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# Seasonal cycle and temperature dependence of pinene oxidation products, dicarboxylic acids and nitrophenols in fine and coarse air particulate matter

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## Abstract

Filter samples of fine and coarse air particulate matter (PM) collected over a period of one year in central Europe (Mainz, Germany) were analyzed for water-soluble organic compounds (WSOCs), including the  $\alpha$ - and  $\beta$ -pinene oxidation products pinic acid, pinonic acid and 3-methyl-1,2,3-butanetricarboxylic acid (3-MBTCA), as well as a variety of dicarboxylic acids and nitrophenols. Seasonal variations and other characteristic features in fine, coarse, and total PM (TSP) are discussed with regard to aerosol sources and sinks in comparison to data from other studies and regions. The ratios of adipic acid and phthalic acid to azelaic acid indicate that the investigated samples were mainly influenced by biogenic sources. A strong Arrhenius-type correlation was found between the 3-MBTCA concentration and inverse temperature ( $R^2=0.79$ ,  $n=52$ ,  $E_a=126\pm 10\text{ kJ mol}^{-1}$ , temperature range 275–300 K). Model calculations suggest that the temperature dependence observed for 3-MBTCA can be explained by enhanced photochemical production due to an increase of hydroxyl radical (OH) concentration with increasing temperature, whereas the influence of gas-particle partitioning appears to play a minor role. The results indicate that the OH-initiated oxidation of pinonic acid is the rate-limiting step in the formation of 3-MBTCA, and that 3-MBTCA may be a suitable tracer for the chemical aging of biogenic secondary organic aerosol (SOA) by OH radicals. An Arrhenius-type temperature dependence was also observed for the concentration of pinic acid ( $R^2=0.60$ ,  $n=56$ ,  $E_a=84\pm 9\text{ kJ mol}^{-1}$ ); it can be tentatively explained by the temperature dependence of biogenic pinene emission as the rate-limiting step of pinic acid formation.

## 1 Introduction

Water-soluble organic compounds (WSOCs) are major components of atmospheric aerosols, accounting for up to ~50% of the organic fraction of particulate matter. They can influence the optical properties and hygroscopicity of aerosol particles and their

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effects on climate. WSOCs originate from primary emissions like fossil fuel combustion and biomass burning as well as from secondary formation in the atmosphere, i.e., photooxidation of anthropogenic or biogenic precursors (Pöschl, 2005).

Organic acids are a prominent group of WSOCs and were found in urban, rural, 5 marine and polar aerosols in various regions around the world as detailed below (Kawamura and Ikushima, 1993; Kawamura et al., 1996b; Kawamura and Usukura, 1993). This group includes monocarboxylic acids, dicarboxylic acids, oxocarboxylic acids and tricarboxylic acids. The total dicarboxylic acids account for 0.06–1.1% of the total aerosol mass and oxalic acid, malonic acid and succinic acid (C<sub>2</sub>–C<sub>4</sub>) are 10 the most abundant species in the dicarboxylic acid group (Kawamura and Ikushima, 1993). Dicarboxylic acids originate from a wide range of sources. Primary sources include motor exhaust (Kawamura and Kaplan, 1987), biomass combustion (Kundu et al., 2010; Lefer et al., 1994; Legrand and DeAngelis, 1996; Narukawa et al., 1999) and oceanic emissions (Mochida et al., 2003b). Secondary formation sources are the 15 photooxidation of unsaturated fatty acids and cyclic alkenes (Hatakeyama et al., 1987; Kawamura et al., 1996a). The photooxidation of biogenic hydrocarbons is a major source of secondary organic aerosol (SOA) (Hoffmann et al., 1997), and SOA formation by oxidation of pinene and other terpenes has been studied extensively (Hallquist et al., 2009). Pinic acid as a dicarboxylic acid and pinonic acid as an oxocarboxylic 20 acid are major products of the ozonolysis or OH-initiated oxidation of pinene (here and below pinene stands for both  $\alpha$ - and  $\beta$ -pinene) (Atkinson and Arey, 2003; Hatakeyama et al., 1989, 1991; Yu et al., 1999a). Further reaction of the first-generation oxidation products of pinene leads to highly oxidized, acyclic, polar compounds (Jaoui et al., 2005). Among the second-generation products of pinene photooxidation is 3-methyl- 25 1,2,3-butanetricarboxylic acid (3-MBTCA), which is formed by OH-initiated oxidation of *cis*-pinonic acid (Szmigielski et al., 2007) and was first detected in aerosol samples from Amazonia and Belgium (Kubatova et al., 2000, 2002). Another prominent group of WSOCs are nitrophenols like 2-nitrophenol and 4-nitrophenol, which have been found in urban and rural aerosol, rainwater and snow samples. They originate from primary

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sources including motor vehicle exhaust (Nojima et al., 1983; Tremp et al., 1993), coal and wood combustion, as well as decomposition and hydrolysis reactions of herbicides and insecticides (Shafer and Schonherr, 1985). Moreover, they are formed by nitration 5 of phenol and the transformation of benzaldehyde under photochemical smog conditions (Grosjean, 1985; Luttke and Levsen, 1997; Luttke et al., 1997). Road traffic is considered to be the main source of nitrophenols.

Nitrophenols are usually analyzed by gas chromatography coupled with a mass spectrometer (GC-MS), with an electron capture detector (GC-ECD), or with a nitrogen phosphorous detector (GC-NPD) (Herterich, 1991; Leuenberger et al., 1985; Lut- 10 tke et al., 1997). Belloli et al. (1999) used high performance liquid chromatography coupled with a diode array detector (HPLC-DAD) for nitrophenol detection. Most studies of carboxylic acids used GC-MS after derivatization, i.e., conversion of the acids into methyl- or butylesters (Kawamura and Ikushima, 1993). Römpp et al. (2006) and Warnke et al. (2006) applied high performance liquid chromatography coupled with a 15 mass spectrometer (HPLC-MS) for the analysis of organic acids in aerosol samples.

In this study, we apply HPLC-MS for the analysis of nitrophenols as well as carboxylic acids (aliphatic C<sub>5</sub>–C<sub>16</sub> dicarboxylic acids, phthalic, pinic and pinonic acid, 3-MBTCA) in a large set of fine and coarse aerosol particle samples collected over a period of one year in central Europe (Mainz, Germany, June 2006 to May 2007).

## 20 2 Methods

### 2.1 Collection of filter samples

Aerosol samples were collected on glass fiber filters (Pall Corporation, Type A/A, 102- 25 mm diameter) over a period of one year in Mainz, Germany (130 m a.s.l., June 2006–May 2007). The sampling station was positioned on a mast at the top of the Max-Planck-Institute for Chemistry (MPIC, ~5 m above the flat roof of the 3-story building) on the campus of the University of Mainz (49°59′31.36″ N and 8°14′15.22″ E). The air

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developed or developing cities in China;  $0.29\text{--}35.6\text{ ng m}^{-3}$ ). With regard to total suspended particles (TSP=fine plus coarse particulate matter), the mean concentrations observed in Mainz ( $0.14\text{--}5.71\text{ ng m}^{-3}$ ) were higher than values reported from Arctic regions ( $0.06\text{--}0.9\text{ ng m}^{-3}$ ), similar to values reported from Philadelphia, USA ( $\text{PM}_{10}$ ,  $0.5\text{--}3.5\text{ ng m}^{-3}$ ), and generally lower than the values reported from Tokyo, Japan, and Melpitz, Germany ( $\text{PM}_{10}$ , n.d.- $25.8\text{ ng m}^{-3}$ ). The highest mean concentrations in Mainz were observed for phthalic acid ( $\text{PM}_3$ :  $3.76\text{ ng m}^{-3}$ ; TSP:  $5.71\text{ ng m}^{-3}$ ) and for the pinene oxidation products pinic acid ( $\text{PM}_3$ :  $1.51\text{ ng m}^{-3}$ ; TSP:  $2.32\text{ ng m}^{-3}$ ) and 3-MBTCA ( $\text{PM}_3$ :  $5.89\text{ ng m}^{-3}$ ; TSP:  $6.88\text{ ng m}^{-3}$ ).

Seasonal variations of investigated compounds were studied (Figs. 1, 2 and S1), and, to our knowledge, this is the first study reporting a full annual cycle and characteristic differences in the seasonal variation of nitrophenols and 3-MBTCA. For most aliphatic dicarboxylic acids the seasonal mean concentration was highest in summer and spring, indicating biogenic or photochemical sources as discussed below (Fig. S1, online supplement). Some of the lower seasonal values found in summer could be attributed to wet deposition of water-soluble compounds due to large amount of precipitation in summer. The total precipitation recorded during the measuring period (2006–2007) amounted to 186.5 mm in summer, 107.6 mm in autumn, 136.0 mm in winter, and 121.6 mm in spring. 4-Nitrocatechol and 2-nitrophenol also exhibited maximum concentrations in summer, whereas 4-nitrophenol exhibited maximum concentrations in winter (Fig. 1). The different seasonalities can be attributed to the different sources and formation pathways as discussed below.

The most pronounced seasonal cycles and summertime maxima were observed for the pinene oxidation products 3-MBTCA, pinic acid and pinonic acid (Fig. 2), which exhibited also pronounced Arrhenius-type temperature dependencies that can be attributed to photochemistry and biogenic emissions as discussed below. The average particulate concentration level decreased with increasing volatility from 3-MBTCA (non-volatile) via pinic acid (low/semi-volatile) to pinonic acid (high/semi-volatile, see online supplement).

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The concentration of 3-MBTCA was generally higher in the fine particle fraction than in the coarse fraction. During summer and autumn the pinic acid concentration was also higher in the fine fraction than in the coarse fraction, but during winter and spring the concentration was similar in the fine and coarse fractions. The pinonic acid concentration was similar in the fine and coarse fractions during summer and autumns, but during winter and spring the concentration was higher in the coarse fraction. These differences may be related to the different volatilities and concentration levels of the three compounds, to seasonal differences in ambient temperature, and possibly also to seasonal differences in the overall aerosol particle size distribution and composition. Information about the latter parameters is unfortunately not available and further investigations would go beyond the scope of the present study. Nevertheless, we suggest and intend to investigate these effects and interactions in future studies, because they may be relevant for comprehensive characterisation, understanding and modelling of the sources and properties of organic aerosols.

### 3.2 Source attribution of dicarboxylic acids and nitrophenols

Atmospheric photooxidation of volatile and semi-volatile organic compounds is considered to be the main source of dicarboxylic acids in air particulate matter. Mochida et al. (2003a) proposed that small dicarboxylic acids containing up to 7 carbon atoms originate mostly from anthropogenic precursors. Glutaric acid ( $\text{C}_5$ ) is formed upon oxidation of cyclopentene, cyclohexene and glutardialdehyde (Winterhalter et al., 2009). Adipic acid ( $\text{C}_6$ ) originates mainly from the oxidation of cyclohexene in the atmosphere (Hatakeyama et al., 1987; Koch et al., 2000), and from the ozonolysis of methylene-cyclohexane and 1-methyl-cyclohexene (Koch et al., 2000). As an aromatic compound, phthalic acid is also considered to originate mostly from anthropogenic sources, including direct emission from automobile, industrial and other anthropogenic sources (manufacturing of plastics, hydrolysis of phthalate esters in the ageing of plastics), and the oxidation of polycyclic aromatic hydrocarbons (PAHs, Kawamura and Ikushima, 1993; Shiraiwa et al., 2009). The correlation coefficients between phthalic acid and the sum

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of C<sub>5</sub>–C<sub>7</sub> dicarboxylic acids ( $R^2=0.65$  for coarse PM and 0.47 for fine PM) suggest that these compounds originate indeed from related sources.

According to Mochida et al. (2003a), the oxidation of biogenic precursors like unsaturated fatty acids is the main source of C<sub>8</sub>–C<sub>10</sub> dicarboxylic acids (Kawamura and Gagosian, 1987; Stephanou and Stratigakis, 1993). The most abundant of these compounds was azelaic acid (C<sub>9</sub>, 2.9 ng m<sup>-3</sup> in TSP). It originates from the oxidation of unsaturated fatty acids containing a double bond at position 9 (Kawamura and Gagosian, 1987), including oleic acid, which is relatively abundant in air particulate matter and has been studied extensively (Shiraiwa et al., 2010; Zahardis and Petrucci, 2007). The concentrations of suberic acid (C<sub>8</sub>, 0.8 ng m<sup>-3</sup> in TSP) and sebacic acid (C<sub>10</sub>, 0.4 ng m<sup>-3</sup> in TSP) were substantially lower than that of azelaic acid. The correlation coefficients suggest that the sources of C<sub>8</sub> and C<sub>10</sub> are similar ( $R^2=0.71$  and 0.81 for coarse and fine PM), and related but not identical to the sources of C<sub>9</sub> ( $R^2=0.36$ –0.52, Tables S3 and S4).

Long-chain dicarboxylic acids (C<sub>12</sub>–C<sub>16</sub>) are generally attributed to the oxidation of  $\omega$ -hydroxy fatty acids from vascular plants or other biogenic sources. The correlation coefficients suggest that the sources of C<sub>12</sub>–C<sub>16</sub> are more closely related to each other ( $R^2=0.33$ –0.97) than to C<sub>8</sub>–C<sub>10</sub> ( $R^2=0.07$ –0.75) or C<sub>9</sub> ( $R^2=0.00$ –0.19, Tables S3 and S4).

According to Ho et al. (2006), the ratios of adipic acid (C<sub>6</sub>) and phthalic acid (Ph) to azelaic acid (C<sub>9</sub>) can be used to estimate the relative influence of anthropogenic and biogenic sources of organic aerosol. The lower values of the two ratios represent typically biogenic emission; whereas the higher values indicate influence of anthropogenic origin. Comparisons of the two ratios in this study and other location around the world were performed (Table 3).

The mean C<sub>6</sub>/C<sub>9</sub> ratio in PM<sub>3</sub> (0.65, ranged from 0.21 to 4.35) were generally comparable with the values reported from Houston, USA and 14 economically developed or developing cities in China (0.52–0.75). With regard to TSP, the mean ratio observed in Mainz (0.58, ranged from 0.23 to 1.81) was generally lower than the other region

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around the world (TSP: Tokyo, Japan and Arctic, 0.69–3.15; PM<sub>10</sub>: Melpitz, Germany and Philadelphia, USA, 2.00–6.57). 84% of samples in PM<sub>3</sub> and 91% in TSP had the ratio lower than 1.

The mean Ph/C<sub>9</sub> value in PM<sub>3</sub> was 3.13 (ranged from 0.76 to 11.78). In TSP, the mean ratio (2.16, ranged from 0.60 to 11.78) was lower than the values in other region (PM<sub>10</sub>, Melpitz, Germany and Philadelphia, USA, 3.50–5.90). The low values of the two ratios indicate that aerosols in Mainz were mainly influenced by biogenic sources.

2-Nitrophenol and 4-nitrophenol can be directly emitted as primary pollutants in combustion exhaust (Trempe et al., 1993), and they can also be formed as secondary pollutants by nitration of phenols in the atmosphere (Atkinson et al., 1992; Dumdei and Obrien, 1984; Grosjean, 1985; Nojima et al., 1975; Olariu et al., 2002). 2-Nitrophenol and 4-nitrophenol can originate from the irradiation of benzene-NO<sub>x</sub>-air mixture (Nojima et al., 1975), whereas only 2-nitrophenol can form from various reaction including OH-initiated reaction in the presence of NO<sub>x</sub>, NO<sub>3</sub>-initiated reaction and OH-initiated reaction in the presence of NO<sub>3</sub> (Atkinson et al., 1992; Olariu et al., 2002). The distinctly different seasonal variations and low correlation coefficients of the two isomers of nitrophenol ( $R^2=0.27$  and 0.33 for coarse and fine PM) indicate that their main sources are different.

The observed summer maximum and correlations with phthalic acid and various aliphatic dicarboxylic acids ( $R^2$  up to 0.59, Table S4) suggest that atmospheric photochemistry is a major source of 2-nitrophenol. In contrast, the winter maximum of 4-nitrophenol and low correlation coefficients of with dicarboxylic acids ( $R^2 < 0.32$ , Table S4) suggest that primary emissions are more important for this compound than secondary formation. Interestingly, 4-nitrocatechol exhibits a summer maximum like 2-nitrophenol but no correlation with dicarboxylic acids ( $R^2 < 0.11$ , Table S4). These observations indicate primary sources that are enhanced during summer, which could be evaporation from soil as proposed by Gelencser et al. (2002) for humic-like substances (HULIS, Graber and Rudich, 2006). We suggest that further analyses of nitrophenols, nitrocatechol and other nitrated and oxygenated aromatic compounds by HPLC-MS

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As illustrated in Fig. 4, the model-derived effective temperature dependence of OH radical concentration is similar to the observed temperature dependence of 3-MBTCA concentration and shows only  $16 \text{ kJ mol}^{-1}$  difference, which is within the error range. The agreement suggests that the OH-initiated oxidation of pinonic acid is indeed the rate-limiting step in the formation of 3-MBTCA, because the temperature dependence of a process consisting of multiple steps is generally dominated by the temperature dependence of the rate-limiting step. None of the other temperature dependencies considered above and illustrated in Fig. 4 appears strong enough to explain the observed temperature dependence of 3-MBTCA, and it appears plausible that the formation and concentration of 3-MBTCA is limited by the OH-initiated oxidation of pinonic acid. The result is also consistent with regard to the very weak temperature dependence observed for the pinonic acid concentration, which may result from an effective cancellation of the temperature dependencies of the formation from pinene emission and oxidation (in analogy to pinic acid) and the loss by OH oxidation. Reaction rate coefficient  $k(\text{OH})$  is larger for pinonic acid ( $1.04 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$ ) than for pinic acid ( $8.7 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ ) at 298 K (Vereecken and Peeters, 2002), resulting in an OH lifetime of  $\sim 27 \text{ h}$  for pinonic acid and  $\sim 32 \text{ h}$  for pinic acid, using a typical average OH concentration of  $1 \times 10^6 \text{ cm}^{-3}$ . It appears not very likely that potential temperature dependencies of other relevant processes, like dry and wet deposition of the investigated compounds, would be more important and better suited to explain the observations.

Nevertheless, the above considerations have to be regarded as a first approximation and simple conceptual model approach to explain the observations. A full mechanistic understanding and quantification will require detailed numerical model studies including potential effects of atmospheric transport and deposition. This would go beyond the scope of the present study, but we suggest and intend to pursue such investigations in follow-up studies. Similarity of  $E_a$  for observed Arrhenius concentration and model OH concentration suggests that the OH-initiated oxidation is rate limited step of 3-MBTCA formation. The difference of  $16 \text{ kJ mol}^{-1}$  is within the range of uncertainties and might also be related to sink processes (chemical loss, dry/wet deposition).

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#### 4 Conclusions

Based on the results of this study we suggest that further analyses of nitrated and oxygenated aromatic compounds by HPLC-MS may help to unravel combustion- and soil-related sources of organic particulate matter in comparison to primary biological aerosols containing fatty acids and SOA formed from gaseous biogenic precursors like pinene.

The reported observations of pinene oxidation products, including pinic acid, pinonic acid and 3-MBTCA, and accompanying model calculations suggest that the OH radical concentration and the OH-initiated oxidation of pinonic acid limit the formation and explain the observed Arrhenius-type temperature dependence of 3-MBTCA, whereas the influence of gas-particle partitioning appears negligible. Thus, 3-MBTCA appears to be a suitable molecular tracer for the chemical aging of biogenic SOA by OH radicals.

##### *Online supplement:*

<http://www.atmos-chem-phys-discuss.net/10/13253/2010/acpd-10-13253-2010-supplement.pdf>.

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**Table 3.** Ratios between the mass concentrations of adipic acid, phthalic acid and azelaic acid ( $C_6/C_9$  and  $Ph/C_9$ ) in comparison to other studies.

Location	Time	$C_6/C_9$	$Ph/C_9$	Particle size	Reference
Mainz, Germany	June 2006–May 2007	0.65	3.13	$PM_3$	this study
14 cities, China	2003	0.52–0.74		$PM_{2.5}$	Ho et al. (2007)
Houston area, USA	2000	0.75		$PM_{2.5}$	Yue and Fraser (2004)
Melpitz, Germany	2005	6.57	5.90	$PM_{10}$	van Pinxteren and Herrmann (2007)
Philadelphia, USA	July–August 1999	2.00	3.50	$PM_{10}$	Ray and McDow (2005)
Mainz, Germany	June 2006–May 2007	0.58	2.16	TSP	this study
Alert, Arctic	July 1987–June 1988	3.15		TSP	Kawamura et al. (1996a)
Tokyo, Japan	1989	0.69–1.71		TSP	Kawamura and Yasui (2005)

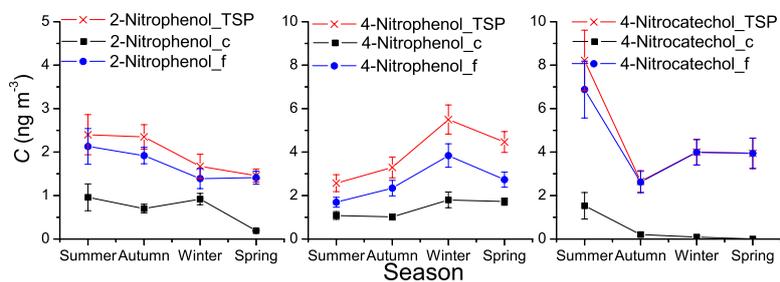
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**Table 4.** Arrhenius parameters for observed and modeled temperature dependencies (275–300 K): pinene oxidation product mass concentrations (3-MBTCA, pinic acid, pinonic acid) in fine, coarse and total particulate matter (TSP) as shown in Fig. 3; emission of pinene, gas-particle partitioning of pinonic acid, and concentration of OH radicals as described in the online supplement and shown in Fig. 4.

	$A^a$	$E_a$ (kJ mol <sup>-1</sup> ) <sup>b</sup>	$R^{2c}$	$n^d$
3-MBTCA (TSP)	$3.29 \times 10^{23}$ ng m <sup>-3</sup>	126±10	0.74	52
(fine)	$2.41 \times 10^{22}$ ng m <sup>-3</sup>	121±11	0.69	58
(coarse)	$1.05 \times 10^{20}$ ng m <sup>-3</sup>	111±10	0.72	52
Pinonic acid (TSP)	$2.82 \times 10^8$ ng m <sup>-3</sup>	46.9±12.7	0.21	35
(fine)	$5.49 \times 10^3$ ng m <sup>-3</sup>	22.8±15.0	0.05	36
(coarse)	$1.45 \times 10^7$ ng m <sup>-3</sup>	41.1±18.3	0.12	35
Pinic acid (TSP)	$2.54 \times 10^{15}$ ng m <sup>-3</sup>	83.9±9.1	0.60	56
(fine)	$9.78 \times 10^{11}$ ng m <sup>-3</sup>	66.8±16.3	0.24	56
(coarse)	$2.05 \times 10^{16}$ ng m <sup>-3</sup>	91.3±8.9	0.66	57
Pinene emission	$6.31 \times 10^{14}$ ng m <sup>-3</sup>	75.6±3.3	–	–
Pinonic acid gas-particle partitioning	1.09	0.213±0.011	0.95	27
OH concentration	$4.52 \times 10^{24}$ cm <sup>-3</sup>	110±8	0.79	59

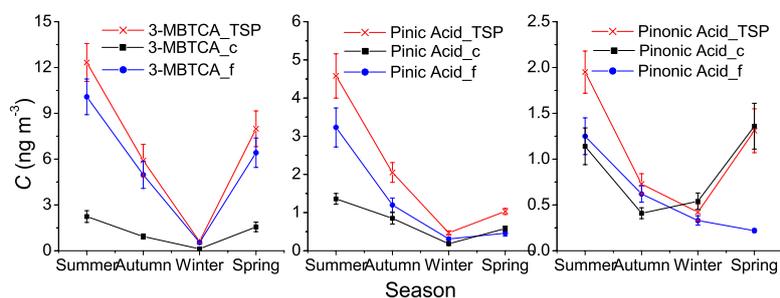
<sup>a</sup> pre-exponential factor (Fig. 3 and online supplement); <sup>b</sup>: activation energy (Fig. 3 and online supplement); <sup>c</sup> correlation coefficient; <sup>d</sup> number of data points.

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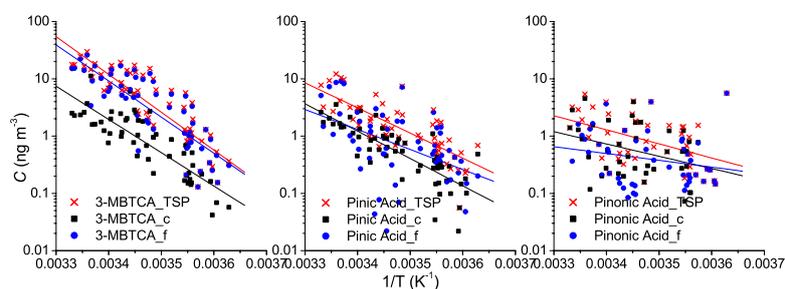
**Fig. 1.** Seasonal variations of 2-nitrophenol, 4-nitrophenol and 4-nitrocatechol mass concentrations in fine, coarse, and total particulate matter (TSP). The data points are mean values for different seasons (summer: JJA, autumn: SON, winter: DJF, spring: MAM). The error bars are standard errors of the mean, and the lines are to guide the eye.

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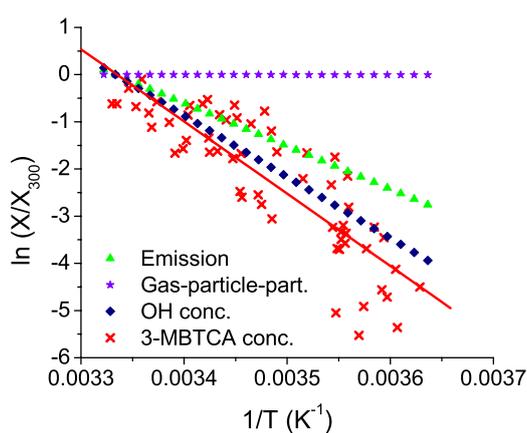
**Fig. 2.** Seasonal variation of pinene oxidation products: mass concentrations of 3-MBTCA, pinic acid and pinonic acid in fine, coarse, and total particulate matter (TSP). The data points are mean values for different seasons (summer: JJA, autumn: SON, winter: DJF, spring: MAM). The error bars are standard errors of the mean, and the lines are to guide the eye.

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**Fig. 3.** Arrhenius-type temperature dependencies observed for pinene oxidation products (275–300 K): mass concentrations of 3-MBTCA, pinic acid and pinonic acid in fine, coarse and total particulate matter (TSP) plotted against inverse temperature. The data points represent individual samples, the lines are exponential fits, and the corresponding Arrhenius parameters are listed in Table 4.

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**Fig. 4.** Normalized Arrhenius-type plot of the temperature dependencies (275–300 K) observed for the concentration of 3-MBTCA ( $C_{3\text{-MBTCA,TSP}}$ , data points and solid line) and modeled for the emission of pinene ( $C_{PE}$ ), the gas-particle partitioning of pinonic acid ( $FGP_{PA}$ ), and the concentration of OH radicals ( $C_{OH}$ , dotted lines). For each parameter,  $X_{300}$  is the fit value at 300 K. The model assumptions and calculations are described in the online supplement.

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