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# Summertime ozone pollution in China affected by stratospheric quasi-biennial oscillation

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**Abstract.** In recent years, the near-surface ozone  $(O_3)$  level has been rising fast in China, with increasing damage to human health and ecosystems. In this study, the impact of stratospheric quasi-biennial oscillation (QBO) on interannual variations in summertime tropospheric O<sub>3</sub> over China is investigated based on GEOS-Chem model simulations and satellite retrievals. QBO has a significant positive correlation with near-surface O<sub>3</sub> concentrations over central China (92.5–112.5° E, 26–38° N) when the sea surface temperature (SST) over the eastern tropical Pacific is warmer than normal, with a correlation coefficient of 0.53, but QBO has no significant effect on  $O_3$  under the cold SST anomaly. Compared to the easterly phase of QBO, the near-surface  $O_3$  concentrations have an increase of up to 3 ppb (5 % relative to the average) over central China during its westerly phase under the warm SST anomaly.  $O_3$  also increases above the surface and up to the upper troposphere, with a maximum increase of 2–3 ppb (3 %–5 %) in 850–500 hPa over central China when comparing westerly phase to easterly phase. Process-based analysis and sensitivity simulations suggest that the O<sub>3</sub> increase over central China is mainly attributed to the anomalous downward transport of  $O_3$  during the westerly phase of QBO when a warm SST anomaly occurs in the eastern tropical Pacific, while the local chemical reactions and horizontal transport processes partly offset the O<sub>3</sub> increase. This work suggests a potentially important role of QBO and the related vertical transport process in affecting near-surface  $O_3$  air quality, with an indication for  $O_3$  pollution prediction and prevention.

# **1** Introduction

Ozone (O<sub>3</sub>) is an important atmospheric trace gas. The presence of O<sub>3</sub> in the stratosphere plays a crucial role in protecting the environment and humans from UV light, but surface O<sub>3</sub> is detrimental to human health, ecosystems, and agricultural production within the troposphere (Wang et al., 2007; Nuvolone et al., 2018; Zhao et al., 2020). Tropospheric O<sub>3</sub> is primarily produced by photochemical reactions of nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs) (Wang et al., 2017; Zeng et al., 2017). Apart from precursor emissions, the temporal and spatial distributions of tropospheric O<sub>3</sub> are highly impacted by meteorological conditions, among which low relative humidity (RH), cloud-free strong solar radiation, and high temperatures can lead to  $O_3$  pollution by enhancing its chemical production (Camalier et al., 2007; Porter et al., 2019; Gong and Liao, 2019; Qu et al., 2021; Wang et al., 2022). The intrusion of stratospheric  $O_3$  into the troposphere is also one of the sources for near-surface  $O_3$  (Zeng et al., 2010; Wespes et al., 2017).

With the accelerated industrialization and urbanization in recent decades, the air quality problem has become serious in China (Verstraeten et al., 2015; Yang et al., 2022a). Although many environmental protection and control measures have been implemented to prevent air pollution (Feng et al.,

2019),  $O_3$  pollution has been getting worse in China in recent years (Li et al., 2019; Gao et al., 2022). Therefore, the factors causing  $O_3$  pollution have been a research focus in recent years. Many previous studies found that interannual variations in large-scale circulations modulated the  $O_3$  pollution in China (e.g., Yang et al., 2014; Yin et al., 2017; Zhao and Wang, 2017). For example, Yang et al. (2014) showed that summertime  $O_3$  levels in China were positively correlated with the strength of East Asian summer monsoons (EASMs) associated with variations in large-scale circulations, which led to an increase in  $O_3$  concentration exceeding 6% in the strong EASM years relative to the weak ones.

The El Niño-Southern Oscillation (ENSO) is the most pronounced mode of internal variability of the Earth's climate system, which contains warm (El Niño) and cold (La Niña) phases, describing anomalous warming and cooling of surface waters, respectively, in the central-eastern tropical Pacific Ocean. It can be responsible for global and regional oceanic and atmospheric pattern anomalies, having significant impacts on wind, temperature, and precipitation in China (Zhou and Chan, 2007; Xu et al., 2007; Li et al., 2020; Zeng et al., 2021). Recent studies have shown that the interannual variations in O3 concentrations over China are influenced by ENSO events (Jiang and Li, 2022; Li et al., 2022; Yang et al., 2022b). Using the GEOS-Chem model, Yang et al. (2022b) showed that summertime O<sub>3</sub> over southern China had a positive correlation with the Niño 3.4 index. Near-surface O<sub>3</sub> concentrations increased by a maximum over 20 % in southern China during El Niño compared to La Niña, which was closely linked to a weakened southerly over southern China that was conducive to the accumulation of O<sub>3</sub>. However, they also reported an unusual O<sub>3</sub> change over 30-40° N in China that could not be explained by the ENSO impact alone.

The quasi-biennial oscillation (QBO) is a major mode of variability of zonal wind in the stratosphere, characterized by alternating easterly and westerly phases, with a period close to 28 months. The QBO is able to modulate large-scale vertical and meridional circulations between tropics and subtropics (Punge et al., 2009), which impacts the East Asian climate. For example, it is reported that the southern China sea summer monsoon is weakened during the QBO westerly phase due to the associated anomalous Hadley-like circulation (Zheng et al., 2007). Kim et al. (2020) revealed that there was stronger precipitation over East Asia with a larger Madden-Julian oscillation (MJO) amplitude when the QBO is in easterly phase, which is because MJO-induced vertical motion and moisture transport are amplified by easterly QBO. Therefore, it is of interest to explore the influence of QBO on interannual variations in summertime O<sub>3</sub> pollution over China and their connections during anomalous modes of sea surface temperature (SST) over the eastern tropical Pacific, as well as the mechanisms involved.

In the present study, the impact of QBO on  $O_3$  variations in China is examined based on GEOS-Chem simulations over 1981–2020 together with satellite retrievals. The paper is organized as follows. In Sect. 2, we describe the model, numerical experiments, the reanalysis datasets, the indices used in this study, and satellite retrieval data. The connection between QBO and tropospheric  $O_3$  in China and the possible mechanisms are explored in Sect. 3. Conclusions and discussion are given in Sect. 4.

# 2 Methods

#### 2.1 GEOS-Chem model simulations

GEOS-Chem is a global three-dimensional chemical transport model with comprehensive chemistry mechanisms of  $O_3$ -NO<sub>x</sub>-hydrocarbon-aerosol involved in the model (Zhai et al., 2021). In this study, we apply the GEOS-Chem version 12.9.3 to simulate  $O_3$  from 1981 to 2020. The horizontal grid of the model is  $2^{\circ} \times 2.5^{\circ}$  (latitude × longitude) with 47 vertical levels above the surface. Stratospheric  $O_3$  is calculated based on the linearized chemistry mechanism (McLinden et al., 2000). Meteorological fields driving the GEOS-Chem simulations are from the Modern-Era Retrospective Analysis for Research and Applications version 2 (MERRA-2) (Gelaro et al., 2017), produced by NASA's Global Modeling and Assimilation Office.

Anthropogenic emissions in China are obtained from the Multi-resolution Emission Inventory for China (MEIC) (Zheng et al., 2018). Anthropogenic emissions outside China are adopted from the Community Emissions Data System (CEDS) version 20210205 (Hoesly et al., 2018). Biogenic emissions employ the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.1 (Guenther et al., 2012). Global biomass burning emissions are from the Global Fire Emissions Database version 4 (GFED4) (van der Werf et al., 2017). Soil NO<sub>x</sub> emissions are estimated in a soil parameterization scheme (Hudman et al., 2012). Lightningproduced NO<sub>x</sub> emissions are estimated in the model based on Murray et al. (2012) and Ott et al. (2010).

The GEOS-Chem simulations are performed to assess the impact of QBO on interannual variation of  $O_3$  covering the period of 1981–2020, following a 6-month model spin-up. In order to minimize the impact of interannual variations in emissions on the modeled  $O_3$  concentrations, the anthropogenic, biogenic burning, and natural emissions of  $O_3$  precursors are all fixed at their 2017 levels in the base simulation (BASE). The BASE simulation is analyzed to quantify the impact of QBO on  $O_3$ , unless stated otherwise.

A sensitivity simulation (NO\_CHN) is conducted with a different emission configuration than BASE, aiming to investigate the impact of domestic emissions in China on tropospheric O<sub>3</sub> during QBO events. Different from BASE, an-thropogenic emissions of NO<sub>x</sub>, CO, and VOCs in China are turned off in NO\_CHN. Considering that O<sub>3</sub> pollution is most critical during the boreal summer in many regions of China, only summer months (June–July–August, JJA) are ex-

amined in this study. Time-varying meteorological fields follow those from MERRA-2 during all simulations.

Figure 1 compares the year-by-year changes in JJA  $O_3$  concentrations in observations and BASE simulation. GEOS-Chem can roughly capture the interannual variation in surface  $O_3$  concentrations in China during 2016–2020. The spatial correlation coefficients between the observed and modeled year-by-year changes in  $O_3$  concentrations are about 0.5–0.6, except the 2018–2019 changes in  $O_3$ , which could be attributed to the influence of the changes in precursor emissions on the observed  $O_3$  concentrations after Phase 2 of the Chinese Clean Air Action Plan launched in 2018 (Li et al., 2020).

# 2.2 QBO and Niño 3.4 indices

The QBO phases are determined by the zonal average of 30 hPa zonal wind over the Equator (5° S–5° N) based on MERRA-2 reanalysis (Fig. 2a), with the averages during JJA used in this study. Positive values denote westerly QBO phase (QBOW), while negative values denote easterly QBO phase (QBOE).

The Niño 3.4 index averaged over JJA is used to characterize the warm and cold phases of SST anomalies over the eastern tropical Pacific in boreal summer, which is estimated as the SST anomalies over the Niño 3.4 region (5° S–5° N, 170–120° W) (Fig. 2b). A positive (negative) Niño 3.4 index indicates a warm (cold) phase when the SST in the eastern tropical Pacific is higher (lower) than the climatological mean (1981–2020). The 40 years can be divided into the warm and cold phases of the JJA SST anomalies over the eastern tropical Pacific according to the Niño 3.4 index.

The QBO and Niño 3.4 indices calculated in this study using MERRA-2 reanalysis are highly correlated with those derived from NCEP/NCAR (National Centers for Environmental Prediction and the National Center for Atmospheric Research) reanalysis and HadlSST1, with correlation coefficients of 0.97 and 0.98, respectively. It suggests that the QBO and the eastern tropical Pacific SST anomaly are well represented in the GEOS-Chem simulations, which is important for appropriately quantifying impacts of QBO on the interannual variations in O<sub>3</sub> variations over China.

#### 2.3 Satellite data

The monthly mean tropospheric column  $O_3$  (TCO) data from the Ozone Monitoring Instrument – Microwave Limb Sounder (OMI–MLS) that have been on board the Aura satellite since 2004 are used to verify the modeled impact of QBO on  $O_3$  pollution in China. The grid resolution of OMI–MLS data is 1.25° longitude × 1.0° latitude, covering the measurement area between 60° S and 60° N. TCO is calculated by subtracting MLS stratospheric column  $O_3$  from OMI total column  $O_3$  (Ziemke et al., 2011). The tropopause height is calculated according to 2 K km<sup>-1</sup> lapse rate, which generally locates around 150 hPa in mid-latitudes (Jing et al., 2006; Peiro et al., 2018). In this study, we used 150 hPa as an approximation of the tropopause level for the calculation of TCO from the model simulation, although it may lead to a small bias in the magnitude of TCO.

#### 3 Result

#### 3.1 Impact of QBO on tropospheric O<sub>3</sub> in China

To illustrate the effects of QBO on summertime near-surface O<sub>3</sub> over China, the spatial distribution of the correlation coefficients between the JJA O3 concentrations and concurrent QBO index is presented in Fig. 3a. It shows that the correlation coefficients between QBO index and surface O<sub>3</sub> are insignificant over most regions of China, except for part of Qinghai province, which means that the single impact of QBO events cannot significantly affect O<sub>3</sub> pollution in China. The lag-correlation analysis is also performed but shows even weaker correlations. Previous studies have shown that the impact of QBO can be compounded with ENSO (Sun et al., 2019; Xue et al., 2015). Motivated by these studies, we further examine the relationships between QBO and summertime O<sub>3</sub> in the warm and cold phases of SST anomalies of the eastern tropical Pacific. Note that the correlation coefficient between QBO index and Niño 3.4 index is only 0.09, indicating that there is no direct linear relationship between QBO and ENSO, which has also been reported in previous studies (Christiansen et al., 2016; Sun et al., 2019).

The influences of QBO on O3 under different SST anomalies over the eastern tropical Pacific are quite different (Fig. 3b and c). During years under the warm SST phase, significant correlations between JJA near-surface O3 concentrations and QBO index are located over the latitudinal band of 25-40° N in China. In central China (92.5-112.5° E, 26-38° N), the correlation coefficient between the regionally averaged O<sub>3</sub> concentration and QBO index under the warm phase is 0.53, which is much higher than 0.23 during the whole 40-year period. However, under the cold ENSO phase, there is no significant correlation over China, with a regional correlation coefficient of -0.06. These results suggest that QBO may have a remarkable effect on tropospheric  $O_3$  over central China during the warm anomaly of the eastern tropical Pacific SST, while it has little impact on O<sub>3</sub> in China during years with cold SST anomalies. Once there is a coincidence of QBOW and warm SST anomaly in the eastern tropical Pacific, the combined effects could worsen the O<sub>3</sub> pollution over China. Therefore, the three strongest QBOW (1990, 1997, and 2019) and QBOE (1994, 2012, and 2018) years under the warm phase of the SST anomaly during the past four decades are chosen to further quantify the influence of QBO on O<sub>3</sub> pollution in China.

Figure 4 presents JJA  $O_3$  anomalies in the selected QBOW and QBOE years relative to the climatological mean (1981–2020). Under the combined influence of QBOW and the



**Figure 1.** Spatial distributions of year-by-year changes in the  $(\mathbf{a}-\mathbf{d})$  observed and  $(\mathbf{e}-\mathbf{h})$  modeled JJA O<sub>3</sub> concentrations (ppbv) during 2016–2020. The O<sub>3</sub> observations are obtained from the China National Environmental Monitoring Centre (CNEMC). Spatial correlation coefficients between simulations and observations are shown at the top right corner of  $(\mathbf{a}-\mathbf{d})$ .

warm SST anomaly, positive  $O_3$  concentration anomalies are observed over central and southern China. In contrast, the surface  $O_3$  concentration increases over southern China and decreases in central China during QBOE years. The increases in  $O_3$  levels over southern China under the warm SST anomaly in both QBOW and QBOE years are due to the positive correlation between Niño 3.4 index and tropospheric  $O_3$ concentrations in southern China. Previous studies have reported that  $O_3$  concentrations increased over southern China during El Niño years, which is related to  $O_3$  convergence due to weakened southerlies (Yang et al., 2022b; Li et al., 2022). The different characteristics of  $O_3$  changes in central China highlight the role of QBO in affecting the distribution of  $O_3$  over China under the warm SST anomalies of the eastern tropical Pacific.

Figure 5 presents the spatial distribution near the surface and pressure-longitude cross sections of absolute and percentage differences between QBOW and QBOE in O3 concentrations over China under the warm SST anomaly. Compared with QBOE years, positive O<sub>3</sub> concentration anomalies are located between 25 and 40° N over China during QBOW, especially over central China where the maximum anomaly exceeds 3 ppb (parts per billion) (or 5 % relative to the climatological average). The differences are higher than the standard deviation during the analyzed period, suggesting that the differences are significant. The simulated  $O_3$ pollution enhancement is also shown in the vertical distribution of the zonal mean (26-38° N) composite differences (Fig. 5b, d). For QBOW years, increased O<sub>3</sub> occurred in the whole troposphere, with the maximum increase of 2-3 ppb (3 %–5 %) between 850 and 500 hPa over central China, indicating a high probability of enhanced O<sub>3</sub> pollution during QBOW relative to QBOE. O3 concentrations also increase in the coastal area of eastern China, which is mainly due to the decreases in O<sub>3</sub> concentrations in the selected QBOE years relative to the climatological mean, as the  $O_3$  concentrations only slightly increase in the QBOW years. The correlation between  $O_3$  and QBO index over this region is not as strong as that over central China, which indicates that the anomalous increase in  $O_3$  over the coastal area of eastern China may not be a typical feature of the QBO impact and will not be discussed hereafter.

The modeled difference in summertime tropospheric  $O_3$ between the QBOW and QBOE years can also be observed from satellite (Fig. 6). The OMI-MLS-retrieved TCOs are higher in QBOW than QBOE years between 25-35° N in China, which is in accordance with the model results. Averaged over central China, the difference in TCO between the selected QBOW (2019) and QBOE years (2012 and 2018) from satellite data is 2.8 DU, similar to the 2.5 DU from model simulation. Both model simulations and satellite retrievals suggest that the QBO can significantly influence tropospheric  $O_3$  in China. Also, the simulated change in TCO is also higher than the standard deviation (1.4 DU). However, it is also noted that the spatial variation of the differences in TCO varies between OMI-MLS and model simulations. It is partly because the emissions were fixed at the 2017 levels during model simulations. These potential biases in satellite retrievals also strongly contribute to the different spatial pattern (Schoeberl et al., 2007; Liu et al., 2010; Ziemke et al., 2006, 2014).

# 3.2 Mechanism of the QBO impacts on O<sub>3</sub> in China

Composite differences of relevant meteorological variables between the selected QBOW and QBOE years are shown in Fig. 7 to illustrate the mechanisms of the QBO impacts on  $O_3$  in China. During QBOW years under the warm SST anomaly, the decrease in cloud fraction (Fig. 7g) allows more solar radiation to reach the surface (Fig. 7h), and the RH



**Figure 2.** Time series of the JJA mean (**a**) QBO index  $(m s^{-1})$  and (**b**) Niño 3.4 index (°) over 1981–2020. The warm phase of SST anomalies over the eastern tropical Pacific includes 22 years (1982, 1983, 1986, 1987, 1990, 1991, 1992, 1993, 1994, 1997, 2002, 2003, 2004, 2005, 2006, 2009, 2012, 2014, 2015, 2017, 2018, 2019), and the cold phase includes 18 years (1981, 1984, 1985, 1988, 1989, 1995, 1996, 1998, 1999, 2000, 2001, 2007, 2008, 2010, 2011, 2013, 2016, 2020). Colored bars in (**a**) indicate years with Niño 3.4 index above zero. The black solid lines represent the indices based on MERRA-2 reanalysis. Bars are the QBO index from NCEP/NCEP reanalysis in (**a**) and the Niño 3.4 index from HadlSST1 in (**b**). The correlation coefficients of the indices between MERRA-2 and the NCEP–NCEP reanalysis and between MERRA-2 and HadlSST1 are shown in the top right of the panels.

also decreases over central China (Fig. 7e) relative to QBOE years. These changes in meteorological parameters tend to increase the photochemical production of O3. However, the air temperature significantly decreases in the lower (Fig. 7i) and middle troposphere (Fig. 7f) in QBOW years compared to QBOE years, which suppresses the O<sub>3</sub> production. Integrated process rate analysis has been widely conducted to assess the contribution of individual chemical or physical processes to the production and distribution of O<sub>3</sub> pollution per unit time in the study domain (Lou et al., 2015; Qu et al., 2021; Zhu et al., 2021). The combined effect of the changes in these meteorological parameters leads to a reduction in net O<sub>3</sub> chemical production by about 1 % over central China in QBOW compared to QBOE years based on an integrated process rate analysis (Table S1). Therefore, the chemical production change is not the major process causing the O<sub>3</sub> pollution deterioration during QBOW years under the warm SST anomaly.



**Figure 3.** (a) Spatial distribution of the correlation coefficients between JJA near-surface  $O_3$  concentrations and QBO index over 1981–2020; (b) and (c) are the same as (a), but during years that have positive (22 years) and negative (18 years) SST anomalies over the eastern tropical Pacific, respectively. The stippled areas indicate statistical significance at the 90 % confidence level.

Compared with QBOE years, anomalous northwesterly winds at 850 hPa occurred over central China during the QBOW years, located at the east edge of an anomalous high over western China (Fig. 7a). Under the influence of this anomalous high, the anomalous downdraft throughout the troposphere over central China (Fig. 7c) can reduce the vertical transport of  $O_3$  to the upper troposphere, which leads to an  $O_3$  accumulation in the lower and middle troposphere. In addition, the increase in planetary boundary layer (PBL) height (Fig. 7d) favors the vertical mixing of air within the PBL and the  $O_3$ -enriched air above the PBL (Ma et al., 2021).

Considering the effect of winds on  $O_3$  transport, the horizontal JJA  $O_3$  mass fluxes from the surface to 850 hPa and the vertical mass flux at 850 hPa over central China are calculated and summarized in Table 1. Due to an anomalous northwesterly, the outflow transport of  $O_3$  from the north boundary of central China is reduced by 1.11 Tg during QBOW years relative to QBOE years. However, through the east boundary of central China, an inflow transport of  $O_3$  is reduced by 1.35 Tg, which overwhelms the gain from the reduced north-



**Figure 4.** Spatial distribution of JJA surface  $O_3$  concentration anomalies of (**a**) the selected three QBOW years (1990, 1997, and 2019) and (**b**) the selected three QBOE years (1994, 2012, and 2018), respectively, relative to the climatological average (1981–2020).

**Table 1.** The horizontal mass flux (Tg) of JJA  $O_3$  from the surface to 850 hPa and the vertical mass flux (Tg) at 850 hPa over central China (92.5–112.5° E, 26–38° N). The values are averaged over the selected three QBOW years (1990, 1997, and 2019) and QBOE (1994, 2012, and 2018) years and their differences (QBOW–QBOE). Positive values indicate incoming fluxes, and negative values indicate outgoing fluxes.

	QBOW	QBOE	Difference
Horizontal mass flux			
East	1.46	2.81	-1.35
West	0.92	0.74	0.18
North	-0.06	-1.17	1.11
South	3.60	3.83	-0.23
Vertical mass flux			
Тор	-5.68	-6.27	0.59

ward transport. The  $O_3$  flux changes through the west and south boundaries are relatively small and almost offset each other. The overall changes in the horizontal transport result in a decrease in  $O_3$  mass by 0.29 Tg from surface to 850 hPa in QBOW relative to QBOE years, suggesting that the horizontal advection change is also not the primary process causing the enhanced  $O_3$  pollution.

The anomalous downdraft over central China weakens the upward mixing of high lower-tropospheric  $O_3$  concentrations and causes an anomalous downward transport of  $O_3$  by 0.59 Tg at 850 hPa, contributing to the increase in surface

 $O_3$  concentrations. Therefore, the impact of the QBO under the warm SST anomaly on the distribution of tropospheric  $O_3$  over central China is mainly via changes in the vertical motion.

#### 3.3 Role of Chinese domestic anthropogenic emission

Comparison of the O<sub>3</sub> anomaly in BASE and NO\_CHN identifies the impact of Chinese domestic emissions on O<sub>3</sub> concentrations. When domestic anthropogenic emissions of O<sub>3</sub> precursors are turned off, JJA mean near-surface O<sub>3</sub> concentrations largely increase across China, especially between  $30-40^{\circ}$  N, with maximum increases exceeding 5 ppb during QBOW compared to QBOE years (Fig. 8a). Averaged over central China, the anomalous increase in the near-surface O<sub>3</sub> concentration is 3.0 ppb in NO\_CHN, even higher than that (1.7 ppb) in BASE simulation. It results from the reduction in the net export of horizontal mass flux of O<sub>3</sub> due to the removal of domestic emissions (Table S2), leading to a more significant increase in O<sub>3</sub> over central China in the NO\_CHN experiment.

Figure 8b shows the simulated vertical distribution of  $O_3$  concentration difference between the selected QBOW and QBOE years from the NO\_CHN experiment. The positive  $O_3$  anomaly in the troposphere is similar to that from the BASE experiment, but the increases are mainly between 95 and 115° E from the surface to 500 hPa over central China. These results suggest that the vertical transport process dominates the increase in summertime tropospheric  $O_3$  concentrations over central China during QBOW under the warm SST anomaly of the eastern tropical Pacific. In the NO\_CHN experiment, the reduction in the  $O_3$  horizontal export results in a more significant increase of  $O_3$  concentration during QBOW compared to QBOE years.

### 4 Conclusion and discussion

Based on GEOS-Chem model simulations over 1981-2020, we investigate the impacts of different QBO events on the surface and tropospheric O<sub>3</sub> over China. Although only weak correlations are found between JJA mean near-surface O<sub>3</sub> concentrations and QBO index over China, their positive correlation is significant in years with warm SST anomalies over the eastern tropical Pacific. Averaged over central China (92.5-112.5° E, 26-38° N), the correlation coefficient between the regional near-surface O<sub>3</sub> concentration and QBO index during the warm ENSO phase is 0.53. It suggests that the co-occurrence of the westerly phase of QBO and warm SST anomalies over the eastern tropical Pacific would exacerbate summertime O<sub>3</sub> pollution in China. Compared with QBOE years, near-surface O3 concentrations increase by up to 3 ppb (5% relative to the average) across China during QBOW, especially over central China, and the increase in O<sub>3</sub> extends from the surface to the upper troposphere, especially between 850 and 500 hPa.



**Figure 5.** Spatial distributions of (**a**) absolute (ppbv) and (**c**) percentage (%) differences relative to the climatological mean (1981–2020) in JJA near-surface O<sub>3</sub> concentrations between the selected three QBOW years (1990, 1997, and 2019) and QBOE years (1994, 2012, and 2018) (QBOW–QBOE). The pressure–longitude cross sections averaged over the latitudes of 26–38° N show (**b**) absolute (ppbv) and (**d**) percentage (%) differences relative to the climatological mean in JJA O<sub>3</sub> concentrations between the selected three QBOW years.



**Figure 6.** Spatial distribution of JJA tropospheric column  $O_3$  (TCO, DU) differences between the selected QBOW year (2019) and QBOE year (2012, 2018) based on (a) GEOS-Chem simulations and (b) Aura OMI–MLS.

A combined effect of changes in meteorological conditions (i.e., less cloud, higher RH, and lower temperature)

leads to a slightly lower net O<sub>3</sub> chemical production rate in QBOW years than in QBOE years. Central China is influenced by anomalous northwesterlies during QBOW, which weakens  $O_3$  import from the east boundary and the export from the north boundary of central China, leading to a net O<sub>3</sub> export of 0.29 Tg during QBOW, compared to QBOE years, from surface to 850 hPa. However, change in the vertical transport is the main process causing O<sub>3</sub> concentration increases in QBOW years. An anomalous downdraft leads to the O<sub>3</sub> mass increase of 0.59 Tg below 850 hPa by suppressing vertical mixing and promoting O<sub>3</sub> accumulation in the lower troposphere. The sensitivity experiment with Chinese domestic anthropogenic emissions of O<sub>3</sub> precursors turned off shows a greater increase of  $O_3$  (3.0 ppb) than that in the default simulation (1.7 ppb). It indicates that the O<sub>3</sub> increase over central China during QBOW years under the warm SST anomaly is mainly due to the anomalous vertical transport, while a decrease in local chemical production partly offsets the O<sub>3</sub> increases in central China. Moreover, the positive anomaly of TCO based on GEOS-Chem model simulation is consistent with the satellite retrieval from the OMI-MLS.

This study explores the effect of QBO on tropospheric  $O_3$  over China and the underlying mechanisms during the warm SST anomalies of the eastern tropical Pacific, which can improve the understanding of causes of  $O_3$  pollution over China. For a climatological average, prevailing easterly

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**Figure 7.** Composite differences in the spatial distribution of JJA mean (**a**) wind fields (m s<sup>-1</sup>, vector) at 850 hPa and sea-level pressure (SLP, Pa, contour), (**b**) wind fields (m s<sup>-1</sup>, vector) and geopotential height (GPH, m, contour) at 500 hPa, (**d**) planetary boundary layer height (PBLH, m), (**e**) relative humidity (RH, %) at the surface, (**f**) air temperature (T, °) at 500 hPa, (**g**) total cloud fraction (%), (**h**) downwelling shortwave radiation at the surface (RSDS, W m<sup>-2</sup>), and (**i**) surface air temperature (T, °) between three QBOW years (1990, 1997, and 2019) and QBOE years (1994, 2012, and 2018) (QBOW–QBOE). In (**c**) is the differences in JJA mean zonal wind (m s<sup>-1</sup>, vector) and vertical velocity (OMEGA, Pa s<sup>-1</sup>, vector and contour) multiplied by a factor of –100, averaged over 26–38° N between three QBOW years (1994, 2012, and 2018) (QBOW–QBOE). The solid black boxes mark central China (92.5–112.5° E, 26–38° N).



**Figure 8.** (a) Spatial distribution of differences in JJA near-surface  $O_3$  concentrations (ppbv) and (b) the pressure–longitude cross sections averaged over the latitudes of 26–38° N of the differences in JJA  $O_3$  concentrations (ppbv) between three QBOW years (1990, 1997, and 2019) and QBOE years (1994, 2012, and 2018) (QBOW–QBOE) from the simulation that has the Chinese anthropogenic emissions of  $O_3$  precursors turned off (NO\_CHN). The solid black box in (a) marks central China.



**Figure 9.** Pressure–longitude distribution of the correlation coefficients between QBO index and vertical velocity (OMEGA, multiplied by a factor of -100) in JJA averaged over 26–38° N for years with the warm SST anomaly. The stippled areas indicate statistical significance at the 90% confidence level.

winds at 30 hPa dominate the Equator, accompanied by the upward motion over central China within the troposphere. During QBOW years, the prevailing winds reverse to westerlies, which may induce the anomalous downward motion over central China. However, the dynamical mechanism of how the stratospheric QBO drives changes in the vertical motion and circulation patterns in China along with the SST anomaly over the eastern tropical Pacific is out of the scope of this study and merits further investigation. Nevertheless, the QBO index is positively correlated with the vertical velocity throughout the troposphere over China, especially between 100 and 110° E (Fig. 9), where the lower tropospheric O<sub>3</sub> increases the most in the NO\_CHN experiment during QBOW years under the warm SST anomaly. These positive correlations demonstrate that the weakened (strengthened) upward motion increases (decreases) tropospheric O<sub>3</sub> concentrations during QBOW (QBOE) years, confirming that changes in the vertical transport driven by QBO events play an important role in modulating summertime O<sub>3</sub> pollution over China. The phenomenon of changes in tropospheric O<sub>3</sub> between different QBO phases is also verified by satellite retrievals. Compared with cold conditions, stratospheric QBO forcing is strengthened due to the increase of tropospheric temperature and changes of analytical and parametric waves under the warm SST anomalies of the eastern tropical Pacific, which causes a faster downward propagation in QBO (Taguchi, 2010; Schirber et al., 2015; Geller et al., 2016; Zheng et al., 2007). This may explain why the correlation coefficient between the O3 and QBO indices is insignificant but shows a significant correlation during the warm SST anomalies of the eastern tropical Pacific. The mechanisms deserve further investigation in future studies. Also, it is assumed that the vertical motion over China is influenced by the anomaly of Walker circulation caused by the QBO (Huangfu et al., 2021). Although the physical mechanism remains elusive, we believe that our findings would be useful for future air pollution prediction and control.

**Code and data availability.** The GEOS-Chem model is available at https://doi.org/10.5281/zenodo.3974569 (The International GEOS-Chem User Community, 2020). MERRA-2 reanalysis data can be downloaded at http://geoschemdata.wustl.edu/ ExtData/GEOS\_2x2.5/MERRA2 (MERRA-2, 2022). The monthly mean tropospheric O<sub>3</sub> data from OMI–MLS are downloaded from https://acd-ext.gsfc.nasa.gov/Data\_services/cloud\_slice/new\_data.html (OMI/MLS Tropo O3, 2023). Our model results are available at https://doi.org/10.5281/zenodo.6793180 (Yang, 2022). O<sub>3</sub> observations are obtained from the public website of the China Ministry of Ecology and Environment (https://quotsoft.net/air/, Wang, 2020).

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