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Residual circulation trajectories and transit times into the extratropical lowermost stratosphere

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Abstract

Transport into the extratropical lowermost stratosphere (LMS) can be divided into a slow part (time-scale of several months to years) associated with the global-scale stratospheric residual circulation and a fast part (time-scale of days to a few months) associated with (mostly quasi-horizontal) mixing (i.e. two-way irreversible transport, including stratosphere-troposphere exchange). The stratospheric residual circulation can be considered to consist of two branches: a deep branch more strongly associated with planetary waves breaking in the middle to upper stratosphere, and a shallow branch more strongly associated with synoptic-scale waves breaking in the subtropical lower stratosphere. In this study the contribution due to the stratospheric residual circulation alone to transport into the LMS is quantified using residual circulation trajectories, i.e. trajectories driven by the (time-dependent) residual mean meridional and vertical velocities. This contribution represents the advective part of the overall transport into the LMS and can be viewed as providing a background onto which the effect of mixing has to be added. Residual mean velocities are obtained from a comprehensive chemistry-climate model as well as from reanalysis data. Transit times of air traveling from the tropical tropopause to the LMS along the residual circulation streamfunction are evaluated and compared to recent mean age of air estimates. A clear time-scale separation with much smaller transit times into the mid-latitudinal LMS than into polar LMS is found that is indicative of a clear separation of the shallow from the deep branch of the residual circulation. This separation between the shallow and the deep circulation branch is further manifested in a clear distinction in the aspect ratio of the vertical to meridional extent of the trajectories as well as the integrated mass flux along the residual circulation trajectories. The residual transit time distribution reproduces qualitatively the observed seasonal cycle of youngest air in the extratropical LMS in fall and oldest air in spring.

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1 Introduction

The stratospheric part of the residual mean meridional mass circulation (stratospheric residual circulation for short hereafter) transports air from the tropical tropopause to extratropical latitudes. Here, the term residual circulation is to be interpreted in its usual Transformed Eulerian Mean (TEM) sense (see Sect. 2 for more details) which represents an approximation of the diabatic or Lagrangian mean circulation. Overall this circulation is described by tropical upwelling, poleward flow, and extratropical downwelling (e.g., Shepherd, 2007). The bulk of this circulation is driven by breaking extratropical planetary waves – often referred to as the extratropical pump (Holton et al., 1995). In the lowermost stratosphere (LMS), synoptic-scale baroclinic eddies that break just above the subtropical jet also contribute to this wave driven circulation (Held and Hoskins, 1985; Shepherd, 2007). One may therefore distinguish two separate branches of the stratospheric residual circulation – a deep branch driven by planetary waves and a shallow branch driven by synoptic-scale waves. In the present study we seek to derive objective ways to distinguish these two circulation branches and thereby ask whether the picture of two well separated circulation branches is sensible. This is done by studying residual circulation trajectories, i.e. trajectories along the (time-dependent) residual streamfunction. Characteristics of these trajectories, such as their associated transit times from a given departure point prove useful as descriptors of the stratospheric residual circulation in addition to the traditional description by the (seasonal-mean) residual streamfunction.

The term stratospheric residual circulation in this study is distinguished from the Brewer-Dobson circulation which refers to the chemical transport circulation of the stratosphere. The Brewer-Dobson circulation includes mean mass transport *and* two-way mixing, only the former is related to the residual circulation (see e.g. the glossary in Shepherd, 2002). Two-way mixing by definition does not lead to net mass exchange but may lead to net tracer exchange if the tracer exhibits a background gradient.

Stratospheric transport is often described through the concept of (mean) age of

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air (Waugh and Hall, 2002). This concept takes advantage of the fact that most air entering the stratosphere does so through the tropical tropopause. The mean age of (stratospheric) air at a given position and time is then given by the mean transit time of air traveling from the tropical tropopause to that position. A recent study by Bönisch et al. (2009) found a seasonal cycle with oldest mean age of air during spring and youngest mean age of air during fall in the upper part of the mid-latitude LMS (~ 360 K) derived from in-situ SF_6 measurements. Furthermore, they used simultaneous measurements of SF_6 and CO_2 to calculate tracer transit times (resulting from residual circulation transport and two-way mixing) from the tropical upper troposphere to the extratropical LMS. These tracer transit times were found to be shortest for arrivals during summer and fall and longest for arrivals during spring. Bönisch et al. (2009) speculated that these seasonal cycles maybe at least partially explained by the seasonal cycle in both branches of the circulation, as opposed to by the effects of two-way mixing alone. Whereas the deep circulation branch is most active during winter and spring and becomes almost inactive during summer, the shallow circulation branch is active during all seasons with strongest wave forcing during winter and spring. One therefore expects age of air in the extratropical LMS to be more strongly influenced by the deep circulation branch during winter and spring whereas age of air should be predominantly influenced by the shallow circulation branch during summer and fall. This speculation will be studied in more detail in the present study. It will be shown that transit times along the residual circulation are indeed longest during spring and shortest during fall, in agreement with the observations in Bönisch et al. (2009).

The paper is organized as follows. Section 2 describes the data sets and methods used, Sect. 3 presents the results, and Sect. 4 summarizes and concludes the paper.

2 Data and methodology

Most results in this study are based on a three-year integration with the Canadian Middle Atmosphere Model (CMAM) after spin-up (sea-surface temperatures are annually

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repeating, interannual variability is therefore small). This integration is identical to the one described in Gettelman and Birner (2007). CMAM represents a comprehensive chemistry climate model (Beagley et al., 1997; Scinocca et al., 2008). The configuration used here corresponds to T47 spectral horizontal resolution and 71 vertical levels that extend up to around 100 km altitude. For comparison, data from the ECMWF reanalysis product ERA40 (Uppala et al., 2005) and the Japanese reanalysis JRA25 (Onogi et al., 2007) – are used.

The residual circulation is defined in the Transformed Eulerian Mean (TEM) sense (Andrews et al., 1987). That is, the residual (mass) streamfunction in pressure coordinates is defined through

$$\Psi^* \equiv \Psi - ag^{-1} \cos \varphi \frac{\overline{v' \Theta'}}{\overline{\partial_p \Theta}},$$

where formally $\Psi \equiv ag^{-1} \cos \varphi \int_{\text{TOA}}^p \bar{v} dp'$ is the conventional (mass) streamfunction in pressure coordinates (TOA denotes top of the atmosphere), and overbars denote zonal averages. The procedure for computing Ψ here follows Jukes (2001), see also Iwasaki (1989), i.e. the vertically integrated meridional mass flux above a given model level η is first obtained by calculating $\int_{\text{TOA}}^{\eta} v \partial_{\eta} p d \eta'$. This quantity is then interpolated onto pressure surfaces and the zonal average is taken in these pressure coordinates, which when scaled by $ag^{-1} \cos \varphi$ gives Ψ .

The resulting meridional and vertical residual velocities in pressure coordinates are

$$\bar{v}^* = g(a \cos \varphi)^{-1} \partial_p \Psi^* \quad \text{and} \quad \bar{\omega}^* = -g(a \cos \varphi)^{-1} \partial_{\varphi} \Psi^*.$$

Note that the way the residual velocity components are computed here differs slightly from Andrews et al. (1987) mainly in that $\bar{\omega}$ does not enter the computation. Instead, $\bar{\omega}^*$ is obtained through mass balance from \bar{v}^* . For reference, the middle-atmospheric seasonal mean residual stream function Ψ^* is shown in Fig. 1 (top) for boreal winter (DJF) and boreal summer (JJA) from CMAM.

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In this study trajectory calculations are carried out in the latitude-altitude plane using \bar{v}^* and $\bar{\omega}^*$ as defined above. In order to have smoothly varying velocity fields in time, monthly averages of \bar{v}^* and $\bar{\omega}^*$ are used. Daily velocity fields are then obtained from the monthly averaged fields through linear interpolation.

Transit times along the trajectories are defined similar to age of air, i.e. they refer to the time elapsed since air has entered the stratosphere through the tropical tropopause. These transit times are obtained by running backward trajectories from a given latitude and pressure until the trajectory crosses the (tropical) tropopause. Different diagnostics to describe these backward trajectories will be used, such as the transit time, minimum pressure encountered (alternatively, the maximum altitude), minimum and maximum latitudes encountered, stratospheric entry latitudes, etc.

3 Results

The structure of the residual streamfunction in Fig. 1 (top) suggests that the residual circulation is composed of two major branches: one branch extending deep into the middle atmosphere and a shallower branch in the lowest part of the stratosphere. Given that vertical velocities are much smaller than meridional velocities one expects transit times along the residual streamfunction to be much longer for the deep than for the shallow branch. This is confirmed in Fig. 1 (bottom) which shows these transit times corresponding to the frozen-in seasonal mean residual streamfunction shown in the top part of that figure. Transit times corresponding to the shallow branch of the circulation are typically less than one year whereas they are on the order of a few years along the deep branch of the circulation. In general, transit times seem to largely depend on the minimum pressure visited by a given trajectory.

For transit times longer than a few months frozen-in seasonal mean residual velocities are clearly not appropriate, at most for conceptual purposes. Figure 2 shows similarly obtained transit time, but along time-dependent, annually repeating residual streamlines. In this case, backward trajectories were started at latitudes poleward of

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30° on 15 January and 15 July and at a pressure corresponding to $\Theta_{\text{TP}}+30\text{ K}$ which roughly corresponds to the top of the ExTL (e.g., Hoor et al., 2004; Hegglin et al., 2009), i.e. it corresponds to the lowest level above the extratropical tropopause which is not heavily influenced by STE. Again, transit times corresponding to the shallow branch of the residual circulation are on the order of one year or less whereas they are on the order of a few years along the deep branch of the residual circulation.

3.1 Annual mean transit times

In order to evaluate the overall distribution of transit times to various places in the global latitude-altitude plane, backward trajectories have been run along the time-dependent, annually repeating residual streamfunction, starting at many different pressure levels above the local tropopause at all latitudes and at 5 day intervals throughout the year. Figure 3 (left) shows the resulting annual mean transit time distribution for CMAM. Transit times of about one year or less are found in the tropical stratosphere and in the lower mid-latitude stratosphere equatorward of about 60°. In contrast, transit times are much higher, on the order of several years, throughout the high-latitude stratosphere, with the highest transit times of 4–5 years in the lowest stratosphere above the poles. A strong latitudinal gradient between $\sim 60\text{--}70^\circ$ and below $\sim 50\text{ hPa}$ exists that supports the notion of two well separated branches of the residual circulation. This is further supported by the structure of corresponding minimum pressure visited by the trajectories (Fig. 3, right). Shorter transit times correspond to higher minimum pressures, consistent with the shallow branch of the circulation. Longer transit times correspond to lower minimum pressures, consistent with the deep branch of the circulation. In general, trajectories arriving equatorward of about 60° did not visit pressures lower than about 70 hPa. These results are qualitatively consistent with earlier results by Rosenlof (1995) based on trajectories driven by zonal mean diabatic heating rates from meteorological analyses.

Figure 4 shows corresponding results for JRA25 (top) and ERA40 (bottom) for the period 1979–2001. As for CMAM monthly climatologies for \bar{v}^* and $\bar{\omega}^*$ have been used

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to compute backward trajectories along residual streamlines. Meteorological analyses are known to be biased toward low age of air due to enhanced dispersion by the data assimilation process (Monge-Sanz et al., 2007). Figure 4 confirms this expectation, somewhat more so for ERA40 than for JRA25. Transit times along the residual streamlines are generally smaller for ERA40 and JRA25 than for CMAM, especially in the polar regions. It is important to note that transit times here do not include the effect of two-way mixing. It can be concluded that the residual circulation in the reanalyses is biased fast compared to CMAM.

The minimum pressure visited by the trajectories agrees well between JRA25 (Fig. 4, top right) and CMAM (Fig. 3, right), ERA40 (Fig. 4, bottom right) also agrees qualitatively in this measure. Strong latitudinal gradients in the transit times and minimum pressures between $\sim 60\text{--}70^\circ$ are also found for JRA25. ERA40 does not show these gradients as clearly.

Preliminary results using the more recently compiled ERA interim reanalysis product (Simmons et al., 2007), which employs 4dVar data assimilation as opposed to the older 3dVar system employed in ERA40, show much improved minimum pressures and transit times along residual circulation trajectories that are in remarkable agreement with those from CMAM.

3.2 Distinguishing shallow from deep circulation branch

The results thus far indicate a distinction between two separate stratospheric circulation branches: a shallow branch characterized by comparably fast turnover time-scales (small transit times) and a deep branch characterized by comparably slow turnover time-scales (large transit times). This distinction is now further quantified.

Transit times along the (time-dependent) residual streamlines are one way to characterize the circulation. Another possibility is to compare the latitudinal and vertical extent of a given trajectory. The shallow circulation branch should have a much smaller aspect ratio of vertical to horizontal extent of the trajectories than the deep circulation

branch. This aspect ratio is defined here as:

$$r \equiv \frac{\Delta z}{\Delta y} = \frac{H \ln(\rho_{\max}/\rho_{\min})}{a(\varphi_{\max} - \varphi_{\min})},$$

where subscripts max and min refer to the maximum and minimum pressure or latitude encountered along the trajectory, $H=6$ km is the scale height, and a is the radius of the Earth. Given the shallowness of the Earth's atmosphere we expect this aspect ratio to be roughly of order 10^{-3} .

Figure 5 (top, left) shows the annual mean aspect ratio r obtained from the same backward trajectories as used in the previous section for CMAM as a function of arrival latitude and pressure. A clear distinction between the shallow and the deep circulation branches is evident with small aspect ratios of $r \lesssim 1 \times 10^{-3}$ for the shallow branch and much larger aspect ratios for the deep branch (a factor of 3 or more larger than for the shallow branch – note the logarithmic color scale in the figure). In the tropics the predominant upward motion shows up in increasing r with altitude.

The difference between shallow and deep circulation is also reflected in the stratospheric entry latitudes (overplotted in Fig. 5, top left): trajectories entering the stratosphere through the deep tropics tend to preferentially travel through the deep circulation branch, whereas trajectories entering the stratosphere further away from the equator tend to preferentially travel through the shallow branch. Similar results are obtained for JRA25 and ERA40 with these reanalyses somewhat underestimating the higher aspect ratios of the deep branch.

Another way to distinguish the shallow from the deep branch of the circulation is to quantify the integrated mass flux along the residual streamlines:

$$M \equiv \int_{(\varphi_d, p_d)}^{(\varphi_a, p_a)} \rho \bar{\mathbf{v}}^* \cdot d\mathbf{s},$$

where ρ is density, $\bar{\mathbf{v}}^* \equiv (\bar{v}^*, \bar{w}^*)$, $d\mathbf{s} \equiv (dy, dz)$, and indices d and a refer to departure

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and arrival points, respectively. As before, z is approximated as a log-pressure height, i.e. $dz = -Hd \ln p$, and therefore $\bar{w}^* = -(H/p)\bar{\omega}^*$ and $\rho = p/(gH)$.

Figure 5 (bottom, left) shows the annual mean integrated mass flux M as a function of arrival latitude and pressure for CMAM. The shallow circulation branch (regions of small aspect ratio r) is associated with much higher values for M than the deep circulation branch (regions of large aspect ratio r). It is important to note that both the average strength of the mass flux along the residual streamlines and the length of the residual transport pathway contribute to M . The mass flux contribution is larger for the shallow circulation branch whereas the pathlength contribution is larger for the deep circulation branch. Apparently, the mass flux contribution dominates over the pathlength contribution to make M larger for the shallow circulation branch.

The right panels of Fig. 5 show the aspect ratio r (top) and integrated mass flux M (bottom) as a function of arrival latitude for trajectories arriving 30 K above the local tropopause (at the top of the ExTL). Two seasons – northern spring and northern fall – are contrasted with the annual mean. The overall characteristics of small r and large M for the shallow circulation branch and larger r and smaller M for the deep circulation branch are again evident. The seasonal transition of maximum tropical upwelling shifted to the Southern/Northern Hemisphere during northern spring/fall is marked by the peak value in r in the tropics (approximately co-located with the minimum value in M). In the extratropics the aspect ratio undergoes a seasonal cycle with smallest values during fall and largest values during spring (somewhat more pronounced in the Northern Hemisphere, consistent with the stronger seasonality of the subtropical jet there). The integrated mass flux undergoes a seasonal cycle with largest values during fall and smallest values during spring. These seasonal cycles of r and M suggest smaller transit times predominantly along the shallow branch for trajectories arriving in the extratropics during fall and larger transit times with a stronger influence of the deep branch for trajectories arriving in the extratropics during spring. These characteristics of the annual cycle will now be discussed in more detail.

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3.3 Seasonal cycle of transit times

Figures 6 and 7 show the annual cycle of the relative deviations of transit times (left) and aspect ratios (right) from their annual mean as a function of arrival latitude for the northern and southern hemispheric extratropics, respectively. The strongest annual cycle in transit times exists in midlatitudes ($\sim 40\text{--}45^\circ$), with maximum deviations from the annual mean exceeding 50%. Transit times are largest during late winter and spring and smallest during late summer and fall there. Further poleward this annual cycle propagates toward later seasons with maximum transit times reaching early summer and minimum transit times reaching early winter around 60° . The latitude range of large seasonal deviations in transit times from the annual mean roughly coincides with the latitude range of large seasonal deviations in the aspect ratio r from the annual mean. This suggests that it is in particular the shallow circulation branch that causes these strong seasonal variations. The behavior poleward of $\sim 60^\circ$ confirms this picture: transit times and trajectory aspect ratio in high latitudes are mainly controlled by the deep circulation branch with a much weaker annual cycle, and large annual mean transit times and trajectory aspect ratio.

Tropical control with transit times $\sim 1\text{--}2$ months reaches out to around $30\text{--}35^\circ$ latitude in each hemisphere (Fig. 8, left). Transit times reach minimum values during northern winter in the southern tropics and during southern winter in the northern tropics (note the hemispheric asymmetry in annual mean transit times). These annual variations are consistent with maximum tropical upwelling shifted into the respective summer hemisphere (e.g., Butchart et al., 2006). Transit times reach a maximum deviation of $\sim 40\%$ above their annual mean value roughly over the equator during southern spring, consistent with the hemispheric asymmetry in wave driving and the corresponding annual cycle in maximum tropical upwelling (Yulaeva et al., 1994).

The aspect ratio shows exceedingly large values over the latitudes and times of maximum tropical upwelling (Fig. 8, right), consistent with an almost vanishing horizontal trajectory component there. The general correspondence of smaller aspect ratios with

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shorter transit times and larger aspect ratios with longer transit times is also confirmed in the tropics.

4 Summary and conclusions

The stratospheric part of the residual mean meridional mass circulation was studied using trajectories along the (time-dependent) residual circulation streamfunction. These trajectories were described primarily through associated transit times from their stratospheric entry point at the tropical tropopause to a given arrival location and time of the year, as well as the aspect ratio between the range in altitude and latitude visited by the trajectories ($r = \Delta z / \Delta y$). A clear separation was found between a shallow and a deep branch of the stratospheric residual circulation. The shallow branch is characterized by a small aspect ratio and transit times below one year, whereas the deep branch is characterized by a tall aspect ratio and transit times of several years. Given the meridional extent of the residual circulation trajectories (Δy) is essentially constrained by the radius of the Earth the aspect ratio of the trajectories is more strongly sensitive to their vertical extent (Δz). In this sense it is reasonable to speak of shallow and deep circulation branches.

The residual circulation is a direct consequence of breaking waves and the associated (pseudo-)momentum deposition. In the TEM formalism in steady state this momentum forcing is balanced by the Coriolis torque associated with residual meridional flow. A clear distinction between different branches of the residual circulation therefore requires distinct regions of wave breaking. In this sense the shallow circulation branch as found in this study is consistent with synoptic-scale waves breaking just above the subtropical jet. These synoptic-scale waves are not able to propagate deep into the stratosphere (Charney and Drazin, 1961). On the other hand, the deep circulation branch as found in this study is consistent with planetary-scale waves predominantly breaking in the middle stratosphere.

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was found with longest transit times in spring and shortest transit times in fall. This seasonal cycle is consistent with the observed seasonal cycle of mean age of air in the extratropical LMS as shown in Fig. 9. Residual circulation transit times averaged over 40–80° N maximize in April and minimize in October with the aspect ratio preceding this seasonal cycle by 1–2 months. Mean age of air as deduced from SF₆ measurements during SPURT (see Bönisch et al., 2009) maximizes in May and minimizes in October. The amplitude of this seasonal cycle of mean age of air is about twice as large as for the residual circulation transit times. Age of air represents a combination of (slow) residual transport and (fast) two-way mixing. Large deviations of the mean age of air from the residual circulation transit times (e.g. during fall) therefore indicate strong influence of two-way mixing.

Breaking synoptic-scale and planetary-scale waves lead to both two-way mixing and residual transport. In this sense the seasonality of two-way mixing is coupled to the seasonality of the residual circulation (and vice versa). One may therefore interpret the seasonal variations in residual circulation transit times as simple description of the seasonal cycle in total transport. The aspect ratio r as well as the integrated mass flux M appear as useful indicators of the distinct effects of the shallow circulation branch compared to the deep circulation branch.

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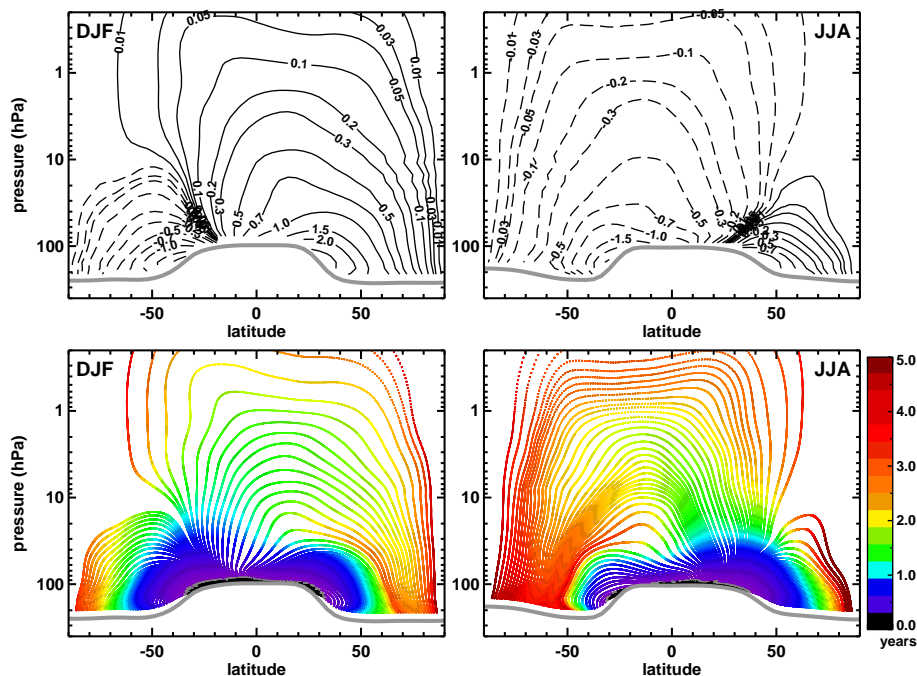


Fig. 1. (top) Seasonal mean residual streamfunction (divided by a , in 10^2 kg/m/s, negative values dashed) for CMAM. Note irregularly spaced contours. (bottom) Trajectories along fixed residual streamlines and their associated transit times (colour coding, years). Only trajectories arriving poleward of 30° are plotted. Left panels: boreal winter, right panels: boreal summer. Thick gray lines mark average tropopause pressure in all panels.

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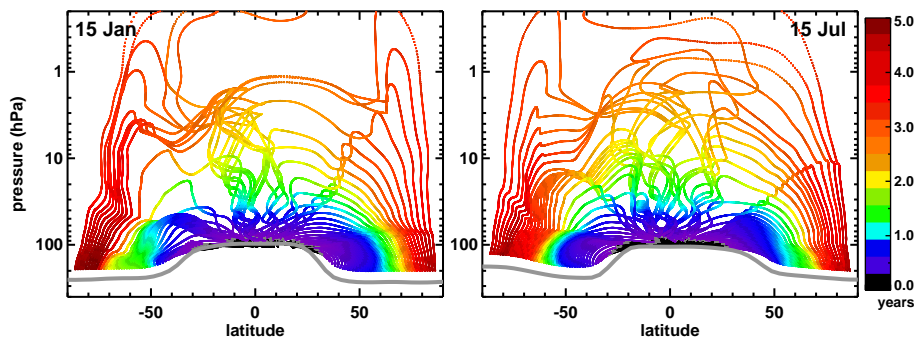


Fig. 2. Trajectories along time-dependent annually repeating residual streamlines and their associated transit times (colour coding, years) for CMAM. Trajectories were run backward starting at arrival latitudes poleward of 30° on 15 January (left) and 15 July (right) and were terminated when they crossed the tropopause. Arrival pressure is set to correspond to $\Theta_{TP} + 30$ K (roughly the top of the ExTL). Thick gray lines mark average tropopause pressure for given date.

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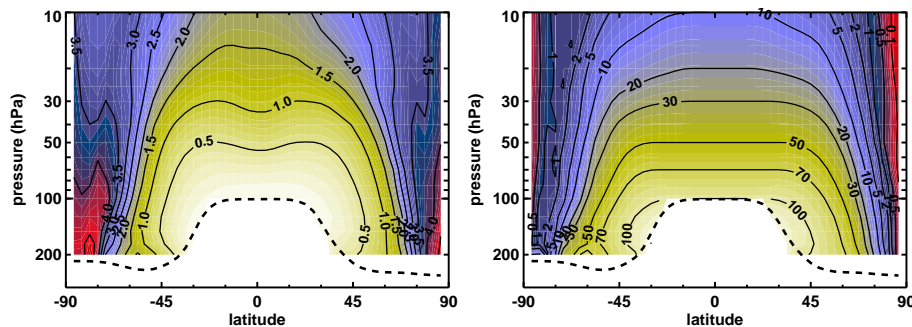


Fig. 3. Annual mean transit time (left, in years) and minimum pressure visited (right, in hPa) of trajectories along time-dependent annually repeating residual streamlines from CMAM. Thick dashed lines mark average position of the tropopause.

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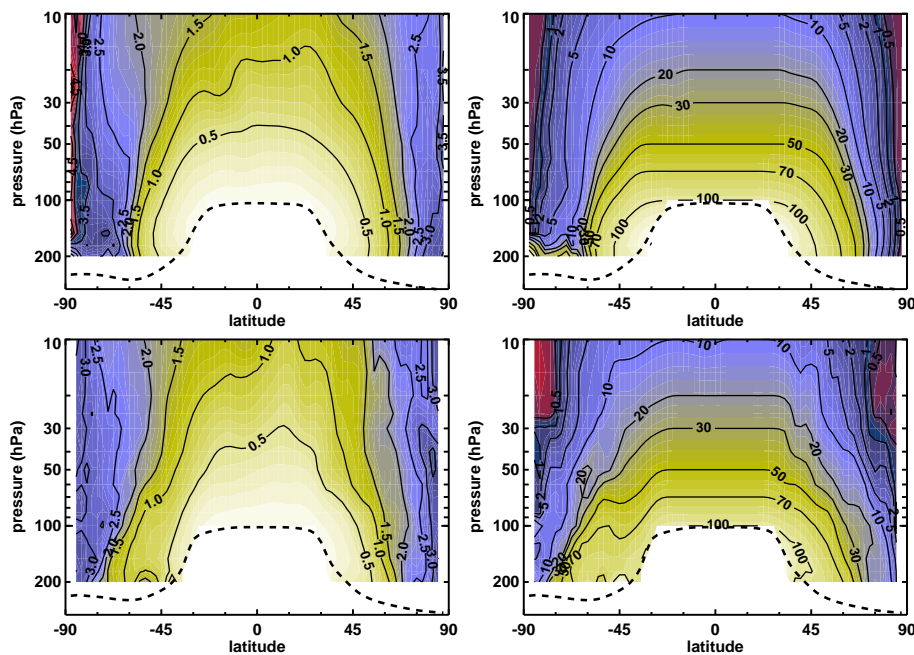


Fig. 4. As Fig. 3 but for JRA25 (top) and ERA40 (bottom) for 1979–2001.

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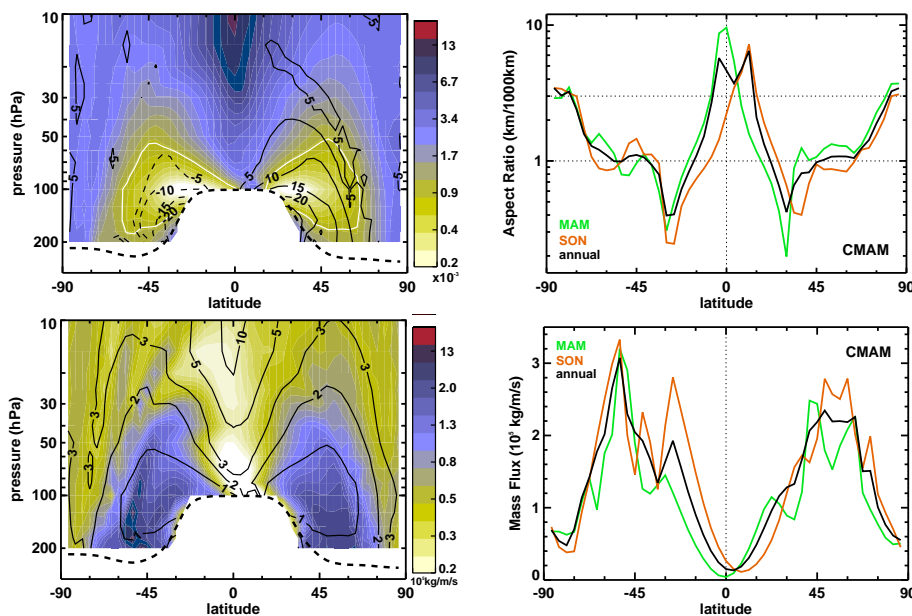


Fig. 5. Trajectory vertical to horizontal aspect ratio r (km per 1000 km, top) and integrated mass flux along trajectories (in 10^5 kg/m/s, bottom) for CMAM. Left: annual means as a function of arrival pressure and latitude (color shading, note logarithmic scale). Stratospheric entry latitudes (contours, in degrees, southern latitudes dashed, zero contour omitted) and the contour $r=1 \times 10^{-3}$ (white) are overplotted in the top. Annual mean trajectory aspect ratio r (contours, in km per 1000 km, note irregular contour spacing) are overplotted in the bottom. Right: as a function of arrival latitude with arrival pressure set to correspond to $\Theta_{TP}+30$ K (roughly the top of the ExTL). Green: boreal spring, brown: boreal autumn, black: annual mean.

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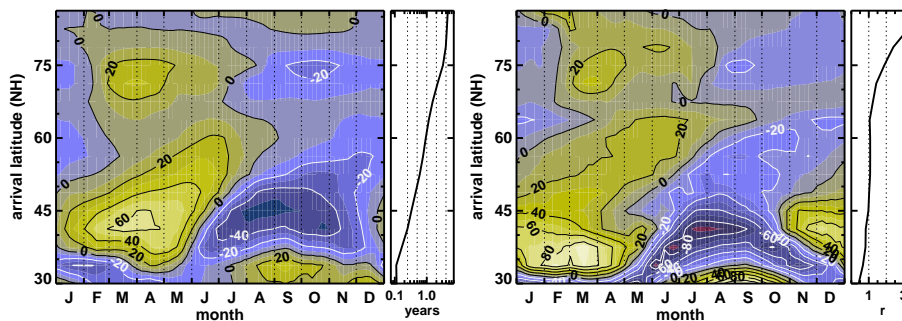


Fig. 6. Annual cycles of residual circulation transit times (left) and of trajectory vertical to horizontal aspect ratio r (right) for northern hemispheric extratropics as a function of arrival latitude for CMAM (plotted are percentage deviations from annual mean). Arrival pressure is set to correspond to $\Theta_{TP} + 30$ K. Annual mean curves are shown in the small right-hand panels (dotted lines mark 0.25, 0.5, 1, 2, and 4 years on the left and values of 1, 2, and 3 on the right).

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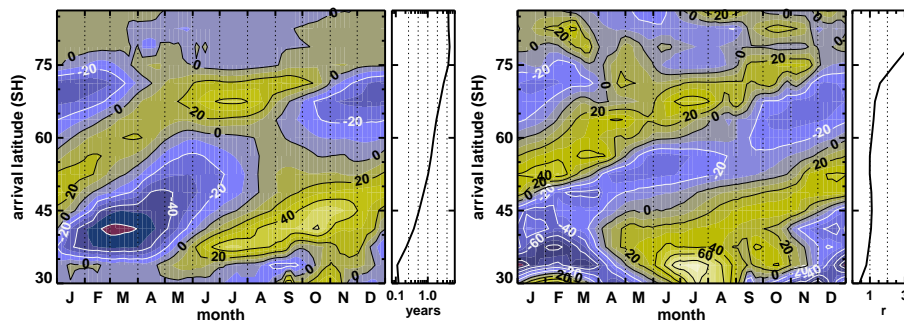


Fig. 7. Same as Fig. 6 but for southern hemispheric extratropics.

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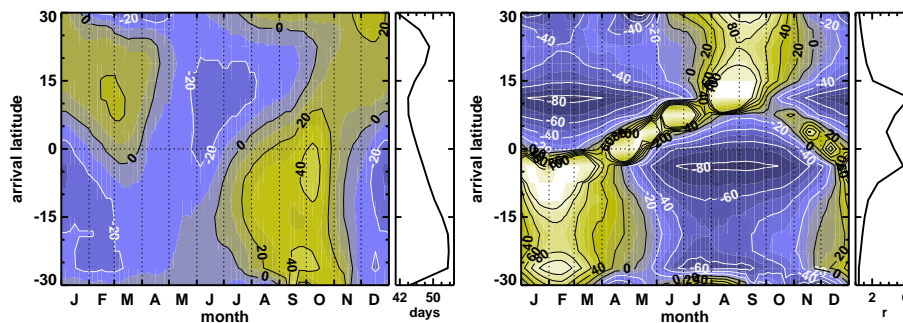


Fig. 8. Same as Fig. 6 but for the tropics. Note annual mean transit times are given in days here. Contours and color shading are limited to less than 100% in the case of the aspect ratio (right). Latitudes and times of exceedingly large aspect ratio mark maximum tropical upwelling there (i.e. corresponding trajectories have an almost vanishing horizontal range).

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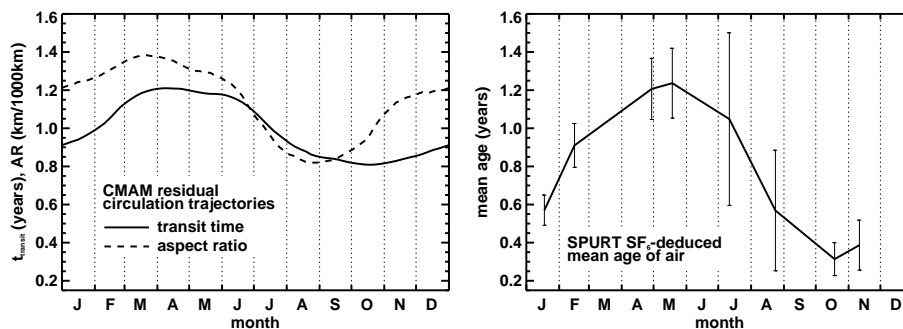


Fig. 9. Left: annual cycles of residual circulation transit time (full line, years) and trajectory aspect ratio r (dashed line, km per 1000 km) averaged over $[40^\circ \text{ N}, 80^\circ \text{ N}]$ for CMAM. Arrival pressure is set to correspond to $\Theta_{\text{TP}}+30 \text{ K}$. Right: annual cycle of SF_6 -deduced mean age of air from SPURT averaged over equivalent latitudes $[40^\circ \text{ N}, 80^\circ \text{ N}]$ and $[\Theta_{\text{TP}}+25 \text{ K}, \Theta_{\text{TP}}+35 \text{ K}]$ (data identical to that used in Bönisch et al., 2009).

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