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**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Intercomparison methods for satellite measurements of atmospheric composition: application to tropospheric ozone from TES and OMI

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

We analyze three different methods to validate and intercompare satellite measurements of atmospheric composition, and apply them to tropospheric ozone retrievals from the Tropospheric Emission Spectrometer (TES) and the Ozone Monitoring Instrument (OMI). The first method (in situ method) uses in situ vertical profiles for absolute instrument validation; it is limited by the sparseness of in situ data. The second method (CTM method) uses a chemical transport model (CTM) as an intercomparison platform; it provides a globally complete intercomparison with relatively small noise added by model error. The third method (averaging kernel smoothing method) involves smoothing the retrieved profile from one instrument with the averaging kernel matrix of the other; it also provides a global intercomparison but dampens the actual difference between instruments and adds noise from the a priori. Application to a full year (2006) of TES and OMI data shows mean positive biases of 5.3 parts per billion volume (ppbv) (10%) for TES and 2.8 ppbv (5%) for OMI at 500 hPa relative to in situ data from ozonesondes. We show that the CTM method (using the GEOS-Chem CTM) closely approximates results from the in situ method while providing global coverage. It reveals that differences between TES and OMI are generally less than 10 ppbv (18%), except at northern mid-latitudes in summer and over tropical continents. The CTM method allows for well-constrained CTM evaluation in places where the satellite observations are consistent. We thus find that GEOS-Chem underestimates tropospheric ozone in the tropics, reflecting a combination of possible factors, and overestimates ozone in the northern subtropics and southern mid-latitudes, likely because of excessive stratospheric influx.

1 Introduction

Tropospheric ozone is of environmental importance as a surface pollutant, a precursor of the hydroxyl radical (OH) oxidant, and an effective greenhouse gas. It is produced by

ACPD

10, 1417–1456, 2010

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



photochemical oxidation of CO and volatile organic compounds (VOCs) in the presence of nitrogen oxides ($\text{NO}_x \equiv \text{NO} + \text{NO}_2$). This photochemical production dominates over stratospheric influx on a global scale, even though the stratosphere accounts for 90% of total atmospheric ozone (Prather and Ehhalt, 2001). Ozone concentrations can vary from less than 10 parts per billion volume (ppbv) in clean surface air to over 100 ppbv in the upper troposphere and in polluted regions (Logan, 1999). Satellite observations of tropospheric ozone and its precursors are providing a growing resource to better understand the processes that control ozone levels and the effect of human influence (NRC, 2008).

One difficulty in measuring tropospheric ozone from space is separating it from stratospheric ozone. The first approach to derive global tropospheric ozone distributions from satellite measurements involved subtracting the stratospheric ozone column measured in the limb from the total ozone column measured independently in the nadir (Fishman and Larsen, 1987; Fishman et al., 1990; Ziemke et al., 1998, 2005). This approach has been refined and extended in recent years (Ziemke et al., 2006; Schoeberl et al., 2007). Direct retrieval of global tropospheric ozone distributions from solar backscattered UV spectra was reported by Liu et al. (2005, 2006) for the Global Ozone and Monitoring Experiment (GOME) and more recently by Liu et al. (2009a) for the Ozone Monitoring Instrument (OMI) aboard the EOS Aura satellite launched in July 2004.

Another approach for direct retrieval of tropospheric ozone has been from nadir measurements of thermal infrared (IR) emission in and around the $9.6\mu\text{m}$ absorption band at high spectral resolution. This was first done for the Interferometric Monitor Greenhouse gases (IMG) instrument on board the ADEOS platform, which operated for 10 months in 1996 (Turquety et al., 2002). A multi-year record of tropospheric ozone is available from the Tropospheric Emission Spectrometer (TES) also aboard Aura (Beer, 2006). Tropospheric ozone retrievals in the thermal IR have also been made from the Atmospheric Infrared Sounder (AIRS) on Aqua launched in 2002 (Aumann et al., 2003) and the Infrared Atmospheric Sounding Interferometer (IASI) on

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



MetOp-A launched in October 2006 (Boynard et al., 2009), but these instruments have lower spectral resolution than TES and thus slightly less tropospheric sensitivity.

The direct retrievals of tropospheric ozone from TES and OMI have generated great interest for better understanding the processes controlling ozone concentrations and testing chemical transport models (CTMs) (Liu et al., 2006; Zhang et al., 2006; Parrington et al., 2008). The reliability of the data is an issue. Both TES and OMI have been validated with ozonesonde and aircraft measurements (Nassar et al., 2008; Richards et al., 2008; Boxe et al., 2009; Liu et al., 2009b), but these in-situ measurements are very sparse. The quality of the satellite ozone retrieval depends on viewing angle, surface type, vertical structure of ozone and temperature, cloud and aerosol interferences, and other factors, requiring a greater validation space than can be achieved from in situ data alone.

One approach to extend the satellite validation to a global scale is by comparison to a CTM that has been independently evaluated with accurate in situ measurements. The CTM allows extrapolation from the in situ data. Validation is measured by the consistency of CTM bias with the satellite vs. with the in situ data. Assimilation of satellite tropospheric ozone observations to CTMs also provides an indirect validation of satellite observations through comparison with independent measurements (Geer et al., 2006; Parrington et al., 2008). The CTM can further serve as a common platform to intercompare measurements from different satellite instruments with different viewing scenes and vertical sensitivities (averaging kernels). Aside from validation, using the CTM as a common intercomparison platform tests the consistency of the multi-instrument datasets for CTM evaluation and thus enables better diagnostics of CTM biases. This is recently applied to test the consistency of multiple satellite CO datasets (Kopacz et al., 2009).

Global intercomparisons of satellite tropospheric ozone profiles have not been reported in the literature so far. Rodgers and Connor (2003) presented a general method to compare measurements from two satellite instruments with different averaging kernels, by smoothing the retrievals of the higher-resolution instrument with the averaging

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

kernels of the lower-resolution instrument. The method was applied by Luo et al. (2007) to compare retrievals of CO from TES and MOPITT. As we will see, it cannot be used to diagnose biases between two instruments when the vertical sensitivities of both instruments are weak. We will show that using a CTM as intercomparison platform is a far more accurate method.

We present and analyze here three different methods to validate and intercompare satellite retrievals on a global scale, focusing on tropospheric ozone measurements from TES and OMI both aboard the Aura satellite: (1) the in situ method (here using ozonesondes), (2) the CTM method, and (3) the averaging kernel smoothing method of Rodgers and Connor (2003). We apply and compare the three methods for a full year (2006) of TES and OMI data, and also use 2005–2007 ozonesonde data to better constrain the in situ validation. We show how the different methods provide different information, and discuss the value of the CTM method as a versatile and accurate tool for instrument validation and intercomparison as well as CTM evaluation.

2 TES and OMI ozone profile retrievals

The Tropospheric Emission Spectrometer (TES) and the Ozone Monitoring Instrument (OMI) are both on board the EOS Aura satellite launched in July 2004 into a polar, sun-synchronous orbit with ascending equator crossing around 1345 local time. TES is a Fourier transform IR spectrometer with high spectral resolution (0.1 cm^{-1} apodized in nadir) and a wide spectral range ($650\text{--}3050\text{ cm}^{-1}$) (Beer, 2006). The standard products of TES (“global surveys”) consist of 16 daily orbits of nadir-viewing measurements with a footprint of $5\times 8\text{ km}^2$ spaced 1.6° along the orbit track every other day. Global coverage is achieved in 16 days. We use TES V003 data. Nassar et al. (2008) and Richards et al. (2008) presented the validation of TES V002 ozone profile retrievals with ozonesondes and with aircraft data over the Pacific, and they found a high bias of 3–10 ppbv. The TES V003 data have a similar positive bias (Boxe et al., 2009; also see Sect. 4.1).

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



OMI is a nadir-scanning instrument that measures backscattered solar radiation over the 270–500 nm wavelength range with a spectral resolution of 0.42–0.63 nm (Levelt et al., 2006). It has a spatial resolution of $13 \times 24 \text{ km}^2$ at nadir and daily global coverage. We use the direct retrieval of ozone profiles developed by Liu et al. (2009a). Previous validation with ozonesondes showed that OMI has a positive bias of 5–10% in the troposphere (Liu et al., 2009b).

We use a full year of TES and OMI data for 2006, including 169 TES global surveys. We exclude data poleward of 60° where satellite sensitivities are weak due to low brightness temperature for TES and high solar zenith angle for OMI. OMI has daily global coverage; we select OMI observations along the TES sampling tracks for comparison. We filter TES data following the TES ozone data quality flag as defined in Osterman et al. (2009). TES V003 ozone data include some unphysical retrievals with anomalously high ozone near the surface and anomalously low ozone in the middle troposphere (“C-curve” shape). We have developed an additional flag to filter these profiles as described in Osterman et al. (2009). For OMI, we remove cloudy observations (effective cloud fraction $>30\%$).

Ozone retrievals from TES and OMI are both based on the optimal estimation method of Rodgers (2000), as described by Bowman et al. (2006) and Liu et al. (2009a). The true vertical profile of concentrations is represented as a vector \mathbf{x} whose elements are the concentrations at different vertical levels. The retrieved vertical profile $\hat{\mathbf{x}}$ can be expressed as a linear combination of \mathbf{x} and the a priori profile \mathbf{x}_a ,

$$\hat{\mathbf{x}} = \mathbf{A}\mathbf{x} + (\mathbf{I} - \mathbf{A})\mathbf{x}_a + \mathbf{e} + \mathbf{b} \quad (1)$$

where \mathbf{A} is the averaging kernel matrix that describes the vertical sensitivity of the retrieval to the true profiles. It is determined by the instrument characteristics and by the a priori error covariance matrix. The term $\mathbf{e} + \mathbf{b}$ is the retrieval error including errors in the measurement and in the radiative transfer model. We separate it into a random noise (\mathbf{e}) and a systematic bias (\mathbf{b}). TES retrieves logarithms of ozone volume mixing ratios (VMR) at 67 pressure levels up to 0.1 hPa, while OMI retrieves

partial ozone columns for 24 layers with thicknesses of approximately 2.5 km. Thus the vertical profiles (\hat{x} , x_a , and x) in Eq. (1) are logarithms of ozone VMR for TES and partial ozone columns for OMI.

Direct comparison of TES and OMI ozone profile retrievals is not appropriate because they have different averaging kernels (\mathbf{A}) and use different a priori profiles (x_a). TES a priori profiles are monthly mean MOZART CTM values (Brasseur et al., 1998) averaged over a 10° latitude × 60° longitude grid (Bowman et al., 2006). OMI a priori profiles are based on a latitude- and month-dependent ozone profile climatology (McPeters et al., 2007) derived from 15 years of ozonesonde and Stratospheric Aerosol and Gas Experiment (SAGE) data (Liu et al., 2009a). To remove the discrepancy from the use of different a priori profiles, we reprocess the retrievals to a common fixed a priori (x_c) (Rodgers and Connor, 2003):

$$\hat{x}' = \hat{x} + (\mathbf{A}-\mathbf{I})(x_a-x_c) \quad (2)$$

We choose x_c by averaging the original OMI a priori profiles within 30° S–30° N and apply it to all TES and OMI retrievals. Results from Eq. (2) are very similar to retrievals with the fixed a priori for both TES (Kulawik et al., 2008) and OMI because the retrieval is only weakly non-linear. We remove the prime henceforth for simplicity of notation and refer to \hat{x} as the retrieved vertical profile reprocessed as per Eq. (2).

Intercomparison between satellite instruments is much easier to analyze mathematically if the retrieved vertical profiles \hat{x} have the same dimensions and units. To achieve this we interpolate TES retrievals on the OMI pressure grid, and convert the TES log(VMR)-based averaging kernels to partial columns on the OMI pressure grid, as described in Appendix A. In this manner, the reprocessed TES (\hat{x}_{TES}) and OMI (\hat{x}_{OMI}) retrievals are both partial ozone column profiles on the OMI pressure grid:

$$\hat{x}_{\text{TES}} = \mathbf{A}_{\text{TES}}x + (\mathbf{I}-\mathbf{A}_{\text{TES}})x_c + e_{\text{TES}} + b_{\text{TES}} \quad (3)$$

$$\hat{x}_{\text{OMI}} = \mathbf{A}_{\text{OMI}}x + (\mathbf{I}-\mathbf{A}_{\text{OMI}})x_c + e_{\text{OMI}} + b_{\text{OMI}} \quad (4)$$

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



with all terms on the right hand side of Eqs. (3) and (4) also computed on the OMI pressure grid.

Figure 1 shows sample averaging kernel matrices for TES and OMI. The trace of the matrix gives the number of independent pieces of information on the vertical profile, called the Degrees of Freedom for Signal (DOFS) (Rodgers, 2000). We computed the DOFS in the troposphere as the trace of the tropospheric sub-matrix based on the National Center for Environmental Prediction (NCEP) thermal tropopause used in OMI retrievals. The tropopause pressure is within 90–130 hPa in the tropics (20° N–20° S), and increases with increasing latitude to ~250 hPa at 60°. The TES averaging kernel matrix has DOFS=2.0, indicating two pieces of information in the vertical profile with sensitivity peaks around 700 hPa and 400 hPa. Conversion of the TES averaging kernel matrix to partial ozone columns on the OMI pressure grid (Appendix A) only slightly reduces the DOFS (1.9) and does not significantly modify the structure, as shown in Fig. 1. The converted TES averaging kernel matrix \mathbf{A}_{TES} can be compared directly with the OMI averaging kernel matrix \mathbf{A}_{OMI} . The OMI averaging kernel matrix shows weaker sensitivity than TES with DOFS=1.0 in the troposphere, although this is partly due to a weaker assumed a priori error constraint in TES (Kulawik et al., 2006; Liu et al., 2009a). The OMI sensitivity peaks at 700–500 hPa, overlapping with that of TES.

Figure 2 shows the global distributions of TES and OMI tropospheric DOFS for January and July 2006. There are typically 1–2 DOFS for TES and 0.5–1 for OMI, with lower values at high latitudes (>45°). Both TES and OMI show higher DOFS at northern mid-latitudes in summer than in winter, reflecting higher surface temperatures (TES) and lower solar zenith angles (OMI). TES has higher DOFS than OMI everywhere. The TES V003 ozone has ~0.5 higher DOFS than the V002 data due to improvement of the nadir temperature retrievals (Osterman et al., 2009).

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



3 Tropospheric ozone distributions from TES and OMI

Figure 3 shows the seasonally averaged TES and OMI retrievals of tropospheric ozone at 500 hPa. OMI data have been sampled along the TES orbit track. Both TES and OMI data have been reprocessed with a single fixed a priori following Eq. (2), and thus the variability is driven solely by the satellite information. TES profiles have been interpolated to the OMI pressure grid as per Appendix A. The general geographic features and seasonal variability observed by TES and OMI are very similar, although there are some significant differences. Also shown in Fig. 3 are the GEOS-Chem CTM profiles (x_{CTM}) sampled along the TES orbit track at the observation time, and then smoothed with the averaging kernels from TES and OMI over the OMI pressure grid:

$$\hat{x}_{\text{CTM_TES}} = \mathbf{A}_{\text{TES}}x_{\text{CTM}} + (\mathbf{I} - \mathbf{A}_{\text{TES}})x_c \quad (5)$$

$$\hat{x}_{\text{CTM_OMI}} = \mathbf{A}_{\text{OMI}}x_{\text{CTM}} + (\mathbf{I} - \mathbf{A}_{\text{OMI}})x_c \quad (6)$$

Details on the GEOS-Chem CTM are given in Appendix B. The model reproduces the large-scale spatial variability observed by TES and OMI (correlation coefficient $r > 0.8$ for all seasons), although it tends to have lower values as discussed in Sect. 6. Figure 3 shows the effect of smoothing by the TES vs. OMI averaging kernels when applied to the same model fields. By comparing in Fig. 4 the differences between model fields smoothed by TES vs. OMI averaging kernels ($\hat{x}_{\text{CTM_TES}} - \hat{x}_{\text{CTM_OMI}}$) to the observed differences between TES and OMI ($\hat{x}_{\text{TES}} - \hat{x}_{\text{OMI}}$), we find that most of the observed differences are simply explainable by instrument sensitivity. The residuals represent the actual bias between the instruments as computed by the CTM method and will be discussed in Sect. 5.2.

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



4 Validation and intercomparison methods

The observed difference in ozone retrievals between TES and OMI is given by:

$$\begin{aligned}\Delta &= \hat{\mathbf{x}}_{\text{TES}} - \hat{\mathbf{x}}_{\text{OMI}} \\ &= \mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}} + (\mathbf{A}_{\text{TES}} - \mathbf{A}_{\text{OMI}})(\mathbf{x} - \mathbf{x}_c)\end{aligned}\quad (7)$$

Here and in what follows we consider the average over a sufficiently large number of retrievals (e.g. 5–25 retrievals over each $4^\circ \times 5^\circ$ grid in Fig. 3) so that the random error terms \mathbf{e}_{TES} and \mathbf{e}_{OMI} average out to zero. The observed difference Δ thus computes the true difference between TES and OMI $\mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}}$, but with an additional noise term reflecting biases in the a priori profile weighted by the difference between the averaging kernel matrices of the two instruments. Directly intercomparing the two ozone profiles is not proper because the noise term can dominate over the true difference as shown in Fig. 4.

We describe here three different methods for validating and intercomparing TES and OMI measurements of tropospheric ozone: (1) independent validation of each with in situ sonde measurements; (2) use of the GEOS-Chem CTM as a comparison platform; and (3) comparison of OMI ozone profiles with TES profiles smoothed by OMI averaging kernels. The first method provides absolute validation, assuming that ozonesondes measure the true profiles, but is limited by the sparsity of ozonesonde observations. The second method provides a global intercomparison and also an indirect validation through independent evaluation of the CTM with ozonesonde data. The third method also provides a global intercomparison (with dampening of the difference between instruments, as we will see) but no validation. Results from each method will be presented in Sect. 5.

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



4.1 In situ method

Previous validations with ozonesonde data have been presented by Worden et al. (2007) and Nassar et al. (2008) for older versions of TES data (V001 and V002), and by Boxe et al. (2009) for TES V003 and V004 data at high altitudes (>60°). Validation of OMI ozone data has been presented by Liu et al. (2009b). Ozonesonde vertical profiles for a satellite viewing scene are interpolated to the retrieval pressure grid and smoothed with the instrument averaging kernel matrix:

$$\hat{\mathbf{x}}_{\text{sonde_TES}} = \mathbf{A}_{\text{TES}}\mathbf{x} + (\mathbf{I} - \mathbf{A}_{\text{TES}})\mathbf{x}_c \quad (8)$$

$$\hat{\mathbf{x}}_{\text{sonde_OMI}} = \mathbf{A}_{\text{OMI}}\mathbf{x} + (\mathbf{I} - \mathbf{A}_{\text{OMI}})\mathbf{x}_c \quad (9)$$

where $\hat{\mathbf{x}}_{\text{sonde_TES}}$ and $\hat{\mathbf{x}}_{\text{sonde_OMI}}$ are the smoothed ozonesonde profiles. Comparisons with satellite retrievals for that scene ($\hat{\mathbf{x}}_{\text{OMI}}$ and $\hat{\mathbf{x}}_{\text{TES}}$) measure the retrieval error. Averaging over a number of comparison scenes provides an estimate for the systematic error or bias (\mathbf{b}_{TES} and \mathbf{b}_{OMI}), and the residuals provide statistics for the random error (\mathbf{e}_{TES} and \mathbf{e}_{OMI}). The average difference Δ between TES and OMI derived from common ozonesonde validation profiles measures the true difference in bias between the two instruments, i.e., their internal consistency:

$$\Delta = (\hat{\mathbf{x}}_{\text{TES}} - \hat{\mathbf{x}}_{\text{sonde_TES}}) - (\hat{\mathbf{x}}_{\text{OMI}} - \hat{\mathbf{x}}_{\text{sonde_OMI}}) = \mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}} \quad (10)$$

4.2 CTM method

We propose an intercomparison method that uses a CTM as a transfer platform. We apply the instrument averaging kernels to the CTM simulated vertical profiles as described in Sect. 3. From Eqs. (5) and (6), the differences between model and observation are:

$$\hat{\mathbf{x}}_{\text{CTM_TES}} - \hat{\mathbf{x}}_{\text{TES}} = \mathbf{A}_{\text{TES}}(\mathbf{x}_{\text{CTM}} - \mathbf{x}) - \mathbf{b}_{\text{TES}} \quad (11)$$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



$$\hat{\mathbf{x}}_{\text{CTM_OMI}} - \hat{\mathbf{x}}_{\text{OMI}} = \mathbf{A}_{\text{OMI}}(\mathbf{x}_{\text{CTM}} - \mathbf{x}) - \mathbf{b}_{\text{OMI}} \quad (12)$$

so that the difference between TES and OMI with reference to the CTM is given by:

$$\begin{aligned} \Delta &= (\hat{\mathbf{x}}_{\text{TES}} - \hat{\mathbf{x}}_{\text{CTM_TES}}) - (\hat{\mathbf{x}}_{\text{OMI}} - \hat{\mathbf{x}}_{\text{CTM_OMI}}) \\ &= \mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}} + (\mathbf{A}_{\text{TES}} - \mathbf{A}_{\text{OMI}})(\mathbf{x} - \mathbf{x}_{\text{CTM}}) \end{aligned} \quad (13)$$

The CTM method thus computes the true difference $\mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}}$, with an extra term similar to the noise term in Eq. (7). However, by replacing the a priori profile \mathbf{x}_c with a state-of-the-science CTM simulation, the magnitude of the extra term can be greatly reduced ($|\mathbf{x} - \mathbf{x}_{\text{CTM}}| < |\mathbf{x} - \mathbf{x}_c|$). This advantage can be quantified by comparison of CTM results with ozonesonde measurements, as described in Sect. 5.

4.3 Averaging kernel smoothing method

Rodgers and Connor (2003) proposed an intercomparison method in which the vertical profile from the instrument with higher resolution is smoothed by averaging kernels of the instrument with lower resolution. TES ozone retrievals have higher DOFS than OMI, hence we apply the OMI averaging kernel matrices to the TES retrievals:

$$\hat{\mathbf{x}}_{\text{TES_OMI}} = \mathbf{A}_{\text{OMI}}\hat{\mathbf{x}}_{\text{TES}} + (\mathbf{I} - \mathbf{A}_{\text{OMI}})\mathbf{x}_c \quad (14)$$

The resulting difference Δ between TES and OMI is given by:

$$\begin{aligned} \Delta &= \hat{\mathbf{x}}_{\text{TES_OMI}} - \hat{\mathbf{x}}_{\text{OMI}} \\ &= \mathbf{A}_{\text{OMI}}\mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}} + (\mathbf{A}_{\text{OMI}}\mathbf{A}_{\text{TES}} - \mathbf{A}_{\text{OMI}})(\mathbf{x} - \mathbf{x}_c) \end{aligned} \quad (15)$$

We see that Δ does not simply reflect the internal consistency between the two instruments (as measured by $\mathbf{b}_{\text{TES}} - \mathbf{b}_{\text{OMI}}$), but involves smoothing the TES bias by the OMI averaging kernel matrix, and also includes an extra term reflecting the difference between the a priori and true profiles. Comparing with Eq. (7), applying the OMI averaging kernel matrix to the TES retrieval reduces the influence due to different averaging kernels of the two instruments, and thus decreases the magnitude of the noise term.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



To optimize the method one should minimize this extra term, and therefore we adjust the TES original retrievals to the OMI geographically varying a priori profiles instead of the single fixed a priori. Even so, the method fails as a true intercomparison because it does not return the actual difference $b_{\text{TES}} - b_{\text{OMI}}$. We will assess the importance of this shortcoming below.

5 Results from the different methods

We examine here how the CTM method (Sect. 4.2) and the averaging kernel smoothing method (Sect. 4.3) compare to the standard set by the in situ method (Sect. 4.1). The in situ method gives the true measure of consistency between the two instruments ($\Delta = b_{\text{TES}} - b_{\text{OMI}}$) but is limited by the small statistics of ozonesonde coincidences.

5.1 In situ method

We use the ensemble of worldwide ozonesonde measurements for 2005–2007 prepared by the Aura Validation Data Center for tropospheric studies (Schoeberl et al., 2007; Liu et al., 2009b; <http://avdc.gsfc.nasa.gov>), giving us better statistics than for 2006 alone. For comparison to the satellite data we require spatial coincidence within 2° longitude and 2° latitude, and temporal coincidence within 10 h, similar to the coincidence criteria applied by Nassar et al. (2008). This results in 528 TES/sonde coincidences and 2568 OMI/sonde coincidences within $60^\circ \text{S} - 60^\circ \text{N}$ for 2005–2007. OMI has more coincidences because of its daily global coverage. About 80% of the comparison profiles are at northern mid-latitudes ($20^\circ - 60^\circ \text{N}$).

Table 1 summarizes the mean differences and standard deviations for TES and OMI relative to the ozonesonde data for three latitudinal bands at 860, 500, and 300 hPa. Our validation results for TES V003 data are very similar to those obtained for V002 data by Nassar et al. (2008). At northern mid-latitudes ($20^\circ \text{N} - 60^\circ \text{N}$), TES has a positive bias of 5.7 ± 13.5 ppbv (mean \pm standard deviation) at 500 hPa and 17.9 ± 36.5 ppbv

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



at 300 hPa. In the tropics and southern mid-latitudes, TES has a positive bias of 3.2–7.5 ppbv in the lower troposphere and less in the upper troposphere. The OMI biases are also positive but smaller than TES and less variable.

Figure 5 shows the distribution of satellite minus ozonesonde differences at 500 hPa averaged over each sonde site for the four seasons. The global mean bias relative to the sondes at 500 hPa is 5.3 ± 12.3 ppbv for TES ($n=528$) and 2.8 ± 6.6 ppbv for OMI ($n=2568$). The seasonal variation of TES biases is small. At northern mid-latitudes OMI has a positive bias of 1.2 ppbv in summer and ~ 4 ppbv in other seasons.

5.2 CTM method

Figure 6 (left) compares the TES minus OMI differences Δ obtained by the CTM method (Sect. 4.2) to those obtained by the in situ validation method at 500 and 860 hPa. Results are shown for the 180 TES/OMI/sonde coincidences in the year 2006 where we can measure the true instrument differences $\Delta = b_{\text{TES}} - b_{\text{OMI}}$ through the calibration provided by the sonde profiles (x-axis in Fig. 6). The CTM method provides a close approximation to the true results from the in situ method. Correlation with results of the in situ method is high at both 500 and 800 hPa ($r=0.89-0.91$) and slopes are near unity (0.96–0.99).

The successful comparison between the CTM and in situ methods lends confidence in using the CTM method for deriving global patterns of differences between TES and OMI. Figure 7 shows the global distribution of TES minus OMI differences at 500 hPa obtained by the CTM method for the four seasons of 2006, and compares these differences with those from the in situ method. We previously showed in Fig. 4 how differences in instrument sensitivity contributed most of the TES vs. OMI differences at 500 hPa; Fig. 7 shows the residuals not attributable to instrument sensitivity. These are generally less than 10 ppbv. The largest inconsistencies between TES and OMI occur in the summertime northern mid-latitudes where TES is higher than OMI (TES–OMI differences >10 ppbv), and over South America, Africa and Indonesia where TES is lower than OMI (TES–OMI differences <-10 ppbv). Differences at northern mid-latitudes

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



show a seasonal variation with TES higher than OMI in summer but lower in winter. The CTM method shows that TES is generally lower than OMI in the tropics, which is not apparent from the in situ method because of sparse sampling.

5.3 Averaging kernel smoothing method

5 Figure 6 (right) compares the TES minus OMI differences Δ obtained by the Rodgers and Connor (2003) averaging kernel smoothing method (Sect. 4.3) to those obtained by the in situ method. We see that the averaging kernel smoothing method generally underestimates Δ relative to the in situ method, as would be expected from the application of the OMI averaging kernel matrix \mathbf{A}_{OMI} to the TES bias \mathbf{b}_{TES} . The slopes of the reduced-major-axis (RMA) regression lines are smaller than 1, especially at 860 hPa where the OMI sensitivity is low. The Δ values obtained by the two methods are only moderately correlated ($r=0.70$ for 500 hPa and 0.52 for 860 hPa). We find that the inability of the averaging kernel smoothing method to reproduce the true intercomparison from the in situ method is mostly due to the bias smoothing term $\mathbf{A}_{\text{OMI}}\mathbf{b}_{\text{TES}}$ in Eq. (15).
10 The additional noise term $\mathbf{A}_{\text{OMI}}(\mathbf{A}_{\text{TES}}-\mathbf{I})(\mathbf{x}-\mathbf{x}_c)$ is small in comparison, although this reflects our use of the OMI geographically varying profile as common a priori \mathbf{x}_c for both retrievals. It would be the dominant term had we used the single fixed a priori profile.
15

The averaging kernel smoothing method has the advantage over the in situ method of extending the intercomparison to a global scale, although one has to be wary of results in view of the deficiencies shown in Fig. 6. We find that the spatial patterns of TES minus OMI differences at 500 hPa obtained by the averaging kernel smoothing method are similar to those obtained from the CTM method ($r>0.8$ for all seasons).
20

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

6 Application to CTM evaluation

The consistency between TES and OMI data in most regions lends confidence to using these data to evaluate the GEOS-Chem CTM simulation. Figure 8 shows the differences of the GEOS-Chem ozone simulation with TES and OMI measurements at 500 hPa for the four seasons of 2006. Comparison with the sonde measurements is also shown. We have adjusted the TES and OMI data for the mean positive biases of 5.3 and 2.8 ppbv respectively as revealed by the ozonesonde comparisons. For purpose of model evaluation, we consider TES and OMI to be consistent if their differences do not exceed 10 ppbv. Regions where the differences exceed 10 ppbv are shown in black in Fig. 8. These include some tropical continental regions as well as large regions at northern mid-latitudes.

Both TES and OMI measurements at 500 hPa show that GEOS-Chem underestimates ozone by more than 10 ppbv over Africa and South America; weaker negative biases extend over most of the tropics. Available sonde observations also show the model underestimate, but with very limited spatial and seasonal resolution. The underestimate could reflect a number of factors. Lightning is the dominant contributor to tropical tropospheric ozone, but its magnitude is highly uncertain and may contribute to the model bias, particularly over the South Atlantic (Sauvage et al., 2007a, b). Jaeglé et al. (2004, 2005) found that soil NO_x emissions in GEOS-Chem are a factor of 2 too low over north tropical Africa in spring and summer due to rain-induced microbial pulsing, and this could cause 5–7 ppbv seasonal underestimates of ozone over Africa (Sauvage et al., 2007b). Some underestimates occur over the seasonal biomass burning regions, such as southern Africa and South America in September–October–November (SON), possibly reflecting a negative bias of the GFEDv2 biomass burning emission inventory (van der Werf et al., 2006) used in the model. Nassar et al. (2009) previously conducted a detailed analysis of GEOS-Chem model simulations of tropical tropospheric ozone in the context of the El Niño event in October–December 2006, and found that overly strong convection in the model can also contribute to the ozone underestimate.

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Both satellite instruments reveal a year-round model overestimate in the northern subtropics, which had been noticed in previous GEOS-Chem model simulations (Liu et al., 2006), and also in the extra-tropical southern hemisphere, where ozonesonde measurements are very sparse. Downward stratospheric ozone fluxes are expected to be largest over these regions due to the subtropical jet streams (Hsu et al., 2005). The GEOS-Chem model simulates transport of ozone from stratosphere using the “Synoz” flux boundary condition of McLinden et al. (2000), with a global cross-tropopause ozone flux of 495 Tg ozone per annum (a^{-1}), but this may not adequately represent the stratosphere-troposphere exchange over these regions. Further investigation of these model errors is warranted.

7 Summary

We have presented and analyzed three different methods to validate and intercompare satellite retrievals of atmospheric composition. The methods are illustrated using a full year (2006) of tropospheric ozone data from the Tropospheric Emission Spectrometer (TES) and the Ozone Monitoring Instrument (OMI), both aboard the EOS Aura satellite. The intercomparison methods are: (1) independent validation of TES and OMI with ozonesonde measurements (in situ method); (2) use of a chemical transport model as comparison platform (CTM method); and (3) comparison of OMI ozone profiles with TES profiles smoothed by OMI averaging kernels (averaging kernel smoothing method).

An important preliminary step to the intercomparison is to convert the satellite retrievals to use the same units, grid, and a priori information. We showed how to perform the unit conversion between TES (original retrieval in logarithm of mixing ratio units) and OMI (original retrieval in partial pressure units), by operating on the TES averaging kernel matrices without significantly degrading the information content. Both TES and OMI averaging kernels show peak sensitivity to ozone concentration in the middle troposphere (700–400 hPa). There are typically 1–2 degrees of freedom for signal

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



(DOFS) in the troposphere for TES and 0.5–1 for OMI. Comparison of collocated TES and OMI data for the full year of 2006 shows similar geographic features and seasonal variability. Application of the averaging kernels of TES and OMI to ozone profiles from the GEOS-Chem CTM indicates that much of the difference in the data between the two instruments simply reflects differences in instrument sensitivity.

Direct intercomparison of measurements from two satellite instruments introduces a noise term due to error in the a priori profile that can be dominant over the true difference. We examined how the three different validation/intercomparison methods can improve on this situation. The in situ method provides absolute validation of the satellite instruments and true intercomparison, but it is limited by the sparseness of the in situ data. The CTM method by contrast provides a globally complete intercomparison. It introduces noise from error in the CTM profile but that is general smaller than the error from the generic a priori profile. The averaging kernel smoothing method also provides a global intercomparison but it dampens the actual difference between the two instruments (particularly when sensitivity is low). The CTM method has three major advantages: (1) it allows intercomparison of satellite instruments over the full range of operating conditions, (2) it enables indirect validation against in situ data using the CTM as a transfer function, and (3) it exploits the satellite data for CTM evaluation.

We applied each method to the analysis of differences between TES and OMI tropospheric ozone retrievals. For the in situ method using the global ozonesonde database, we find 528 TES/sonde coincidences and 2568 OMI/sonde coincidences within 60° S–60° N for 2005–2007 (80% of these are between 20° N and 60° N). Both instruments show an overall positive bias. The global mean bias at 500 hPa is 5.3 ± 12.3 ppbv for TES and 2.8 ± 6.6 ppbv for OMI. Application of the CTM method to the 180 TES/OMI/sonde coincidences for 2006 shows that it closely reproduces the results of the in situ method while providing a globally complete intercomparison perspective. The averaging kernel smoothing method does not perform as well.

We find from the CTM method that differences between TES and OMI are generally within ± 10 ppbv (18%). The largest differences are at summertime northern

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



mid-latitudes where TES is higher than OMI (TES–OMI differences >10 ppbv), and over tropical continents where TES is lower than OMI (TES–OMI differences <−10 ppbv).

We used the CTM method to diagnose GEOS-Chem model biases for regions where the two satellite instruments are consistent, exploiting the much better global coverage afforded by the satellite data relative to ozonesondes. We first removed the mean instrument biases revealed by the ozonesonde validation (+5.3 ppbv for TES, +2.8 ppbv for OMI). Both TES and OMI show that GEOS-Chem underestimates ozone at 500 hPa in the tropics; this could reflect model errors in convective transport and in NO_x emissions from lightning, soil, and biomass burning. Both TES and OMI reveal model overestimates in the northern subtropics and southern extra-tropics that could reflect excessive stratospheric ozone influx in these latitudinal bands. The consistency of tropospheric ozone measurements from TES and OMI allows integration of the two into models and combining their advantages (better vertical structure from TES, daily global coverage from OMI) to improve our understanding of tropospheric ozone on regional to global scales.

Appendix A

Converting TES averaging kernels to the OMI pressure grid

TES averaging kernels are based on retrieval of logarithms of ozone volume mixing ratio (VMR) on a 67-layer pressure grid at fixed pressure levels. We convert them to partial columns on the OMI 24-layer pressure grid to enable direct comparison of TES and OMI vertical sensitivities and to facilitate the mathematical interpretation of the intercomparison. The conversion involves the following steps:

(1) Convert the TES log(VMR)-based averaging kernel (**A**) to VMR-based (**A**₁). This is done by rewriting Eq. (1) for the TES retrieval in the text so that \hat{x} , x_a , and x are profiles of ozone VMR:

$$\ln \hat{x} = \ln x_a + \mathbf{A}(\ln x - \ln x_a) + e \quad (\text{A1})$$

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



By definition of \mathbf{A}_1 , we must have:

$$\hat{\mathbf{x}} = \mathbf{x}_a + \mathbf{A}_1(\mathbf{x} - \mathbf{x}_a) + e \quad (\text{A2})$$

Let x_i denote the VMR for layer i , which is the i -th element of \mathbf{x} , and assume that the difference between x_i and $x_{a,i}$ is relatively small so that

$$\ln x_i - \ln x_{a,i} \approx \frac{x_i - x_{a,i}}{x_{a,i}} \quad (\text{A3})$$

with the same relationship holding between \hat{x}_i and $x_{a,i}$. The elements $a_{1,ij}$ of the converted averaging kernel \mathbf{A}_1 are then related to the elements a_{ij} of the averaging kernel \mathbf{A} by:

$$a_{1,ij} = \left(\frac{x_{a,i}}{x_{a,j}} \right) a_{ij} \quad (\text{A4})$$

(2) Convert the averaging kernel for VMR (\mathbf{A}_1) to an averaging kernel for partial ozone column (\mathbf{A}_2). Let \mathbf{x}_1 denote an ozone profile in unit of ppbv and \mathbf{x}_2 denote the same profile in Dobson Units ($1 \text{ DU} = 2.69 \times 10^{16} \text{ molecules cm}^{-2}$). Their elements in layer i ($x_{1,i}$ and $x_{2,i}$) are related through:

$$x_{2,i} = x_{1,i} \frac{C \Delta P_i}{m_a g} \quad (\text{A5})$$

where ΔP_i is the layer thickness in unit of Pa, $m_a = 4.808 \times 10^{-26} \text{ kg}$ is the mean molecular mass of air, $g = 9.81 \times 10^2 \text{ cm s}^{-2}$ is the acceleration of gravity, and $C = 3.72 \times 10^{-28} \text{ DU cm}^2$ is a unit conversion factor. Both averaging kernels \mathbf{A}_1 and \mathbf{A}_2 follow Eq. (A2), so that the elements $a_{2,ij}$ of \mathbf{A}_2 are given by:

$$a_{2,ij} = \left(\frac{\Delta P_i}{\Delta P_j} \right) a_{1,ij} \quad (\text{A6})$$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



(3) Interpolate the resulting averaging kernel matrix (\mathbf{A}_2) to the OMI grid (\mathbf{A}_3). Transformation of averaging kernels to a different grid has been described by von Clarmann and Grabowski (2007). Let \mathbf{M} represent the mapping matrix that interpolates the retrieved profile from the coarse 24-layer OMI pressure grid to the fine 67-layer TES pressure grid. The regridded averaging kernel matrix (\mathbf{A}_3) is then given by:

$$\mathbf{A}_3 = \mathbf{M}^* \mathbf{A}_2 \mathbf{M} \quad (\text{A7})$$

where $\mathbf{M}^* = (\mathbf{M}^T \mathbf{M})^{-1} \mathbf{M}^T$ is the pseudo inverse of \mathbf{M} and \mathbf{M}^T is its transpose. The resulting averaging kernel matrix \mathbf{A}_3 for TES (\mathbf{A}_{TES} in the text) applies to partial columns on the OMI pressure grid and can be directly compared with the OMI averaging kernel matrix \mathbf{A}_{OMI} .

Appendix B

The GEOS-Chem CTM

GEOS-Chem is a global 3-D model of atmospheric composition (v8-01-04; <http://acmg.seas.harvard.edu/geos/>) driven by GEOS assimilated meteorological observations from the NASA Global Modeling and Assimilation Office (GMAO). General descriptions of GEOS-Chem are given by Bey et al. (2001) and Park et al. (2004). The model is applied here to a global simulation of tropospheric ozone- NO_x -VOC-aerosol chemistry for January–December 2006 using GEOS-4 data and with an 8-month spin-up. Meteorological fields in the GEOS-4 data have a temporal resolution of 6 h (3 h for surface variables and mixing depths) and a horizontal resolution of 1° latitude by 1.25° longitude, with 55 layers in the vertical from surface to 0.01 hPa. We degrade here the horizontal resolution to 4° latitude by 5° longitude for input to GEOS-Chem.

We use global anthropogenic emissions for 2000 from the Emission Database for Global Atmospheric Research (EDGAR) inventory (Oliver and Berdowski, 2001), replaced with the following regional inventories: the European Monitoring and Evaluation

**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Programme (EMEP) for Europe in 2000 (Vestreng and Klein, 2002), Zhang et al. (2009) for Asia in 2006, and the NEI-99 for the United States with downward corrections of 50% in NO_x emissions from point sources and 60% in CO emissions as described by Hudman et al. (2007, 2008). Biomass burning emissions are from the Global Fire Emission Database version 2 (GFEDv2) (van der Werf et al., 2006). Soil NO_x emissions are computed using a modified version of the Yienger and Levy (1995) algorithm with canopy reduction factors described in Wang et al. (1998). Emissions of NO_x from lightning are linked to deep convection following the parameterization of Price and Rind (1992) with vertical profiles taken from Pickering et al. (1998). We use a NO_x yield per flash of 125 moles in the tropics and 500 moles at northern mid-latitudes (north of 30°N) (Hudman et al., 2007). The resulting lightning source is scaled to be 6 Tg nitrogen per annum (a^{-1}) globally. Transport of ozone from the stratosphere is simulated using the “Synoz” flux boundary condition of McLinden et al. (2000), with a global cross-tropopause ozone flux of 495 Tg ozone a^{-1} .

The GEOS-Chem simulation of tropospheric ozone has been evaluated in many studies with measurements from surface sites (Fiore et al., 2002, 2003; Wang et al., 2009), ozonesondes (Sauvage et al., 2007a; Nassar et al., 2009), aircraft (Jaeglé et al., 2003; Hudman et al., 2004, 2007; Auvray et al., 2007; Zhang et al., 2008), and satellites (Martin et al., 2002; Liu et al., 2006; Parrington et al., 2008).

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Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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- 30

**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

- Hudman, R. C., Murray, L. T., Jacob, D. J., Millet, D. B., Turquety, S., Wu, S., Blake, D. R., Goldstein, A. H., Holloway, J., and Sachse, G. W.: Biogenic vs. Anthropogenic sources of CO over the United States, *Geophys. Res. Lett.*, 35, L04801, doi:10.1029/2007GL032393, 2008.
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**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

- Liu, J. J., Jones, D. B. A., Worden, J. R., Noone, D., Parrington, M., and Kar, J.: Analysis of the summertime buildup of tropospheric ozone abundances over the Middle East and North Africa as observed by the Tropospheric Emission Spectrometer instrument, *J. Geophys. Res.*, 114, D05304, doi:10.1029/2008JD010993, 2009.
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- 30

**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Pickering, K. E., Wang, Y. S., Tao, W. K., Price, C., and Muller, J. F.: Vertical distributions of lightning NO_x for use in regional and global chemical transport models, *J. Geophys. Res.*, 103, 31203–31216, 1998.

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**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

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**Intercomparison of
tropospheric ozone
from TES and OMI**

L. Zhang et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

- Ziemke, J. R., Chandra, S., Duncan, B. N., Froidevaux, L., Bhartia, P. K., Levelt, P. F., and Waters, J. W.: Tropospheric ozone determined from Aura OMI and MLS: Evaluation of measurements and comparison with the Global Modeling Initiative's Chemical Transport Model, *J. Geophys. Res.*, 111, D19303, doi:10.1029/2006JD007089, 2006.
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- 20

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

Table 1. TES and OMI ozone biases relative to ozonesondes^a

Latitude band	<i>N</i> (TES)	TES bias $\pm\sigma$ (ppbv)			<i>N</i> (OMI)	OMI bias $\pm\sigma$ (ppbv)		
		860 hPa	500 hPa	300 hPa		860 hPa	500 hPa	300 hPa
20° N–60° N	398	4.6 \pm 12.1	5.7 \pm 13.5	17.9 \pm 36.5	1976	2.1 \pm 4.1	3.1 \pm 6.2	7.1 \pm 28.3
20° S–20° N	102	7.5 \pm 12.6	3.2 \pm 7.5	–1.9 \pm 11.2	486	0.5 \pm 3.6	2.0 \pm 8.3	2.4 \pm 9.4
60° S–20° S	28	3.3 \pm 4.6	6.5 \pm 5.8	4.6 \pm 20.0	106	1.4 \pm 3.9	1.9 \pm 5.2	0.5 \pm 12.8

^a Mean difference and standard deviation as determined by difference with ozonesonde data for 2005–2007 (see text). *N* is the number of comparison profiles.

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

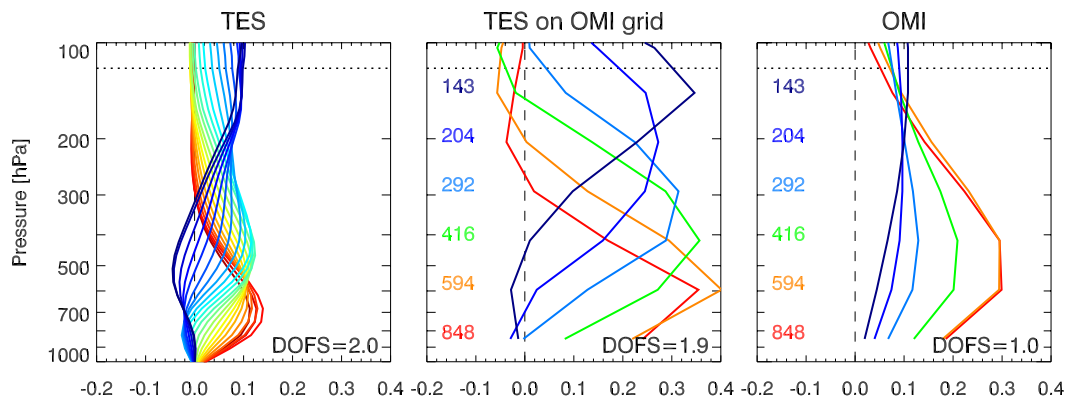


Fig. 1. Sample averaging kernel matrices for TES (left) and OMI (right) ozone retrievals below 100 hPa (cloud-free ocean scene at 28° N, 58° W on 6 August 2006). Each line is a row of the averaging kernel matrix; row elements are dimensionless. TES retrieves the logarithm of the ozone mixing ratio at 67 pressure levels while OMI retrieves partial ozone columns in 24 layers. The central panel shows TES averaging kernels recomputed as sensitivities to partial ozone columns on the OMI pressure level grid as described in Appendix A. The colored numbers are centers of the OMI pressure grid. The degrees of freedom for signal (DOFS) in the troposphere are shown inset. The horizontal dot line shows the tropopause pressure (120 hPa) for this retrieval.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

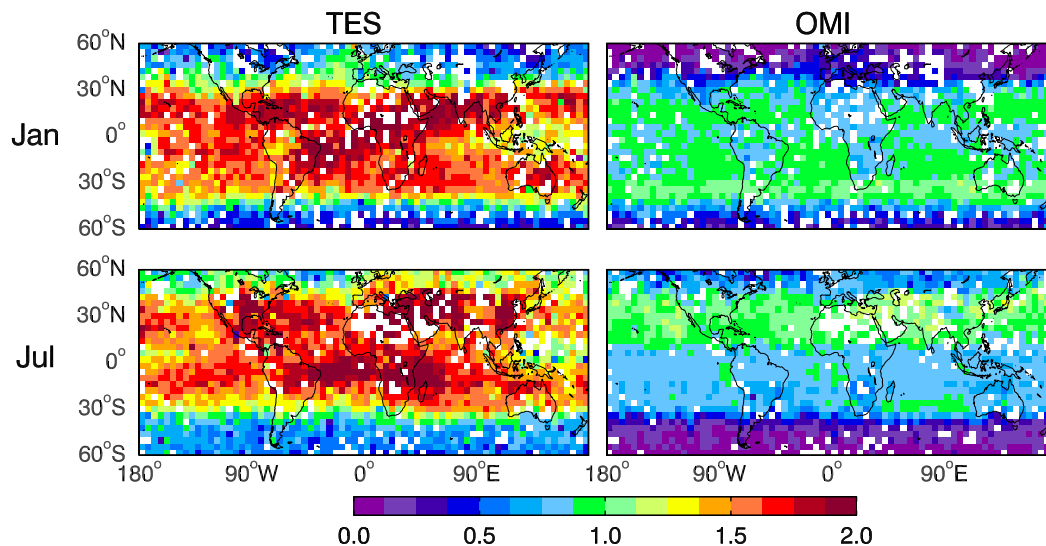


Fig. 2. Mean tropospheric DOFS for TES (left) and OMI (right) retrievals of tropospheric ozone in January (top) and July (bottom) 2006. The data are averaged on a $4^{\circ} \times 5^{\circ}$ grid. White areas indicate lack of data meeting the retrieval criteria described in the text.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Intercomparison of
tropospheric ozone
from TES and OMI

L. Zhang et al.

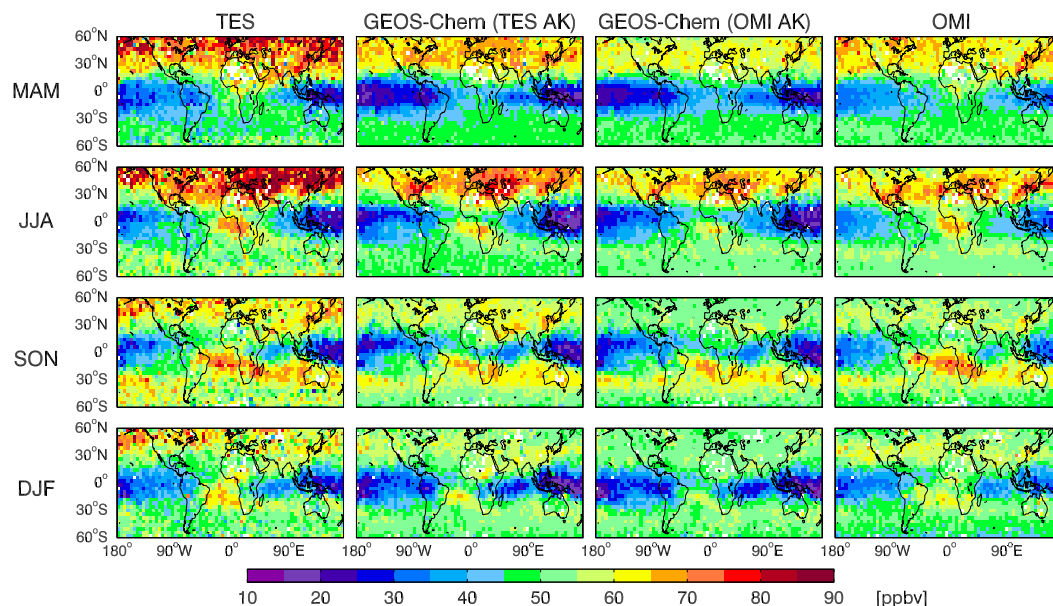


Fig. 3. Mean tropospheric ozone measurements in unit of ppbv from TES (left) and OMI (right) at 500 hPa in different seasons of 2006: March-April-May (MAM, uppermost), June-July-August (JJA, middle top), September-October-November (SON, middle bottom), and December-January-February (DJF, lowermost). The central two columns show the GEOS-Chem ozone simulation smoothed by the corresponding averaging kernels. All data use a single fixed a priori as described in the text and are averaged on the $4^{\circ} \times 5^{\circ}$ grid of GEOS-Chem. The purple color represents relatively low values while the red color represents high values. White areas indicate lack of data meeting the retrieval quality criteria.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

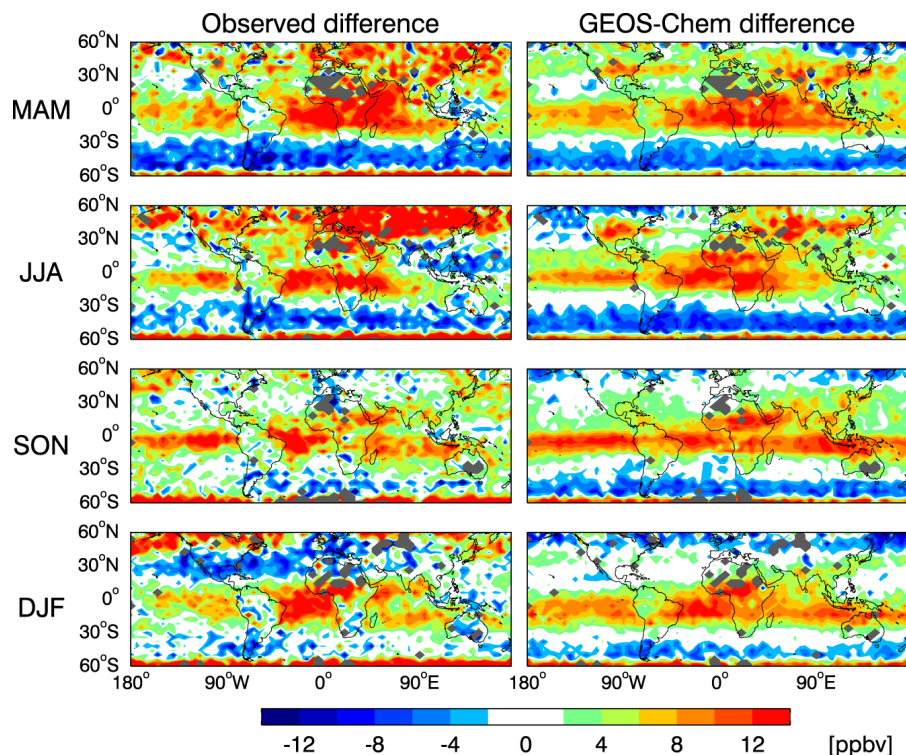


Fig. 4. Mean TES minus OMI differences in ozone concentrations in unit of ppbv at 500 hPa for the four seasons of 2006: MAM (uppermost), JJA (middle top), SON (middle bottom), and DJF (lowermost). The left panels show the observed differences. The right panels show the differences attributable solely to instrument sensitivity, as indicated by results from a GEOS-Chem simulation smoothed with TES vs. OMI averaging kernels. The residual differences are shown as the right panels of Fig. 7 and will be discussed in Sect. 5. All data use a single fixed a priori and are averaged on the $4^\circ \times 5^\circ$ grid of GEOS-Chem as shown in Fig. 3. Red colors represent positive values that TES is higher than OMI (left) or the GEOS-Chem simulation smoothed with TES averaging kernels is higher than that with OMI averaging kernels (right), while blue colors show the opposite. Gray areas indicate lack of data meeting the retrieval quality criteria.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Intercomparison of
tropospheric ozone
from TES and OMI

L. Zhang et al.

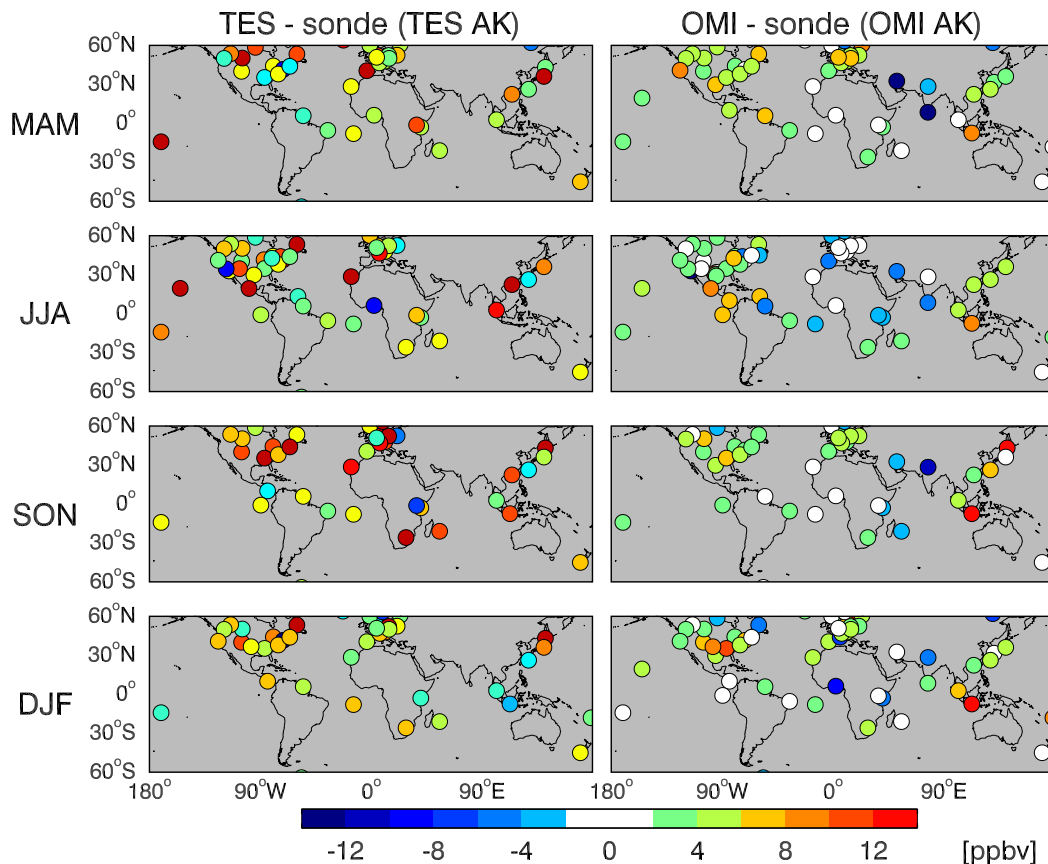


Fig. 5. TES and OMI ozone retrieval biases relative to ozonesondes in unit of ppbv at 500 hPa averaged over the four seasons of 2005–2007. Each point represents the mean bias over a sonde launch site. Values are shown as differences between retrieved ozone concentrations and the ozonesonde data with averaging kernels applied. Red colors indicate satellite ozone retrievals have positive biases while blue colors represent negative biases.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Intercomparison of
tropospheric ozone
from TES and OMI

L. Zhang et al.

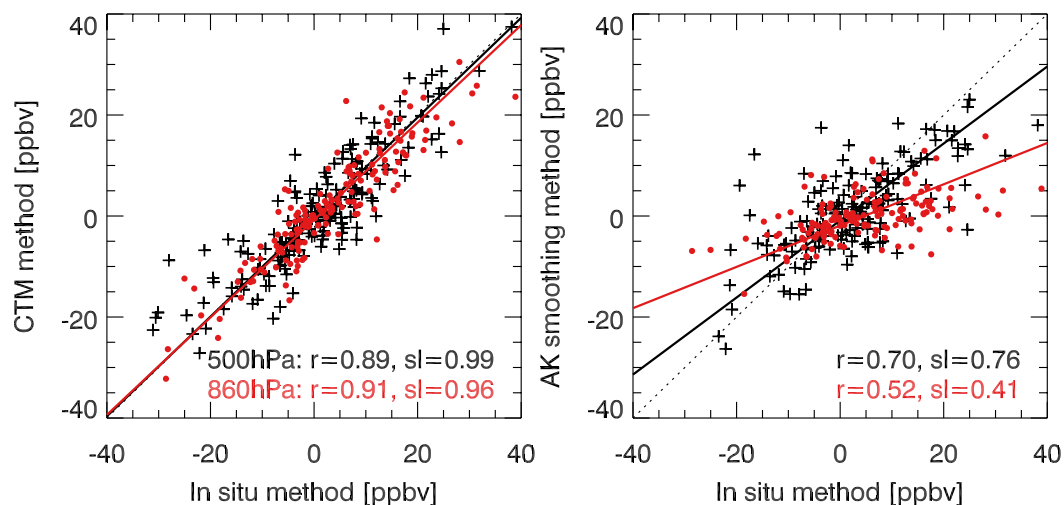


Fig. 6. Differences between TES and OMI estimated by the CTM method (left) and by the averaging kernel (AK) smoothing method (right), relative to the in situ method for 500 hPa (black crosses) and 860 hPa (red dots). The in situ method uses ozonesonde profiles for 2006 as absolute validation. The data are for 180 TES/OMI/sonde coincidences in 2006. Correlation coefficients (r) and slopes of the reduced-major-axis regression lines (sl) are shown inset. Reduced-major-axis regression lines (solid) and the 1:1 line (dashed) are also shown.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Intercomparison of
tropospheric ozone
from TES and OMI

L. Zhang et al.

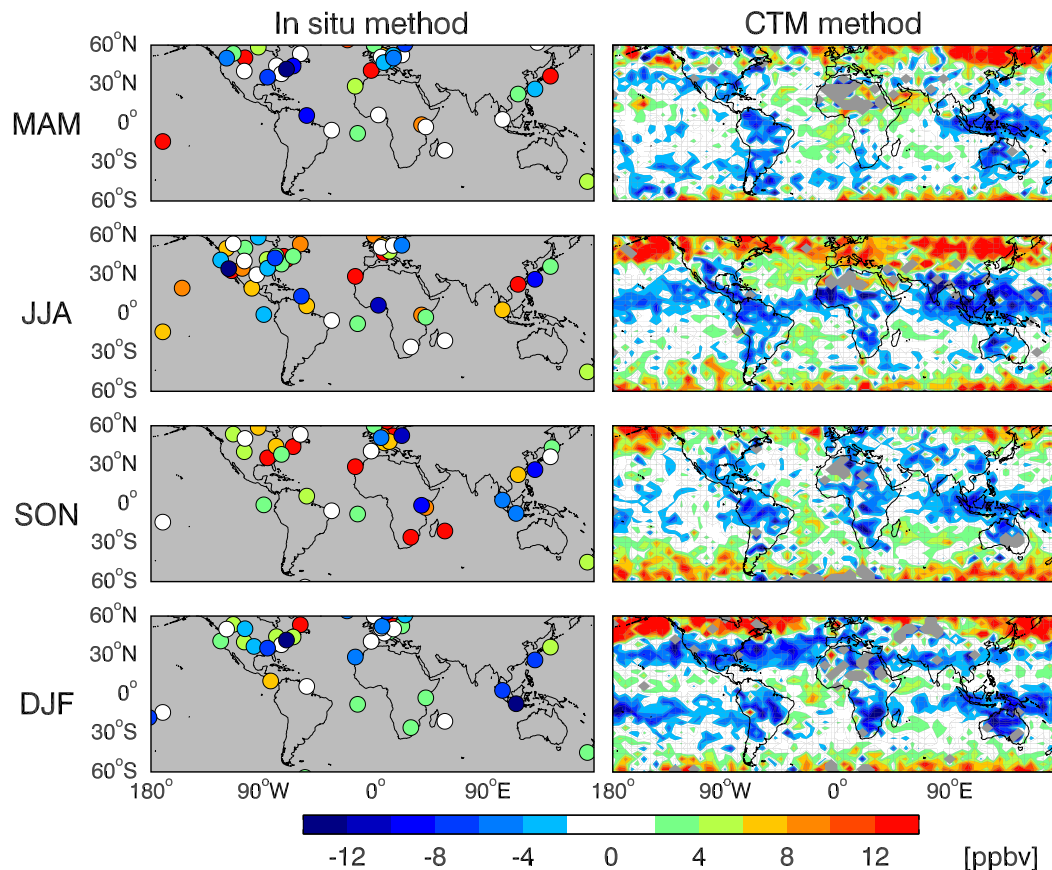


Fig. 7. Seasonally averaged TES minus OMI ozone differences in unit of ppbv at 500 hPa computed by the in situ method (left) and the CTM method (right). The in situ method is applied to 2005–2007 data while the CTM method is applied to 2006 data. Red colors indicate TES ozone is higher than OMI while blue colors indicate TES is lower than OMI. Gray areas indicate lack of data meeting the retrieval quality criteria.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Intercomparison of tropospheric ozone from TES and OMI

L. Zhang et al.

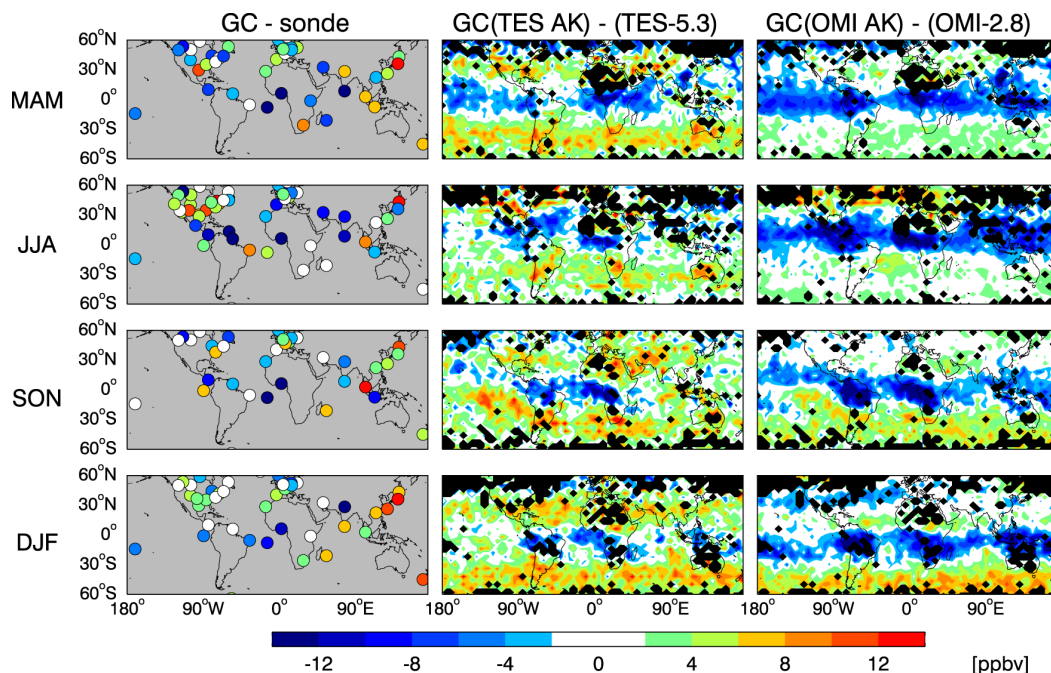


Fig. 8. Differences of simulated GEOS-Chem (GC) ozone concentrations in unit of ppbv at 500 hPa with ozonesondes (left), TES (central), and OMI (right), averaged for the four seasons of 2006. Comparisons with TES and OMI use the GEOS-Chem profiles smoothed by the respective instrument averaging kernels as shown in Fig. 3. Satellite measurements have been corrected for the mean positive biases of 5.3 ppbv for TES and 2.8 ppbv for OMI. Red colors indicate the model simulation is biased high while blue colors indicate model is biased low. Black areas in the central and right columns indicate where differences between TES and OMI computed by the CTM method as shown in Fig. 7 are larger than 10 ppbv or smaller than -10 ppbv, indicating that the satellite measurements are not consistent.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

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