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# Retention of $\alpha$ -pinene oxidation products and nitro-aromatic compounds during riming

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Abstract. Riming is an important growth process of graupel and hailstones in the mixed-phase zones of clouds, during which supercooled liquid droplets freeze on the surface of ice particles by contact. Compounds dissolved in the supercooled cloud droplets can remain in the ice or be released to the gas phase during freezing, which might play an important role in the vertical redistribution of these compounds in the atmosphere by convective cloud processes. This is important for estimating the availability of these compounds in the upper troposphere, where organic matter can promote new particle formation and growth. The amount of organic material remaining in the ice phase can be described by the retention coefficient. Experiments were performed in the Mainz vertical wind tunnel under dry and wet growth conditions (temperature from -12 to -3 °C and a liquid water content (LWC) of  $0.9\pm0.2$  g m<sup>-3</sup> and  $2.2\pm0.2$  g m<sup>-3</sup>) as well as with different pH values (4 and 5.6) to obtain the retention coefficients of  $\alpha$ -pinene oxidation products and nitro-aromatic compounds. For *cis*-pinic acid, *cis*-pinonic acid, and (-)-pinanediol, mean retention coefficients of  $0.96 \pm 0.07$ ,  $0.92 \pm 0.11$ , and  $0.98 \pm 0.08$  were obtained. 4-Nitrophenol, 4-nitrocatechol, 2-nitrobenzoic acid, and 2-nitrophenol showed mean retention coefficients of  $1.01 \pm 0.07$ ,  $1.01 \pm 0.14$ ,  $0.99 \pm 0.04$ , and  $0.21 \pm 0.12$ . Only the retention coefficient of 2-nitrophenol showed a dependence on temperature, growth regime, and pH. This is in accordance with previous studies, which showed a dependence between the dimensionless effective Henry's law constant  $H^*$  and the retention coefficient for inorganic and small organic molecules. Our results reveal that this correlation can also be applied to more complex organic molecules and that retention under these conditions is not a significant factor for molecules with  $H^*$ below  $10^3$ , while retention close to 1 can be expected for compounds with  $H^*$  above  $10^8$ .

# 1 Introduction

The observation of a large number of small particles at high altitudes, which has already been made several times, is attributed to the formation of new particles (new particle formation, NPF) through the process of homogeneous nucleation and early growth. The rate of NPF is strongly dependent on the concentration of low-volatility vapors, the temperature, and the number of particles that are present. Low-volatility vapors are for example sulfuric acid, which is formed from the reaction of sulfur dioxide and hydroxyl radicals or via oxidation of dimethyl sulfide, as well as highly oxidized organic compounds (Xiao et al., 2023; Williamson et al., 2019; Andreae et al., 2018; Kerminen et al., 2018; Twohy et al., 2002). A common explanation for the presence of this high number of small particles at high altitudes is the uplift



**Figure 1.** Water-soluble intermediate-volatile and semi-volatile organic compounds (IVOCs and SVOCs) can be dissolved in water droplets (dark blue circles), which can be transported upwards by deep convection. In the mixed-phase zone of the clouds, the water droplets can collide with ice particles (light blue hexagons), resulting in riming.

of condensable vapors with simultaneous removal of existing aerosol particles in deep convective clouds. This removal of larger particles reduces the sinks for small particles and condensable vapors, supporting NPF (Clarke et al., 1998). However, Williamson et al. (2019) showed that tropical convection does not lead to uniquely low particle numbers for larger particles. They then argue that there must be a stronger source of condensable vapors at high altitudes in the marine tropics than in other regions and that most of the models used underestimated available organic matter at high altitudes and predict less NPF in these regions. It is therefore important to investigate the possible transport mechanism of organic precursor components that could lead to NPF at high altitudes (Bardakov et al., 2022).

Among other mechanisms, deep convection plays an important role in the transport of trace substances and aerosols into the upper troposphere. In this region, these substances have a longer atmospheric lifetime, thereby increasing the likelihood of long-range transport. Additionally, they can contribute to NPF (Bardakov et al., 2022; Barth et al., 2007a, b). The fraction that arrives in the upper troposphere is influenced by the liquid-phase and mixed-phase scavenging of the substances. Aircraft measurements from the USA in thunderstorm inflow and outflow regions demonstrate that water-soluble trace gases, such as  $H_2O_2$ , are removed with efficiencies between 79 % and 97 %, which are also influenced by the process of retention (Bela et al., 2018; Barth et al., 2016).

Figure 1 shows vertical transport mechanisms of intermediate-volatile and semi-volatile organic compounds (IVOCs and SVOCs).

Organic compounds in the atmosphere can be categorized into different groups depending on their source, such as biogenic, anthropogenic, or compounds originating from the combustion of biomass (de Gouw and Jimenez, 2009). Nitro-

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aromatic compounds can be formed directly from the combustion of coal or wood but can also be formed as secondary products from the reaction of phenols or cresols with  $NO_x$ (Wang et al., 2020; Harrison et al., 2005). Terrestrial vegetation emits large quantities of volatile organic compounds (VOCs) such as isoprene and various monoterpenes (MTs), with the most important MT,  $\alpha$ -pinene, contributing around one-third of global MT emissions (Sindelarova et al., 2014). In the atmosphere, oxidation by OH radicals, ozone, or NO<sub>3</sub> radicals results in various products spanning orders of magnitude in volatility. Products such as 2-methyl tetrols, pinanediol, terpenylic acid, pinonic acid, and pinic acid have been described as major oxidation products (Kołodziejczyk et al., 2020; Bianchi et al., 2019; Nozière et al., 2015; Müller et al., 2012; Kroll and Seinfeld, 2008; Claeys et al., 2004; Hoffmann et al., 1997). At standard conditions, the saturation vapor pressure of these oxidation products is too large to be relevant for new particle formation. Highly oxygenated organic molecules (HOMs) exhibit a sufficient low vapor pressure for NPF (Bianchi et al., 2019); however, their formation via autoxidation, a rapid OH-radical-induced oxidation process in the atmosphere, is suppressed at low temperatures (Stolzenburg et al., 2018). Hence, the higher-volatility major oxidation products of isoprene and monoterpenes might be relevant for NPF in the upper troposphere, where HOM formation is suppressed and the colder temperatures cause saturation of the major oxidation products, which are classified as SVOCs at standard conditions. Both classes of compounds focused on in this study, i.e., pinene oxidation products and nitro-aromatic compounds, are IVOCs (saturation mass concentration  $C^*$ ,  $300 < C^* < 3 \times 10^6 \,\mu g \, m^{-3}$ ) or SVOCs (0.3 <  $C^* < 300 \,\mu \text{g m}^{-3}$ ) (Simon et al., 2020; Andreae et al., 2018).

Water-soluble IVOCs and SVOCs can be dissolved in water droplets (dark blue circles in Fig. 1), which can be transported upwards via deep convection. In the mixed-phase zone of clouds, retention or release of IVOCs and SVOCs during riming can occur. Riming describes the collision and freezing of supercooled water droplets on the surface of hydrometeors such as a graupel or snowflakes (light blue hexagon in Fig. 1), which leads to their growth. The organic compounds can be trapped in the ice phase and then washed out by precipitation, or they can return to the gas phase by volatilization during freezing. This revolatilization leads to a vertical redistribution in the atmosphere and could explain the occurrence of semi-volatile organic compounds at high altitudes in regions with deep convection. However, if the organic substances remain in the ice phase during freezing, they could also be transported further upwards and released into the gas phase by sublimation of the ice particles there (Pruppacher and Klett, 2010; Snider and Huang, 1998).

The proportion of the compound that remains in the ice can be described by the retention coefficient R, which indicates the relative fraction of the trapped compound with a value between 0 and 1 (Bela et al., 2018; Stuart and Jacobson, 2003; Snider et al., 1992; Iribarne and Pyshnov, 1990).

The retention of a compound is influenced by its chemical properties, such as the dimensionless effective Henry's law solubility constant  $H^*$ , as well as physical parameters such as temperature, droplet size, liquid water content in the cloud, ventilation, and potentially the pH of the droplet, which also influences  $H^*$ . Compounds with a small  $H^*$  are more likely to return to the gas phase during riming, which results in a lower retention coefficient. In addition, external conditions have a greater influence on retention for these kind of compounds, which is in contrast to compounds with high  $H^*$  (Cuchiara et al., 2023; Jost et al., 2017; Stuart and Jacobson, 2004, 2003).

Previous measurements of inorganic and small organic species in the wind tunnel in Mainz confirm the correlation between  $H^*$  and R as well as the stronger dependence of retention on external factors such as temperature for lower  $H^*$ . Hydrochloric acid, nitric acid, malonic acid, and oxalic acid are characterized by a high  $H^*$  value and remain completely in the ice phase during freezing. Compounds with more moderate  $H^*$  values such as ammonia, hydrogen peroxide, formic acid, and acetic acid have retention factors of  $0.92 \pm 0.21$ ,  $0.64 \pm 0.11$ ,  $0.68 \pm 0.09$ , and  $0.72 \pm 0.16$ . Retention of the organic compounds shows a dependence on temperature and ventilation. Additionally, sulfur dioxide shows both the lowest  $H^*$  value and retention coefficient (0.46  $\pm$  0.16) of the compounds discussed, with a dependence on external conditions as well (Jost et al., 2017; v. Blohn et al., 2013; von Blohn et al., 2011).

Up to now, only inorganic and small organic molecules have been investigated with regard to their retention during the freezing process. Measurements of rain, hail, and cloud water have already shown that they contain  $\alpha$ -pinene oxidation products and nitrophenols (Spolnik et al., 2020; Desyaterik et al., 2013; Ganranoo et al., 2010). It is therefore likely that these compounds are also present in the supercooled droplets within the mixed-phase zones of clouds. In contrast to the smaller organic molecules and inorganic compounds, the retention coefficients for  $\alpha$ -pinene oxidation products and nitrophenols are unknown. In this study, therefore, the retention coefficients of three  $\alpha$ -pinene oxidation products (pinonic acid, pinic acid, and pinanediol) and four nitro-aromatic compounds (2-nitrobenzoic acid, 4nitrocatechol, 4-nitrophenol, and 2-nitrophenol) are investigated in a series of wind tunnel experiments under simulated atmospheric conditions.

# 2 Experimental procedures

# 2.1 Mainz vertical wind tunnel

The experiments were carried out in the vertical wind tunnel of Johannes Gutenberg University of Mainz, shown schematically in Fig. 2. Here, hydrometeors ranging from a few tens of micrometers to centimeters can float freely in a vertical airstream at their terminal fall velocity. The prevailing con-



**Figure 2.** Schematic of the wind tunnel. Cooled air transported the generated water droplets containing the compounds into the experimental region (red circle). Red rectangle – the enlarged experimental area shows the three surfaces on which the riming took place: graupel, the liquid nitrogen finger, and Teflon-coated bars.

ditions such as ventilation, mass, and heat transfer are very similar to those in the atmosphere (Pruppacher and Klett, 2010). A vacuum pump continuously sucked dried ambient air through the system to produce an airflow in the wind tunnel. For riming experiments the tunnel was cooled down to -18 °C, and water droplets were produced using up to four spray nozzles. These droplets were transported via the airflow to the experimental region (red circle, zoomed-in red rectangle in Fig. 2). In the experimental region the supercooled droplets collided with three different surfaces – a simulated graupel, a liquid nitrogen finger, and Teflon-coated bars – and froze on the substrate. A detailed description of the experiments is provided in Sect. 2.3, "Retention measurements". Further details on the wind tunnel can be found in two reviews by Diehl et al. (2011) and Szakáll et al. (2010).

# 2.2 Growth regimes

For riming, a distinction is made between dry and wet growth conditions, which are determined by the combination of temperature, liquid water content, and ventilation. During freezing latent heat is released, which warms the surface of the rime collectors. Under dry growth conditions the surface temperature of the rime collector remains well below 0 °C, and all the accreted cloud water freezes within some milliseconds on the rime collector, preserving a close-to-spherical shape. The surface temperature increases with increasing liquid water content, droplet size, and collision frequency of the droplets with the hydrometeor. If the temperature rises to a maximum value of 0 °C, wet growth conditions are reached. At this point, not all the water that has collided with the rime collector freezes immediately. During wet growth, the freezing rate of an element of liquid input is rather low in comparison to dry growth conditions, resulting in a dense ice structure (Pruppacher and Klett, 2010; Macklin, 1961; List, 1960).

The earlier wind tunnel measurements on retention coefficients (Jost et al., 2017; v. Blohn et al., 2013; von Blohn et al., 2011) focused solely on retention during dry growth conditions. Thus, the question of to what extent wet growth conditions affect retention remained. For example, Michael and Stuart (2009) found in their theoretical study that during wet growth conditions,  $H^*$  is an important but not a dominant factor. They observed that retention increased with increasing  $H^*$  (from 300 to  $3 \times 10^6$ ) and then leveled off with further increasing  $H^*$ , resulting in low retention values for compounds with high  $H^*$  such as HCl. Here other factors like the ice-liquid interface supercooling and the liquid water content are major determiners of the extent of retention. In the present study, the measurements were carried out under dry and wet growth conditions. However, unlike the study of Michael and Stuart (2009), we did not observe the droplets shedding off during these experiments. Calculations of the surface temperature during the growth of graupel reveals that under our wet growth conditions, the surface temperature varied between -0.8 and -2.2 °C for -3and -5 °C ambient temperature, respectively, and had a measured LWC of  $2.2 \text{ g m}^{-3}$  (Theis et al., 2022; v. Blohn et al., 2009; Pflaum and Pruppacher, 1979). For temperatures higher than -3 °C, no freezing was observed on the Tefloncoated bars and little freezing could be observed on the graupel. During wet growth experiments, small cloud droplets coalesced and formed larger millimeter-sized drops before freezing. A photo of an example ice sample is provided in the Supplement (Fig. S1 in the Supplement). This coalescence of droplets during our experiments is representative of the wet growth of graupel rather than the wet growth of hail – where the accreted liquid water is shed off from the surface due to the faster fall speeds.

#### 2.3 Retention measurements

Dilute aqueous solutions with different compositions were used for the experiments. Single-component measurements were carried out for the  $\alpha$ -pinene oxidation products and 2-nitrophenol. The aqueous solution to be analyzed contained one of the compounds of interest (pinonic acid, pinic acid, pinanediol, or 2-nitrophenol) and sodium bromide (NaBr; Sigma-Aldrich,  $\geq 99$ %). For the other nitroaromatic compounds (2-nitrobenzoic acid, 4-nitrocatechol, and 4-nitrophenol), a mixture of all organics and NaBr was used, an experimental setup that comes closer to the complex conditions in the atmosphere. All samples contained NaBr as an internal standard (IS) to account for dilution and evaporation effects. The retention of NaBr is assumed to be 1; i.e., NaBr remains completely in the droplets during freezing. HCl (30%) was added if the measurements were carried out at a pH value of 4. The concentrations of the chemicals used are shown in Table 1.

To generate the supercooled droplets, the solution containing the analyte and the IS was nebulized with a gas stream of nitrogen (> 99.8 %) and either two or four spray nozzles, depending on the experiment. Two spray nozzles and a nitrogen flow of  $20 \text{ Lmin}^{-1}$  were used for dry growth and four spray nozzles and a flow of  $24 \text{ Lmin}^{-1}$  for wet growth conditions. The number of spray nozzles influences the liquid water content (LWC) and thus the growth regime.

For dry growth conditions a lower LWC is required; therefore only two spray nozzles were used. The resulting droplet size distribution in the wind tunnel was measured using a custom in-line digital holographic instrument, similar to the holographic imaging and velocimetry instrument for small cloud ice (HIVIS) described by Weitzel et al. (2020). The measurements were not taken simultaneously to each retention experiment but measured independently under the same conditions during the retention experiments. After the cloud of droplets was produced, a camera (Basler acA2040) captured the holograms containing the images of the droplets at 90 fps (frames per second). Typical measurement time was 1 minute. Approximately 5400 holograms were reconstructed and analyzed for each measurement with two and four nozzles. Using a telecentric lens with a 0.5 magnification, the pixel size of the holograms was  $2.72 \,\mu\text{m} \times 2.72 \,\mu\text{m}$ , which yielded a sample area and volume of  $5.57 \times 5.57 \text{ mm}^2$  and 2.48 cm<sup>3</sup>, respectively. For the reconstruction of the holograms, a depth of 8 cm was chosen as this represents the central part of the measurement section where the collectors were exposed to the cloud of supercooled droplets during the experiments. The holograms were reconstructed at each  $\Delta z = 100 \,\mu\text{m}$  distance along the optical axis using the method of Fugal et al. (2004). This produced an in-focus image of the droplets independent of their location within the sample volume. The particle sizes were obtained from the particle detection algorithm of Fugal et al. (2009), which determined the normalized number and volume distributions. Figure 3a shows the number distribution of the supercooled droplets in the measurement section of the tunnel when two spray nozzles were used. Figure 3b shows the volume distribution of the droplets, which represents the normalized cloud liquid water content (LWC) per size interval. We observed no difference in the distribution when using four nozzles (see Fig. S2). The LWC was measured using a dew-point meter (DP3-D/SH, MBW Calibration Ltd., Wettingen, Switzerland) in conjunction with a 5 m long heated pipe. Wind tunnel air was sampled isokinetically through the heated pipe to evaporate the droplets. The absolute humidity was then obtained from the dew point measurement. In a second step, a droplet separator was installed at the inlet to the heated tube to measure the dew point of the wind tunnel air without droplets. The difference between the two absolute humidities gives an LWC of  $0.9 \pm 0.2$  g m<sup>-3</sup> for dry growth and  $2.2 \pm 0.2$  g m<sup>-3</sup> for wet growth conditions.

During retention measurements, the droplets were transported downstream of the sprayer into the experimental section. The distance between the sprayer and the experimental region is approx. 3 m (Jost, 2012). In the experimental sec-

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Compound	$\begin{array}{c} \text{Concentration} \\ (\mu mol  L^{-1}) \end{array}$	Label, purity	IS concentration NaBr ( $\mu$ mol L <sup>-1</sup> )
cis-Pinonic acid	10	Sigma Aldrich, 98 %	10
cis-Pinic acid	10	Synthesized, N/A	10
(1R,2R,3S,5R)-(-)-Pinanediol	15	Merck, 99 %	10
2-Nitrophenol	30	Thermo Scientific, 99 %	10
2-Nitrobenzoic acid	10	Thermo Scientific, 95 %	10
4-Nitrocatechol	10	Thermo Scientific, $\geq$ 98 %	10
4-Nitrophenol	10	Alfa Aesar, 99 %	10



**Figure 3.** Normalized droplet number (**a**) and volume distribution (**b**) of the supercooled droplets generated using two spraying nozzles. The lines represent log-normal fit functions.

tion, the supercooled droplets collided with three different surfaces that were used as rime ice collectors and froze on them. The first surface was a Teflon-coated bar (FEP; outer diameter 6 mm) and the second was an ice sphere (graupel) with a diameter of 7 mm, which comes closer to real atmospheric sizes for graupel. According to the American Meteorological Society glossary of meteorology, graupel is defined as rimed particles with diameters less than 5 mm; larger ones are called hailstones. However, we refer to the particle investigated as graupel according to the flow conditions present rather than the particle size. The larger diameter was necessary to obtain a sufficient sample volume for analysis. The last surface was a cold tube made of Teflon (PFA) that was constantly filled with liquid nitrogen (LN finger tube). This sample determined the liquid-phase concentration of the droplets immediately before riming occurs. At the surface of the LN finger tube, freezing is so fast that the retention can be assumed to be 1, and the concentration of the droplets can be determined before riming.

To produce the simulated graupel, a silicon mold was filled with ultra-pure water and frozen. The graupel were "captively floated" to avoid the loss of graupel and any contamination on contact with the wind tunnel walls. For this purpose, they were attached to a nylon fiber with a diameter of  $80 \,\mu\text{m}$ . Under these conditions, the rime collectors were exposed to the airflow containing the supercooled droplets at  $3 \,\text{m s}^{-1}$ , which corresponds to a typical fall velocity of graupel. (Wang and Kubicek, 2013; Pruppacher and Klett, 2010). The ice samples were collected after each experimental run and stored at  $-25 \,^{\circ}\text{C}$  until they were melted for the chemical analysis.

# 2.4 UHPLC-HRMS analysis

For analysis, the ice samples were melted and filtered through polyamide (PA) membranes (pore size - 0.20 µm; Altmann Analytik) to remove potential particles without affecting the concentration of the analytes. Analysis was performed in triplicate using a Dionex UltiMate 3000 ultra-highperformance liquid chromatography (UHPLC) system coupled to a heated electrospray ionization source (HESI) or atmospheric pressure chemical ionization (APCI) and with a high-resolution Q-Exactive Orbitrap mass spectrometer (HRMS) (all Thermo Fisher Scientific). A Hypersil GOLD C18,  $50 \times 2.0$  mm column with 1.9 µm particle size (Thermo Fisher Scientific) was used for the chromatography. Eluent A consisted of 98 % liguid chromatography-mass spectrometry (LC-MS) grade water (Thermo Fisher Scientific) with 0.04 % formic acid and acetonitrile (VWR Chemicals); eluent B consisted of 98% acetonitrile (ACN) and water, and the injection volume was 5 µL. Different H<sub>2</sub>O/ACN gradients were used for the different compounds. For pinonic acid, pinic acid, pinanediol, 2-nitrobenzoic acid, 4-nitrocatechol, and 4-nitrophenol, a flow rate of  $0.5 \,\mathrm{mL\,min^{-1}}$  and a gradient as described in the following were used: starting with 2% eluent B isocratically for 1 min, increasing to 20% B over 2.5 min, then further increasing to 90 % over 1.5 min, after which B was held at 90 % for 4 min, decreased to 2 % over 0.5 min, and held again for 1.5 min. For pinanediol, a

post-column flow of 50 mmol L<sup>-1</sup> NH<sub>4</sub>OH in MeOH was added after 1 min at a flow rate of 0.1 mL min<sup>-1</sup> to enhance ionization. The HESI source was used in negative mode, resulting in the formation of deprotonated molecular ions. Sheath gas and auxiliary gas flow were 40 and 20 a.u. (arbitrary unit), respectively. The temperature of the auxiliary gas heater was 150 °C, and the capillary temperature was 350 °C. The sprayer voltage was set to -4.00 kV.

A different gradient with a flow rate of  $0.3 \text{ mL min}^{-1}$  was used for 2-nitrophenol. Starting with 2 % eluent B isocratically for 1 min, increasing to 20 % B over 2.5 min, then further increasing to 90 % over 1.5 min, after which B was again held at 90 % for 0.3 min, decreased to 2 % over 0.2 min, and held again for 0.5 min. To further enhance ionization, a postcolumn flow of 50 mmol L<sup>-1</sup> NH<sub>4</sub>OH in MeOH was added after 1 min at a flow rate of 0.1 mL min<sup>-1</sup>. The APCI source was used in negative mode, resulting in the formation of deprotonated molecular ions. Sheath gas and auxiliary gas flow were 23 and 5 a.u., respectively. The vaporizer temperature was 375 °C, and the capillary temperature was 350 °C.

# 2.5 Calculation of the retention coefficient

Equation (1) was used for compounds with a retention coefficient R close to 1:

$$R = \frac{c_{\text{compound}}^{\text{sample}} / c_{\text{compound}}^{\text{LN}}}{c_{\text{IS}}^{\text{sample}} / c_{\text{IS}}^{\text{LN}}}.$$
(1)

The numerator describes the ratio between the concentration of the compound of interest in the ice sample  $(c_{compound}^{sample})$ (Teflon coated bars or graupel) and in the LN finger sample  $(c_{compound}^{LN})$ . The denominator describes the same ratio but for the IS  $(c_{IS}^{sample}/c_{IS}^{LN})$ . Thus, it is not necessary to consider a dilution, evaporation, and desorption correction as these effects change both the compound and IS concentrations in the nitrogen finger sample accordingly. Therefore, a change in this ratio is solely an effect of the retention of the compound during the riming process.

The calculation is different for compounds with a lower effective Henry's law constant (below  $10^4$ ), which leads to higher desorption. These compounds are transferred to the gas phase in larger amounts before and during the freezing process, resulting in a higher gas-phase concentration in the tunnel. Therefore, it cannot be ruled out that the measured concentrations of the LN finger tube samples could be influenced by additional adsorption out of gas-phase components. This would no longer provide a suitable correction for determining the retention coefficient.

As the LN finger sample is not available, the sprayer sample (the solution from which the droplets are produced) is used instead. As the distance between the two sampling points (sprayer and graupel/bar) is large, it is necessary to determine the amount of compound that is transferred to the



**Figure 4.** Experimentally determined retention coefficients of (a) pinic acid, (b) pinonic acid, and (c) pinanediol as a function of the temperature during the experiment for different rime collectors. The circles represent the graupel samples and the triangles the Teflon-coated bars. For the blue symbols, the pH was adjusted to 4 by adding HCl (30%), the red symbols are without adding HCl. The solid black line represents the mean of all measurements, and the gray area represents 1 standard deviation.

gas phase before freezing. The desorption correction coefficient D (see Eq. S3 in the Supplement) will be utilized. A detailed description of the desorption correction coefficient can be found in the Supplement.

To calculate the retention coefficient R for compounds with a lower retention coefficient, Eq. (1) was extended to include the desorption correction coefficient using the sprayer solution sample instead of the LN finger (see Eq. 2):

$$R = \frac{c_{\text{compound}}^{\text{sample}} / c_{\text{compound}}^{\text{sprayer}}}{c_{\text{IS}}^{\text{sample}} / c_{\text{IS}}^{\text{sprayer}} \cdot D}.$$
(2)

Equations (1) and (2) yield identical results for compounds with a desorption correction coefficient of approximately 1. This is illustrated using pinic acid as a representative example in the Supplement (Fig. S3).

# 3 Results and discussion

#### 3.1 Retention measurements

The results of the retention measurements for the  $\alpha$ pinene oxidation products are shown in Fig. 4; those for 4-nitrocatechol, nitrobenzoic acid, and 4-nitrophenol are shown in Fig. 5; and the desorption-corrected *R* for 2nitrophenol in Fig. 6.

# 3.1.1 Pinic acid and pinonic acid

Figure 4 shows the results for (panel a) pinic and (panel b) pinonic acids. Pinic acid and pinonic acid both show no pH dependence, temperature dependence, or influence from the rime regime. This agrees with the earlier findings for compounds with high  $H^*$ . The retention coefficients for pinic acid vary between 0.70 and 1.14 with a mean value of

 $0.96 \pm 0.07$ . For pinonic acid, a mean retention coefficient of  $0.92 \pm 0.11$  was determined. The variation in the measured values of *R* is greater than that for pinic acid and lies between 0.66 and 1.18. This is probably due to the lower  $H^*$ , which makes pinonic acid more sensitive to slight changes in the experimental conditions. The scatter of the values is comparable to that observed in other studies on retention. Theoretical and experimental studies have demonstrated that the retention of compounds with a low  $H^*$  is dependent on the freezing conditions (Jost et al., 2017; v. Blohn et al., 2013; von Blohn et al., 2011; Stuart and Jacobson, 2004, 2003). The results shown here indicate that slight differences in freezing conditions across the experiments may exert an influence on the retention of compounds despite their high  $H^*$  values.

#### 3.1.2 Pinanediol

The results for pinanediol are depicted in Fig. 4c. No effect on the investigated parameters could be found for this diol. The mean retention coefficient was  $0.98 \pm 0.08$  with a minimum value of 0.83 and a maximum value of 1.18, which is an exception to previous findings (Jost et al., 2017). In comparison to pinic and pinonic acid, pinanediol showed a lower  $H^*$  (see Table 3). A lower retention coefficient is expected for compounds in this range, as well as a dependence on temperature. However, no temperature, pH, or growth regime dependence was seen. This deviation from the expected behavior could be because the Henry's law constant for pinanediol is estimated using the bond method implemented in the HENRYWIN<sup>TM</sup> software as part of EPI Suite<sup>TM</sup>. The bond method breaks down the molecule into a sum of the individual bonds that make up the compound. The exact structure and spatial orientation of the molecule is not considered. Due to the large deviations of the polyols in the original method by Hine and Mookerjee (1975), further correction factors were included in the method. However, the predictions are less accurate for molecules with a more complex structure (Meylan and Howard, 1991; Hine and Mookerjee, 1975). Pinanediol is a cyclic diol. Due to its capped ring form, it is sterically hindered, and thus the potential interactions between the OH groups are not considered by the model. Since there are no experimental data for the Henry's law constant, there is no current alternative to the estimation.

# 3.1.3 4-Nitrocatechol and 4-nitrophenol

The results for 4-nitrocatechol and 4-nitrophenol are shown in Fig. 5a and b. Overall, no pH or temperature dependence is recognizable. Furthermore, no difference between wet and dry growth conditions can be observed. This agrees with the current literature. As Stuart and Jacobson (2004, 2003) have shown, a temperature or pH dependence is not expected for compounds with high effective Henry's law constants, such as 4-nitrocatechol or 4-nitrophenol. Mean retention coefficients of  $1.01 \pm 0.14$  and  $1.01 \pm 0.07$  were de-



**Figure 5.** Experimentally determined retention coefficients of (a) 4nitrocatechol, (b) 4-nitrophenol, and (c) nitrobenzoic acid as a function of the temperature during the experiment for different riming collectors. The circles represent the graupel samples and the triangles the bars. For the blue symbols, the pH was adjusted to 4 by adding HCl (30%), the red symbols are without adding HCl. The black line represents the mean of all measurements, and the gray area represents 1 standard deviation.

termined for 4-nitrocatechol and 4-nitrophenol, respectively. For 4-nitrocatechol, the derived retention coefficients showed a large scatter that has no current explanation. A minimum value of 0.63 and a maximum value of 1.41 was obtained. 4-Nitrophenol showed a smaller scatter with values between 0.79 and 1.28.

# 3.1.4 2-Nitrobenzoic acid

For 2-nitrobenzoic acid in Fig. 5c, no dependence on the investigated parameters was found. The average retention coefficient is  $0.99 \pm 0.04$ , with the lowest scatter among the measured compounds. The smallest value measured was 0.87 and the largest 1.12. This is probably because 2-nitrobenzoic acid has the lowest pK<sub>a</sub> value of all the compounds studied. In the pH range investigated, most of the 2-nitrobenzoic acid molecules are deprotonated, unlike the other components studied. This makes a transition into the gas phase less likely, and therefore small differences in the measurement conditions have less influence on the results.

# 3.1.5 2-Nitrophenol

2-Nitrophenol (Fig. 6) showed significantly lower retention coefficients than the other compounds presented above. The retention coefficients shown here are desorption corrected according to Eq. (2). At pH 4, the different rime collectors (Teflon-coated bar, graupel) showed a statistically significant (significance level  $\alpha = 0.05$ ) negative temperature dependence (lines and equations in Fig. 6; Table 2). The bar samples show a slightly stronger temperature dependence than the graupel samples. This is probably due to the metal rod inside the Teflon-coated bar and the resulting faster heat transfer. It is also noticeable in these measurements that the graupel samples (light red and light blue circles in Fig. 6b)



**Figure 6.** Experimentally determined desorption-corrected retention coefficients of 2-nitrophenol as a function of the temperature during the experiment for different rime collectors. The triangles represent the Teflon-coated bar samples (**a**) and the circles the graupel (**b**). For the blue symbols, the pH was adjusted to 4 by adding HCl (30%); the red symbols are without adding HCl. The line represents a linear fit.

have an overall lower retention coefficient than the bar samples (red and blue triangles in Fig. 6a). This might also be explained by the metal rod inside the Teflon-coated bar. The faster heat transfer leads to a shorter freezing time and thus to a higher retention coefficient. At a pH value of 5.6, no statistically significant negative temperature trend could be determined. This could be attributed to the greater scattering of the values, which is presumably caused by fluctuations in the conditions in the wind tunnel and slight variations in the pH value of the solution utilized. The fluctuation in the values could conceal an existing temperature trend.

The data points (Fig. 6) below  $-6 \,^{\circ}$ C were obtained from dry growth conditions, while the ones higher than that represent wet growth conditions. A closer inspection of the data reveals that the temperature dependency might also be a result of the riming regimes. When separating the data between the two regimes, the temperature dependency vanishes. Therefore, the difference in retention values between wet and dry growth conditions might be due to the longer freezing times of the accreted and coalesced droplets. The droplets accreted during the wet growth regime remained liquid for some time and formed a larger, millimeter-sized drop before they froze. This means that dissolved 2-nitrophenol was able to further desorb from the drops before ice shell formation occurred, which reduces further expulsion from the freezing droplet. This resulted in slightly lower retention values for the rime collectors grown during wet growth. Considering the absolute retention values for wet growth, it becomes apparent that 2-nitrophenol will not be retained in atmospherically significant amounts during wet growth conditions. However, as the values between dry and wet growth

 Table 2. Measured retention coefficients of 2-nitrophenol and their temperature dependencies.

Conditions	Mean retention coefficient <i>R</i>	Temperature dependency of <i>R</i>
Bar pH 5.6	$0.34\pm0.11$	-
Graupel pH 5.6	$0.19\pm0.05$	-
Bar pH 4	$0.16\pm0.07$	$R_{\rm B_4} = (-0.016 \pm 0.005) T + (0.041 \pm 0.037)$
Graupel pH 4	$0.08 \pm 0.04$	$\begin{aligned} R_{\rm G_4} &= (-0.010 \pm 0.002) \\ T &+ (-0.002 \pm 0.019) \end{aligned}$

overlap within the experimental uncertainty, a temperature dependency is provided here, which is applicable within the investigated temperature and growth condition range simulated in the present study. As the temperature dependence in the analyzed range has only a minor influence on the retention compared to the data scattering, the mean values were determined for all sets of measurements. The mean retention coefficients for the various riming conditions are shown in Table 2. The overall mean retention coefficient was determined to be  $0.21 \pm 0.12$ . The measured values of *R* were between a minimum of 0.01 and a maximum of 0.60.

In addition to the dependence on temperature, growth regime, and the riming collectors already discussed, the pH dependence was also analyzed, as retention is also strongly dependent on the dissociation of the molecules. As the retention for the rime collectors is different, the comparisons are only carried out within the same collectors. The mean retention coefficients for the graupel samples at pH 5.6 and pH 4 were  $0.19 \pm 0.05$  and  $0.08 \pm 0.04$ , respectively. At pH 5.6 it is more likely that 2-nitrophenol is dissociated in comparison to at pH 4. A dissociated molecule needs to be neutralized by a proton before leaving the droplet during riming. At pH 5.6, more molecules are available that do not evaporate without recombination, which might explain the higher retention coefficient.

# 3.2 Relationship between the Henry's law constant and retention

Jost et al. (2017) have shown a correlation between the retention coefficient R and the dimensionless effective Henry's law constant  $H^*$  ( $H^* = K_H \cdot \overline{R}T$ , with  $K_H$  – effective Henry's law constant;  $\overline{R}$  – gas constant; and T – temperature) for inorganic and small organic molecules, which can be described by Eq. (3).

$$R(H^*) = \left(1 + \left(a/H^*\right)^b\right)^{-1},\tag{3}$$

where R is the retention coefficient,  $H^*$  is the dimensionless effective Henry's law constant, and a and b are constants that are determined by a fit. To test whether this relation-

ship is also applicable to the larger organic molecules investigated here, the mean values of retention coefficients of the compounds were plotted against their dimensionless effective Henry's law constants (Fig. 7).  $H^*$  was determined for the investigated pH values and at 298 K. Since most of the compounds analyzed in this study did not show any pH dependence within the pH range of 4-5.6, a pH value of 4 was used for the calculation of  $H^*$  for these compounds. This is a typical value found in cloud water samples (Pye et al., 2020; Löflund et al., 2002). For 2-nitrophenol, the mean retention coefficient for each of the pH values (pH 4 and 5.6) was calculated and plotted (Fig. 7). The fact that a pH dependence was only found for 2-nitrophenol is consistent with the literature. A pH dependence is only to be expected for compounds with low  $H^*$ . Additionally, a dependence is expected primarily in a pH range near the pKa value, as the impact on the ratio between dissociated and non-dissociated molecules is most pronounced in this range (Reijenga et al., 2013; Stuart and Jacobson, 2003). The pK<sub>a</sub> values of pinic acid and pinonic acid are the most similar to the investigated pH values. However, the  $H^*$  values seem to be too high to detect an influence of pH on the measurements. The calculated values of  $H^*$  are listed in Table 3. Since there are no measured Henry's law constants nor reaction enthalpies for some of the more complex organic compounds, these were predicted using the bond method of the HENRYWIN<sup>™</sup> software, which provides the values for 298 K.

The compounds measured in this study are the colored, filled symbols in Fig. 7; the ones measured by Jost et al.(2017), v. Blohn et al. (2013), von Blohn et al. (2011), and in earlier investigations in the wind tunnel are the gray, halffilled symbols. The dashed gray line represents the fit for the gray, half-filled symbols, i.e., previous measurements. Since the retention of formaldehyde, as shown in Jost et al. (2017), depends on not only  $H^*$  but also the hydration to the diol, the value is not taken into account when determining the fit. The resulting parameters are  $a_{\text{grey}} = (2.41 \pm 1.06) \times 10^4$ and  $b_{\text{grey}} = 0.27 \pm 0.04$ . The solid red line is the new fit function in which all compounds are considered. Here again formaldehyde was not included into the fit. Pinanediol was also excluded from the fit as it appears to be an outlier (see Sect. 3.1.2). The values  $a_{red} = (3.34 \pm 1.61) \times 10^4$  and  $b_{\rm red} = 0.36 \pm 0.06$  result for the fit function.

As Fig. 7 shows, the retention coefficients of larger organic molecules do also scale with  $H^*$ , which agrees with the previous measurements. Only pinanediol and formaldehyde do not fit at first glance. A comparison of the new fit function (solid red line) with the values already known from the literature (dashed gray line) shows that the inflection in the fit function is steeper than was previously assumed. Our results show that the retention of compounds with an  $H^*$  below  $10^3$  is close to 0; i.e., most of the compound dissolved in water is released into the gas phase during riming. This is also evident from the equilibrium distribution of species between the liquid and gas phase in a confined system, which is a function of



**Figure 7.** Measured retention coefficients as a function of  $H^*$ . Colorful filled symbols – compounds investigated in the present study. Gray symbols – wind tunnel data from earlier studies (Jost et al., 2017; v. Blohn et al., 2013; von Blohn et al., 2011) Solid red line – new fit to wind tunnel data. Dashed gray line – fit of the gray data points only.

the LWC (see Fig. S9 in the Supplement). For species with  $H^* < 10^4$  just a maximum of 10 % is present in the liquid droplets, even for LWCs of up to  $10 \text{ g m}^{-3}$ , which are only reached within severe storms (Lohmann et al., 2020). For  $H^* > 10^3$ , the compounds are present in significant amounts. For compounds with an  $H^*$  value above  $10^8$ , a retention of 1 is expected, with most of the compound remaining in the ice phase during freezing. This finding is in accordance with the literature. Stuart and Jacobson (2004) suggest a threshold value between  $10^6$  and  $10^{10}$  M atm<sup>-1</sup> for dry growth riming, which is on the same order of magnitude as the values presented here. For the compounds in-between  $10^3$  and  $10^8$ , the retention coefficient is highly dependent on  $H^*$ . However, the retention estimation is still afflicted by some degree of uncertainty, especially in this  $H^*$  range. A close inspection of the data shows that it is not obvious that pinanediol and formaldehyde are outliers. It might also be that the transition from low to high retention values occurs in a smaller range of  $H^*$ , and retention is 1 already for  $H^* > 10^5$ . We cannot ultimately clarify the steepness of the curve, and the limiting regimes might be closer than assumed here. Jost et al. (2017) argued that formaldehyde cannot be explained solely by  $H^*$ , and aqueous-phase kinetics must be considered. However, such explanations are lacking for pinanediol. This might indicate that the transition from low to high retention values occurs at lower  $H^*$ . Support for that is given from the fit when taking all measurements into account, i.e., also formaldehyde and pinanediol (see Fig. S10). To finally answer the question about the transition behavior of the function, further retention measurements in the range  $10^4 < H^* < 10^6$  are needed.

Compound	Mean retention coefficient <i>R</i>	Acid dissociation constant pKa	Henry's law constant <sup>a</sup> M atm <sup>-1</sup>	Dimensionless effective Henry's law constant
4-Nitrocatechol	$1.01\pm0.14$	7.23 <sup>b</sup>	$4.35 \times 10^9$	$1.06\times 10^{11}$
2-Nitrobenzoic acid	$0.99\pm0.04$	2.17 <sup>c</sup>	$2.08 \times 10^{6}$	$3.49 \times 10^{9}$
cis-Pinic acid	$0.96\pm0.07$	4.64 <sup>b</sup>	$1.02 \times 10^{8}$	$3.06 \times 10^{9}$
cis-Pinonic acid	$0.92\pm0.11$	5.19 <sup>d</sup>	$1.12 \times 10^{6}$	$2.93 \times 10^{7}$
4-Nitrophenol	$1.01\pm0.07$	7.15 <sup>c</sup>	$4.52 \times 10^{5}$	$1.11 \times 10^{7}$
(-)-Pinanediol	$0.98\pm0.08$	14.68 <sup>b</sup>	$4.08 \times 10^{3}$	$9.97 \times 10^{4}$
2-Nitrophenol (pH 5.6)	$0.27\pm0.11$	7.23 <sup>c</sup>	$1.43 \times 10^{2}$	$3.50 \times 10^{3}$
2-Nitrophenol (pH 4)	$0.12\pm0.07$	7.23 <sup>c</sup>	$1.43 \times 10^2$	$3.58 \times 10^{3}$

**Table 3.** Retention coefficient of the measured compounds and their  $pK_a$  values, Henry's law constants, and dimensionless effective Henry's law constants at 298 K and pH4 for all compounds except 2-nitrophenol (pH4 and 5.6).

<sup>a</sup> Calculated using US EPA (2012) Estimation Programs Interface Suite™ for Microsoft® Windows, v 4.11. United States Environmental Protection Agency, Washington, DC, USA. <sup>b</sup> Calculated using Advanced Chemistry Development (ACD/Labs) Software V11.02 (©1994–2024 ACD/Labs). <sup>c</sup> Haynes (2014). <sup>d</sup> Kołodziejczyk et al. (2019).

However, to our current knowledge, and particularly because of the lack of reliable measurements of  $H^*$  for pinanediol, we believe that the parameterization given above (Eq. 3), with  $a_{red} = (3.34 \pm 1.61) \cdot 10^4$  and  $b_{red} = 0.36 \pm 0.06$  is most trustworthy to calculate retention in the transition regime.

# 4 Conclusions

Wind tunnel experiments were carried out in the vertical wind tunnel of Johannes Gutenberg University, Mainz, to determine the retention coefficients R of three  $\alpha$ -pinene oxidation products and four nitro-aromatic compounds. The experiments were performed in the temperature range from -12to  $-3 \,^{\circ}\text{C}$  with a liquid water content of  $0.9 \pm 0.2 \,\text{gm}^{-3}$  and  $2.2 \pm 0.2$  g m<sup>-3</sup> to represent dry and wet growth conditions and to simulate mixed-phase cloud conditions. The wind speed  $(3 \text{ m s}^{-1})$  was chosen to match the typical fall velocity of graupel. The temperature and pH dependences (pH 4 and 5.6) of the compounds were studied, as well as the dependence on the growth regimes. Two different rime collectors were also considered: a Teflon-coated metal bar and graupel. Stuart and Jacobson (2004, 2003) hypothesized a dependence on external influences such as temperature and pH for compounds with a low dimensionless effective Henry's law constant  $H^*$ . Of the compounds investigated, 2-nitrophenol has the lowest  $H^*$ . In agreement with the current literature, the retention coefficients of 2-nitrophenol determined show a significant dependence on pH and on the type of rime collector. The mean retention coefficients for the graupel samples are  $R_{G5.6} = 0.19 \pm 0.05$  (pH 5.6) and  $R_{G4} = 0.08 \pm 0.04$ (pH4). This indicates that changes in the pH value can influence retention and must be taken into account. At pH4, 2-nitrophenol also showed a dependence between dry and wet growth conditions. The temperature dependence and the dependence on growth conditions seem to overlap, as the growth conditions differ in not only liquid water content but also temperature. Since the measured values between dry and wet growth overlap within the experimental uncertainty, a temperature dependence is reported in this study. The higher scattering of the values obtained from the measurements with pH 5.6 could be the reason why the temperature trend and the difference between the growth regimes found at pH 4 are not visible at pH 5.6.

2-Nitrobenzoic acid, 4-nitrophenol, and 4-nitrocatechol showed retention coefficients of  $0.99 \pm 0.04$ ,  $1.01 \pm 0.07$ , and  $1.01 \pm 0.14$ , respectively, with no significant dependence on temperature, pH, type of rime collector, or growth regime. For *cis*-pinic acid and *cis*-pinonic acid, retention coefficients of  $0.96 \pm 0.07$  and  $0.92 \pm 0.11$  were obtained, which also showed no dependence on the parameters investigated. This study shows that there appears to be no difference between dry and wet growth conditions for compounds with a high effective Henry's law constant and that  $H^*$  can also be used to estimate retention coefficients for wet growth conditions, at least for graupel and the ambient conditions used in this study (wet growth conditions – ambient temperature of -3and -5 °C, LWC of 2.2 g m<sup>-3</sup>, surface temperature of -0.8and -2.2 °C, and no shedding of water). This is in contrast to a modeling study by Michael and Stuart (2009), which indicates a lower influence of  $H^*$  and low retention coefficients even for compounds with high  $H^*$  under wet growth conditions for hailstones. However, it should be noted that in this study, hail was investigated at a surface temperature of 0 °C and with shedding of water. It is therefore possible that the differences in the experimental conditions are responsible for the discrepancies in the observed outcomes. The retention coefficient for pinanediol was determined to be  $0.98 \pm 0.08$ , with no significant temperature dependence. This was not expected due to the comparably low  $H^*$ . However, the Henry's law constant is only predicted and may be subject to errors due to the specific structure of the molecule. For this reason, it is important to determine Henry's law constants of more and different molecules to aid in the understanding and modeling of processes in the atmosphere.

The retention coefficients for 4-nitrophenol and 2nitrophenol differ considerably. Since they are structural isomers, it is obvious that they have the same molecular formula and the same functional groups, although they are arranged differently. The different arrangement allows for the formation of an intramolecular hydrogen bond between the OH and the nitro group in 2-nitrophenol. This can result in the nondissociated form being stabilized, which may explain why 4-nitrophenol exhibits greater solubility than 2-nitrophenol. This could be due to the fact that 4-nitrophenol undergoes easier solvation and displays the capacity to form intermolecular hydrogen bonds. This property may also be responsible for the observed differences in Henry's law constants and retention (Achard et al., 1996; Schwarzenbach et al., 1988). In contrast to the bond method used in this study, the group method of the HENRYWIN<sup>TM</sup> software predicts the same Henry's law constant for both isomers. This clearly shows the importance of reliable prediction or measurement of  $H^*$ and the importance of chemical structure.

We demonstrated here that the retention coefficients of more complex organic molecules depend mainly on the dimensionless effective Henry's law constant. These experiments have improved the parameterization of retention coefficients using the dimensionless effective Henry's law constant. The results show that the retention of compounds with an  $H^*$  below  $10^3$  is not a significant factor, and thus most of the compound dissolved in the supercooled drops is released into the gas phase during freezing. For compounds with an  $H^*$  value above  $10^8$ , retention close to 1 is expected, and the compound remains completely in the ice phase during freezing. These compounds can be effectively washed out by precipitation or transported further upwards and released by sublimation of the ice particles. At high altitudes and low temperatures, the volatility of these compounds is even lower, and it is possible that particulate residuals form after sublimation. This probably has an impact on the chemistry of the upper troposphere and ultimately on the Earth's radiative budget. In the intermediate range of  $H^*$ , the improved fit of *R* vs.  $H^*$  (Eq. 4, with  $a_{red} = (3.34 \pm 1.61) \times 10^4$ and  $b_{\rm red} = 0.36 \pm 0.06$ ) can be used to estimate the retention coefficient and thus further improve cloud models that account for transport of organic trace components. However, the present results show that more retention measurements are needed for compounds with  $10^4 < H^* < 10^6$  to clarify the sharpness of the transition between the two boundaries of zero retention and full retention.

**Data availability.** Data are available upon request from the corresponding author, Thorsten Hoffmann (t.hoffmann@uni-mainz.de).

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