

A parameterization for the size- and composition-resolved production fluxes of nascent marine aerosol was developed from prior experimental observations and extrapolated to ambient conditions based on estimates of air entrainment by the breaking of wind-driven ocean waves. Production of particulate organic carbon (OC_{aer}) was parameterized based on Langmuir equilibrium-type association of organic matter to bubble plumes in seawater and resulting aerosol as constrained by measurements of aerosol produced from productive and oligotrophic seawater. This novel approach is the first to parameterize size- and composition-resolved aerosol production based on explicit evaluation of wind-driven air entrainment/detrainment fluxes and chlorophyll-a as a proxy for surfactants in surface seawater. Production fluxes were simulated globally with an eight aerosol-size-bin version of the NCAR Community Atmosphere Model (CAM v3.5.07). Simulated production fluxes fell within the range of published estimates based on observationally constrained parameterizations. Because the parameterization does not consider contributions from spume drops, the simulated global mass flux ($1.5 \times 10^3 \text{ Tg y}^{-1}$) is near the lower end of published estimates. The simulated production of aerosol number ($1.4 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$) and OC_{aer} (29 Tg C y^{-1}) fall near the upper end of published estimates and suggest that primary marine aerosols may have greater influences on the physicochemical evolution of the troposphere, radiative transfer and climate, and associated feedbacks on the surface ocean than suggested by previous model studies.

1 Introduction

Particle production by bursting bubbles at the air-sea interface is the dominant global source of aerosol mass and a major global source of aerosol number (Andreae and Rosenfeld, 2008). Primary marine aerosol is an important composition-dependent reaction medium that influences an interrelated set of processes including the phase partitioning and associated atmospheric lifetimes of soluble gases and the multiphase

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Other recently published parameterizations have been developed based on aerosols generated artificially by bubbling air through natural or artificial seawater or impinging a water jet on surface seawater. However, some of these studies consider only the sub- μm -diameter size fractions (Sellegrí et al., 2006; Tyree et al., 2007; Hultin et al., 2010) thereby ignoring the larger aerosols that dominate mass and volume flux. Another study based on artificially generated aerosols employs synthetic seawater of unreported organic content (Mårtensson et al., 2003) and associated implications for aerosol characteristics. The reader is referred to Hultin et al. (2010) for an intercomparison of normalized number size distributions reported by the above investigators. Spatial and temporal variability in the production and impacts of size-resolved marine aerosols have also been simulated globally using models configured with some of the above parameterizations (e.g., Pierce and Adams, 2006; Langmann et al., 2008; Roelofs, 2008; Spracklen et al., 2008; Gantt et al., 2009).

We report herein a new parameterization for the size-resolved production and physicochemical characteristics of nascent marine aerosol as functions of air detrainment through, and the chemical composition of, the surface seawater column. This approach is based on measured characteristics of size-resolved aerosols over full size distributions that were produced via the detrainment of artificially generated bubbles from fresh, flowing seawater under controlled conditions (Keene et al., 2007; Facchini et al., 2008). This parameterization is part of a larger effort to develop, within the Community Atmosphere Model (CAM) component of the NCAR Community Climate System Model (CCSM), a more explicit description of the sources, multiphase processing, and climatic interactions of naturally produced aerosols.

2 Parameterization

To facilitate application by other investigators and to provide context for its derivation, the complete parameterization is presented in Appendix A. The underlying data, associated rationale for the approach, intermediate steps, definitions, and units are detailed below.

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2.1 Size-resolved number production flux

Observations indicate that the total number production flux of nascent marine aerosols (F_T ; $\text{m}^{-2} \text{s}^{-1}$) via bursting bubbles at the seawater surface is linearly proportional to the flux of air detrained from the water column (Keene et al., 2007), which implies that the 5 ratio of particles produced per unit volume of air detrained is approximately constant. Therefore, assuming all air entrained into the water column detrains as bubbles that produce particles, the number production flux of marine aerosol can be estimated from the corresponding entrainment flux of air into the water column (F_{Ent} , in $\text{m}^3 \text{m}^{-2} \text{s}^{-1}$). The dissipation of wave energy by wave breaking involves work against the buoyant 10 force of air entrained into the water column. Measurements demonstrate that the volume of air entrained within the water column by breaking waves (V_0 in m^3) is proportional to the energy dissipated by the wind-wave field through wave breaking (E_D in J; Lamarre and Melville, 1991; Loewen and Melville, 1993; Hoque, 2002; Blenkinsopp and Chaplin, 2007). Although the physical characteristics of plunging versus 15 spilling breakers differ (e.g., Lewis and Schwartz, 2004), available evidence suggests that the V_0 -to- E_D ratio (heretofore referred to as α) varies across a narrow range for both types of breakers. For example, measurements of V_0 and E_D for 2-D plunging breakers (Lamarre and Melville, 1991) yield α values of $5.6(\pm 0.2) \times 10^{-4} \text{ m}^3 \text{ J}^{-1}$ (number of samples (n) = 3). Similar measurements for both 2-D plunging and spilling breakers 20 by Blenkinsopp and Chaplin (2007) yield α values of $2.2(\pm 0.2) \times 10^{-4} \text{ m}^3 \text{ J}^{-1}$ ($n \approx 10^3$). For the latter study, V_0 is based on the maximum observed volume of air entrained during the breaking event. Because some air may be detrained before or entrained after the observed maximum measured volume (C. Blenkinsopp, Univ. New South Wales, personal communication, 2010), we infer that α values based on Blenkinsopp 25 and Chaplin (2007) correspond to lower limits.

F_{Ent} can be estimated from

$$F_{\text{Ent}} = \alpha \varepsilon_d \quad (1)$$

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where ε_d is the rate of energy dissipation by wave breaking (W m^{-2}). Hanson and Phillips (1999) showed that ε_d varied as a power-law function of 10-m wind speed U_{10} (in m s^{-1}), $\varepsilon_d \approx 4.28 \times 10^{-5} \cdot U_{10}^{3.74}$ (uncertainties were not reported). ε_d values reported by Felizardo and Melville (1995) yielded a similar wind-speed dependence for F_{Ent} , although ε_d values were higher by 30% to 40% (see Hanson and Phillips, 1999).

Based on the available albeit limited measurements summarized above, we estimate that values of α and ε_d fall within the ranges of $4(\pm 1) \times 10^{-4} \text{ m}^3 \text{ J}^{-1}$ and $5(\pm 1) \times 10^{-5} \cdot U_{10}^{3.74} \text{ W m}^{-2}$, respectively, giving

$$F_{\text{Ent}} = 2(\pm 0.8) \times 10^{-8} \cdot U_{10}^{3.74} \quad (2)$$

Uncertainties are based on reported values or, when not reported, reasonable estimates.

We assume that the relationship described by Eq. (2) remains constant across the global wind-wave field. The similarity in the fraction of ε_D attributed to entrainment in previous studies (e.g. Melville and Rapp, 1985; Loewen and Melville, 1993; Hoque, 2002) supports this assumption over the range of wind-wave conditions that have been evaluated. We recognize that the assumption may not be valid under the more energetic wind-wave conditions at higher wind speeds ($> 20 \text{ m s}^{-1}$). However, physical characteristics of breaking wave fields and associated particle fluxes at high sea states are poorly constrained and, consequently, Eq. (2) cannot be evaluated explicitly under these relatively infrequent model conditions (discussed in more detail below).

Physicochemical characteristics of nascent marine aerosols vary systematically as a function of size (Lewis and Schwartz, 2004; Keene et al., 2007). Super- μm size fractions dominate the volume of fresh marine aerosol with non-water masses that are comprised primarily of inorganic sea salt. Sub- μm size fractions dominate the corresponding number concentrations and contain increasing amounts of OC_{aer} with decreasing size. One- μm diameter at 80% relative humidity (RH) represents the approximate partition between the upper end of the number-size distribution and the lower end of the volume-size distribution (Keene et al., 2007). Consequently, the total number

production fluxes reported by Keene et al. (2007) were binned into two size modes hereafter referred to as mode-1 and mode-2 and denoted with subscripts 1 and 2, respectively). Size ranges for mode-1 and mode-2 particles were 0.044- to 1.0- μm and 1.0- to 24.0- μm diameter at 80% RH, respectively, and are denoted R_1 and R_2 , respectively.

Keene et al. (2007) measured the size-resolved number fluxes of mode-2 particles with an aerodynamic particle sizer (APS) and also sampled the corresponding size-resolved mass fluxes with cascade impactors and quantified the ionic composition by ion chromatography and total organic carbon by high-temperature combustion. To properly implement mode-2 fluxes in the parameterization reported herein, we evaluated the internal consistency of measurements reported by Keene et al. (2007) as follows. Size- and composition-resolved mass fluxes of mode-2 particles were converted to number fluxes at 80% RH following Keene et al. (2007) and compared with the corresponding size-resolved number fluxes measured directly with the APS (Fig. 1). The excellent agreement over most of the super- μm size range suggests that both the size-resolved number concentrations and masses were accurately measured. The divergence in fluxes of particles greater than \sim 12- μm diameter resulted from losses of larger deliquesced particles within the APS via gravitational settling and impaction. Consequently, the parameterization of number production fluxes for particles greater than 12- μm diameter at 80% RH was based on those calculated from the mass data whereas parameterization of fluxes for smaller super- μm diameter particles was based on those measured directly with the APS.

Keene et al. (2007) showed that the total number production fluxes of mode-1 and mode-2 particles (F_1 and F_2 , respectively, in $\text{m}^{-2} \text{s}^{-1}$) are linearly proportional to the air detrainment flux through the water column (F_{Det} in $\text{m}^3 \text{m}^{-2} \text{s}^{-1}$), or

$$F_T = \sum_{N=1}^2 F_N = F_{\text{Det}} \cdot \sum_{N=1}^2 C_N \quad (3)$$

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c_N (m^{-3}) is a wind-speed-invariant parameter describing the particle production rate per unit air detrained with the form $c_N = \int_{R_N} f_N(\text{Log}D_P) d\text{Log}D_P$ where f_N , in units of m^{-3} ,

is a function of $\text{Log}D_P$ (defined below). Hultin et al. (2010) present evidence suggesting a wind-speed dependence for c_N that may reflect changes in the water-column affecting particle production; this dependence is not considered here.

Based on the assumptions that all air entrained by wave action is detrained as bubbles that produce particles ($F_{\text{Ent}} = F_{\text{Det}}$), and that bubble plumes for breaking waves of different sizes have similar bubble size distributions (e.g. see Deane, 1997), then for mode N ,

$$F_N(U_{10}, \text{Log}D_P) = F_{\text{Ent}}(U_{10}) \cdot \int_{R_N} f_N(\text{Log}D_P) d\text{Log}D_P \quad (4)$$

Because some bubbles dissolve and others detrain as large voids (Lamarre and Melville, 1991) that presumably produce relatively few particles per unit volume of air, aerosol production fluxes based on Eq. (4) are considered upper limits. Third order polynomials were fit to the raw data based on least squares regression to yield continuous functions of the form

$$f_N(\text{Log}D_P) = 10^{P_N} \quad (5)$$

where D_P is the deliquesced particle diameter in μm at 80% RH and P_N are the polynomials

$$P_1(\text{Log}D_P) = 2.87 \cdot (\text{Log}D_P)^3 + 3.40 \cdot (\text{Log}D_P)^2 - 1.04 \cdot (\text{Log}D_P) + 8.92 \quad (6)$$

and

$$P_2(\text{Log}D_P) = -1.53 \cdot (\text{Log}D_P)^3 - 8.10 \times 10^{-2} \cdot (\text{Log}D_P)^2 - 4.26 \times 10^{-1} \cdot (\text{Log}D_P) + 8.84 \quad (7)$$

for mode-1 and mode-2 particles, respectively. The corresponding correlation coefficients (r) are 0.99 and 0.99, respectively. Based on James and Lang (1971), 4th-

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order polynomials yield marginally better fits to the data relative to 3rd order polynomials but 3rd-order relationships were employed in the parameterization for their smaller computational footprint. See Appendix A for a comparison of the 3rd and 4th order polynomial fits to the data. Following convention, the flux for a given diameter interval can be expressed as a flux per logarithmic diameter interval, $d\text{Log}D_{\text{P}} = \text{Log}(D_{\text{P,UpperLimit}}) - \text{Log}(D_{\text{P,LowerLimit}})$, giving the final form of the total particle flux function at 80% RH as

$$\frac{dF_{\text{N}}}{d\text{Log}D_{\text{P}}} = F_{\text{Ent}} \cdot 10^{P_{\text{N}}} \quad (8)$$

For example, at a U_{10} of 9 m s^{-1} and a RH of 80%, the above algorithm yields mode-1 and mode-2 particle fluxes of $7.1 \times 10^5 \text{ m}^{-2} \text{ s}^{-1}$ and $2.1 \times 10^4 \text{ m}^{-2} \text{ s}^{-1}$ for D_{p} values of 0.3 and $3.0 \mu\text{m}$, respectively. Based on variances for replicate measurements at 80% RH reported by Keene et al. (2007), $f_1(\text{Log}D_{\text{P}})$ is uncertain by a factor of $\pm 21\%$ and $f_2(\text{Log}D_{\text{P}})$ by a factor of $\pm 84\%$ (uncertainty for all data, f_1 and f_2 combined, is $\pm 40\%$). Minor variability in RH and the composition of feed seawater over the period of characterization coupled with random measurement errors contributed to variability in replicate measurements of production rates under otherwise identical conditions (Keene et al., 2007).

2.2 Production flux of particulate organic matter

Keene et al. (2007) and Facchini et al. (2008) measured size-resolved concentrations of inorganic sea salt and OC_{aer} associated with nascent marine aerosols produced via the detrainment of artificially generated bubbles from flowing oligotrophic and eutrophic seawater, respectively. Facchini et al. (2008) quantified total OC_{aer} whereas Keene et al. (2007) measured OC_{aer} recovered via vigorous sequential extractions in deionized water of nascent aerosols sampled on filters. Analysis of sequential extract solutions suggests that OC_{aer} was recovered quantitatively. However, because the filters may have retained minor fractions of tightly bound insoluble organic compounds,

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the size-resolved production of OM_{aer} scales proportionately with the amount of OM_{sea} scavenged by bubble surfaces).

Based on the assumption that all OM_{aer} is internally mixed with deliquesced inorganic sea salt (i.e., associated with the same particles), then, for a given air detrainment flux, variability in OM_{aer} would influence the shape of the number size distribution but not the total number flux, which would remain constant. Due to the significant size-dependent contribution of OM_{aer} to mass and volume of mode-1 particles, the size distribution described by Eq. (6) does not represent a lower boundary condition for an organic carbon-dependent function (i.e. Eq. (6) is based on data for which OM_{aer} is nonzero).
5 If OM_{aer} were removed from the particle population that was measured by Keene et al. (2007) (on which Eq. (6) is based), the distribution would shift to smaller size. To quantify the lower boundary condition in the OM_{sea} -dependent parameterization, the size-dependent volume corresponding to OM_{aer} was subtracted from the measured size distribution to yield the size distribution corresponding to pure deliquesced sea
10 salt devoid of OM_{aer} . The calculated volume of organic matter is based on an assumed density of 1.1 g cm^{-3} (Schkolnik et al., 2006). The resulting geometric mean diameter (GMD at 80% RH) for the smallest size fraction measured by Keene et al. (2007) decreased from $0.13 \mu\text{m}$ to $0.10 \mu\text{m}$. Based on the assumption that OM_{aer} does not influence hygroscopicity (Keene et al., 2007), the modified polynomial regression ($r=0.99$)
15 that incorporates the lower boundary condition ($\text{OM}_{\text{aer}}=0$) for the size-resolved production flux of mode-1 aerosols (Eq. 5) is
20

$$P_1(\text{Log}D_{\text{P}}, \text{OM}_{\text{sea}}) = 1.46 \cdot (\text{Log}D'_{\text{P}})^3 + 1.33 \cdot (\text{Log}D'_{\text{P}})^2 - 1.82 \cdot (\text{Log}D'_{\text{P}}) + 8.83 \quad (9)$$

where

$$D'_{\text{P}} = \sqrt[3]{\frac{\omega^3}{\omega^3 + \delta_{\text{N}}}} \cdot D_{\text{P}} \quad (10)$$

25 and

$$\delta_{\text{N}} = \frac{V_{\text{OM}}}{V_{\text{SS}}} \quad (11)$$

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V_{OM} is the volume of organic matter per particle in μm^3 estimated as described above, V_{SS} is the corresponding volume of dry sea-salt in μm^3 based on an assumed density of 2.165 g cm^{-3} , ω is a hygroscopicity parameter relating the diameter of a dry sea-salt particle (D_{SS}) to sea-salt deliquesced at 80% RH (D_{80}), such that $D_{80} = D_p' = \omega D_{SS}$ and $5 V_p = \omega^3 V_{SS} + V_{OM}$, where V_p is the total volume of a particle at 80% RH with diameter D_p . ω is assumed to be 2 (Lewis and Schwartz, 2004).

Conceptually, δ_N for both mode-1 and -2 particles is limited by the saturation of bubble surface area by OM_{sea} . However, different approaches were required to account for the size dependent variability within each mode.

10 For mode-1 particles, there were sufficient data to derive a size-resolved expression for δ_1 . Measurements reported by Keene et al. (2007) and Facchini et al. (2008) show that the mass and volume ratios of organic matter to inorganic sea salt increase with decreasing particle size from values near zero at the upper end of the mode-1 size distribution to values in the range of seven for the smallest measured size fractions. To 15 account for this variability over the full mode-1 size distribution, we adopt an empirical function of the form

$$\delta_1(D_p, \text{OM}_{\text{sea}}) = B_1 \cdot D_p^{\gamma_1} \quad (12)$$

where B_1 is an empirical constant, and γ_1 is a Langmuir-driven shape parameter calculated for mode 1 aerosols from

$$20 \quad \gamma_1 = \frac{\gamma_{m,1} \cdot K_1 \cdot \text{OM}_{\text{sea}}}{1 + K_1 \cdot \text{OM}_{\text{sea}}} \quad (13)$$

$\gamma_{m,1}$ corresponds to the saturation constant and K_1 is analogous to the adsorption equilibrium constant for OM_{sea} in the classic Langmuir formulation.. In the present formulation, both constants are unitless.

Mode-2 particles exhibited no statistically discernible size dependence for OM_{aer} .

Consequently, for δ_2 , a bulk Langmuir approach was employed using

$$\delta_2 = \frac{\delta_{m,2} \cdot K_2 \cdot \text{OM}_{\text{sea}}}{1 + K_2 \cdot \text{OM}_{\text{sea}}} \quad (14)$$

where $\delta_{m,2}$ is the saturation value for the volume ratio of organic matter to dry sea salt and K_2 is analogous to the corresponding absorption equilibrium constant; both constants are unitless.

The behavior of the Langmuir isotherm is such that at sufficiently high OM_{sea} , $\gamma_1 \rightarrow \gamma_{m,1}$ and $\delta_2 \rightarrow \delta_{m,2}$ for mode-1 and mode-2 aerosol, respectively.

Chlorophyll-a (chl-a in $\mu\text{g L}^{-1}$) was adopted as a proxy for OM_{sea} based on the assumption that chl-a and OM_{sea} concentrations in seawater are correlated. This assumption is supported by measurements of surfactants and chl-a in seawater (e.g. Zutic and Legovic, 1990), seasonal covariability in chl-a in seawater and OC_{aer} in ambient marine aerosols (O'Dowd et al., 2004), and lower production rates of nascent OC_{aer} from oligotrophic seawater containing low chl-a (Keene et al., 2007) relative to higher production rates of nascent OC_{aer} from productive seawater containing high chl-a (Facchini et al., 2008). Substituting chl-a for OM_{sea} in Eqs. (13) and (14) yields

$$\gamma_1 = \frac{\gamma_{m,1} \cdot k_1 \cdot [\text{chl-a}]}{1 + k_1 \cdot [\text{chl-a}]} \quad (15)$$

and

$$\delta_2 = \frac{\delta_{m,2} \cdot k_2 \cdot [\text{chl-a}]}{1 + k_2 \cdot [\text{chl-a}]} \quad (16)$$

respectively, where k_1 and k_2 are the corresponding absorption equilibrium constants based on chl-a.

Because it does not correlate with either chl-a or OM_{aer} , dissolved organic carbon in seawater (DOC) was not considered to be a reasonable proxy for OM_{sea} . This lack of correlation reflects the fact that DOC is composed of a mixture of labile, semilabile,

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and recalcitrant compounds that originate from multiple sources, are lost via different pathways, and exhibit variable lifetimes against degradation (see Carlson et al., 1994; Doval and Hansell, 2000). We anticipate that this preliminary proxy approach for describing OM_{sea} in the production parameterization will be refined as additional simultaneous measurements of chl-*a*, DOC, OM_{sea}, nascent OM_{aer}, and the corresponding speciation thereof become available.

For δ_1 , the three dimensionless constants in Eqs. (12), (15) and (16) (B_1 , $\gamma_{m,1}$, and k_1) were determined as follows. The mean chl-*a* concentrations in feed seawater (Table 1) and the corresponding size-resolved mass ratios of OM_{aer} to sea salt measured by Keene et al. (2007) and Facchini et al. (2008) were used to calculate individual δ_1 values for each size fraction in the two datasets based on Eq. (11). Regressing the resulting δ_1 values against D_p' based on Eq. (12) yielded B_1 values of 0.277 and 0.335 and γ values of -1.38 and -1.97 for Keene et al. (2007) and Facchini et al. (2008), respectively. Based on the above values and using a mean B_1 value of 0.306, we found γ_m of -2.01 and k_1 of 40.0 by solving Eq. (15) using the method of Scatchard (1942).

Mean values for chl-*a* and the mass ratios of OM_{aer} to sea salt for mode-2 aerosols (Table 1) were used to empirically constrain δ_2 . Again using the method of Scatchard (1942), Eq. (16) was solved for $\delta_{m,2}$ and k_2 yielding 0.056 and 20.8, respectively. The relatively small contributions of OM_{aer} to the volume of mode-2 particles corresponded to negligible differences in the size distribution described by Eq. (7). Therefore, substituting the D_p' transformation (Eq. 10) for D_p , Eq. (7) was used as the lower boundary condition (OM_{sea}=0) for the mode-2 parameterization. The OM adsorption parameters for mode-1 and mode-2 aerosol are summarized in Table 2.

3 Global simulations

Size-resolved number and mass production fluxes based on the parameterization derived above (as presented in Appendix A, and hereafter referred to as Long10) were simulated offline (i.e. with no feedbacks involving the atmospheric system) over five

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years using an 8-aerosol size-bin version (3.5.07) of the NCAR Community Atmosphere Model at $1.9^\circ \times 2.5^\circ$ lat-long resolution (Gent et al., 2009). The atmosphere model was initialized at 1 January 2000. Interfaces with ocean, ice, and land models were run using offline data from 1 January 2000 through 31 December 2004. Number production fluxes based on parameterizations reported by Gong03 and Clk06 were simulated under the same set of conditions for comparison. Fields of chl-a concentrations (in units of mg m^{-3}) in surface seawater were set equal to monthly averages derived from SeaWiFS imagery ($1^\circ \times 1^\circ$, Gregg, 2008) for the period September 1997 through December 2002. Modeled size bins were centered on 0.039, 0.076, 0.15, 0.52, 2.4, 4.9, 15.1 and 30- μm diameters at 98% RH (i.e., within the laminar sub-layer immediately above the air-sea interface) across bin widths (dD_p) of 0.03, 0.05, 0.1, 0.2, 1.0, 3.0, 10.0 and 20.0 μm , respectively. RH dependent diameter conversion from 98% RH to those at 80% and 0% RH was based on a 4:2:1 ratio (Lewis and Schwartz, 2004). The non-linearity of the adsorption model used to associate particulate-OM to inorganic-sea-salt fluxes precludes explicit quantitative evaluation of corresponding uncertainty using linear uncertainty propagation laws. To evaluate the sensitivity of results to uncertainty in chl-a, five-year simulations based on Long10 were repeated with $\log(\text{chl-}a) = \log(\text{mean chl-}a) \pm 0.31$ based on Gregg and Casey (2004).

4 Results and discussion

Size-resolved number production fluxes at U_{10} of 9 m s^{-1} and 80% RH based on Long10 (at $[\text{chl-}a]=0.0$, i.e. no organic mass in the particles) are compared with those based on Mrt03 (for 25°C), Gong03, and Clk06 in Fig. 2. Mrt03 measured size-resolved number concentrations of aerosols produced by bubbling air through synthetic seawater of unreported organic composition. Gong03 modified the original parameterization of Monahan et al. (1986) based on production fluxes inferred from thermal desorption measurements of size-resolved number concentrations of inorganic sea salt over the open ocean (O'Dowd et al., 1997). Clk06 inferred size-resolved production

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fluxes based on thermal desorption measurements of sea-salt number concentrations measured over a vertical gradient in a coastal surf zone. Note that the thermal desorption approach used in these latter two studies would have removed unknown but probably large fractions of the ambient OM_{aer} prior to characterization. Mrt03, Gong03, and 5 Clk06 extrapolated measured number size distributions as functions of U_{10} over the ocean based on whitecap coverage using variants of the approach reported by Monahan and O'Muircheartaigh (1986). Because shapes of the size distributions on which the Long10, Mrt03, Gong03, and Clk06 parameterizations are based do not vary as functions of U_{10} , the corresponding relative variability in predicted size-resolved fluxes 10 at U_{10} greater and less than 9 m s^{-1} (not shown) is the same as that depicted in Fig. 2. Global average annual fluxes of number and mass as simulated with CAM based on Long10, Gong03, and Clk06 are summarized in Table 3. Upper and lower bounds of 15 the CAM results for Long10 are also reported.

In the size range between about 0.4 and $5.0 \mu\text{m}$ diameter, predicted number production fluxes based on the four functions were statistically indistinguishable (Fig. 2), 20 which implies that both the underlying measurements and the different approaches employed to scale number fluxes based on U_{10} (white cap coverage versus air detrainment) yield representative results. We note that Gong03 and Clk06 scale with $U_{10}^{3.41}$ and Long10 scales with $U_{10}^{3.74}$. In addition, the mean U_{10} in the 5-year CAM simulations was 25 8.2 m s^{-1} and relatively few ($\ll 1\%$) individual values were greater than 20 m s^{-1} . Consequently, differences in scaling as functions of U_{10} would introduce minor to negligible divergence in size-resolved production fluxes based on these functions. The significant divergence evident at the upper and lower ends of the size-resolved flux distributions originates primarily from differences in the measured number size distributions rather than the corresponding scaling approaches.

Because mass flux is dominated by mode-2 (super- μm -diameter) aerosol, the relatively large divergence in predicted number production at the upper end of the size distribution ($> 5 \mu\text{m}$ diameter, Fig. 2) accounts for virtually all of the divergence in average annual mass flux (Table 3). Two factors contribute to these differences: (1)

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air through synthetic and natural seawater (Sellegrí et al., 2008; Tyree et al., 2008) and by a water jet impinging on natural seawater (Hultin et al., 2010) yield normalized size distributions that are more similar to those reported by Keene et al. (2007). Additional measurements over a broader range of conditions are needed to constrain the current 5 level of uncertainty in number production fluxes of marine aerosol evident in Table 3 and Fig. 2 and reported elsewhere (e.g., Andreas, 1995; Lewis and Schwartz, 2004).

For comparison with other published estimates, simulated OM_{aer} masses were converted to equivalent OC_{aer} masses based on an assumed conversion factor of 2.0 (Keene et al., 2007). The annual globally averaged OC_{aer} flux based on the bottom-10 up approach represented by Long10 (29 Tg C y^{-1}) is within the range of estimates bounded by Gantt et al. (2009; 22.3 Tg C y^{-1}) and top-down estimates by Roelofs (2008; $35\text{--}50 \text{ Tg C y}^{-1}$) based on cloud droplet number and effective radii simulated with a fully coupled climate model as constrained by available measurements. In contrast, Spracklen et al. (2008) report a global production flux of OC_{aer} originating from 15 both primary and secondary sources of 8 Tg C y^{-1} that is significantly lower than that bounded by total measurement uncertainty for data employed in our parameterization. This latter estimate, as well as that reported by Gantt et al. (2009), is inferred from linear fitting of data constrained by paired measurements of OC_{aer} in marine air and chl-a measured in moderate to highly productive waters. The low OC_{aer} flux estimated 20 by Spracklen et al. (2008) falls outside the range of expectations based on available observations. For example, a global emission flux of inorganic sea salt in the range of $10^3\text{--}10^4 \text{ Tg y}^{-1}$ (Table 3) and a mean OM_{aer} -to-sea-salt mass ratio of 2%–4% in nascent marine aerosol (e.g., Keene et al., 2007; Facchini et al., 2008) corresponds to 25 a global emission flux of OC_{aer} ranging from $10\text{--}200 \text{ Tg C y}^{-1}$, which brackets estimates based on Long10, Gantt et al. (2009), and Roelofs (2008) but is greater than that predicted by Spracklen et al. (2008). Conversely, an OC_{aer} production flux of $<8 \text{ Tg C y}^{-1}$ in association with a sea-salt emission flux in the range of $10^3\text{--}10^4 \text{ Tg y}^{-1}$ yields a global mean OM_{aer} -to-sea-salt mass ratio in nascent aerosol from $<0.16\%\text{--}<1.6\%$, which is lower than the available, albeit limited, published measurements cited above

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Differences between estimates based on Long10, Gantt et al. (2009), and Spracklen et al. (2008) relate in part to the assumed functional relationship between OC_{aer} production and chl-a in seawater as outlined conceptually in Fig. 3. The curve bounded by the light shaded area in Fig. 3 is based on adsorption isotherm parameters shown for *bulk* aerosol in Table 2. The aggregate value of the ratio of OM to sea-salt, summed over the eight model size-bins and compiled from the model results is plotted as an open square in the figure; the agreement between this value and the global estimate based on bulk data and a global average chl-a concentration is noteworthy. In Fig. 3, it is evident that OC_{aer} production fluxes extrapolated linearly from surface chl-a are highly sensitive to chl-a concentrations in seawater from which the OC_{aer} originated. Assuming that the Langmuir functional relationship is representative, linear extrapolations based on measurements in productive marine regions (e.g. Facchini et al., 2008) would yield a relatively low OM_{aer} to chl-a slope resulting in a net underestimate of the globally integrated OM_{aer} flux (Fig. 4; dot-dashed straight line). Similarly, linear extrapolations based on measurements in oligotrophic waters (e.g. Keene et al., 2007) would yield a high OM_{aer} to chl-a slope resulting in a net overestimate of the globally integrated OM_{aer} flux (Fig. 4; dashed straight line). In this regard, we note that the range in OM_{aer} -to-sea-salt mass ratios inferred from both Spracklen et al. (2008) (Fig. 3; vertical bar) and Gantt et al. (2009) (not shown) overlap a linear extrapolation based on measurements in productive waters of the eastern North Atlantic by Facchini et al. (2008) (Fig. 3; dot-dashed straight line). The range in OM_{aer} -to-sea-salt mass ratios inferred from Gantt et al. (2009) also overlaps the Langmuir-type approach presented here as depicted in Fig. 3.

The spatial distribution of OC_{aer} production averaged over 5 years is plotted in Fig. 4, along with the corresponding distributions of U_{10} , chl-a concentration, and number production flux. Relative spatial variability in mass flux (not shown) is very similar to that in number flux. Results from the global CAM simulations suggest that fluxes of OC_{aer} generally coincide with those for wind-driven particle and mass production though local maxima and minima in OC_{aer} are associated with the distribution of chl-a. Validation

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of the simulated OC_{aer} fluxes is constrained by both the limited availability of data for size-resolved, marine-derived OC_{aer} (e.g., Turekian et al., 2003) and the fact that OC_{aer} associated with nascent marine aerosol is not conservative. The OC_{aer} originally present includes compounds that react to form volatile products (Zhou et al., 2008) and aged aerosols include condensed organic reaction products from volatile precursors of marine, natural terrestrial, and anthropogenic origin (Turekian et al., 2003; de Gouw et al.; 2005; Meskhidze and Nenes, 2006; Russell et al., 2007).

The novel parameterization presented herein is the first to predict the size- and composition-resolved production fluxes of marine aerosol over the full relevant size distribution. It is also the first to predict inorganic and organic marine aerosol fluxes based on an estimation of air entrainment by wind-driven breaking waves. Simulated global production fluxes of primary marine aerosol number and OC_{aer} are near the upper limits and those for mass are near the lower limit of the broad ranges of available estimates. As discussed above, this approach is based on limited observational constraints and will be refined as new data become available. In addition, the current lack of information precludes explicit evaluation of some important features of the system including the reliability of chl a as a proxy for OM_{sea} , the contributions of spume drops to aerosol mass production, and variability in aerosol production driven by bubble-plume dynamics that vary as functions of temperature (Mårtensson et al., 2003), surfactants (Sellegli et al., 2006), surface ocean dynamics (Hultin et al., 2010), and associated turbulent processes involved in bubble plume evolution (Deane and Stokes, 1999).

Major sources of uncertainty that contribute to overall uncertainty in results from the CAM simulations are summarized in Table 4. In addition, current limitations in Earth system models preclude explicit evaluation of air entrainment and particle production based on all factors that influence energy dissipation by breaking waves. For example, the simplified approach based on regression of F_{ent} versus U_{10} as employed here does not capture the full range of variability in the functional relationship among wind velocity, sea state, air entrainment/detrainment, and resulting aerosol production. Extrapolations of aerosol production based on white-cap coverage as a function of U_{10} suffer

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energy dissipated and associated air entrained via breaking ocean waves, which peak over the high-latitude southern ocean. Future work stemming from this effort will couple the parameterization presented here with online modules of aerosol microphysics and detailed multiphase chemistry within the CCSM framework.

5 Appendix A

Final form of the parameterization. Intermediate equations and definitions are omitted for clarity. Constants are replaced by the values used in the simulations. Refer to section 2 for details.

$$\frac{dF_N}{d\log D_P} = F_{\text{Ent}} \cdot 10^{P_N} \quad (A1)$$

$$10 \quad F_{\text{Ent}} = 2 \times 10^{-8} \cdot U_{10}^{3.74} \quad (A2)$$

$$D'_P = \sqrt[3]{\frac{8}{8 + \delta_N}} \cdot D_P \quad (A3)$$

For the mode-1 particle flux that accounts for variable OC (see Eqs. (9), (12), and (13) in the text):

$$P_1(\log D_P, \text{OM}_{\text{sea}}) = 1.46 \cdot (\log D'_P)^3 + 1.33 \cdot (\log D'_P)^2 - 1.82 \cdot (\log D'_P) + 8.83 \quad (A4)$$

$$15 \quad \delta_1(D_P, \text{OM}_{\text{sea}}) = 0.306 \cdot D_P^{\gamma_1} \quad (A5)$$

$$\gamma_1 = \frac{-2.01 \cdot 40.0 \cdot [\text{chl-}a]}{1 + 40.0 \cdot [\text{chl-}a]} \quad (A6)$$

For the mode-2 particle flux (see Eqs. (7) and (14) in the text):

$$P_2(\log D_P, \text{OM}_{\text{sea}}) = \\ -1.53 \cdot (\log D'_P)^3 - 8.10 \times 10^{-2} \cdot (\log D'_P)^2 - 4.26 \times 10^{-1} \cdot (\log D'_P) + 8.84 \\ 22300 \quad (A7)$$

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$$\delta_2 = \frac{0.056 \cdot 20.8 \cdot [\text{chl-}a]}{1 + 20.8 \cdot [\text{chl-}a]} \quad (\text{A8})$$

Appendix B

Notation

B_1	empirical constant for size-resolved mode-1 OM content, unitless.
c_N	number production flux of mode-N aerosol per unit air detrained, m^{-3} .
D_P	geometric mean diameter at 80% RH, μm .
D_{P}	geometric mean diameter reflecting variable V_{OC} , μm .
E_D	total energy dissipated by wave-breaking, J.
f_N	fitting function for mode-N aerosol based on P_N , m^{-3} .
F_N	size-resolved particle flux for mode-N aerosol, $\text{m}^{-2} \text{s}^{-1}$.
F_{Det}	bubble plume air detrainment flux, $\text{m}^3 \text{m}^{-2} \text{s}^{-1}$.
F_{Ent}	bubble plume air entrainment flux, $\text{m}^3 \text{m}^{-2} \text{s}^{-1}$.
F_T	total particle number flux, $\text{m}^3 \text{m}^{-2} \text{s}^{-1}$.
g	gravitational constant, m s^{-2} .
K_N	empirically determined constant for mode-N aerosol based on OM_{sea} , unitless.
k_N	empirically determined constant for mode-N aerosol based on chl- <i>a</i> , unitless.
OC_{aer}	particulate organic carbon mass, g C m^{-3} .
OM_{aer}	particulate organic matter mass, g m^{-3} .
OM_{sea}	surface-active organic matter in surface seawater, g m^{-3} .
R_N	diameter range for mode-N aerosol at 80% RH, μm .
U_{10}	windspeed at 10-m height, m s^{-1} .
V_0	total volume of air entrained by a breaking wave, m^3 .
V_{OM}	volume of organic matter per particle, μm^3 .

V_P	total volume per particle at 80% RH, μm^3 .
V_{SS}	volume of inorganic sea salt per particle at 0% RH, μm^3 .
α	ratio of V_0 to E_D , $\text{m}^3 \text{J}^{-1}$.
γ_1	Langmuir-based shape parameter for mode-1 aerosol, unitless.
$\gamma_{\text{m},1}$	saturation value for mode-1 aerosol shape parameter, unitless.
$\delta_{\text{m},2}$	saturation value for volume ratio of organic matter to dry sea salt for mode-2 aerosol, unitless.
δ_N	volume ratio of organic matter to dry sea salt for mode-N aerosol, unitless.
ε_D	wind-wave energy dissipation rate, W m^{-2} .
ω	ratio of deliquesced-to-dry-sea-salt diameter at 80% RH, unitless.

Supplementary material related to this article is available online at:

[http://www.atmos-chem-phys-discuss.net/10/22279/2010/
acpd-10-22279-2010-supplement.pdf](http://www.atmos-chem-phys-discuss.net/10/22279/2010/acpd-10-22279-2010-supplement.pdf).

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Table 1. Mass ratios expressed as percentages of mean OM_{aer} to inorganic sea salt for bulk and mode-2 aerosol and corresponding mean chl-*a* concentrations in surface seawater. Uncertainties correspond to reported variability in measurements.

	Mass Ratio for OM_{aer} to Sea Salt in Bulk Aerosol ^a	Mass Ratio for OM_{aer} to Sea Salt in Mode-2 Aerosol ^b	Chl- <i>a</i> ($\mu\text{g L}^{-1}$)
Keene et al. (2007)	$2.5\% \pm 2.0\%$	$1.5\% \pm 1.1\%$	0.055
Facchini et al (2008)	$4.5\% \pm 0.2\%$ ^c	$2.7\% \pm 0.2\%$ ^c	1.4

^a Based on measured OM_{aer} and inorganic sea-salt mass for size-resolved aerosol summed over all size fractions and for aerosol sampled in bulk.

^b Based on measured OM_{aer} and inorganic sea-salt mass summed over super- μm size fractions at 80% RH.

^c Provided by M. C. Facchini (Institute of Atmospheric Sciences and Climate, CNR, Bologna, Italy, unpublished data, 2008).

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Table 2. Adsorption parameters calculated from aerosol characteristics reported by Keene et al. (2007) and Facchini et al. (2008) and the corresponding mean chl-a concentrations measured in seawater. Combined uncertainties are reported. Dashes indicate that a parameter is not applicable for an aerosol mode.

Parameter	Mode-1 Aerosol (Eqs. 12 and 15)	Mode-2 Aerosol (Eq. 16)
B_1	0.306 ± 0.108	–
$\gamma_{m,1}$	-2.01 ± 0.26	–
$\delta_{m,2}$	–	0.056 ± 0.006
k_N	40.0 ± 29.3	20.8 ± 20.0

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Table 3. Average annual emission fluxes of sea-salt mass, total aerosol number, and OC_{aer} based on CAM (v3.5.07) simulations using different production parameterizations.

Parameterization	Sea-salt Mass Flux (Tg y ⁻¹)	Number Flux (m ⁻² s ⁻¹)	OC _{aer} Mass Flux (Tg C y ⁻¹)
Long10	1.5 (±0.2 ^a ; ±0.7 ^b)×10 ³	1.4 (±0.2 ^a ; ±0.7 ^b)×10 ⁶	29 (±3 ^a ; ±15 ^b ; ±17 ^c)
Gong03	5.9 (±0.8 ^a)×10 ³	0.37 (±0.05 ^a)×10 ⁶	–
Clk06	20 (±2 ^a)×10 ³	0.59 (±0.06 ^a)×10 ⁶	–

^a Uncertainty corresponding to interannual variability in the simulated flux ($N=5$).

^b Combined uncertainty for interannual variability and measurements of aerosol characteristics (see text and summary in Table 4).

^c Combined uncertainty for interannual variability, measurements of aerosol characteristics, and satellite-based chl-a fields (see text and summary in Table 4).

Table 4. Summary of major sources of uncertainty in parameterization.

Source	Uncertainty	Citation	Note
Air Entrainment per Unit Energy (α)	$\pm 25\%$	Various	See text
Whitewater Energy Dissipation Rate (ε_D)	Unreported (est. $\pm 20\%$)	Hanson and Phillips (1999)	See text
Air Entrainment Volume (F_{En})	$\pm 40\%^a$	This paper	See text
Measured Number Production Flux	Mode-1: $\pm 21\%$ Mode-2: $\pm 84\%$ Overall: $\pm 40\%$	Keene et al. (2007)	$n=20^b$
Measured OM _{aer}	Mode-1: $\pm 12.2\%^b$ Mode-2: $\pm 73.3\%^b$	Keene et al. (2007)	$n=5$
Measured OM _{aer}	Mode-1: $\pm 4.4\%^b$ Mode-2: $\pm 4.4\%^b$	Facchini et al. (2008)	$n=9^c$
Chl-a	$10^{\pm 31\%}$	Gregg and Casey (2004)	Log-normal RMS ^d
Chl-a vs. OM _{sea}	Unknown; (Best estimate: $r^2=0.85$)	Zutic and Legovic (1990)	N. Adriatic Sea

^a Estimated uncertainty corresponds to that at 9 m s^{-1} .

^b Only samples with head-space RH = 80% $\pm 2\%$. Values normalized by air-detrainment rate.

^c Reanalysis of published and unpublished data.

^d Root mean squared (RMS) error.

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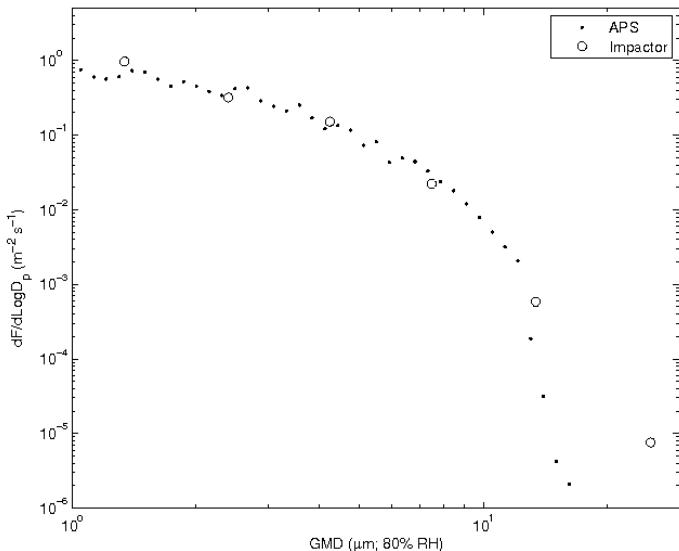


Fig. 1. Number production fluxes of artificially generated aerosols measured with an APS (solid diamonds) and the corresponding number production fluxes calculated from size-resolved aerosol mass sampled with a cascade impactor and analyzed by ion chromatography (open circles).

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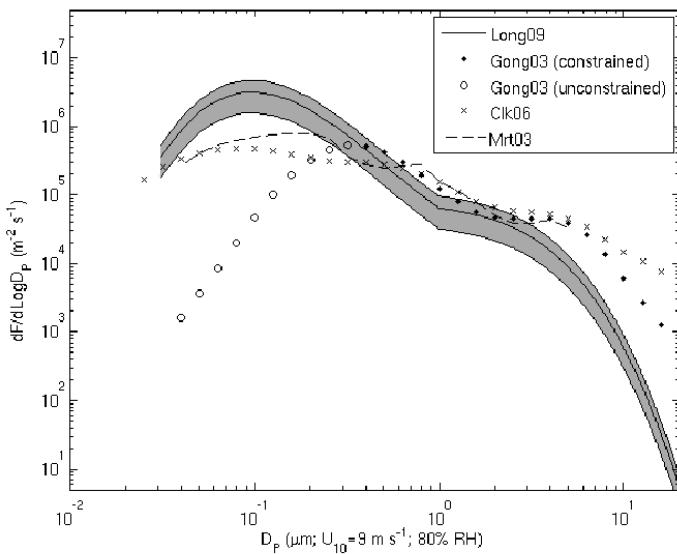


Fig. 2. Size-resolved number production fluxes based on Long10 compared with those based on Gong03, Clk06, and Mrt03 at 80% RH and $U_{10}=9\text{ m s}^{-1}$. Because fluxes predicted by the other parameterizations are based on measurements of inorganic sea salt devoid of OC_{aer} (see text), fluxes depicted for Long10 correspond to those at $0.0\text{ mg chl-}a\text{ m}^{-3}$ (i.e. also devoid of OC_{aer}). The shaded area depicts combined measurement-based uncertainty associated with the entrainment of the bubble plume and particle production. Readers are referred to the cited literature for uncertainties associated with the other parameterizations. Open and closed circles for Gong03 depict predicted fluxes that are within and outside, respectively, the ranges of constraining measurement from which the function was derived.

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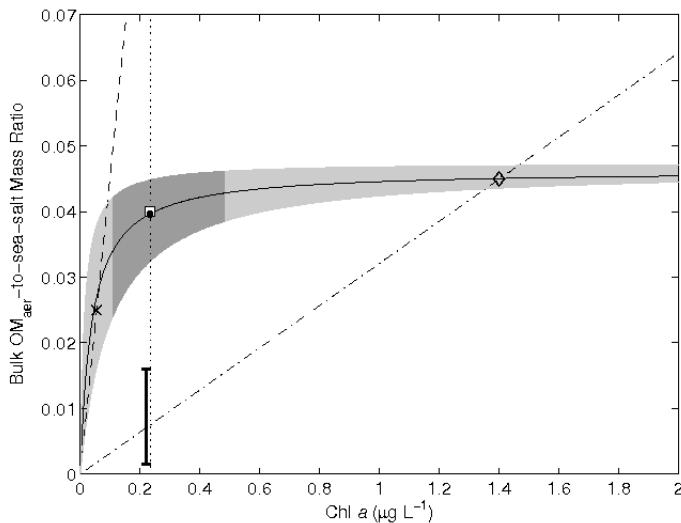


Fig. 3. Comparison of Langmuir versus linear extrapolations of the bulk OM_{aer} mass fraction of nascent marine aerosol as a function of chl-*a* in seawater. The solid line depicts the fit of the mean OM_{aer} data (Table 1) from low- (X; Keene et al., 2007) and high-productivity (diamond; Facchini et al., 2008) waters to a Langmuir model as described in the text. The gray shaded area depicts uncertainty around the fit associated with reported variability in the measured mass ratios of OM_{aer} to sea salt (Table 1). The dark shaded area corresponds to uncertainty in the remotely sensed chl-*a* data (see text). The dashed and dot-dashed lines depict linear extrapolations based on the mean results reported by Keene et al. (2007) and Facchini et al. (2008), respectively. The open square is the bulk OM_{aer} mass fraction based on integration of results from the 5-year CAM simulations using the Long10 parameterization and the solid circle is the corresponding bulk OM_{aer} mass fraction calculated directly from the mean data in Table 1. The vertical dotted line depicts the mean global chl-*a* concentration ($0.23 \mu\text{g L}^{-1}$) based on the SeaWiFS data employed in this study. The vertical bar represents the range in the mass ratios of OM_{aer} to sea salt that correspond to global OC_{aer} (primary + secondary) emission fluxes of 8Tg C y^{-1} (Spracklen et al., 2008) based on a global inorganic sea-salt emission flux of 10^3 to 10^4Tg y^{-1} (Table 1) and a global mean chl-*a* concentration of 0.22 mg m^{-3} (as inferred from results presented in Spracklen et al., 2008).

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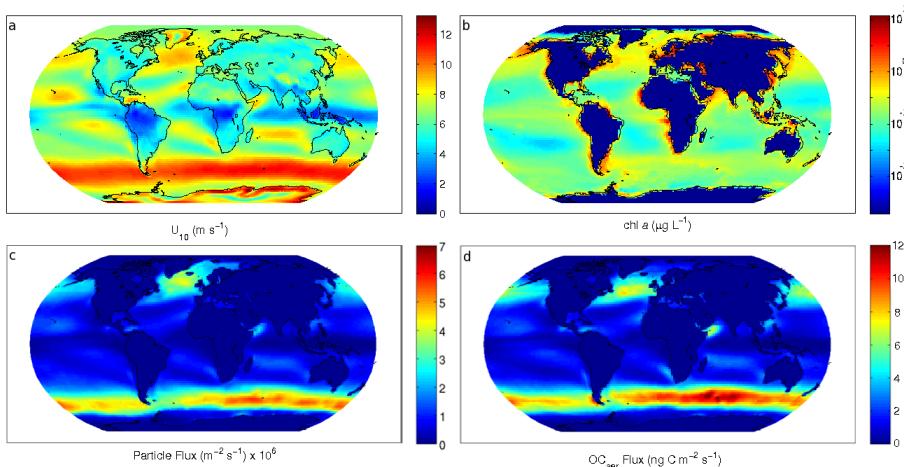


Fig. 4. Simulated spatial distributions of (a) U_{10} , (b) surface ocean chl-a, (c) total number production flux of nascent marine aerosol, and (d) the corresponding OC_{aer} flux averaged over 5 years.

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