sup>15</sup> fraction, [CCN]/[CN] plotted as a function of supersaturation inside the CCNC. The data points were fitted using the relaxed step function described by Svenningsson and Bilde (2008) and also used by Kristensson et al. (2010). CCN measurements were performed on several different days using different atomizing solutions and were found to be reproducible.
- Single component particles of all the oxo-acids were investigated, as well as two of the parent compounds, glutaric and pimelic acid. As both of these molecules are highly water soluble, cloud droplet activation is not limited by solubility. Pure particles of succinic acid were not studied since we have previously shown that their activation is limited by solubility and thus very sensitive to even small amounts of soluble impurities (Bilde and Svenningsson, 2004).

# 3.4 LC/MS

For the investigation of the four above mentioned oxo-acids via Liquid Chromatography/Mass Spectrometry (LC/MS) 10 mg of each substance was dissolved in 10 ml

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of an acetonitrile/water (1:10) solution. Subsequently, the solution was diluted with water by a factor 100. The obtained solution was investigated by HPLC-ESI-IT/MS measurements, which were performed with a HCT-Plus ion trap mass spectrometer (Bruker-Daltonics GmbH, Bremen, Germany) equipped with a HPLC-System (Agilent

5 1100 series, auto sampler, gradient pump and degasser, Agilent Technologies GmbH, Germany) and an Atlantis T3 C18 150 mm·2.0 mm column with 3 μm particle size (Waters, Germany).

The eluents were HPLC grade water (Milli-Q water system, Millipore, Bedford, USA) with 0.1% formic acid and 2% acetonitrile (eluent A) and acetonitrile with 2% water

- (eluent B). The gradient of the mobile phase, with a flow of 0.2 mL/min, was chosen as follows: starting with 0% B, gradient to 100% B in 30 min, isocratic for 5 min and gradient to 0% B in 5 min. The column was equilibrated at 0% B for 20 min. The LC System was directly connected to the electrospray ion source with the following setup: nebulizer pressure 2200 mbar, dry gas flow 10 L/min, dry gas temperature 365 °C, spray voltage
- <sup>15</sup> 4500 V. The ion optic of the mass spectrometer, operated in the negative ion mode, was optimized for adipic acid (negative ion mode m/z 145, positive ion mode m/z 147). MS/MS-experiments were conducted with an isolation width of 0.5 Da.

# 4 Results and discussion

Vapor pressure, surface free energy, critical supersaturation of dry particles with a diameter of 60 nm and average  $\kappa$  values are summarized in Table 4 for all investigated compounds.

# 4.1 Glutaric acid

The CCN activity of glutaric acid particles has previously been studied experimentally (Cruz and Pandis, 1997; Prenni et al., 2001; Raymond and Pandis, 2002; Hori, 2003;
 <sup>25</sup> Kumar et al., 2003), but results tend to deviate significantly from theory and from each

other. Most recently this was discussed by Varga et al. (2007). It has been suggested

that glutaric acid particles are unstable and that fissioning or restructuring to a smaller size can happen between the DMA and CCNC (Kumar et al., 2003). Futhermore, as discussed in Zardini et al. (2008), glutaric acid exhibits polymorphism (i.e., it exists as an  $\alpha$  and a  $\beta$  form), which influences its interactions with water vapor. Other possi-

- <sup>5</sup> ble explanations involve solvent from the atomized solution becoming trapped inside particles and escaping, causing an effective shrinking or collapse of hollow particles created by atomization (Rissmann et al., 2007). It has also been suggested that partial evaporation of glutaric acid might account for the discrepancies (Cruz and Pandis, 1997). This was investigated further in this study. As seen in Fig. 10, glutaric acid
- <sup>10</sup> particles with diameters in the range 40–110 nm apparently all activated at a larger critical supersaturation than predicted by Köhler theory. A possible explanation could be that glutaric acid evaporated from the surface of the particles after size selection in the DMA, but before exposure to supersaturation in the CCNC. In this case, the final diameter ( $d_{fin}$ ) would be smaller than the diameter selected by the DMA ( $d_{DMA}$ ). To
- <sup>15</sup> test this hypothesis we corrected the data for evaporation inside the DMA and connecting tubing. The time between size selection in the DMA and the particles entering CCNC was calculated as  $t_{evap}=0.5t_{DMA}+t_{tubing}$  where  $t_{DMA}$  is the transit time through the DMA column (assuming that the size is selected halfway through the DMA column), and  $t_{tubing}$  is the time the particles spent in tubing between the outlet of the DMA and
- the entrance to the CCNC. Times  $(t_{evap})$  were in the range 1–5 s. The corrected dry particle diameters were determined using the vapor pressure of glutaric acid reported by Bilde et al. (2003).

Lower and upper limits for the dry particle size were estimated using the following evaporation times:  $t_{evap}(max) = t_{DMA} + t_{tubing}$  (lower limit on particle size) and  $t_{evap}(min) = 0$  corresponding to no evaporation (upper limit on particle size).

As can be seen from Fig. 10, agreement with Köhler theory to within the margin of error is attained when the corrected diameters and calculated uncertainties are included. We thus conclude that glutaric acid activates according to Köhler theory, using the surface tension of pure water.

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#### 4.2 2- and 3-oxoglutaric acid

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Figure 11 shows examples of the evaporation of particles generated from atomization of oxo-acids in the laminar flow-tube for similar number concentrations of particles. Clearly, particles of 2-oxoglutaric acid evaporate the most and 4-oxopimelic acid the

- <sup>5</sup> least, on a time scale of 250 s. We obtain a vapor pressure of  $3.2 \times 10^{-5}$  Pa and a surface free energy of  $0.11 \text{ Jm}^{-2}$  for 2-oxoglutaric acid at 294.95 K. This vapor pressure corresponds to a mixing ratio of 320 ppt at saturation and places 2-oxoglutaric acid in the group of semi-volatile molecules which can be found in the gas as well as the condensed phase in the atmosphere (Goldstein and Galbally, 2007).
- Table 3 shows a sensitivity analysis for the inferred vapor pressure of 2-oxoglutaric acid. Lowering the accommodation coefficient to 0.5 changes the obtained vapor pressure by 9%. Varying the surface free energy between 0.012 and 0.212 J m<sup>-2</sup> affects the vapor pressure by no more than 16%. Allowing for a systematic error in the residence time by  $\pm 10\%$  changes the vapor pressure by less than 13%. The table entry labeled
- "slope+" refers to a conservative error estimate on the measured diameters where the initial diameter is increased by 2 nm, and the final diameter is decreased by 2 nm and vice versa for "slope-". It is an underlying assumption in our analysis that the vapor phase is depleted of the evaporating species. To verify this assumption, we calculated the saturation ratio of the gas phase at the end of the flow-tube for the 2-oxoglutaric
- <sup>20</sup> acid in Fig. 11. Based on the vapor pressure of  $3.2 \times 10^{-5}$  Pa, the saturation ratio of the gas phase with respect to 2-oxoglutaric acid is  $\sim 10^{-6}$ . In the sensitivity analysis we have conservatively assumed that the saturation ratio is 0.1 throughout the flow-tube and, even so, the vapor pressure is affected by only 13%. Finally, we substitute the correction factor for the transition regime Eq. (7) with an alternative formulation given by Zhang et al. (1993) obtaining a 6% difference.

The vapor pressure does not change by more than 16% for any of the perturbations shown in Table 3. Based on the sensitivity analysis we therefore estimate that the uncertainty on the vapor pressure is 16% or less. We estimate that potential systematic

errors and errors associated with the physical properties given in Table 3 could increase this uncertainty to 25% and we quote a vapor pressure for 2-oxoglutaric acid at 294.98 K of  $(3.2\pm0.8)\times10^{-5}$  Pa. Comparison with the vapor pressure of glutaric acid from previous studies (e.g.,  $p=6.7\times10^{-4}$  Pa in Bilde et al., 2003) shows that the oxogroup lowers the vapor pressure significantly (about one order of magnitude).

Given that 3-oxoglutaric acid may undergo decarboxylation as described in Sect. 2.1 we do not know the exact chemical composition of the particles made from this acid. To be able to correct for evaporative losses in the tubing and DMA column in the CCN experiments we do however calculate a vapor pressure assuming that the evaporat-

- <sup>10</sup> ing molecules have the properties (molar weight, diffusivity etc.) of 3-oxoglutaric acid. Since we did not find a unique result for surface free energy with the minimization method described in Bilde and Pandis (2001), we assume that the surface free energy is similar to that of 2-oxoglutaric acid (0.1 J m<sup>-2</sup>). We thus obtain a vapor pressure of  $p^{0}$ (3-oxoglutaric acid products)=(1.6±0.4)×10<sup>-5</sup> Pa. Here we have adopted the uncertainty estimate of 25% from the above analysis of 2-oxoglutaric acid.
- Figure 12 shows the measured critical supersaturations of 2- and 3-oxoglutaric acids versus dry particle diameter corrected for evaporative losses in the tubing as described in Sect. 4.1. Due to the low vapor pressures, the correction was negligible (<1 nm in all cases).
- The two acids have the same molar weight and similar acidity and density (see Table 1) and therefore Köhler theory predicts that CCN activation should be almost identical for the two isomers, as seen in Fig. 12 (black and red curves). However the CCN activity is clearly not the same as can also be seen from Fig. 12: 2-oxoglutaric acid ( $\alpha$ -form) activates more readily than 3-oxoglutaric acid ( $\beta$ -form),
- <sup>25</sup> suggesting that the ratio between the molar mass and the product of the density and the van't Hoff factor is smaller for 2-oxoglutaric acid than for particles made from 3oxoglutaric acid.

We believe that the difference is due to decarboxylation or aldol-reactions of 3-oxoglutaric acid for which the oxo-group is in the  $\beta$ -position. This conclusion is

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supported by the HPLC/ESI(-/+)-MS measurements of the composition of a bulk aqueous solution of 2-oxoglutaric acid and 3-oxoglutaric acid, shown in Fig. 13. The compounds exhibit different behaviors. No evidence of decarboxylation can be seen in the case of 2-oxoglutaric acid (Fig. 13a). The signal of the decarboxylated species has

- <sup>5</sup> the same retention time as the deprotonated molecular ion. This is a clear sign that the decarboxylation happens during the ionization step, a common feature of the ESIspectra of organic acids (Grossert et al., 2005). In contrast, 3-oxoglutaric acid shows clear evidence of decarboxylation. The extracted ion chromatogram again shows the signal of the decarboxylated and the deprotonated species, however, with a clear shift
- <sup>10</sup> in the retention times. This behavior can only be explained by a decarboxylation in bulk aqueous solution before the analysis.

 $\kappa$  values were calculated for each set of measured dry particle diameters and critical supersaturations. The average  $\kappa$  value for 2-oxoglutaric acid is 0.27±0.05. For comparison the  $\kappa$  value for glutaric acid is 0.19±0.07 and we see that the oxo-group

increases CCN activity. The  $\kappa$  value for particles made from 3-oxoglutaric acid was 0.19±0.05; a significantly lower value than for 2-oxoglutaric acid. For comparison ammonium sulfate has a  $\kappa$  value of 0.53 (Petters and Kreidenweis, 2007).

# 4.3 Pimelic and 4-oxopimelic acid

- Following the same approach described above for 2-oxoglutaric acid and adopting the error estimate of 25%, we obtain a vapor pressure of 4-oxopimelic acid of  $p=(3.0\pm0.8)\times10^{-6}$  Pa and a surface free energy of  $0.19 \text{ Jm}^{-2}$  at 294.48 K. For comparison the vapor pressure of pimelic acid at this temperature is  $(5.4\pm2.7)\times10^{-5}$  Pa. (Bilde et al., 2003); the oxo-group lowers the vapor pressure of the parent dicarboxylic acid by an order of magnitude as it also did for 2-oxoglutaric acid.
- The vapor pressure of 4-oxopimelic acid corresponds to a mixing ratio of 30 ppt at saturation and places the compound among the group of molecules which are mainly found in the condensed phase in the atmosphere (Goldstein and Galbally, 2007).

Using the same experimental system as in this work, it has previously been shown that the solid state vapor pressure of dicarboxylic acids alternates with the number of carbon atoms and that the acids with an odd number of carbon atoms have the highest vapor pressures, probably due to a less stable solid state structure than the even

- <sup>5</sup> acids (Bilde et al., 2003). It has also previously been shown that the vapor pressure of the even acids may increase when the stable structure is perturbed by a methyl group whereas addition of one or two methyl groups ( $\Delta M$ =14–28 g/mol) to the carbon skeleton of the odd carbon number dicarboxylic acid has a small influence on vapor pressure at ambient conditions (Mønster et al., 2004). It is thus notable that the oxo-group
- $(\Delta M = 16 \text{ g/mol})$  has such a strong decreasing effect on vapor pressure for pimelic acid which has an odd number of carbon atoms.

Figure 14 shows the measured critical supersaturation of pimelic and 4-oxopimelic acids versus dry particle diameter corrected for evaporation losses, as described in Sect. 4.1. The vapor pressures of pimelic and 4-oxopimelic acids are so low that evap-

- <sup>15</sup> oration in the DMA and connecting tubing is negligible (<1.5 nm). Although pimelic and 4-oxopimenlic acid have different molar weights and densities, the ratio between the molar weight and density is almost equal. Both compounds are weak acids, and dissociation can be neglected. The van't Hoff factor of both compounds is thus assumed to be 1. Therefore, Köhler theory predicts that the two compounds will activate
- <sup>20</sup> at approximately the same supersaturation, see Fig. 14. This is in good agreement with experimental data. The  $\kappa$  values of pimelic and oxo-pimelic acid are almost identical: 0.15±0.04 and 0.14±0.02, respectively. This is unlike the glutaric acids where an oxo-group had a significant effect on CCN activity

LC/MS analysis of 4-oxopimelic acid shows no signs of decomposition. This is dis-

<sup>25</sup> played in Fig. 15. A clear signal at 8.3 min represents deprotonated ions of the oxocarboxylic acid (red signal), whereas only a small fraction decarboxylates during the ionization step (green signal).

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## 4.4 Oxosuccinic acid

Like 3-oxoglutaric acid, oxosuccinic acid may have undergone chemical reaction before the evaporation rate and CCN activity were measured. The final products of these reactions – and therefore the composition of the particles – are unknown. Nevertheless, we

calculate the vapor pressure, assuming that the evaporating molecules have the properties of oxosuccinic acid, and obtain  $p(\text{oxosuccinic acid products})=(1.0\pm0.3)\times10^{-5}$  Pa and a surface free energy of 0.181 J m<sup>-2</sup> at 294.27 K.

Figure 16 shows critical supersaturations versus dry particle diameters for particles generated from oxosuccinic acid. The critical supersaturations are in reasonable

- <sup>10</sup> agreement with predictions from Köhler theory calculated using the molar mass and density of oxosuccinic acid and a van't Hoff factor based on the first acid constant of oxosuccinic acid. The dry particle diameters shown in Fig. 16 have been corrected for evaporative losses in the tubing using the vapor pressure given above. Corrections were negligble (<0.2 nm).
- The LC/MS run shown in Fig. 17 demonstrates that oxosuccinic acid undergoes decarboxylation in bulk aqueous soltuion. As already mentioned for 3-oxoglutaric acid a rentention time shift between the molecular ion (m/z 131, red signal) and the decarboxylated species (m/z 87, green signal) is the consequence of a decarboxylation process in solution before the LC/MS measurement.
- One of the possible products of the chemical reactions of oxosuccinic acid in aqueous solution is pyruvic acid. Pyruvic acid has a molar mass of 88.06 mol/g, a density of 1.2650 g/mL (Yaws, 2005) and a  $pK_a$  of 2.49 (Pedersen, 1952). Assuming that the aerosol particles were composed solely of pyruvic acid and using these physical properties, critical supersaturations were calculated using Köhler theory as a function of dry
- <sup>25</sup> particle size (red line in Fig. 16). The calculated critical supersaturations for oxosuccinic acid and pyruvic acids are almost identical, and the measured data agrees quite well with both of the theoretical lines.

Other possible products from the reactions of oxosuccinic acid are citroylformic acid (M=220.13 g/mol,  $\rho$ =1.795±0.06 g/cm<sup>3</sup>) and 4-hydroxy-4-methyl-2-oxoglutaric acid (M=176.12 g/mol,  $\rho$ =1.579±0.06 g/cm<sup>3</sup>), see Figs. 4 and 5. No information about physical properties of these compounds was found in the literature. Instead, den-

- sities were modeled with ACD/ChemSketch, Version 4.01, and for both compounds  $pK_a=1$  was used to estimate the degree of dissociation. Critical supersaturations calculated assuming the particles to be either citroylformic acid or 4-hydoxy-4-methyl-2-oxoglutaric acid are higher than the critical supersaturations calculated using Köhler theory for oxosuccinic acid and pyruvic acid and also higher than the measured val-
- ues. If a larger (and probably more realistic)  $pK_a$  value had been used, the predicted critical supersaturations for the aldol products would be even higher. This indicates that these compounds are probably not present in significant amounts in the studied aerosols.
- In this study, aerosol particles were prepared from an aqueous solution using an atomizer. If another solvent, e.g. methanol, had been used, this would have had an effect on equilibrium between the keto-, enol- and hydrated forms (Kumler et al., 1962). Since only the keto-form can participate in decarboxylation, another choice of solvent would probably lead to different reaction rates and concentrations of the various possible products. This is important to be aware of in future CCN experiments.
- Rissmann et al. (2007) studied CCN activation of particles made by dissolving oxosuccinic acid (oxalacetic acid) in water and in methanol. Within experimental uncertainties they did not observe solvent-dependent CCN ability for supersaturations of 0.11%, 0.21% and 0.32%. For comparison, the data by Rissmann et al. (2007), who used water as the solvent, is shown in Fig. 15. According to Rissmann et al. (2007)
- <sup>25</sup> the particles activate slightly more easily than observed in this study. This could be due to differences in experimental conditions which alter the degree of decomposition of the  $\beta$ -oxo-acid: temperature, concentration in the atomized solution, timescale of experiment, and the chemical composition of any impurities.

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The average  $\kappa$  value for oxosuccinic acid obtained from our measurements is  $0.31\pm0.09$  which is in the high end, but still comparable to  $\kappa$  values of other water soluble organic molecules studied (Petters et al., 2009)

# 5 Conclusion and perspectives

- <sup>5</sup> We have investigated the evaporation and cloud forming ability of a series of atmospherically relevant oxo-dicarboxylic acids: oxosuccinic acid, 2-oxoglutaric acid, 3oxoglutaric acid and 4-oxopimelic acid. Oxo-acids with the oxo-group in  $\beta$ -position can undergo decarboxylation in aqueous solutions and our results suggest that this happens for the two studied  $\beta$ -oxo-acids: oxosuccinic acid and 3-oxoglutaric acid. This
- <sup>10</sup> might explain why, to our knowledge, they have not been reported to exist in the condensed phase in the atmosphere.

The vapor pressures of 2-oxoglutaric acid and 4-oxopimelic acid correspond to mixing ratios of 320 ppt and 30 ppt, respectively, at saturation and 1 atm total pressure which places 2-oxoglutaric acid in the group of semi-volatile compounds which can be

<sup>15</sup> found in the gas as well as the condensed phase in the atmosphere, and 4-oxopimelic acid among the compounds which are mainly found in the condensed phase in the atmosphere (Goldstein and Galbally, 2007).

It is interesting to compare the vapor pressures with the corresponding values for the parent straight chain dicarboxylic acids. Clearly, the oxo-group has a strong effect

<sup>20</sup> on the vapor pressure and lowers it by one order of magnitude, suggesting that multifunctional acids should be further investigated for their potential role in nucleation and growth of atmospheric nuclei.

 $Oxosuccinic \ acid \ and \ 3-oxoglutaric \ acid \ have \ probably \ undergone \ decarboxylation \\ in the atomizer \ bottle \ in \ our \ experiments, \ and \ therefore \ we \ do \ not \ know \ the \ exact$ 

chemical composition of the particles we have studied. It is however likely that this will also happen in atmospheric aerosols containing enough water. Assuming that the evaporating molecules have the same physico-chemical properties as their parent

dicarboxylic acids, our best estimate is that the vapor pressure of the products are of the order of  $10^{-5}\,\text{Pa}.$ 

We have investigated the ability of glutaric acid, pimelic acid, and the four oxo-acids to act as cloud condensation nuclei. Glutaric acid has previously been studied and

- discussed in the literature, and discrepancies with Köhler theory have been reported. We find that for our experimental setup it is necessary to correct for evaporation of the particles in the DMA column and connecting tubing (even on a time scale of seconds), and that glutaric acid particles activate according to Köhler theory using a van't Hoff factor of 1.
- The  $\alpha$ -oxo-acids (2-oxoglutaric acid and 4-oxopimelic) can both act as cloud condensation nuclei with  $\kappa$  values of 0.27 and 0.14, respectively. The  $\kappa$  values derived for particles made from 3-oxoglutaric acid and oxosuccinic acid are 0.19 and 0.31, respectively. This is slightly less CCN active than ammonium sulfate ( $\kappa$ =0.53), but comparable to many other organic molecules with a similar number of carbon atoms. Overall, the
- <sup>15</sup> CCN activity of particles made from oxo-dicarboxylic acids is similar to or higher than the corresponding straight chain dicarboxylic acids.

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Table 1. Chemical and physical properties used in Köhler theory and evaporation analysis.

	Density	pK <sub>a1</sub>	pK <sub>a2</sub>	М	$\sigma_{ii}^{f}$	$\varepsilon_{ii}/k_{\rm B}^{\rm f}$	V <sub>c</sub> <sup>g</sup>	T <sub>m</sub> <sup>h</sup>
Compound	g/ml			g/mol	Å	К	cm <sup>3</sup> /mol	К
2-oxoglutaric acid	1.61 <sup>a</sup>	2.4 <sup>a</sup>	4.9 <sup>a</sup>	146.11	6.038	745.9	370	387–389
3-oxoglutaric acid	1.49 <sup>a</sup>	2.74 <sup>a</sup>	3.72 <sup>e</sup>	146.11	6.038	777.6	370	405
oxosuccininc acid	1.76 <sup>a</sup>	2.22 <sup>b</sup>	3.89 <sup>b</sup>	132.08	5.722	833.3	315	434
		2.56 <sup>c</sup>	4.37 <sup>c</sup>					
		1.9 <sup>d</sup>	4.0 <sup>d</sup>					
4-oxopimelic acid	1.49 <sup>a</sup>	4.7 <sup>a</sup>	_	174.15	5.722	834.2	315	415–417
Pimelic acid	1.281	4.71	-	160.17	6.56	722.2	475	376–378
Glutaric acid	1.424	4.31	-	132.12	6.01	708.8	365	368–371
Succinic acid	1.556	4.21	5.64	118.09	5.69	885.41	310	461

<sup>a</sup> This study.

<sup>b</sup> Tate et al. (1964).

<sup>c</sup> Pedersen (1952).

<sup>d</sup> Emly and Leussing (1981). <sup>e</sup> Prue (1952).

<sup>f</sup>  $\sigma_{ij}=0.841 \times V_c^{1/3}$ ,  $\varepsilon_{ij}/k_B=1.92 \times T_m$ . The Lennart-Jones parameters  $\sigma_{i,air}$  and  $\varepsilon_{i,air}/k_B$  are estimated as follows:  $\sigma_{i,air}=1/2 \cdot (\sigma_{ii} + \sigma_{air})$ ,  $\varepsilon_{i,air}/k_B = (\varepsilon_{ii} \cdot \varepsilon_{air})^{1/2}$ , where  $\sigma_{air} = 3.617$  Å and  $\varepsilon_{air}/k_B = 97$  K (Bird et al., 1960).  $k_B$  is the Boltzmann constant. <sup>9</sup> Critical volume,  $V_c$ , estimated using the group contribution method by Lyderson et al. (1955).

<sup>h</sup> Melting points were obtained from producers and confirmed by measurements using a capillary melting point apparatus.

Table 2. Measured densities of the four oxo-compounds and the liquids used to determine density.

Chemical compound	Liquid 1	Liquid 2	Density (kg m <sup>-3</sup> )
oxosuccininc acid	Methane dibromide	Methane tetrachloride	1760±7
2-oxoglutaric acid	Methane trichloride	Methane tetrachloride	1610±6
3-oxoglutaric acid	Methane trichloride	Methane dibromide	1490±6
4-oxopimelic acid	Methane trichloride	Methane tetrachloride	1490±2

Parameter	Pertubation	Base value	dp/p (%)	<i>p</i> (10 <sup>-5</sup> Pa)
p	3.2×10 <sup>-5</sup> Pa			3.2
Т	±2K	296 K	<3%	
α	-0.5	1	9%	3.5
$\sigma_{\rm s,air}^{i}$	+0.1	0.112	-12.5%	2.8
$\sigma_{\rm s,air}^{i}$	-0.1	0.112	15.6%	3.7
Time	10%	0.187	12.5%	3.6
Time	-10%	0.187	-9.4%	2.9
Slope (+)	±2 nm		9%	3.5
Slope (-)	±2 nm		-9%	2.6
Saturation	0.1	0	9%	3.5
Density	±10%	1610	±9%	3.5
Zhang et al. (1993)			6%	3.4
Fuchs and Sutugin (1971)		-	3.2	

Table 3. Sensitivity analysis of the vapor pressure results for 2-oxoglutaric acid.

**Table 4.** Properties derived from evaporation analysis and Köhler theory: Vapor pressures (p) and surface free energies ( $\sigma_{s,air}^i$ ) at 296 K, critical supersaturation SS<sub>c</sub> for a dry particle with a diameter of 60 nm, and  $\kappa$  averaged for all measurements.

Compound	<i>р</i> Ра	$\sigma^i_{ m s,air}$ J m <sup>-2</sup>	SS <sub>c</sub> ( <i>D</i> <sub>p</sub> =60 nm) %	К
2-oxoglutaric acid	$3.6 \times 10^{-5}$	0.11	0.4845±0.012	0.27±0.05
3-oxoglutaric acid products	1.6×10 <sup>-5 a</sup>	0.1 <sup>a</sup>	0.5591±0.02 <sup>a</sup>	0.19±0.05 <sup>a</sup>
Oxosuccininc acid products	1.0×10 <sup>-5 a</sup>	0.181 <sup>a</sup>	0.4611±0.008 <sup>a</sup>	0.31±0.09 <sup>a</sup>
4-oxopimelic acid	$3.0 \times 10^{-6}$	0.192	0.6438±0.016	0.14±0.02
Pimelic acid	5.1×10 <sup>-5 b</sup>	0.08 <sup>b</sup>	0.6335±0.016	0.15±0.04
Glutaric acid	6.7×10 <sup>-4 b</sup>	0.215 <sup>b</sup>	0.6158±0.018	$0.19 \pm 0.07$
Succinic acid	3.9×10 <sup>-5 b</sup>	0.125 <sup>b</sup>	NA	NA

<sup>a</sup> Vapor pressure and surface free energies are calculated assuming that decarboxylation has not occurred and that the particles are composed of the pure  $\beta$ -oxo-acid.

<sup>b</sup> From Bilde et al. (2003).

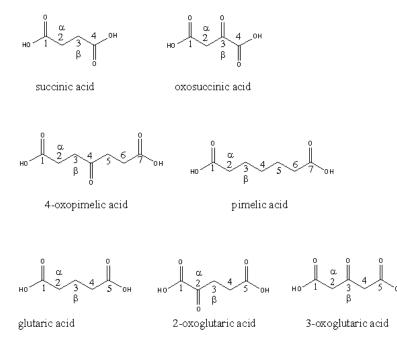


Fig. 1. Chemical structures of the molecules studied in this work. Succinic acid is added for completeness.

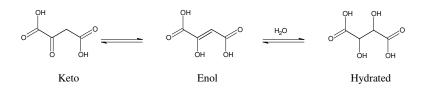


Fig. 2. The three forms of oxosuccinic acid in aqueous solution.

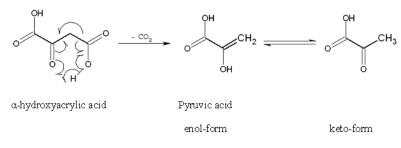
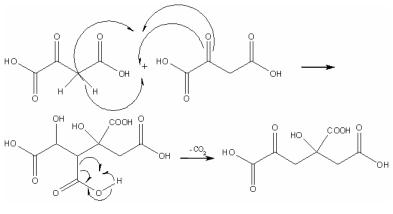


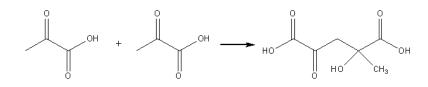
Fig. 3. Decarboxylation of oxosuccinic acid.





4-carboxy-4-hydroxy-2-oxoadipic acid (citroylformic acid)

Fig. 4. Aldol reactions of oxosuccinic acid.



4-hydroxy-4-methyl-2-oxoglutaric acid

Fig. 5. Aldol reaction of puruvic acid, the product of decarboxylation of oxosuccinic acid.

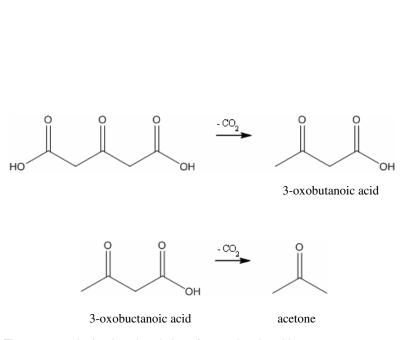
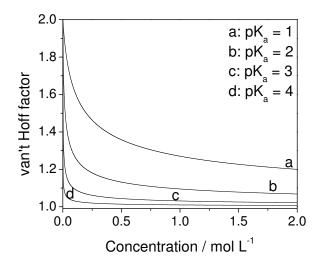
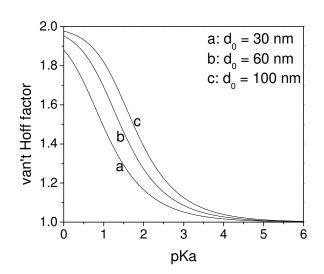


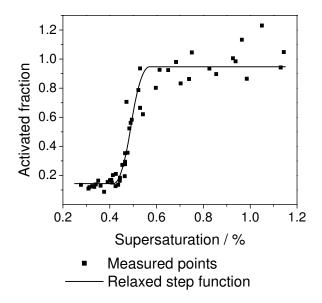
Fig. 6. The two steps in the decarboxylation of 3-oxoglutaric acid.



**Fig. 7.** Van't Hoff factor as a function of concentration (calculated according to Eq. 3) for four monovalent acids with different  $pK_a$ -values.

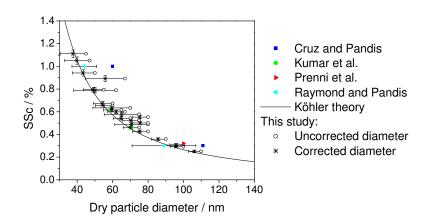


**Fig. 8.** Van't Hoff factor as a function of  $pK_a$  at the critical droplet diameter of three particles with different dry sizes, composed of a model monovalent acid with molar mass M=150 g/mol and density  $\rho=1.5$  g/mL.

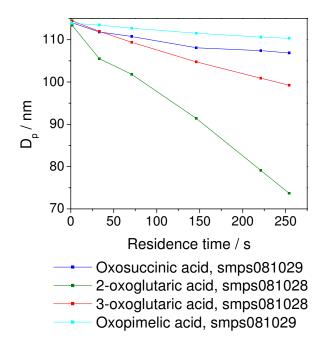


**Fig. 9.** The activated fraction as a function of supersaturation for particles with a diameter of 60 nm composed of 2-oxoglutaric acid. The data has been calibrated and is fitted to a relaxed step function.

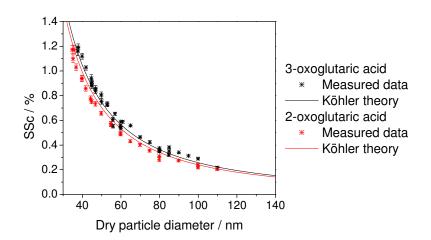




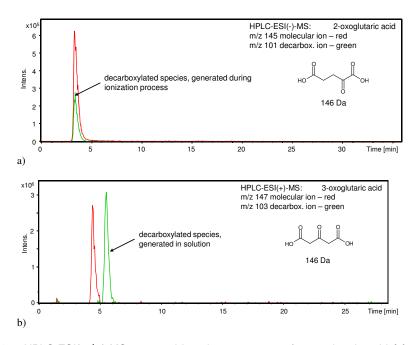
**Fig. 10.** The critical supersaturation of glutaric acid as a function of dry particle diameter (Cruz and Pandis, 1997; Prenni et al., 2001; Raymond and Pandis, 2002; Kumar et al., 2003). The experimental data is compared to Köhler theory (surface tension of pure water, dissociation calculated from the first acid constant).



**Fig. 11.** Examples of evaporation rates of the four oxo-acids investigated in this study. The data points of each evaporation curve represent the particles size measured with a SMPS at the outlet of the first DMA at the entrance of the flow-tube, at four consecutive ports along the length of the flow-tube, and at the end of it. The connecting lines are to guide the eyes.

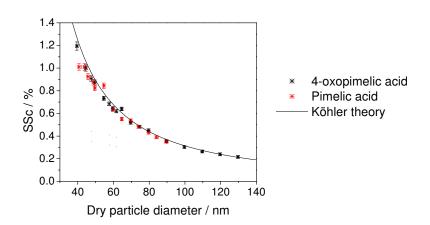


**Fig. 12.** The critical supersaturation of 2- and 3-oxoglutaric acid as a function of dry particle diameter. The experimental data is fitted to a Köhler curve (surface tension of water, dissociation calculated from acid constants).

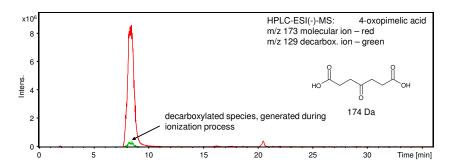


**Fig. 13.** HPLC-ESI(-/+)-MS extracted ion chromatograms of 2-oxoglutaric acid (a) and 3-oxoglutaric acid (b). In the chromatogram of 2-oxoglutaric acid, the signals from the product of decarboxylation and from the molecular ion are coincident (retention time approximately 4.5 min), indicating that the decarboxylation occurred during the ionization process. In the chromatogram of 3-oxoglutaric acid the peak from the decarboxylated species appears at a different retention time (5.5 min), which shows that decarboxylation occurred prior to the ionization. Note that due to sensitivity reasons (a) was measured in the negative ion mode whereas (b) was in the positive ion mode.

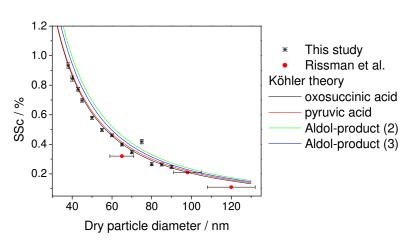




**Fig. 14.** The critical supersaturation of pimelic acid and 4-oxopimelic acid as a function of dry particle diameter. The experimental data are compared to a Köhler curve (surface tension of water, no dissociation).

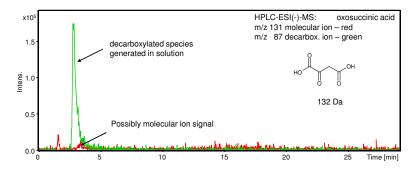


**Fig. 15.** HPLC-ESI(-)-MS extracted ion chromatograms of 4-oxopimelic acid. The signals from the product of decarboxylation and from the molecular ion are coincident (retention time approximately 8.3 min), indicating that the decarboxylation occurred during the ionization process.



**Fig. 16.** The critical supersaturation of oxosuccinic acid as a function of dry particle diameter. Experimental data are compared to Köhler theory (dissociation based on the first acid constant, surface tension of water). Four Köhler curves are shown, using the following data: Black: pure oxosuccinic acid.

Red: pyruvic acid, M=88.06 g/mol;  $\rho$ =1.250 g/mL; p $K_a$ =2.49. Green: citroylformic acid; M=220.13 g/mol;  $\rho$ =1.795 g/mL, p $K_a$ =1. Blue: 4-hydroxy-4-mehtyl-2-ketoglutaric acid; M=176.12 g/mol,  $\rho$ =1.579 g/mL, p $K_a$ =1.



**Fig. 17.** HPLC-ESI(-)-MS extracted ion chromatograms of oxosuccinic acid. A clear shift in retention time is observed between the molecular ion and the decarboxylated species, indicating that oxosuccinic acid spontaneously decarboxylated in aqueous solution prior to analysis.