



## Abstract

The Bihar pollution pool is a large wintertime increase in pollutants over the eastern parts of the Indo Gangetic basin. We use improved carbon monoxide (CO) retrievals from the recent Measurements of Pollution in the Troposphere (MOPITT) version 4 data along with the aerosol data from the latest version 3 of the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) lidar instrument and the tropospheric ozone residual products from the Total Ozone Mapping Spectrometer (TOMS)/Solar Backscattered Ultraviolet (SBUV) and Ozone Monitoring Instrument (OMI)/Microwave Limb Sounder (MLS) database to characterize this pollution pool. The feature is seen primarily in the lower troposphere from about November to February with strong concomitant increase in CO, aerosol optical depth and tropospheric ozone columns. The height resolved aerosol data from CALIPSO confirm the trapping of the pollution pool at the lowest altitudes. The observations indicate that MOPITT can capture this low altitude phenomenon even in winter conditions as indicated by the averaging kernels.

## 1 Introduction

The Indo Gangetic basin (IGB) straddling the north eastern parts of India near the foot hills of the Himalayas is one of the most densely populated regions on the globe with consequent large anthropogenic emissions. In particular the use of traditional biofuels in the rural areas along the basin leads to strong emissions of various pollutants. It is also a region with many power plants and industries and the recent economic growth of India has led to significant increase in industrial emissions (Ghude et al., 2008). As such, the high level of pollution in this region has been the subject of several studies over the last few years using data both from ground based and space based observations as well as models (Jethva et al., 2005; Tripathi et al., 2006; Gautam et al., 2007, 2009; Beig and Ali, 2006; Roy et al., 2008; Kar et al., 2008, 2009; Clarisse et al., 2009).

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this new MOPITT CO dataset. While the phenomenon was initially noted in the MISR aerosol optical depth data, new height resolved aerosol data from CALIPSO have now become available which should provide further insight into the vertical distribution of the feature. We therefore use these aerosol data from the CALIPSO lidar instrument as also the tropospheric ozone columns from the TOR and TCO databases to further characterize this winter time phenomenon over the eastern parts of the IGB.

## 2 Data

We use CO retrievals from the MOPITT instrument on board Terra (Drummond, 1992; Drummond et al., 2010). MOPITT has been providing global measurements of CO profiles since March 2000 using gas correlation radiometry and the data have been used widely to study the global distribution of CO and various transport phenomena in the troposphere. Recently a new version of the data (version 4) has been released which differs from the version 3 in many respects (Deeter et al., 2010). Primarily, improved retrievals result from a more realistic a priori, essential for the optimal estimation technique employed for the CO retrieval algorithm. Specifically a monthly mean climatology obtained from the Model for Ozone and Related chemical Tracers, version 4 (MOZART-4) chemical transport model interpolated to the location and day of the MOPITT retrievals is used in version 4 rather than the uniform a priori profile employed in version 3 (for details see Deeter et al., 2010). Further, the state vector is represented in the form of  $\log(\text{vmr})$  rather than as  $\text{vmr}$  (volume mixing ratio) as was done in version 3 and the training set for the radiative transfer code was expanded by adding a set of highly-polluted profiles obtained by scaling each of the original CO profiles by a factor of two. The latter leads to valid retrievals over the locations with high CO sources, where the version 3 algorithm resulted in significant data drop outs (Deeter et al., 2010). We use the level 3 gridded monthly mean profiles (at 9 uniform pressure levels from 900 hPa to 100 hpa) from the version 4 MOPITT data. We also use aerosol products retrieved by the CALIPSO lidar instrument which has been providing height resolved

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aerosol information globally since 2006 (Winker et al., 2009). CALIPSO provides total attenuated backscatter profiles at 532 nm and 1064 nm and a perpendicular polarization component for 532 nm. A new version (V.3.01) of CALIPSO data has just been released with significant improvements in the cloud-aerosol screening module as well as extended profiles below layers with strong attenuation and also provides the column integrated optical depth information for the first time. The depolarization ratio from the 532 nm channel provides useful information about the shape of the aerosol particles while the color ratio provides information on the size of the aerosol particles (Z. Liu et al., 2008). We use the 5 km aerosol layer products from CALIPSO data. Further we make use of the tropospheric column ozone data from two products. In the empirically corrected tropospheric ozone residuals (TOR), the stratospheric column ozone (SCO) is determined by an empirical correction applied to the tropospheric profile as retrieved by the SBUV measurements and subsequently the resulting SCO is subtracted from the TOMS total column measurements (Fishman and Balok, 1999). Monthly mean fields of TOR are available and encompass the time period from 1979–2005 with missing data for the years 1994–1996. We also use the tropospheric column ozone (TCO) database derived by Ziemke et al. (2006) from the OMI and MLS instruments after cross calibrating the MLS SCO with OMI SCO obtained by the convective cloud differential method. These data have similar spatial resolution as the TOR data ( $1^\circ \times 1.25^\circ$ ) and are available from October 2004 onwards as monthly means. We have also used aerosol optical depth (AOD) data from the AERONET station at Kanpur. Only the quality assured level 2 version 2 data were used for the years 2006–2009. We have also used the pressure tendency (omega) data from the National Centers for Environmental Prediction (NCEP) reanalyses (Kalnay et al., 1996).

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### 3 Results

#### 3.1 Bihar Pollution Pool in MOPITT CO (version 4) data

Figure 1 shows a comparison of monthly mean profiles for December 2005 within the IGB area from version 3 (V3) and version 4 (V4) MOPITT data for 2 grid cells centered at 24.5° N, 84.5° E and 26.5° N, 80.5° E. Also shown are the corresponding a priori profiles from the two versions of the data (as dashed lines). The constant a priori profile in V3 differs significantly from the V4 a priori profiles below 500 hPa. The V4 mean profile at 26.5° N, 80.5° E was computed from 37 CO retrievals which were not available in V3 data and thus did not have a corresponding mean V3 profile for this grid cell. The large value of ~260 ppbv at 900 hPa indicates that these valid retrievals in V4 may have come about because of the revised training set as mentioned above, since the cloud clearing algorithm is the same in both the versions (Deeter et al., 2010). The V4 mean profile at 24.5° N, 84.5° E, on the other hand had a corresponding monthly mean V3 profile with the same number of profiles in both the versions. Significant differences can be seen in the middle and lower tropospheric mixing ratios once again with much higher values in the lowest levels in version 4. The added retrievals over the IGB area now make it possible to clearly delineate the Bihar pollution pool (BPP) during the winter months. This is shown in Fig. 2 in the spatial distribution of CO at 900 hPa between the months of November 2006 to February 2007. We have used the dayside data (with mean uncertainty less than 50%) only, as these have typically higher vertical resolution than the nightside data (Deeter et al., 2010). As can be seen, very high values of CO mixing ratios at 900 hPa develop over a large area in the north eastern parts of the IGB reaching ~300 ppbv in December and January (~20° N–27° N, 80° E–90° E). In absence of any significant biomass burning in this area in winter, these enhanced CO levels are generated by anthropogenic activities. The high CO values seen over South East Asia (eastward of ~90° E) in February are likely due to the seasonal biomass burning as evidenced by the large number of hotspots in Along Track Scanning Radiometer (ATSR) maps over this area (not shown). Similar evolution

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up to the foot hills of the Himalayas. In the Version 3 CALIPSO data released very recently, the aerosol subtypes are now directly available in the level 1 browse images. Figure 6c shows the corresponding subtype information for this scene. In the BPP area ( $\sim 20^\circ \text{N}$ – $28^\circ \text{N}$ ) several different aerosol subtypes can be discerned with polluted continental and polluted dust at the lowest levels overlain with dust and smoke.

In order to characterize the aerosol environment in the BPP area we use the 5 km aerosol layer product from CALIPSO V3 data. Figure 7 shows the altitude distributions of the top of the layers (above surface level) in the different seasons for the year 2007. All layers between surface and 6 km with Cloud Aerosol Discrimination (CAD) score of  $-100$  only, were included. A CAD score of  $-100$  indicates that the layer has been identified as an aerosol layer with complete confidence (Liu et al., 2009, 2010). Significant seasonal changes in the altitude distribution can be seen. The winter (December 2006 through February 2007) distribution is clearly different from the other seasons with maximum number of aerosol layers with the bulk of them having top altitudes within 2–3 km. In contrast a significant number of layers in spring have top altitudes in excess of 3 km. These layers are likely the dust layers transported into the BPP region from the western parts of the sub-continent. In summer the number of layers drops drastically, indicating the influence of the monsoon.

The spatial and optical properties of the aerosols along with other ancillary data are used to obtain the aerosol subtypes in the CALIPSO algorithm (Omar et al., 2009). Figure 8 shows the seasonal variation of the dominant subtypes (as a fraction of the total number of layers) over the BPP ( $\sim 20^\circ \text{N}$ – $27^\circ \text{N}$ ,  $80^\circ \text{E}$ – $90^\circ \text{E}$ ) area during 2007. All layers with top altitudes below 6 km and CAD score of  $-100$  have been used for this plot. While dust dominates during spring and early summer, polluted dust seems to dominate throughout the rest of the year with smaller contributions from polluted continental and smoke. While dust coming from the northwestern parts declines during the monsoon months, contribution from locally generated dust becomes important during the fall and winter months. This is consistent with the findings of Dey and Di Girolamo (2010), who attribute the low Angstrom exponent and slightly higher non-spherical

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component of the AOD over the Eastern IGB in winter to high concentration of coarse dust particles from rural activities. Figure 9 shows the altitudes (top of layers above the surface) of these dominant subtypes taken along a longitude belt of 80° E–90° E during the winter months (December 2006–February 2007). Once again, the low altitude aerosol layers (below 30° N) are primarily composed of polluted dust and polluted continental types with some smoke and dust layers mixed with these.

The aerosol subtype algorithm in CALIPSO retrieval scheme primarily provides an estimate of the lidar ratio (i.e., the aerosol extinction-to-backscatter ratio) used subsequently in the extinction algorithm (Omar et al., 2009). In view of the significant improvements in the version 3 data from extension of the aerosol profiles below optically thick layers (Vaughan et al., 2010) and cloud-aerosol discrimination algorithms (Liu et al., 2010), the layer optical depths as well as the column integrated optical depths (which is a new product in V3) should provide valuable information about the BPP feature. Figure 10 shows the layer optical depths in the winter of 2006–2007 obtained from the CALIPSO extinction retrievals at 532 nm over the BPP area. Only those layers with CAD score of  $-100$ , estimated uncertainty in the layer optical depth less than the optical depth and extinction QC value of either 0 (solutions with final lidar ratio unchanged) or 1 (constrained retrievals) was used. Most layers have optical depths within about 0.6 but some can go up to 1.0 or more.

Figure 11 shows a comparison between the monthly mean column aerosol optical depths (AOD) obtained from the AERONET station at Kanpur and CALIPSO. Kanpur (26.5° N, 80.4° E) is located near the northern edge of the BPP. Only the quality assured level 2 version 2 data from AERONET database have been used here for the time period June 2006 to December 2009. We used a coincidence box of  $0.5 \times 0.5$  deg in latitude and longitude around the location of Kanpur and used the daytime 532 nm column AOD data from CALIPSO for this comparison. The CALIPSO AOD data were filtered using two criteria: (a) the column AOD uncertainty less than the column AOD value and (b) the extinction QC value of 0 or 1 for each of the layers within a particular column. The AERONET monthly mean data at 500 nm were interpolated to 532 nm

using the Angstrom exponent in the range 440–675 nm. There were a total of 32 points available for this comparison. The comparison in Fig. 11 shows a reasonable agreement between the two data sets (linear correlation coefficient  $\sim 0.5$ ) with CALIPSO retrieving lower optical depths more often than not. It should be mentioned that we have not filtered the CALIPSO data for presence of clouds because of the few data points available for comparison.

Figure 12 shows the spatial distribution of AOD at 532 nm from CALIPSO V3 over India between November 2006 and February 2007. Only night time data were used because of generally low SNR in the dayside CALIPSO data because of the solar influence. Once again, the AOD data were filtered using the two criteria stated in the last paragraph. The data were binned in  $3.636^\circ$  in latitude and  $3.06^\circ$  in longitude, which ensures uniform sampling within each grid cell. Because of the sparse sampling of CALIPSO, the fine details of these plots should be viewed with some caution. However, it is clear that the spatial pattern is generally similar to the CO distribution from MOPITT, with a plume of high AOD ( $\sim 0.6$ ) over the BPP area ( $20^\circ\text{N}$ – $27^\circ\text{N}$ ,  $80^\circ\text{E}$ – $90^\circ\text{E}$ ). The AOD values are quite similar to the maximum AOD values of about 0.6 reported over this region from the MISR instrument at 558 nm in winter as well as from Moderate Resolution Imaging SpectroRadiometer (MODIS) at 550 nm in this area (Di Girolamo et al., 2004; Jethva et al., 2005). CALIPSO data were not available from 5–18 December 2006. The BPP plume is well delineated in November through January and decreases in strength in February. This result attests to the fidelity of the CALIPSO AOD retrievals over this highly polluted area. Once again, we used all data for this plot without filtering for clouds. However, we examined the effect of clouds by retaining only the cloud free data (cloud column optical depth = 0). While this led to data dropouts, it did not affect the main results. Figure 13 shows the inter annual variation of the feature in CALIPSO data for the month of December using the 4 years of data available. The BPP plume occurs every year with some inter annual variability. Once again this suggests that the BPP plume is a robust feature.

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### 3.3 Bihar Pollution Pool in the tropospheric column ozone data

The large regional enhancement of CO and aerosol at low altitudes over the BPP area may have implications for ozone levels in the area. We examined the tropospheric column ozone over this area using both the TOR and TCO data. Figure 14 (top panel) shows an example of regionally enhanced TOR values over the BPP area in January 2006. Note the highest TOR values ( $\sim 40$  DU,  $1 \text{ DU} \equiv 2.69 \times 10^{16}$  molecules per  $\text{cm}^2$ ) occur essentially over the BPP area where CO is regionally strongly enhanced. Figure 14b (bottom panel) shows the corresponding plume as seen in the TCO distribution. The close similarity between the spatial distributions from two different tropospheric residual products lends credence to this feature in ozone and perhaps implies that the two products are capturing low altitude ozone produced from the enhanced levels of precursors like CO over this area at this time. The TOR values in 2006 were estimated by subtracting the SCO obtained from the Global Forecast System (GFS) model (which assimilates SBUV information) from the total ozone column retrieved by the OMI instrument. Thus the primary information on the troposphere is coming from the OMI instrument for both TOR and TCO for January 2006 and should be linked to the vertical sensitivity of the total ozone column retrievals from the OMI measurements. The latter has been quantified in terms of an “efficiency factor” and is reported in the level 2 data products of OMI at 11 levels corresponding to the mid points of the Umkehr layers (at 2.8, 7.9, 12.5, 17.0, 21.3, 25.8, 30.4, 35.2, 40.2, 45.5, 51.0 km) and represents the sensitivity of the total ozone column to perturbations in a particular layer. The value of the efficiency factor at the lowest level (2.8 km) averaged over  $20^\circ \text{N}$ – $27^\circ \text{N}$ ,  $80^\circ \text{E}$ – $90^\circ \text{E}$  for January 2006 comes out to be about 0.5 and its spatial distribution shows regionally enhanced values of the efficiency factor along the IGB (not shown). Therefore it is possible that both TOR and TCO are capturing some of the enhancements of low altitude ozone in this area in winter. Both TOR (1979–2005) and TCO (2004–2008) climatologies show enhanced ozone over BPP during the winter (December, January and February) months (not shown). While both show regional enhancements of about

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TCO data are capturing some of the ozone being produced locally by photochemistry from the precursors at low altitudes and thus implies their usefulness for monitoring air quality in highly polluted areas. Overall these new satellite data confirm very high anthropogenic pollution in the BPP area in winter and call for continual monitoring and mitigation strategies.

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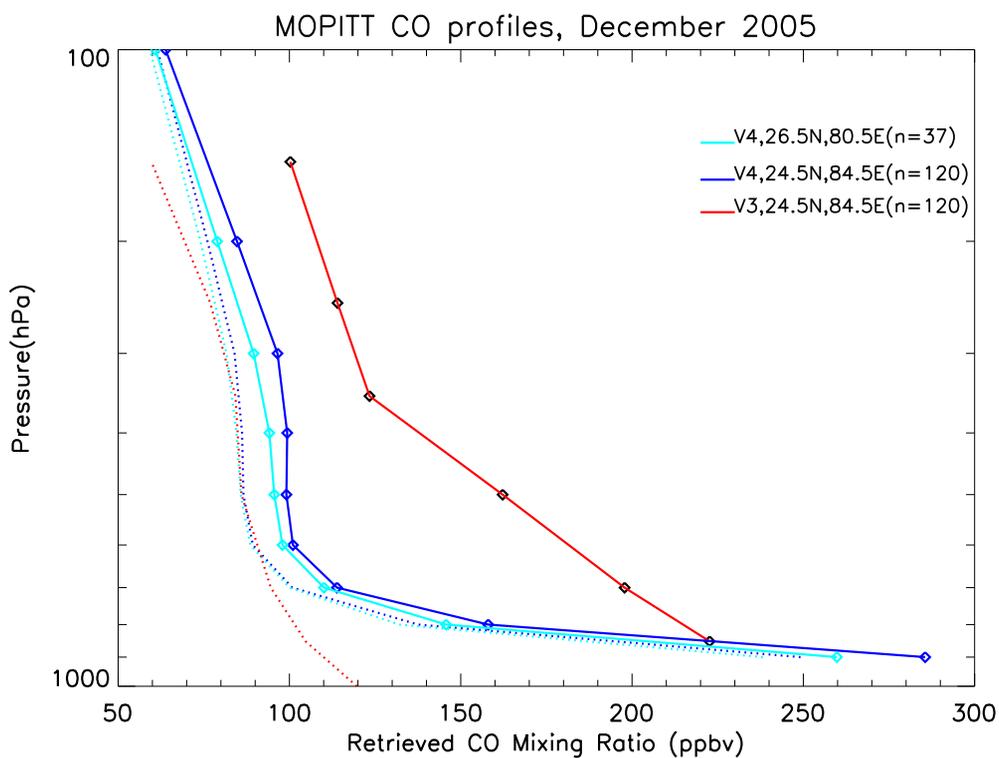
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**Fig. 1.** MOPITT CO profiles at two locations over the Indo-Gangetic basin (level 3 monthly mean gridded data). Note that there were no corresponding profiles within the grid cell centered at 26.5° N, 80.5° E in version 3 of MOPITT data. The dashed curves are the corresponding a priori profiles used in the CO retrievals. The number of profiles for each grid cell is shown in brackets.

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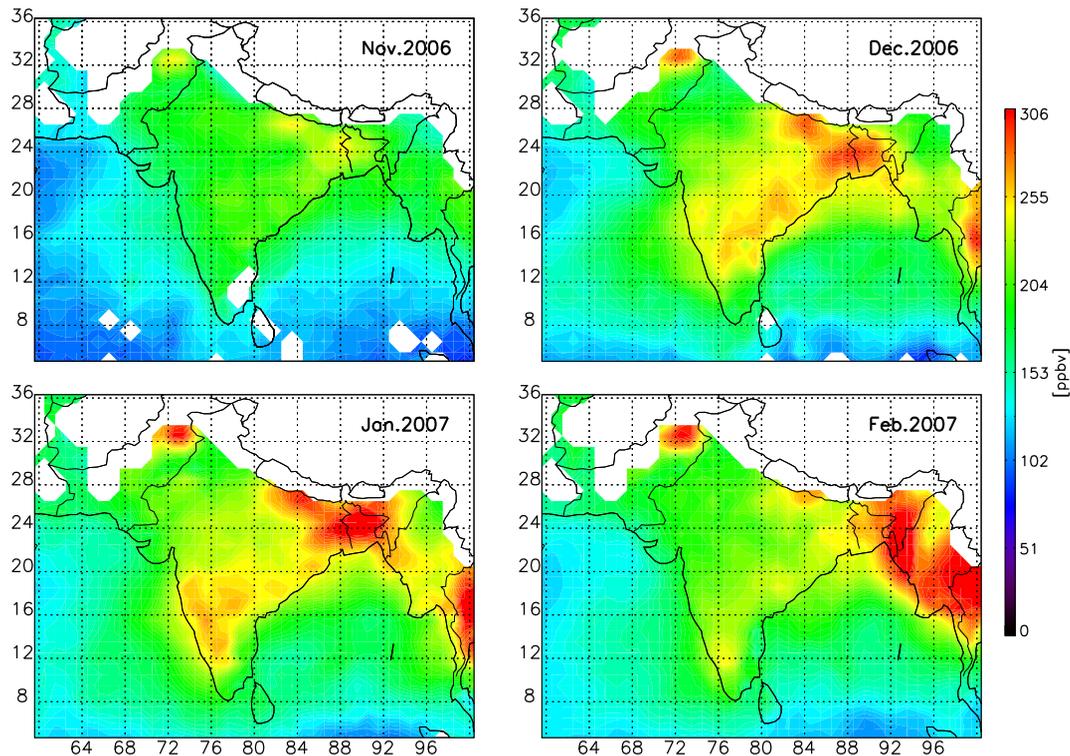
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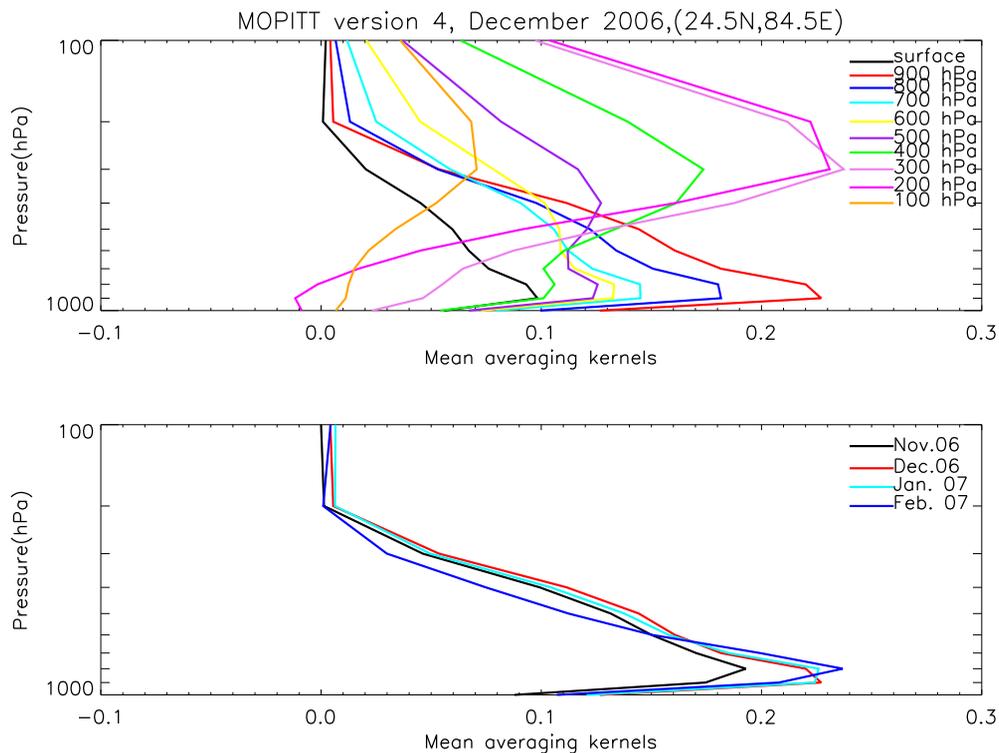
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**Fig. 2.** Evolution of the Bihar Pollution Pool in MOPITT CO retrievals (version 4, level 3) at 900 hPa level. Only the dayside data with mean uncertainty less than 50% have been used for these plots.

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**Fig. 3.** (a) Monthly mean averaging kernels from version 4 MOPITT data at 24.5° N, 84.5° E for December 2006 and (b) Monthly mean averaging kernels at 900 hPa for November 2006 through February 2007 at the same location.

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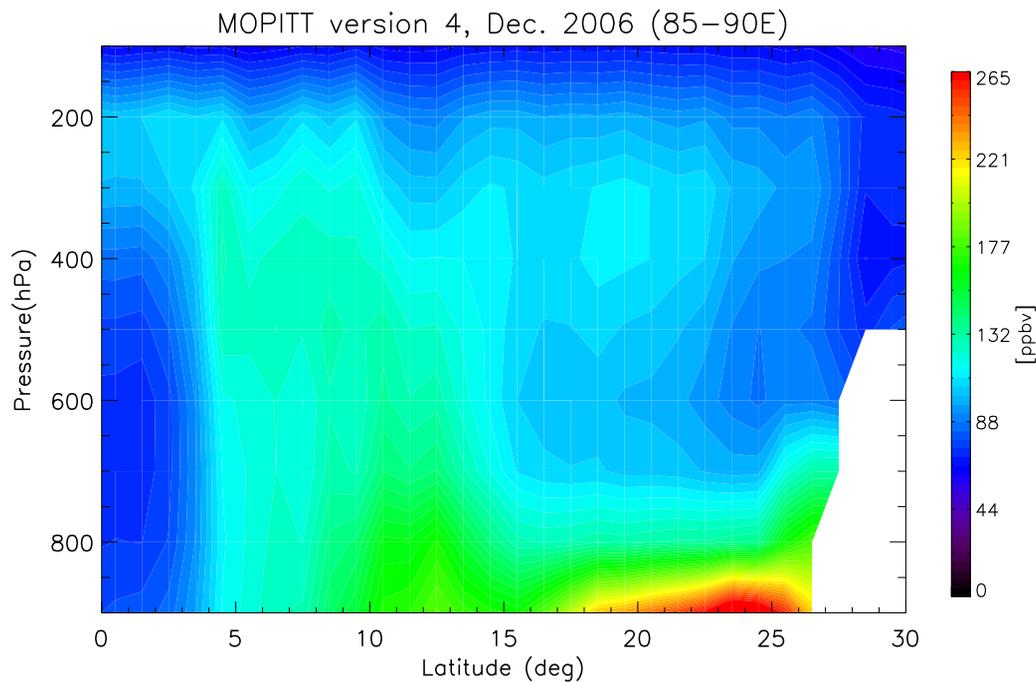
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**Fig. 4a.** Pressure-Latitude cross section of CO mixing ratios retrieved from MOPITT version 4 along 85° E–90° E.

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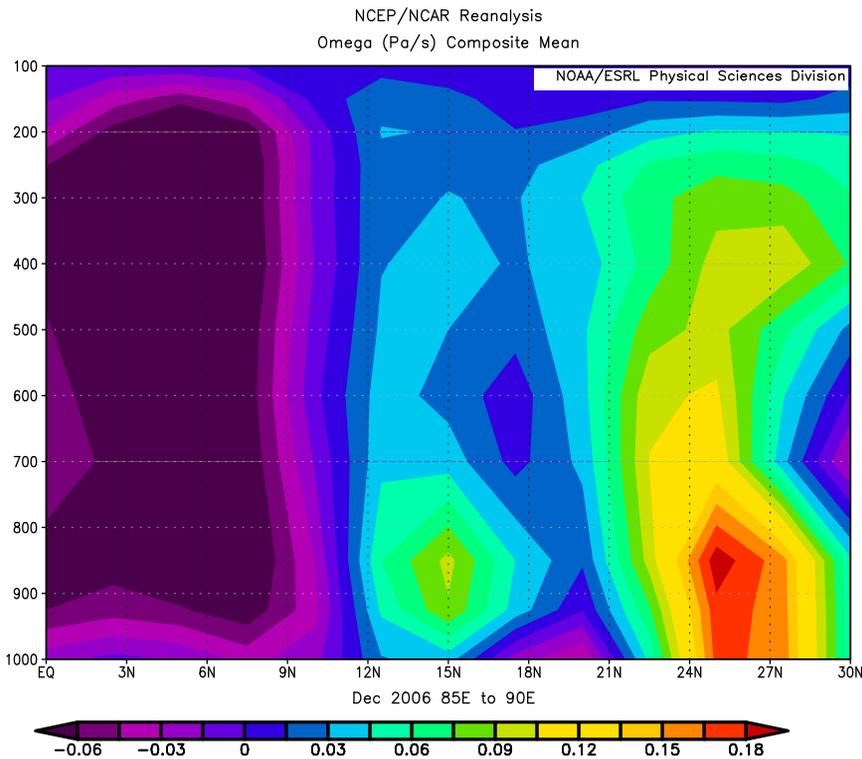
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**Fig. 4b.** Pressure latitude cross section of omega (Pa/s) along 85° E–90° E from NCEP re-analyses for December 2006. Image provided by the NOAA/ESRL Physical Sciences Division, Boulder Colorado from their Web site at <http://www.esrl.noaa.gov/psd/>.

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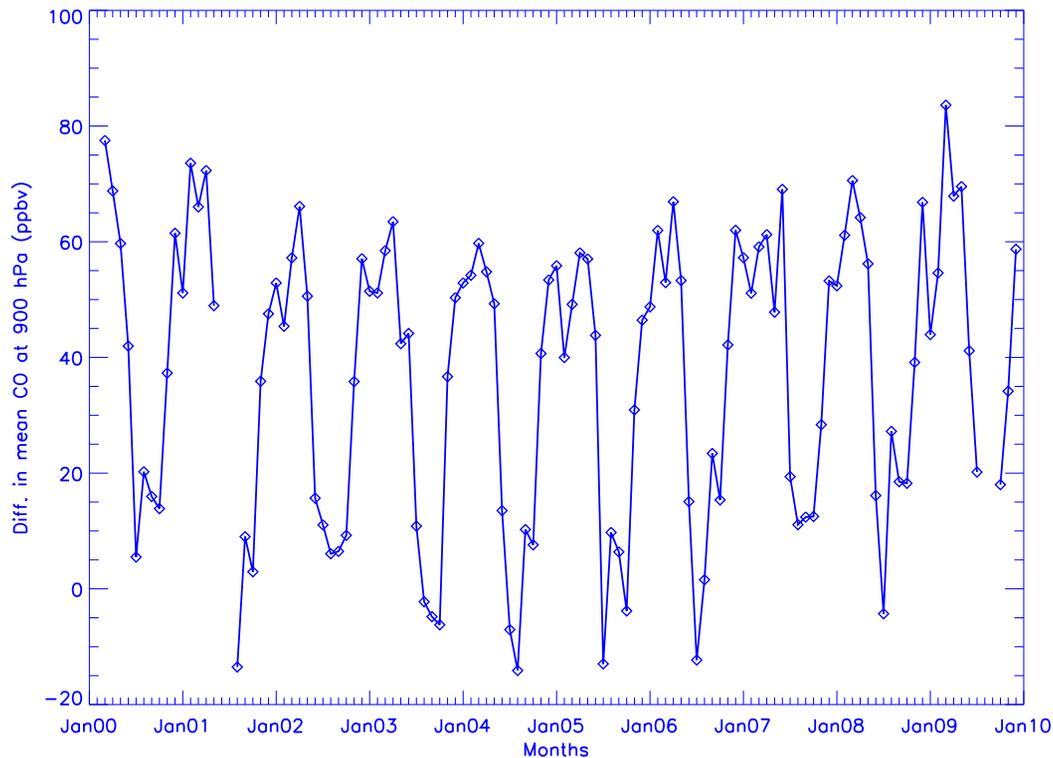
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**Fig. 5.** Time series of the difference in mean CO at 900 hPa between the BPP area (20° N–27° N, 80°–90° E) and western India (20° N–27° N, 65° E–80° E).

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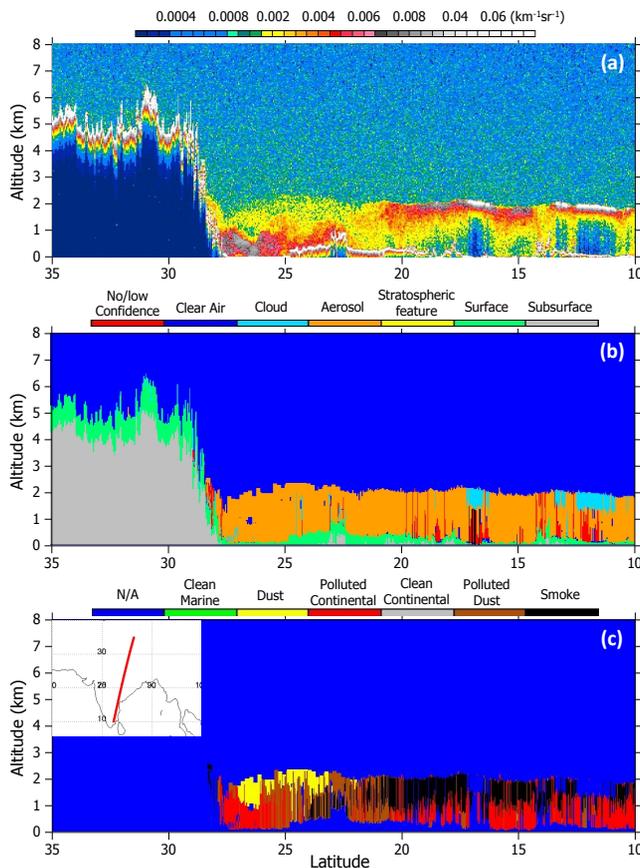
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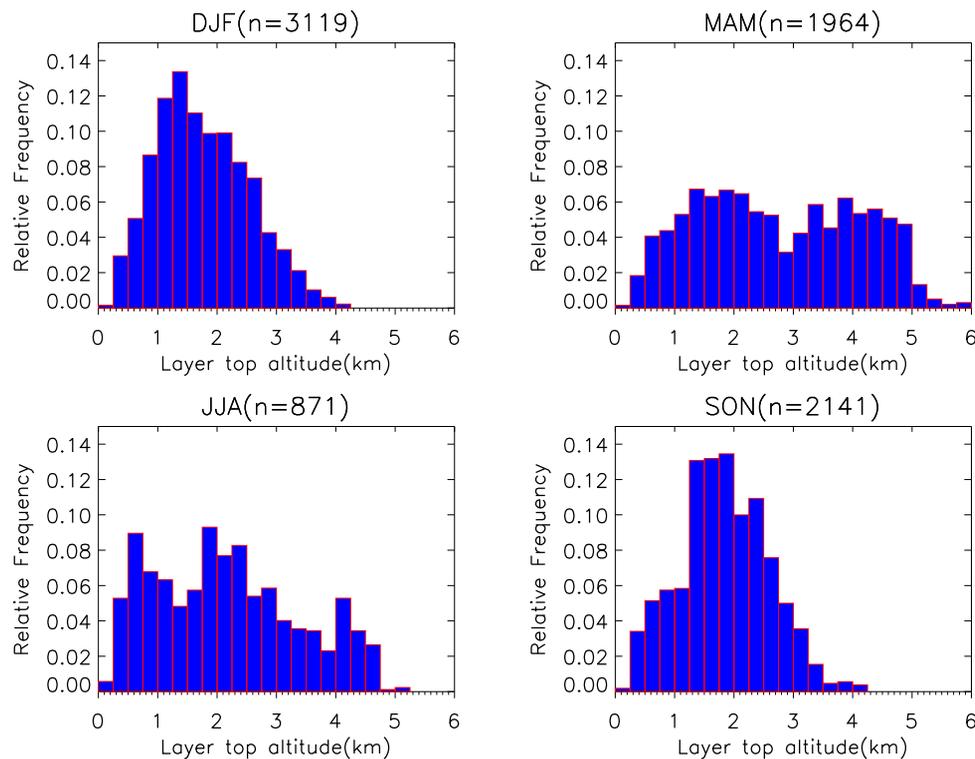
**Fig. 6.** (a) Total attenuated backscatter at 532 nm, (b) the vertical feature mask and (c) the aerosol subtypes from CALIPSO (version 3.01 data) on 1 January 2007 along a transect crossing the Bihar pollution pool showing the low altitude aerosols over this area. The transect is shown in the inset of the bottom panel.

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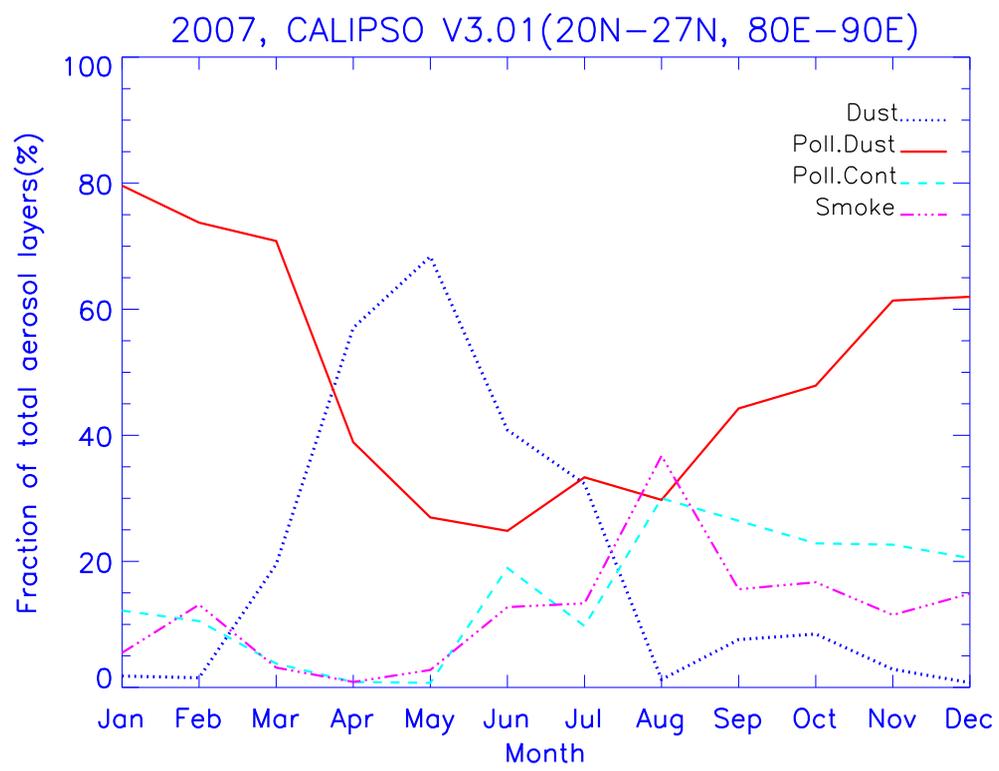


**Fig. 7.** Altitude of aerosol layer tops (above the surface) from CALIPSO over the BPP area in December 2006–February 2007. Only night time data with CAD scores of  $-100$  were used.

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**Fig. 8.** Seasonal variation of the major aerosol subtypes over BPP as a fraction of the total number of aerosol layers. All night time aerosol layers with CAD scores of  $-100$  and having layer tops less than 6 km above ground were used.

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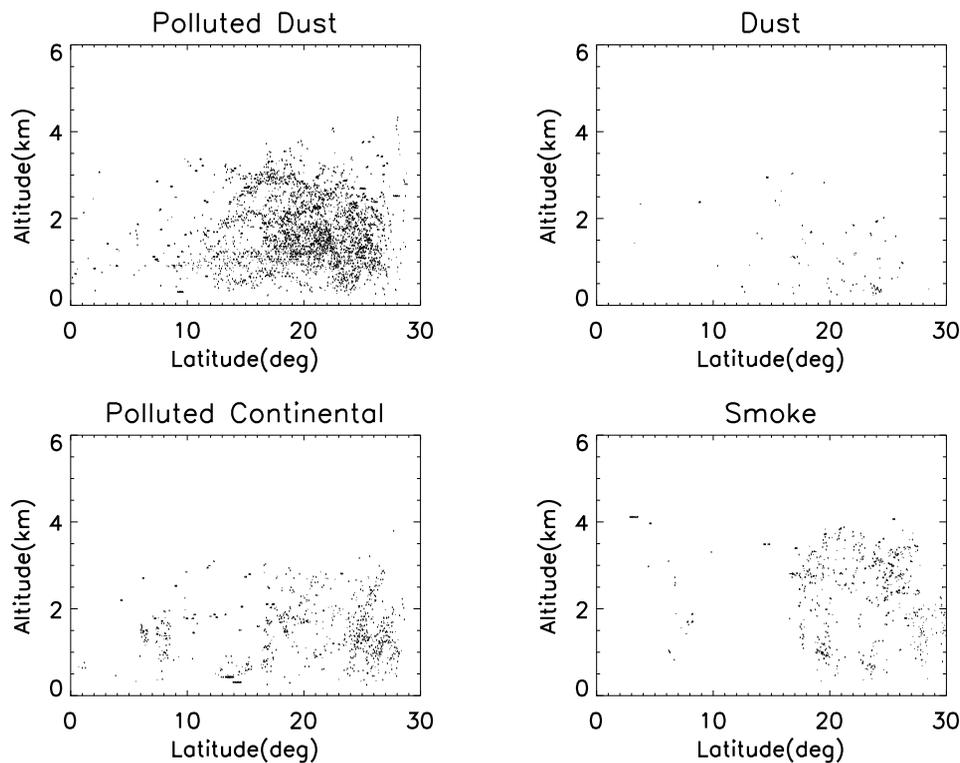
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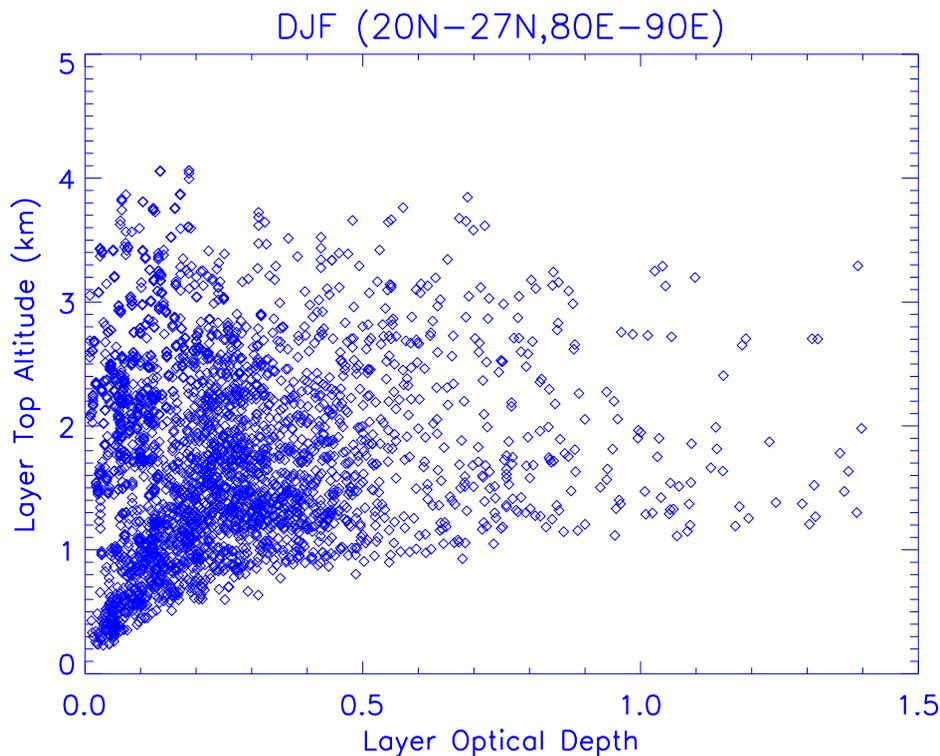
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**Fig. 9.** Altitude distribution of the layers of dominant aerosol subtypes along  $80^{\circ}$  E– $90^{\circ}$  E between December 2006 and February 2007. Altitudes refer to top of the layers above the surface.

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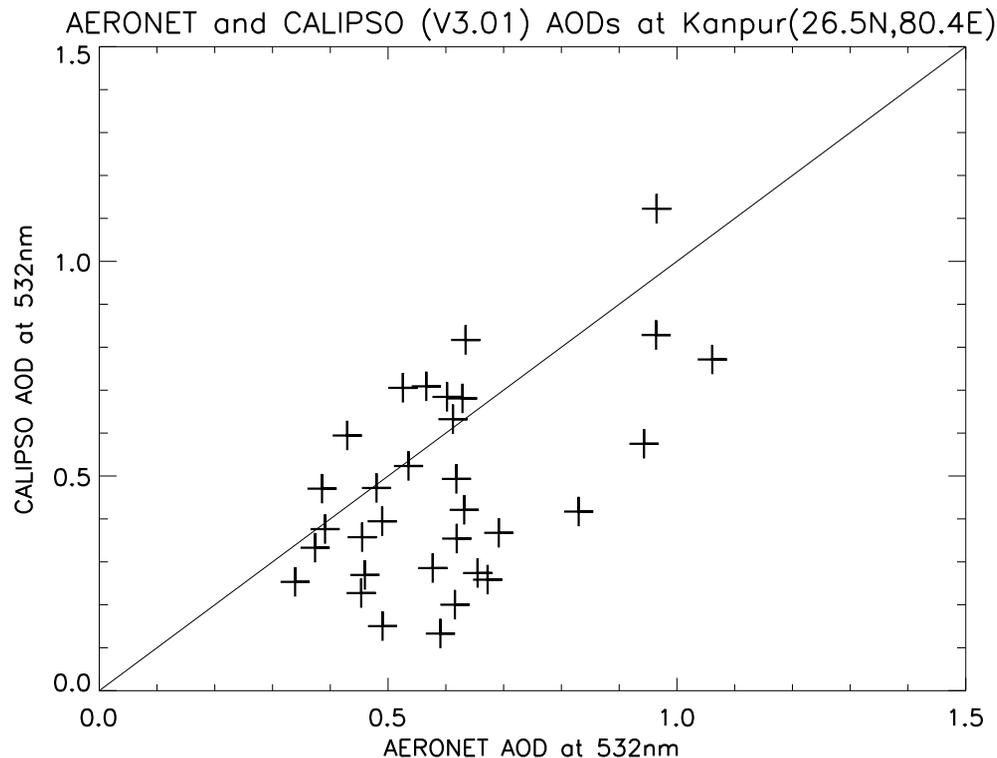


**Fig. 10.** Layer optical depths (532 nm) over the BPP area during the winter of 2006–2007. Only the layers with CAD scores of  $-100$  and extinction QC value of 0 or 1 were used.

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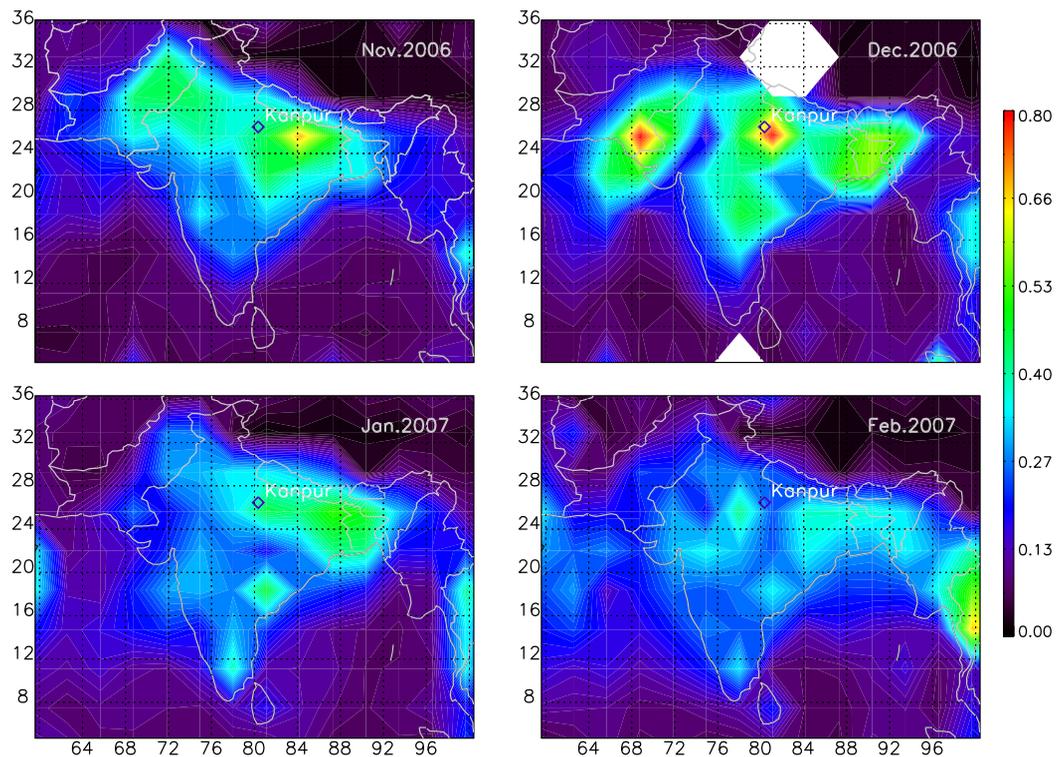


**Fig. 11.** Comparison of monthly mean column AODs from CALIPSO (version 3) and AERONET station at Kanpur (level 2 version 2 data) near the BPP area. All daytime data between June 2006 and December 2009 from CALIPSO were used.

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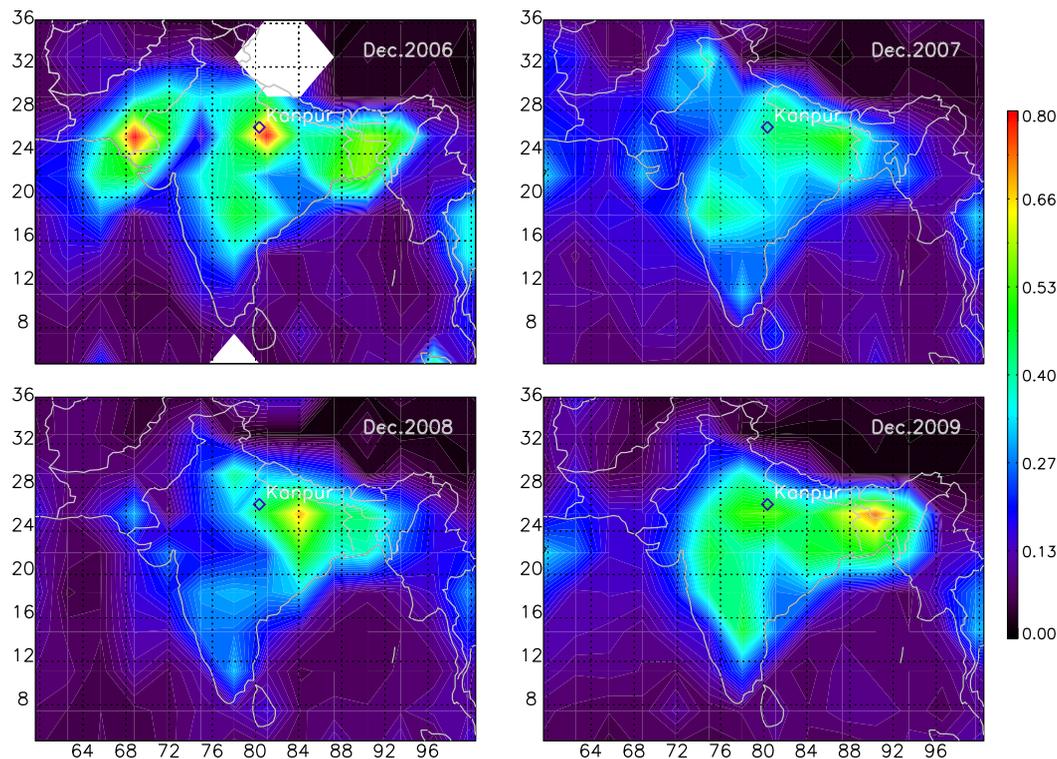
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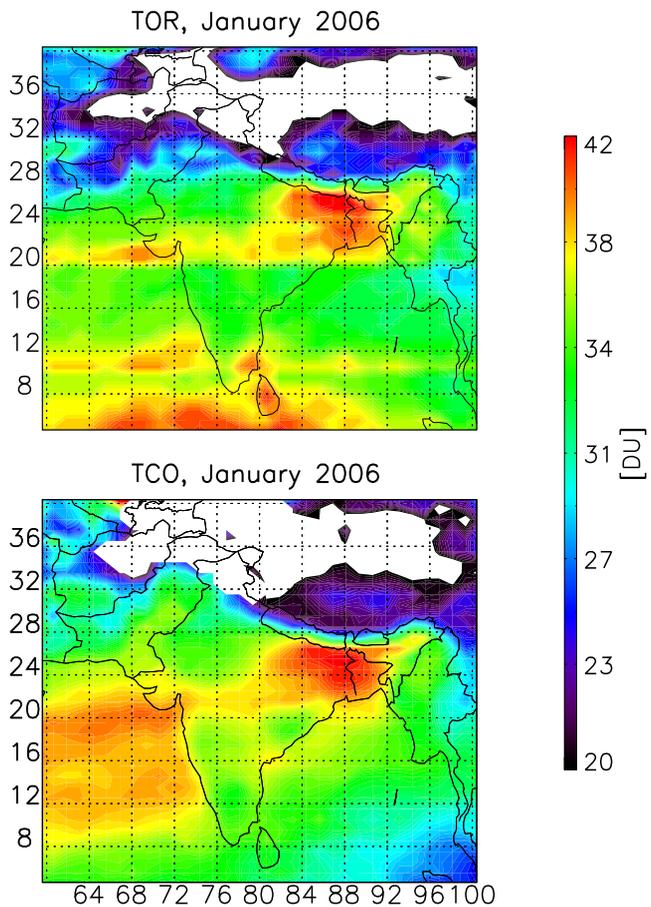
**Fig. 12.** Column AOD at 532 nm over the BPP area retrieved by CALIPSO version 3 between November 2006 and February 2007. Night time data with extinction QC value of 0 or 1 in each of the layers in the column were used.

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**Fig. 13.** Inter annual variability in AOD at 532 nm from CALIPSO over the BPP area for December for night time aerosols.



**Fig. 14.** Distribution of the tropospheric ozone column from TOR (top panel) and TCO (bottom panel) for January 2006.

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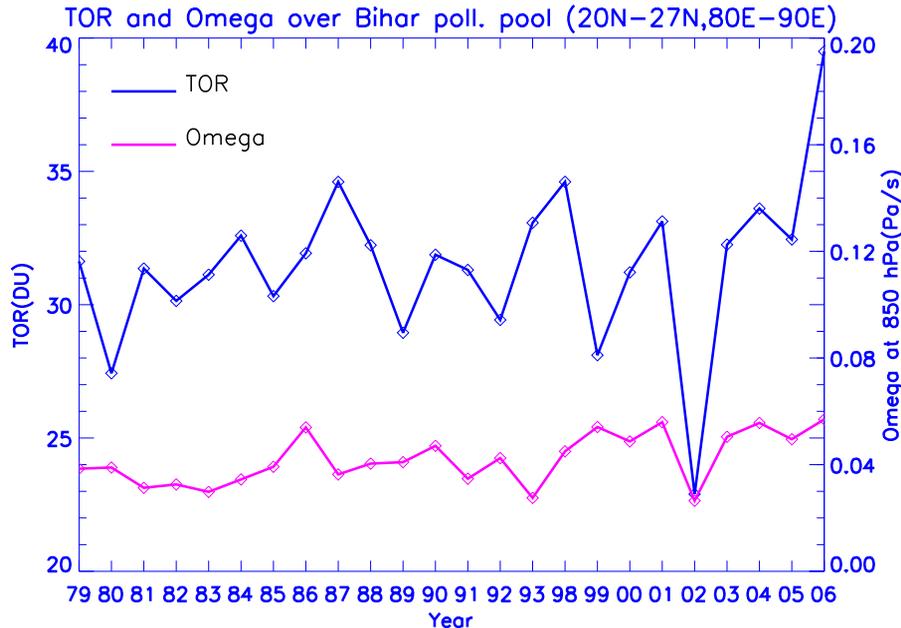
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**Fig. 15.** TOR in January over the BPP area from 1979–2006 and the corresponding pressure tendency (omega, from the NCEP reanalyses). Positive values of omega indicate subsidence over the area for all years. Note that TOR data are not available from 1994 to 1997.

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