

# **SO**<sub>2</sub> oxidation products other than **H**<sub>2</sub>**SO**<sub>4</sub> as a trigger of new particle formation. Part 1: Laboratory investigations

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Received: 14 April 2008 – Published in Atmos. Chem. Phys. Discuss.: 28 May 2008 Revised: 17 September 2008 – Accepted: 18 September 2008 – Published: 6 November 2008

Abstract. Mechanistic investigations of atmospheric H<sub>2</sub>SO<sub>4</sub> particle formation have been performed in a laboratory study taking either H<sub>2</sub>SO<sub>4</sub> from a liquid reservoir or using the gasphase reaction of OH radicals with SO<sub>2</sub>. Applying both approaches for H<sub>2</sub>SO<sub>4</sub> generation simultaneously it was found that H<sub>2</sub>SO<sub>4</sub> evaporated from the liquid reservoir acts considerably less effective for the process of particle formation and growth than the products originating from the reaction of OH radicals with SO<sub>2</sub>. Furthermore, for NO<sub>x</sub> concentrations  $>5 \times 10^{11}$  molecule cm<sup>-3</sup> the formation of new particles from the reaction of OH radicals with SO<sub>2</sub> is inhibited. This suggests that substances other than H<sub>2</sub>SO<sub>4</sub> (potentially products from sulphur-containing peroxy radicals) trigger lower tropospheric new particle formation and growth. The currently accepted mechanism for SO<sub>2</sub> gas-phase oxidation does not consider the formation of such substances. The analysis of new particle formation for different reaction conditions in our experiment suggests that a contribution of impurities to the nucleation process is unlikely.

#### 1 Introduction

For more than a decade the formation of new aerosol particles in the atmosphere has been the subject of intense studies in the field and in the laboratory. The formation mechanisms and the participating substances, however, have not been resolved yet (Kulmala, 2003). Large discrepancies between model-predicted nucleation rates for the favoured binary system  $H_2SO_4/H_2O$  and much higher atmospheric nucleation data were explained by various supportive additional participants such as ammonia (Kulmala et al., 2000), organic molecules (Yu et al., 1999; Hoffmann et al., 1998) or



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ions (Lee et al., 2003; Lovejoy et al., 2004). Nucleation events in the lower troposphere were found to be closely connected to the appearance of  $H_2SO_4$  for concentrations of  $\sim 10^7$  molecule cm<sup>-3</sup> and below measured at clean and polluted sites (Weber et al., 1996; McMurry et al., 2005; Riipinen et al., 2006).

In a previous investigation of our laboratory under nearatmospheric conditions, experimental evidence for the formation of new particles in the system H2SO4/H2O was found for H<sub>2</sub>SO<sub>4</sub> concentrations of  $\sim 10^7$  molecule cm<sup>-3</sup> if "H<sub>2</sub>SO<sub>4</sub>" was produced in-situ via the reaction of OH radicals with SO<sub>2</sub> ("H<sub>2</sub>SO<sub>4</sub>" here stands for all products of converted SO<sub>2</sub>). As the OH radical source served UV-photolysis of O<sub>3</sub> or ozonolysis of alkenes in a dark reaction. The experiments have been performed in the presence and absence of organics. No clear difference concerning new particle formation was observed using the different experimental conditions (presence or absence of UV light, presence and absence of organics) pointing clearly at the SO<sub>2</sub> oxidation products ("H<sub>2</sub>SO<sub>4</sub>") being the exclusive precursors for the newly formed particles observed (Berndt et al., 2004, 2005, 2006). A significant increase of the nucleation rate for increasing r.h. was measurable (Berndt et al., 2005, 2006). Similar observations are reported from another flow-tube experiment (Friend et al., 1980) as well as from investigations in a chamber (Burkholder et al., 2007). Recently, for nucleation experiments starting from OH+SO2 threshold H2SO4 (or "H<sub>2</sub>SO<sub>4</sub>") concentrations of  $10^8$ – $10^9$  molecule cm<sup>-3</sup> have been measured for a nucleation rate of unity  $(1 \text{ cm}^{-3} \text{ s}^{-1})$ (Benson et al., 2008, Young et al., 2008). A future detailed analysis will show whether these significantly higher threshold "H2SO4" concentrations (compared to our results) are simply due to the experimental conditions chosen by these researchers or an indication for a different nucleation process going on.

In contrast, taking  $H_2SO_4$  from a liquid reservoir concentrations of  $10^9-10^{10}$  molecule cm<sup>-3</sup> are needed for new particle formation (Ball et al., 1999, Zhang et al., 2004). This observation is roughly in line with the prediction from the binary nucleation theory  $H_2SO_4/H_2O$  (Kulmala et al., 1998).

In this study mechanistic investigations on H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O particle formation are reported explaining the different threshold H<sub>2</sub>SO<sub>4</sub> concentrations needed for nucleation, i.e.,  $\sim 10^7$  molecule cm<sup>-3</sup> ("H<sub>2</sub>SO<sub>4</sub>" produced via gasphase reaction of OH radicals with SO<sub>2</sub>) vs.  $10^{9}$ – $10^{10}$  molecule cm<sup>-3</sup> (H<sub>2</sub>SO<sub>4</sub> via evaporation from a liquid reservoir).

## 2 Experimental

The experiments have been performed in the atmospheric pressure flow-tube *lfT*-LFT (i.d. 8 cm; length 505 cm) at  $293\pm0.5$  K. The first tube section (56 cm) includes an inlet system for gas entrance (humidified air premixed with SO<sub>2</sub>, O<sub>3</sub>, NO or hydrocarbons or H<sub>2</sub>SO<sub>4</sub> vapour from a liquid reservoir premixed in dry air). The middle section (344 cm) is equipped with 8 UV lamps (Hg-lamps made of quartz-glass PN235 with a cut-off wavelength of 210 nm) for a homogeneous irradiation of the tube if needed. A non-irradiated end section (105 cm), which also holds the sampling outlet, is attached.

Gas-phase analysis was carried out using a GC-FID (HP 5890) with a cryo-enrichment unit for hydrocarbons, a humidity sensor (Vaisala), analyzers for  $O_3$ ,  $SO_2$ , and  $NO_x$ (Thermo Environmental Instruments: 49C, 43C, and 42S), a butanol-based UCPC (TSI 3025) as well as a H<sub>2</sub>O-based UCPC (TSI 3786) for integral particle measurements, and a differential mobility particle sizer (Vienna-type DMA with UCPC, TSI 3025) for monitoring of size distributions.

The carrier gas consisted of synthetic air (99.99999999%, Linde and further purification with GateKeeper CE-500KF-O-4R, AERONEX). Functioning of GateKeeper is based on surface reactions removing contaminants from the gas phase. Stated output gas impurity is <500 ppt for NMHCs, H<sub>2</sub>O and CO<sub>2</sub>.

A small part of the total gas flow was flushed through an ozone generator (UVP OG-2) for O<sub>3</sub> generation outside the flow tube. SO<sub>2</sub> was taken from a 1ppmv or 10ppmv calibration mixture in N<sub>2</sub> (Messer) and NO from 0.5 vol% mixture in N<sub>2</sub> (Messer). In the case of experiments with H<sub>2</sub>SO<sub>4</sub> vapour from a liquid reservoir a part of the total gas stream of dry air was flushed through a saturator (maintained at 288 K) filled with concentrated sulphuric acid (95–98%, Aldrich). The outlet tube of the saturator served directly as the inlet for the H<sub>2</sub>SO<sub>4</sub> vapour containing gas stream. In the first tube section this H<sub>2</sub>SO<sub>4</sub> gas stream was brought together with humidified air.

The total gas flow inside the *IfT*-LFT was set at 3.33, 10, 20, or 30 standard litre min<sup>-1</sup> resulting in a bulk residence

time (middle and end section) of 378, 126, 63, or 42 s, respectively. It is to be noted that the given bulk residence time stands for the upper limit of the nucleation time in the flow tube. All gas flows were set by means of calibrated gas flow controllers (MKS 1259/1179) and the pressure in the tube was measured using a capacitive manometer (Baratron).

2.1 Determination of H<sub>2</sub>SO<sub>4</sub> taken from a liquid reservoir

For experiments using  $H_2SO_4$  vapour from a liquid reservoir,  $H_2SO_4$  concentrations were measured at the outlet of the saturator by means of a denuder system (Amanda, ECN). The working fluid was a  $10^{-4}$  M NaOH solution of de-ionised water and sampling times were in the range of 2–10 h. The subsequent analysis of  $SO_4^{2-}$  ions has been done by means of ion chromatography (761 compact IC, Metrohm).

2.2 Determination of " $H_2SO_4$ " (products of converted  $SO_2$ ) and OH concentrations

Starting from the reaction of OH radicals with  $SO_2$  "H<sub>2</sub>SO<sub>4</sub>" concentrations were calculated using a model according to the following reaction scheme used in the case of photolysis experiments (Berndt et al., 2005, 2006):

$$O_3 \rightarrow \ldots \rightarrow 2OH$$
 (1)

$$OH+furan/CO \rightarrow products$$
 (2)

$$OH+SO_2 \rightarrow \ldots \rightarrow "H_2SO_4" \tag{3}$$

$$"H_2SO_4" \rightarrow wall \tag{4}$$

The effective photolysis rate coefficient k1 was determined in each experiment measuring the O<sub>3</sub> decay. Rate coefficients  $k_{2, \text{furan}} = 4.0 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ ,  $k_{2, \text{CO}} = 2.4 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ , and  $k_3 = 1.2 \times 10^{-12}$  $cm^3$  molecule<sup>-1</sup> s<sup>-1</sup>, were taken from literature (Finlayson-Pitts and Pitts, 2000). For description of the assumed, diffusion controlled wall loss of "H2SO4",  $k_4=0.017 \text{ s}^{-1}$ , the same data as for  $H_2SO_4$  were taken (Hanson and Eisele, 2000). The concentration of furan (or CO) was chosen in such a way that  $k_{2,furan} \times [furan] > 20 \, s^{-1} (k_{2,furan} \times [furan] \sim k_{2,CO} \times [CO])$ was fulfilled. Modelling studies showed that under these conditions the reactions of OH radicals with O<sub>3</sub>, HO<sub>2</sub> or any impurities (impurity concentration  $\leq 10^{10}$  molecule cm<sup>-3</sup>, k<sub>OH+impurity</sub>=10<sup>-10</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) as well as the wall loss of OH radicals were negligible allowing also the determination of OH radicals from the measured amount of reacted furan. The ratio "reacted O3"/"reacted furan" was experimentally found to be  $0.5\pm0.2$  as expected from the simple reaction scheme given above. This finding confirms that OH radical concentration, and consequently the "H<sub>2</sub>SO<sub>4</sub>" concentrations, are well described by the model applied. For normally used initial reaction conditions  $(O_3: \sim 1.5 \times 10^{11} \text{ with a conversion } <25\%, \text{ furan: } 6.2 \times 10^{11}$ 

with a conversion  $\leq 6\%$  or CO:  $1.0 \times 10^{14}$  with a conversion <1% and SO<sub>2</sub>:  $(6.7-350) \times 10^{10}$  with a conversion <2.5%; concentration unit: molecule cm<sup>-3</sup>) and measured effective photolysis rate coefficient k<sub>1</sub> in the order of  $10^{-3}$  s<sup>-1</sup> the modelling of the reaction system yielded OH radical concentrations of about  $10^7$  molecule cm<sup>-3</sup> being close to atmospheric levels. Given "H<sub>2</sub>SO<sub>4</sub>" concentrations represent averaged values for the irradiated middle section. A comparison of results arising from different modelling approaches is given in Appendix A.

In the case of added NO<sub>x</sub> in the carrier gas, NO and NO<sub>2</sub> can undergo reactions with O<sub>3</sub> and OH radicals. An additional pathway for OH radical generation represents the reaction of NO with HO<sub>2</sub> forming OH and NO<sub>2</sub>. Therefore, OH radical concentrations were determined experimentally using the titration procedure with furan. The OH profile in the tube was calculated from the measured furan decay assuming an exponential function for the overall decay of O<sub>3</sub> and for the decay of furan. With the knowledge of the axial OH radical profile the "H<sub>2</sub>SO<sub>4</sub>" concentration according to pathways (3) and (4) were calculated. Given "H<sub>2</sub>SO<sub>4</sub>" concentrations represent also averaged values for the irradiated middle section.

# 2.2.1 The possible role of the reaction $HO_2+SO_2$ for additional $H_2SO_4$ production

It might be speculated that in our experiment, besides the OH radicals, also HO<sub>2</sub> could significantly contribute to  $SO_2$  oxidation. Payne et al. (1973) reported for the reaction HO2+SO2 a rate coefficient of  $8.7 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  determined in an indirect This data differs from the results of other reway. searchers. Burrows et al. (1979) deduced from direct measurements an upper limit for  $k_{HO_2+SO_2}$  of  $2 \times 10^{-17} \,\mathrm{cm^3}\,\mathrm{molecule^{-1}\,s^{-1}}$ . The latest recommendation for atmospheric chemistry (Atkinson et al., 2004) states  $k_{HO_2+SO_2} < 10^{-18} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . Assuming that there is a catalytic effect of H<sub>2</sub>O, HO<sub>2</sub>(H<sub>2</sub>O)+SO<sub>2</sub> (Payne et al., measured in the presence of 20 torr H<sub>2</sub>O, the other studies have been performed under dry conditions), the impact of this pathway producing OH radicals and SO<sub>3</sub> was investigated. SO<sub>3</sub> produces H<sub>2</sub>SO<sub>4</sub> in a fast consecutive hydrolysis step (Jayne et al., 1997).

In the extended ideal plug model (given in Appendix A), for the rate coefficient  $k_{HO_2+SO_2}$  the values  $10^{-18}$ ,  $2 \times 10^{-17}$ and  $8.7 \times 10^{-16}$  (unit: cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) have been applied successively in an otherwise identical exemplary model setup (residence time: 97 s; initial concentrations in molecule cm<sup>-3</sup>: O<sub>3</sub>:  $1.6 \times 10^{11}$ ; CO:  $1.0 \times 10^{14}$ ; SO<sub>2</sub>:  $3.5 \times 10^{11}$ ). With respect to the recommended value of  $10^{-18}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> as the reference, the use of  $2 \times 10^{-17}$  cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (Burrows et al., 1979) yields an increase of less than 1% for the concentration of all SO<sub>2</sub> oxidation products (H<sub>2</sub>SO<sub>4</sub> and "H<sub>2</sub>SO<sub>4</sub>"). Assumption



**Fig. 1.** Temporal behaviour of SO<sub>2</sub> concentration and particle number for an experiment with "H<sub>2</sub>SO<sub>4</sub>" formation from the reaction of OH radicals with SO<sub>2</sub> using CO for OH radical titration, r.h.=22%, gas flow: 3.33 sl min<sup>-1</sup>. Initial concentrations were (unit: molecule cm<sup>-3</sup>); O<sub>3</sub>:  $3.8 \times 10^{11}$ ; CO:  $5.3 \times 10^{13}$ . SO<sub>2</sub> concentration in the absence of OH radicals (UV off):  $6.78 \pm 0.24 \times 10^{10}$  molecule cm<sup>-3</sup> (full line) and in the presence of OH radicals (UV on):  $6.63 \pm 0.24 \times 10^{10}$  molecule cm<sup>-3</sup> (dashed line) results in a SO<sub>2</sub> consumption of  $1.5 \times 10^9$  molecule cm<sup>-3</sup> (uncertainty by a factor of ~2.3).

of  $k_{HO_2+SO_2}=8.7 \times 10^{-16} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  (Payne et al., 1973) results in an 26% enhancement. Consequently, additional SO<sub>2</sub> oxidation from the assumed H<sub>2</sub>O-catalysed reaction HO<sub>2</sub>(H<sub>2</sub>O)+SO<sub>2</sub> is of minor importance even when considering the rate coefficient reported by Payne et al. (1973).

#### 3 Results and discussion

#### 3.1 Measurement of consumed SO<sub>2</sub>

It was attempted to measure the amount of consumed SO<sub>2</sub> to evaluate the reliability of calculated "H<sub>2</sub>SO<sub>4</sub>" concentrations via pathways (1)-(4). In Fig. 1 the temporal behaviour of SO<sub>2</sub> concentration and particle number for an experiment with CO for OH radical titration is given. SO<sub>2</sub> measurement using Thermo Environmental Instruments 43C in the absence of OH radicals (UV off) yielded:  $6.78\pm0.24\times10^{10}$  molecule cm<sup>-3</sup> (full line) and in the presence of OH radicals (UV on):  $6.63\pm0.24\times10^{10}$  molecule cm<sup>-3</sup> (dashed line) resulting in a  $SO_2$  consumption of  $1.5 \times 10^9$  molecule cm<sup>-3</sup> with an uncertainty by a factor of  $\sim 2.3$ . Modelling of this example (including wall loss for "H<sub>2</sub>SO<sub>4</sub>") shows an average "H<sub>2</sub>SO<sub>4</sub>" concentration in the tube of  $2.46 \times 10^8$  molecule cm<sup>-3</sup> and a SO<sub>2</sub> consumption of  $1.46 \times 10^9$  molecule cm<sup>-3</sup>. Simulated and measured SO<sub>2</sub> consumption are in good agreement. The particle number exceeded the counting range of TSI 3025 (particle number  $> 10^5$  cm<sup>-3</sup>). Integration of simultaneously



**Fig. 2.** Temporal behaviour of O<sub>3</sub> and SO<sub>2</sub> concentration and particle number for an experiment using H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir as well as in-situ formation of "H<sub>2</sub>SO<sub>4</sub>" (SO<sub>2</sub> products) with CO for OH radical titration, r.h.=22%, gas flow: 10 sl min<sup>-1</sup>, bulk residence time (middle and end section): 126 sec. Initial concentrations were (unit: molecule cm<sup>-3</sup>); H<sub>2</sub>SO<sub>4</sub> (saturator):  $(1-2)\times10^9$ ; O<sub>3</sub>:  $1.6 \times 10^{11}$ ; CO:  $1.0 \times 10^{14}$ ; SO<sub>2</sub>:  $3.5 \times 10^{11}$ .

performed size distribution measurement yielded a particle number of  $3.6 \times 10^5$  cm<sup>-3</sup>.

The determination of reacted  $SO_2$  stands for a complementary measure of "H<sub>2</sub>SO<sub>4</sub>" and the good agreement between measured and calculated  $SO_2$  conversion shows explicitly that the "true" concentration of "H<sub>2</sub>SO<sub>4</sub>" in the experiment cannot exceed the modelling data considerably.

3.2 New particle formation in the presence of in-situ produced "H<sub>2</sub>SO<sub>4</sub>" and H<sub>2</sub>SO<sub>4</sub> from a liquid reservoir

In a first set of experiments in-situ formation of "H<sub>2</sub>SO<sub>4</sub>" via OH+SO<sub>2</sub> was coupled with evaporation of H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir as background in order to investigate the role of H<sub>2</sub>SO<sub>4</sub> for the new particle formation and growth process. Initial H<sub>2</sub>SO<sub>4</sub> concentration from the liquid reservoir was  $(1-2) \times 10^9$  molecule cm<sup>-3</sup>, i.e., close to the threshold concentration needed for binary H2SO4/H2O nucleation in our experiment. The bulk residence time in the reaction zone (irradiated middle section) was 97 s and the end-H<sub>2</sub>SO<sub>4</sub> concentration (from liquid reservoir) was estimated to be (2-4)×10<sup>8</sup> molecule cm<sup>-3</sup> considering diffusion controlled wall loss only (Hanson and Eisele, 2000). In Fig. 2 results from a typical measurement are depicted. After turning on the flow through the H<sub>2</sub>SO<sub>4</sub>-saturator, a small increase of particle number above the noise level was visible with a subsequent slight rise up to 2-3 particles cm<sup>-3</sup> after more than 5 h on stream. These particles are due to binary nucleation of H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O (Kulmala et al., 1998). The particle number was not affected by the presence of O<sub>3</sub> and the photolysis products (OH radicals, HO<sub>2</sub>, and H<sub>2</sub>O<sub>2</sub>) after switching on UV irradiation. With addition of SO<sub>2</sub> (time=235 min), insitu particle precursor formation in the gas phase started and



**Fig. 3.** Measured size distributions in the range d=2-4 nm from the experiment depicted in Fig.2. The distribution with  $H_2SO_4$  from the saturator was recorded between 275 and 325 min and that without  $H_2SO_4$  from the saturator between 410 and 460 min, cf. Fig. 2. After a 3 day flushing of the flow tube with pure air the distribution with black symbols in absence of  $H_2SO_4$  from the saturator was measured.

a constant particle number of  $5 \times 10^4$  cm<sup>-3</sup> was observed for in-situ "H<sub>2</sub>SO<sub>4</sub>" concentration of  $2.3 \times 10^8$  molecule cm<sup>-3</sup>. Immediately after switching off UV (time=330 min), particle number went down to the level being attributed to binary  $H_2SO_4/H_2O$  nucleation. At 360 min the supply of  $H_2SO_4$ from the liquid reservoir was stopped resulting in a decrease of particle number down to background noise level. Switching on UV, i.e., restarting the in-situ "H<sub>2</sub>SO<sub>4</sub>" production without background H<sub>2</sub>SO<sub>4</sub>, led to a particle number of  $5 \times 10^4$  cm<sup>-3</sup> again. This behaviour is a strong indication that H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir (now absent) did not participate in the nucleation process. To illustrate the influence of background H<sub>2</sub>SO<sub>4</sub> on particle growth, Fig. 3 shows measured size distributions in the diameter range d=2-4 nm recorded in the time period 275 to 325 min (presence of background  $H_2SO_4$ ) and between 410 and 460 min (absence of background  $H_2SO_4$ ). To make sure that the measurements were not affected by memory effects, after a 3 day flushing of the flow tube with clean air, the experiment without background H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir was repeated. Figure 3 shows that the three measured size distributions are nearly identical. This indicates that H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir, its concentration being at least in the same order or one order of magnitude higher compared to that of in-situ produced "H<sub>2</sub>SO<sub>4</sub>" (cf. Fig. 4), does not significantly contribute to particle growth. Obviously, condensational growth by H<sub>2</sub>SO<sub>4</sub> evaporated from the liquid reservoir is of less importance under the chosen conditions and cannot be resolved.

It cannot be ruled out that probably heterogeneous processes significantly contribute to particle growth. In acidic solutions  $SO_2$  is rapidly oxidized by  $H_2O_2$  (Martin and



**Fig. 4.** CFD-Modelling: Calculated concentration profiles of  $H_2SO_4$  from the liquid reservoir and from in- situ produced " $H_2SO_4$ " via the reaction of OH radicals with SO<sub>2</sub>, r.h.=22%, gas flow: 10 sl min<sup>-1</sup>. Initial concentrations in the case of in situ " $H_2SO_4$ " formation were (unit: molecule cm<sup>-3</sup>); O<sub>3</sub>:  $1.6 \times 10^{11}$ ; CO:  $1.0 \times 10^{14}$ ; SO<sub>2</sub>:  $3.5 \times 10^{11}$ .

Damschen, 1981). Applying this behaviour to the newly formed particles, in a process of reactive uptake SO<sub>2</sub> and  $H_2O_2$  molecules can react in or at the surface of the new particles forming additional SO<sub>2</sub> oxidation products in the particle phase. Gas-phase concentrations of SO<sub>2</sub> and  $H_2O_2$  in our experiment are normally in the order of  $10^{9}$ – $10^{11}$  molecule cm<sup>-3</sup> resulting in roughly 0.1–10 collisions s<sup>-1</sup> with SO<sub>2</sub> and  $H_2O_2$  molecules per particle.

It is to be noted that integration of the size distributions  $(d \ge 2 \text{ nm})$  given in Fig. 3 yielded a particle number of  $\sim 5 \times 10^3 \text{ cm}^{-3}$ , i.e., 1/10 of the total number found in the integral measurement using the UCPC. Therefore, the majority of produced particles in this example were obviously smaller than 2 nm in diameter.

To get an rough estimate concerning the  $H_2SO_4$ /" $H_2SO_4$ " mass balance it is assumed i) that  $H_2SO_4$  is the exclusive product from the reaction of OH radicals with SO<sub>2</sub> and ii) that the particles consist of  $H_2SO_4$  (density of 1.85 g cm<sup>-3</sup>) only, i.e., contributions of water to particle mass are neglected. For the example described above (assuming  $5 \times 10^3$  particle with d=2 nm and  $4.5 \times 10^4$  particle cm<sup>-3</sup> with d=1.5 nm) it follows that about 0.5% of gas phase " $H_2SO_4$ " (or  $H_2SO_4$ ) can attributed to the newly formed particles.

Experiments, during which OH radicals were produced via ozonolysis of *trans*-butene (dark reaction) yielded similar results.

These findings pose the question, why  $H_2SO_4$  from the liquid reservoir exhibits a totally different behaviour (no clear contribution to particle formation and growth) compared to in-situ produced " $H_2SO_4$ "? A possible reason could be that  $H_2SO_4$  is not the particle precursor produced from the reaction of OH radicals with  $SO_2$ .



**Fig. 5.** Experimentally observed particle number as a function of in-situ produced "H<sub>2</sub>SO<sub>4</sub>" (SO<sub>2</sub> products) concentration for different NO additions in the carrier gas using furan for OH radical titration, OH radical formation via O<sub>3</sub> photolysis, r.h.=22%, gas flow:  $30 \text{ sl min}^{-1}$ , bulk residence time (middle and end section): 42 sec. Initial concentrations were (unit: molecule cm<sup>-3</sup>); O<sub>3</sub>: (1.5 –  $1.6) \times 10^{11}$ ; furan:  $6.2 \times 10^{11}$ ; furan conversion: 2.1 - 5.3 %; SO<sub>2</sub>:  $(1.2 - 16) \times 10^{11}$ .

The currently accepted mechanism of atmospheric SO<sub>2</sub> gas-phase oxidation initiated by OH radicals is as follows (Finlayson-Pitts and Pitts, 2000):

$$OH+SO_2 \rightarrow HOSO_2$$
 (5)

$$HOSO_2 + O_2 \rightarrow SO_3 + HO_2 \tag{6}$$

$$SO_3 + 2H_2O \rightarrow H_2SO_4 + H_2O \tag{7}$$

Here, HOSO<sub>2</sub> radicals produced from the primary reaction of OH radicals with SO<sub>2</sub> via pathway (5) react in the very fast consecutive step (6) with O<sub>2</sub> forming SO<sub>3</sub>. SO<sub>3</sub> reacts with two water molecules or a water dimer producing H<sub>2</sub>SO<sub>4</sub>. According to this scheme, each attacked SO<sub>2</sub> molecule from pathway (5) is transformed to a H<sub>2</sub>SO<sub>4</sub> molecule.

As a result of their pioneering work in the eighties, Stockwell and Calvert (1983) found that pathway (6) has to be important for the atmospheric fate of HOSO<sub>2</sub> as via this reaction more than 80% of HOSO<sub>2</sub> regenerates HO<sub>2</sub>. However, an earlier way of thinking was based on a different pathway (6a) which considered the addition of O<sub>2</sub> to HOSO<sub>2</sub>:

$$HOSO_2 + O_2 + M \rightarrow HOSO_2O_2 + M \tag{6a}$$

From the pressure-dependence of the kinetics of the reaction  $HOSO_2+O_2$ , it was concluded that pathway (6a) accounts for <10% of the  $HOSO_2$  removal (Gleason et al., 1987). Simultaneous measurements of  $HO_2$  and  $HOSO_2$ concentrations yielded a lower limit of 70% for pathway (6)



**Fig. 6.** Temporal behaviour of  $O_3$  concentration and the particle number for an experiment using  $H_2SO_4$  from the liquid reservoir, r.h.=22%, gas flow:  $30 \text{ sl min}^{-1}$ , bulk residence time (middle and end section): 42 sec. Initial concentrations were (unit: molecule cm<sup>-3</sup>);  $H_2SO_4$  (saturator):  $\sim 5 \times 10^9$ ;  $O_3$ :  $1.5 \times 10^{11}$ ; CO:  $1.0 \times 10^{14}$ ; NO:  $4.1 \times 10^{12}$ .

(Howard, 1985). Taking all experimental findings into consideration, up to 30% of HOSO<sub>2</sub> can be converted to HOSO<sub>2</sub>O<sub>2</sub>.

It should be noted that a reduction of  $H_2SO_4$  formation from SO<sub>2</sub> oxidation due to pathway (6a) is within the measurement uncertainty of the CIMS instruments (chemical ionization mass spectrometry) applied in laboratory and field measurements (Lovejoy et al., 1996).

 $HOSO_2O_2$  is a peroxy-type radical and, analogous to organic peroxy radicals, it can react with HO<sub>2</sub>, other peroxy radicals or with NO and NO<sub>2</sub> (Finlayson-Pitts and Pitts, 2000). Also the reaction of  $HOSO_2O_2$  or its hydrated species  $HOSO_2O_2(H_2O)_n$  with SO<sub>2</sub> is imaginable (Wayne, 1991). If the reaction of  $HOSO_2O_2$  with HO<sub>2</sub>

$$HOSO_2O_2 + HO_2 \rightarrow HOSO_2O_2H + O_2 \tag{8}$$

and/or with other peroxy radicals (RO<sub>2</sub>)

 $HOSO_2O_2 + RO_2 \rightarrow products \tag{9}$ 

and/or with SO<sub>2</sub>

$$HOSO_2O_2 + SO_2 \rightarrow HOSO_2OSO_3 \tag{10}$$

is responsible for the formation of particle precursors, addition of NO and/or NO<sub>2</sub> should reduce or inhibit new particle formation as a part of the available  $HOSO_2O_2$  will be consumed by NO and/or NO<sub>2</sub>. (In pathways (8)–(10) also the hydrated species of  $HOSO_2O_2$  can react. For simplification the hydrated form is neglected here.)

- 3.3 New particle formation in the presence of NO/NO<sub>2</sub>
- 3.3.1 New particle formation in the presence of NO/NO<sub>2</sub> using in-situ produced "H<sub>2</sub>SO<sub>4</sub>"

To test the hypothesis that  $HOSO_2O_2$  is a possible precursor for nucleating species and that in the presence of NO and/or NO<sub>2</sub> new particle formation is reduced or inhibited, experiments were carried out, with NO<sub>x</sub> (NO+NO<sub>2</sub>) being present in the carrier gas. In this context it should be noted that generally NO and NO<sub>2</sub> can undergo reactions with O<sub>3</sub>, OH radicals, HO<sub>2</sub> and other RO<sub>2</sub> radicals influencing the OH radical level significantly. Therefore, to determine the in-situ produced "H<sub>2</sub>SO<sub>4</sub>" concentration, OH radical titration with furan was applied during this set of experiments. Figure 5, as an example, shows the results of measurements performed for different initial NO concentrations. In the course of the overall reaction, NO was significantly converted to NO<sub>2</sub>, e.g., for the lowest initial NO concentration, 32% of NO reacted to NO<sub>2</sub>. It is clearly seen that with increasing amounts of NO<sub>x</sub> being present in the reaction gas, new particle formation is inhibited. Similar observations were made for relative humidity in the range 10-60% or using NO<sub>2</sub> instead of NO. As a net inhibition of new particle formation is observed, the products from the NO<sub>x</sub> reactions, if important at all, have to be less effective particle precursors than the products from the reaction with HO<sub>2</sub> and/or other peroxy radicals or with SO<sub>2</sub> via pathways (8), (9) or (10), respectively. In this context it is to be noted, that in the presence of NO<sub>x</sub>, under all experimental conditions new particle formation was not a fully suppressed. With increasing  $NO_x$  concentrations the measured curves N vs. ["H<sub>2</sub>SO<sub>4</sub>"] are shifted towards the values expected for binary H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O nucleation (new particle formation for  $H_2SO_4$  concentrations above  $10^9$  molecule cm<sup>-3</sup>). Even for the highest NO concentration of  $3.1 \times 10^{12}$  molecule cm<sup>-3</sup>, c.f. Fig. 5, the "binary H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O nucleation" limit was not reached. A comparison of new particle formation data from this study with literature data is given in the accompanying paper (Laaksonen et al., 2008) for H<sub>2</sub>SO<sub>4</sub> from liquid reservoir.

 $NO_x$  cannot effect H<sub>2</sub>SO<sub>4</sub> formation as described by pathways (5)–(7) because the HOSO<sub>2</sub> life-time regarding the O<sub>2</sub> reaction, pathway (6), as well as the SO<sub>3</sub> life-time regarding hydrolysis, pathway (7), are much shorter than those resulting from the corresponding  $NO_x$  reactions (A more detailed explanation is given in Appendix B).

The experimental data depicted in Fig. 5 in the absence of NO<sub>x</sub> (gas flow:  $30 \text{ sl min}^{-1}$ , bulk residence time in irradiated middle section: 32 s) show that new particle formation was observed for "H<sub>2</sub>SO<sub>4</sub>" concentrations above  $7 \times 10^7$  molecule cm<sup>-3</sup>. This is about a one order of magnitude higher threshold "H<sub>2</sub>SO<sub>4</sub>" concentration than observed for low flow conditions (gas flow:  $3.33 \text{ sl min}^{-1}$ , bulk residence time in irradiated middle section: 290 s), cf. data in Berndt et al. (2005, 2006). Generally, the number of newly formed particles for the same " $H_2SO_4$ " concentration was found to be strongly dependent on residence time and relative humidity. Furthermore, for freshly nucleated particles with dp<3 nm it is obvious that the decreasing counting efficiency of the commercially available UCPCs (depending on particle size) does not permit to draw quantitative conclusions such as reliable slopes log(N) vs. log([" $H_2SO_4$ "]) and, consequently, the number of molecules in the critical cluster, c.f. Berndt et al. (2005).

# 3.3.2 New particle formation in the presence of NO/NO<sub>2</sub> using H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir

Furthermore, the influence of  $NO_x$  (NO+NO<sub>2</sub>) for the process of new particle formation was studied using H<sub>2</sub>SO<sub>4</sub> from the liquid reservoir. Fig. 6 shows the temporal behaviour of O<sub>3</sub> concentration and the particle number for an experiment using an initial H<sub>2</sub>SO<sub>4</sub> concentration (from the liquid reservoir) of  $\sim 5 \times 10^9$  molecule cm<sup>-3</sup>. After turning on the flow through the  $H_2SO_4$  – saturator a slightly increasing particle number was observed for the whole time on stream. At 55 min NO with a concentration of  $4.1 \times 10^{12}$  molecule cm<sup>-3</sup> was added and after turning on the external O<sub>3</sub> generation (O<sub>3</sub>:  $1.5 \times 10^{11}$  molecule cm<sup>-3</sup>) NO was partly converted to NO<sub>2</sub> with an end concentration of  $\sim 1.1 \times 10^{11}$  molecule cm<sup>-3</sup>, O<sub>3</sub>+NO $\rightarrow$ NO<sub>2</sub>+O<sub>2</sub>. Switching on UV lamps at 175 min OH radical formation started and beside OH radicals, HO2 and H2O2 also HONO and HNO<sub>3</sub> were produced. After turning off the NO flow (time: 205 min) only the O<sub>3</sub> photolysis products (OH radicals, HO<sub>2</sub>) and H<sub>2</sub>O<sub>2</sub>) remained. Under all conditions investigated, especially for NO<sub>x</sub> addition, there was no impact of added trace gases on the number of newly formed particles visible. The absence of a NO<sub>x</sub> dependence on the number of new particles is a clear disagreement regarding the findings using insitu produced "H<sub>2</sub>SO<sub>4</sub>". Consequently, these results represent a further indication that reaction products of SO<sub>2</sub> oxidation other than H<sub>2</sub>SO<sub>4</sub> (potentially products of sulphurcontaining peroxy-type radicals like  $HOSO_2O_2$ ) represent possible particle precursors.

3.4 Contribution of gas impurities to the process of new particle formation

Zhang et al. (2004) observed an enhancement of  $H_2SO_4/H_2O$ nucleation ( $H_2SO_4$  from liquid reservoir) adding aromatic acids to the reaction gas. It could be speculated that also in the course of our experiments organic acids are formed in a parallel step to the  $SO_2$  oxidation. These acids could increase  $H_2SO_4/H_2O$  nucleation in the same way as described by Zhang et al. (2004) causing probably a lowering of the  $H_2SO_4$  threshold concentration.

The experimental findings in the presence of  $H_2SO_4$  from liquid reservoir (absence of  $SO_2$ ), however, do not support this scenario, c.f. Fig. 2 and Fig. 6. In Fig. 2, before turn-

ing on SO<sub>2</sub> at 235 min, and starting SO<sub>2</sub> oxidation, UV was switched on producing OH radicals, HO<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, and CO<sub>2</sub> from CO oxidation. Under these conditions, gas impurities relevant as particle precursors, would be oxidized, producing probably e.g. acids. But, with and without OH radical formation (in absence of SO<sub>2</sub>), and consequently with and without oxidized impurities, there was no change in the measured total particle number. Furthermore, as given in Fig. 6 in the presence of NO<sub>x</sub>, there was also no change in the particle number visible when photochemistry was active. With respect to our experiments, this fact makes the occurrence of enhancing effects of oxidized impurities on H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O nucleation very unlikely.

Assuming that non-(in situ)oxidized, but rather permanently present, impurities could influence the nucleation process, similar effects for both cases, i.e.,  $H_2SO_4$  taken from the liquid reservoir and " $H_2SO_4$ " produced via OH+SO<sub>2</sub> (if  $H_2SO_4$  as a part of " $H_2SO_4$ " would be responsible for nucleation) should be observed. With this scenario, the different threshold concentrations needed for nucleation cannot be explained.

## 4 Summary and conclusions

It was pointed out that, under our experimental conditions being applicable to the lower troposphere, H<sub>2</sub>SO<sub>4</sub> evaporated from a liquid reservoir did not significantly participate in the formation and growth of particles formed from the gas phase reaction of OH radicals with SO<sub>2</sub>. Furthermore, it was shown that for NO<sub>x</sub> concentrations  $>5 \times 10^{11}$  molecule cm<sup>-3</sup> the formation of new particles from the reaction of OH radicals with  $SO_2$  is inhibited. This behaviour is not in line with the currently accepted reaction mechanism describing an equal-molar formation of H<sub>2</sub>SO<sub>4</sub> from SO<sub>2</sub>. Therefore, it can be hypothesized that in the course of SO<sub>2</sub> conversion, potentially sulphur-containing peroxy radicals like HOSO<sub>2</sub>O<sub>2</sub> can be formed in substantial fractions leading to products being different from H<sub>2</sub>SO<sub>4</sub>. It might be further speculated, that under lower tropospheric conditions, HOSO<sub>2</sub>O<sub>2</sub> (or its hydrated species) could react with HO<sub>2</sub> and other peroxy radicals (including the self-reaction). These reactions could result in low vapour pressure particle precursors. If, e.g., HOSO<sub>2</sub>O<sub>2</sub> reacts with HO<sub>2</sub> (the most abundant RO<sub>2</sub> radical in atmosphere), peroxo sulphuric acid is formed. Peroxo sulphuric acid is a hydrophilic solid, which in the liquid phase (in water) is converted to sulphuric acid and H2O2 (Kolditz, 1983) Another idea for particle formation starting from HOSO<sub>2</sub>O<sub>2</sub> is given by Wayne (1991) describing the reaction of hydrated HOSO<sub>2</sub>O<sub>2</sub> (HOSO<sub>2</sub>O<sub>2</sub>( $H_2O_n$ ) with SO<sub>2</sub> producing HOSO<sub>2</sub>O(H<sub>2</sub>O)<sub>n</sub>SO<sub>3</sub>. For large "n" these hydrated radicals are regarded as aerosol particles (or at least as precursors). These scenarios, however, are highly speculative at the moment.

The analysis of new particle formation for different reaction conditions suggests that contributions of impurities to the nucleation process are unlikely in our experiment.

In conclusion, this study shows, that it is not necessarily sulphuric acid triggering atmospheric new particle formation and growth and that other compounds (like peroxo sulphuric acid or  $HOSO_2O(H_2O)_nSO_3$  radicals) formed during the gas phase reaction of OH radicals with  $SO_2$  may play an important role.

In a second part a comparison of ambient and laboratory measurements are given and the atmospheric implications are discussed (Laaksonen et al., 2008).

#### Appendix A

#### **Comparison of modelling results**

Usually, calculations of "H<sub>2</sub>SO<sub>4</sub>" concentrations have been performed using pathways (1)–(4), calling in the following as *simple ideal plug model*. Considering also axial and radial diffusion processes in the tube, CFD modelling runs have been carried out using pathways (1)–(4) for description of the chemical processes, called *CFD model*. For a more detailed analysis of the importance of other, individual chemical steps occurring during SO<sub>2</sub> oxidation an *extended ideal plug model* was applied, see in the following the individual pathways:

$$O_3 \rightarrow \ldots \rightarrow 2OH$$
 (A1)

$$OH+CO \rightarrow \dots \rightarrow CO_2 + HO_2$$
 (A2)

$$OH+SO_2 \rightarrow \ldots \rightarrow "H_2SO_4" + HO_2 \tag{A3}$$

"H<sub>2</sub>SO<sub>4</sub>" $\rightarrow$  wall (A4)

 $OH+O_3 \rightarrow O_2 + HO_2 \tag{A5}$ 

 $OH + HO_2 \rightarrow O_2 + H_2O \tag{A6}$ 

 $OH + H_2O_2 \rightarrow H_2O + HO_2 \tag{A7}$ 

$$OH \rightarrow wall$$
 (A8)

 $HO_2 + HO_2 \rightarrow H_2O_2 + O_2 \tag{A9}$ 

 $HO_2 + O_3 \rightarrow OH + 2O_2 \tag{A10}$ 

 $HO_2 + SO_2 \rightarrow \ldots \rightarrow H_2SO_4 + OH$  (A11)

$$HO_2 \rightarrow wall$$
 (A12)

In the same way as described for pathway (1) the used effective photolysis rate coefficient  $k_{1A}$  was determined in each experiment measuring the O<sub>3</sub> decay. In pathway (A3) formation of HO<sub>2</sub> was considered assuming a yield of unity. This represents probably an overestimation and a yield of 0.7–0.9

could be more reliable if HOSO<sub>2</sub>O<sub>2</sub> is produced in substantial fraction, see text for explanation. For the rate coefficients describing the wall loss of OH radicals and HO<sub>2</sub>,  $k_{A8}$ and  $k_{A12}$ , the diffusion limit was assumed as  $k_{wall}$ =3.65 D/r<sup>2</sup>. Corresponding diffusion coefficients were taken from Reid et al. (1987). The other rate coefficients were taken from literature (Finlayson-Pitts and Pitts, 2000; Atkinson et al., 2004). It is to be noted that for pathway (A11) also the recommended value  $k_{A11}$ =10<sup>-18</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> was applied. The impact of  $k_{A11}$ =8.7×10<sup>-16</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (Payne et al., 1973) for the resulting H<sub>2</sub>SO<sub>4</sub> concentration is discussed in a separate paragraph.

For an example (r.h.=22%; gas flow: 10 sl min<sup>-1</sup>; bulk residence time in the middle section: 97 s; initial concentrations in molecule cm<sup>-3</sup>: O<sub>3</sub>:  $1.6 \times 10^{11}$ ; CO:  $1.0 \times 10^{14}$ ; SO<sub>2</sub>:  $3.5 \times 10^{11}$ ) resulting "H<sub>2</sub>SO<sub>4</sub>" concentrations were calculated for the end of the middle section using the three different models. The good agreement,  $2.58 \times 10^8$  molecule cm<sup>-3</sup> (*simple ideal plug model*),  $2.63 \times 10^8$  molecule cm<sup>-3</sup> (*extended ideal plug model*), and  $2.58 \times 10^8$  molecule cm<sup>-3</sup> for the centre line and  $2.00 \times 10^8$  molecule cm<sup>-3</sup> in the middle between centre line and wall (*CFD model*), shows clearly that an application of the *simple ideal plug model* is reasonable.

## Appendix **B**

## Atmospheric life-times of HOSO<sub>2</sub> and SO<sub>3</sub>

Assuming a concentration of  $10^{12}$  molecule cm<sup>-3</sup> for NO and NO<sub>2</sub> in each case, a HOSO<sub>2</sub> life-time regarding NO reaction of > 2 s (Martin et al., 1986) and regarding NO<sub>2</sub> reaction of > 10 milliseconds (assuming an upper limit for the rate coefficient of 10<sup>-10</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup>) follows. A comparison with the life-time regarding overall O<sub>2</sub> reaction via pathway (6) of  $\sim 0.5$  microseconds (Gleason et al., 1987) shows clearly that NO and NO2 cannot influence the conversion of HOSO<sub>2</sub> via pathways (6) and (6a). Assuming for the reaction of SO<sub>3</sub> with NO and NO<sub>2</sub> the rate coefficient measured for the NO<sub>2</sub> reaction (Penzhorn and Canosa, 1983), the SO<sub>3</sub> life-times regarding the NO and NO<sub>2</sub> reaction are in the order of 80 days. That means that also the hydrolysis of SO<sub>3</sub> via pathway (7) (with a life-time of  $\sim$ 20 microseconds assuming a H<sub>2</sub>O concentration of  $3 \times 10^{17}$  molecule cm<sup>-3</sup> (Jayne et al., 1997)) cannot be affected by NO and NO<sub>2</sub>. Consequently, NO and NO<sub>2</sub> for the concentrations considered here, cannot affect  $H_2SO_4$  formation via pathways (5)–(7).

Acknowledgements. We thank E. Brüggemann and A. Thomas for  $SO_4^{2-}$  measurements, K. Pielok, T. Conrath and H. Macholeth for technical assistance.

Edited by: J. Curtius

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