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# Characterizing lead-rich particles in Beijing's atmosphere following coal-to-gas conversion: insights from single-particle aerosol mass spectrometry

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Abstract. Coal-to-gas (CTG) policies are important energy transformation strategies for addressing air pollution issues, but how well they improve atmospheric lead (Pb) pollution remains poorly understood. By the end of 2018, Beijing had achieved coal-free status in urban and plain areas. The mixing state and atmospheric chemical processes of Pb-rich particles in Beijing were monitored using single-particle aerosol mass spectrometry (SPAMS) in 2019. Based on a large dataset of mass spectra, this study finds that the number fractions of Pb-rich particles, as well as two specific types of Pb-rich particles (K-Na-EC and K-OC, where EC and OC denote elemental and organic carbon, respectively) related to coal combustion during the official Beijing heating period, show lower number fractions than those after the heating period. Based on concentration-weighted trajectory plots, the results indicate that lead aerosols mainly derive from transmission from surrounding provinces. Lead nitrate is one of the important forms of lead in aerosol particles, particularly as a result of photo-chemical reactions in the spring, fall, and winter. Due to nitrate decomposition during high temperatures, the aqueous reaction mechanism contributes more to lead nitrate during the summer. These results improve our understanding of the seasonal distribution, formation mechanisms, and influencing factors of toxic Pb-containing particles after CTG.

# 1 Introduction

Lead (Pb), as one of the ubiquitous toxic metals, has been widely noted for its serious harm to human health (Cho et al., 2011; Grandjean and Herz, 2015; Lu et al., 2019; Schindler et al., 2022; Sommar et al., 2013). Pb-containing particles enter the lungs and blood of the human body through the respiratory tract, and accumulate in circulation within the body using blood as a carrier (Oberdörster et al., 2004); thus, they can severely damage DNA, the kidneys, and the nervous system (Gu et al., 2018; Shtepliuk et al., 2018; Wani et al., 2015; Yedjou et al., 2016). Previous studies have suggested that no level of Pb in the human body is considered safe (Jakubowski, 2011; Rossi, 2008). Additionally, atmospheric Pb is also considered to contribute to climate modification as a cloud condensation nucleus (Cziczo et al., 2009; Ebert et al., 2011).

Pb enters the atmosphere through emissions from natural and anthropogenic sources. The Pb emission fluxes from anthropogenic sources are at least 1-2 orders of magnitude higher than those from natural sources (Komárek et al., 2008). Since the 1980s, atmospheric Pb has declined sharply due to leaded gasoline being phased out (Cho et al., 2011; Kristensen, 2015). Following this, the decrease in airborne Pb emissions from automobiles increased the relative contribution from smelters, coal combustion, and waste incineration (Cai et al., 2017; Cho et al., 2011; Liang and Mao, 2015; Lu et al., 2019; Peng et al., 2020; Zhang et al., 2009; Zhao et al., 2017). Atmospheric Pb is primarily released during high-temperature processes due to the condensation of its vapors and/or coagulation with existing particles (Csavina et al., 2014; Murphy et al., 2007). Lead production smelting processes and coal combustion processes emit PbS, PbO, and PbSO<sub>4</sub> into the atmosphere (Koukouzas et al., 2011; Sobanska et al., 1999; Tian et al., 2013; Yoshiie et al., 2013). The other two forms of lead compounds are PbCl<sub>2</sub> and Pb(NO<sub>3</sub>)<sub>2</sub>, which mainly come from the atmospheric aging process of Pb-containing aerosols (Schindler et al., 2022). PbCl<sub>2</sub> is formed through the heterogeneous reaction between PbO and HCl during smelting combustion (Furimsky, 2000; Ohmsen, 2001) or waste incineration (Li et al., 2017; Moffet et al., 2008; Zhang et al., 2009). Pb(NO<sub>3</sub>)<sub>2</sub> is generated from the chemical transformation of PbO and PbCl2 in the atmospheric process (Moffet et al., 2008; Peng et al., 2020). These processes are crucial for Pb and showed a significant negative impact on health because of the high solubility of  $Pb(NO_3)_2$  (Bas and Kalender, 2016).

Coal combustion during winter heating is an important source of Pb-containing particles in Beijing, China (Li et al., 2012; Murphy et al., 2007; Peng et al., 2020). Since 2013, the government has introduced a series of strict measures to advance the conversion of coal to clean energy, known as coal-to-gas (CTG). By the end of 2018, villages in Beijing's plain area achieved a coal-free transformation (Wang et al., 2021). Urban clean fuel centralized heating has been com-

pleted. Therefore, the elimination of coal combustion has provided a valuable opportunity to fully understand the contribution of coal combustion sources to atmospheric Pb and the atmospheric Pb sources previously masked by coal combustion sources. However, there is still a lack of research on the source, transport, and evolution process of Pb particles after CTG.

Traditional atmospheric Pb data are collected off-line by particle analysis by laser mass spectrometry (PALMS), transmission electron microscope (TEM), and scanning electronic microscopy (SEM) (Murphy et al., 2007; Schindler et al., 2022). Off-line techniques are useful for providing accurate mass concentration values for Pb but show poor temporal resolution. More recently, mass spectrometry-based online techniques such as the single-particle mass spectrometer (SPMS) (Lu et al., 2019) have been favored. Although these techniques are typically not quantitative, they provide information on the sources and mixing state of Pb in real time. This information is critical to understanding the atmospheric transport and aging processes of Pb. Lu et al. (2019) analyzed the mixed state of Pb-containing particles monitored by SPMS and found that the sources of particulate Pb in Guangzhou, China, include coal combustion, waste incineration, vehicle exhaust, industrial processes, and dust. Moffet et al. (2008) used a SPMS to monitor the diurnal variation characteristics of Zn or Pb single particles in industrial areas in Mexico and found that Zn or Pb chloride conversion to metal nitrate began each day at  $\sim 07:00$  local time.

To better understand the mixing state, source, and atmospheric evolution process of atmospheric Pb after CTG, we investigate Pb-containing individual particles with a high time resolution in the atmosphere of urban Beijing from spring to winter in 2019. The three specific goals are to (1) compare the differences in Pb-rich particles between heating and non-heating periods; (2) investigate the sources and mixing state of Pb-rich particles after CTG; and (3) expound on the diurnal and seasonal variation characteristics of particulate lead nitrate and its possible formation mechanism of atmospheric Pb after CTG.

## 2 Field measurements

# 2.1 Data collection

The sampling site was located in Beijing at the China National Environmental Monitoring Centre (40.05° N, 116.43° E; 40 ma.s.l.) in Beijing. Sampling was conducted during spring (9 March–8 April 2019), summer (16 June–9 July 2019), fall (15 September–16 October 2019), and winter 2019 (15 December 2019–15 January 2020). The central heating period in Beijing is from 00:00 local time (LT) on 15 November to 23:00 LT on 15 March. The sampling during the winter period and part of the spring period covers the time period containing Beijing's official central heating period. Local meteorological parameters, including RH, tem-

Species	Spring		Summer		Fall		Winter 2019	
•	Number	Nf (%)	Number	Nf (%)	Number	Nf (%)	Number	Nf (%)
All particles <sup>a</sup>	2 058 001		654 094		1459 253		3 430 337	
Pb-containing	56738	2.8 <sup>b</sup>	18 893	2.9 <sup>b</sup>	43 763	3.0 <sup>b</sup>	149 590	4.4 <sup>b</sup>
Pb-rich	23 261	1.2 <sup>b</sup> 44.5 <sup>c</sup>	8034	1.2 <sup>b</sup> 42.5 <sup>c</sup>	17 930	1.2 <sup>b</sup> 40.9 <sup>c</sup>	23 941	0.7 <sup>b</sup> 16.0 <sup>c</sup>
K-Na	20 004	79.2 <sup>d</sup>	6769	84.3 <sup>d</sup>	14 996	83.6 <sup>d</sup>	18 779	78.4 <sup>d</sup>
K-Na-Fe	1725	6.8 <sup>d</sup>	535	6.7 <sup>d</sup>	879	4.9 <sup>d</sup>	1270	5.3 <sup>d</sup>
K-Na-Cu	297	1.2 <sup>d</sup>	236	2.9 <sup>d</sup>	598	3.3 <sup>d</sup>	382	1.6 <sup>d</sup>
K-Na-Zn	231	0.9 <sup>d</sup>	7	0.1 <sup>d</sup>	32	0.2 <sup>d</sup>	86	0.4 <sup>d</sup>
K-Na-EC	1594	6.3 <sup>d</sup>	358	4.5 <sup>d</sup>	263	1.5 <sup>d</sup>	2128	8.9 <sup>d</sup>
K-OC	696	2.8 <sup>d</sup>	77	1.0 <sup>d</sup>	783	4.4 <sup>d</sup>	989	4.1 <sup>d</sup>
Others	714	2.8 <sup>d</sup>	52	0.6 <sup>d</sup>	379	2.1 <sup>d</sup>	307	1.3 <sup>d</sup>
Pb-N	4314	17.1 <sup>e</sup>	2292	28.5 <sup>e</sup>	7015	39.1 <sup>e</sup>	4712	19.7 <sup>e</sup>

**Table 1.** A summary of the dates of the SPAMS measurements and the number and number fraction (Nf) of the detected Pb-rich particles.

perature (T), wind speed, wind direction, and the concentrations of NO<sub>2</sub>, SO<sub>2</sub>, O<sub>3</sub>, and PM<sub>2.5</sub> from 2019 to 2020 are provided by the China National Environmental Monitoring Centre (Table S1 in the Supplement).

This study used a single-particle aerosol mass spectrometer (SPAMS, Hexin Analytical Instrument Co., Ltd., Guangzhou, China) to monitor the size and chemical composition of aerosol particles with a vacuum aerodynamic diameter of  $0.1-2.0 \,\mu m$  ( $d_{va}$ ). Ambient particles are separated by a PM<sub>2.5</sub> cyclone separator and dried with silica gel before entering the SPAMS. The detailed setup and mechanisms of the SPAMS have been described elsewhere (Li et al., 2011). Briefly, aerosol particles were introduced into the SPAMS through a 0.1 mm critical orifice at a flow rate of 80 mL min<sup>-1</sup>. Then, they were focused and aerodynamically sized by two continuous diode Nd: YAG laser beams (532 nm), followed by desorption/ionization by a pulsed laser (266 nm) that was triggered exactly based on the time of flight during the sizing. The positive and negative fragments generated were then detected using a time-of-flight spectrometer.

## 2.2 Data analysis

Data analysis is performed by importing single-particle size and mass spectra into MATLAB (The MathWorks, Inc.) using the Continuation Core (COCO; version 3.0) toolkit. A total of  $\sim\!650\,000$  to  $\sim\!3\,400\,000$  particles with both pos-

itive and negative ion mass spectra were obtained by the SPAMS. Among them, around 20 000 to 140 000 (2.8 %– 4.4 %) Pb-containing particles were identified with ion signals > 5 (> 3 times the noise level) at mass-to-charge ratios (m/z) of 206, 207, and 208. Pb-rich particles are defined as particles with a relative intensity of <sup>208</sup>Pb greater than 5% of the total intensity in a mass spectrum, which is the same criterion that has been used in previous online Pb single-particle studies (Cai et al., 2017; Peng et al., 2020; Zhang et al., 2009). We measured 8034-23 941 Pb-rich particles, accounting for 0.7 %–1.2 % of all detected particles and 16.0 %–44.5 % of Pb-containing particles (Table 1). During the sampling period, there was a significant correlation between the number of Pb-containing particles and Pb-rich particles in all the detected particles, with correlation coefficients r > 0.85 and p < 0.01. Moreover, the size distribution characteristics of Pb-containing particles and Pb-rich particles are similar (Fig. S1 in the Supplement). The correlation between Pb-containing particles and Pb-rich particles in winter (r = 0.47, p < 0.01) is much lower than that in other seasons. The number fractions of Pb-rich particles decreased significantly, accounting for only 16 % of Pb-containing particles in winter, which is 40 % lower than that in other seasons. Previous results showed that Pb-rich particles typically undergo less aging than non-Pb-rich particles (Zhang et al., 2009). Thus the weaker correlation in winter may be related to the accumulation of non-Pb-rich particles after the conversion of Pb-rich particles to non-Pb-rich particles caused by

<sup>&</sup>lt;sup>a</sup> All particles were particles with both positive and negative spectra. <sup>b</sup> Nf was calculated through the number of Pb-containing or Pb-rich particles by the number of all particles over the sampling period. <sup>c</sup> Nf was calculated through the number of Pb-rich particles types by Pb-rich particles over the sampling period. <sup>d</sup> Nf was calculated through the number of Pb-rich particles by Pb-containing particles over the sampling period. <sup>e</sup> Nf was calculated through the number of Pb-N particles by Pb-rich particles over the sampling period.

poor diffusion conditions. Therefore, this study focuses on Pb-rich particles with higher relative strength fractions of Pb than that in Pb-containing particles, which are more likely to imply higher lead concentrations in individual particles and have relatively simple chemical histories.

The screened Pb-rich particles were subsequently analyzed using an adaptive resonance theory-based neural network algorithm (ART-2a) (Song et al., 1999), with a vigilance factor of 0.75, a learning rate of 0.05, and 20 iterations. To analyze the source of Pb-rich particles, approximately 95 % of the particles were classified by ART-2a and manually sorted into six types based on positive spectral signal intensity. The mixed state of lead, nitrate, and other ions is identified by whether the marker ions of lead and other ions are in the same single-particle spectrum. They are named potassium-sodium (K-Na), K-Na mixed with Fe (K-Na-Fe), K-Na mixed with Cu (K-Na-Cu), K-Na mixed with Zn (K-Na-Zn), K-Na mixed with elemental carbon (K-Na-EC), and potassium mixed organic carbon (K-OC). The remaining particles were classified as "others". The average mass spectra for the main Pb-rich particle types are shown in Fig. 1.

The definition of lead nitrate (Pb-N) particles in this study is the particles mainly composed of nitrate in the negative spectrum of Pb-rich particles, and the nitrate peak area is strongly correlated with lead (Peng et al., 2020). Although this method has limitations in fully capturing the variability or total content of lead nitrate, it does not impact the accuracy of the analysis results of lead nitrate in this study. There are significant correlations between Pb-N particles and Pbrich particles mixed with nitrate (r = 0.84-0.96, p < 0.01), ensuring that the observed trends and relationships remain robust and reliable. In this study, the average mass spectrum and digital spectrum of Pb-N particles are shown in Figs. 2 and S2, S3 in the Supplement. Pb-N particles mainly consist of nitrate in the negative spectrum, and iron, sodium, potassium, and a small amount of organic compounds in the positive spectrum. There is significant correlation (r = 0.82-0.98, p < 0.01) between the total peak area of nitrates (sum peak area of m/z -46 and -62) and the total peak area of lead (sum peak area of m/z 206, 207, and 208) in Pb-N particles (Fig. S4 in the Supplement). The weak correlation between nitrates and sodium, potassium, and iron (r = 0-0.42, p < 0.01) are also found. Thus, these Pb-N type particles are also most likely represented as Pb(NO<sub>3</sub>)<sub>2</sub> in this study.

# 2.3 Concentration-weighted trajectory (CWT) model

The CWT model was applied to localize potential source areas affecting aerosol particles at a receptor site (Watson et al., 2008). In this procedure (Eq. 1), each grid cell is assigned a weighted concentration obtained by averaging sample concentrations that have associated trajectories that crossed that

grid cell as follows (Hsu et al., 2003):

$$C_{ij} = \frac{1}{\sum_{l=1}^{M} \tau_{ijl}} \sum_{l=1}^{M} C_l \tau_{ijl}$$
 (R1)

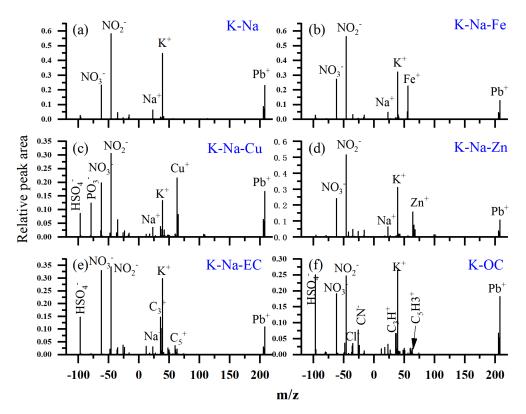
$$W(i,j) = \begin{cases} 1.0 & (3n_{\text{avg}} < n_{ij}) \\ 0.7 & (1.5n_{\text{avg}} < n_{ij} < 3n_{\text{avg}}) \\ 0.4 & (n_{\text{avg}} < n_{ij} < 1.5n_{\text{avg}}) \\ 0.2 & (n_{\text{avg}} < n_{ij}) \end{cases}$$
(R2)

Here,  $C_{ij}$  is the weighted average concentration in a grid cell (i,j), l is the index of the trajectory, M is the total number of trajectories,  $C_l$  is the concentration observed on the arrival of trajectory l,  $\tau_{ijl}$  is the time spent in the ijth cell by trajectory l, W(i,j) is the weighting function, and  $n_{ij}$  is the number of endpoints through the ij cell. The weighted concentration fields show concentration gradients across potential sources. The back-trajectory analyses were conducted with the HYSPLIT4 model from the U.S. National Oceanic and Atmospheric Administration (Draxler and Rolph, 2003), with the arrival altitude and calculation time set at 100 m and 72 h, respectively.

#### 3 Results and discussions

# 3.1 Mixing state and sources of Pb-rich particles

K-Na-EC particles can be distinguished from the other Pbrich particles by their distinct EC cluster ions with  $C_n^+/C_n^-$ (n: 2-5) in the mass spectra (Fig. 1), accounting for 1.5 %-8.9 % of all the detected particles (Table 1). The spectral characteristics of K-Na-EC particles in this study are similar to those of Pb-EC-/OC-EC-rich particles in previous studies of Pb-containing single particles, which are confirmed to be most likely attributed to combustion emissions, especially from coal combustions (Cai et al., 2017; Peng et al., 2020). The number fractions of Pb-EC particles during the heating period were  $\sim 5\%-10\%$ , while they were  $\sim 1\%-2\%$  in the winter of 2014 (Peng et al., 2020). EC particles are directly emitted from sources such as vehicle exhaust, industrial processes, and biomass burning due to incomplete combustion of organic species (Tiwari et al., 2013). Pb-containing particles from vehicle combustion are often coated with semivolatile organic carbon species, and K-Na-EC from diesel truck exhaust mostly coexists with phosphate (Amann and Siegla, 1982; Lu et al., 2019). In this study, K-Na-EC particles contain very weak OC fragments, such as m/z 51  $[C_4H_3]^+$  and 63  $[C_5H_3]^+$ , and the number fractions of phosphate  $(m/z - 79 \text{ [PO_3]}^-)$  in K-Na-EC particles accounted for only 10.4 %. In addition, Pb is only a minor component in particles from biomass burning (Bi et al., 2011; Hudson et al., 2004; Murphy et al., 2007). Considering these factors, the K-Na-EC particles in this study are most likely from coal combustion.



**Figure 1.** Average mass spectra for the main Pb-rich particle types.

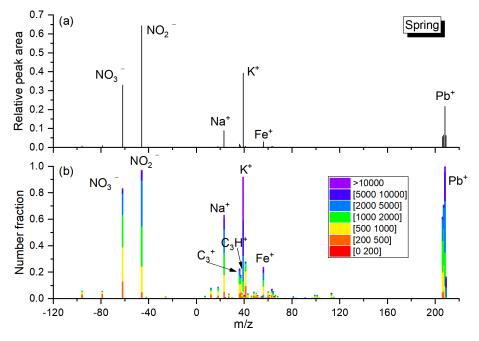


Figure 2. The average mass spectrum and digital spectrum of lead nitrate particles during spring.

OC particles exhibit spectral variation with strong (m/z) 39  $[K]^+$ ) and weak  $(m/z \ 37 \ [C_3H]^+$  and  $51 \ [C_4H_3]^+$ ) OC fragments, accounting for 1.0 %-4.4 % of all the detected particles. The significant correlation between K-OC and K-Na-EC particles (r = 0.58, p < 0.01); Fig. S5a in the Supplement) indicates that they may be homologous (Cai et al., 2017; Lu et al., 2019). The K-Na-EC and K-OC particles showed a similar distribution in that the number fractions decreased with increasing  $d_{va}$ , and represented the highest fraction (46.4%-71.4%) in the size range of  $0.1-0.4 \mu m$  (Fig. S6) in the Supplement). Additionally, K-Na-Cu particles show similar size distribution characteristics to K-OC and K-Na-EC particles, accounting for 1.2 %-3.3 % of all the detected particles. K-Na-Cu particles are identified by the intense ion signals from Cu  $(m/z 63/65 \text{ [Cu]}^+)$  and phosphate, with a peak particle size distribution of 0.5–0.6 µm. They are probably from industrial coal combustion, as the mass spectrum and particle size distribution characteristics of K-Na-Cu particles are similar to those of Pb-containing particles released from industrial coal combustion (Lu et al., 2019).

K-Na-Fe particles exhibit spectral variation with a strong dominant iron signal at m/z 56 [Fe]<sup>+</sup> and a weaker signal from its natural isotope m/z 54 [Fe]<sup>+</sup> in the positive spectrum. K-Na-Zn is recognized by a strong signal at m/z 64  $[Zn]^+$  and a weaker signal from its natural isotope m/z 66 or 68 [Zn]<sup>+</sup> in the positive spectrum. Unlike the particle types mentioned earlier, the negative spectra of K-Na-Fe and K-Na-Zn particles contain strong nitrate  $(m/z - 46 \text{ [NO}_2)^- \text{ and }$  $-62 [NO_3]^-$ ) peaks, but the sulfate  $(m/z - 97 [HSO_4]^-)$ peaks are very weak. They are distributed in larger particle sizes ( $> 0.5 \mu m$ ), indicating that they are more aged than those from combustion. K-Na-Fe and K-Na-Zn particles account for 4.9%-6.8% and 0.1%-0.9% of all the detected particles, respectively. K-Na-Fe and K-Na-Zn particles are highly likely to originate from industrial processes, such as metal smelting processes. Industrial emissions of Pb are mainly from nonferrous metal smelting, usually mixed with Fe and/or Zn in the particle phase (Batonneau et al., 2004; Lu et al., 2019; Moffet et al., 2008; Tian et al., 2015). Lu et al. (2019) demonstrated that K-Na-Zn particles in environmental aerosols are most likely to originate from industrial processes by comparing atmospheric lead-containing particle (LCP) mass spectra with authentic Pb emission source mass spectra. Previous research has shown that the number fractions of the Pb-rich particles mixed with Fe and Zn significantly decrease during the Beijing heating season, with decreases of 8 % and 6 %, respectively (Peng et al., 2020).

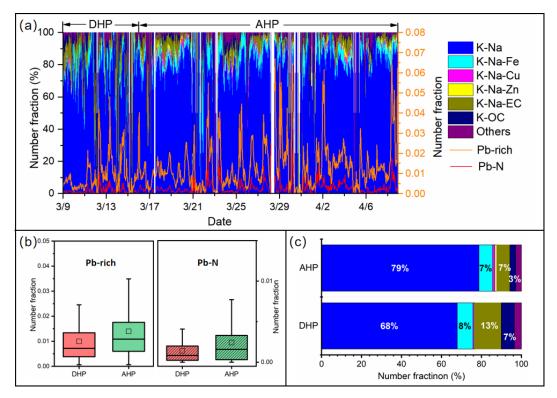
K-Na particles exhibit spectral variation, with strong m/z 39 [K]<sup>+</sup> and m/z 23 [Na]<sup>+</sup> in the positive spectrum and strong nitrate in the negative spectrum. K-Na particles are the most abundant particle type in environmental aerosols, accounting for 78.4 %–84.3 % of all detected particles. The vast majority of K-Na particles are emitted from non-coal combustion sources (Murphy et al., 2007; Tian et al., 2015). K-Na particles are not correlated with the number fractions

of K-Na-EC and K-OC particles. The relative number fractions of K-Na particles decreased significantly in the heating season. Particles of K-Na mixed with Li  $(m/z 7 [Li]^+)$ , which may come from coal combustion fly ash (Furutani et al., 2011; Guazzotti and S., 2003; Liu et al., 1997), account for only 3.5 % (by number) of all K-Na particles. Most (83.1 %–97.8 %) K-Na particles are distributed in the particle size larger than  $0.5\,\mu m$ , which is the same as K-Na-Zn and K-Na-Fe particles. A significant correlation (r = 0.61, p < 0.01; Fig. S7b in the Supplement) between the number fractions of K-Na and K-Na-Fe is also observed. These results suggest that K-Na and K-Na-Fe particles have the same primary sources and undergo similar atmospheric aging processes. We speculate the major primary sources of Pb in Beijing have changed from combustion processes and the iron/steel industry since CTG.

# 3.2 The reduced contribution of local coal combustion to Pb-rich particles after CTG

The variation characteristics of Pb-rich particles and various types of Pb-rich particles during and after the heating period in spring 2019 are shown in Fig. 3. The average number fractions of Pb-rich particles during the heating period is 28.6 %, lower than that after the heating period, and this trend of lead nitrate particles (42.2%) is more significant (Fig. 3b). The maximum hourly number fractions of Pb-rich and Pb-N particles after the heating period are usually one to two times that during the heating period (Fig. 3a). This is a significant decrease compared to double the average and maximum hourly number fractions of Pb-rich particles during the previous coal-fired centralized heating periods in Beijing (Peng et al., 2020). In addition, during the heating period, the concentrations of pollutants (PM<sub>2.5</sub>, NO<sub>2</sub>, SO<sub>2</sub>, CO, and O<sub>3</sub>) are lower than during the non-heating periods (Fig. S7a). The relative peak area of Pb in Pb-rich particles in the heating period season including spring and winter is about 15 % lower than that in non-heating season (Fig. S7b). Previous studies on the impact of CTG conversion policies on air quality have shown that this policy led to PM<sub>2.5</sub>, SO<sub>2</sub>, and other air quality parameters being significantly improved. On average, the "coal-to-gas" policy reduced SO2, NO2, PM10, PM<sub>2.5</sub>, and CO by 12.08 %, 4.89 %, 13.07 %, 11.94 %, and 11.10 % per year from 2014 to 2018 in Beijing, respectively (Liu et al., 2020). Our results provide evidence that the "coalto-gas" policy has also effectively improved the pollution of Pb-containing aerosols.

We note that the total relative number fraction of K-Na-EC and K-OC particles associated with coal combustion sources doubled during the heating period compared to the non-heating period (Fig. 3c). In winter, the total relative number fraction of K-Na-EC and K-OC particles is more than twice that of non-heating seasons in summer and fall (Fig. S8 in the Supplement). Sampling in winter is 25 d longer than in spring during the heating period, and the total relative number frac-



**Figure 3.** The time series of the main Pb-rich particle type particles (a), the number fractions of Pb-rich and Pb-N particles (b), and the relative abundance of seven types of particles (c) during (DHP) and after (AHP) the heating period in spring.

tion of K-Na-EC and K-OC particles is 60 % higher than in spring. To identify the potential sources for the Pb-rich particles after CTG, the CWT plots from spring to winter are analyzed (Fig. 4). The number of high-weighted cells in the web version presented the potential major source areas. The potential source area of atmospheric Pb is mainly dominated by external transport and exhibits seasonal variation characteristics. Pb-rich particles are mainly contributed by eastern Inner Mongolia, the central region of Liaoning province, and at the junction of Anhui, Shandong, and Henan provinces during spring. The high-value areas during summer and fall are mainly distributed in the eastern part of Shandong province and Tianjin, as well as the western part of Liaoning province. The potential winter source areas are distributed in the longdistance transmission of air masses from eastern Mongolia and the northern regions of Tianjin and Hebei province. Previous research results have shown that, on average, 45 % of Pb in PM<sub>2.5</sub> in urban Beijing was transported (Cai et al., 2017). It is noticeable that lead smelters and metal refining plants are widely distributed in this area with rich mineral resources (Cai et al., 2017; Liu et al., 2018; Tian et al., 2015). These regions are also the regions with higher lead emissions in China in 2020 (Tong et al., 2024).

# 3.3 Characteristics of size and mixing state during and after the heating period after CTG

The mixed state with anions provides important fundamental data for further understanding the form of lead in environmental aerosols. In this study, about 60% of the Pbrich particles are found to be mixed with chloride and oxygen. This proportion remain consistent across the heating and non-heating periods in spring, as well as the heating period in winter (Fig. 5). However, the mixing ratio of Pb-rich particles with sulfate is about 10% higher during the spring heating period compared to the non-heating period. This increase is likely influenced by the sulfur dioxide concentrations (Table S1). After CTG conversion, the mixing state of lead in spring and winter are more complex than in summer and fall, with the number fractions of lead mixed with sulfate and chloride salts doubling compared to summer and fall.

Additionally, previous research has shown that the main forms of lead in aerosol particles include lead oxide, lead chloride, lead sulfate, and lead nitrate (Cai et al., 2017; Lu et al., 2019). In this study, almost all lead particles are mixed with nitrate, which is much higher than its mixing with sulfate, chlorine, and oxygen. More than 97% of Pb-rich particles are mixed with nitrate, while only 22%–64% of Pb-rich particles are mixed with sulfate, chlorine, or oxygen. As shown in Fig. S9 in the Supplement, the number fractions of Pb-N particles rises with increasing particle size,

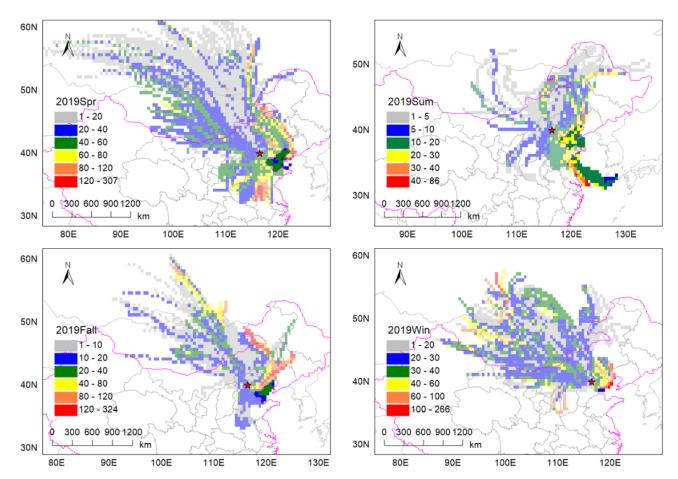
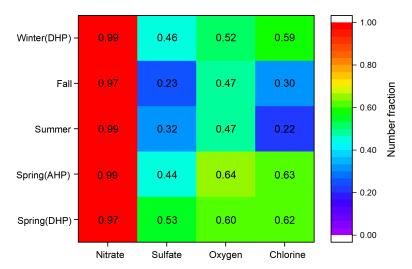


Figure 4. CWT plots of potential source areas of Pb-rich particles from spring to winter, respectively.



**Figure 5.** Number fraction of nitrate  $(m/z - 46 \text{ [NO}_2]^- \text{ or } -62 \text{ [NO}_3]^-)$ , sulfate  $(m/z - 97 \text{ [HSO}_4]^-)$ , oxygen  $(m/z - 16 \text{ [O]}^- \text{ or } -17 \text{ [OH]}^-)$ , and chlorine  $(m/z - 35 \text{ [}^{35}\text{Cl]}^- \text{ or } -37 \text{ [}^{37}\text{Cl]}^-)$  in Pb-rich particles from spring to winter, respectively.

with over 85 % of these particles found within the size range of 0.5 to 1.0  $\mu$ m. This distribution suggests that the majority of lead nitrate originates from the secondary formation of Pb-containing particles during their transport. The number fractions of Pb-N particles in Pb-rich particles are 17.1 %—39.1 % from spring to winter (Table 1). Among them, the number fractions of Pb-N particles are higher in summer and fall than those in spring and winter. However, this result is inconsistent with the distribution characteristics of high nitrate levels in winter and lower nitrate levels in summer. To unravel this mystery, we further explore the possible formation mechanism of lead nitrate in the next section.

# 3.4 The possible formation mechanism of Pb(NO<sub>3</sub>)<sub>2</sub> in the particle after CTG

Except for summer, the number fractions of Pb-N particles are higher during the day and lower at night, with high values mainly distributed between 10:00 and 15:00 LT (Fig. 6). In spring, Pb-N particles account for the lowest amount of all detected particles from 22:00 to 00:00 LT, with an average of about 0.1 %. After 00:00 LT, the number fractions of Pb-N particles increase gradually until 09:00-15:00 LT, and the number fractions of Pb-N particles increase to 0.3 %, and then slowly decrease. The peak number fractions of Pb-N particles in fall lags behind that in spring by about 2h. It starts to rise rapidly at 10:00 LT in the morning and rises from 0.3 % to 0.8 % in 3 h. After 14:00 LT, it slowly drops to  $\sim$  0.3 % at 21:00 LT, and fluctuates slightly between 0.2 %– 0.3 % from 22:00 to 10:00 LT the next day. The number fractions of Pb-N particles in winter with time is similar to that during fall, but the difference between the high value in the daytime and that at other times is small, only 0.07 %. In Mexico City aerosols, the conversion of lead chloride to lead nitrate occurs between 07:00 and 21:00 LT and peaks between 12:00 and 02:00 LT due to the effective formation of gaseous HNO<sub>3</sub> after sunrise (Moffet et al., 2008). Furthermore, the number fractions of Pb-N particles are slightly higher on sunny days than on non-sunny days in spring and winter (Fig. 7). Ozone, which reflects the activity of photochemical reactions, is significantly higher on sunny days than on nonsunny days, with an average value of 1.7 %-71.0 % higher from spring to winter (Fig. S10 in the Supplement). In particular, the low number fractions ( $\sim 0.2\%$ ) of Pb-N particles occur from 12:00 to 23:00 LT, and the high values ( $\sim 3.5 \%$ ) from 00:00 to 11:00 LT in summer. Previous results have shown that Pb(NO<sub>3</sub>)<sub>2</sub> did not show any significant diurnal variation; both daytime and nighttime atmospheric processes contributed to the observed Pb(NO<sub>3</sub>)<sub>2</sub> (Peng et al., 2020). These results support the importance of photo-oxidation for the formation of nitric acid and lead nitrate and highlight the seasonal differences in the formation process of lead nitrate.

Previous studies revealed that  $NO_2$ , RH, and T can affect the formation of lead nitrate in atmospheric aerosols. As a precursor of nitrate,  $NO_2$  participates in the formation of

lead nitrate both during the day and at night. A significant positive correlation (r = 0.88, p < 0.01) between the RH of nitrate and NO<sub>2</sub> was observed (Peng et al., 2020). Temperature, relative humidity, and light intensity indirectly affect the formation of lead nitrate by influencing the formation of nitrate. As suggested by previous studies, the heterogeneous hydrolysis of N<sub>2</sub>O<sub>5</sub> under high RH plays a crucial role in particulate nitrate formation and significantly contributed to the elevated fine nitrate during nighttime (Zhang et al., 2017). Solar radiation affects the formation of OH radicals, and OH radicals are oxidants that transform NO2 into HNO3, so a high concentration of OH radicals is conducive to the formation of HNO<sub>3</sub>. At the same time, higher temperatures are also conducive to NO<sub>2</sub> and OH reactions (Slater et al., 2020). Specifically, the number fractions of Pb-N particles decreases with increasing T, especially when the temperature exceeds 30°, which may be an indirect result of the decomposition of nitrate particles caused by high temperature (Song et al., 2019). Lead nitrate abundance begins to increase at sunrise and peaks between 12:00 and 02:00 LT (Moffet et al., 2008), consistent with the diurnal variation in temperature and light intensity. In this study, the number fractions of Pb-N particles increased with the increase in temperature between -10 and 30°, and decreased with the increase in temperature above 30° (Fig. 8).

With the increase in NO<sub>2</sub>, the number fractions of Pb-N particles decreased significantly in summer but did not change significantly in other seasons. The effect of NO<sub>2</sub> on the formation of lead nitrate is limited by RH. The relative intensity of nitrate exceeded 12% in most situations when the RH was higher than 60 %, while the relative intensity of nitrate reached a maximum of 21 % with RH above 80 % (Peng et al., 2020). The number fractions of Pb-N particles decreased slightly with the increase in RH. This is inconsistent with the result that high RH promotes the formation of lead nitrate (Peng et al., 2020). It is important to note that this does not imply that high RH inhibits the formation of lead nitrate. Instead, the observed trend may be influenced by the stronger impact of photo-chemical oxidation reactions, which are enhanced by high T and low RH during the day, compared to the aqueous-phase reactions favored by high RH in the formation of lead nitrate.

Lead nitrates in atmospheric aerosols are generated by heterogeneous reactions of lead chloride or lead oxide with NO<sub>2</sub> or by aqueous reactions with nitric acid (Moffet et al., 2008; Peng et al., 2020). Primary emissions of PbCl<sub>2</sub> particles underwent heterogeneous transformations into lead nitrate particles with the photochemical production of nitric acid (Moffet et al., 2008). Peng et al. (2020) used a SPAMS to study the transport and aging mechanisms of lead in the winter atmosphere of Beijing before CTG. The study included heating and non-heating periods, and the results emphasized the importance of heterogeneous hydrolysis during the heating period before CTG on the formation of Pb(NO<sub>3</sub>)<sub>2</sub>. The results of this study show that, after the implementation of the

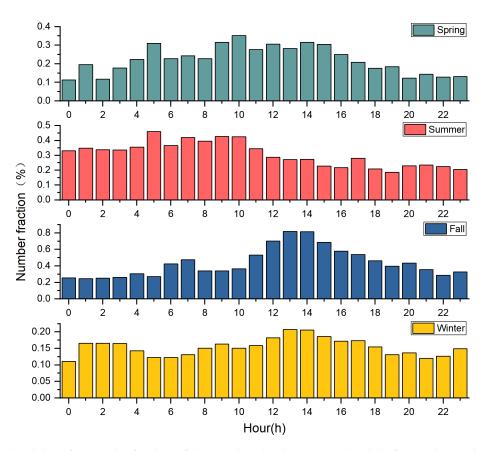


Figure 6. The diurnal variation of the number fractions of Pb-N particles in all the detected particles from spring to winter, respectively.

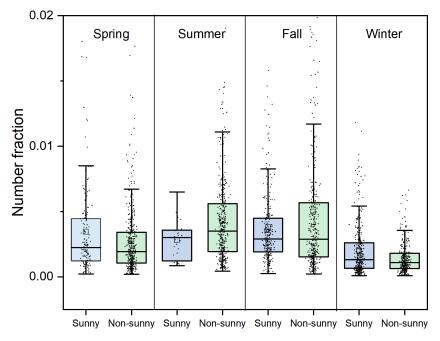


Figure 7. The number fractions of Pb-N particles in Pb-rich particles on sunny and non-sunny days during four seasons.

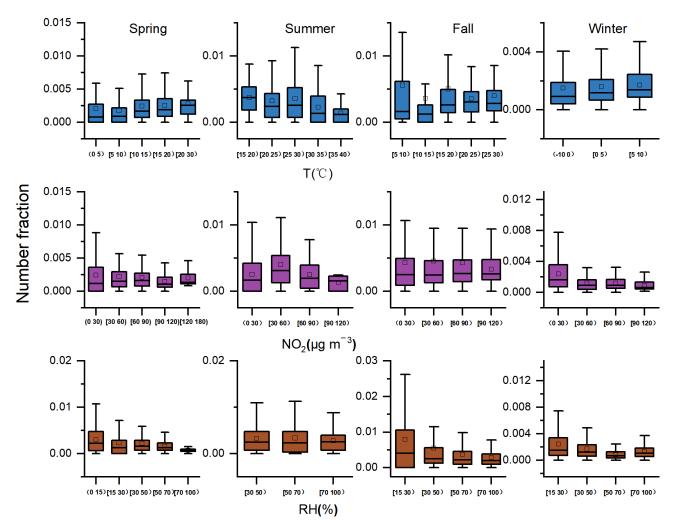


Figure 8. The relationship between T, NO<sub>2</sub>, RH, and number fractions of Pb-N particles in Pb-rich particles from spring to winter, respectively.

CTG policy, the formation of lead nitrate in aerosols may shift from aqueous reaction dominance to photochemical reaction dominance in winter.

## 3.5 Uncertainties and limitations

Although promising results and good comparisons are obtained from the analysis of particle size, particle number, and mixing state monitored by SPAMS, there are some uncertainties and limitations in this study. First, due to its lower detection efficiency at smaller sizes, we may underestimate Pb abundance on small particles. Second, we overlook the matrix effect, which affects the collection efficiency of SPAMS for different components. Despite the potential uncertainties discussed earlier, the strong correlation (r = 0.58-0.70; p < 0.01) between the counts of all detected particles and PM<sub>2.5</sub> concentrations indicates that these uncertainties did not significantly affect the results.

A limitation or bias of this study is the use of relative peak area spectral data in these qualitative individual masses rather than absolute mass concentrations of lead. It should be noted that due to various uncertainties caused by laser ionization, such as matrix effects and incomplete ionization, laser ablative-based SPAMS are still very challenging in providing quantitative information (Jeong et al., 2011; Healy et al., 2013; Zhou et al., 2016). Although different at the individual particle level, RPA is still a good indicator for studying the atmospheric processing and mixing state of various particle types, including Pb-containing particles (Moffet et al., 2008; Zauscher et al., 2013; Peng et al., 2020). In this study, while we cannot quantitatively assess the impact of CTG policies on reducing lead levels in aerosols, our findings successfully reveal distinct characteristics of Pb-rich particles in the ambient atmosphere. This provides a valuable qualitative and semi-quantitative understanding of how Pb-rich particles evolve following the implementation of CTG policies. Future work should target a quantitative understanding of Pb concentration variations in aerosols and atmospheric evolution processes of Pb such as photo-oxidation.

Another limitation is the relatively short sampling time (6 d) during the spring heating period, which may introduce deviations in the mean values and assessment of the number fractions of Pb-rich particles, potentially affecting the representativeness of the data. However, the physical and chemical characteristics such as particle type and mixing state of Pb-rich particles during heating periods in both spring and winter show strong similarities (Figs. 5 and S8). This suggests that the short sampling time in the spring heating season does not significantly compromise the accuracy of data results. Nonetheless, future studies could extend the sampling duration during heating periods to enhance the representativeness of the results.

# 4 Conclusions and implications

We studied the sources, mixing states, and seasonal variations of lead particles in the urban atmosphere of Beijing after CTG conversion, with a focus on the formation mechanism of toxic lead nitrate particles. K-Na-EC and K-OC particles are associated with coal combustion, and K-Na, K-Na-Fe, and K-Na-Zn particles tend to come from the iron/steel industries. Our results show that the contribution of coal combustion to atmospheric Pb decreases significantly after CTG, and the iron/steel industries become the most important emission source. The atmospheric Pb in Beijing's urban area is mainly transmitted from surrounding provinces such as Mongolia, Hebei, Liaoning, Anhui, and Shandong. Almost all lead particles are mixed with nitrate, which is much higher than its mixing with sulfate, chlorine, and oxygen. The number fractions of Pb-N particles in Pb-rich particles are 17.1 %-39.1 % from spring to winter, which is about twice as high as those in summer and fall. The diurnal variation of number fractions of Pb-N particles show obvious seasonal differences. Results from this study show that the gas phase photo-oxidation contributed significantly to the formation of lead nitrate particles, especially in spring and fall.

Our results provide observational evidence for the effect of the CTG policy on the reduction of Pb. This broadens the understanding of CTG to improve air quality and provides a scientific basis for a more accurate assessment of the comprehensive effect of CTG policies on air quality improvement (Liu et al., 2020). Since there is considerable debate regarding the atmospheric chemistry of Pb (Moffet et al., 2008; Peng et al., 2020), our findings further emphasize the importance of photochemical reactions and clarify their seasonal differences in the formation process of lead nitrate. A series of CTG policies have been implemented in Beijing, Tianjin, and 26 other cities in Hebei, Shanxi, Shandong, and Henan provinces (https://www.gov.cn/xinwen/2017-12/20/content\_5248855.htm, last access: 28 July 2025), so the research

methods and conclusions of this study can also be extended to these cities.

**Data availability.** The observational data including SPAMS and meteorological parameters used in this study are available from the corresponding author upon request (limei@jnu.edu.cn).

**Supplement.** The supporting information includes 10 figures (Figs. S1–S10) and one table (Table S1) related to the paper. The supplement related to this article is available online at https://doi.org/10.5194/acp-25-8891-2025-supplement.

**Author contributions.** ML designed the research with input from XW, XB, GZ, and ZZ. YX, GT, and XD collected samples. XL processed data and wrote the manuscript. FL, LP, XH, HG, and LW had an active role in supporting the sampling work. BH, CC, and LL had an active role in supporting the writing-original work. All of the authors contributed to the discussions of the results and refinement of the manuscript.

**Competing interests.** The contact author has declared that none of the authors has any competing interests.

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