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# On the potential use of highly oxygenated organic molecules (HOMs) as indicators for ozone formation sensitivity

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**Abstract.** Ozone  $(O_3)$ , an important and ubiquitous trace gas, protects lives from harmful solar ultraviolet (UV) radiation in the stratosphere but is toxic to living organisms in the troposphere. Additionally, tropospheric  $O_3$  is a key oxidant and a source of other oxidants (e.g., OH and  $NO_3$  radicals) for various volatile organic compounds (VOCs). Recently, highly oxygenated organic molecules (HOMs) were identified as a new compound group formed from the oxidation of many VOCs, making up a significant source of secondary organic aerosol (SOA). The pathways forming HOMs from VOCs involve autoxidation of peroxy radicals (RO<sub>2</sub>), formed ubiquitously in many VOC oxidation reactions. The main sink for RO<sub>2</sub> is bimolecular reactions with other radicals, such as HO<sub>2</sub>, NO, or other RO<sub>2</sub>, and this largely determines the structure of the end products. Organic nitrates form solely from RO<sub>2</sub> + NO reactions, while accretion products ("dimers") form solely from RO<sub>2</sub> + RO<sub>2</sub> reactions. The RO<sub>2</sub> + NO reaction also converts NO into NO<sub>2</sub>, making it a net source for O<sub>3</sub> through NO<sub>2</sub> photolysis.

There is a highly nonlinear relationship between  $O_3$ ,  $NO_x$ , and VOCs. Understanding the  $O_3$  formation sensitivity to changes in VOCs and  $NO_x$  is crucial for making optimal mitigation policies to control  $O_3$  concentrations. However, determining the specific  $O_3$  formation regimes (either VOC-limited or  $NO_x$ -limited) remains challenging in diverse environmental conditions. In this work we assessed whether HOM measurements can function as a real-time indicator for the  $O_3$  formation sensitivity based on the hypothesis that HOM compositions can describe the relative importance of NO as a terminator for  $RO_2$ . Given the fast formation and short lifetimes of low-volatility HOMs (timescale of minutes), they describe the instantaneous chemical regime of the atmosphere. In this work, we conducted a series of monoterpene oxidation experiments in our chamber while varying the concentrations of  $NO_x$  and VOCs under different  $NO_2$  photolysis rates. We also measured the relative concentrations of HOMs of different types (dimers, nitrate-containing monomers, and non-nitrate monomers) and used ratios between these to estimate the  $O_3$  formation sensitivity. We find that for this simple system, the  $O_3$  sensitivity could be described very well based on the HOM measurements. Future work will focus on determining to what extent this approach can be applied in more complex atmospheric environments. Ambient measurements of HOMs have become increasingly common during the last decade, and therefore we expect that there are already a large number of groups with available data for testing this approach.

## 1 Introduction

Ozone (O<sub>3</sub>), as a key trace gas in the atmosphere, directly and indirectly affects human lives, and it plays diametrically opposing roles in the troposphere ("bad" ozone) and stratosphere ("good" ozone) (Sandermann, 1996; Staehelin et al., 2001; Seviour, 2022). The formation and depletion of O<sub>3</sub> have been investigated over the past decades (Chapman, 1930; Crutzen, 1970, 1971; Stolarski and Cicerone, 1974; Tiao et al., 1975; Dodge, 1977). Atmospheric O<sub>3</sub> is almost entirely produced through the reaction between atomic oxygen  $(O^3P)$  and molecular oxygen  $(O_2)$  (Wang et al., 2017). In the stratosphere, the  $O^3P$  source is  $O_2$  photolysis with ultraviolet (UV) wavelengths below 240 nm (Chapman, 1930). Stratospheric O<sub>3</sub>, which constitutes approximately 90 % of Earth's atmospheric O<sub>3</sub>, plays a crucial role in absorbing UV radiation in the UVB band (280–315 nm), protecting organisms on the ground from harmful UV radiation (Gruijl and Leun, 2000; Seinfeld and Pandis, 2016). Although certain man-made substances, such as chlorofluorocarbons, were found to be responsible for significant depletion of stratospheric O<sub>3</sub>, the implementation of the 1987 Montreal Protocol and its subsequent amendments has contributed to the recovery of the stratospheric O<sub>3</sub> layer (Seinfeld and Pandis, 2016; Chipperfield et al., 2017).

In the troposphere, in addition to its role as a greenhouse gas (Ehhalt et al., 2001), O<sub>3</sub> serves as a secondary air pollutant due to its detrimental impacts and indirect emissions (Seinfeld and Pandis, 2016; Nuvolone et al., 2018). Not only is it toxic, but it also participates in chemical reactions that lead to the formation of other harmful molecules (Nuvolone et al., 2018). In contrast to stratospheric O<sub>3</sub> in the troposphere, the source of O<sup>3</sup>P is NO<sub>2</sub> photolysis at wavelengths less than 420 nm (Madronich et al., 1983). However, the net formation of tropospheric O<sub>3</sub> occurs through chemical reactions involving nitrogen oxides ( $NO_x = NO + NO_2$ ) and various volatile organic compounds (VOCs) in the presence of UV light (Lelieveld and Dentener, 2000). In an ideal "clean" system without any VOCs, once O<sub>3</sub> is formed, it readily converts NO back to NO<sub>2</sub> by reacting with NO, resulting in a null cycle as shown below (also illustrated as the  $NO_x$  cycle in Fig. A1).

$$NO_2 + hv \xrightarrow{O_2} NO + O_3$$
 (R1)

$$O_3 + NO \rightarrow NO_2 + O_2 \tag{R2}$$

When VOCs are present, they will be oxidized to form peroxy radicals (RO<sub>2</sub>) by atmospheric oxidants, such as O<sub>3</sub> and OH (Atkinson and Arey, 2003).

$$VOC + oxidant \xrightarrow{O_2} RO_2$$
 (R3)

The  $RO_2$  radicals can thus replace  $O_3$  in converting NO into  $NO_2$  (Reaction R4a). Some fraction of  $RO_2 + NO$  reactions will also lead to the formation of organic nitrates,  $RONO_2$ 

(Reaction R4b) (Atkinson and Arey, 2003).

$$RO_2 + NO \rightarrow RO + NO_2$$
 (R4a)

$$\rightarrow \text{RONO}_2$$
 (R4b)

In summary, the presence of RO2 radicals, supplied by VOCs, can perturb the  $NO_x$  cycle, leading to a net increase in O<sub>3</sub> (Fig. A1). As one of the most characteristic features of photochemical smog episodes in many cities (Tiao et al., 1975; Tang et al., 1995; Dickerson et al., 1997), this O<sub>3</sub> formation process (Reactions R1-R4a, Fig. A1), known as  $O_3$ -NO<sub>x</sub>-VOC sensitivity or  $O_3$  formation sensitivity, has been investigated since the last century (Haagen-Smit et al., 1953; Kinosian, 1982). The empirical kinetic modeling approach (EKMA) curve, namely O<sub>3</sub> isopleths, was proposed by Dodge (1977) and has been widely used to visually study the O<sub>3</sub> formation sensitivity (Liu and Shi, 2021). The O<sub>3</sub> isopleths reveal a highly nonlinear response of O<sub>3</sub> to its precursors, demonstrating that increasing or decreasing either VOCs or  $NO_x$  does not consistently exhibit the same behavior regarding their impact on O<sub>3</sub> formation (Meyer et al., 1977; Harris et al., 1982; Sillman et al., 1990). The outcome is dependent on the relative concentrations of VOCs (as a surrogate for RO<sub>2</sub>) and NO<sub>x</sub>, leading to the division of the formation area into  $NO_x$ -limited and VOC-limited regimes (Sillman, 1999; Melkonyan and Kuttler, 2012). In the  $NO_x$ limited regime, the concentration of O<sub>3</sub> generally increases with an increase in  $NO_x$ , while its response to VOC changes remains relatively small. This is because the supply of RO<sub>2</sub> species from VOCs is abundant and Reaction (R4a) is limited by NO (the NO $_x$  cycle is saturated in Fig. A1). Conversely, in the VOC-limited regime, an increase in VOC concentration generally leads to an increase in O3, whereas an increase in  $NO_x$  may even result in a decrease in  $O_3$  levels. This is because  $NO_x$  is in excess compared to  $RO_2$ . Moreover, very high levels of NO<sub>x</sub> can directly titrate O<sub>3</sub> (Sillman, 1999) or consume OH radicals (Atkinson et al., 2004), thereby reducing the supply of RO<sub>2</sub> species (Reaction R3) and promoting Reaction (R2), which results in a decrease in the O<sub>3</sub> concentration. These effects were demonstrated by amplified O<sub>3</sub> pollution in cities during the COVID-19 lockdown, when  $NO_x$  emissions dropped dramatically (Sicard et al., 2020).

To mitigate the uncertainties associated with photochemical models and efficiently determine the  $O_3$  formation sensitivity, various photochemical indicators have been utilized since the last century (Wang et al., 2017; Liu and Shi, 2021). For example, the  $O_3$  production efficiency (OPE =  $\frac{\Delta O_3}{\Delta NO_z}$ ) is defined as the number of  $O_3$  molecules produced per molecule of  $NO_x$  before the  $NO_x$  is oxidized to more stable products, i.e.,  $NO_z$  species (Trainer et al., 1993; Wang et al., 2017). Smaller values of OPE indicate the inefficiency of the  $NO_x$  cycle (Fig. A1), suggesting that the supply of  $RO_2$  from VOCs becomes the limiting factor. As a result, the photochemical system tends to be VOC-limited. Conversely, when OPE values are higher, the system tends to be

 $NO_x$ -limited. Additionally, several modifications have been made to the OPE indicator to account for different situations, such as replacing  $\Delta O_3$  with  $\Delta O_x$  ( $O_x = O_3 + NO_2$ ) (Kleinman et al., 2002) and replacing  $\Delta NO_z$  with  $\Delta NO_v$  $(NO_v = NO_z + NO_x)$  (Wang et al., 2006). Other chemical species have also been utilized as photochemical indicators, including the ratio of  $H_2O_2$  to  $HNO_3$  (Hammer et al., 2002). High values of  $\frac{H_2O_2}{HNO_3}$  indicate high potential for crossreactions of two HO2 radicals, which is associated with high  $\frac{\text{VOC}}{\text{NO}_x}$  and thus indicative of the NO<sub>x</sub>-limited regime. For a more widespread application, space-based  $\frac{HCHO}{NO_2}$  measurements from global  $O_3$  monitoring satellites have been introduced as an indicator (Martin et al., 2004) based on the fact that levels of HCHO and NO2 in the tropospheric column are closely linked to VOC and  $NO_x$  emissions, respectively. However, all these indicators are not inherently linked to the O<sub>3</sub> formation process, and the corresponding threshold values depend on environmental conditions (Liu and Shi, 2021). This makes it challenging to universally apply these indicators.

During the past decade, highly oxygenated organic molecules (HOMs) have been recognized as a new group of VOC oxidation products, particularly important for the formation of secondary organic aerosol (SOA) due to their fast formation and low volatilities (Ehn et al., 2014; Bianchi et al., 2019). Aerosols play a significant role in both impacting human health adversely (Kelly and Fussell, 2015) and influencing climate (Boucher et al., 2013). Formed in the atmosphere-mimicking gas phase and containing six or more oxygen atoms (Bianchi et al., 2019), HOMs are produced via RO<sub>2</sub> autoxidation, which rapidly increases their oxygen content through intramolecular H atom abstractions followed by O<sub>2</sub> additions (Crounse et al., 2013; Ehn et al., 2014). Eventually, these highly oxygenated RO<sub>2</sub> will generally be terminated similarly as other RO2, such as through bimolecular reactions with  $NO_x$ ,  $RO_2$ , or  $HO_2$  radicals (i.e., Reactions R4-R6).

$$RO_2 + RO_2 \rightarrow ROOR + O_2$$
 (R5a)

$$\rightarrow$$
 ROH + RC=O + O<sub>2</sub> (R5b)

$$RO_2 + HO_2 \rightarrow ROOH + O_2 \tag{R6a}$$

$$\rightarrow RC=O+H_2O+O_2 \tag{R6b}$$

The  $O_3$  formation precursors, namely  $NO_x$  and VOCs, are thus also intrinsically connected to HOM formation through  $RO_2$  chemistry. As such, if the daytime HOM distribution is dominated by organic nitrates, it suggests that the majority of  $RO_2$  is being terminated by reactions with NO (Reaction R4), thus contributing to  $O_3$  formation. On the other hand, if we observe large quantities of HOM dimers or non-nitrate monomers, formed from  $RO_2 + RO_2/HO_2$  (Reactions R5 and R6), there must be a large fraction of  $RO_2$ 

that does not contribute to the  $O_3$  formation process, suggesting that increased  $NO_x$  would also lead to more  $O_3$ . In other words, ratios of different types of HOMs can function as another indicator for determining the sensitivity of  $O_3$  formation. The situation is complicated by several factors, including challenges in identifying which HOMs might have formed from  $RO_2$  termination by  $RO_2$  or  $HO_2$  and knowing if a HOM is a monomeric product of a larger VOC precursor or a dimeric product from smaller VOCs. Still, if HOMs could be used even as a qualitative indicator for  $O_3$  formation, one particular benefit would be that they would serve as a real-time indicator. This is because both the formation (through autoxidation) and loss (through condensation onto aerosol particles) of HOMs take place on timescales of minutes or less.

In this study, our objective is to assess the viability of using the ratio of HOM dimers or non-nitrate monomers to HOM organic nitrates as an indicator of O<sub>3</sub> formation sensitivity. We conducted a series of experiments in an atmosphere simulation chamber (Riva et al., 2019a), focusing on the ozonolysis of  $\alpha$ -pinene, the most abundantly emitted monoterpene (Pathak et al., 2007). By varying the concentrations of  $O_3$  formation precursors  $NO_x$  and  $\alpha$ -pinene, as well as the NO<sub>2</sub> photolysis rate, we explored the shift between  $NO_x$ -limited and VOC-limited regimes in the chemical system. We employed mass spectrometers and gas monitors to measure HOM products,  $\alpha$ -pinene, O<sub>3</sub>, and NO<sub>x</sub>. We also developed a simple 0-D box model to simulate the concentrations of O<sub>3</sub> and its precursors in the chamber under different conditions. Finally, by analyzing both experimental and model outcomes, we evaluated the potential of the HOM ratios as indicators of O<sub>3</sub> formation sensitivity (either VOClimited or  $NO_x$ -limited) in this system.

### 2 Methods

## 2.1 Experiments

The experiments were conducted in the COALA chamber, as presented by Riva et al. (2019a). The cuboid chamber is made of fluorinated ethylene propylene (FEP) and has a volume of 2 m³ with a volume to surface area ratio of 0.2. The chamber was run in "steady-state mode" (Peräkylä et al., 2020; Krechmer et al., 2020), meaning there was a continuous flow of air and reagents (O<sub>3</sub>, NO<sub>2</sub>, and  $\alpha$ -pinene) through the chamber. The total flow was around 55 L min<sup>-1</sup>, giving an average residence time of approximately 36 min ( $\tau = \frac{2000 \, \text{L}}{55 \, \text{L} \, \text{min}^{-1}} \approx 36 \, \text{min}$ ). Each stage, where the experimental conditions remain unchanged, lasted at least 1.5 h, which is approximately 3 times the residence time, allowing the chamber to reach a pseudo-steady state, as confirmed by the time series obtained during the experiments (e.g., Fig. 4).

The details of the conducted experiments are provided in Table 1. The UV LED lights (wavelength  $\sim 400$  nm, manufactured by LEDlightmake Inc., Shenzhen, China) (Zhao

**Table 1.** Experimental conditions. Each experiment consisted of three to nine "stages" that corresponded to a specific time period during which the inputs remained constant. The parameter that was varied included input  $O_3$ , α-pinene, or  $NO_x$  concentrations, as well as  $NO_2$  photolysis rate ( $J_{NO_2}$ ). These variations are indicated in the table by multiple values or ranges in a given cell. The experiment number (no.) and number of total stages per experiment are shown in the first two columns.

Experiment no.	Number of stages	Input			
		$J_{\text{NO}_2}$ (s <sup>-1</sup> )	O <sub>3</sub> (ppb)	α-pinene (ppb)	$NO_x$ range (ppb)
1.	7	$1.85 \times 10^{-3}$	10.5	30/60	0–21.5
2.	8	$1.85 \times 10^{-3}$	15	15/45/60	0-21.5
3.	9	$1.85 \times 10^{-3}$	22.5	10/45/60	0-35.2
4.	9	$1.15 \times 10^{-3}$	15.5	15/45/60	0-44.5
5.	9	$0.35 \times 10^{-3}$	15.5	15/45/60	0-44.5
6.	3	$1.85 \times 10^{-3}$	10/15.5/22.5	60	44.5
7.	8	$1.85 \times 10^{-3}$	10	30/45/60	0-21.5

et al., 2023a) used for photolyzing NO<sub>2</sub> were kept on throughout each experiment, while the input concentrations of the precursors NO<sub>2</sub>, O<sub>3</sub>, and  $\alpha$ -pinene were varied across experiments and stages to map out a wide range of different conditions. The photolysis rate was varied by using varying numbers of LED light strips (one, three, or five). Experiments without VOC addition (nos. Z1-Z5, Table A1) were used to evaluate the photolysis rates (given in Table 1) for each number of light strips. We acknowledge that using alkene ozonolysis for this type of study is not ideal as O<sub>3</sub> also reacts with the VOCs, making the determination of actual O<sub>3</sub> formation more complicated. The choice of this system was partly due to our chamber not having the optimal light source for producing OH radicals, thus limiting us to O<sub>3</sub> oxidation, and partly because the HOM spectra from this system have been studied in great detail, making the interpretation of the HOMs easier.

All the input reactants, as well as the HOM products, were continuously measured online using instruments described below. The identified HOM species in this study were categorized into three groups: (1) HOM monomers (HOM $_{Mono}$ ), which are  $C_8-C_{10}$  compounds without any nitrogen atoms; (2) HOM organic nitrates (HOM $_{ON}$ ), which are  $C_8-C_{10}$  compounds with one nitrogen atom; and (3) HOM dimers (HOM $_{Di}$ ), which are  $C_{18}-C_{20}$  compounds without any nitrogen atoms.

# 2.2 Instrumentation

## 2.2.1 Mass spectrometers

A nitrate-adduct chemical ionization mass spectrometer (NO<sub>3</sub>-CIMS, Tofwerk AG/Aerodyne Research, Inc.) was used for online measurements of HOMs with high selectivity (Jokinen et al., 2012; Ehn et al., 2014; Riva et al., 2019b). A large sheath flow of  $20 \, \text{L} \, \text{min}^{-1}$  (to minimize wall losses) carries nitric acid (HNO<sub>3</sub>) across X-rays, producing nitrate ions (NO<sub>3</sub><sup>-</sup>). Then, in an electric field, NO<sub>3</sub><sup>-</sup> is guided towards a  $10 \, \text{L} \, \text{min}^{-1}$  sample flow, ionizing targeted HOM molecules

by clustering with them (Kürten et al., 2014). Finally, the charged sample molecules are directed through a critical orifice and into an atmospheric pressure interface time-of-flight mass spectrometer (APi-TOF), where they are detected based on mass-to-charge ratios (m/z) (Junninen et al., 2010). The NO<sub>3</sub>-CIMS was equipped with a standard TOF (HTOF), having a mass resolution of 5000 at m/z 188 Th. The concentrations of HOMs were converted from their normalized signals (i.e., the ratio of HOM-containing ions to reagent ions) by multiplying with a calibration factor (C), which takes different efficiencies into account (Jokinen et al., 2012; Bianchi et al., 2019).

[HOM] = 
$$C \cdot \frac{\text{HOM(NO}_3^-)}{\sum_{i=0}^2 (\text{HNO}_3)_i (\text{NO}_3^-)}$$
 (R7)

Calibrating with sulfuric acid (Kürten et al., 2012), we determined C to be  $1.56 \times 10^9 \, \mathrm{cm}^{-3} \ (\pm 50 \, \%)$  based on a flowtube model (He et al., 2023). However, in this study, the accuracy of C is less important since the normalized signals of HOMs were sufficient for relative comparisons.

A proton transfer reaction time-of-flight mass spectrometer (PTR-TOF 8000, Ionicon Analytik GmbH), designed for online measurements of VOCs (Jordan et al., 2009), was utilized in our study specifically for the detection of  $\alpha$ -pinene. The PTR is an ionization method where water molecules (H<sub>2</sub>O) are ionized in a hollow cathode discharge, resulting in the formation of hydronium ions  $(H_3O^+)$  (Hansel et al., 1995). Then, as proton donors, H<sub>3</sub>O<sup>+</sup> is directed into a drift tube, where trace organic compounds are ionized by proton transfer process with proton affinity as the key parameter (Hansel et al., 1995; Graus et al., 2010). After a differentially pumped ion transfer unit, the charged molecules enter a TOF, where collisions are negligible under a low pressure of  $\sim 10^{-6}$  mbar with a high vacuum (Graus et al., 2010). The inlet flow was 1 Lmin<sup>-1</sup> with 0.1 Lmin<sup>-1</sup> being sampled into the ion drift tube. Further details regarding the calibration and settings of the PTR can be found in Zhao et al. (2023b), and the calibration factor for  $\alpha$ -pinene (detected as  $C_{10}H_{17}^+$  at m/z 137 Th) was  $\sim 104~\rm ppb^{-1}$  after normalization by primary ion isotope  $H_3^{18}O^+$  (at 21 Th). The analysis of raw data from the NO<sub>3</sub>-CIMS and PTR-TOF was conducted using the MATLAB-based set of programs called tofTools (version 611) (Junninen et al., 2010).

## 2.2.2 Gas monitors

The concentrations of  $NO_x$  and  $O_3$  were measured by gas monitors. A photometric  $O_3$  analyzer – model 400 (Teledyne API) was used to detect  $O_3$  in the chamber. The amount of  $O_3$  determines how much of a 254 nm UV light signal is absorbed in the sample cell. The absorption difference between the intact sample air and the  $O_3$ -removed air, achieved by a switching valve periodically, enables the determination of the stable  $O_3$  concentrations.

As for  $NO_x$ , an  $NO-NO_2$  analyzer – model T200UP (Teledyne API) – was utilized. With a high-efficiency photolytic converter,  $NO_2$  is transformed to NO with minimal interference from other gases. Using the chemiluminescence detection principle, NO is measured by reacting with  $O_3$ , yielding light in direct proportion to the amount of NO (Archer et al., 1995). In this way, both sampled NO and total  $NO_x$  can be measured, without and with using the photolytic converter, respectively. This enables the determination of the  $NO_2$  concentration in the sample by subtraction.

### 2.3 Box model

As O<sub>3</sub> was both injected and produced in the chamber, and sinks included reactions with NO and VOCs as well as flush-out, we constructed a simple 0-D box model (14 reactions, Table A2) to mimic the main reactions and to generate O<sub>3</sub> isopleth diagrams. These isopleths were then used to determine sensitivity regimes for O<sub>3</sub> formation. Reaction rates were adapted from the NIST Chemical Kinetics Database (https://kinetics.nist.gov/kinetics/index.jsp, last access: 25 August 2023). The model did not include closedshell HOM products and all peroxy radicals were treated as a single term (i.e., RO<sub>2</sub>). The box model was first employed to determine NO<sub>2</sub> photolysis rates under different numbers of UV lights in the zero-VOC experiments (Table A1), where only O<sub>3</sub> and NO<sub>2</sub> were used as input species. The high agreement between the model and observations (detailed results are shown in Sect. 3.1 and 3.3) showed that the model was adequate for simulating the targeted reactions in our chamber.

## 3 Results and discussions

### 3.1 NO<sub>2</sub> photolysis rate determination

Figure 1 illustrates an example of a zero-VOC experiment, while Table A1 provides a comprehensive list of all zero-VOC experiments conducted. Using the box model, NO<sub>2</sub>

photolysis rates ( $J_{\rm NO_2}$ ) were determined by varying the  $J_{\rm NO_2}$  parameter in the model until the simulated O<sub>3</sub> and NO<sub>x</sub> values agreed with the observations in the zero-VOC experiments (Figs. 1 and A2–A5). With  $J_{\rm NO_2}=1.85\times 10^{-3}~{\rm s}^{-1}$  with five UV lights, the modeled gas concentrations agreed extremely well with measured values (Fig. 1). Similar agreement was observed for different inputs of O<sub>3</sub> and NO<sub>2</sub> (Figs. A2 and A3), indicating the robustness of both the model itself and the fitted  $J_{\rm NO_2}$ . Furthermore, employing the same procedure, the photolysis rates with three UV lights and one UV light were determined to be  $1.15\times 10^{-3}$  and  $0.35\times 10^{-3}~{\rm s}^{-1}$ , respectively (Figs. A4 and A5). The values for  $J_{\rm NO_2}$  could also be computed from the observed steady-state and input concentrations of NO<sub>x</sub>/O<sub>3</sub> for each condition (details see Table A1).

#### 3.2 HOM determination

A steady-state spectrum (experiment no. 2) obtained from the NO<sub>3</sub>-CIMS (Fig. 2) illustrates the identified closed-shell HOM products, including HOM<sub>Mono</sub> (light green), HOM<sub>ON</sub> (blue), and HOM<sub>Di</sub> (dark green). Table 2 provides the formulas of all the selected HOM species. Figure A6 displays the steady-state spectra of all stages, with the corresponding input information described in Fig. 4a. As expected, with the injection of more NO<sub>2</sub>, the signals of HOM<sub>ON</sub> increased, while those of HOM<sub>Mono</sub> and HOM<sub>Di</sub> decreased considerably (stages 2–5 in Fig. A6). This observation is consistent with the dominance of the RO<sub>2</sub> + NO reaction over the RO<sub>2</sub> cross-reactions at a few parts per billion of NO<sub>x</sub> (Yan et al., 2016, 2020). After the addition of more  $\alpha$ -pinene, all signals showed a noticeable rise (stages 6–7 in Fig. A6) due to the increased supply of RO<sub>2</sub> species.

Our experiments showed that HOM organic nitrates with fewer than nine oxygen atoms (HOM<sub>ON.O</sub><8) exhibited the slowest decay at the end of the experiment (Fig. 3). This can be explained by the evaporation of these semi-volatile HOM<sub>ON,O<8</sub> compounds from chamber walls even after the gas-phase production had stopped. Additionally, nonnitrate HOM monomers with fewer than nine oxygen atoms (HOM<sub>Mono,O<8</sub>) also showed an overall slow decay (Fig. 3). As a result, prior to subsequent experiments, the concentration levels of HOM<sub>ON,O<8</sub> and HOM<sub>Mono,O<8</sub> remained high, obscuring actual concentration changes following the addition of  $NO_x$ . As such, the ratios of the different compound groups are impacted by memory effects from previous experiments and wall interactions and would therefore be poor real-time indicators of the O<sub>3</sub> formation sensitivity. In the atmosphere, the long-lived oxygenated VOCs (OVOCs) could linger for hours to days, and the ratios of, e.g., nitrates to non-nitrates would be greatly influenced by various loss terms (photolysis, oxidation, condensation, hydrolysis, etc.) that may differ dramatically between different compound groups. In contrast, HOM<sub>ON,O>8</sub>, HOM<sub>Mono,O>8</sub>, and HOM<sub>Di</sub> experienced fast decays due to their very low

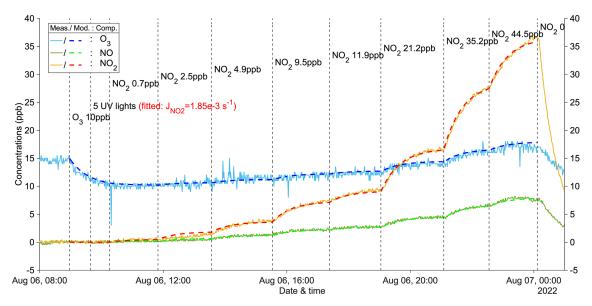


Figure 1. A zero-VOC experiment (Z1) for determining the photolysis rate of  $NO_2$ . Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown as solid and dashed lines, respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation. Note that the operation labels show input information (input  $NO_2$ : measured  $NO_2 + NO$ ).

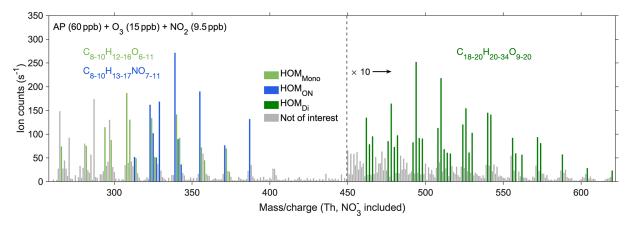


Figure 2. The steady-state spectrum (15 min average) at stage 7 of experiment no. 2 from the  $NO_3$ -CIMS. The spectrum was corrected by subtracting the corresponding background signals. Light green bars show  $HOM_{Mono}$ , dark green ones show  $HOM_{Di}$ , blue ones show  $HOM_{ON}$ , and grey ones show peaks not of interest. The peaks not of interest either exhibited relatively low signals, represented radicals, contained too small a number of carbon and oxygen atoms, or had uncertain mass-to-charge ratios. The peaks larger than 450 Th are multiplied by 10.

volatilities, corresponding to lifetimes well below 1 h when accounting for the fact that HOM formation (roughly estimated by the dashed purple line in Fig. 3) continued while the precursors were being flushed out (the higher normalized background level of  $HOM_{Di}$  is due to their lower initial concentrations, but this stable level allowed for accurate background subtraction in each experiment). Therefore, we excluded the less oxygenated and more volatile HOM species, specifically  $HOM_{ON,O\leq 8}$  and  $HOM_{Mono,O\leq 8}$ , to obtain parameters with short enough lifetimes to truly reflect the ongoing chemistry in real time. The remaining differences in

decay rates in Fig. 3 may also reflect slight differences in loss rates for the highly oxygenated species, but also the yields of the different compound groups are a function of, e.g., reaction rates. For example, dimer formation requires  $RO_2 + RO_2$  reactions, which are more favored at higher VOC oxidation rates. Consequently, the indicating ratio used in this study is defined as the ratio between the sum of  $HOM_{Di}$  or  $HOM_{Mono,O>8}$  and the sum of  $HOM_{ON,O>8}$ , represented as  $\frac{\sum HOM_{Di}}{\sum HOM_{Mono,O>8}}$  (indicating ratio 1, abbreviated as IR1) or  $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$  (IR2). We emphasize that these ratios will be

Monomers	Dimer	Organic nitrates	
$C_8H_{12}O_x (x = 6-9)$ $C_{10}H_{14}O_x (x = 7-11)$ $C_{10}H_{16}O_x (x = 6-11)$	$ \begin{array}{ c c c } \hline C_{18}H_{22}O_x & (x=17-20) \\ C_{18}H_{24}O_x & (x=12,14,16,17) \\ C_{18}H_{26}O_x & (x=11-14) \\ C_{18}H_{28}O_x & (x=10-14) \\ C_{18}H_{30}O_{13} \\ C_{19}H_{26}O_x & (x=10-19) \\ C_{19}H_{28}O_x & (x=9-16) \\ \hline \end{array} $	$C_{19}H_{30}O_x (x = 9-13)$ $C_{19}H_{32}O_x (x = 10-13)$ $C_{20}H_{20}O_{15}$ $C_{20}H_{30}O_x (x = 10-18)$ $C_{20}H_{32}O_x (x = 9,11-15)$ $C_{20}H_{34}O_x (x = 11, 12)$	$ \begin{array}{c} C_8H_{13}NO_x \; (x=8,9) \\ C_9H_{15}NO_x \; (x=8,9) \\ C_{10}H_{15}NO_x \; (x=7-11) \\ C_{10}H_{17}NO_x \; (x=7,8) \end{array} $

**Table 2.** Identified HOM closed-shell species based on experiment no. 2. The reagent ion NO<sub>3</sub> is excluded.

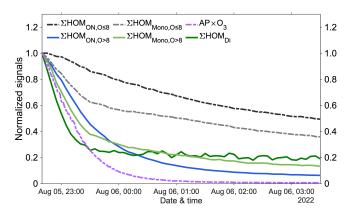


Figure 3. The normalized signal decays after experiment no. 2 ended. All signals were normalized first to primary ions and then to the signals at the moment when experiment no. 2 ended. Dashed lines:  $\sum HOM_{ON,O\leq 8}$  (sum of  $HOM_{ON}$  species with fewer than nine oxygen atoms, in black),  $\sum HOM_{Mono,O\leq 8}$  (in grey), and  $AP\times O_3$  (multiplication of  $\alpha$ -pinene and  $O_3$  concentrations, in purple). Solid lines:  $\sum HOM_{ON,O>8}$  (in blue),  $\sum HOM_{Mono,O>8}$  (in light green), and  $\sum HOM_{Di}$  (in dark green).

specific to our experiments, as yields for the different groups will vary for different VOCs and conditions. Nevertheless, the use of the most oxidized, i.e., the least volatile, HOMs is critical in this context, as their loss rates are very similar as they all behave as if they were non-volatile, thus condensing irreversibly to surfaces as the main sink term.

# 3.3 Indicating ratios

In this section, we detail the conducted experiments. Experiment no. 2 is depicted in Fig. 4, while the other experiments are shown in Figs. A7–A12. It is worth noting that our experiments did not result in notable particle formation, and condensation to walls was always the dominant loss term for HOMs. If aerosol formation had been significant, as has been observed in our chamber at higher oxidation rates (Zhao et al., 2023a), HOMs would first increase due to fast formation and then decrease due to condensation sink. Variation of  $NO_2$  and  $\alpha$ -pinene input concentrations leads to changes in both indicating ratios (IR1 and IR2) that correspond to

changes in O<sub>3</sub> concentrations (Fig. 4), suggesting a possible sensitivity of O<sub>3</sub> formation. From stages 1 to 5, injection of more NO<sub>2</sub> led to increased formation of HOM<sub>ON,O>8</sub>, while production of  $HOM_{Di}$  and  $HOM_{Mono,O>8}$  was suppressed. As a result, the indicating ratios decreased. Additionally, the concentration of O<sub>3</sub> increased during this period, but the rate of increase decreased with higher NO<sub>2</sub> inputs. This trend suggests a gradual shift from a NOx-limited regime to a more VOC-limited regime in the system. For example, during stage 5 with 9.5 ppb NO<sub>2</sub>, the O<sub>3</sub> concentration remained relatively constant. This observation indicates the system may have shifted to the VOC-limited regime. Next, when additional  $\alpha$ -pinene was introduced ( $\sim$  45 ppb during stage 6), a significant increase in O<sub>3</sub> concentration was again observed, consistent with the system being in the VOC-limited regime during stage 5. However, after injection of  $\sim 60 \text{ ppb } \alpha$ -pinene during stage 7, the O<sub>3</sub> concentration reached a plateau, indicating that the system had shifted back to the NO<sub>x</sub>-limited regime. Moreover, during these two stages, the indicating ratios experienced a substantial increase. In the last stage, stage 8, when additional NO<sub>2</sub> was injected to reach 21.5 ppb while the input  $\alpha$ -pinene concentration remained unchanged, the O<sub>3</sub> concentration increased again, and the indicating ratios decreased noticeably, confirming that the previous stage was  $NO_x$ -limited. However, it should be noted that the system in this stage might not yet be VOC-limited.

Other experiments with five UV lights (Figs. A7, A8, and A11) also exhibited similar time series patterns as described above. One noticeable difference is that higher initial O<sub>3</sub> concentrations resulted in less pronounced increases in O<sub>3</sub> during the first five stages with varying NO<sub>2</sub> levels. This can be attributed to the reaction O<sub>3</sub> + NO (Reaction R2) becoming faster, competing with the formation of O<sub>3</sub> from the RO<sub>2</sub> + NO reaction (Reaction R4a) followed by NO<sub>2</sub> photolysis (Reaction R1). As a result, there was reduced O<sub>3</sub> formation in the presence of higher initial O<sub>3</sub> concentrations due to the scavenging of NO by existing O<sub>3</sub>.

Compared to experiment no. 2 (Fig. 4), experiments with fewer lights (but similar initial concentrations; Figs. A9 and A10) provide insight into the effect of UV light intensities on  $O_3$  formation sensitivity and the consistency of the indicating ratios under different light intensities. More lights

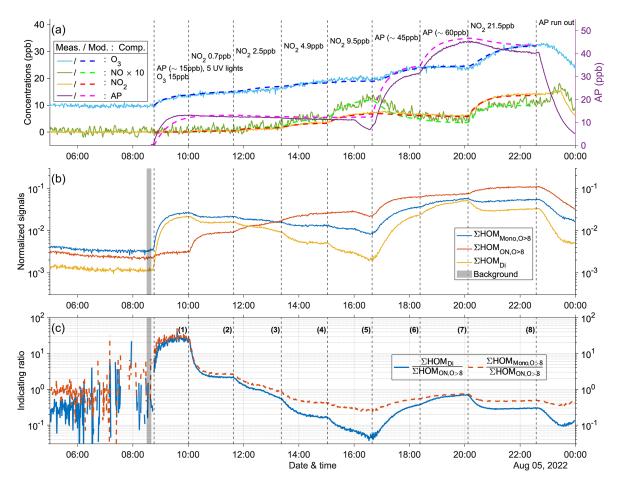


Figure 4. Time series of experiment no. 2 with 15 ppb  $\alpha$ -pinene and 15 ppb O<sub>3</sub> as initial inputs. Five UV lights were on during all stages. Three panels show the time series of different compounds: (a) measured (abbreviated as Meas., in solid lines) and modeled (abbreviated as Mod., in dashed lines) concentrations of O<sub>3</sub>, NO<sub>x</sub> (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by the right y axis); (b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); (c) IR1 ( $\sum HOM_{Di}$ ) and IR2 ( $\sum HOM_{Mono,O>8}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a). The bolded number in parentheses in panel (c) corresponds to the number of stages. The steady-state mass spectra obtained by the NO<sub>3</sub>-CIMS for each stage are shown in Fig. A6.

led to a more pronounced increase in  $O_3$  concentrations at the same stages because additional  $O_3$  was produced from  $NO_2$  photolysis. On the other hand, fewer lights resulted in lower NO levels in the system since  $NO_2$  input was the sole source of  $NO_x$ . This led to reduced  $NO_2$  formation from  $RO_2 + NO$  reaction (Reaction R4a) and subsequently less  $O_3$  formation. This aspect is crucial in determining the  $O_3$  sensitivity. In this sense, the presence of fewer lights implies that higher levels of  $NO_2$  input are required to ensure sufficient NO levels for reaching the VOC-limited regime. This can be confirmed by the stage where  $\sim 45$  ppb  $\alpha$ -pinene was injected (Figs. 4, A9, and A10). During this stage, the  $O_3$  concentration showed a significant increase with either three or five UV lights, indicating that the system had reached the VOC-limited regime in the previous stage. However, when only one UV light was

used, the  $O_3$  concentration remained relatively constant, suggesting that the system with one light did not reach the VOC-limited regime. It is worth mentioning that the indicating ratios exhibited more significant changes when using more lights. This can be attributed to the fact that more lights result in increased production of  $O_3$  and NO, leading to more drastic changes in HOM distributions and thereby influencing the indicating ratios to a greater extent.

Using the box model described in Sect. 2.3, the concentrations of  $O_3$  and its precursors were captured well both qualitatively and quantitatively (e.g., Fig. 4a). In general, simulated concentrations of  $O_3$  during the steady states differed from measured values by at most 10 %, while differences for  $NO_x$  were even smaller. The largest discrepancy in concentrations ( $\sim$  15 %) was observed for  $\alpha$ -pinene, which can be

attributed to the simplifications made in the model. Specifically, the OH concentration will be underestimated if the model does not accurately capture the yields of HO<sub>2</sub>, which can be converted into OH via reactions with NO.

Overall, both indicating ratios are promising as indicators of O<sub>3</sub> formation sensitivity. However, in all time series (Figs. 4c, A7c–A12c), IR1  $(\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}})$  exhibited more pronounced changes compared to IR2  $(\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}})$  as we shifted the O<sub>3</sub> formation regimes. This highlights that in the well-controlled chamber systems we investigated, IR1 may hold better potential for indicating O<sub>3</sub> formation sensitivity in the absence of other perturbing factors. It can be explained by the fact that the nitrates are solely from  $RO_2 + NO$  and the dimers solely from  $RO_2 + RO_2$ . But HOM monomers can be from both of these reactions. On the other hand, in the real atmosphere, IR1 is expected to be much less robust, as discussed in more detail in Sect. 3.5. The intensity of light (i.e., NO<sub>2</sub> photolysis rate) played a crucial role in determining O<sub>3</sub> sensitivity regimes by controlling the amount of NO. Our box model successfully reproduced the measured values of O<sub>3</sub> and its formation precursors, making it reasonable to extend the model to generate O<sub>3</sub> isopleths under chamber conditions beyond those covered in our experiments. With these we can better elucidate how well the HOM ratios can function as O<sub>3</sub> sensitivity indicators in this system.

### 3.4 Viability of the indicating ratios

In order to validate the indicating ratio, we generated  $O_3$  isopleths by modeling various combinations of input NO<sub>2</sub> and  $\alpha$ -pinene concentrations. The indicating ratios obtained from all steady-state stages were scattered on the same coordinate system (IR1: Figs. 5 and 6 and IR2: Figs. A13 and A14). The purpose of varying the injection rates of either  $NO_x$  or  $\alpha$ pinene within each experiment (e.g., colored curves in Fig. 5) was to shift the system between the VOC-limited and  $NO_x$ limited regimes. Unlike previous studies that used the concentration of VOCs as the x axis (Kinosian, 1982; Chameides et al., 1992), in this study, the x axis represented the product of the measured  $\alpha$ -pinene and O<sub>3</sub> concentrations. This is because the product reflects the potential for RO<sub>2</sub> formation, which reacts directly with NO to contribute to O<sub>3</sub> accumulation (Reactions R1–R4a). In typical EKMA plots the oxidant is primarily thought to be OH, the concentration of which is independent of the VOC concentrations; thus, the x axis would be largely equivalent regardless of whether plotting VOCs or VOCs times oxidant. In our case, the VOC concentration will directly influence the oxidant (i.e., O<sub>3</sub>) concentration through chemical reactions, and therefore we chose to use the current x axis. The ridge line of the EKMA curves was plotted for each experiment, represented by dotted lines in, e.g., Fig. 5b-d. The reason for separating panels (b)-(d) from each other is due to the differences in constant O<sub>3</sub> inputs or NO<sub>2</sub> photolysis rates in each experiment, resulting in distinct  $O_3$  isopleths. For the context of this work, we do not separate a transition regime where  $O_3$  formation is sensitive to both  $NO_x$  and VOCs but simply define the VOC- and  $NO_x$ -limited regimes based on the ridge line. Farther above the ridge line, the system is more VOC-limited, while farther below the line, the system is more  $NO_x$ -limited. It is notable that in the VOC-limited regime, instead of a reduction, the  $O_3$  concentration even increased slowly with the addition of  $NO_x$ , primarily due to the photolysis of the input  $NO_2$ .

Comparing experimental and model results, the steadystate stages of all experiments with five UV lights exhibited a consistent pattern for the indicating ratios, allowing qualitative determination of O<sub>3</sub> formation sensitivity (Figs. 5 and A13). Generally, the farther the steady-state point is from the ridge line, the darker the coloring (either blue or green). Specifically, when the color is darker blue, it indicates a smaller value of the indicating ratios, suggesting a higher likelihood of the system being in the VOC-limited regime. Conversely, when the color is darker green, it signifies a higher value of the ratios, indicating a higher likelihood of the system being in the  $NO_x$ -limited regime. It is worth noting that experiment no. 7 was essentially a duplicate of experiment no. 1, but with additional stages. When comparing the indicating ratios at stages with the same inputs, as shown in Figs. 5d and A13d, it becomes apparent that the values are highly consistent and closely aligned. Moreover, the background signals of HOM<sub>ON,O>8</sub>, HOM<sub>Mono,O>8</sub>, and HOM<sub>Di</sub> increased substantially with experiments going on, from  $5 \times 10^{-4} - 2 \times 10^{-3}$  (Fig. A7b) to  $4 \times 10^{-3} - 2 \times 10^{-2}$ (Fig. A11b). However, the accumulating background did not have a significant impact on the indicating ratios after the background subtraction (Fig. 5d and A13d). This highlights the remarkable reproducibility of the indicating ratios in our chamber experiments.

To investigate the impact of light intensities, a similar comparison was conducted for experiments with the same initial inputs using five UV lights, three UV lights, and one UV light (Figs. 6 and A14). The observed changes in the pattern of the indicating ratios are in line with those observed in the experiments with five UV lights described above. The most significant observation is that at lower UV light intensity, the ridge line shifted towards higher  $NO_x$  levels at the same potential for RO<sub>2</sub> formation (i.e., the same value of x axis) (Figs. 6b-d and A14b-d). This finding aligns with the time series comparison presented in Sect. 3.3, which indicates that at weaker UV intensity, higher input NO<sub>2</sub> is required to generate sufficient NO levels to shift the system towards the VOC-limited regime. When comparing stages with the same conditions except different UV lights, we generally observed that a lower intensity of UV lights corresponded to higher values of the indicating ratios (Figs. 6 and A14), showing a higher likelihood of the system being in the  $NO_x$ limited regime. This finding is in agreement with the shift of the ridge line. The correlation between UV intensities, the indicating ratios, and the position of the ridge line rein-

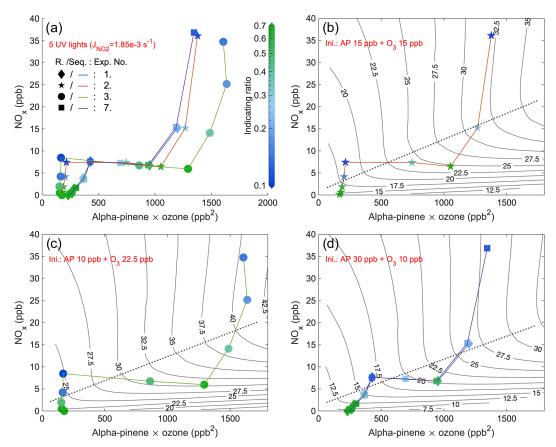


Figure 5. Steady-state IR1 ( $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON},O>8}}$ ) of experiments from 4 d with five UV lights. The *x* axis is the multiplication of steady-state α-pinene and O<sub>3</sub> concentrations, while the *y* axis is the steady-state NO<sub>x</sub>. The scatter points (exp. no. 1: diamond; exp. no. 2: star; exp. no. 3: round; exp. no. 7: square) are colored by values of IR1 (abbreviated as R. in the figure) and are connected by curves (exp. no. 1: blue; exp. no. 2: orange; exp. no. 3: green; exp. no. 7: purple) showing the sequence (Seq.) of experimental stages. Panel (a) combines stages of all 4 d, and the other three panels respectively show the stages of experiments with different initial (Ini.) inputs (exp. nos. 1 and 7 are in the same panel (d) due to the same initial inputs). EKMA curves (isopleths of O<sub>3</sub> concentrations in ppb), simulated by the box model, are solid black lines, while dotted lines are the corresponding ridge lines.

forces the relationship between the indicating ratios and  $O_3$  formation sensitivity. It suggests that in addition to the relative changes, the absolute values of the indicating ratios are also informative.

The conclusion can be drawn that the indicating ratios can qualitatively predict the  $O_3$  formation regimes (either VOC- or  $NO_x$ -limited). More specifically, based on modeled EKMA curves and steady-state HOM ratios (Figs. 5, 6, A13, and A14), regardless of the light intensity, IR1 and IR2 consistently indicate the VOC-limited regime when below 0.2 and 0.4 and the  $NO_x$ -limited regime when above 0.5 and 0.7.

#### 3.5 Implications and further improvements

Our chamber study (Sect. 3.4) confirmed the significant role of the indicating ratios in determining O<sub>3</sub> formation sensitivity qualitatively. However, in the real atmosphere, the conditions will vary significantly more than in our simple system. Most importantly, the number of different precursor VOCs

will be vastly greater, and in reactions with OH, the distribution of different types of RO<sub>2</sub> radicals will also be far more complex. As an example, in a rural setting there can be a wide variety of small VOCs (C<sub>1</sub>-C<sub>4</sub>), isoprene (C<sub>5</sub>), aromatics  $(C_6-C_9)$ , and monoterpenes  $(C_{10})$  that all produce significant amounts of RO2. Consequently, from these molecules, dimers can form with any carbon number between 2 and 20, while monomers can have carbon numbers between 1 and 10. In addition, both VOC and oxidant concentrations as well as radiation and meteorological patterns will vary over time. This means that it will be difficult to find universal compounds to include in the HOMDi, HOMMono, and HOMON groups used to calculate the indicating ratios for a given site and a given time. For example, it is likely that there will be few environments where C<sub>10</sub> RO<sub>2</sub> from monoterpenes would be efficiently reacting with each other during daytime, meaning that the  $C_{20}$  dimers used here are unlikely to be usable. It remains to be seen whether the HOM<sub>Mono</sub>-to-HOM<sub>ON</sub> ratio can be used for C<sub>10</sub> compounds in areas with high

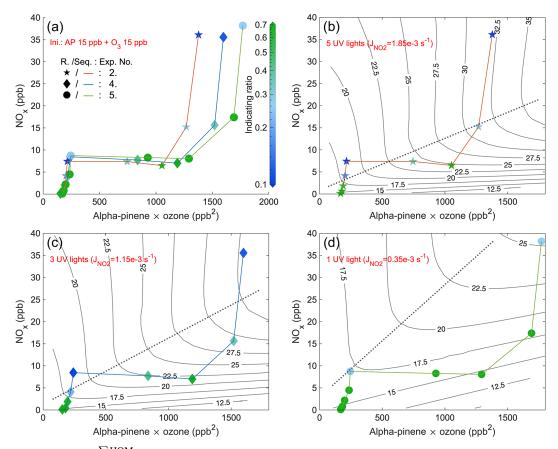


Figure 6. Steady-state IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) of experiments from 3 d with five UV lights, three UV lights, and one UV light, respectively. The *x* axis is the multiplication of steady-state  $\alpha$ -pinene and  $O_3$  concentrations, while the *y* axis is the steady-state  $NO_x$ . The scatter points (exp. no. 2: star; exp. no. 4: diamond; exp. no. 5: round) are colored by values of IR1 (abbreviated as R. in the figure) and are connected by curves (exp. no. 2: orange; exp. no. 4: blue; exp. no. 5: green) showing the sequence (Seq.) of experimental stages. Panel (a) combines stages of all 3 d with the same initial (Ini.) inputs, and the other three panels respectively show the stages of experiments with different numbers of UV lights. EKMA curves by the box model are black lines, and the dotted lines are the corresponding ridge lines.

monoterpene emissions. Nevertheless, conceptually the link between HOM formation pathways and O<sub>3</sub> formation should hold, and it may be possible to determine suitable compound groups for various sites. Our study focused exclusively on  $\alpha$ -pinene, but the intrinsic connection between the indicating ratios we proposed and O<sub>3</sub> formation (Fig. A1) is not limited to this specific VOC. Further laboratory and ambient studies are necessary to investigate additional VOCs of interest and expand our understanding of the indicating ratios' applicability and generalizability in predicting O<sub>3</sub> formation sensitivity under various atmospheric conditions. In addition, at the extremes, ranging from clear formation of dimeric species to complete lack of dimeric species with abundant organic HOM nitrates, this can be considered a strong qualitative indicator of a NO<sub>x</sub>-limited or VOC-limited regime, respectively.

#### 4 Conclusions

Both O<sub>3</sub> and HOMs are of significant interest, given their impacts from small-scale personal health to large-scale global climate. Due to the intrinsic connection between the formation mechanisms of O<sub>3</sub> and HOMs, we suggest new indica- $\frac{\sum \text{HOM}_{\text{Di}}}{\text{HOM}_{\text{ON},O>8}}$  and  $\frac{\sum \text{HOM}_{\text{Mono},O>8}}{\sum \text{HOM}_{\text{ON},O>8}}$ , for deter- $\overline{\sum HOM_{ON,O>8}}$ mining O<sub>3</sub> formation regimes based on the distribution of HOMs. One main improvement of using HOM-based indicating ratios compared to those suggested earlier would be the short lifetimes of HOMs, which means that these new indicators would be real-time indicators of the formation regime. To assess the viability of the indicating ratios, a series of chamber experiments were carried out using a NO<sub>3</sub>-CIMS, a PTR-TOF, and  $O_3$  and  $NO_x$  monitors. As expected, an increase in  $NO_x$  inputs led to an increase in  $HOM_{ON}$  and a decrease in HOM<sub>Di</sub>, HOM<sub>Mono</sub>, and the indicating ratios. Conversely, an increase in  $\alpha$ -pinene resulted in a rise in the indicating ratios. Furthermore, when adding enough of one

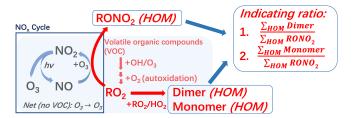
of the  $O_3$  formation precursors (either  $NO_x$  or  $\alpha$ -pinene), the rate of increase in  $O_3$  concentration slowed down or even stopped. This indicates that the system was shifting to or had already reached the other limited regime.

With a box model, which closely reproduced the measured concentrations of  $O_3$  and its precursors,  $O_3$  isopleths were obtained for different concentrations of  $NO_x$  and  $\alpha$ -pinene. After drawing ridge lines of the isopleths, it was observed that the indicating ratios provide a qualitative prediction of the  $O_3$  formation regimes: lower values of the ratios indicate a greater likelihood of the system being located in the VOC-limited regime, and vice versa. With less intense UV light ( $\lambda \approx 400\,\mathrm{nm}$ ), a higher amount of  $NO_2$  was required to shift the system towards the VOC-limited regime. This can be attributed to a decrease in the formation of NO from  $NO_2$  photolysis. Nevertheless, the absolute values of the indicating ratios exhibited a consistent behavior across different intensities of UV light, suggesting that these absolute values are highly valuable for analyzing  $O_3$  formation sensitivity.

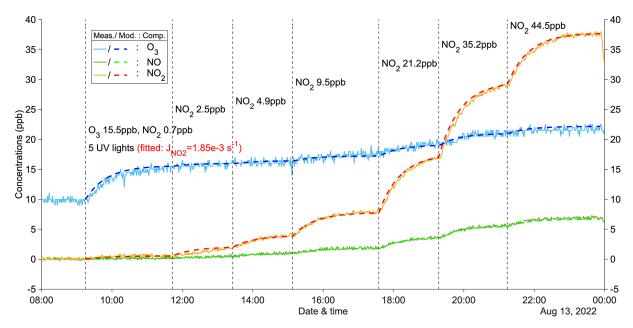
The main objective of this study was to evaluate the concept of using HOM distributions in indicating  $O_3$  formation sensitivity. Based on our outcomes, we can conclude that the ratio of HOM dimers or non-nitrate monomers to HOM organic nitrates (i.e.,  $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$  or  $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ) has the capability to indicate  $O_3$  formation regimes (either VOC- or  $NO_x$ -limited) in this simple system of monoterpene ozonolysis. An indicating ratio of this kind would aid in better control of  $O_3$  pollution and have the potential to be incorporated as a useful parameter into global models for analyzing  $O_3$  formation sensitivity under diverse environmental conditions.

Nevertheless, future studies will need to assess whether this approach is feasible to be applied in real-world conditions where the chemistry is far more complex. The variability of VOC precursors alone will greatly perturb the ideal situation observed in our chamber. Still, we posit that environments with high monoterpene emissions will also produce abundant  $C_{10}$  RO $_2$  concentrations, and the comparison of the highly oxygenated monomeric termination products (i.e., nitrates vs. non-nitrates) can provide an indication of the relative RO $_2$  termination pathways. Further studies, both ambient observations and chamber experiments involving multiple VOCs and oxidants will be necessary to determine the potential of HOM-based indicators for  $O_3$  formation.

## Appendix A: Additional figures and tables



**Figure A1.** A sketch of the connection between HOMs and O<sub>3</sub> formation. Based on the formation connection, two indicating ratios between the HOM species are defined. RONO<sub>2</sub> (HOM): nitratecontaining HOM monomers, dimer (HOM): non-nitrate HOM dimers, monomer (HOM): non-nitrate HOM monomers.



**Figure A2.** A zero-VOC experiment (Z2) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown as solid and dashed lines, respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.

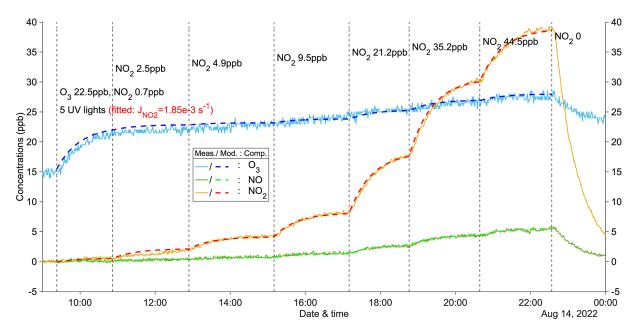
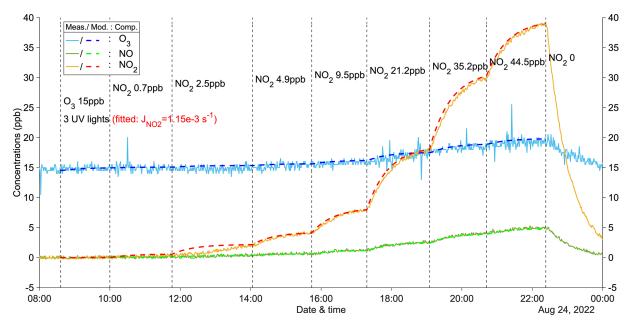
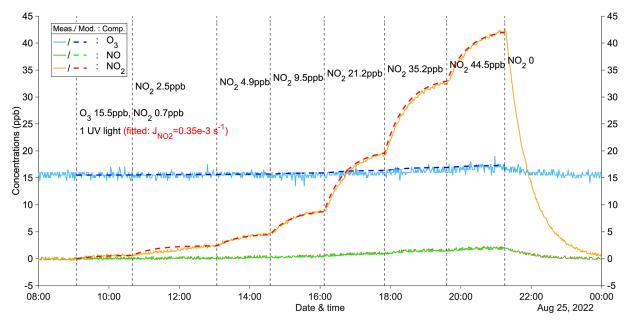


Figure A3. A zero-VOC experiment (Z3) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown as solid and dashed lines, respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.



**Figure A4.** A zero-VOC experiment (Z4) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown as solid and dashed lines, respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.



**Figure A5.** A zero-VOC experiment (Z5) for determining the photolysis rate of NO<sub>2</sub>. Measured (abbreviated as Meas.) and modeled (abbreviated as Mod.) concentrations of different compounds (abbreviated as Comp.) are shown as solid and dashed lines, respectively. Dashed vertical lines indicate specific time points of operations, with corresponding labels for each operation.

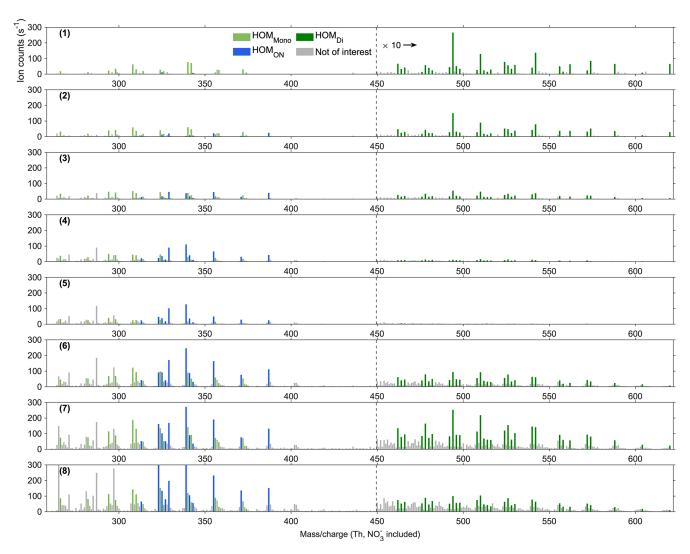


Figure A6. Steady-state spectra (15 min average) for experiment no. 2 from the  $NO_3$ -CIMS. All spectra were corrected by subtracting the corresponding background signals. The number in each row shows the order of the stage, consistent with the time series in Fig. 4. Light green bars show  $HOM_{Mono}$ , dark green ones show  $HOM_{Oi}$ , blue ones show  $HOM_{Oi}$ , and grey ones show peaks not of interest. The peaks larger than 450 Th are multiplied by 10.

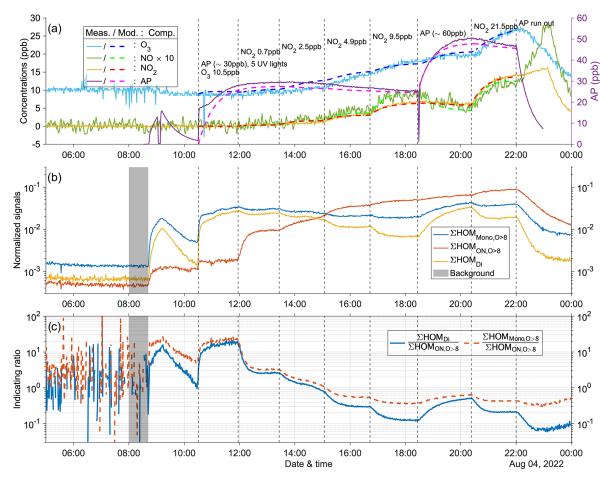


Figure A7. Time series of experiment no. 1 with 30 ppb α-pinene and 10.5 ppb O<sub>3</sub> as initial inputs. Five UV lights were on during all stages. Three panels show the time series of different compounds: (a) measured (abbreviated as Meas., solid lines) and modeled (abbreviated as Mod., dashed lines) concentrations of O<sub>3</sub>, NO<sub>x</sub> (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e., α-pinene, shown by the right y axis); (b) normalized signals of  $\sum \text{HOM}_{\text{Mono,O}>8}$  (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum \text{HOM}_{\text{ON,O}>8}$  (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum \text{HOM}_{\text{Di}}$  (sum of HOM dimers); (c) IR1 ( $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON,O}>8}}$ ) and IR2 ( $\frac{\sum \text{HOM}_{\text{Mono,O}>8}}{\sum \text{HOM}_{\text{Mono,O}>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a).

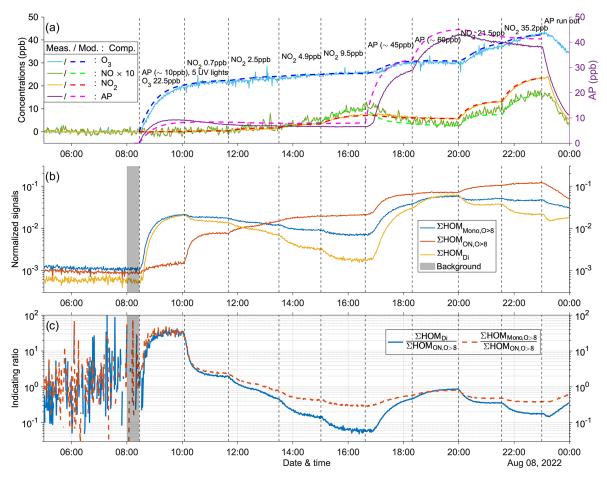


Figure A8. Time series of experiment no. 3 with 10 ppb α-pinene and 22.5 ppb O<sub>3</sub> as initial inputs. Five UV lights were on during all stages. Three panels show the time series of different compounds: (a) measured (abbreviated as Meas., solid lines) and modeled (abbreviated as Mod., dashed lines) concentrations of O<sub>3</sub>, NO<sub>x</sub> (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e., α-pinene, shown by the right y axis); (b) normalized signals of  $\sum \text{HOM}_{\text{Mono,O}>8}$  (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum \text{HOM}_{\text{ON,O}>8}$  (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum \text{HOM}_{\text{Di}}$  (sum of HOM dimers); (c) IR1 ( $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON,O}>8}}$ ) and IR2 ( $\frac{\sum \text{HOM}_{\text{Mono,O}>8}}{\sum \text{HOM}_{\text{NO,O}>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a).

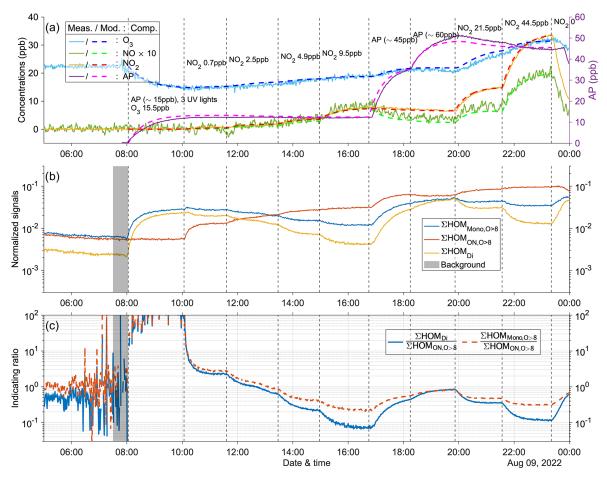


Figure A9. Time series of experiment no. 4 with 15 ppb α-pinene and 15.5 ppb  $O_3$  as initial inputs. Three UV lights were on during all stages. Three panels show the time series of different compounds: (a) measured (abbreviated as Meas., solid lines) and modeled (abbreviated as Mod., dashed lines) concentrations of  $O_3$ ,  $NO_x$  (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e., α-pinene, shown by the right y axis); (b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); (c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{NO,O>8}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a).

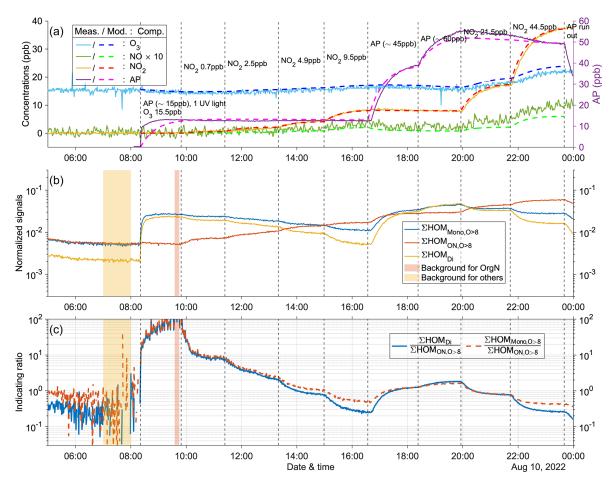


Figure A10. Time series of experiment no. 5 with 15 ppb  $\alpha$ -pinene and 15.5 ppb O<sub>3</sub> as initial inputs. One UV light was on during all stages. Three panels show the time series of different compounds: (a) measured (abbreviated as Meas., solid lines) and modeled (abbreviated as Mod., dashed lines) concentrations of O<sub>3</sub>, NO<sub>x</sub> (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by the right y axis); (b) normalized signals of  $\sum HOM_{Mono,O>8}$  (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum HOM_{ON,O>8}$  (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum HOM_{Di}$  (sum of HOM dimers); (c) IR1 ( $\frac{\sum HOM_{Di}}{\sum HOM_{ON,O>8}}$ ) and IR2 ( $\frac{\sum HOM_{Mono,O>8}}{\sum HOM_{ON,O>8}}$ ). The yellow and red shaded areas represent the time periods selected for background subtraction of dimers and organic nitrates before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a).

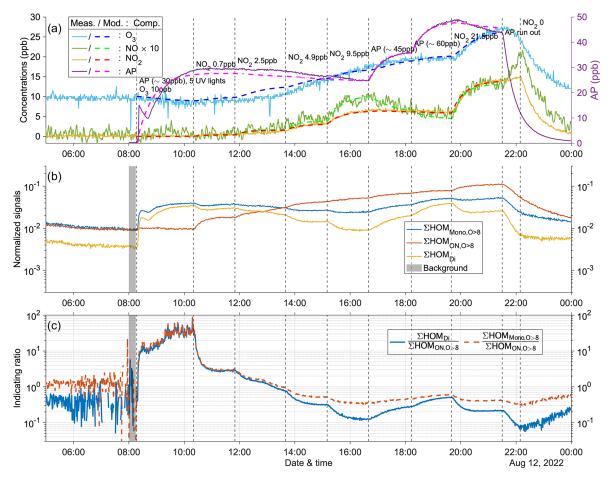


Figure A11. Time series of experiment no. 7 with 30 ppb  $\alpha$ -pinene and 10 ppb O<sub>3</sub> as initial inputs. Five UV lights were on during all stages. Three panels show the time series of different compounds: (a) measured (abbreviated as Meas., solid lines) and modeled (abbreviated as Mod., dashed lines) concentrations of O<sub>3</sub>, NO<sub>x</sub> (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by the right y axis); (b) normalized signals of  $\sum \text{HOM}_{\text{Mono,O>8}}$  (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum \text{HOM}_{\text{ON,O>8}}$  (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum \text{HOM}_{\text{Di}}$  (sum of HOM dimers); (c) IR1 ( $\frac{\sum \text{HOM}_{\text{Di}}}{\sum \text{HOM}_{\text{ON,O>8}}}$ ) and IR2 ( $\frac{\sum \text{HOM}_{\text{Mono,O>8}}}{\sum \text{HOM}_{\text{NO,NO>8}}}$ ). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a).

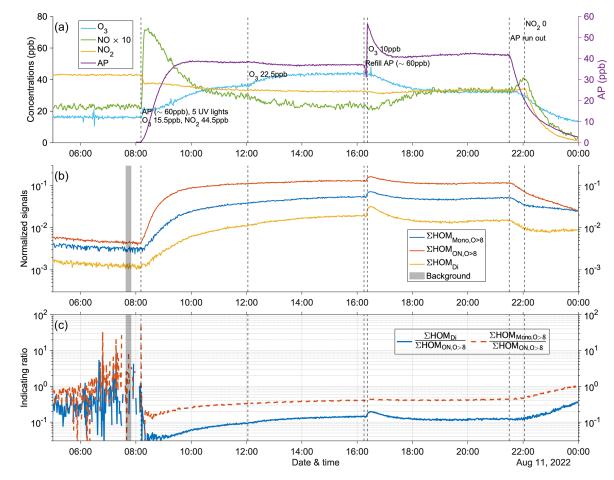


Figure A12. Time series of experiment no. 6, which was meant for collecting more data at the highest NO<sub>2</sub> input (at ~44.5 ppb). Five UV lights were on during all stages. Three panels show the time series of different compounds: (a) measured concentrations of O<sub>3</sub>, NO<sub>x</sub> (both shown by the left y axis; the NO concentration is multiplied by 10), and AP (i.e.,  $\alpha$ -pinene, shown by the right y axis); (b) normalized signals of  $\sum$ HOM<sub>Mono,O>8</sub> (sum of non-nitrate HOM monomers with more than eight oxygen atoms),  $\sum$ HOM<sub>ON,O>8</sub> (sum of HOM organic nitrates with more than eight oxygen atoms), and  $\sum$ HOM<sub>Di</sub> (sum of HOM dimers); (c) IR1 ( $\frac{\sum$ HOM<sub>Di</sub></sup> $\frac{1}{\sum}$ HOM<sub>ON,O>8</sub>) and IR2 ( $\frac{\sum$ HOM<sub>Mono,O>8</sub>} $\frac{1}{\sum}$ HOM<sub>ON,O>8</sub>). The grey shaded area represents the time period selected for background subtraction before calculating the ratio. Dashed vertical lines indicate specific time points of operations, with the corresponding labels for each operation in panel (a).

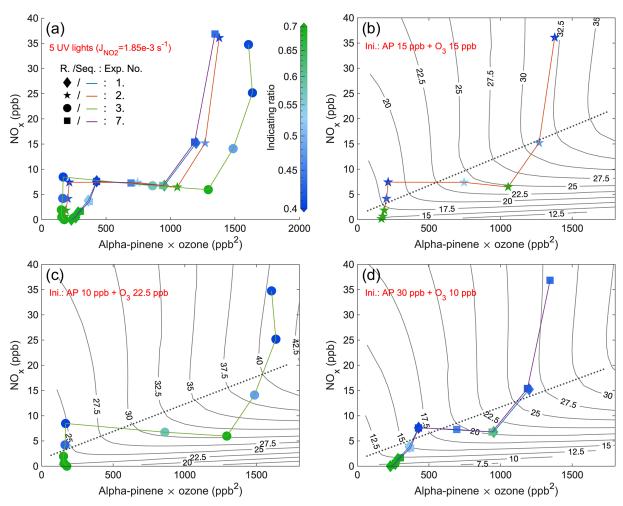


Figure A13. Steady-state IR2 ( $\frac{\sum \text{HOM}_{\text{Mono},O>8}}{\sum \text{HOM}_{\text{ON},O>8}}$ ) of experiments from 4 d with five UV lights. The *x* axis is the multiplication of steady-state  $\alpha$ -pinene and O<sub>3</sub> concentrations, while the *y* axis is the steady-state NO<sub>x</sub>. The scatter points (exp. no. 1: diamond; exp. no. 2: star; exp. no. 3: round; exp. no. 7: square) are colored by values of IR2 (abbreviated as R. in the figure) and are connected by curves (exp. no. 1: blue; exp. no. 2: orange; exp. no. 3: green; exp. no. 7: purple) showing the sequence (Seq.) of experimental stages. Panel (a) combines stages of all 4 d, and the other three panels respectively show the stages of experiments with different initial (Ini.) inputs (exp. nos. 1 and 7 are in the same panel (d) due to the same initial inputs). EKMA curves (isopleths of O<sub>3</sub> concentrations in ppb), simulated by the box model, are solid black lines, while dotted lines are the corresponding ridge lines.

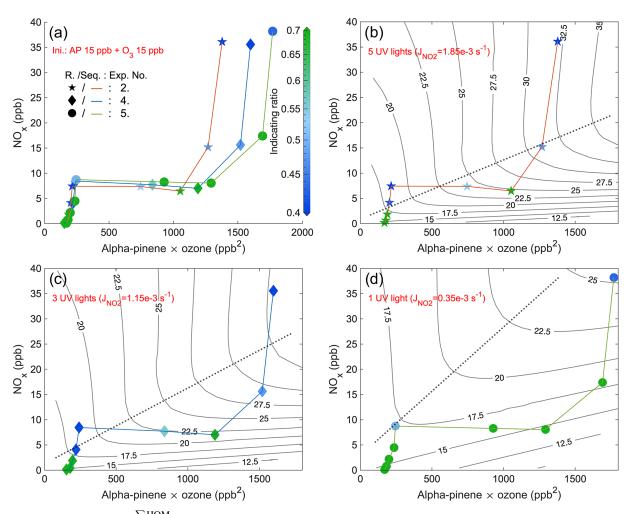


Figure A14. Steady-state IR2 ( $\frac{\sum \text{HOM}_{\text{Mono,O>8}}}{\sum \text{HOM}_{\text{ON,O>8}}}$ ) of experiments from 3 day with five UV lights, three UV lights, and one UV light, respectively. The *x* axis is the multiplication of steady-state α-pinene and O<sub>3</sub> concentrations, while the *y* axis is the steady-state NO<sub>x</sub>. The scatter points (exp. no. 2: star; exp. no. 4: diamond; exp. no. 5: round) are colored by values of IR2 (abbreviated as R. in the figure) and are connected by curves (exp. no. 2: orange; exp. no. 4: blue; exp. no. 5: green) showing the sequence (Seq.) of experimental stages. Panel (a) combines stages of all 3 d with the same initial (Ini.) inputs, and the other three panels respectively show the stages of experiments with different numbers of UV lights. EKMA curves by the box model are black lines and the dotted lines are the corresponding ridge lines.

**Table A1.** Zero-VOC experiment conditions. The experiment number (no.) and number of total stages are shown in the first two columns. Input information includes the number and NO<sub>2</sub> photolysis rates ( $J_{NO_2}$ ) of UV lights ( $\lambda \approx 400 \text{ nm}$ ) as well as concentrations of O<sub>3</sub>,  $\alpha$ -pinene, and NO<sub>x</sub>.

Experiment no.	Number of stages	Input			
		Number of lights	$J_{\text{NO}_2}$ (s <sup>-1</sup> )	O <sub>3</sub> (ppb)	$NO_X$ range (ppb)
Z1./Z2./Z3.	9/7/7	5	$1.85 \times 10^{-3}$	10/15.5/22.5	0.7–44.5
Z4.	8	3	$1.15 \times 10^{-3}$		0.7-44.5
Z5.	7	1	$0.35 \times 10^{-3}$	15.5	0.7–44.5

From the steady-state (ss in subscript) balance of the O<sub>3</sub> concentration, we can write the following expression:

$$\frac{\text{d}[\text{O}_3]}{\text{d}t} = J_{\text{NO}_2}[\text{NO}_2]_{\text{ss}} + \frac{[\text{O}_3]_{\text{input}} - [\text{O}_3]_{\text{ss}}}{\tau} - k_{\text{O}_3,\text{NO}}[\text{O}_3]_{\text{ss}}[\text{NO}]_{\text{ss}} = 0,$$

where 
$$\tau = \frac{2000 L}{55 L \, \text{min}^{-1}}$$
 is the residence time.  $O_3$  has  $NO_2$  photolysis  $\left(J_{NO_2}[NO_2]_{ss}\right)$  and its input  $\left(\frac{[O_3]_{input}}{\tau}\right)$  as sources, with reaction to  $NO_2$   $\left(-k_{O_3,NO}[O_3]_{ss}[NO]_{ss}\right)$  and flush-out  $\left(\frac{-[O_3]_{ss}}{\tau}\right)$  as sinks. We can solve the equation to get the  $NO_2$  photolysis rate.

$$J_{\text{NO}_2} = \frac{k_{\text{O}_3,\text{NO}}[\text{O}_3]_{\text{ss}}[\text{NO}]_{\text{ss}} + \frac{[\text{O}_3]_{\text{ss}} - [\text{O}_3]_{\text{input}}}{\tau}}{[\text{NO}_2]_{\text{ss}}}$$

This expression can be used for each steady state to estimate  $J_{NO_2}$  in the corresponding experiment.

Table A2. Reactions and their reaction rate coefficients used for the box model. Note that  $RO_2$  represents all kinds of peroxy radicals, and thus there are huge uncertainties regarding reaction rates. This model is only meant for simulating concentrations of  $O_3$  and its precursors.

	Reactions	Reaction rate coefficients <sup>a</sup>
1.	$NO_2 + hv \xrightarrow{O_2} NO + O_3$	$0.35/1.15/1.85 \times 10^{-3}$
2.	$O_3 + NO \rightarrow NO_2 + O_2$	$1.8 \times 10^{-14}$
3.	$\alpha$ -pinene + O <sub>3</sub> $\xrightarrow{O_2}$ RO <sub>2</sub> + OH	$8.7 \times 10^{-17}$
4.	$\alpha$ -pinene + OH $\xrightarrow{O_2}$ RO <sub>2</sub>	$5.5 \times 10^{-11}$
5.	$RO_2 + NO \rightarrow RO + NO_2$	$1 \times 10^{-11}$
6.	$RO_2 + RO_2 \rightarrow 2RO + O_2$	$8 \times 10^{-13}$
7.	$RO + O_2 \rightarrow HO_2$	Instantaneous
8.	$NO + OH \rightarrow HNO_2$	$4 \times 10^{-11}$
9.	$NO + OH \xrightarrow{O_2} HO_2 + NO_2$	$1.2 \times 10^{-13}$
10.	$NO_2 + OH \rightarrow HNO_3$	$4 \times 10^{-11}$
11.	$HO_2 + NO \rightarrow OH + NO_2$	$1 \times 10^{-11}$
12.	$HO_2 + NO_2 \rightarrow HO_2NO_2$	$3 \times 10^{-14}$
13.	$HO_2 + HO_2/RO_2 \rightarrow peroxides$	$2 \times 10^{-11}$
14.	$RO_2 \rightarrow wall^b$	$2.5 \times 10^{-3}$

 $<sup>^</sup>a$  Except the NO $_2$  photolysis and wall loss rates (s $^{-1}$ ), all the other reaction rates (cm $^3$  s $^{-1}$ ) are adapted from the NIST (National Institute of Standards and Technology) Chemical Kinetics Database (for more information see https://kinetics.nist.gov/kinetics/index.jsp (last access: 25 August 2023).  $^b$  In our model, we only considered RO $_2$  with a wall loss lifetime of 400 s (Peräkylä et al., 2020).

**Code availability.** Code is available upon request from the corresponding authors.

**Data availability.** Data are available upon request from the corresponding authors.

**Author contributions.** ME, JZ, and JYZ designed the study. JZ, JYZ, YL, and VM conducted the experiments. JYZ analyzed the data and developed the model. ME, JZ, and DR supported the data analysis.

**Competing interests.** The contact author has declared that none of the authors has any competing interests.

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