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# 13-month climatology of the aerosol hygroscopicity at the free tropospheric site Jungfraujoch (3580 m a.s.l.)

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## Abstract

A hygroscopicity tandem differential mobility analyzer (HTDMA) was operated at the high-alpine site Jungfraujoch in order to characterize the hygroscopic diameter growth factors of the free tropospheric Aitken and accumulation mode aerosol. More than 5 ~5000 h of valid data were collected for the dry diameters  $D_0=35, 50, 75, 110, 165,$  and 265 nm during the 13-month measurement period from 1 May 2008 through 31 May 2009. No distinct seasonal variability of the hygroscopic properties was observed. Annual mean hygroscopic diameter growth factors ( $D/D_0$ ) at 90% relative humidity were found to be 1.34, 1.43, and 1.46 for  $D_0=50, 110,$  and 265 nm, respectively. This size dependence can largely be attributed to the Kelvin effect because 10 corresponding  $\kappa$  values are virtually independent of size. The mean hygroscopicity of the Aitken and accumulation mode aerosol at the free tropospheric site Jungfraujoch was found to be  $\kappa \approx 0.24$  with little variability throughout the year.

The impact of Saharan dust events, a frequent phenomenon at the Jungfraujoch, on 15 aerosol hygroscopicity was shown to be negligible for  $D_0 < 265$  nm. Thermally driven injections of planetary boundary layer (PBL) air, particularly observed in the early afternoon of summer days with convective anticyclonic weather conditions, lead to a decrease of aerosol hygroscopicity. However, the effect of PBL influence is not seen in the annual mean hygroscopicity data because the effect is small and those conditions 20 (weather class, season and time of day) with PBL influence are relatively rare.

Aerosol hygroscopicity was found to be virtually independent of synoptic wind direction during advective weather situations, i.e. when horizontal motion of the atmosphere dominates over thermally driven convection. This indicates that the hygroscopic behavior of the aerosol observed at the Jungfraujoch can be considered to be representative 25 of the lower free troposphere on at least a regional if not continental scale.

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## 1 Introduction

Atmospheric aerosol particles can scatter and absorb the incident sunlight, thereby changing the radiation budget of the earth. Hygroscopic water uptake at high relative humidity (RH) influences this mechanism, since larger particles scatter light to a greater extent (Fierz-Schmidhauser et al., 2010b). Additionally to that, chemical composition and particle diameter influence the ability for particles to take up water and to be activated to cloud condensation nuclei (CCN; McFiggans et al., 2006), which determines their indirect effect on climate, caused through modification of cloud properties (Lohmann and Feichter, 2005). The direct and indirect climate effects of anthropogenic aerosols are complex, associated with non-linear feedbacks. Therefore they are still among the highest uncertainties in current climate models (IPCC, 2007).

Aerosol hygroscopicity is commonly measured by a hygroscopicity tandem differential mobility analyzer (HTDMA; Liu et al., 1978; Swietlicki et al., 2008). Until recently it was difficult to operate such instruments over longer periods because they require regular maintenance. Recently, efforts were undertaken as part of the EC project European Supersites for Atmospheric Aerosol Research (EUSAAR) to intercompare HTDMA instruments from different research groups, to get them ready for long-term measurements, and to establish quality assurance standards (Duplissy et al., 2009).

Aerosol hygroscopicity was previously measured at numerous sites and in different environments, but only few long-term measurements exist (and references therein Swietlicki et al., 2008). Short observation periods provide only a snapshot of the aerosol properties and rarely provide representative information on detailed behavior such as seasonal cycles or diurnal patterns. So far only very few ground-based HTDMA measurements in the free troposphere are available (Swietlicki et al., 2000; Weingartner et al., 2002; Van Dingenen et al., 2005; Sjogren et al., 2008), all of which are short-term observations. Other than that aerosol hygroscopicity in the free troposphere has also been investigated with airborne measurements using optical methods (e.g. Hegg et al., 2007).

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In this study we present a 13-month climatology of the aerosol hygroscopicity at the High Alpine Research Station Jungfraujoch (JFJ, 3580 m a.s.l.). This is, to our knowledge, the first study which investigated the hygroscopicity of the free tropospheric submicron aerosol at subsaturated RH over the course of a whole year. A detailed analysis of the seasonal cycle, diurnal patterns and synoptic scale weather classes was done in order to characterize the influence from e.g. injections of planetary boundary layer (PBL) air or different source regions on aerosol hygroscopicity.

## 2 Experimental

### 2.1 Sampling site

The Sphinx laboratory of the High Alpine Research Station Jungfraujoch (JFJ) is located at 3580 m a.s.l. in a mountain saddle on the north crest of the Bernese Alps in Switzerland. Aerosol measurements have been performed for more than 20 years at this site (Baltensperger et al., 1997), since 1995 as part of the Global Atmosphere Watch (GAW) programme (Nyeki et al., 1998; Collaud Coen et al., 2007).

### 2.2 Instrumental

Among other parameters, the total aerosol number concentration,  $n_{\text{tot}}$ , using a condensation particle counter (CPC, TSI Model 3010, intermittently TSI Model 3722 from 26 June 2008 to 9 February 2009) and the scattering coefficient at 550 nm,  $\sigma_{\text{sp},550}$ , using an integrating nephelometer (TSI Model 3563) is routinely measured at this site (Collaud Coen et al., 2007). Additionally, the size distribution between  $D=17\text{--}600$  nm was obtained by a custom built scanning mobility particle sizer (SMPS) and the aerosol number concentration in the diameter range  $D = 0.3\text{--}10$   $\mu\text{m}$  was obtained using an optical particle counter (OPC, Grimm Series 1.108). All instruments were operated in the laboratory at  $\sim 25^\circ\text{C}$ , sampling ambient particles through an inlet system which is constantly heated to  $25^\circ\text{C}$ .

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From 1 May 2008 to 31 May 2009 aerosol hygroscopicity measurements were performed using a custom built hygroscopicity tandem differential mobility analyzer (HTMDA) based on the instrument by Weingartner et al. (2002) and Gysel et al. (2002). Prior to the employment at JFJ, the performance of this HTDMA was characterized and intercompared to other HTDMAs in an intercomparison workshop (Duplissy et al., 2009). A detailed scheme of the instrumental setup is given in the paper by Duplissy et al. (2009) where our instrument is termed HTDMA4. The HTDMA works such that the aerosol is dried by a Nafion™ dryer and then brought to charge equilibrium by means of a  $^{85}\text{Kr}$  source. Thereafter, a monodisperse size cut with dry diameter  $D_0$  is selected using a differential mobility analyzer (DMA) and subsequently humidified by transferring water vapor through a Gore-Tex™ tube into the sample flow. The size distribution of the humidified aerosol is then obtained by scanning a second DMA coupled to a CPC (TSI Model 3010) across the size range from  $0.7 \cdot D_0$ – $2.5 \cdot D_0$  within 5 min.

The DMAs were operated with a sheath flow rate of 3 L/min, and a monodisperse sample flow rate of  $\sim 0.3$  L/min. The monodisperse sample flow was determined by the CPC sample flow rate (1.0 L/min) minus a dilution air flow rate of  $\sim 0.7$  L/min, which was added between the second DMA and the CPC. The dilution flow was set by a rotameter. The sheath flows were controlled with critical orifices and operated in a closed-loop setup. Differential pressure gages monitored the flow rates of the instrument. Following the recommendations of the EU-project EUSAAR, DMA1 sequentially selected particles with  $D_0=35, 50, 75, 110, 165, \text{ and } 265$  nm (Swietlicki et al., 2008; Duplissy et al., 2009).

RH in DMA1 was permanently  $< 15\%$ , during the majority of the scans RH in DMA1 was  $\sim 5\%$ . RH in DMA2 was set to 90% as recommended by EUSAAR. Precise RH stability was achieved by having both DMAs submersed in a water bath, which was cooled such that  $20^\circ\text{C} \lesssim T_{\text{DMA}} \lesssim 25^\circ\text{C}$ . The target RH of DMA2 was measured in the DMA excess air close to DMA2 using a dew point mirror (DewPrime, EdgeTech). The precision of the dew point mirror's temperature measurements was  $\pm 0.2$  K, leading to a

precision of the RH measurement at 90% RH of  $\pm 1.2\%$  RH. The system was controlled by a custom built software based on LabView 7.1.

Approximately once per month accurate operation of the HTDMA system was verified on site using ammonium sulphate or sodium chloride, thereby checking growth curves and deliquescence RH against theory. With the same frequency, dry GF validation measurements were performed to determine the diameter offset between the two DMAs ( $D_0$ -offset) and transfer function widths of the HTDMA. The dilution of the sample flow drifted by  $\sim 0.2$  L/min during one month of operation, which offset the dry GF measurements by  $\sim 3\%$ . Therefore, towards the end of the campaign, dry GF measurements were performed remotely every two weeks while sampling ambient aerosol.

### 2.3 HTDMA data analysis procedures

Kernel calibration of the HTDMA was done following the procedures given by Gysel et al. (2009), thereby accounting for  $D_0$ -offsets and the true width of the HTDMA transfer function.  $D_0$ -offsets of up to 3%, caused by drifting dilution flow, were linearly interpolated between two validation sessions and accounted for. Data inversion was done using the TDMAinv algorithm by Gysel et al. (2009). The piecewise linear inversion approach was used with the center of the first and last inversion bin at  $GF=0.7$  and  $GF=2.5$ , respectively, and a resolution of  $\Delta GF=0.1$ . All growth factor probability density functions (GF-PDFs) measured in the range  $88\% < RH < 92\%$  were recalculated to  $RH=90\%$  according to Eqs. (3) and (6) in (Gysel et al., 2009). All data measured at lower or higher RH were ignored.

Different integral properties of the inverted GF-PDFs, schematically illustrated in Fig. 1, are calculated and presented in this study. The number weighted mean GF ( $GF$ , vertical orange line in Fig. 1) is defined as the mean GF of the inverted GF-PDF (see Eq. C.4 in Gysel et al., 2009). The number fraction of less hygroscopic particles with  $GF < 1.25$  ( $f_{GF < 1.25}$ , dark grey shading in Fig. 1) is obtained by integrating the GF-PDF up to  $GF=1.25$  (see Eq. C.8 in Gysel et al., 2009). The mean GF of all more

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hygroscopic particles with  $GF > 1.25$  ( $\overline{GF}_{GF > 1.25}$ , blue vertical line) is obtained by calculating the mean GF of the subrange of the whole GF-PDF at  $GF > 1.25$  (light grey shading) according to Eq. (C.9) in Gysel et al., 2009.

Hygroscopic growth depends on chemical composition (Raoult effect) and particle size (Kelvin effect; Thomson, 1871). Petters and Kreidenweis (2007) introduced the hygroscopicity parameter  $\kappa$ , which allows comparing the Raoult term of particles of different dry sizes. Two particles of different size but identical chemical composition will have the same  $\kappa$  because the influence of the Kelvin effect is filtered.  $\kappa$  corresponding to a GF is obtained by

$$\kappa = \frac{(GF^3 - 1)(1 - a_w)}{a_w}, \quad (1)$$

where  $a_w$  is the water activity, at which the growth factor was measured. According to Köhler theory (Köhler, 1936),  $a_w$  is obtained by dividing the measured RH by the Kelvin correction factor:

$$a_w = \frac{RH}{\exp\left(\frac{4\sigma_s v_w}{RTD}\right)}, \quad (2)$$

where  $\sigma_s$  is the surface tension of the solution droplet,  $v_w$  is the partial molar volume of water in solution,  $R$  is the universal gas constant,  $T$  is the temperature, and  $D$  is the diameter of the droplet. We assumed surface tension of pure water in our calculations.

Seasonal variability of aerosol properties was investigated by splitting the whole data set into the following sub-groups: Winter (December 2008, January 2009, February 2009), spring (May 2008, March 2009, April 2009, May 2009), summer (June 2008, July 2008, August 2008), and autumn (September 2008, October 2008, November 2008).

During the warmer season the JFJ is influenced by injections of air parcels from the PBL: air masses from alpine valleys and the Swiss plateau are heated up during

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the day and PBL air parcels from lower altitudes are transported to the site by thermal convection (Nyeki et al., 2000). During such days, pronounced diurnal cycles are measured for various aerosol variables (Lugauer et al., 1998), since the PBL influence disappears during colder parts of the day. During winter this effect is hardly found, and the air masses present are usually representative of the free tropospheric background conditions (FT). Nyeki et al. (1998) defined the period in the morning hours from 2 a.m.–8 a.m. UTC (=CET-1 h) as FT-conditions. This criterion was also applied in this study to obtain aerosol properties for the FT-conditions only.

## 2.4 Alpine weather statistics

In order to analyze data in the context of the weather situations present, data was further clustered according to the Alpine Weather Statistics (AWS; Schüepp, 1979). The AWS is a synoptic weather classification system, based on the daily analysis of the pressure distribution at the surface and at the 500-hPa level. The classification considers a circular area centered ~80 km east of JFJ with a radius of 222 km, covering the Swiss Alps and parts of Austria, Italy and France (Wanner et al., 1998). The AWS system consists of three main weather classes (advective, convective, mixed; Table 1), which are originally divided into 40 sub-classes. Among the three main classes, for the advective class the horizontal motion of the atmosphere is predominant, so that in flat terrain the vertical wind components are unimportant. However, the orography of the Alps adds vertical components to this air flow, resulting in well-marked upslope and lee phenomena, such as e.g. Foehn. The convective classes include weather situations where the vertical motion predominantly influences the weather, either as a single effect or in connection with the effects of horizontal motion. For the case where both the horizontal and vertical wind components are significant, an additional type, called the mixed weather class, was added. The Swiss Federal Office of Meteorology and Climatology, MeteoSwiss, classifies each day of the year according to the AWS system. A 50-year analysis of AWS data from 1945–1995 and the history of weather classification systems is given by Wanner et al. (1998), analyses of the latest years of AWS

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(1995–2008) and the influence on optical properties of aerosol particles measured at JFJ are presented by Collaud Coen et al. (2010).

Here we use a simplified approach, summarizing the 40 sub-classes into 8 main sub-classes, each being characterized by a synoptic motion of the air masses. Note that for some analyses we further combine the four advective sub-classes into a single class, leaving the following five main classes: convective cyclonic (CC), convective indifferent (CI), convective anticyclonic (CA), mixed (M), and advective (Ax). The same classification was chosen in an earlier study (Lugauer et al., 1998).

### 3 Results

Our custom built HTDMA-setup is well suited for long-term measurements. In addition to minor maintenance work of the custodians, approximately one on-site check per month was needed, however, the setup could still be optimized with e.g. an automated ammonium sulfate nebulizer for remote calibration of the HTDMA. Most of the components were stable throughout the full year, and only a few mechanical parts had to be replaced (pump membranes, smaller pumps for cooling water circulation, and humidification loop) while most parts worked reliably during the whole period (Nafion<sup>TM</sup> dryer, RH sensors, CPC).

#### 3.1 Annual cycle of hygroscopic properties

A total of 10 408, 10 575, 10 626, 10 596, 10 532, and 10 115 valid GF-PDFs were obtained for  $D_0=35, 50, 75, 110, 165,$  and  $265$  nm, respectively, during the 13 months of measurement on the JFJ.

Seasonal mean GF-PDFs were calculated by averaging these individual GF-PDFs separately for each season and dry size (Fig. 2a–f). It has to be emphasized that mean GF-PDFs just represent the mean distribution of growth factors, where the appearance of e.g. two distinct modes in the mean GF-PDF does not imply that these two modes were simultaneously observed.

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Mean GF-PDFs of  $D_0=35$  nm and  $D_0=50$  nm were found to be very similar (Fig. 2a and b), characterized by a broad peak within the range of  $1.10 \lesssim \text{GF} \lesssim 1.60$ . Small particles efficiently acquire hygroscopic material through condensation. Nearby emissions of small non-hygroscopic particles are too low to show up as a distinct non-hygroscopic mode at  $D_0=35$  and 50 nm. Differences between seasonal mean GF-PDFs are minor and likely due to random natural variability, as will be shown later.

The mean GF-PDFs of all sizes with  $D_0 \geq 75$  nm are similar in shape throughout all seasons. They are characterized by a dominant contribution of more hygroscopic particles with growth factors between  $\sim 1.25$ – $1.7$ , as well as a minor contribution of less hygroscopic particles with growth factors  $\lesssim 1.25$ . Differences between different seasons are minor and can be attributed to unknown variability for the most part. However, in summer the more hygroscopic mode is shifted to slightly smaller growth factors and the number fraction of particles with  $\text{GF} < 1.25$  tends to be slightly smaller.

Presumably, this small difference observed in summer is due to secondary organic aerosol (SOA) formation. More SOA material produced through photochemical activity is available to condense on particles during the summer months, when concentrations of SOA precursor gases are generally higher.

The annual cycle and the size dependence of integral properties of the GF-PDF are discussed in the following. The mean GF ( $\overline{\text{GF}}$ , see Sect. 2.3) was determined for each individual GF-PDF and monthly averages of  $\overline{\text{GF}}$  were calculated for every dry size (Fig. 3a). Monthly mean values of  $\overline{\text{GF}}$  ranged between 1.29 and 1.54, and were larger for larger  $D_0$  than for smaller  $D_0$  during most of the months. The size dependence is mainly caused by the Kelvin effect, as will be shown later. An earlier publication by Sjogren et al. (2008), reporting HTDMA measurements from short-term experiments during the summer and winter season, found  $\overline{\text{GF}}$  to be lower in summer compared to winter, whereas no pronounced seasonal trend was found for  $\overline{\text{GF}}$  in this study. Slightly lower  $\overline{\text{GF}}$  were measured in summer months (June 2008–August 2008), while  $\overline{\text{GF}}$  was somewhat higher than average in May 2008 and September 2008. The decrease of  $\overline{\text{GF}}$

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in the summer months and the increase of  $\overline{GF}$  in May 2008 and September 2008 are probably within the natural month-to-month variations: This is also confirmed by the fact that the difference of  $\overline{GF}$  between May 2008 and May 2009 is similar in magnitude to the observed seasonal variability of  $\overline{GF}$ .

5 Monthly mean  $\kappa$ -values, determined from the observed hygroscopic growth according to Eqs. (1) and (2), varied in the narrow range between 0.17 and 0.31 and were similar for all  $D_0$  (Fig. 3b). The fact that the  $\kappa$  values are virtually independent of size throughout all seasons shows that the observed size dependence of  $\overline{GF}$  can largely be explained by the Kelvin effect. We therefore conclude that the annual mean  $\kappa$  value of  
10  $\kappa = 0.24$  (see Table 2) is a good approximation in any model that needs a simple description for the hygroscopicity of the Aitken and accumulation mode aerosol at the free tropospheric site JFJ. The monthly and annual mean values of kappa observed at the JFJ fall within the range  $\kappa = 0.3 \pm 0.1$ , which is the hygroscopicity value recommended for continental sites in a recent publication (Andreae and Rosenfeld, 2008).

15  $\overline{GF}$  at a certain dry size can vary in time because either the particles become generally more hygroscopic or because the relative amounts of more and less hygroscopic particles vary, or a combination of both. The relative importance of these two effects was further investigated by calculating the number fraction of less hygroscopic particles with a  $GF < 1.25$  ( $f_{GF < 1.25}$ ) and the mean  $GF$  of all more hygroscopic particles with a  $GF > 1.25$  ( $\overline{GF}_{GF > 1.25}$ ) as described in Sect. 2.3 and illustrated in Fig. 1. The number fraction of more hygroscopic particles with  $GF > 1.25$  is by definition equal to  $1 - f_{GF < 1.25}$ . This particular cut at  $GF = 1.25$  between the less and more hygroscopic particles was chosen because the averaged  $GF$ -PDFs at  $RH = 90\%$  typically have a minimum at  $GF \approx 1.25$  (Fig. 2), though the separation between less and more hygroscopic particles disappears at the smallest dry sizes. It has to be emphasized that the small changes of the selected cut-off had no qualitative and only a small quantitative effect on the above integral parameters.  
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$f_{GF<1.25}$  gives an idea about the influence of less hygroscopic compounds on  $\overline{GF}$ . Less hygroscopic compounds possibly contributing to  $f_{GF<1.25}$  at the JFJ are organics, soot or dust. Monthly mean values of  $f_{GF<1.25}$  range between 0.07 and 0.40. The more hygroscopic mode is clearly limited to  $GF>1.25$  at dry sizes  $D_0 \geq 75$  nm, whereas at dry sizes  $D_0 \leq 50$  nm the leading tail of the single broad mode extends to  $GF<1.25$  (Fig. 2). This explains why  $f_{GF<1.25}$  is consistently larger for the smallest measured dry sizes compared to the larger measured dry sizes (Table 2).

Monthly mean  $\overline{GF}_{GF>1.25}$  varied between 1.36 and 1.58 for the various  $D_0$  throughout the year, with systematically larger values at larger measured dry diameters (Table 2).

Both  $f_{GF<1.25}$  and  $\overline{GF}_{GF>1.25}$  showed little seasonal variability though a small trend of slightly smaller  $f_{GF<1.25}$  and  $\overline{GF}$  during summer was observed (Fig. 3b and c), as previously mentioned. Smaller  $f_{GF<1.25}$  and smaller  $\overline{GF}_{GF>1.25}$  have a compensating effect on the overall mean GF,  $\overline{GF}$ , thereby explaining why  $\overline{GF}$  was not significantly different during summer (Fig. 3a).

In a further analysis separated mean GF-PDFs were determined for the following subsets of the whole data set: free tropospheric (FT) conditions excluding Saharan dust events (SDE), strong SDE, as well as for the four seasons winter, spring, summer and autumn. The results of this analysis along with the overall mean GF-PDF are shown in Fig. 4 as box plots of GF-percentiles for the dry diameters 50, 110 and 265 nm. GF-percentiles are equivalent to a simplified GF-PDF because each GF-percentile corresponds to a single point of the cumulative distribution function. One advantage of using GF-percentiles is that this makes the results obtained by different inversion approaches directly comparable.

The GF-percentiles as well as the mean GF observed during the FT conditions are almost equal to those of the overall annual mean for all dry sizes (Fig. 4 and Table 2). However, a detailed analysis of diurnal cycles and weather classes presented below in Sect. 3.4 shows why PBL influence has no effect on the annual mean hygroscopicity

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of the aerosol, even though growth factors are significantly lowered during periods with PBL influence.

The box plots of the four seasons (Fig. 4 and Table 2) confirm that there is no distinct seasonal variability in the GF at JFJ. The aerosol was most hygroscopic during autumn, which is mainly caused by the high values observed in September (Fig. 3) and most likely within natural variability. The other notable difference is that at  $D_0=265$  nm the particles' 10th and 25th percentile GF were lower in winter compared to the other seasons, while the 50th, 75th and 90th percentile GF were similar. This means that very small GFs were more frequently observed, whereas the upper half of the GF-PDF remained unchanged. More frequent influence from Saharan dust is a possible reason for the appearance of those particles with  $GF < 1.2$ , though dust from construction works (rock drilling works conducted around the station during this particular winter) and wind erosion of local rock material may have had an influence on our measurements too.

### 3.2 Aerosol hygroscopicity during Saharan dust events

Saharan dust events increase the total mass and change the integral optical properties of the aerosol at the JFJ significantly (Cozic et al., 2008; Collaud Coen et al., 2004). Both mass and optical properties are dominated by the large accumulation mode and coarse mode particles. Collaud Coen et al. (2004) have shown that SDEs at the JFJ can be detected via the wavelength dependence of the single scattering albedo. Unfortunately the aerosol parameters required for this SDE criterion were not available for the whole 13-month period. Since SDE periods are also characterized by an enhanced fraction of large particles we defined strong SDE periods as

$$\frac{n_{D>1\mu\text{m}}}{(n_{\text{SMPS}} + n_{D>1\mu\text{m}})} \geq 6 \times 10^{-4}, \quad (3)$$

where  $n_{D>1\mu\text{m}}$  is the number concentration of particles with optical diameter  $D > 1 \mu\text{m}$  as measured by the OPC, and  $n_{\text{SMPS}}$  is the integrated number concentration of particles

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with mobility diameter in the range  $D=17\text{--}600$  nm as measured by the SMPS. The validity of this criterion was cross-checked against the criterion by Collaud Coen et al. (2004) for all times, when all necessary aerosol parameters were available (see Appendix A).

HTDMA data allow us to investigate whether SDE particles are also present at diameters  $D_0 \leq 265$  nm. This was investigated using data from shorter campaigns at JFJ and it was found that a substantial fraction of the  $D_0=250$  nm particles can be non-hygroscopic dust during extreme SDEs (Sjogren et al., 2008), while no SDE effect was seen at  $D_0 \leq 100$  nm. The 13-month data set of this study (see Table 2, Figs. 2 and 4) shows now that typical strong SDE events have no influence on the aerosol hygroscopicity at diameters up to  $D_0=165$  nm. Even at  $D_0=265$  nm only very little influence of SDE was observed: the mean GF during all strong SDE was slightly smaller compared to the overall annual mean value because the number fraction of particles with  $GF < 1.2$  increased slightly, while the dominant mode of more hygroscopic particles remained unchanged (Table 2, Figs. 2f and 4). Therefore the distinct SDE effect at  $D_0=250$  nm reported by Sjogren et al. (2008) can be considered to be a rare and extreme event.

The results of this study show that dust particles during SDEs have no significant influence on the total particle number concentration, because the number fraction of aerosol particles with  $D_0 > 265$  nm is negligible at the JFJ, even during SDE, and the number fraction of dust particles becomes vanishingly small at  $D_0 < 265$  nm. As a consequence dust particles are not relevant for the total number of CCN at this site and with that they are unlikely to have an indirect climate effect through the formation of cloud droplets. However, they may still influence mixed-phase and ice clouds, if they were to act as ice nuclei.

Fierz-Schmidhauser et al. (2010a) have shown that the aerosol optical properties are strongly influenced by SDE at the JFJ. In contrast to the HTDMA observations, the light scattering enhancement factor  $f(\text{RH})$  at elevated RH — an integral optical property of the aerosol — dropped considerably during a SDE. The observation that SDEs influence  $\sigma_{\text{sp}}$  and  $f(\text{RH})$  without increasing  $n_{\text{tot}}$  nor decreasing GF at  $D_0 < 265$  nm at the

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same time can be explained by the fact that the dust particles are not very numerous but non-hygroscopic and predominantly in the coarse mode size range (see Fig. A1), with over-proportionally large scattering cross section. This shows, in agreement with the results by Collaud Coen et al. (2004), that Saharan dust particles at the JFJ can alter the integral optical properties of the aerosol and their RH-dependence significantly, and with that they can have an influence on the direct aerosol effect.

### 3.3 Diurnal cycles of the aerosol hygroscopicity

Most of the time the JFJ is in the lower free troposphere, though influence from injections of PBL air can sometimes be observed as distinct diurnal variations in the extensive aerosol parameters, particularly during convective summer days. Here we investigate the diurnal patterns of the hygroscopic growth factors for different weather classes along with the total aerosol number concentration,  $n_{\text{tot}}$  and the scattering coefficient at  $\lambda=550$  nm,  $\sigma_{\text{sp},550}$ , in order to see whether PBL injections or other processes have a significant influence on aerosol hygroscopicity.

PBL influence at the JFJ is known to increase both  $n_{\text{tot}}$  and  $\sigma_{\text{sp},550}$  (Weingartner et al., 1999; Nyeki et al., 1998). By contrast, new particle formation events mainly increase  $n_{\text{tot}}$  due to high concentrations of nucleation mode particles (Weingartner et al., 1999), whereas  $\sigma_{\text{sp},550}$  hardly increases. Thus,  $n_{\text{tot}}$  and  $\sigma_{\text{sp},550}$  are used here to distinguish between influence from PBL injections or new particle formation events.

A distinct diurnal pattern in  $\sigma_{\text{sp},550}$  (Fig. 5h) and  $n_{\text{tot}}$  (Fig. 5a) with an amplitude of more than factor of 2 and maxima in the afternoon was observed during summer for the convective anticyclonic weather class, due to influence from thermally driven PBL injections during daytime.  $\overline{\text{GF}}$  exhibited a concurrent diurnal pattern though with opposite sign, i.e. a minimum in the early afternoon. The maximum of  $\overline{\text{GF}}$  occurs in the early morning and is higher than the maximum  $\overline{\text{GF}}$  during any other weather class. The diurnal pattern of  $\overline{\text{GF}}$  spans  $\kappa$  values from  $\sim 0.33$ – $0.15$  and  $\sim 0.27$ – $0.20$  at  $D_0=35$  nm and 265 nm, respectively, such that the diurnal mean  $\kappa$  value remains similar to the other weather classes. The decrease of  $\overline{\text{GF}}$  in the afternoon indicates that the aerosol

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from PBL injections is typically somewhat less hygroscopic, which can be explained by a relatively larger fraction of less hygroscopic compounds such as organic matter compared to more hygroscopic inorganic salts (Cozic et al., 2008). The diurnal pattern of  $\overline{GF}$  is mainly caused by a decrease of the GF of the main more hygroscopic mode ( $GF_{GF>1.25}$ ; Fig. B2). The number fraction of less hygroscopic particles ( $f_{GF<1.25}$ ; Fig. B1), exhibits little diurnal variation at diameters larger than 110 nm. The afternoon maximum of  $f_{GF<1.25}$  at diameters smaller than 110 nm can be attributed to a shift of the main broad growth mode (Fig. 2) to smaller GFs, such that part of it falls below  $GF=1.25$ . Less pronounced diurnal patterns were also observed during spring and autumn for the convective anticyclonic class, whereas no clear diurnal pattern is observed during winter.

In summary, the convective anticyclonic weather class is clearly influenced by PBL injections during the warmer months, which results in the highest absolute values and the strongest seasonal variation of the  $\sigma_{sp,550}$  and  $n_{tot}$ , as well as in the strongest diurnal amplitudes of  $\sigma_{sp,550}$ ,  $n_{tot}$ , and  $\overline{GF}$  out of all weather classes. Similar diurnal patterns though clearly less pronounced were also observed for the cyclonic indifferent weather class during the warmer season. These findings agree with the more detailed study of the extensive aerosol properties at the JFJ by Collaud Coen et al. (2010).

For the convective cyclonic, mixed and advective weather classes neither  $\sigma_{sp,550}$  (Fig. 5h) nor  $\overline{GF}$  (Figs. 5b–g) exhibited a distinct diurnal pattern and the absolute values of the extensive aerosol properties are clearly lower than for the convective anticyclonic weather class. This reveals that PBL influence is only minor or completely absent for these three weather classes throughout the whole year, in accordance with the results by Collaud Coen et al. (2010). On the other hand  $n_{tot}$  often shows a maximum in the afternoon, which can partially be attributed to new particle formation events. Condensation of less hygroscopic secondary organic matter on existing Aitken and accumulation mode particles during new particle formation events could in principle decrease  $\overline{GF}$ . However, this effect was not clearly observed, except possibly for the

smaller dry diameters during advective weather situations.

The above analysis revealed that the influence from PBL injections typically decreases aerosol hygroscopicity. However, the fact that the annual mean GF-PDFs of the whole data set and of the free tropospheric conditions only are equal shows that the PBL influence has essentially no effect on the annual mean hygroscopic properties. This can be explained by two reasons: First, the effect of PBL influence on the hygroscopicity is relatively small, i.e. the maxima and minima of  $\overline{GF}$  are  $\sim 1.5$  and  $\sim 1.3$ , respectively, for those weather situations with the strongest diurnal amplitude. Second, those conditions (weather class, season, and time of day) with lowered aerosol hygroscopicity due to PBL influence cover only a minor fraction of the whole year. By contrast, the PBL influence causes a distinct seasonal pattern of the extensive aerosol properties  $\sigma_{sp,550}$  and  $n_{tot}$ , because the maxima and minima of these quantities differ by more than a factor of 2 (see Fig. 5a and h), for those weather situations with the strongest diurnal amplitude.

### 3.4 Influence of synoptic scale wind direction

The above analyses showed that the aerosol properties measured at the JFJ are representative of the lower free troposphere most of the time, even though PBL influence is clearly seen for certain weather classes. The analysis of this section aims at addressing the question whether the aerosol hygroscopicity observed at the JFJ is just locally representative for the lower free troposphere or on a larger scale. For this purpose we split all data from the advective AWS classes by the synoptic scale wind direction (north, east, south and west, as described in Table 1). The advective classes were chosen because horizontal motion of the atmosphere dominates over thermally driven convection under these conditions, which is reflected in the absence of a distinct diurnal pattern in  $\sigma_{sp,550}$  (Fig. 5h) and in  $\overline{GF}$  (Fig. 5b–g).

Statistics of the aerosol parameters  $\overline{GF}$ ,  $f_{GF < 1.25}$ ,  $\overline{GF}_{GF > 1.25}$ ,  $n_{tot}$  and  $\sigma_{sp,550}$  separated by wind direction are shown as box plots in Fig. 6a–e. The size dependence of

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$\overline{GF}$  for the various advective weather classes showed the same trend as previously presented (Figs. 2 and 4, and Table 2) and discussed (Sect. 3.1). The integral hygroscopicity properties  $\overline{GF}$  (Fig. 6a),  $f_{GF<1.25}$  (Fig. 6b), and  $\overline{GF}_{GF>1.25}$  (Fig. 6c) are virtually equal for all four synoptic wind directions at any dry size. The small differences  
5 in the mean  $\overline{GF}$  for different wind directions correspond to a difference of as little as  $\Delta\kappa=\pm 0.02$  compared to the overall mean  $\kappa$  value at the corresponding dry size. This indicates that the hygroscopic properties observed at the JFJ can be considered to be representative of the lower free troposphere on at least a regional if not continental scale.

Nevertheless, there are subtle differences between the different wind directions. The mean value as well as the 75th and 90th percentiles of  $\sigma_{sp,550}$  were highest for the southern advective subclass (Fig. 6e). The other feature for southern advection is that the 10th and 25th percentiles of  $\overline{GF}$  at  $D_0=265$  nm are clearly lower than for the other wind directions (Fig. 6a). These lowered  $\overline{GF}$  are caused by an increased fraction of less  
10 hygroscopic particles (cf. 90th and 75th percentiles of  $f_{GF<1.25}$  in Fig. 6b), whereas the mean  $\overline{GF}$  of the more hygroscopic mode remains unchanged (cf.  $\overline{GF}_{GF>1.25}$  in Fig. 6c).

A more detailed analysis revealed that these outstanding high  $\sigma_{sp,550}$  and high  $f_{GF<1.25}$  values during the southern advective weather classes have different causes because they did not occur simultaneously. The high  $\sigma_{sp,550}$  values, reflecting high  
15 aerosol loadings, can likely be attributed to sources in the polluted Po valley south of the Alps. The hygroscopic growth factors observed during these episodes were similar to the other weather conditions. SDEs can be ruled out as a cause for the high  $f_{GF<1.25}$  at  $D_0=265$  nm, as occasionally observed during the southern advective weather classes. The influence of SDE on hygroscopic growth factor distributions has generally been  
20 shown to be small (Sect. 3.2) and excluding all SDEs from the analysis presented in Fig. 6 did not change anything. The high  $f_{GF<1.25}$  at  $D_0=265$  nm typically occurred during periods with very low aerosol loadings, thereby bringing a different hypothesis into play. The most precipitation on the southern upslope side of the Alps occurs during

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the southern advective weather classes (Lugauer et al., 1998). The occurrence of high  $f_{GF<1.25}$  at  $D_0=265$  nm could be caused by cloud formation and precipitation leading to activation and removal of most particles with  $D_0=265$  nm, except for the least hygroscopic ones. This effect would be less important at the smaller particle diameters shown in Fig. 6, where the number fraction of CCN active particles decreases rapidly (Henning et al., 2002). Besides the above hypothesis, the influence from the rock drilling works, conducted around the station during winter 2008/2009, cannot be fully excluded as a source of additional non-hygroscopic particles at  $D_0=265$  nm specific to southern advective weather classes.

The northern and eastern advective subclasses are characterized by highest  $n_{tot}$  values (Fig. 6d), whereas  $\sigma_{sp,550}$  values (Fig. 6e) are in between the western and southern subclasses. This is possibly caused by more frequent or stronger new particle formation events.

## 4 Conclusions

Hygroscopic diameter growth factors of the submicron free tropospheric aerosol at the high alpine site Jungfraujoch (JFJ) were measured during a 13-month period, resulting in more than 60 000 HTDMA scans from the dry sizes  $D_0=35, 50, 75, 110, 165,$  and  $265$  nm. Annual mean hygroscopic growth factors were found to increase with increasing particle diameters. However, this size dependence can largely be attributed to the Kelvin effect because corresponding  $\kappa$  values are virtually independent of size. Therefore the size dependence of chemical composition in the submicron size range can also be expected to be small. No clear seasonal pattern beyond random natural variability was observed for the hygroscopic growth factors. Consequently a constant and size-independent  $\kappa$  value of  $\sim 0.24$  is a good approximation in any model that needs a simple description of the Aitken and accumulation mode aerosol at the JFJ site. This value falls within the range of  $\kappa$  recommended in a previous study for continental aerosols (Andreae and Rosenfeld, 2008).

The JFJ is also frequently influenced by Saharan dust events (SDE). A comparison of hygroscopic behavior during SDEs shows that the number fraction of mineral dust particles remains negligibly small at  $D_0 < 265$  nm, even during typical strong SDEs.

A detailed analysis of diurnal patterns was done for different weather classes in order to determine the potential influence from injections of PBL air. During the warmer months a distinct maximum of aerosol loadings in the early afternoon was observed for the convective anticyclonic weather class, and to a lesser extent also for the convective indifferent weather class. Aerosol hygroscopicity decreased concurrently with the increase of aerosol loadings. No distinct diurnal patterns were observed for the other seasons and weather classes. A comparison of aerosol hygroscopicity during FT conditions with the complete data set revealed that the effect of PBL influence disappears in the annual mean hygroscopicity data.

Aerosol hygroscopicity was found to be virtually independent of synoptic wind direction during advective weather situations, i.e. when horizontal motion of the atmosphere dominates over thermally driven convection. This is, along with the above results, a strong indication that the hygroscopic behavior of the aerosol observed at the JFJ can be considered to be representative of the lower free troposphere on at least a regional if not continental scale.

The aerosol hygroscopicity data obtained in this study are useful for several other purposes. Jurányi et al. (2010) showed in a composition-hygroscopicity-CCN closure study for the JFJ aerosol, that HTDMA data are suitable for predictions of CCN number concentrations from particle number size distribution data if the chemical composition is unknown. This data set also provides the information required to quantify the influence of ambient RH on light scattering by aerosol particles, which is routinely measured at the JFJ site at dry RH.

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## Appendix A

### Determination of Saharan dust events

Dry measurements of the light scattering coefficient and the light absorption coefficient were used to calculate the wavelength dependence of the single scattering albedo (SSA, defined as the fraction of total light extinction caused by scattering). The latter can be used as a criterion for Saharan dust events (SDE), since the wavelength dependence of the SSA in the presence of substantial amounts of mineral dust is inverse compared to non-SDE, so that the power law exponent of the SSA becomes negative (Collaud Coen et al., 2004). Not all parameters to calculate the power law exponent of the SSA were available for the full 13-month period, therefore we use the number fraction of coarse mode particles,  $n_{D>1\mu\text{m}}/(n_{\text{SMPS}} + n_{D>1\mu\text{m}})$  as an alternative indicator of SDE. A scatter plot of the two SDE criteria is shown in Fig. A1 for all times when both of them were simultaneously available. The number fraction of coarse mode particles increases with decreasing power law exponent of the SSA, i.e. with increasing strength of the SDEs. We chose a conservative lower limit of the coarse mode fraction (see Eq. 3) for our SDE criterion such that only strong SDE, when also the SSA-criterion would indicate an SDE, are included (purple markers in Fig. A1).

## Appendix B

### Diurnal cycles of hygroscopicity parameters

Analysis of diurnal cycles of  $f_{\text{GF}<1.25}$  (Fig. B1) and  $\overline{\text{GF}}_{\text{GF}>1.25}$  (Fig. B2) for different weather classes and seasons was done in exactly the same way as for  $\overline{\text{GF}}$  (Sect. 3.1 and Fig. 5) in order to provide complementary information on the reason for diurnal patterns of  $\overline{\text{GF}}$ . Note,  $n_{\text{tot}}$  (panel A in Figs. B1 and B2) and  $\sigma_{\text{sp},550}$  (panel H in Figs. B1 and B2) are identical to those shown in Fig. 5.

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**Table 1.** Weather classes according to the Alpine Weather Statistics (AWS): three main classes are defined and thereof eight sub-classes. Sub-classes are characterized by the synoptic scale motion present.

Main weather class	Sub-class	Synoptic motion
Convective	Cyclonic	Lifting
	Indifferent	Small scale circulations
	Anticyclonic	Subsidence
Mixed	–	Active cyclone or jet flow
Advective	North	NW-N at 500 hPa
	East	NE-SE at 500 hPa
	South	S-SW at 500 hPa
	West	W at 500 hPa

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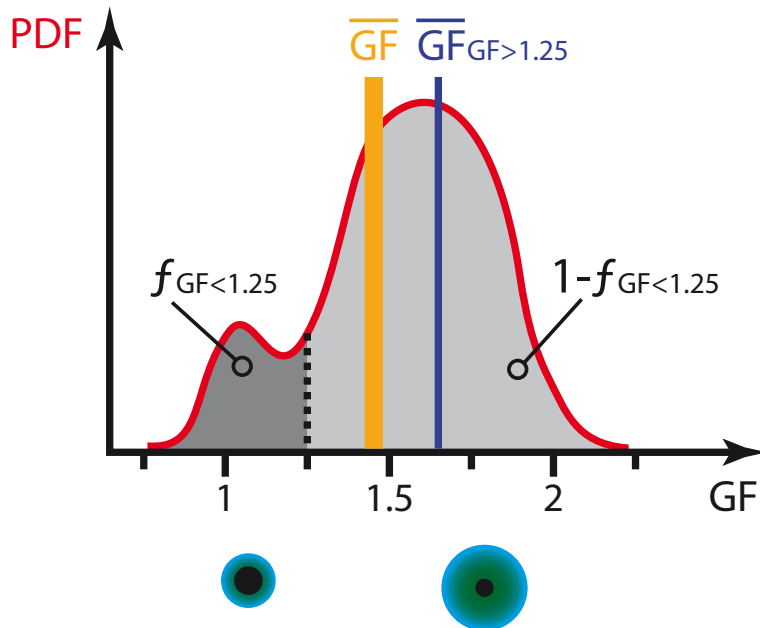
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**Table 2.** Mean values for dry diameters ( $D_0$ ) of 50, 110, 265 nm of the mean hygroscopic growth factor ( $\overline{GF}$ ) at 90% RH, of the hygroscopicity parameter  $\kappa$ , of the number fraction of the GF-PDF  $<1.25$  ( $f_{GF<1.25}^*$ ), of the GF of all more hygroscopic particles with  $GF>1.25$  ( $\overline{GF}_{GF>1.25}$ ), and 10th, 25th, 50th, 75th, and 90th percentile of the mean GF-PDF. Data are reported for the complete 13-month data set, for strong Saharan dust events, for free troposphere conditions (2 a.m.–8 a.m. UTC=CET-1 h), and for the seasons winter, spring, summer, and autumn.

	$D_0$	$\overline{GF}$	$\kappa$	$f_{GF<1.25}^*$	$\overline{GF}_{GF>1.25}$	percentiles of the GF-PDF*				
Annual mean (all months)	50 nm	1.34	0.21	0.31	1.42	1.11	1.22	1.35	1.47	1.57
	110 nm	1.43	0.24	0.17	1.49	1.13	1.33	1.46	1.56	1.64
	265 nm	1.46	0.25	0.17	1.53	1.1	1.35	1.51	1.6	1.68
Free troposphere conditions (no Saharan dust events)	50 nm	1.36	0.22	0.27	1.43	1.12	1.24	1.37	1.49	1.58
	110 nm	1.45	0.26	0.15	1.5	1.14	1.35	1.48	1.58	1.66
	265 nm	1.47	0.26	0.16	1.54	1.12	1.37	1.52	1.61	1.69
Only strong Saharan dust events (all months)	50 nm	1.35	0.22	0.33	1.44	1.09	1.19	1.36	1.51	1.59
	110 nm	1.44	0.25	0.16	1.5	1.11	1.32	1.48	1.58	1.65
	265 nm	1.42	0.22	0.26	1.53	1.02	1.2	1.48	1.6	1.67
Winter (Dec, Jan, Feb)	50 nm	1.3	0.18	0.38	1.39	1.08	1.18	1.31	1.42	1.51
	110 nm	1.41	0.23	0.19	1.48	1.08	1.32	1.45	1.54	1.62
	265 nm	1.42	0.22	0.25	1.53	1.03	1.24	1.48	1.59	1.66
Spring (Mar, Apr, May)	50 nm	1.35	0.22	0.26	1.42	1.12	1.24	1.37	1.48	1.56
	110 nm	1.44	0.25	0.14	1.48	1.15	1.32	1.44	1.54	1.61
	265 nm	1.48	0.27	0.14	1.55	1.12	1.33	1.48	1.58	1.65
Summer (Jun, Jul, Aug)	50 nm	1.34	0.21	0.32	1.42	1.13	1.22	1.34	1.47	1.57
	110 nm	1.4	0.22	0.19	1.46	1.15	1.29	1.42	1.53	1.62
	265 nm	1.45	0.24	0.13	1.5	1.21	1.36	1.47	1.57	1.65
Autumn (Sep, Oct, Nov)	50 nm	1.38	0.24	0.28	1.46	1.1	1.23	1.4	1.53	1.61
	110 nm	1.47	0.27	0.16	1.53	1.13	1.36	1.52	1.61	1.68
	265 nm	1.49	0.27	0.17	1.56	1.1	1.39	1.55	1.63	1.71

\* at RH = 90%.



**Fig. 1.** Schematic growth factor probability density function (GF-PDF, red curve) obtained after the inversion of HTDMA data. The total area under the GF-PDF (dark grey plus light gray area) is unity by definition. The mean GF of the GF-PDF,  $\overline{GF}$ , is indicated by the orange vertical line. The number fraction of the less hygroscopic particles with  $GF \leq 1.25$ ,  $f_{GF < 1.25}$ , is indicated by the dark grey shading, and the mean GF of all more hygroscopic particles (i.e. all those with  $GF > 1.25$ , light grey shading),  $\overline{GF}_{GF > 1.25}$ , is indicated by the vertical dark blue line. For illustration, a less hygroscopic particle with an insoluble core and a thin layer of water as well as a more hygroscopic particle with a small insoluble core with a thick layer of aqueous solution are shown at their approximate position below the GF scale.

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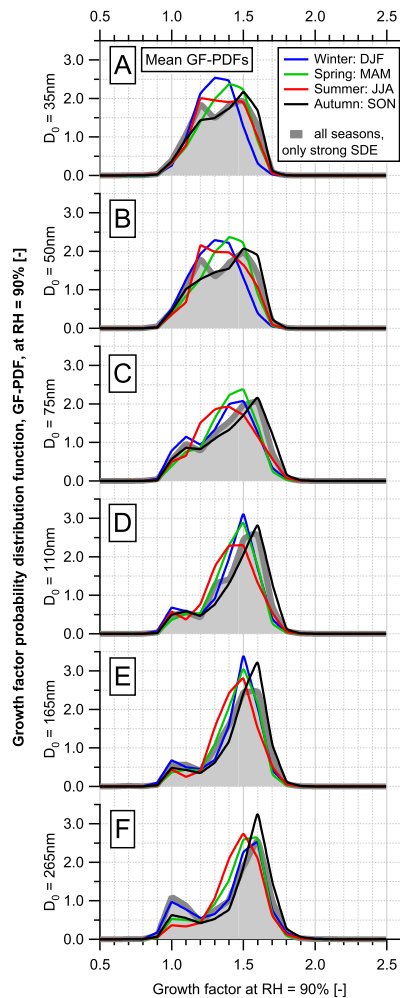
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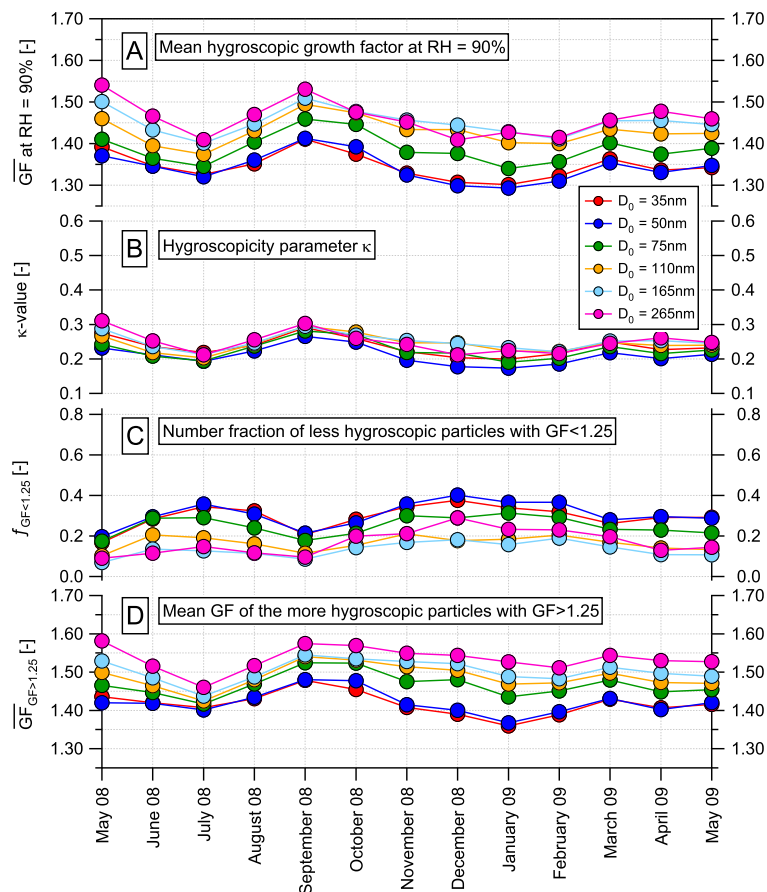
**Fig. 2.** Mean GF-PDFs for different dry sizes split by season as well as for strong Saharan dust events (SDE) only.

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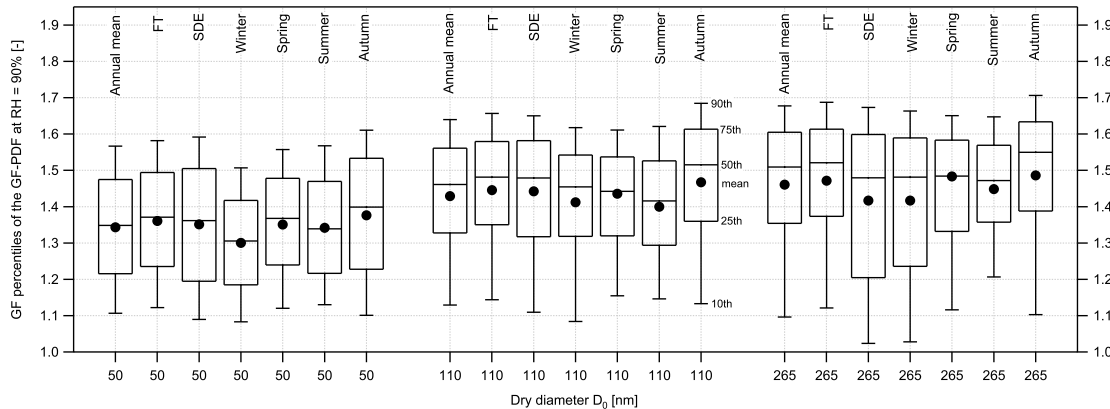


**Fig. 3.** Annual cycles **(A)** of the mean hygroscopic growth factor ( $\overline{\text{GF}}$ ) at 90% RH, **(B)** of the corresponding hygroscopicity parameter  $\kappa$ , **(C)** of the number fraction of less hygroscopic particles with  $\text{GF} < 1.25$ ,  $f_{\text{GF} < 1.25}$ , and **(D)** of the mean GF of the more hygroscopic particles with  $\text{GF} > 1.25$ ,  $\overline{\text{GF}}_{\text{GF} > 1.25}$ .

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**Fig. 4.** 10th, 25th, 50th, 75th, and 90th percentile of the GF-PDF for  $D_0=50$ , 110, and 265 nm for the complete 13-month data set, for strong Saharan dust events, for free troposphere conditions (2 a.m.–8 a.m. UTC=CET-1 h) and as well as for the seasons winter, spring, summer, and autumn.

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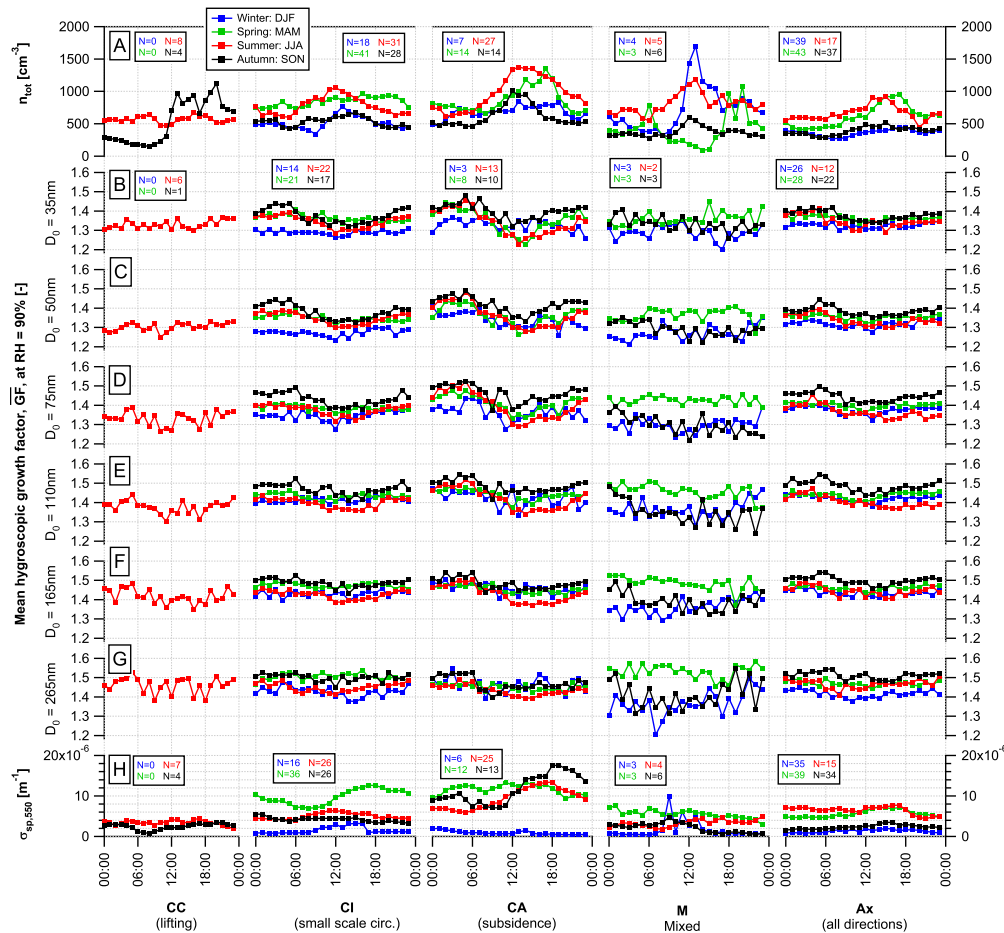
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**Fig. 5.** Diurnal cycles (A) of the median of the total number concentration, (B–G) of the mean hygroscopic growth factor ( $\overline{GF}$ ) at 90% RH for  $D_0=35, 50, 75, 110, 165, 265$  nm, and (H) of the scattering coefficient at the wavelength  $\lambda=550$  nm (green light). Data was split by the daily weather classes from the Alpine Weather Statistics and by season.

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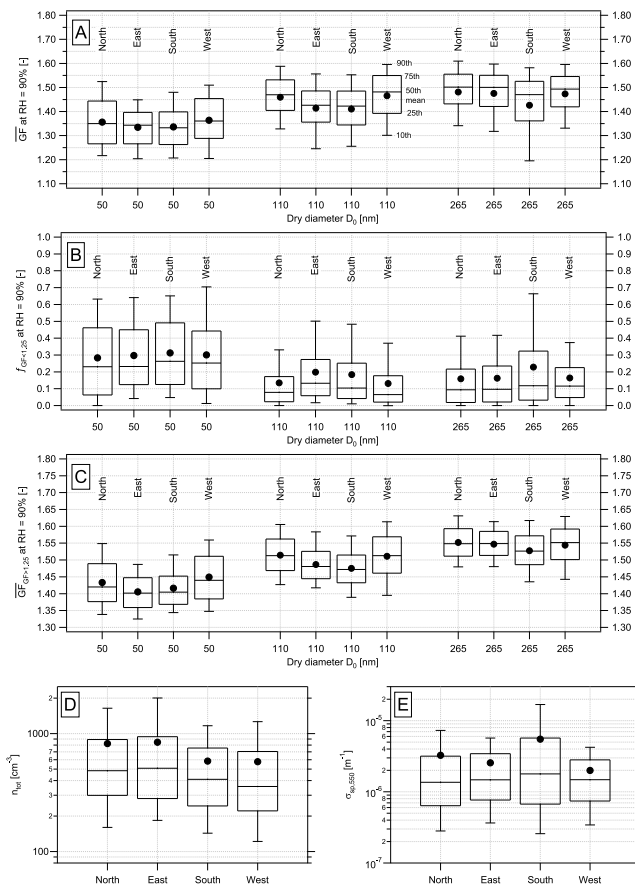
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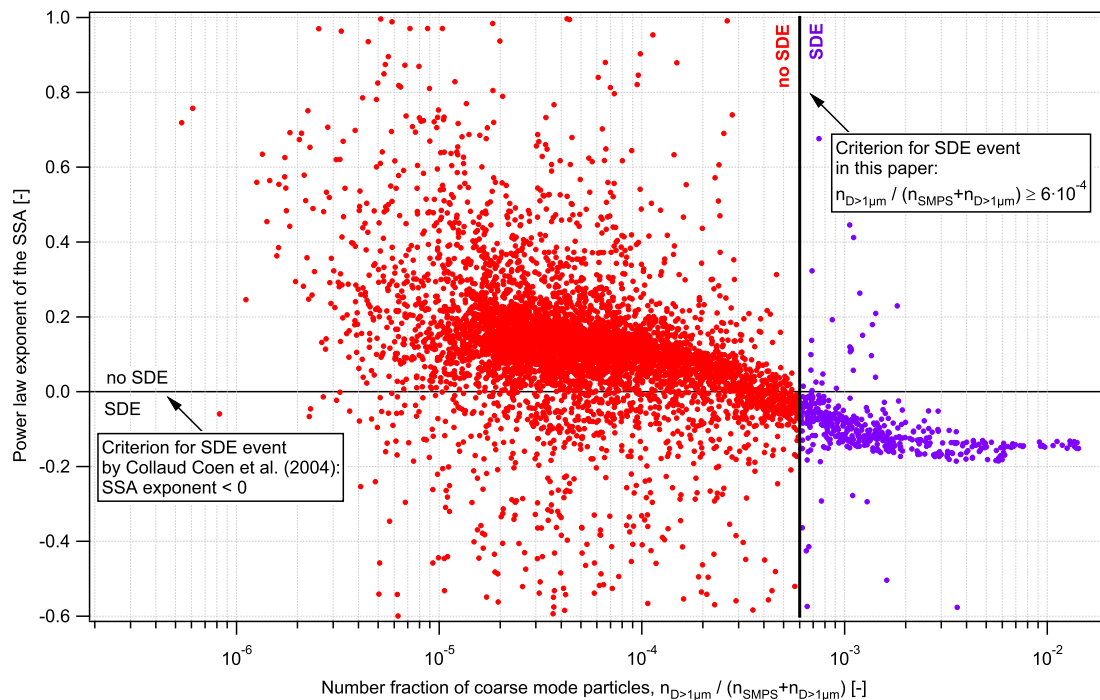


**Fig. 6.** Percentiles and mean values for advective weather situations clustered by the synoptic scale motions north, east, south, and west at 500 hPa for (A) the mean growth factor ( $\overline{GF}$ ) at RH=90% for  $D_0=50$ , 110, and 265 nm, (B) the number fraction of less hygroscopic particles with  $GF < 1.25$ ,  $f_{GF < 1.25}$ , (D) the mean GF of the more hygroscopic particles with  $GF > 1.25$ ,  $\overline{GF}_{GF > 1.25}$ , (E) the total number concentration, and (C) the scattering coefficient at the wavelength  $\lambda=550$  nm. Box plots with whiskers show 10th, 25th, 50th, 75th, and 90th percentiles; dots represent mean values.

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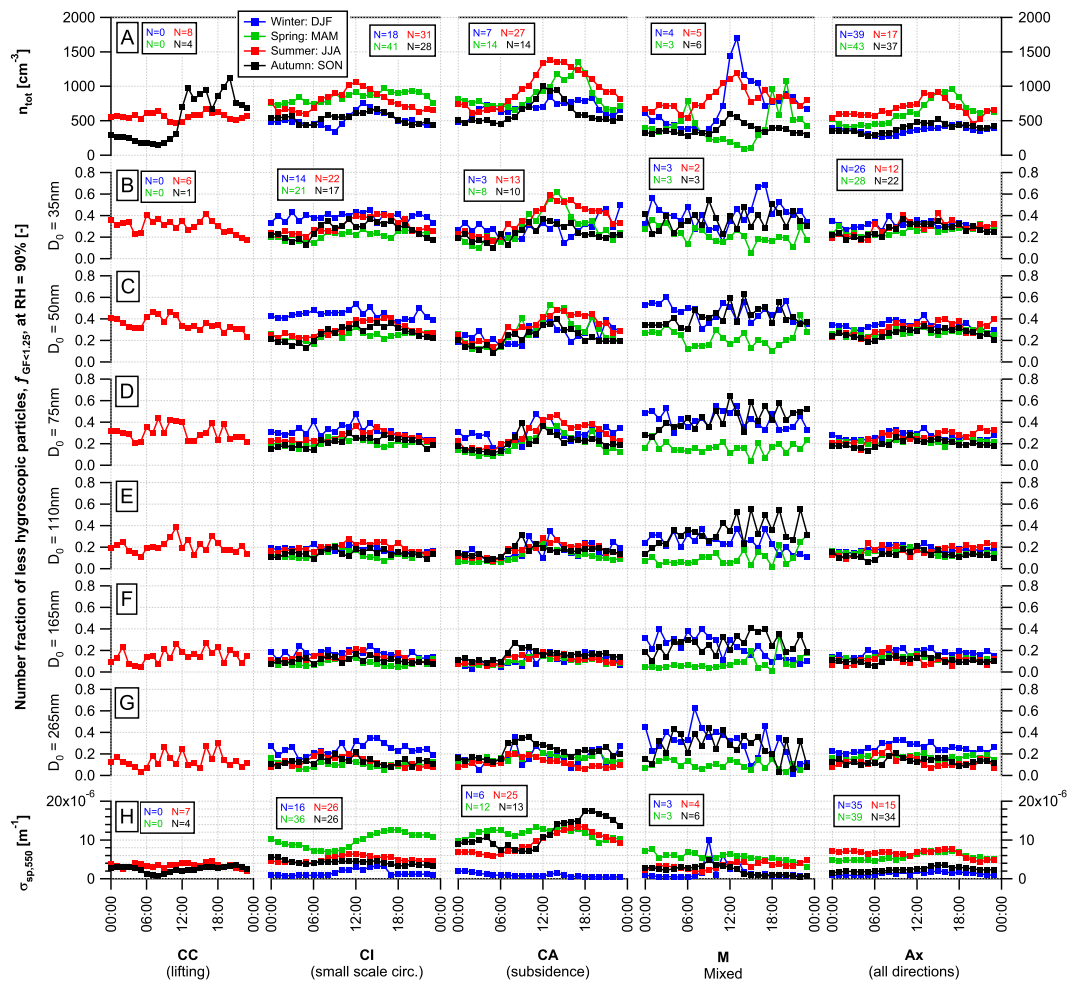


**Fig. A1.** 4-h average values of the power law exponent of the single scattering albedo versus the number fraction of coarse mode particles.

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**Fig. B1.** Similar to Fig. 4, except that  $f_{GF<1.25}$  is shown instead of  $\overline{GF}$  (panels B-G).

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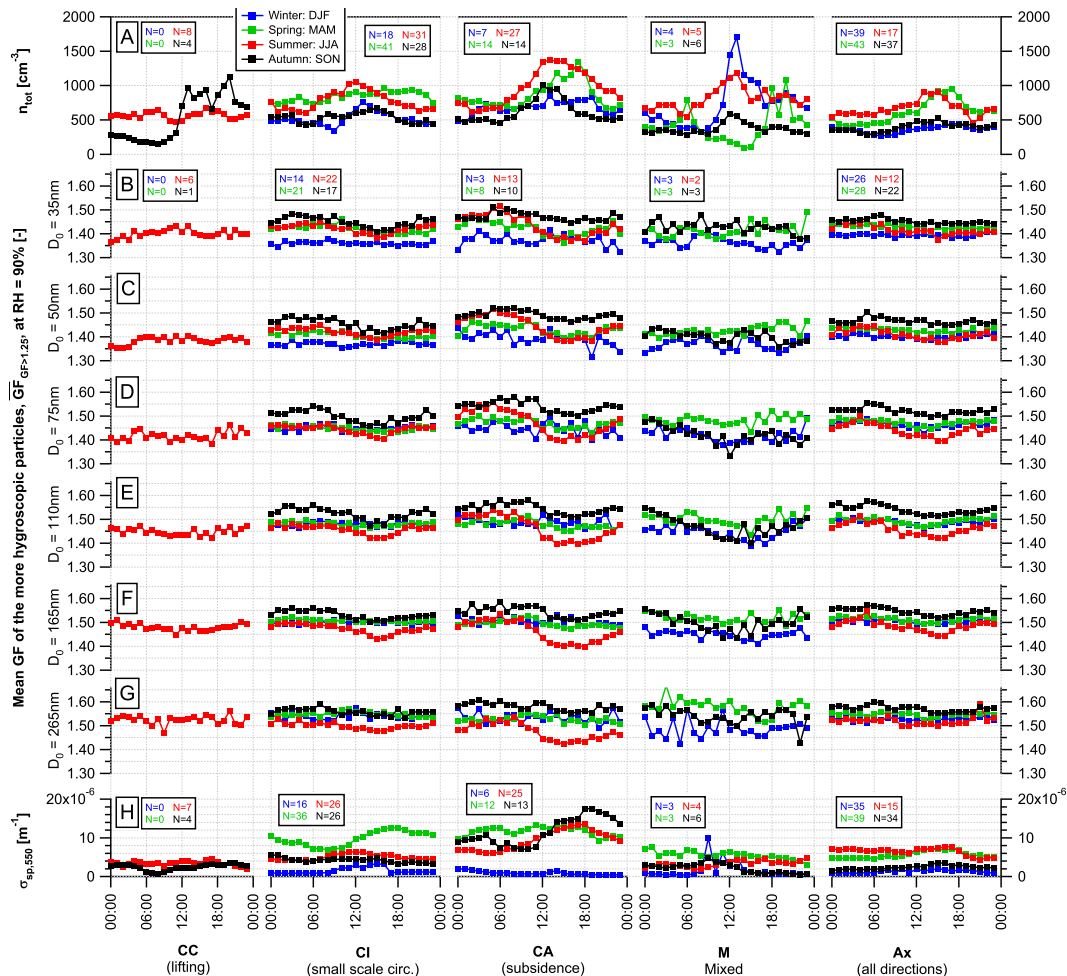
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**Fig. B2.** Similar to Fig. 4, except that  $\overline{GF}_{GF>1.25}$  is shown instead of  $\overline{GF}$  (panels B-G).

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