



Characterization of dust-related new particle formation events based on long-term measurement in the North China Plain

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Received: 21 December 2022 – Discussion started: 30 January 2023

Revised: 13 June 2023 – Accepted: 20 June 2023 – Published: 25 July 2023

Abstract. Mineral dust is a major natural atmospheric aerosol that impacts the Earth's radiation balance. The significant scavenging process of fine particles by the strong wind during the dust episodes provided a relatively clean environment that was favourable for new particle formation (NPF) occurrence. In this study, the NPF occurred following the dust episodes (dust-related NPF), and other cases under clean and polluted conditions were classified based on the long-term particle number size distribution (PNSD) in urban Beijing in spring from 2017 to 2021. It was found that the observed formation (J_{obs}) and growth rate (GR) of dust-related NPF events were approximately 50 % and 30 % lower than the values of other NPF days, respectively. A typical severe dust storm that originated from Mongolia and swept over northern China on 15–16 March 2021 was analysed, to illustrate how the dust storm influences the NPF event. The maximum hourly mean PM_{10} mass concentration reached $8000 \mu\text{g m}^{-3}$ during this dust storm. The occurrence of an NPF event after a dust storm was facilitated due to the low condensation sink ($\sim 0.005 \text{ s}^{-1}$) caused by the strong dilution process of pre-existing particles. However, a downward trend of particle hygroscopicity was found during dust storm and NPF event as compared with the polluted episode, resulting in an increasing trend of the critical diameter at different supersaturations (ss), where aerosols are activated as cloud condensation nuclei (CCN), although the NPF event occurred when dust faded. The critical diameter was elevated by approximately 6 %–10 % (ss = 0.2 % and 0.7 %) during the dust storm, resulting in a lower CCN activation ratio, especially at low supersaturation. Modifications of the nucleation and growth process, as well as the particle-size distribution and hygroscopicity of the dust particles, provide valuable information that reveals the underlying climate and air quality effects of Asian mineral dust.

1 Introduction

New particle formation (NPF) events have been identified as a major particle source and can produce approximately 50 % of the cloud condensation nuclei (CCN), which leads to significant but poorly quantified radiative forcing (Gordon et al., 2017; Yu and Luo, 2009). Several studies have reported that NPF events can occur globally, including in pristine, urban, rural, forest, mountaintop, and coastal environments (Bianchi et al., 2016; Dada et al., 2017; Jokinen et al., 2018; Kulmala et al., 2004; Shen et al., 2011). Unlike relatively clean regions, the nucleation and subsequent growth processes are complex in polluted urban environments due to the incomplete understanding of the dynamics of nanoparticles and clusters under highly polluted conditions (Cai and Jiang, 2017; Kulmala et al., 2017). It also remains challenging to quantify the contribution of NPF events to haze formation in China because it is difficult to separate aerosols from primary sources and gas-to-particle formation (Kulmala et al., 2022).

Mineral dust particles are another important aerosol type in the atmosphere that primarily originate from arid and semiarid regions. They can significantly affect the radiative balance of the Earth's system by scattering and absorbing solar radiation, as well as the formation and properties of clouds by acting as CCN and ice nuclei (DeMott et al., 2010; Liao and Seinfeld, 1998; Seinfeld and Pandis, 1998; Twohy et al., 2009). Model simulations were performed with and without dust influence, and the results predicted that total particle concentration and CCN were reduced by approximately 20 % and 10 %, respectively, as influenced by the dust pollution plume in East Asia (Manktelow et al., 2010). Dust particles can also lead to radiative feedback in the planetary boundary layer and lift dust particles to higher altitudes (Liu et al., 2016). The heterogeneous reactions of mineral dust with trace gases in the atmosphere can alter the chemical and physical properties of aerosols, including particle hygroscopicity (Ge et al., 2015; Tang et al., 2017). Heterogeneous oxidation of SO₂ onto particles has been observed, and is an important mechanism for converting SO₂ into sulfate (Li et al., 2011). Several laboratory and field studies have focused on the formation of secondary aerosols on dust particles (Liu et al., 2013; Xu et al., 2020). Dust particles enhance the reactive surface areas, absorb trace gases (Ma et al., 2017), and can further modify the chemical composition of the particles. Previous studies have also shown that the secondary formation of inorganics on the dust surface can enhance solubility and hygroscopicity (Mori, 2003; Perry et al., 2004).

In the economically developed and densely populated North China Plain (NCP) region, aerosols are dominated by anthropogenic emissions and can cause serious air pollution (Y. Zhang et al., 2019). However, based on the optical parameters, including particle linear depolarization ratio, volume linear depolarization ratio, and lidar ratio derived from a Raman lidar, there were approximately 45 % of aerosols

below 1.8 km above the ground contributed by polluted dust (the mixture of anthropogenic aerosols and dust particles) in northern China (Wang et al., 2021). It has also been reported that the coarse mode (diameter $\geq 1 \mu\text{m}$) serves as a medium and promotes rapid secondary aerosol formation, driving severe haze formation in the NCP region of China (Xu et al., 2020). However, the impact of dust bursts on nucleation and growth processes based on long-term measurement has not been discussed in urban areas in China based on open literature.

In this work, we analysed NPF events based on long-term measurement of particle number size distribution (PNSD) to characterize NPF events influenced by dusty days. NPF events occur under the clean atmosphere with extremely low condensation sink (CS) when the dust episodes' fade is analysed, which helps to evaluate how the dust particles modify the atmospheric conditions and facilitate the nucleation and growth processes. Specifically, a case study of a typical NPF event occurring after a severe dust storm is discussed in detail. A severe sand and dust storm (SDS) hit Northeast Asia from 15 to 16 March 2021, sweeping from Mongolia, through most parts of North China, and to the Korean Peninsula, causing widespread damage, severe air pollution, and low visibility. This dust storm has been reported to be the most intensive event over the last 2 decades based on satellite and ground-based observations (Gui et al., 2022). For this specific case, the influence of dust on the NPF event, including the number/volume size distribution, chemical composition, and hygroscopicity of submicron particles, as well as CCN-sized particles, was analysed to reveal the underlying climate and air quality effects of a typical severe Asian mineral dust storm.

2 Methodology

2.1 Sampling site

The physical and chemical properties of the particles were measured on the roof of the Chinese Academy of Meteorological Sciences (CAMS) building on the Chinese Meteorological Administration campus. The site is located approximately 53 m above ground level in the western Beijing urban area between the second- and third-ring roads. A major road with heavy traffic to the west of the site indicates that the sampled air could be influenced by traffic emissions. More information on the site can be found in the following studies (Shen et al., 2019; X. Wang et al., 2018).

2.2 Instrumentation

Ambient aerosols were sampled through a PM₁₀ impactor with a total flow rate of 16.7 L min⁻¹. Different aerosol instruments were applied, including a tandem scanning mobility particle sizer (TSMPS, TROPOS, Germany). The TSMPS system consisting of two differential mobility analysers

(DMAs, TROPOS, Germany) and two condensation particle counters (CPCs, models 3772 and 3776, TSI Inc., St Paul, USA) was used to measure PNSDs of 3–850 nm in mobility diameter at the CAMS site from 2017 to 2021. In this study, as we focused on dust events concentrated in spring, the data from March, April, and May from 2017 to 2021 were analysed. Due to the malfunction of CPC 3776, which measured the PNSDs below 40 nm, the data in spring 2020 were excluded, as the formation and growth rate of NPF days could not be precisely identified. More details about PNSD measurement setup can refer to Shen et al. (2021).

During the extensive campaign in the spring of 2021, in addition to TSMPS, other instruments including an aerodynamic particle sizer (APS, model 3321, TSI Inc., USA), a hygroscopicity tandem differential mobility analyser (H-TDMA, TROPOS, Germany), and an aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Research, Inc., USA) shared a common inlet, and the relative humidity (RH) of the sample air was controlled below 30 % with an automatic regenerating absorption aerosol dryer system.

Particles with an aerodynamic diameter in the size range of 0.5–10 µm were derived using APS. Combined with TSMPS data, the PNSD can be used to calculate the surface and volume concentrations, assuming a spherical particle shape. However, owing to the non-sphericity of the dust particles, the surface area cannot be directly converted. Previous studies have also revealed that APS can undersize particles with irregular shapes (Cheng et al., 1990) and oversize dense particles (Barron, 1996), and may result in approximately 10 %–30 % under-sizing of dust particles (Cheng et al., 1990). The particle number concentration in the overlap size range of 500–850 nm derived from TSMPS and APS was compared, and the bias was smaller depending on the increasing particle size. For the particles of ~ 850 nm, the ratio of number concentration from TSMPS to that from APS was about 1.5. The mean and standard deviation of PNSD and volume size distribution derived from TSMPS and APS is given in the Supplement (Fig. S1).

The H-TDMA system is comprised of two DMAs, a CPC (Model 3772, TSI Inc., USA), and a humidifier system between the two DMAs. The first DMA selects the quasi-monodisperse particles at a diameter ($D_{p,dry} = 50, 100$ nm) under the dry state with 30 % RH (Maßling et al., 2003). Then, the size-selected particles pass through a humidity conditioner, which can be adjusted to the RH setting of 90 %. The probability distribution function (PDF) of hygroscopic growth factor (HGF), HGF-PDF, is inverted by the TDMA_{inv} method developed by Gysel et al. (2009).

The chemical composition of non-refractory PM₁, including organic components, sulfate, nitrate, ammonium, and chloride, was derived using HR-ToF-AMS with a 5 min resolution (Drewnick et al., 2005). The calibrations of ionization efficiency (IE) were performed, using size-selected (300 nm) ammonium nitrate particles before and after the experiment.

Default relative IE values were used for organics (1.4), nitrate (1.1), sulfate (1.2), ammonium (4.0), and chloride (1.3), respectively. The HR-ToF-AMS collection efficiency (CE) accounts for the incomplete detection of aerosol species owing to particle bounce at the vaporizer, and/or the partial transmission of particles by the lens (Canagaratna et al., 2007). In this study, a composition-dependent CE correction was used, following the methodology described by Middlebrook et al. (2012). Positive matrix factorization (PMF) (Ulbrich et al., 2009) and a multilinear engine (ME-2) (Canonaco et al., 2013) modelling of high time resolution organic mass spectrometric data from HR-ToF-AMS have also been used to resolve organics into primary organic aerosols (POA) and oxygenated organic aerosols (OOAs), which correspond to different sources and processes (Zhang et al., 2022).

The mass concentrations of PM_{2.5} and PM₁₀ with hourly time resolution at the selected air quality monitoring sites were derived from the China National Environment Monitoring Centre (CNEMC, <http://www.cnemc.cn>, last access: 25 October 2022). Trace gases at the CAMS site were measured simultaneously using a set of online analysers from the Thermo Electron Corporation (USA), including SO₂ (43 CTL, C-series trace level), O₃ (49 C), and NO₂ (42 CTL). The lower detection limit (with a precision) of TE49C, 42 CTL, and 43 CTL was 1 ppb (1 ppb), 50 ppt (0.4 ppb), and 0.1 ppb (1 ppb), respectively (Lin et al., 2009). Measurement signals of trace gases were recorded as 1 min averages (Lin et al., 2011); however, the hourly average data were used for discussion, in order to match with the PM mass concentration data. The visibility data are from the national surface meteorological observation stations of the China Meteorological Administration (CMA).

2.3 Calculations

2.3.1 NPF classification, formation, and growth rate

The classification of NPF events was based on the principles and methods presented by Dal Maso et al. (2005), in which a distinct new mode of particles (3–25 nm) had to appear in the size distribution of the nucleation mode and grow into larger diameters in the following hours after nucleation started. The parameters characterizing NPF events include observed formation rate at 3 nm (J_{obs}), growth rate (GR), and CS, which can be determined by the PNSD measurement, as suggested by Kulmala et al. (2012).

$$J_{obs} = \frac{dN_{3-25}}{dt} + CoagS \times N_{3-25} + \frac{GR_{3-25}}{\Delta dp} \times N_{3-25}, \quad (1)$$

where CoagS is the coagulation sink, and GR_{3–25} is the growth rate from 3 to 25 nm. Further, GR is defined as the diameter rate of change with time: $GR = (D_{p,2} - D_{p,1})/dt$ (nm h⁻¹), where $D_{p,1}$ and $D_{p,2}$ are the geometric mean diameters ($D_{p,g}$) when the nucleated particles start and stop growing, respectively. $D_{p,g}$ can be derived by using lognormal fitting algorithms (Hussein et al., 2005).

2.3.2 Oxidation ratio of secondary inorganics

The sulfur oxidation ratio (SOR) and nitrogen oxidation ratio (NOR) are often used to estimate secondary sulfate and nitrate formation via the reactions of SO₂ and NO₂ (Wu et al., 2020). SOR and NOR are defined as the molar ratios of sulfate and nitrate to total oxidized sulfur and nitrogen, respectively, and are calculated as follows:

$$\text{SOR} = \frac{n[\text{SO}_4^{2-}]}{n[\text{SO}_4^{2-}] + n[\text{SO}_2]}, \quad (2)$$

$$\text{NOR} = \frac{n[\text{NO}_3^-]}{n[\text{NO}_3^-] + n[\text{NO}_2]}, \quad (3)$$

where n represents the molar concentration.

2.3.3 Correction of the dust particle diameter

The TSMPS system gives the PNSD as a function of mobility diameter (D_p), which can represent the volume equivalent diameter ($D_{p,ve}$) for the spherical particles. The aerodynamic diameter ($D_{p,a}$) given by APS can be converted to the mobility diameter by applying the particle density (ρ) and shape factor (χ), which can be used to derive the entire particle size range (3–10 μm) (Hinds, 1999; Reid, 2003):

$$\chi D_{p,ve} = D_{p,a} \sqrt{\frac{1}{\rho}} \times \sqrt{\frac{C_c(D_{p,a})}{C_c(D_{p,ve})}}, \quad (4)$$

where C_c is the Cunningham slip correction factor, and $C_c(D_{p,a})$ nearly equals to $C_c(D_{p,ve})$ due to the particles derived by APS being in the continuum region. For bulk dust aerosols, a density of 2.5 g cm⁻³ and a mean shape factor of 1.8, which ranged from 1.6 to 2.6, were applied in this study (Reid et al., 2008).

2.3.4 Hygroscopicity parameter

The hygroscopic parameter (κ) can be calculated using the approximate expression suggested by (Petters and Kreidenweis, 2007):

$$\kappa_{\text{htdma}} = (\text{HGF}^3 - 1) \left(\frac{\exp\left(\frac{A}{D_{p,\text{dry}} \times \text{HGF}}\right)}{\text{RH}} - 1 \right), \quad (5)$$

$$\kappa_{\text{ccn}} = \frac{4A^3}{27D_{p,\text{crit}}^3 \ln^2 S_c}, \quad (6)$$

$$A = \frac{4\sigma_{s/a} M_w}{RT \rho_w}, \quad (7)$$

where $D_{p,\text{dry}}$ (100 nm) and HGF are the initial dry particle diameter and hygroscopic growth factor at RH (90 %) measured by H-TDMA, ρ_w is the density of water (1.0 g cm⁻³),

M_w is the molecular weight of water, $\sigma_{s/a}$ is the surface tension of the water solution (0.0728 N m⁻²), R is the universal gas constant, T is the temperature, and $D_{p,\text{crit}}$ is the critical value at which 50 % of the particles are activated at supersaturation (S_c).

3 Results and discussion

3.1 The overall dust-related NPF events from 2017 to 2021

The dusty days were classified into three types based on visibility (National Weather Bureau of China, 1979; Wang et al., 2005), including dust storms with sand being lifted by strong winds and visibility (vis) < 1.0 km; blowing dust formed by strong wind carrying a lot of dust and sand, with visibility of 1.010 km; and floating dust with fine dust suspended in the lower troposphere with vis \leq 10 km. The daily weather phenomena and visibility are issued by the CMA (<http://www.asdf-bj.net/publish/observation/5.html>, last access: 23 March 2023), which can also help to identify the dust episode. The potential source contribution function (PSCF) analysis showed (Fig. S2 in the Supplement) that high PM_{2.5} mass concentration at CAMS was dominated by two sources, the northwesterly and westerly air masses containing dust particles and the southerly air mass with high mass loading of anthropogenic aerosols. For a high level of PM₁₀ mass concentration, the air masses mainly passed through Inner Mongolia, carrying high mass loading of dust particles.

From 2017 to 2021, dust storms (DSs), blowing dust (BD), and floating dust (FD) episodes occurred once on 15 March 2021, 13, and 25 d, respectively. Following approximately 80 % of the dust days, the NPF event subsequently occurred, as shown in Fig. 1. Considering the total NPF days in each spring (March, April, May) from 2017 to 2021, there were 44 d in 2017, 29 d in 2018, 30 d in 2019, and 31 d in 2021. NPF events were classified into different types, which occurred under clean conditions (103 clean NPF cases) and polluted conditions (10 polluted NPF cases) and events influenced by BD (9 cases), FD (11 cases), and DS (1 case) episodes, respectively. The clean and polluted NPF events were identified according to the CS criterion value of 0.027 s⁻¹, which was the median value during the measurement. The non-dust related NPF events with CS above this value were regarded as the polluted case, otherwise, the cases were clean NPF types. The abrupt outbreak of the coronavirus disease 2019 (COVID-19) in early 2020 and the preventive restrictions of human activity resulted in the reduction of anthropogenic emissions (Le et al., 2020). Besides the instrument malfunction as mentioned above, in order to exclude the influence of the COVID-19, NPF events in the spring of 2020 were not discussed in this study. However, we elucidated that the elevated atmospheric oxidizing capacity during the lockdown period favoured nucleation and growth processes in urban Beijing (Shen et al., 2021).

It was found that the NPF events could be interrupted or prohibited by the prevailing of dust episodes or the mixture with anthropogenic emissions, which could explain the other 20 % of the dusty days without NPF events afterwards. NPF events usually occurred around 08:00–12:00 LT when solar radiation increased, so if the dust particles prevailed during this period, it could prevent NPF occurrence. For some cases of blowing and floating dust days overlaid by the anthropogenic aerosols, for example, 18 March, 5 April, and 15 May 2019, the CS remained high during the dust episodes (above 0.027 s^{-1}), which was unfavourable for NPF occurrence. For some cases (6–8 May 2021, Fig. S3 in the Supplement), the whole dust episode was composed of blowing and floating dust depending on the strength of air masses containing dust particles influencing Beijing. The NPF event cannot be observed until the whole dust episode is finished. On 7 and 8 May, NPF events were observed with extremely low CS values of approximately $0.0025\text{--}0.003 \text{ s}^{-1}$, indicating that the concentration level of precursors participating nucleation and growth were comparable for these two cases. Although there was no direct H_2SO_4 measurement available in this work, the proxy sulfuric acid concentration was estimated as recommended by Lu et al. (2019), and the details were given in the Supplement. The proxy H_2SO_4 , as well as SO_2 and NO_2 , concentrations were similar on May 7 and 8 (Figs. S4, S5 in the Supplement). Under specific conditions, for example, the nucleation process was observed on 28 March 2021; however, the growth process was interrupted by the elevated background aerosol concentration, indicated by the increasing CS (Fig. S6 in the Supplement). In addition, the mixture of dust and anthropogenic aerosols from southerly air mass could result in high CS and thus the NPF event was prohibited (Figs. S7, S8 in the Supplement).

The anomaly plots were obtained by means of the PNSD of NPF occurring on non-dust days, subtracting the mean PNSD of dust-related NPF in each spring from 2017 to 2021, and was shown in Fig. 2. The positive anomaly indicated how much the particle number concentration in each corresponding size bin on non-dust NPF days was higher than that on dust-related NPF days, whereas the negative anomaly indicated that the number concentration was lower on non-dust NPF days. Positive PNSD anomalies (Fig. 2a, c, e, g) in nucleation and Aitken mode showed stronger nucleation and growth processes. Table 2 lists the statistical values of dust-related NPF events and other NPF events. The observed formation rate on dust-related NPF days ($J_{\text{obs,dust_NPF}}$) accounted for approximately 43 %–58 % of the value on other NPF days ($J_{\text{obs,other_NPF}}$) from 2017 to 2021, with a mean value of approximately 51 %. GR of dust related-NPF ($\text{GR}_{\text{dust_NPF}}$) ranged from 2.0 to 3.1 nm h^{-1} , whereas the value of other NPF days ($\text{GR}_{\text{other_NPF}}$) was 3.6 to 4.4 nm h^{-1} . The ratio of $\text{GR}_{\text{dust_NPF}}$ to $\text{GR}_{\text{other_NPF}}$ ranged from 0.50 to 0.86, with a mean value of approximately 0.67. However, the growth process is usually undergone over sev-

eral hours, with considerable anthropogenic emissions contributing to the particle growth.

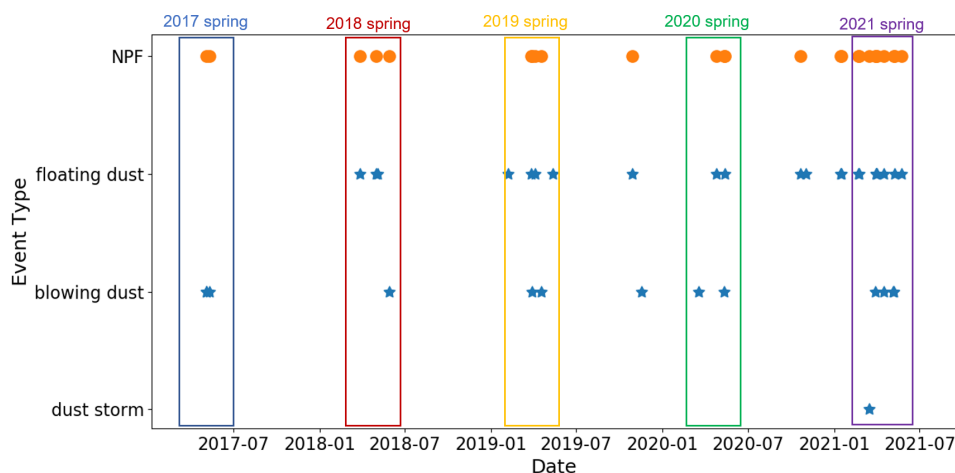
The scatterplot of J_{obs} , GR, and CS categorized by different NPF event types is given in Fig. 3. It seems the relationship between J_{obs} and GR is not clear. J_{obs} ranged from 0.3 to $23.6 \text{ cm}^{-3} \text{ s}^{-1}$, with the mean value of $6.1 \text{ cm}^{-3} \text{ s}^{-1}$. GR ranged from 1.1 to 8.9 nm h^{-1} , with the mean value of 4.2 nm h^{-1} . The mean J_{obs} of NPF events under clean, polluted, BD, and FD conditions was 5.7, 8.7, 6.6, and $6.8 \text{ cm}^{-3} \text{ s}^{-1}$, respectively. The corresponding mean GR value was 4.2, 4.3, 4.1, and 3.7 nm h^{-1} , respectively, and mean CS was 0.006, 0.020, 0.005, and 0.005 s^{-1} . J_{obs} under polluted conditions was significantly higher than those of other NPF types, suggesting that there were abundant condensing vapours participating in nucleation and overcoming the competition with the pre-existing particles (as indicated by high CS values).

The statistical results of J_{obs} , GR, and CS of different NPF types were given in Fig. 4. Due to the limited samples of each dust-related NPF event, the statistical analysis was conducted for all dust-related NPF events. The NPF event studies have been conducted extensively since 1990 worldwide and 2000 over China (e.g. Kerminen et al., 2018; Chu et al., 2019). Based on the 1-year study of NPF events at Peking University (PKU) site in Beijing in 2004, it had been reported that J_{obs} at 3 nm ranged from 3.3 to $81.4 \text{ cm}^{-3} \text{ s}^{-1}$, and GR ranged from 0.1 to 11.2 nm h^{-1} , respectively (Wu et al., 2007). Wang et al. (2013) also reported that J_{obs} at PKU site ranged from 2.2 to $34.5 \text{ cm}^{-3} \text{ s}^{-1}$, and GR ranged from 2.5 to 15.3 nm h^{-1} from March to November in 2008. PKU site is located 5 km to the north of CAMS site, which is a representative urban site in Beijing with a long-term study of NPF events. Based on the long-term study at PKU site (2013–2019), it was reported that the annual average of J_{obs} decreased from $12 \text{ cm}^{-3} \text{ s}^{-1}$ in 2013 to $3 \text{ cm}^{-3} \text{ s}^{-1}$ in 2017, whereas it increased to $5.0 \text{ cm}^{-3} \text{ s}^{-1}$ in 2019, and GR values kept stable around 2.0– 4.0 nm h^{-1} during these years (Shang et al., 2022). The mean values of J_{obs} and GR in this study were $6.1 \text{ cm}^{-3} \text{ s}^{-1}$ and 4.2 nm h^{-1} , which were comparable with the values reported by Shang et al. (2022). However, due to the limited cases of BD-, FD-, and DS-influenced NPF events in this work, a confident comparison result among different dust related NPF events could not be derived.

The median CS of dust-related, clean, and polluted NPF events was 0.005, 0.006, and 0.020 s^{-1} (Fig. 4c), respectively. It should be noted that the CS calculation did not consider coarse-mode particles, as dust particles had faded when the NPF started. Furthermore, the influence of the dust particles on CS is minor based on the calculation in the following discussion. Although the precursors from anthropogenic emissions have been proved to participate the particle nucleation and growth processes, it is difficult to quantify its contribution, especially in megacities such as Beijing (Kulmala et al., 2022). The complex primary emissions, for example, traffic emissions with plentiful nanoparticles, can mix with

Table 1. The date of different dust types observed in Beijing from 2017 to 2021.

Dust type	Date (yyyy-mm-dd)
Dust storm	2021-03-15
Blowing dust	2017-05-04, 2017-05-05, 2017-05-11 2018-05-28 2019-3-29, 2019-4-18, 2019-11-17 2020-03-18, 2020-05-11 2021-03-28, 2021-04-15, 2021-05-06, 2021-05-07
Floating dust	2018-03-28, 2018-05-01, 2018-05-05 2019-02-05, 2019-03-18, 2019-03-20, 2019-03-27, 2019-04-05, 2019-05-12, 2019-05-15, 2019-10-28 2020-04-24, 2020-05-13, 2020-10-21 2020-10-31, 2021-01-13, 2021-01-15, 2021-02-21, 2021-02-22, 2021-03-30, 2021-03-31, 2021-04-01, 2021-04-16, 2021-05-08, 2021-05-23

**Figure 1.** The occurrence of dusty days, including dust storms, blowing dust, and floating dust episodes from 2017 to 2021, and the NPF events observed after each dust event. The stars and dots represent the dust and NPF events, respectively.

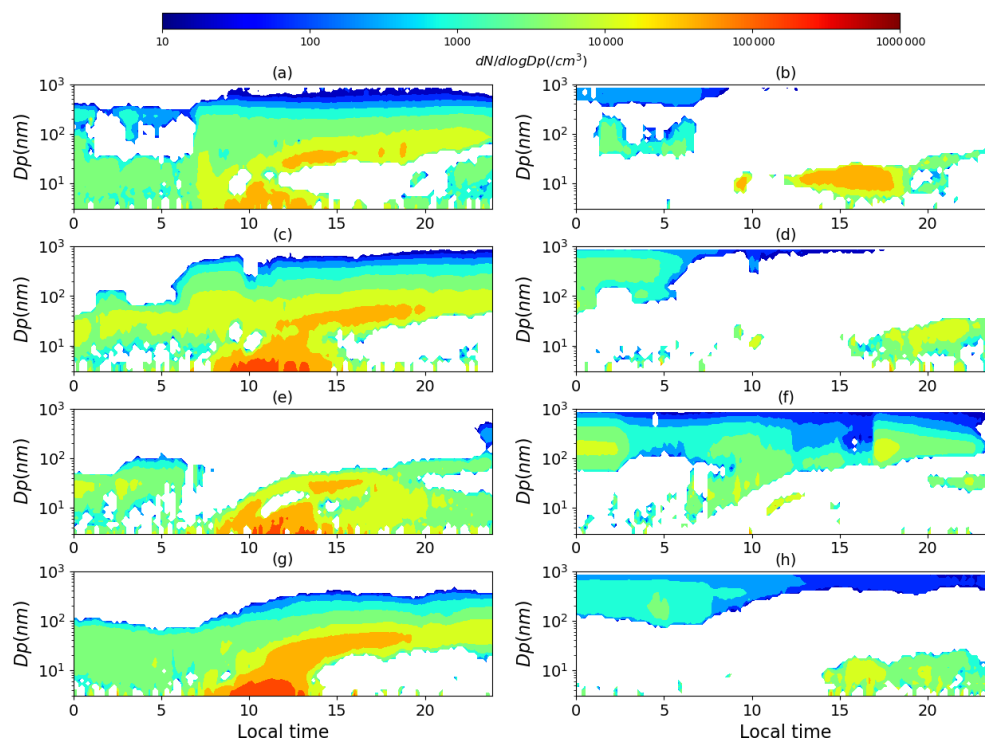
the freshly nucleated particles, making it difficult to resolve the particles from primary emissions and secondary formation. However, based on some long-term studies of NPF events, it has been reported that the decrease of the precursors due to the emission control strategies in China has caused formation rate reduction from 2013 to 2017 both in Beijing (Shang et al., 2022) and rural sites in Yangtze River Delta region (Shen et al., 2022).

The mean diurnal concentration of particles in the size range of 3–25 nm (N_{3-25}) and $D_{p,g}$ on NPF events occurred under clean and polluted conditions and dust-related NPF events as influenced by BD, FD, and DS (16 March 2021) episodes, respectively, was given in Fig. 5. N_{3-25} showed a similar diurnal pattern, which peaked around noon time governed by an NPF event. The lower N_{3-25} was found on DS-related NPF events, also with smaller $D_{p,g}$ at the initial growth stage below 20 nm, indicating less precursors participating in nucleation and growth processes. It should also be addressed that only one DS-related NPF event occurred (16

March 2021) in this study, which could not represent the general characteristics of NPF events influenced by dust storms. $D_{p,g}$ on the dust-related NPF events (including BD, FD, and DS types) in Fig. 5b was generally lower than that on the clean and polluted NPF events with nucleated particles below 20 nm. A quick growth of nucleated particles at around 19:00–20:00 LT was probably associated with the wind direction change as given in the SM (Fig. S9). The previous studies in Beijing have revealed that the southerly air masses containing plentiful anthropogenic precursors facilitated the nucleation and growth processes of NPF events (Wang et al., 2013; Shen et al., 2018). It was also found that polluted NPF events usually started later, at around 10:00 LT, with N_{3-25} quickly peaking at around 12:00 LT, indicating a shorter nucleation process with a larger formation rate as shown above.

Table 2. The statistical values of NPF in spring from 2017–2021, including the number (N) of dust-related NPF events and other NPF events and the observed formation rate (J_{obs}) and growth rate (GR) of these two types of NPF events.

	Spring 2017	Spring 2018	Spring 2019	Spring 2021
$N_{\text{dust_NPF}}$	3	3	4	11
$N_{\text{other_NPF}}$	41	26	26	20
$J_{\text{obs,dust_NPF}}$ ($\text{cm}^{-3} \text{s}^{-1}$)	1.5 ± 0.2	3.3 ± 2.1	4.6 ± 2.4	3.8 ± 1.0
$J_{\text{obs,other_NPF}}$ ($\text{cm}^{-3} \text{s}^{-1}$)	3.3 ± 2.6	7.3 ± 4.8	8.1 ± 4.5	6.7 ± 3.2
$\text{GR}_{\text{dust_NPF}}$ (nm h^{-1})	2.0 ± 0.6	2.9 ± 0.3	3.1 ± 0.5	2.8 ± 1.2
$\text{GR}_{\text{other_NPF}}$ (nm h^{-1})	4.0 ± 1.6	4.4 ± 2.0	3.6 ± 1.5	4.3 ± 1.9

**Figure 2.** The positive (a, c, e, g) and negative (b, d, f, h) anomalies of PNSD derived by the mean PNSDs of NPF events on non-dust days in spring (March, April, and May), subtracting the means of dust-related NPF days in 2017 (a, b), 2018 (c, d), 2019 (e, f), and 2021 (g, h).

3.2 Case study of a dust-related NPF event

3.2.1 A severe dust storm case

A severe dust storm that originated from Mongolia and swept through northern China on 15 March 2021 resulted in an extremely high particle mass concentration and low visibility. Four typical air quality monitoring sites, including Guanyuan (GY), Wanshou Temple (WST), Dongsì (DS), and Chaoyang (CY), in urban Beijing were selected to help understand the evolution of $\text{PM}_{2.5}$ and PM_{10} mass concentrations during the dust storm (Fig. 6). The maximum of hourly mean $\text{PM}_{2.5}$ and PM_{10} mass concentrations exceeded 600 and 8000 $\mu\text{g m}^{-3}$, respectively, at these selected sites, which demonstrated the magnitude of this dust storm. The reported PM_{10} mass concentration was the highest among the recent 20-year data

in Beijing released by the CNEMC, as well as in northern China. The PM_{10} mass concentration was a magnitude higher than the value of $\text{PM}_{2.5}$, during the dust-dominated period of 08:00–18:00 local time (LT), indicating the major contribution of dust particles with a size of above 2.5 μm . From the PNSD plot in Fig. 7, it was found that particles above 400 nm started to increase on 15 March at 08:00 LT, indicating the arrival of dust particles. In the following 2 h, the volume size distribution showed that concentration peaked in the size range of 8–10 μm . Based on the lognormal fitted parameters of the volume distribution, volume median mobility diameter ($D_{\text{p,vol}}$) was 8–10 μm during the initial stage (10:00–12:00 LT) of the dust storm and decreased to approximately 4–6 μm from 12:00 to 24:00 LT on 15 March. It was comparable with previously reported values for other dust

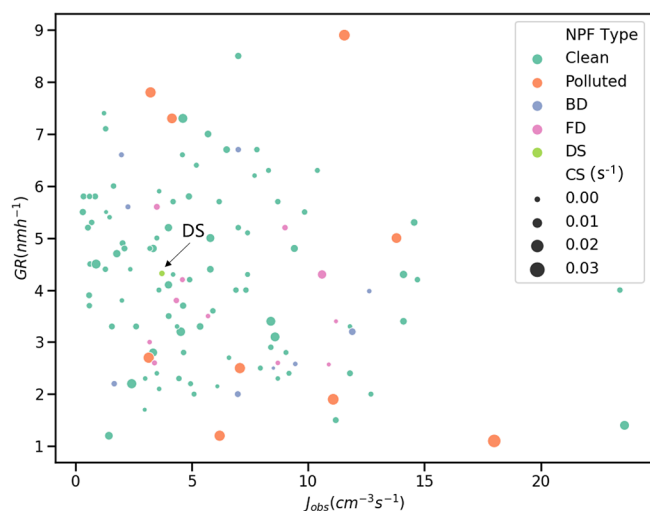


Figure 3. Scatter plot of formation rate (J_{obs}), growth rate (GR), and condensation sink (CS) as categorized by different NPF event types, including the cases occurring under clean and polluted conditions and influenced by blowing dust (BD), floating dust (FD), and dust storm (DS) days.

storms across the globe, ranging from 3.0 to 6.5 μm (Reid et al., 2008; Maring, 2003; Peters, 2006).

The dust storm weakened in the late afternoon of 15 March, and NPF event occurred on the morning of 16 March. During the polluted conditions before the dust storm (14 March), fine particles (diameter $< 1 \mu\text{m}$) dominated the particle number and mass concentration. However, coarse-mode particles contributed the most to the particle mass, volume, and surface concentration. In contrast to the NPF events occurring during the dust storm at Mt. Heng in South China (Nie et al., 2014), the NPF event on 16 March in this study occurred when dust particles vanished and there was a reduced CS. However, the NPF event was interrupted in the afternoon ($\sim 16:00$ LT), which was influenced by the backflow of dust, as indicated by the elevated volume concentration as shown in Fig. 7b. The concentrations of SO_2 and O_3 increased in the morning of 16 March, as shown in Fig. 8, indicating enhanced precursors and atmospheric oxidation capacity that favoured NPF.

3.2.2 Secondary aerosol formation during dust storms

The variation of NO_2 sharply increased in the early morning (05:00–06:00 LT) on 15 March, which could be attributed to the downward mixing of NO_2 -rich air in the residual layer where NO_2 was trapped during the pollution episode on 14 March (Fig. 8). The wind field distribution depending on the air pressure level based on the reanalysis meteorological data was analysed and given in Fig. S10 in the Supplement (<https://cds.climate.copernicus.eu/>, last access: 1 June 2023). It showed that the wind changed at 03:00 LT on 15 March, with the wind direction switching from upward to down-

ward, which could bring the pollutants to the ground, resulting in the elevated NO_2 and CS as given in Fig. 8. The concentration of SO_2 remained stable before 06:00 LT, probably because its distribution was uniform in the boundary layer. When the dust particles arrived in Beijing at 08:00 LT on 15 March, the volume mixing ratio of NO_2 and SO_2 decreased immediately due to the strong dilution by wind. The volume mixing ratio of NO_2 decreased, while that of O_3 increased, indicating that the removal of NO_2 was helpful for the elevated O_3 concentration, as NO_x titration photochemistry processes could influence the production and loss of O_3 (Lu et al., 2010). It has been also reported that in the Beijing–Tianjin–Hebei region the decrease in NO_x increased ozone and enhanced the atmospheric oxidizing capacity (Huang et al., 2021).

As shown in Fig. 8, the PM_{10} mass concentration derived from the AMS data showed high mass loading during the pollution episode (00:00–06:00 LT on 15 March), with a mean value of $83.2 \mu\text{g m}^{-3}$. Secondary inorganic aerosols were dominant, with nitrate being the major contributor, accounting for approximately 46 % of the total particles. During the dust storm and post-dust episode, PM_{10} sharply decreased to approximately $5.0 \mu\text{g m}^{-3}$, indicating a strong fine particle scavenging process by mineral dust. In contrast to the pollution episode, organics accounted for over 50 %, with a larger mass fraction of POA, approximately 40 %–60 % of the organics during dust and post-dust storm episodes. Under extremely clean conditions, secondary sulfate, nitrate, and ammonium (SNA) accounted for approximately 20 %, 10 %, and 15 %, respectively. The chemical composition of fine particles changed from the dominant role of nitrate during the pollution episode to the largest contributor of organics during the dust storm. However, the sulfate mass fraction during dust and post-dust episodes was higher than that during the pollution episode, indicating a higher formation process of secondary sulfate. In the previous studies in Beijing, it has been reported that the NR-PM_{10} derived from AMS could reach $\sim 200 \mu\text{g m}^{-3}$ during polluted episodes and decrease to several $\mu\text{g m}^{-3}$ under clean conditions (Zhang et al., 2018). In this work, the PM_{10} mass concentration ranged from approximately $5.0 \mu\text{g m}^{-3}$ during dust and post-dust episodes to $83.2 \mu\text{g m}^{-3}$ during moderate polluted conditions before dust episodes. During the dust and post-dust episodes, organics was the dominant contributor to the chemical composition, which was consistent with the previous studies that organics could contribute 40 %–60 % to PM_{10} in Beijing (Y. Zhang et al., 2018, 2019). However, the mass fraction of nitrate and ammonium was larger during polluted conditions, which was consistent with a recent study in Beijing in January–February 2021 (Y. Zhang et al., 2023). From 05:00 LT on 15 March to 12:00 LT on 16 March, the northwesterly air mass and local wind direction did not change (Figs. S9 and S11 in the Supplement). The SO_2 volume mixing ratio increased quickly when the dust storm faded (22:00 LT on 15 March), which was probably due to the weakened dilution

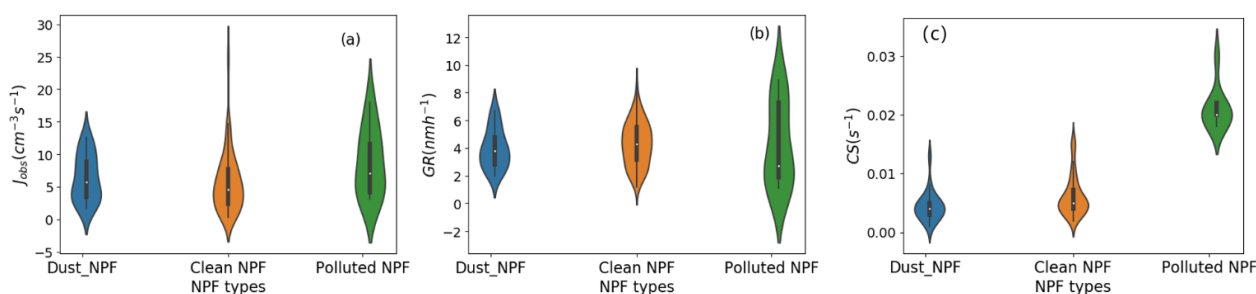


Figure 4. The violin plot of formation rate (J_{obs}) (a), growth rate (GR) (b), and condensation sink (CS) of dust-related NPF (Dust_NPF) and other NPF events under clean (Clean NPF) and polluted conditions (Polluted NPF). The marker represents the median value, the box indicates the interquartile range, and the shaded area represents the distribution probability.

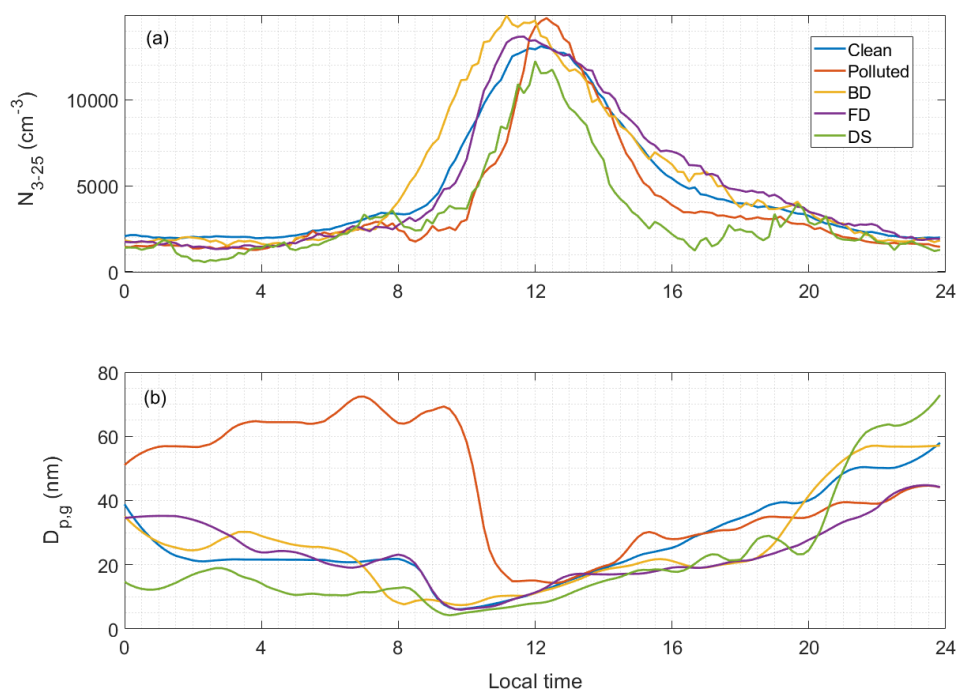


Figure 5. Mean diurnal variation of the number concentration of particles in the size range of 3–25 nm (N_{3-25}) (a) and geometric mean diameter ($D_{p,g}$) (b) of NPF events that occurred under clean and polluted conditions and were influenced by blowing dust (BD), floating dust (FD), and dust storms (DSs), respectively.

process, as the wind speed decreased from 5.8 m s^{-1} during dust episode to below 3.0 m s^{-1} at 20:00 LT on 15 March. Another possibility was that dust particles could be a major SO_2 sink (Usher et al., 2003). NO_2 also decreased when the dust storm started; however, it did not increase significantly when the dust storm ended, which differed from the variation in SO_2 . However, heterogeneous reactions of mineral dust with SO_2 and NO_2 cannot be discussed further due to the lack of direct measurements of chemical information of coarse-mode particles.

The oxidation ratios of SO_2 (SOR) and NO_2 (NOR) are shown in Fig. 9. It had been reported that the SOR was 0.18 in clean air conditions, whereas it was 0.27 under polluted conditions in Beijing in the 2016 wintertime, indicating that

SO_2 secondary transformation was a major pathway of sulfate production with a higher conversion efficiency under the polluted episode, whereas NOR was approximately 0.08 under both clean and polluted conditions (Wu et al., 2019). The SOR and NOR of PM_{10} showed a clear positive relationship with the sulfate and nitrate mass concentrations, respectively, during the heavy dust episode, as indicated by the volume mean diameter ($D_{p,\text{vol}}$) (Fig. 9). Although SOR and NOR were calculated based on the submicron particles and $D_{p,\text{vol}}$ represented the dust particles, their correlations could reflect how the heterogeneous reactions were modified by the dust (Usher et al., 2003). SOR ranged from 0.2 to 0.9 during the dust storm, which was even higher than the value during the polluted episode: approximately 0.3. NOR showed a stronger

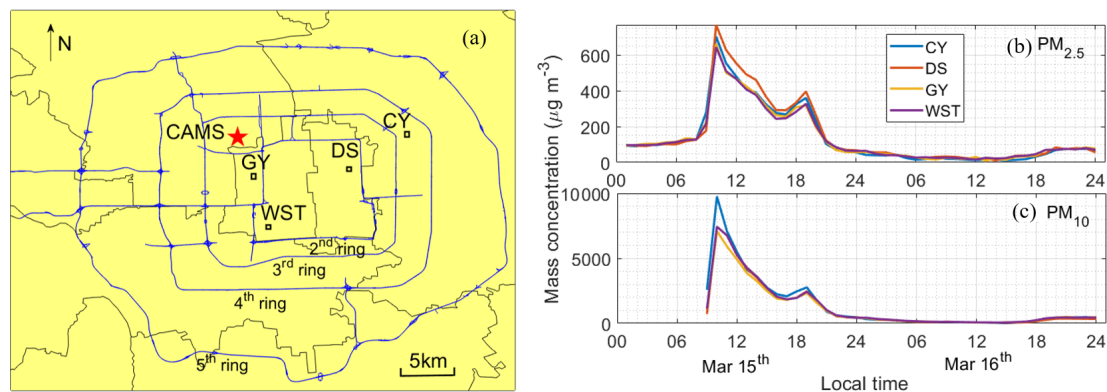


Figure 6. The location map of the CAMS site and four air quality monitoring sites, including Guanyuan (GY), Wanshou Temple (WST), Dongsi (DS), and Chaoyang (CY), in urban Beijing (a), as well as the $\text{PM}_{2.5}$ and PM_{10} mass concentration at each location (b).

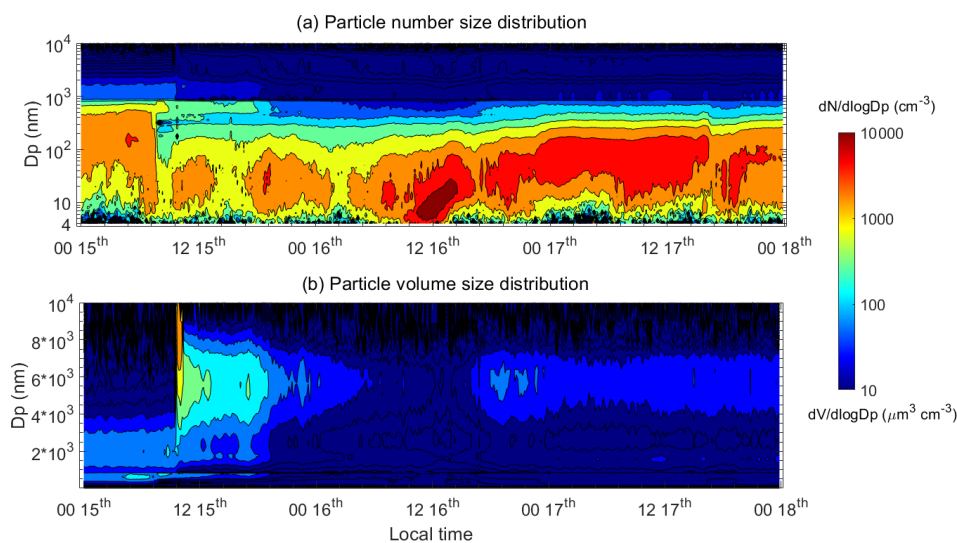


Figure 7. Particle number (a) and volume (b) distributions on 14–17 March 2021 at the CAMS station.

positive relationship with nitrate mass concentration. However, the NOR was relatively low, with a value of 0.01–0.1, which was much lower than the value under polluted conditions, ranging from 0.3–0.5. This indicated that the dust particles promoted the secondary inorganic formation of submicron particles, particularly sulfate formation. Although the uptake and oxidation of NO_2 and SO_2 by mineral dust can be considerable, secondary aerosol formation in the submicron range is also important. As discussed above, it has been shown that O_3 increases during a dust storm (Fig. 8), which indicates that the enhanced oxidation capability during the dust storm favours secondary inorganic aerosol formation by ozone oxidation. O_3 can also promote the heterogeneous oxidation of other trace gases on mineral dust surfaces (Li et al., 2006; Wu et al., 2011). Although the secondary formation of sulfate and nitrate was enhanced during the dust event, the PM_{10} mass concentration, as well as each chemical compo-

nent, decreased sharply owing to dilution by the strong wind during the dust storm.

3.2.3 Variations of particle hygroscopicity

Because RH was quite low during the dust storm, ranging from 10%–20%, the hygroscopic growth process of the particles in the ambient environment could be ignored. However, as discussed above, the chemical composition of PM_{10} derived from AMS changed significantly during the dust storm compared to the pollution episode. The calculated hygroscopic parameter, κ values, of 50 and 100 nm particles were significantly higher during the pollution episode than during the dust and post-dust storm (including NPF event) episodes (Fig. 10). κ of 50 nm ranged from 0.05 to 0.17, and 0.15 to 0.30 for 100 nm particles, which was consistent with the long-term study in Beijing as reported by S. Zhang et al. (2023) and Y. Wang et al. (2018). Although the chemical composition of ultrafine particles below 100 nm cannot

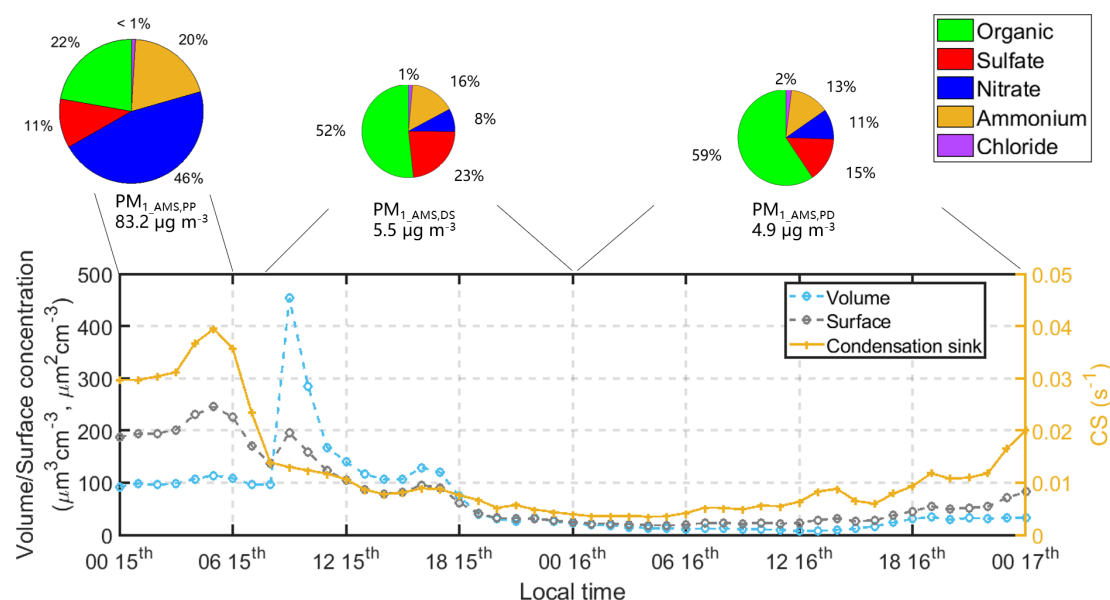


Figure 8. Time series of PM_{10} volume, surface, and condensation sink from 15–17 March. Pollution period (PP), dust storm (DS), and post-dust (PD) are marked in the plot, and the PM_1 mass concentration as well as each chemical composition (organic, sulfate, nitrate, ammonium, and chloride) mass fraction is also given for each period (a), and hourly time series of NO_2 , O_3 and SO_2 (b).

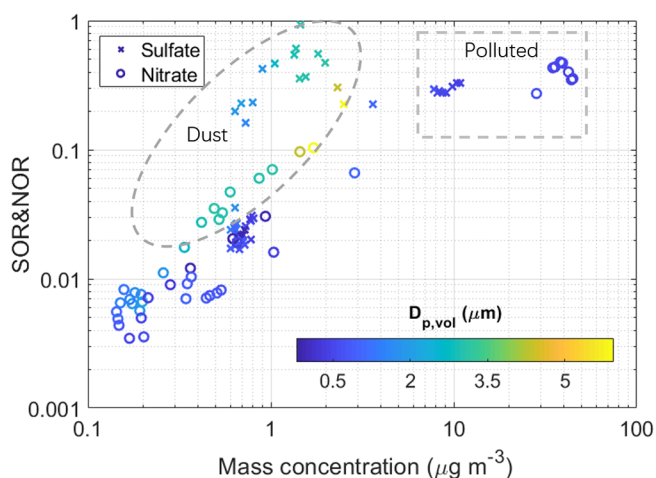


Figure 9. Scatter plot of sulfate (cross) and nitrate (circle) mass concentrations on the x axis versus sulfur oxidation ratio (SOR) and nitrogen oxidation ratio (NOR) on the y axis, with different volume-weighted diameters ($D_{p,\text{vol}}$) indicated by the colour bar. Dust, post-dust, and polluted periods are marked by dashed lines, respectively.

be derived in this work, based on a recent study in Beijing, it has been revealed that organics dominate the mass concentration of particles below 100 nm, whereas the mass fraction of nitrate increases depending on the size (Li et al., 2023). For submicron particles in this study, the mass fraction of organic aerosols (OAs) with weak hygroscopicity accounted for approximately 20% to PM_1 during the polluted episode, whereas it increased to more than 50% during the

dust storm and post-dust storm episodes (Fig. 10c). Meanwhile, the fraction of hydrophobic POA increased during the dust storm and post-dust storm episodes (Fig. 10d), as strong wind diluted the pre-existing particles, and the anthropogenic emission contribution was less important during the severe dust storm. Although sulfate formation was enhanced during the dust storm, as discussed above, with the larger mass fraction during the dust and post-dust storm episodes, the smaller fraction of nitrate and higher fraction of OA resulted in weaker particle hygroscopicity during the dust and post-dust storm episodes. This indicates that dust storms can modify the chemical composition of submicron particles, which influences their hygroscopic behaviour and ability to be activated as CCN. During a post-dust episode, an NPF event occurred on 16 March, which produced a large quantity of nanoparticles as the potential CCN. The particle number concentration increased and the particle hygroscopicity decreased as compared with the polluted episode, and the variation of CCN concentration is evaluated further in the following discussion.

3.2.4 Impact on the cloud condensation nuclei by the dust storm

Assuming that $\kappa_{\text{htdma}} = \kappa_{\text{ccn}}$, $D_{p,\text{crit}}$ can be calculated based on Eqs. (6) and (7) on 15 and 16 March, with a κ value of 100 nm dry particles at a low or moderate cloud supersaturation (S_c) of 0.2% and a high S_c of 0.7%. The $D_{p,\text{crit}}$ ranged from 45 to 60 nm at $S_c = 0.7\%$, with a mean value of 49 ± 2.5 , 52 ± 2.0 , and 53 ± 2.6 nm, during the polluted, dust, and post-dust storm episodes, respectively, which were

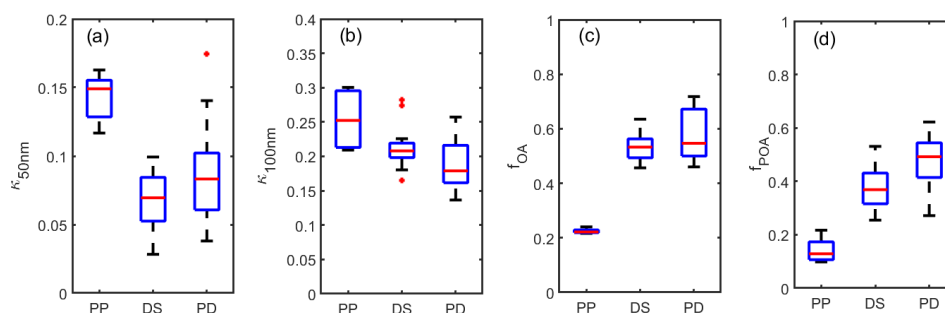


Figure 10. Statistical boxplot of the hygroscopic parameter (κ) of 50 (a) and 100 nm (b) and the mass fraction of organic aerosol (OA), f_{OA} (c) accounting for PM_{10} , and mass fraction of primary OA accounting for the organics, f_{POA} (d) during the pollution (PP), dust (DS) and post-dust (PD) periods. The upper and lower boundaries of the box plots indicate the 75th and 25th percentiles, respectively; the line within the box marks the median; and the whiskers above and below the box indicate the 90th and 10th percentiles, respectively. Data points beyond the whiskers are displayed using a red cross.

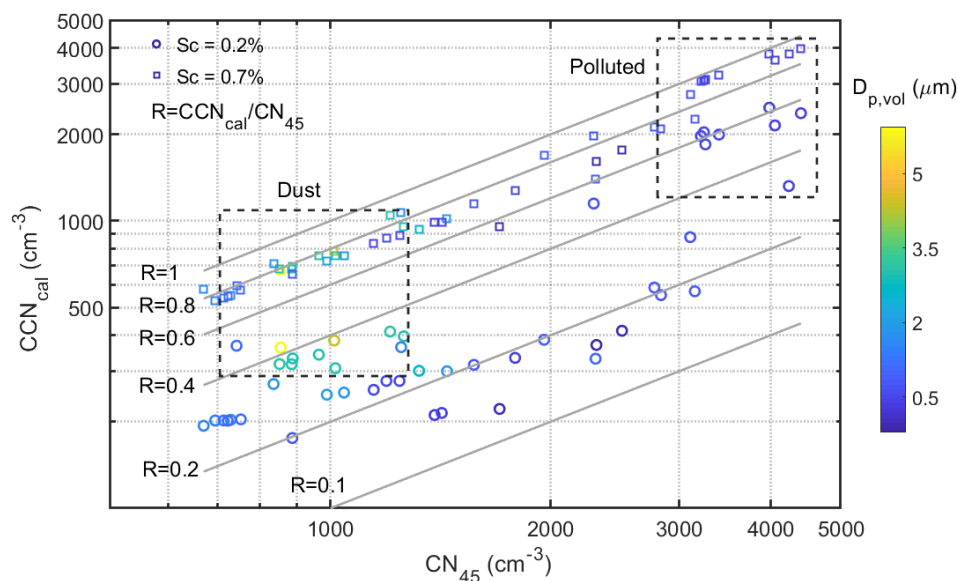


Figure 11. Scatter plot of calculated cloud condensation nuclei (CCN_{cal}) under different supersaturations ($S_c = 0.2\%$ and 0.7%) and number concentrations of diameter above 45 nm (CN_{45}), with different volume weighted diameters ($D_{\text{p,vol}}$) indicated by the colours. The solid lines represent the different ratio values between CCN_{cal} and CN_{45} .

112 ± 5.5 , 119 ± 6.5 , and 123 ± 7.2 nm during the corresponding periods at $S_c = 0.2\%$. $D_{\text{p,crit}}$ increased during the dust storm and post-dust storm periods by approximately 6% and 10%, respectively, compared with the pollution episodes. As $D_{\text{p,crit}}$ was larger than 45 nm, the number of particles larger than 45 nm (CN_{45}) was calculated and referred to as the potential CCN. The concentration of particles with diameters larger than $D_{\text{p,crit}}$ was calculated as the potential condensation nuclei (CCN_{cal}). The scatter plot of CN_{45} and CCN_{cal} under different conditions, indicated by the $D_{\text{p,vol}}$, as well as the activation ratio values (R) calculated by CCN_{cal} dividing CN_{45} , are shown in Fig. 11. It shows R values ranging from approximately 0.1 to 0.8 with $S_c = 0.2\%$, with higher values during polluted episodes (~ 0.8), lower values during the dust storm (0.2–0.4), and even values reach-

ing 0.1–0.2 during the post-dust period. However, at high $S_c = 0.7\%$, R values ranged from 0.6–1.0, and were close to 1.0, during the polluted episode and concentrated around 0.8 during the dust episode. Results showed that the CCN activation capability was significantly influenced by the dust storm at a low S_c , whereas the influence was minor at a high S_c , depending on $D_{\text{p,crit}}$. Although an NPF event occurred during the post-dust period on 16 March, CCN_{cal} did not increase because of the elevated critical diameter. Although the backflow of floating dust particles did not result in the clear increase of $\text{PM}_{2.5}$ and PM_{10} mass concentration given in Fig. 6b, c, they induced a higher CS (Fig. 8a), which was not favourable for the further growth of the nucleated particles.

4 Conclusions

Dusty days, including dust storms, blowing dust, and floating dust, have occurred frequently in the spring in recent years. We found that there were approximately 80 % of dusty days followed by an NPF event based on the PNSD measurement in Beijing in spring from 2017 to 2021. Owing to the scavenging effect of strong winds on pre-existing aerosols, the condensation sink remained at quite a low value of $\sim 0.005 \text{ s}^{-1}$, which favoured the occurrence of NPF events after dust episodes. The NPF events were classified into cases following dust (dust-related NPF) and other NPF events occurring under clean and polluted conditions. Based on the PNSD derived on NPF days, it was found that the observed formation and growth rates were approximately 50 % of and 30 % lower than those of other NPF events, respectively.

The most severe mineral dust storm over the past 2 decades in China originated in Mongolia and swept through northern China on 15–16 March 2021, with the highest hourly mean PM_{10} reaching 8 mg m^{-3} , as observed in urban Beijing. The processes of mineral dust and their impact were evaluated based on field measurements of aerosols and reactive gases in urban Beijing. During dust storms, the volume-weighted particle size peaks at approximately 4–6 μm or 8–10 μm . In particular, secondary sulfate aerosol formation was found to have a higher rate than that of the polluted condition before a dust episode. The hygroscopicity of ultrafine particles was weakened during the dust and post-dust storm episodes, as compared with the polluted condition, due to the elevated mass fraction of organics, especially the primary organic aerosols. Based on the κ -Köhler theory, the critical diameter of the particles activated as CCN was calculated for different supersaturations. They were approximately 49 nm and 112 nm in the polluted episode at S_c of 0.7 % and 0.2 %, respectively, which increased to 52 and 119 nm, respectively, during the dust storm, increasing by approximately 6 %. A new particle formation event occurred on 16 March, when the dust episode vanished; however, the contribution to the CCN concentration was minor. As a consequence of the uptake of precursor gases on mineral dust, the physical and chemical properties of submicron particles changed, thereby influencing their ability to act as CCN, especially at low supersaturation. The impact of this severe dust event observed in Beijing provided valuable information for evaluating the influence of dust, particularly the underlying impact of submicron particles and their ability to be activated as CCN. However, more work is needed to quantify the contribution of anthropogenic emissions to an NPF based on field experiments and modelling work in the future.

Data availability. All data used in the study are available from the corresponding author upon request (shenxj@cma.gov.cn).

Supplement. The supplement related to this article is available online at: <https://doi.org/10.5194/acp-23-8241-2023-supplement>.

Author contributions. XS planned the study, conducted the measurements, analysed the data, and wrote the original draft. YZ, QL, CZ, KG, JZ, SZ, WX, XH, JL, SL, JW, AY, and CX contributed to the measurements, instrument maintenance, data analysis, and results discussions. JS, HC, and XZ reviewed and finalized the article. XS and JS contributed to fund acquisition.

Competing interests. The contact author has declared that none of the authors has any competing interests.

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Acknowledgements. This research was supported by the Innovation Team for Haze-fog Observation and Forecasts of MOST and CMA. The authors also acknowledge the editor and anonymous reviewers.

Financial support. This research has been supported by the National Natural Science Foundation of China (grant nos. 42075082, 41825011, 42090031), the Basic Research Fund of CAMS (grant no. 2023Z012), and the S&T Development Fund of CAMS (grant no. 2020KJ001).

Review statement. This paper was edited by Ari Laaksonen and reviewed by two anonymous referees.

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