



## Impact of mineral dust on the global nitrate aerosol direct and indirect radiative effect

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**Abstract.** Nitrate ( $\text{NO}_3^-$ ) aerosol is projected to increase dramatically in the coming decades and may become the dominant inorganic particle species. This is due to the continued strong decrease in  $\text{SO}_2$  emissions, which is not accompanied by a corresponding decrease in  $\text{NO}_x$  and especially  $\text{NH}_3$  emissions. Thus, the radiative effect (RE) of  $\text{NO}_3^-$  aerosol may become more important than that of  $\text{SO}_4^{2-}$  aerosol in the future. The physicochemical interactions of mineral dust particles with gas and aerosol tracers play an important role in influencing the overall RE of dust and non-dust aerosols but can be a major source of uncertainty due to their lack of representation in many global climate models. Therefore, this study investigates how and to what extent dust affects the current global  $\text{NO}_3^-$  aerosol radiative effect through both radiation ( $\text{RE}_{\text{ari}}$ ) and cloud interactions ( $\text{RE}_{\text{aci}}$ ) at the top of the atmosphere (TOA). For this purpose, multiyear simulations nudged towards the observed atmospheric circulation were performed with the global atmospheric chemistry and climate model EMAC, while the thermodynamics of the interactions between inorganic aerosols and mineral dust were simulated with the thermodynamic equilibrium model ISORROPIA-lite. The emission flux of the mineral cations  $\text{Na}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{K}^+$ , and  $\text{Mg}^{2+}$  is calculated as a fraction of the total aeolian dust emission based on the unique chemical composition of the major deserts worldwide. Our results reveal positive and negative shortwave and longwave radiative effects in different regions of the world via aerosol–radiation interactions and cloud adjustments. Overall, the  $\text{NO}_3^-$  aerosol direct effect contributes a global cooling of  $-0.11 \text{ W m}^{-2}$ , driven by fine-mode particle cooling at short wavelengths. Regarding the indirect effect, it is noteworthy that  $\text{NO}_3^-$  aerosol exerts a global mean warming of  $+0.17 \text{ W m}^{-2}$ . While the presence of  $\text{NO}_3^-$  aerosol enhances the ability of mineral dust particles to act as cloud condensation nuclei (CCN), it simultaneously inhibits the formation of cloud droplets from the smaller anthropogenic particles. This is due to the coagulation of fine anthropogenic CCN particles with the larger nitrate-coated mineral dust particles, which leads to a reduction in total aerosol number concentration. This mechanism results in an overall reduced cloud albedo effect and is thus attributed as warming.

## 1 Introduction

Atmospheric aerosols are among the most complex components of the Earth's climate system. This is due not only to the diversity of their origins, with many natural and anthropogenic emission sources, but also to their extremely varied chemical composition and properties. The many mechanisms by which they interact with each other and with physical entities such as radiation, clouds, land, and oceans add to their complexity and play a critical role in the energy balance of the planet (Arias et al., 2021). The most direct way in which aerosols affect the Earth's energy balance is through their interactions with solar shortwave (SW) and terrestrial longwave (LW) radiation (IPCC, 2013). Overall, the radiative effect due to aerosol–radiation interactions ( $RE_{\text{ari}}$ ) is mainly dominated by the scattering of SW radiation back to space (negative radiative effect, generating a cooling of the climate system) and the absorption of LW radiation (positive radiative effect, generating a warming of the climate system) (Gao et al., 2018; Tsigaridis and Kanakidou, 2018). Aerosols belonging to the black and/or brown carbon family, together with mineral dust particles, contribute to absorption (Kanakidou et al., 2005; Zhang et al., 2017; Wong et al., 2019), while the main inorganic aerosol components, such as sulfate and nitrate, as well as a significant amount of organic carbon, contribute mainly to scattering (Kirchstetter et al., 2004; Bond and Bergstrom, 2006; Klingmüller et al., 2019; Zhang, 2020). However, mineral dust can also influence the behavior of the  $RE_{\text{ari}}$  of anthropogenic pollution. Dust particles alter the anthropogenic radiative effect of aerosol–radiation interactions by reducing the loading of anthropogenic aerosols (either by coagulating with them or by adsorption of their precursor inorganic trace gases), leading to less scattering of solar radiation and thus a warming effect (Kok et al., 2023).

Atmospheric aerosols can also indirectly affect the Earth's energy balance by forming clouds, controlling cloud optical thickness and scattering properties, and altering their precipitation and lifetime (IPCC, 2013). Atmospheric aerosols act as cloud condensation nuclei (CCN), providing a suitable surface for water vapor to condense, leading to the formation of liquid droplets that develop into a corresponding liquid cloud (Lance et al., 2004). Such clouds are referred to as warm clouds and are typically found in the lower troposphere (Khain and Pinsky, 2018). However, there is constant competition between small and large particles for the available amount of water vapor (Barahona et al., 2010; Morales Betancourt and Nenes, 2014). Under the same humidity conditions, the presence of small particles will lead to the formation of small droplets with high number concentrations, while the presence of larger particles will lead to the formation of large droplets but with lower number concentrations. Depending on the size characteristics of its particle population, a warm cloud will exhibit different optical proper-

ties, with a population dominated by smaller particles generally being more reactive in the SW spectrum. The change in cloud reflectivity due to the presence of aerosols is referred to as the first radiative effect due to aerosol–cloud interactions ( $RE_{\text{aci}}$ ) and was first described by Twomey (1977). The small size of anthropogenic aerosols results in an overall smaller cloud droplet size, which reduces precipitation efficiency and thus increases cloud lifetime. This contributes to cloud reflectivity and is referred to as the second radiative effect of aerosol–cloud interactions, first described by Albrecht (1989). These two indirect effects are considered equally important for the total indirect radiative effect of aerosols (Lohmann and Feichter, 2005). Atmospheric aerosols exert a net cooling effect that can partially mask the warming effect of greenhouse gases; therefore, the recent decline in anthropogenic aerosol concentrations may accelerate global warming (Urdiales-Flores et al., 2023). Overall, the radiative effect due to aerosol–cloud interactions is considered the main source of existing uncertainty in the effective (total) radiative effect of aerosols in the atmosphere (Myhre et al., 2014; Seinfeld et al., 2016).

Mineral dust influences the anthropogenic radiative effect through aerosol–cloud interactions in several ways that can result in either a net warming or net cooling effect. Dust particles can increase the cloud droplet number concentration (CDNC) in remote areas since through chemical aging by pollutants (Nenes et al., 2014; Karydis et al., 2017), dust particles become more hygroscopic and require lower supersaturation thresholds for activation (Karydis et al., 2011). This is caused by the transfer of anthropogenic pollutants towards remote desert regions, which enhances the solubility of dust particles. In such regions, this mostly results in increased cloud albedo and a net cooling effect. However, dust particles also tend to reduce the availability of smaller anthropogenic CCN. This is due to intrusions of aged dust particles into polluted environments, which reduce the numbers of smaller aerosols through increased coagulation with them. This results in lower cloud reflectivity (albedo) and thus a net warming effect (Klingmüller et al., 2020). Furthermore, when dust is above or below low-level clouds, the resulting effect of local heating is an increase in total cloud cover due to enhanced temperature inversion or enhanced upward vertical motion, respectively (Kok et al., 2023). On the other hand, when dust is present inside low-level clouds, local heating enhances in-cloud evaporation, resulting in an overall decrease in cloud cover. Kok et al. (2023) showed that the amount of desert dust in the atmosphere has increased since the mid-19th century, causing an overall cooling effect on the Earth that masks up to 8 % of the warming caused by greenhouse gases. If the increase in dust were halted, the previously hidden additional warming potential of greenhouse gases could lead to slightly faster climate warming.

$\text{NO}_3^-$  is expected to dominate the global aerosol composition in the coming decades due to the predicted limited availability of  $\text{SO}_4^{2-}$  following the abrupt decline in  $\text{SO}_2$  emissions, which will not necessarily be accompanied by proportional reductions in  $\text{NO}_x$  and  $\text{NH}_3$  emissions (Bellouin et al., 2011; Hauglustaine et al., 2014). Excess  $\text{NO}_3^-$  is expected to exert a cooling  $\text{RE}_{\text{ari}}$  by scattering SW radiation (Bauer et al., 2007a; Xu and Penner, 2012; Myhre et al., 2013; IPCC, 2013; Li et al., 2015), but the  $\text{RE}_{\text{aci}}$  is much more complex and complicated and can lead to both cooling and warming. Mineral dust thus becomes a key factor, as it is one of the main promoters of  $\text{NO}_3^-$  aerosol formation, providing a very suitable surface for gaseous  $\text{HNO}_3$  condensation to the aerosol phase (Karydis et al., 2011; Trump et al., 2015). In addition to  $\text{HNO}_3$  adsorption, heterogeneous reactions on the surface of dust particles are known to promote nitrate formation (Krueger et al., 2004; Hodzic et al., 2006). The most important pathway through which this occurs is  $\text{N}_2\text{O}_5$  hydrolysis, with a yield for aerosol nitrate of  $\sim 2$  (Seisel et al., 2005; Tang et al., 2012). At the same time, other reactions, such as  $\text{NO}_2$  oxidation, contribute to much slower nitrate production and are of major importance mainly during short periods of dust pollution events (Li et al., 2024). These processes affect not only the optical properties of dust aerosols, which will influence their overall  $\text{RE}_{\text{ari}}$ , but also how they can alter cloud formation and microphysics.  $\text{NO}_3^-$  aerosols increase the hygroscopicity of mineral dust (Kelly et al., 2007) by providing layers of soluble material on their surface, thus increasing their ability to act as CCN (Karydis et al., 2017). In doing so, they also increase the size of dust particles through hygroscopic growth and therefore their coagulation efficiency. Thus, nitrate–dust interactions are a complex mechanism that ultimately affects climatology in a variety of ways. The role of mineral dust in modifying the influence of  $\text{NO}_3^-$  aerosols in the global  $\text{RE}_{\text{aci}}$  is not yet well understood. This study aims to focus on the extent of the  $\text{RE}_{\text{ari}}$  and  $\text{RE}_{\text{aci}}$  of  $\text{NO}_3^-$  aerosols and on how interactions with mineral dust regulate both on a global scale.

This study is organized as follows: in Sect. 2, details of the modeling setup for conducting the global simulations as well as the treatment of dust–nitrate interactions in the model are discussed and the methodology for calculating the global  $\text{RE}_{\text{ari}}$  and  $\text{RE}_{\text{aci}}$  of  $\text{NO}_3^-$  aerosols is explained. Section 3 presents the main results for the global  $\text{RE}_{\text{ari}}$  for coarse and fine  $\text{NO}_3^-$  aerosols for the base case simulation and the sensitivity cases listed in Table 1. Section 4 presents the results for the global  $\text{RE}_{\text{aci}}$  of total  $\text{NO}_3^-$  aerosols, while Sect. 5 includes the feedback mechanism of dust–nitrate interactions with cloud microphysics. Finally, the main conclusions and a general discussion on the scope of the study are presented in Sect. 6.

## 2 Methodology

### 2.1 Model setup

The simulations were performed with the global atmospheric chemistry and climate model EMAC (ECHAM/MESSy) (Jöckel et al., 2006), which includes several submodels describing atmospheric processes and their interactions with oceans, land, and human influences. These submodels are linked through the Modular Earth Submodel System (MESSy) (Jöckel et al., 2005) to a base model, the 5th Generation European Center Hamburg General Circulation Model (ECHAM) (Roeckner et al., 2006). The submodel system used in this work includes the MECCA submodel, which performs the gas-phase chemistry calculations (Sander et al., 2019). The SCAV submodel is responsible for the in-cloud liquid-phase chemistry and wet deposition processes (Tost et al., 2006, 2007b), while DRYDEP and SEDI are used to compute the dry deposition of gases and aerosols and gravitational settling, respectively (Kerkweg et al., 2006). All aerosol microphysical processes are calculated by the GMXe submodel (Pringle et al., 2010a, b), where aerosols are divided into four lognormal size modes (nucleation, Aitken, accumulation, and coarse). Each mode is defined in terms of aerosol number concentration, mean dry radius, and geometric standard deviation ( $\sigma$ ). The mean dry radius for each mode is allowed to vary within fixed bounds (0.5–6 nm for nucleation, 6–60 nm for Aitken, 60–700 nm for accumulation, and above 700 nm for coarse) and the  $\sigma$  is fixed and equal to 1.59 for the first three size modes and 2 for the coarse mode. The coagulation of aerosols is also handled by GMXe, following Vignati et al. (2004), and the coagulation coefficients for Brownian motion are calculated according to Fuchs and Davies (1964). The partitioning between the gas and aerosol phases is calculated using the ISORROPIA-lite thermodynamic module (Kakavas et al., 2022) as implemented in EMAC by Milousis et al. (2024). The optical properties of the aerosols and the radiative transfer calculations are simulated by the submodels AEROPT (Dietmüller et al., 2016) and RAD (Dietmüller et al., 2016), respectively. AEROPT can be called several times within a model time step with different settings for the aerosol properties. More details are given in Sect. 2.3.1. All cloud properties and microphysical processes are simulated by the CLOUD submodel (Roeckner et al., 2006) using the two-moment microphysical scheme of Lohmann and Ferrachat (2010) for liquid and ice clouds. The activation processes of liquid cloud droplets and ice crystals follow the physical treatment of Morales Betancourt and Nenes (2014) and Barahona and Nenes (2009), respectively, as described by Karydis et al. (2017) and Bacer et al. (2018). More details are given in Sect. 2.3.2.

The meteorology for each of the simulations was nudged by ERA5 reanalysis data (C3S, 2017); thus, this study estimates the radiative effect of nitrate aerosols with respect to  $\text{RE}_{\text{ari}}$  and  $\text{RE}_{\text{aci}}$  separately, rather than the effective (total)

**Table 1.** Differences between the base case and all sensitivity simulations performed.

Simulation name	Conditions applied
Base case	Mineral dust ion composition according to Karydis et al. (2016) <sup>1</sup>
Sensitivity 1: chemically inert dust	Mineral dust emitted exclusively as a chemically inert bulk particle
Sensitivity 2: homogeneous ion composition	Global homogeneous ionic composition of mineral dust particles according to Sposito (1989) <sup>2</sup>
Sensitivity 3: half dust scenario	50 % reduced dust emission flux
Sensitivity 4: increased dust scenario	50 % increased dust emission flux

<sup>1</sup> The ionic composition of the dust particles with respect to the mineral ions  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{K}^+$ , and  $\text{Na}^+$  depends on the chemical composition of the soil in each grid cell, which is estimated from the desert soil composition maps of Klingmüller et al. (2018) based on the fraction of mineral ions found in Karydis et al. (2016). <sup>2</sup> The ionic composition of the dust particles is homogeneous and held constant in all grid cells where dust is present. The dust particles are a mixture of bulk species and the mineral ions  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , and  $\text{Mg}^{2+}$  with mass fractions of 94 %, 1.2 %, 1.5 %, 2.4 %, and 0.9 %, respectively.

radiative effect, as this would require multiple free-run simulations with prescribed sea surface temperatures for each case separately. The spectral resolution used for each simulation was T63L31, which corresponds to a grid resolution of  $1.875^\circ \times 1.875$  and 31 vertical layers up to 25 km in height. The period covered by the simulations is from 2007 to 2018, with the first year representing the model spin-up period.

Anthropogenic aerosol and trace gas emissions were taken from the CMIP6 database (O'Neill et al., 2016) according to the SSP370 scenario. Natural  $\text{NH}_3$  emissions (from land and ocean) were based on the GEIA database (Bouwman et al., 1997), and natural volcanic  $\text{SO}_2$  emissions were taken from the AEROCOM database (Dentener et al., 2006). Biogenic NO emissions from soils were calculated online according to the algorithm of Yienger and Levy (1995), while lightning-produced  $\text{NO}_x$  was also calculated online by the LNOx submodel (Tost et al., 2007a) using the parameterization of Grewe et al. (2001). Dimethyl sulfide (DMS) emissions from the oceans are calculated online by the AIRSEA submodel (Pozzer et al., 2006). Sea salt emissions are based on the AEROCOM database (Dentener et al., 2006) following the chemical composition reported by Seinfeld and Pandis (2016), i.e., 30.6 %  $\text{Na}^+$ , 3.7 %  $\text{Mg}^{2+}$ , 1.2 %  $\text{Ca}^{2+}$ , 1.1 %  $\text{K}^+$ , and 55 %  $\text{Cl}^-$ . Dust emissions are calculated online using the parameterization of Astitha et al. (2012). In this scheme, while the surface friction velocity is the most important parameter for the amount of the emitted dust flux, the meteorological information for each grid cell is also taken into account. Dust particles are emitted in the accumulation and coarse size modes of the insoluble fraction but can be transferred to the soluble fraction after coagulation with other soluble species and/or by condensation of soluble material on their surface. Both processes are treated and calculated by GMXe and ISORROPIA-lite. The emissions of mineral ions ( $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{K}^+$ , and  $\text{Na}^+$ ) are estimated as a fraction of the total dust emission flux based on the soil chemical composition of each grid cell. This is done using desert soil

composition maps from Klingmüller et al. (2018), which are based on the mineral ion fractions from Karydis et al. (2016). These mineral ions are treated as individual species that are part of the aerosol in each size mode and are assumed to be well mixed with the rest of the aerosol species considered (i.e., dust, black carbon, organics, inorganic ions). The aerosol composition within each of the seven modes considered is uniform in size (internally mixed) but may vary between modes (externally mixed).

To assess the impact of changes in mineral dust chemistry and emissions on the global  $\text{NO}_3^-$  aerosol  $\text{RE}_{\text{ari}}$  and  $\text{RE}_{\text{aci}}$ , four additional sensitivity simulations were performed (Table 1). In the first sensitivity simulation, mineral dust is described only by a bulk, chemically inert species. In this case, there is no uptake of  $\text{HNO}_3$  by the dust particles due to acid–base interactions with the non-volatile cations (NVCs), so it remains in the gas phase. In the second sensitivity case, the chemical composition of the mineral dust was assumed to be spatially uniform, with a percentage distribution for bulk dust,  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , and  $\text{Mg}^{2+}$  particles assumed to be 94 %, 1.2 %, 1.5 %, 2.4 %, and 0.9 %, respectively, according to Sposito (1989). Finally, two additional simulations were performed to assess the impact of the global mineral dust budget on the results, where the dust emission fluxes were first halved and then increased by 50 % to account for the historical increase in global dust mass load since pre-industrial times, as reconstructed by Kok et al. (2023). The particle size distribution of the emitted dust mass remained unchanged in all sensitivity simulations.

Overall, the EMAC model is well established in the literature for its ability to accurately predict organic and inorganic aerosol concentrations and compositions, aerosol optical depth, acid deposition, gas-phase mixing ratios, cloud properties, and meteorological parameters (de Meij et al., 2012; Pozzer et al., 2012, 2022; Tsimpidi et al., 2016, 2017; Karydis et al., 2016, 2017; Bacer et al., 2018; Milousis et al., 2024); factually replicate dust emissions (Astitha et al.,

2012; Abdelkader et al., 2015; Klingmüller et al., 2018); and provide realistic estimates for CCN and CDNC (Chang et al., 2017; Karydis et al., 2017; Fanourgakis et al., 2019). Here, a comparison of the performance of the model in estimating the surface mass concentrations of  $\text{PM}_{2.5}$   $\text{NO}_3^-$  and total  $\text{PM}_{10}$  aerosols is provided in the Supplement (Figs. S2, S3 and Tables S1, S2). In addition, the ability of the model to estimate CDNCs is evaluated (Fig. S4 and Table S3). The comparison is made with observations of  $\text{PM}_{2.5}$  nitrate aerosols from regional networks in the polluted Northern Hemisphere covering the regions of East Asia (EANET, the Acid Deposition Monitoring Network in East Asia, 2024), Europe (EMEP, European Monitoring and Evaluation Programme, 2024), and the USA for urban (EPA-CASTNET, U.S. Environmental Protection Agency Clean Air Status and Trends Network, 2024) and rural (IMPROVE, Interagency Monitoring of Protected Visual Environments, 2024) locations. The comparison with observations of surface mass  $\text{PM}_{10}$  aerosols also covers the aforementioned monitoring networks, with the exception of the EPA. Finally, the CDNCs estimated by the base case simulation are compared with the CDNCs observed in different regions of the planet (continental, polluted, and clean marine) over different time periods, but also altitudes, as found in Karydis et al. (2017) and all relevant references therein.

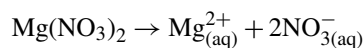
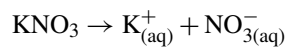
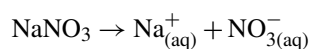
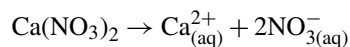
## 2.2 Treatment of dust–nitrate interactions

The interactions between mineral dust and nitrate aerosols play a crucial role in altering the size distribution and optical properties of both species and can also strongly influence cloud microphysical processes (Fig. 1). Therefore, these interactions affect both the  $\text{RE}_{\text{ari}}$  and the  $\text{RE}_{\text{aci}}$  of both nitrate and dust aerosols. First, the adsorption of  $\text{HNO}_3$  onto the surface of dust particles is a process that strongly promotes the formation of nitrate aerosols on dust (Karydis et al., 2016). We treat this condensation process using the GMXe submodel. Specifically, the quantity of gas-phase species that are able to kinetically condense within a model time step (equal to 10 min in this study) is calculated according to the diffusion-limited condensation theory of Vignati et al. (2004). The diffusive flux of gas on a single particle surface for each size mode  $i$  is described by the condensation coefficient  $C_i$  according to Fuchs and Davies (1964) and is estimated from the following function as found in Vignati et al. (2004).

$$C_i = \frac{4\pi D r_{gi}}{\frac{4D}{svr_{gi}} + \frac{r_{gi}}{r_{gi} + \Delta}},$$

where  $r_{gi}$  is the geometric mean radius of the size mode  $i$ ,  $D$  is the diffusion coefficient, and  $s$  is an accommodation coefficient for each gas species treated that has the assigned values of 1 for  $\text{H}_2\text{SO}_4$  (Vignati et al. 2004), 0.1 for  $\text{HNO}_3$ , 0.064 for  $\text{HCl}$ , and 0.09 for  $\text{NH}_3$  (Pringle et al., 2010a, b).  $v$  is

the mean thermal velocity of the molecule and  $\Delta$  is the mean free path length of the gas molecule (the distance from which the kinetic regime applies with respect to the particle). This information is then passed to the ISORROPIA-lite thermodynamic module to calculate the gas–aerosol partitioning. Specifically, the module receives as input the ambient temperature and humidity along with the diffusion-limited concentrations of  $\text{H}_2\text{SO}_4$ ,  $\text{NH}_3$ ,  $\text{HNO}_3$ , and  $\text{HCl}$ ; the concentrations of the non-volatile cations (NVCs)  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , and  $\text{Mg}^{2+}$ ; and the concentrations of the ions  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , and  $\text{Cl}^-$  present in the aerosol phase from the previous time step. The module then calculates the equilibrium reactions of the  $\text{NO}_3^-$  anion with the NVCs, depending on their abundance with respect to the  $\text{SO}_4^{2-}$  anion, taking into account mass conservation, electroneutrality, water activity equations, and precalculated activity coefficients for specific ionic pairs (Fountoukis and Nenes, 2007; Kakavas et al., 2022). Therefore, in all cases where mineral dust is considered chemically active, all reactions of nitrate aerosols with NVCs are treated. The salts that may be formed are assumed to be completely deliquesced as follows.



Salt deliquescence over a range of relative humidities is treated by the mutual deliquescence relative humidity (MDRH) approach of Wexler and Seinfeld (1991). In a multicomponent salt mixture, the MDRH determines the humidity value above which all salts are considered to be saturated. In this study, if the wet aerosol is below the MDRH, it does not crystallize and remains in a supersaturated aqueous solution (Kakavas et al., 2022), with all salts completely deliquesced. More information on equilibrium reactions and equilibrium constants as well as the corresponding thermodynamic equilibrium calculations can be found in Fountoukis and Nenes (2007). It should be noted that in this study nitrate production on dust particles occurs not only via the thermodynamic equilibrium between gas-phase  $\text{HNO}_3$  and particulate nitrate, but also via heterogeneous chemistry by hydrolysis of  $\text{N}_2\text{O}_5$  on the dust surface. This chemical formation pathway is the most dominant for heterogeneous nitrate production (Seisel et al., 2005; Tang et al., 2012), while others, such as  $\text{NO}_2$  oxidation during dust pollution events over polluted regions (Li et al., 2024), do not show such high yields under normal conditions. On the other hand, consideration of sulfate production by heterogeneous chemistry on dust would theoretically result in slightly reduced amounts of particulate nitrate in some cases due to acidification of dust particles inhibiting partitioning of  $\text{HNO}_3$  to the aerosol

phase (Nenes et al., 2020). Overall, full consideration of heterogeneous chemistry on dust could change simulated nitrate aerosol concentrations only slightly and episodically, and therefore changes to radiative effect estimates are not expected to be critical.

The coating of dust particles by nitrate aerosols during gas–aerosol partitioning calculations is an important process that leads to an increase in dust solubility and hygroscopicity (Laskin et al., 2005). Therefore, after these processes have taken place, a large fraction of the originally insoluble dust particles has become soluble (Fig. 1a), which leads to changes in their optical properties, as their increased ability to absorb water makes them more efficient in extinguishing SW radiation and absorbing and emitting LW radiation (Fig. 1a, b) (Kok et al., 2023). The transfer to the soluble fraction after coating with soluble material is handled by the GMXe submodel, which also provides key aerosol attributes necessary for the calculation of the dust optical properties (see Sect. 2.3).

In general, the changes in the properties of dust particles through their interactions with nitrate aerosols will result in more efficient removal rates, mainly through wet deposition, due to their higher hygroscopicity and increased size (Fan et al., 2004). The reduced number of dust particles that can act as ice nuclei (IN) and their increased size can lead to an optical thinning of cirrus clouds (Fig. 1c) (Kok et al., 2023). Furthermore, the changes induced by dust–nitrate interactions reduce the activation of smaller aerosols in warm clouds (Fig. 1d). In particular, the enhanced hygroscopicity of dust particles will lead to a faster depletion of the available supersaturation, as they act as giant CCN that absorb large amounts of water vapor to activate into cloud droplets (Karydis et al., 2017). In addition, the population of smaller aerosols will also be depleted by increased coagulation with the large dust particles. As a consequence of the different degrees of complexity of the dust–nitrate interactions, it is very important to note that they do not always result in a linear response in terms of how they affect climate through their subsequent interactions with radiation, clouds, or both.

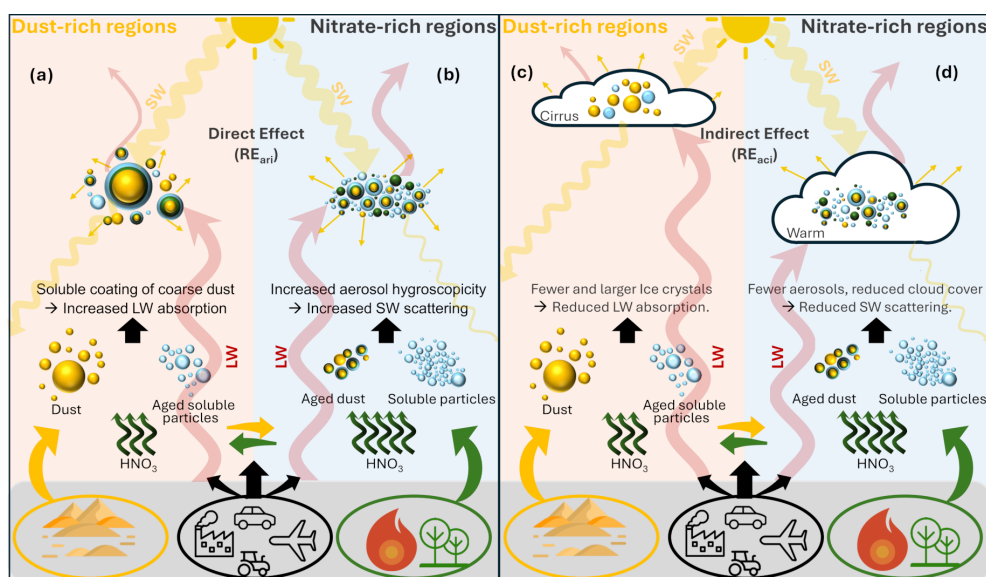
### 2.3 Radiative effect calculation

To calculate the global  $RE_{\text{ari}}$  and  $RE_{\text{aci}}$  of  $\text{NO}_3^-$  aerosols, the optical properties from the AEROPT submodel and the radiative transfer calculations from the RAD submodel were used. First, AEROPT provides the aerosol extinction (absorption and scattering) coefficients, the single-scattering albedo, and the aerosol asymmetry factor for each grid cell with a vertical distribution analogous to the vertical resolution used. The GMXe submodel is used to provide input of aerosol attributes for the calculation of aerosol optical properties, which is done online using 3D look-up tables. The tables provide information on the real and imaginary parts of the refractive index and the Mie size parameter per size mode (Dietmüller et al., 2016). Then, the radiative scheme of RAD

uses the particle number weighted average of the extinction cross section, the single-scattering albedo, and the asymmetry factor as input for the radiative transfer calculations. In addition to AEROPT, RAD takes input from the submodels ORBIT (Earth orbital parameters), CLOUDOPT (cloud optical properties) (Dietmüller et al., 2016), and IMPORT (import of external datasets) to calculate the radiative transfer properties for longwave and shortwave radiation fluxes separately. Both the AEROPT and RAD submodels can be invoked multiple times within a model time step, each time with different settings for the aerosol optical properties, allowing radiative transfer estimates for identical climatological conditions. This is of paramount importance for the calculation of the  $RE_{\text{ari}}$  of aerosols since any effects due to possibly different climatological conditions must be eliminated. Henceforth, all references to RE estimates, as well as net, longwave, and shortwave flux quantities, will refer to the top of the atmosphere (TOA) only.

#### 2.3.1 Radiative effect from aerosol–radiation interactions ( $RE_{\text{ari}}$ )

To estimate the global  $RE_{\text{ari}}$  of all aerosols as well as that of total, coarse, and fine  $\text{NO}_3^-$  aerosols, three simulations were performed for each sensitivity case in Table 1. In the first simulation all aerosol species are present. In the second simulation  $\text{NO}_3^-$  aerosols are completely removed by turning off their formation by removing the pathway of  $\text{HNO}_3$  formation through both  $\text{NO}_2$  oxidation and  $\text{N}_2\text{O}_5$  hydrolysis, leaving no available  $\text{HNO}_3$  to condense on the aerosol via equilibrium partitioning and form nitrate. In the third simulation, coarse-mode  $\text{NO}_3^-$  aerosols are removed by allowing  $\text{HNO}_3$  to condense only on the fine mode (i.e., the sum of the three smaller lognormal size modes: nucleation, Aitken, and accumulation). For each of these three simulations, the radiative transfer routines are called twice for each time step. One call uses the normal aerosol optical properties of the existing population, and the other call uses an aerosol optical depth equal to 0 to emulate an atmosphere without aerosols. Essentially, the global  $RE_{\text{ari}}$  of each simulation can be calculated by taking the difference between the net fluxes between the two calls. More specifically, the first simulation will yield the  $RE_{\text{ari}}$  of the total aerosol load ( $F_{1,\text{ari}}$  hereafter), the second simulation will yield the  $RE_{\text{ari}}$  of all aerosols except  $\text{NO}_3^-$  ( $F_{2,\text{ari}}$  below), and the third simulation will yield the  $RE_{\text{ari}}$  of all aerosols except the coarse-mode  $\text{NO}_3^-$  ( $F_{3,\text{ari}}$  below). Since the above estimates of the radiative effect were computed using the exact same climatology, its effect was effectively eliminated. However, in order to isolate the  $\text{NO}_3^-$  aerosol radiative effect, it is also essential to disable any aerosol–cloud interactions; otherwise the cooling effect would be severely underestimated because cloud scattering would make aerosol scattering less relevant (Ghan et al., 2012). For this purpose, the simplest cloud scheme available in the EMAC model is used, which calculates the cloud microphysics according to



**Figure 1.** Conceptual illustration of how dust–nitrate interactions affect the total NO<sub>3</sub><sup>−</sup> (left) RE<sub>ari</sub> and (right) RE<sub>aci</sub>. **(a)** In dust-rich environments, nitric acid transported from anthropogenic pollution and biomass burning regions interacts with mineral cations to form a soluble coating on the surface of dust particles. The dominant effect of these interactions is an enhanced LW absorption (warming RE<sub>ari</sub>) by the coarse dust particles. **(b)** In nitrate-rich environments, the intrusion of dust particles and their subsequent interaction with freshly formed nitric acid lead to an overall increase in aerosol hygroscopicity and thus a stronger SW reflection (cooling RE<sub>ari</sub>). **(c)** In dust-rich environments, the number of ice crystals in cirrus clouds is reduced while their size is increased due to the interaction of dust particles with the transported HNO<sub>3</sub>. This results in an optical thinning of the ice clouds, which leads to less trapping of outgoing LW radiation (cooling RE<sub>aci</sub>). **(d)** In nitrate-rich environments, the increased wet radius of aged dust particles leads to enhanced coagulation with smaller particles, resulting in a decrease in the number of smaller aerosols and, in turn, a decrease in the number of activated particles in cloud droplets by smaller aerosols, which ultimately leads to a reduction in the backscattering of SW radiation by warm clouds (warming RE<sub>ari</sub>).

Lohmann and Roeckner (1996), who empirically relate the cloud droplet number concentration to the sulfate aerosol mass (Boucher and Lohmann, 1995) and specifically to its monthly mean values as derived from the sulfur cycle of the ECHAM5 circulation model (Feichter et al., 1996). The cloud coverage is estimated according to Tompkins (2002) with the use of prognostic equations for the water phases and the distribution moments. To disable aerosol–cloud interactions, no aerosol activation routines are used to avoid coupling with the activation schemes. Overall, the global RE<sub>ari</sub> values of total, coarse, and fine NO<sub>3</sub><sup>−</sup> aerosols are obtained as follows:

$$F_{\text{NO}_3, \text{ari}} (F_{\text{N,ari}}) = F_{1, \text{ari}} - F_{2, \text{ari}},$$

$$F_{\text{coarseNO}_3, \text{ari}} (F_{\text{cN,ari}}) = F_{1, \text{ari}} - F_{3, \text{ari}},$$

$$F_{\text{fineNO}_3, \text{ari}} (F_{\text{fN,ari}}) = F_{3, \text{ari}} - F_{2, \text{ari}}.$$

### 2.3.2 Radiative effect from aerosol–cloud interactions (RE<sub>aci</sub>)

In this work we estimate the effect of total NO<sub>3</sub><sup>−</sup> aerosols on the calculated global RE<sub>aci</sub>. Climatology plays a crucial role in aerosol–cloud interactions and simulating a “fine-only NO<sub>3</sub><sup>−</sup> atmosphere”, as done for the RE<sub>ari</sub> calculations, would

produce an unrealistic climatological scenario, since coarse-mode NO<sub>3</sub><sup>−</sup> is strongly associated with cations in mineral dust particles (Karydis et al., 2016), making them quite effective as CCN (Karydis et al., 2017). Therefore, the RE<sub>aci</sub> calculations require two additional simulations for each sensitivity case separately: one with all aerosols present and one with the entire NO<sub>3</sub><sup>−</sup> aerosol load removed by turning off their formation as described in the previous section. The global RE<sub>aci</sub> is then given by

$$F_{\text{NO}_3, \text{aci}} (F_{\text{N,aci}}) = \text{FF}_{\text{N}} - F_{\text{N,ari}},$$

where FF<sub>N</sub> is the total NO<sub>3</sub><sup>−</sup> aerosol feedback radiative effect. Since F<sub>N,ari</sub> is calculated using the methodology described in Sect. 2.3.1, it is only necessary to estimate FF<sub>N</sub>. This is equal to the difference in net fluxes between the two additional simulations. There is no need to emulate an aerosol-free atmosphere here since any differences induced by different climatologies must be included. The two simulations performed for the calculation of FF<sub>N</sub> use the cloud formation scheme as described in Lohmann and Ferrachat (2010), which uses prognostic equations for the water phases and the bulk cloud microphysics. In addition, the empirical cloud cover scheme of Sundqvist et al. (1989) is used. For the cloud droplet formation, the CDNC activation scheme of Morales Betancourt and Nenes (2014) is used, which includes the ad-

**Table 2.** Net, longwave, and shortwave global mean TOA  $RE_{\text{ari}}$  of total, coarse, and fine  $\text{NO}_3^-$  aerosols for the base case and each sensitivity case simulation.

Simulation	Aerosol Component	TOA $RE_{\text{ari}}$ ( $\text{W m}^{-2}$ )		
		Net	LW	SW
Base case	Total $\text{NO}_3^-$	-0.11	+0.23	-0.34
	Coarse $\text{NO}_3^-$	+0.17	+0.22	-0.05
	Fine $\text{NO}_3^-$	-0.28	+0.01	-0.29
Chemically inert dust	Total $\text{NO}_3^-$	-0.09	+0.11	-0.20
	Coarse $\text{NO}_3^-$	+0.07	+0.10	-0.03
	Fine $\text{NO}_3^-$	-0.16	+0.01	-0.17
Homogeneous ion Composition	Total $\text{NO}_3^-$	-0.09	+0.18	-0.27
	Coarse $\text{NO}_3^-$	+0.13	+0.17	-0.04
	Fine $\text{NO}_3^-$	-0.22	+0.01	-0.23
Half dust scenario	Total $\text{NO}_3^-$	-0.08	+0.19	-0.27
	Coarse $\text{NO}_3^-$	+0.15	+0.18	-0.03
	Fine $\text{NO}_3^-$	-0.23	+0.01	-0.24
Increased dust scenario	Total $\text{NO}_3^-$	-0.10	+0.27	-0.37
	Coarse $\text{NO}_3^-$	+0.20	+0.26	-0.06
	Fine $\text{NO}_3^-$	-0.30	+0.01	-0.31

sorption activation of mineral dust as described in Karydis et al. (2017). The effect of dust–nitrate interactions on clouds presented here refers to the lowest level of cloud formation at 940 hPa. For the ice crystal number concentration (ICNC), the activation scheme of Barahona and Nenes (2009) is used, which calculates the ice crystal size distribution through heterogeneous and homogeneous freezing as well as ice crystal growth.

### 3 Radiative effect from aerosol–radiation interactions ( $RE_{\text{ari}}$ )

#### 3.1 Base case

The global average  $RE_{\text{ari}}$  of total  $\text{NO}_3^-$  aerosols at the top of the atmosphere was found to be  $-0.11 \text{ W m}^{-2}$ , which is within the reported range of the estimated present-day all-sky direct radiative effect of total  $\text{NO}_3^-$  aerosols by other studies (Liao et al., 2004; Bauer et al., 2007a, b; Bellouin et al., 2011; Xu and Penner, 2012; Heald et al., 2014) (Table S4). The  $\text{NO}_3^-$  cooling of the  $RE_{\text{ari}}$  calculated by EMAC is driven by the scattering of SW radiation (equal to  $-0.34 \text{ W m}^{-2}$ ), which outweighs the warming due to absorption of LW radiation (equal to  $+0.23 \text{ W m}^{-2}$ ) (Table 2). The  $RE_{\text{ari}}$  of the total  $\text{NO}_3^-$  aerosol shows a clearly contrasting behavior with respect to the size mode considered (Table 2; Fig. 2).

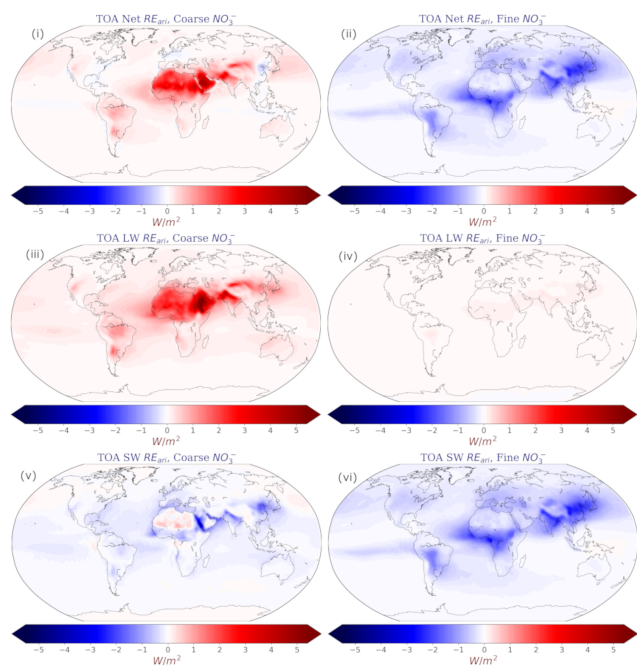
In particular, the coarse particles show a net warming effect of  $+0.17 \text{ W m}^{-2}$  (Fig. 2i) and contribute to 96 % of the LW warming of the total nitrate, while only con-

tributing 15 % of the radiative cooling in the SW spectrum ( $-0.05 \text{ W m}^{-2}$ ). The LW warming is strongest over the dust belt zone and especially over the Sahara, the Middle East, and the northern face of the Himalayan plateau, while the contribution over other arid regions such as the Atacama, Gobi, Taklimakan, and Mojave deserts is significant. These regions are characterized by moderate to high concentrations of coarse  $\text{NO}_3^-$  aerosols due to the adsorption of  $\text{HNO}_3$  on desert soil particles (Karydis et al., 2016; Milousis et al., 2024). Therefore, the warming due to absorption of terrestrial LW radiation by coarse-mode nitrates interacting with mineral dust is the strongest over these areas (see Fig. 1a), ranging from  $+1.5$  to  $+5 \text{ W m}^{-2}$  (Fig. 2iii). On the other hand, the cooling exerted by coarse nitrate aerosol through the SW  $RE_{\text{ari}}$  is more pronounced over areas where it interacts strongly with high concentrations of mineral dust particles (see Fig. 1b). Such areas include the Congo Basin, where  $\text{HNO}_3$  from tropical forest biomass burning interacts with Saharan mineral dust particles; the Middle East and northern Indian regions, where anthropogenic  $\text{HNO}_3$  emissions interact with mineral dust particles from the Sahara and Taklimakan deserts, respectively; and the East Asian region, where  $\text{HNO}_3$  emissions from Chinese megacities interact with mineral dust particles from the Gobi Desert. These regions can lead to an average cooling of up to  $-3.5 \text{ W m}^{-2}$  (Fig. 2v).

Interestingly, there is no significant cooling from SW interactions over the Sahara for the coarse mode. This phenomenon can be attributed to two factors, the first related to nitrate–dust interactions and the second related to the characteristics of the region. Specifically, because the underlying desert surface is very bright, its absorption in this part of the spectrum is less than that of the particles above it, which means that the desert surface can scatter radiation more effectively than the particles above it. This is further enhanced by the growth of coarse-mode particles there (see Fig. 4x and Sect. 5.1), which increases the absorption cross section of the particles. All this leads to an overall attenuation of the cooling effect over this region and sometimes even to local warming (Fig. 2v).

In contrast to the radiative effect of coarse  $\text{NO}_3^-$  particles, the  $RE_{\text{ari}}$  of fine  $\text{NO}_3^-$  particles is an overall cooling of  $-0.28 \text{ W m}^{-2}$  (Fig. 2ii). Fine nitrates have a negligible 4 % contribution to the warming in the LW spectrum (Fig. 2iv) but account for 85 % of the net cooling of the total nitrate aerosols (Fig. 2vi). The cooling induced by fine  $\text{NO}_3^-$  aerosols from scattering of SW radiation is stronger (up to  $-5 \text{ W m}^{-2}$ ) over regions of high anthropogenic activity, particularly the East Asian and Indian regions, where fine nitrates dominate the total nitrate aerosol load. The regions of West Africa and the Amazon Basin are characterized by moderate fine nitrate concentrations, and the cooling observed there is enhanced by  $\text{HNO}_3$  associated with biomass burning interacting with fresh and aged Saharan dust particles, respectively, which are dominated by accumulation-





**Figure 2.** Global mean TOA net  $RE_{\text{ari}}$  for (i) coarse and (ii) fine  $\text{NO}_3^-$  aerosols, longwave  $RE_{\text{ari}}$  for (iii) coarse and (iv) fine  $\text{NO}_3^-$  aerosols, and shortwave  $RE_{\text{ari}}$  for (v) coarse and (vi) fine  $\text{NO}_3^-$  aerosols as calculated by EMAC from the base case simulation.

mode sizes in the absence of coarse-mode nitrates. Finally, other polluted regions such as North America and Europe also show SW cooling up to  $-2 \text{ W m}^{-2}$ .

### 3.2 Sensitivity of $RE_{\text{ari}}$ estimates

The comparison of the calculated total  $\text{NO}_3^-$  radiative effect due to interactions with net, LW, and SW radiation for the sensitivity cases listed in Table 1 can be found in Table 2, which shows each of the estimates. Consideration of nitrate interactions with mineral dust cations can greatly affect the  $\text{NO}_3^-$   $RE_{\text{ari}}$  estimates. Assuming that mineral dust particles are inert, the estimated warming due to LW radiation interactions for total nitrate aerosols is 52 % weaker than in the base case where dust reactivity is considered. Similarly, the cooling effect exerted by all nitrate aerosols through interactions with SW radiation is estimated to be 41 % weaker under the assumption that mineral dust is non-reactive. Both estimates are lower when mineral dust is assumed to be chemically inert, since  $\text{HNO}_3$  is no longer effectively adsorbed on dust particles. However, since both the estimated warming and cooling are weaker, the effects partially cancel each other out, resulting in a net cooling effect ( $-0.09 \text{ W m}^{-2}$ ) that is 18 % weaker compared to the base case calculations. Assuming a homogeneous ionic composition for the dust results in SW cooling and LW warming for total nitrate aerosols being 21 % and 22 % lower, respectively, weakening the estimate for the net cooling  $RE_{\text{ari}}$  by 18 % ( $-0.09 \text{ W m}^{-2}$ ). The net direct ra-

diative effect of total  $\text{NO}_3^-$  is the same for the cases where dust is assumed to have a homogeneous chemical composition and where it has no chemical identity, indicating the importance of both aspects for the impact of dust–nitrate interactions on the direct radiative effect.

In the half dust scenario, the total nitrate aerosol LW warming estimate is 17 % weaker than in the base case, while the total nitrate aerosol SW estimate is even more so (21 %), resulting in a lower net cooling estimate of  $-0.08 \text{ W m}^{-2}$ . Finally, the increased dust scenario shows the strongest total nitrate aerosol LW warming effect (17 % increase over the base case) due to an increase in coarse-mode nitrate. At the same time, the cooling effect of total nitrate aerosols due to interactions with SW radiation shows a smaller increase of 9 %. Thus, accounting for the historical increase in mineral dust emissions results in a net cooling estimate of  $-0.10 \text{ W m}^{-2}$ , which is smaller than the base case. Interestingly, the behavior of the global total  $\text{NO}_3^-$   $RE_{\text{ari}}$  does not exhibit linearity with respect to the global dust load. This is not surprising since the nitrate–dust interactions themselves are not linearly correlated, and a given increase or decrease in dust emissions does not lead to an analogous change in nitrate aerosol levels. For example, Karydis et al. (2016) have shown that moving from a scenario in which nitrate–dust chemistry is not considered to one in which it is, but with half dust emissions, resulted in a 39 % increase in the tropospheric burden of nitrate aerosols. However, moving from a scenario with half to full dust emissions, the corresponding increase was only 9 %. In our case, moving from the chemically inert dust scenario to the half dust scenario led to an 18 % increase in atmospheric nitrate aerosol burden, while moving from the half dust scenario to the base case led to an additional 8 % increase, and finally moving from the base case to the increased dust scenario led to an even smaller increase of 5 %.

There are several reasons for this nonlinearity between changes in dust load and nitrate production. Firstly, since the adsorption of  $\text{HNO}_3$  onto dust particles is the main driver of nitrate production on dust, over desert areas (where the change in dust load takes place) the amount of nitric acid present is the limiting factor for such production, rather than the amount of dust itself. Secondly, when more dust is present in the atmosphere, the combination of its increased coating with the higher aerosol numbers tends to result in its more efficient removal by wet deposition as well as coagulation. This inherently affects nitrate production, which does not increase in proportion to the increase in dust.

## 4 Radiative effect from aerosol–cloud interactions ( $RE_{\text{aci}}$ )

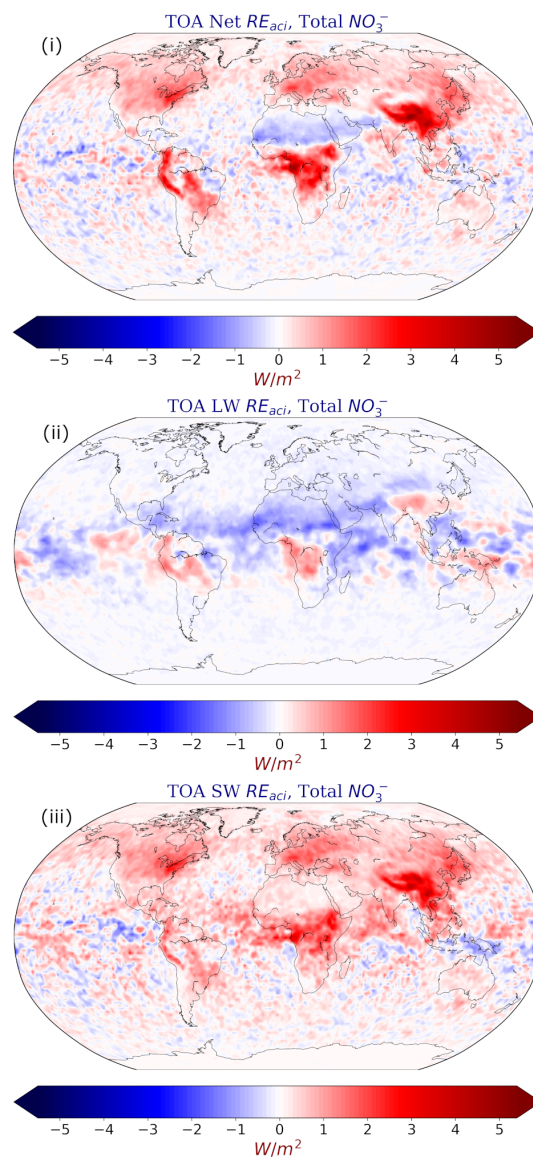
### 4.1 Base case

The global average  $RE_{\text{aci}}$  of total  $\text{NO}_3^-$  aerosols at the top of the atmosphere was found to be  $+0.17 \text{ W m}^{-2}$ . In contrast, an estimate of the  $RE_{\text{aci}}$  of nitrate aerosols by Xu and

Penner (2012) showed only a trivial cooling effect for particulate  $\text{NO}_3^-$  ( $-0.01 \text{ W m}^{-2}$ ). Similar to the  $\text{RE}_{\text{ari}}$ , the net  $\text{RE}_{\text{aci}}$  estimated by EMAC is driven by the effect on the SW part of the spectrum, which causes a warming effect of  $+0.27 \text{ W m}^{-2}$ , while the effect on the LW radiation causes an average cooling of  $-0.10 \text{ W m}^{-2}$  (Table 3). Overall, the net  $\text{RE}_{\text{aci}}$  of total  $\text{NO}_3^-$  aerosols is reversed compared to the net  $\text{RE}_{\text{ari}}$ ; i.e.,  $\text{RE}_{\text{aci}}$  exerts a strong cooling effect over regions where  $\text{RE}_{\text{ari}}$  exerts a warming effect and vice versa (Fig. 3i). The reason for this is that the regions contributing to a cooling  $\text{RE}_{\text{ari}}$  are dominated by smaller-sized nitrate aerosols and vice versa. Therefore, the size characteristics of the dominant nitrate aerosol population lead to different effects on the cloud optical properties as discussed in Sect. 1. For example, as the dominance of smaller nitrate aerosols decreases over a particular region, the optical thinning of low-level clouds will have an opposite effect on the  $\text{RE}_{\text{aci}}$  (Fig. 1d). Details of the mechanism by which nitrate–dust interactions affect cloud microphysical processes are discussed in Sect. 5. Over North America and Europe,  $\text{RE}_{\text{aci}}$  causes a warming effect of up to  $+3 \text{ W m}^{-2}$ , driven solely by the effect on SW radiation (Fig. 3iii). Over the regions of East Asia and the Amazon and Congo basins,  $\text{RE}_{\text{aci}}$  reaches a maximum of  $+5 \text{ W m}^{-2}$ , driven by the effect on both the SW (up to  $+4 \text{ W m}^{-2}$ ) and LW (up to  $+1.5 \text{ W m}^{-2}$ ) parts of the radiation spectrum. The cooling effect of  $\text{RE}_{\text{aci}}$  (up to  $-2 \text{ W m}^{-2}$ ) extends mainly between the equatorial line and the Tropic of Cancer, mainly due to the interaction of nitrate aerosols with desert dust particles (e.g., from the Sahara) and their effect on the terrestrial spectrum (LW) (Figs. 1c, 3ii). The cooling effect of dust interactions with anthropogenic particles in the LW spectrum corroborates the findings of Klingmüller et al. (2020) and is attributed to the reduced ice-water path due to the depletion of small aerosols, which in turn leads to less trapped outgoing terrestrial radiation. In addition, Kok et al. (2023) note how the presence of dust particles leads to an optical thinning of cirrus clouds by reducing the number of ice crystals while increasing their size, which also leads to less trapping of outgoing LW radiation and thus a cooling effect (Fig. 1c). On the other hand, the warming effect of dust interactions with anthropogenic particles in the SW spectrum requires further investigation and is therefore discussed in more detail in Sect. 5.

#### 4.2 Sensitivity of $\text{RE}_{\text{aci}}$ estimates

Table 3 shows the comparison of the net, LW, and SW contributions of total  $\text{NO}_3^-$  to the  $\text{RE}_{\text{aci}}$  at the top of the atmosphere as calculated by the base case simulation and all sensitivity cases considered. By assuming chemically inert dust, the calculated net  $\text{RE}_{\text{aci}}$  of nitrate decreases by 35%, resulting in a net warming of  $+0.11 \text{ W m}^{-2}$ . As with the  $\text{RE}_{\text{ari}}$  estimate, this sensitivity case produces the largest deviation from the base case among all sensitivity simulations for both the SW (37% less warming) and LW (40% less cooling) estimates.



**Figure 3.** Global mean TOA  $\text{RE}_{\text{aci}}$  for total  $\text{NO}_3^-$  aerosols. Estimates for (i) net, (ii) longwave, and (iii) shortwave, as calculated by EMAC from the base case simulation.

This is due to the fact that the absence of dust–nitrate interactions does not have such a large impact on the population of aerosols and activated particles (see also Sect. 5). The assumption of a homogeneous ionic composition of the mineral dust leads to a weakened LW cooling estimate of 10% and a weakened SW warming estimate of 19%, resulting in a net  $\text{NO}_3^-$   $\text{RE}_{\text{aci}}$  of  $+0.13 \text{ W m}^{-2}$  (24% lower than in the base case).

The reduced dust emissions result in a 15% weaker warming in the SW spectrum and a 20% weaker cooling in the LW spectrum, leading to an overall  $\text{NO}_3^-$   $\text{RE}_{\text{aci}}$  of  $+0.15 \text{ W m}^{-2}$  (12% weaker than the base case scenario). This is because the reduced loading of nitrate aerosols, especially in the

**Table 3.** Net, longwave, and shortwave global mean TOA  $RE_{aci}$  of total  $NO_3^-$  aerosols for the base case and each sensitivity case simulation.

Simulation	TOA $RE_{aci}$ ( $W m^{-2}$ )		
	Net	LW	SW
Base case	+0.17	−0.10	+0.27
Chemically inert dust	+0.11	−0.06	+0.17
Homogeneous ion composition	+0.13	−0.09	+0.22
Half dust scenario	+0.15	−0.08	+0.23
Increased dust scenario	+0.14	−0.11	+0.25

coarse mode, in the half dust scenario results in less absorption of LW radiation (Fig. 1c) (hence less cooling). Similarly, the effect of dust–nitrate interactions on the activation of smaller particles (Fig. 1d) is less drastic and results in a weaker inhibition of SW radiation scattering (hence less warming; see also Sect. 5). Finally, increased dust emissions in the increased dust scenario show a 10 % increase in the LW cooling and an 8 % decrease in the SW warming effect, surprisingly resulting in a net warming ( $+0.14 W m^{-2}$ ) that is lower than in the half dust scenario. The reason that this scenario results in more LW cooling than the base case is that the increased quantity of dust particles leads to even more optical thinning of the ice clouds and therefore even less trapping of LW radiation (more cooling). However, the reason why the SW warming estimate is lower than the base case is more complicated. First, the transition from the half dust scenario to the base case and then to the increased dust scenario does not lead to an analogous increase in the nitrate aerosol burden (see Sect. 3.2). Moreover, since the number of aerosols has increased from the increased dust scenario to the base case, but the relative humidity has remained largely the same, there is more competition for water vapor because it is now distributed over a larger population. As a result, the wet radius increase in the presence of nitrates is not as strong in the increased dust scenario compared to the base case, and the depletion of smaller-sized particles is also not as strong (not shown). The implications of the depletion of the aerosol population in the presence of nitrate aerosols for the microphysical processes of warm clouds, and consequently for SW warming, are discussed in the next section.

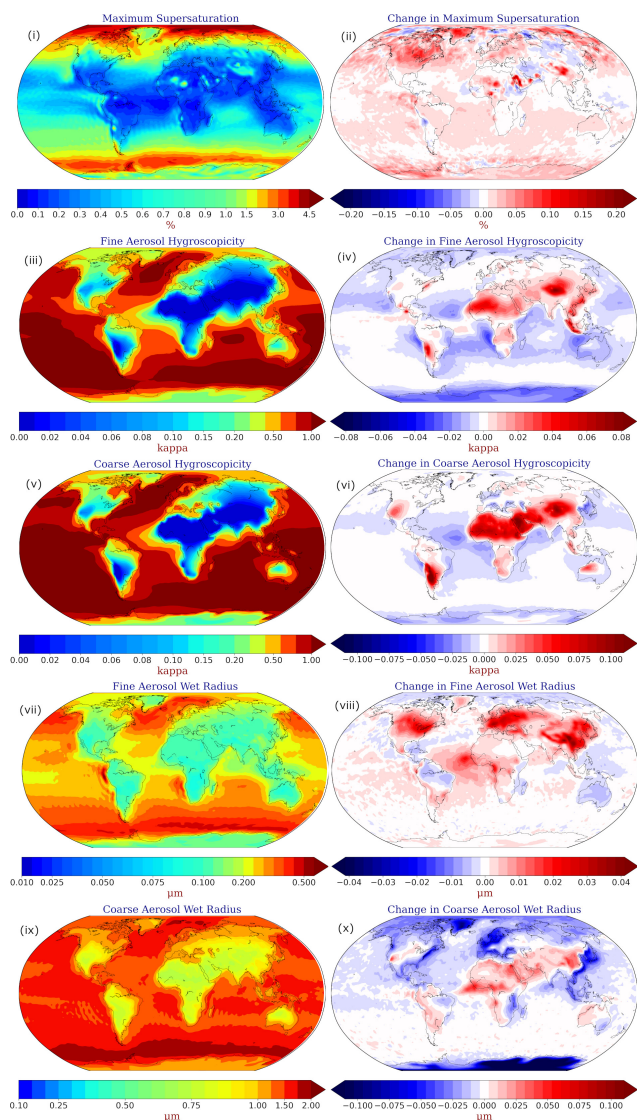
## 5 Effect of $NO_3^-$ aerosols on cloud microphysics

### 5.1 Maximum supersaturation, hygroscopicity, and wet radius

To further investigate the cause of the positive  $RE_{aci}$  induced by the  $NO_3^-$  aerosols, their effect on the aerosol population characteristics as well as on the cloud microphysics is investigated with respect to the lowest-forming cloud level of 940 hPa. For this purpose, a sensitivity simulation is per-

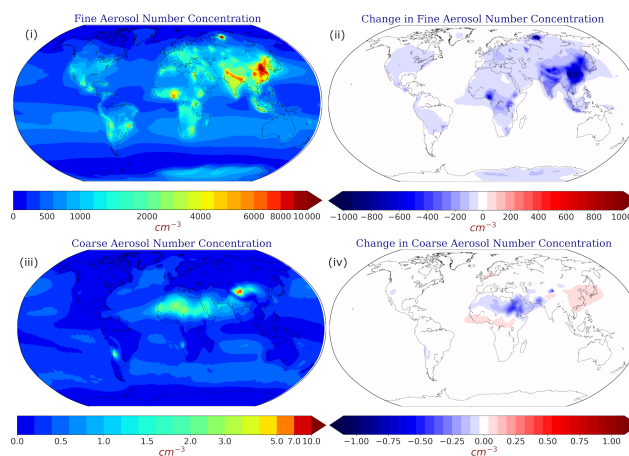
formed assuming a “nitrate-aerosol-free” (NAF) atmosphere, in which the formation of  $NO_3^-$  aerosols has been switched off, but an advanced cloud scheme is considered which is the same as the one described in Sect. 2.3.2. This is essentially the same setup that was used for the estimation of the total nitrate aerosol feedback radiative effect. This simulation is used to determine whether the presence of  $NO_3^-$  aerosols has a significant effect on the hygroscopicity and size of atmospheric aerosols and ultimately on the maximum supersaturation developed during cloud formation. Over polluted areas affected by transported dust air masses from surrounding arid areas, the presence of  $NO_3^-$  aerosols can increase the CCN activity of the large mineral dust particles, resulting in a reduction of the maximum supersaturation and inhibiting the activation of the small anthropogenic particles into cloud droplets (Klingmüller et al., 2020). Results from the NAF sensitivity simulation support this hypothesis over parts of eastern and central Asia, where the maximum supersaturation decreases by up to 0.05 %. In contrast, the presence of  $NO_3^-$  aerosols increases maximum supersaturation by up to 0.2 % over North America, Europe, the Middle East, and parts of southern Asia (Fig. 4ii). Therefore, changes in maximum supersaturation caused by the presence of  $NO_3^-$  aerosols cannot explain their warming effect through the  $RE_{aci}$ .

The presence of  $NO_3^-$  has a significant effect on the hygroscopicity of both fine and coarse aerosols and consequently on their wet radius, as shown in Fig. 1a and b and Fig. 4. This is most evident for coarse desert dust particles, which mix with  $NO_3^-$  aerosols from urban and forest regions, increasing their hygroscopicity by an order of magnitude (up to 0.1), especially over the African–Asian dust belt and the Atacama Desert in South America (Fig. 4vi). Aerosol hygroscopicity is similarly increased for the fine-mode particles both near arid regions and over the highly industrialized region of Southeast Asia (Fig. 4iv). The low values of the hygroscopic parameter of the fine-aerosol population, especially over the dust belt zone, are largely due to the higher proportion of insoluble fine particles present over these regions (Fig. S5). This is also observed over other regions with similarly low fine-aerosol hygroscopicity (southern Africa, South America, and the western US). Nevertheless, the estimates of aerosol kappa values at 940 hPa are broadly consistent with the results of Pringle et al. (2010c). On the other hand, the aerosol hygroscopicity for the two size modes is only slightly reduced, by up to 0.06 (or < 10 %) over the oceans and coasts of Europe and East Asia, due to interactions of  $NO_3^-$  with sea salt particles, reducing their hygroscopicity. The increased ability of both coarse dust aerosols and smaller aerosols to absorb water leads to an increase in their wet radius, but in different parts of the world. For example, fine particle sizes increase by up to  $0.04 \mu m$  (up to 40 %), mostly over regions of high anthropogenic activity (North America, Europe, and East Asia) (Fig. 4viii). On the other hand, coarse-mode particle sizes are increased by up



**Figure 4.** (i) Global mean maximum supersaturation, fine-aerosol (iii) hygroscopicity, and (v) wet radius, as well as coarse-aerosol (vii) hygroscopicity and (ix) wet radius, as calculated by EMAC from the base case simulation at the altitude of 940 hPa. Absolute difference between the base case and nitrate-aerosol-free (NAF) sensitivity simulation in (ii) maximum supersaturation, fine-aerosol (iv) hygroscopicity, and (vi) wet radius, as well as coarse-aerosol (viii) hygroscopicity and (x) wet radius at the altitude of 940 hPa. Red indicates higher values calculated by the base case simulation in the presence of  $\text{NO}_3^-$  aerosols.

to  $0.1 \mu\text{m}$  (up to 10 %) over the forests of central Africa and the African–Asian dust belt zone (Fig. 4x), while showing a similar decrease near the coasts of the polluted Northern Hemisphere due to the effect of  $\text{NO}_3^-$  on the hygroscopicity of sea salt.

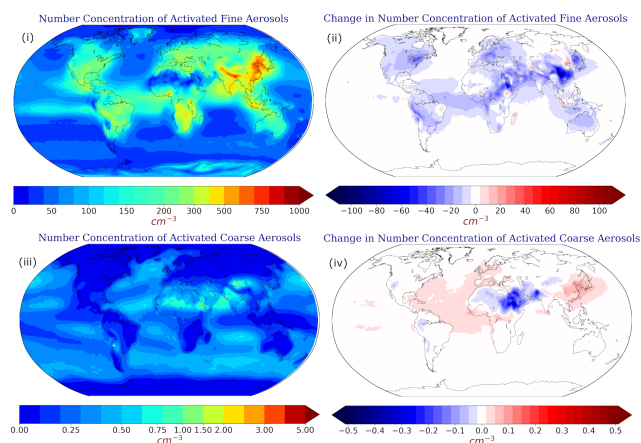


**Figure 5.** Global mean number concentration of (i) fine and (iii) coarse aerosols as calculated by EMAC from the base case simulation at the altitude of 940 hPa. Absolute difference between the base case and the nitrate-aerosol-free (NAF) sensitivity simulation in the number concentration of (ii) fine and (iv) coarse aerosols at the altitude of 940 hPa. Blue indicates that number concentrations are lower in the presence of  $\text{NO}_3^-$  aerosols.

## 5.2 Number concentrations of aerosol and activated particles

Figure 5 shows the effect of  $\text{NO}_3^-$  on the number concentration of fine and coarse aerosols between the base case and the NAF sensitivity simulation, as well as the total aerosol population. The presence of  $\text{NO}_3^-$  aerosols decreases the total aerosol number concentration over forests and polluted regions (see also Fig. 1d). This behavior is driven solely by the decrease in smaller particle sizes, as the effect is minimal for the coarser particles (Figs. 5ii, iv). The largest decrease is calculated over East and South Asia (up to  $1000 \text{ cm}^{-3}$  or 10 %), while decreases of up to  $200 \text{ cm}^{-3}$  on average ( $\sim 10 \%$ ) are found over Europe, the USA, and central Africa. This effect is directly related to the increased wet radius of the aerosol population (Fig. 4viii) over these regions and thus to its depositional efficiency. In addition, coarse dust particles become more hygroscopic due to interactions with  $\text{NO}_3^-$  aerosols that increase in size, resulting in increased coagulation with the smaller anthropogenic particles, which reduces their abundance.

The reduced aerosol number concentration in the presence of  $\text{NO}_3^-$  can lead to a reduction of particles that are also activated into cloud droplets. Such behavior can be seen in Fig. 6, which shows the effect of  $\text{NO}_3^-$  on the number concentration of activated fine and coarse particles in cloud droplets between the base case and the NAF sensitivity simulation. The reduction in the total number of activated cloud droplets is almost entirely due to the reduction in smaller-sized particles (Figs. 6ii, iv). A reduction in the total number of activated droplets of up to  $30 \text{ cm}^{-3}$  or 10 % is observed over the USA, Amazon, Europe, central Africa, and parts of the Mid-



**Figure 6.** Global mean number concentration of activated (i) fine and (iii) coarse aerosols as calculated by EMAC from the base case simulation at the altitude of 940 hPa. Absolute difference between the base case and the nitrate-aerosol-free (NAF) sensitivity simulation in the number concentration of activated (ii) fine and (iv) coarse aerosols at the altitude of 940 hPa. Blue indicates that number concentrations are lower in the presence of  $\text{NO}_3^-$  aerosols.

dle East, while this reduction reaches up to  $100 \text{ cm}^{-3}$  (10 %) over Southeast Asia, where the largest reductions in aerosol numbers are also calculated (Fig. 4ii). In turn, these are the regions where the warming effect of  $\text{NO}_3^-$  aerosols on the calculated mean  $\text{RE}_{\text{aci}}$  is strongest (Fig. 3i). The small increase in activated droplets ( $\sim 10 \text{ cm}^{-3}$  or 1 %) over Beijing, which concerns the fine-mode particles, is most likely because their number concentration decreases with increasing size. The high aerosol number concentration there, which is the global maximum (Fig. 5i), results in a hotspot of more readily activated particles in the presence of  $\text{NO}_3^-$ . On the other hand, the CDNC decreases slightly over the Sahara due to the more efficient deposition capacity of coarse dust particles due to their interactions with nitrate aerosols, which is also reflected in the decrease in aerosol number (Fig. 6iv). Overall, the lower particle number in the presence of  $\text{NO}_3^-$  aerosols hinders the ability of the smaller anthropogenic particles to activate into cloud droplets, leading to a reduced cloud cover and thus a reduced cloud albedo effect. Therefore, not only is less LW radiation absorbed, but also, more importantly, less SW radiation is scattered back to space, resulting in an overall warming of the net average  $\text{RE}_{\text{aci}}$  for total  $\text{NO}_3^-$  aerosols.

## 6 Conclusions and discussion

This study presents the effects of interactions between mineral dust and  $\text{NO}_3^-$  aerosols on the present-day global TOA radiative effect of the latter. We investigate how the presence of dust affects the radiative effect of  $\text{NO}_3^-$  aerosols through aerosol interactions with both radiation and separately with

clouds ( $\text{RE}_{\text{ari}}$  and  $\text{RE}_{\text{aci}}$ , respectively). Sensitivity simulations are also performed, varying both the mineral dust composition and its emissions, to assess the effect on the calculated  $\text{NO}_3^-$  aerosol radiative effect.

It was found that the global average net  $\text{RE}_{\text{ari}}$  of total  $\text{NO}_3^-$  aerosols is  $-0.11 \text{ W m}^{-2}$ , which is mainly due to the cooling from the shortwave part of the radiation spectrum due to scattering, equal to  $-0.34 \text{ W m}^{-2}$ . A warming from the longwave part of the spectrum due to absorption was found to be  $+0.23 \text{ W m}^{-2}$  on global average and was mainly located over regions with high concentrations of coarse  $\text{NO}_3^-$  aerosols. SW cooling was also observed in these regions, but also over regions of high anthropogenic activity, mainly over the polluted Northern Hemisphere. The behavior of the  $\text{RE}_{\text{ari}}$  was opposite when considering different sizes of  $\text{NO}_3^-$  aerosols. Specifically, the coarse mode was responsible for 96 % of the estimated warming in the LW part of the spectrum but 15 % of the estimated cooling in the SW part of the spectrum. On the other hand, the contribution of the fine mode to the LW warming was negligible, but it was the main contributor to the SW cooling, accounting for 85 % of the net estimate. The sensitivity experiments revealed that the chemistry of the mineral dust is the most important factor in changing the estimated  $\text{RE}_{\text{ari}}$  of the total  $\text{NO}_3^-$  aerosols. In particular, LW warming is most affected by this assumption, being 52 % weaker after assuming chemically inert dust emissions, while the SW cooling is reduced by 41 % compared to the base case simulation, amounting to a net cooling of  $-0.09 \text{ W m}^{-2}$ . A globally homogeneous ionic composition for mineral dust had a smaller effect in the LW (22 % decrease) and SW (21 % decrease) but resulted in the same net estimate of  $-0.09 \text{ W m}^{-2}$ . Halving the dust emissions resulted in weaker estimates for LW and SW by 17 % and 21 %, respectively, and the lowest overall net  $\text{RE}_{\text{ari}}$  of  $-0.08 \text{ W m}^{-2}$ . On the other hand, a 50 % increase in dust emissions increased both LW warming and SW cooling by 17 % and 9 %, respectively, resulting in a net cooling  $\text{RE}_{\text{ari}}$  of  $-0.10 \text{ W m}^{-2}$ , indicating the strong nonlinear relationship of nitrate–dust interactions and how they affect the radiative effect estimates.

The global average net  $\text{RE}_{\text{aci}}$  of total  $\text{NO}_3^-$  aerosols was  $+0.17 \text{ W m}^{-2}$  due to the effect on the shortwave portion of the spectrum. This was found to be  $+0.27 \text{ W m}^{-2}$ , while the cooling from the longwave part was  $-0.10 \text{ W m}^{-2}$ . Spatially, the net  $\text{RE}_{\text{aci}}$  is reversed compared to the net  $\text{RE}_{\text{ari}}$  for total  $\text{NO}_3^-$  aerosols, where regions responsible for a strong SW cooling of the  $\text{RE}_{\text{ari}}$  contribute to a strong SW warming of the  $\text{RE}_{\text{aci}}$  and vice versa. This is due to the fact that nitrate–dust interactions challenge the dominance of smaller particles over heavily polluted regions, reducing the reflectivity of warm clouds and thus having an opposite effect on the  $\text{RE}_{\text{aci}}$ . The sensitivity experiments again showed that the consideration of the mineral dust chemistry is the most important aspect for the calculation of the  $\text{RE}_{\text{aci}}$  of the total  $\text{NO}_3^-$  aerosols. When the dust was assumed to be chemically inert,

the LW and SW estimates were up to 40 % weaker, resulting in a net warming of  $+0.11 \text{ W m}^{-2}$ . Assuming a homogeneous ion composition resulted in a smaller weakening of the estimates (up to 18 %) and a net warming of  $+0.13 \text{ W m}^{-2}$ . When dust emissions were halved, the LW cooling was reduced slightly more than in the base case, resulting in a net warming of  $+0.15 \text{ W m}^{-2}$ . The 50 % increase in dust emissions had the largest effect on LW behavior (10 % increase), but surprisingly the net estimate ( $+0.14 \text{ W m}^{-2}$ ) was smaller than in the half dust scenario. The reason for this is that the SW estimate did not increase but decreased by 8 % due to the fact that in this scenario the increased nitrate burden causes increased competition for the available supersaturation and the effect of dust–nitrate interactions on the smaller aerosol populations is not as emphasized as in the base case.

The total  $\text{NO}_3^-$  aerosol  $\text{RE}_{\text{aci}}$  shows a positive sign, which is attributed to a reduced cloud albedo effect. More specifically, although the presence or absence of  $\text{NO}_3^-$  aerosols in the atmosphere did not significantly affect the total available maximum supersaturation, it did alter both the hygroscopicity and wet radii of the aerosols. In the presence of  $\text{NO}_3^-$ , the hygroscopicity of aerosols over deserts was increased by up to an order of magnitude, leading to an increase in their wet radius of up to 10 %, with an even larger increase of up to 40 % for smaller particles over urban regions. Therefore, in the presence of  $\text{NO}_3^-$  aerosols, the depletion of fine particles by coagulation with coarser particles (i.e., mineral dust) is enhanced and further increases the size of the coarse particles. The reduction in the number of aerosols is up to 10 % in some regions, with maximum reductions calculated over Southeast Asia. This reduction in the number of fine aerosols leads to a reduction in the number of cloud droplets activated by fine aerosols (also up to 10 %), which would otherwise have absorbed more outgoing longwave radiation and, more importantly, scattered more incoming shortwave radiation. Thus, the reduced cloud albedo effect leads to a cooling in the longwave part of the spectrum, which is offset by a strong warming in the shortwave part, overall resulting in a net warming of the atmosphere.

The chemistry–climate model simulations presented here suggest that  $\text{NO}_3^-$  aerosol–radiation interactions lead to a net effect of  $-0.11 \text{ W m}^{-2}$  (cooling) driven by fine  $\text{NO}_3^-$  aerosol, while  $\text{NO}_3^-$  aerosol–cloud interactions lead to a net effect of  $+0.17 \text{ W m}^{-2}$  (warming) driven mainly by coarse-mode  $\text{NO}_3^-$  aerosol.

**Code and data availability.** The usage of MESSy (Modular Earth Submodel System) and access to the source code are licensed to all affiliates of institutions which are members of the MESSy Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium website: <http://www.messy-interface.org> (last access: 22 May 2024). The code used in this study is based on

MESSy version 2.55 and is archived with a restricted-access DOI (<https://doi.org/10.5281/zenodo.8379120>, The MESSy Consortium, 2023). The data produced in the study are available from the authors upon request.

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